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IN THE SUPREME COURT OF THE UNITED STATES

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MICHAEL D. CRAWFORD, :  
Petitioner :

v. : No. 02-9410

WASHINGTON. :

- - - - -X

Washington, D. C.

Monday, November 10, 2003

The above-entitled matter came on for oral argument before the Supreme Court of the United States at 10:56 a.m.

APPEARANCES:

JEFFREY L. FISHER, ESQ., Seattle, Washington; on behalf of the Petitioner.

MICHAEL R. DREEBEN, ESQ., Deputy Solicitor General, Department of Justice, Washington, D. C.; on behalf of the United States, as amicus curiae.

STEVEN C. SHERMAN, ESQ., Senior Deputy Prosecuting Attorney, Olympia, Washington; on behalf of the Respondent.

1	C O N T E N T S	
2	ORAL ARGUMENT OF	PAGE
3	JEFFREY L. FISHER, ESQ.	
4	On behalf of the Petitioner	3
5	MICHAEL R. DREEBEN, ESQ.	
6	On behalf of the United States,	
7	as amicus curiae	23
8	STEVEN C. SHERMAN, ESQ.	
9	On behalf of the Respondent	36
10	REBUTTAL ARGUMENT OF	
11	JEFFREY L. FISHER, ESQ.	
12	On behalf of the Petitioner	54
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		

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P R O C E E D I N G S

(10:56 a.m.)

CHIEF JUSTICE REHNQUIST: We'll hear argument next in No. 02-9410, Michael D. Crawford v. Washington.

Mr. Fisher.

ORAL ARGUMENT OF JEFFREY L. FISHER  
ON BEHALF OF THE PETITIONER

MR. FISHER: Thank you, Mr. Chief Justice, and may it please the Court:

The Confrontation Clause prohibited the admission of the accomplice's custodial statement here for two reasons: first, because its interlocking nature did not establish its reliability under this Court's Roberts jurisprudence; and second, and more fundamentally, because the accomplice's custodial statement amounted to out-of-court testimony that was never submitted to cross-examination, in violation of the traditional understanding of the right to confrontation.

QUESTION: When you say out-of-court testimony, Mr. Fisher, what do you mean by the word testimony?

MR. FISHER: What I mean, Mr. Chief Justice, is someone giving a statement out of court that is the functional equivalent of what they would do in court, which is to say they're giving the authorities a statement that is describing an event in a way that they understand

1 is going to be used in a criminal investigation.

2 QUESTION: So it may -- it -- the -- it applies  
3 to the -- if it's made to the authorities but not to a  
4 third person?

5 MR. FISHER: Ordinarily that's the -- that would  
6 be the dividing line.

7 QUESTION: Why is that?

8 MR. FISHER: Well, because the Confrontation  
9 Clause, to go back to the text and to start with the text,  
10 talks about being a witness against somebody. And the --  
11 and the common understanding of that term is to give a  
12 statement that you understand is going to be used in a  
13 criminal investigation, and when you're giving a statement  
14 to the authorities, here a custodial statement to the  
15 police, that's a different situation than the ordinary,  
16 everyday occurrence of speaking to a friend or a colleague  
17 or something.

18 QUESTION: But your ultimate criterion is was it  
19 made with the understanding that it would or probably be  
20 used for prosecutorial purposes.

21 MR. FISHER: That's the way I read the -- the  
22 term witness against in the Constitution. It's the  
23 gateway to the Confrontation Clause. Yes, Justice Souter.

24 QUESTION: So in an auto accident, a private  
25 investigator -- it's a serious accident. Private

1 investigators for both of the parties come out and make --  
2 and make notes of what the witnesses said. Is -- is that  
3 testimonial?

4 MR. FISHER: The private --

5 QUESTION: It's a later criminal proceeding and  
6 it's --

7 MR. FISHER: Well, I mean, I -- I think that  
8 that's the kind of a statement that ordinarily is not  
9 going to be testimonial. You know, an auto accident -- I  
10 take it you're -- you're talking about an auto accident in  
11 terms of a criminal case. But --

12 QUESTION: Well, it's -- it's an auto accident  
13 and insurance investigators are all over the scene when it  
14 later turns into a criminal case.

15 MR. FISHER: I mean, that's the kind of a  
16 situation that there could be a difficult question in  
17 something like that, but I think that is likely not to be  
18 testimonial.

19 QUESTION: Well, it's --

20 QUESTION: What about if the -- what about a  
21 police officer who does the same thing?

22 MR. FISHER: I think the police officer  
23 certainly tips the balance and certainly when somebody is  
24 talking to a police officer, that's the kind of a  
25 statement they understand is going to be used in court.

1           QUESTION: Well, if -- if we're going to change,  
2 as you suggest in your brief, to using this term  
3 testimonial, it certainly does not bring any great  
4 certainty, does it, if you say something tips the balance  
5 one way or another? Obviously, you're going to get a lot  
6 of close cases.

7           MR. FISHER: Well, I understand, Mr. Chief  
8 Justice, there are going to be some close cases out on the  
9 margins, and I acknowledge that. But what the testimonial  
10 approach does is it covers the core cases, the ones that  
11 the Confrontation Clause has always been concerned with.

12           And really, it's not so much a new test. What  
13 -- what the testimonial approach does is really sum up  
14 what this Court itself did in interpreting the common law  
15 and bringing that under the umbrella of the Sixth  
16 Amendment starting in Kirby and Mattox, the Court's  
17 earliest decisions on the Confrontation Clause, really all  
18 the way up through Douglas in the 1960's where actually in  
19 that very opinion the Court uses the term to describe a  
20 custodial confession -- the Court uses the term the  
21 equivalent of testimony. And so that's the kind of a  
22 situation we're talking about to --

23           QUESTION: When you --

24           QUESTION: But you -- you -- your proposal would  
25 effect a significant change in doctrine, I guess, from the

1 Roberts case, and I think even under your proposal certain  
2 testimonial statements would be admissible against the  
3 defendant, for instance, where the defendant has  
4 contributed to making the witness unavailable and so  
5 forth.

6 MR. FISHER: Well, the --

7 QUESTION: I mean, you -- you would still have  
8 some testimonial statements in there.

9 Tell me which of our cases, since the Roberts  
10 decision, would have come out differently under your  
11 proposed approach.

12 MR. FISHER: I don't think, Your Honor, any  
13 cases since Roberts.

14 QUESTION: No case.

15 MR. FISHER: Nor any case before Roberts.

16 QUESTION: Then why change?

17 MR. FISHER: Because the lower courts aren't  
18 getting it right, Chief Justice Rehnquist, and I think  
19 that the problem is, is that the Roberts approach sets up  
20 a framework that is both unworkable in practice and is  
21 leading to consistently anomalous results. And I think  
22 it's --

23 QUESTION: Idaho would have -- v. Wright would  
24 have come out the same way because the doctor who took the  
25 statements of the child was acting at the request of the

1 police?

2 MR. FISHER: That's how I understand the facts  
3 of the case, Justice Kennedy. The victim was -- was in  
4 police custody at the time of the examination, and it was  
5 in coordination with the police. So, yes, Idaho v.  
6 Wright, as well as all this Court's other cases, would  
7 come out.

8 But it's important, you know, to go back to the  
9 question and say, you know, why change from Roberts if  
10 we've gotten to the right places in -- in our cases. And  
11 the answer is, you know, certainly this Court may never  
12 have to change from Roberts, but simply understanding the  
13 way that Roberts is working in the lower courts I believe  
14 should cause this -- cause the Court great concern.  
15 The --

16 QUESTION: But isn't -- isn't that our -- our  
17 function in part? We occasionally take cases from lower  
18 courts to straighten out misconceptions. Presumably  
19 that's how these things get worked out.

20 MR. FISHER: That's correct, Justice O'Connor,  
21 but, you know, I would submit that you're going to have to  
22 practically fill your docket with Confrontation Clause  
23 cases doing error correction in order to come out correct  
24 in all these cases.

25 QUESTION: Well, we've -- but we've had Roberts



1 for 23 years, and we certainly haven't filled our docket  
2 with Confrontation Clause cases.

3 MR. FISHER: Well, that's right. And that's --  
4 and -- and what's happened, because you haven't done that,  
5 is the lower courts are reaching some very, very bad  
6 results. I was responding to Justice O'Connor's question  
7 about why change from Roberts if we're getting to the  
8 right solution, and the reason is because the way that the  
9 test is framed, it just simply is unworkable in the lower  
10 courts. As we cited in our brief, there are -- we  
11 gathered 20 factors that lower courts are using for  
12 indicia of reliability. We could have listed 40 or 50.

13 The United States is asking you, as well as the  
14 State, to stick with this -- stick with this reliability  
15 approach for all of its faults. And you know, the -- the  
16 ironic thing with that kind of a -- of -- of a position is  
17 the more testimonial the statement is, the more reliable  
18 it is, and in turn --

19 QUESTION: Before you give the reasons, I -- I  
20 want to go back to what you said. You say the test should  
21 be functional equivalent of testimony. The functional  
22 equivalent is a little vague, and the law professors in  
23 their amicus brief suggest that the question should be,  
24 would a reasonable person in the position of declarant  
25 anticipate that the statement would likely be used for

1 evidentiary purposes? Would you accept that as a -- a --  
2 would you adopt that phrasing of the question, or do you  
3 have a different phrasing, or do you think if we did  
4 follow your approach, the opinion should simply say  
5 functional equivalent?

6 MR. FISHER: Well, first of all, Justice Breyer,  
7 you don't have to get too far into that in this case  
8 because, of course --

9 QUESTION: No, no. But I mean it's true that --  
10 I realize that, but -- but perhaps we could do a little  
11 bit better than say just testimonial. If -- if we  
12 accepted your approach --

13 MR. FISHER: I think --

14 QUESTION: -- so I'm -- I'm -- I want your  
15 opinion on that. I mean, there -- we have several briefs  
16 here. We have variations on the theme, and I want to know  
17 which variation you think is the best or which is the  
18 worst. I read you one of them

19 MR. FISHER: I think I agree with the starting  
20 point of the functional equivalent of testimony. And then  
21 I think that the law professors' test, the reasonable  
22 expectation, is a good test, and I would -- I would  
23 embellish that by saying that I think that what we have is  
24 99 cases out of 100 that's going to be the situation that  
25 I -- I believe the Chief Justice brought up, which is the

1 -- somebody speaking to the authorities in the course of  
2 the investigation of a crime, somebody giving a statement  
3 to the authorities or directing one to them

4 QUESTION: Would there be anything that fit in  
5 your category where the person to whom the statement is  
6 made is not an officer, either a police officer or  
7 prosecutor?

8 MR. FISHER: I think there may be, and the  
9 reason -- I think there may be a -- a rare, rare case,  
10 Justice Ginsburg, in a scenario -- you know, come up with  
11 hypotheticals. One possible scenario might be somebody  
12 giving a statement to their friend and directing them to  
13 tell the police. So, you know, simply using an  
14 intermediary where we know the statement is going to the  
15 police, but --

16 QUESTION: Why -- why should it depend on the  
17 intent of the declarant? I -- why is that -- why does  
18 that make the declarant a witness within the meaning of  
19 the Confrontation Clause? I mean, suppose -- suppose the  
20 police get -- get the statement from the declarant  
21 surreptitiously. They do not let -- let him know that  
22 they are, in fact, the police. That -- that would  
23 disqualify it under the law professors' test from being  
24 testimony?

25 MR. FISHER: Well, in that --

1           QUESTION: Because he would not know that this  
2 was going to be used in court.

3           MR. FISHER: Well, I mean, I think that's a  
4 situation -- you know, and this is where the definitional  
5 problem gets difficult. I mean, because the other part of  
6 the Confrontation Clause is a limitation on State power,  
7 and it says -- you know, going all the way Blackstone,  
8 it's a limitation on the State molding statements that  
9 it's going to use later in a criminal investigation. So  
10 if that kind of a situation were present where somebody is  
11 molding somebody's statement, I think that might be  
12 something the Confrontation Clause is concerned with as  
13 well.

14           And that goes back to Justice Ginsburg's  
15 question to say that, yes, there may be, you know,  
16 difficult cases -- difficult hypothetical out in the  
17 margin, but what we have here is a test that covers what  
18 are the time-and-again cases that are coming before this  
19 Court and coming before the lower courts.

20           QUESTION: Well, but --

21           QUESTION: Are you -- just -- just with the  
22 dialogue with Justice Scalia, because I'm interested in  
23 the same problem, is it the intent of the speaker or the  
24 intent of the person taking the statement that would be --  
25 be more relevant in your view?

1           MR. FISHER: Well, certainly I -- I -- you know,  
2 you don't have to decide that question in this case, but I  
3 think that if either one of them --

4           QUESTION: Well, of course -- of course, we do.  
5 I mean, I -- I really object to saying, you know, just --  
6 just don't worry about it. We'll worry about it later. I  
7 mean, if there are real problems that come up later, I'm  
8 not going to buy your -- your retreat from Roberts.

9           MR. FISHER: I see, Your Honor. I think that  
10 proper -- the proper test would be if -- if one of the two  
11 people is so -- you know, is doing something with the  
12 purpose of understanding it's going to be used in a  
13 criminal case, then we have a testimonial situation. I  
14 think you -- this Court could say that, but it -- you have  
15 to look back --

16          QUESTION: You mean either the speaker or the  
17 person taking the statement. Is that what you're saying?  
18 I don't understand your response.

19          MR. FISHER: I think certainly the speaker and I  
20 think there may be situations -- and this is -- this is  
21 something the Court can deal with about when this -- about  
22 when the -- when the governmental officer is the only one  
23 and -- and is under such a circumstance that the  
24 governmental officer is molding the statement in such a  
25 way and molding what somebody is going to say --

1           QUESTION: Well, you know, the concern we ought  
2 to have with your approach is we're going to get into some  
3 very tricky questions if we go your route in deciding  
4 what's testimonial, and why buy a pig in a poke, in  
5 effect?

6           MR. FISHER: Well, the first reason is because,  
7 as I said, if you have difficult cases out on the margin,  
8 I submit to the Court the Constitution could tolerate  
9 that.

10          QUESTION: Yes, but I think the professors there  
11 are thinking that isn't difficult. I think they're  
12 thinking it is the question of whether a reasonable person  
13 in the declarant's position would think it was likely that  
14 this was going to be used in testimony because if you look  
15 to the position of the police, you will suddenly find that  
16 tape recorded informant testimony of an ongoing  
17 conspiracy, while they're planning to rob the bank, and  
18 suddenly is kept out of court. And there is no reason.  
19 We wouldn't keep it out of court today. It would be --  
20 come in under the co-conspirator rule. So I think that  
21 they wrote these words in this brief thinking about it,  
22 and now if we're suddenly going to go and -- and open this  
23 all up to a whole bunch of other things, I'd be a little  
24 nervous about it too.

25          MR. FISHER: No, I'm sorry, Mr. -- Justice

1 Breyer. I may have misunderstood partially the suggestion  
2 -- the hypothetical that I was getting. I think you're  
3 correct that the traditional kind of co-conspirator  
4 statements under that kind of a situation would come in  
5 under either approach. What I understood the hypothetical  
6 to be was a situation where somebody, after a conspiracy  
7 is done, is doing --

8 QUESTION: It's your view that a co-conspirator  
9 statement is not testimonial then?

10 MR. FISHER: I think that's the ordinary course  
11 of events. Yes, Justice Ginsburg.

12 QUESTION: Well, why is that if it meets the  
13 test of a statement made to the police?

14 MR. FISHER: Well, if there's an undercover  
15 officer present, it meets -- it meets the -- the -- you  
16 know, the test of a statement made to the police. But  
17 then I think this is where the law professors have it  
18 right, and this is where I'm agreeing with Justice Breyer.

19 QUESTION: Not -- you're right. It's not  
20 automatically. Under the rule today, if it's a co-  
21 conspirator statement, right in the police station,  
22 because it's an ongoing coverup conspiracy, I guess it  
23 would come in. But I think under the new rule, if in fact  
24 everybody in that room knows that it is likely to be used  
25 as a substitute for testimonial use at trial, it would not

1     come in. I think that's the point of the change.

2             MR. FISHER: I think that's correct.

3             QUESTION: And -- and --

4             QUESTION: Well, how -- how about a wire tap?  
5     You've got a wire tap going, and you hear co-conspirators  
6     on -- on the other end of the wire. Is that testimonial  
7     or not?

8             MR. FISHER: I think that's the traditional kind  
9     of co-conspirator statement that is not covered by the  
10    testimonial approach. And -- and I think --

11            QUESTION: And under your approach it would come  
12    in without difficulty. It would not be testimonial. Is  
13    that what you're saying?

14            MR. FISHER: Without difficulty as to the  
15    Confrontation Clause, yes, Justice O'Connor.

16            And I think it's important when we look at these  
17    hypotheticals to compare what we have on the other side  
18    when you look at the Roberts approach. Under the Roberts  
19    approach, no matter how much -- you know, if somebody  
20    gives an out-of-court affidavit, if somebody speaks ex  
21    parte to a grand jury, even if a witness takes the stand  
22    in the middle of a criminal trial -- in -- in a criminal  
23    trial and puts blame directly on the defendant, and then,  
24    for example, were to die or suddenly go missing, under  
25    Roberts you have the situation where the trial judge



1 doesn't strike the testimony, doesn't disallow it, but  
2 looks to its reliability.

3           And the odd thing -- and this is what I was  
4 getting to earlier. Compared to what the State and the  
5 Solicitor General are proposing to you today, the odd  
6 thing is the more testimonial it is, the more it comes  
7 under the core concern of the Confrontation Clause that  
8 started in Raleigh's trial and has moved all the way  
9 forward -- the more testimonial it is, the more likely  
10 it's pass -- it is to pass the Roberts test.

11           QUESTION: Well, let's look at this very case  
12 and tell me whether the result is any different at the end  
13 of the day under Roberts versus your test.

14           MR. FISHER: I think the answer is absolutely  
15 not, Justice O'Connor. Under this Court's Wright opinion  
16 -- you know, the rationale for the lower court was  
17 interlocking confessions. Under this Court's Roberts --  
18 I'm sorry. Under this Court's opinion in Wright, it is  
19 only the inherent indicia of reliability surrounding a  
20 statement not other evidence at trial that a judge can  
21 look to. So --

22           QUESTION: And therefore? I mean, relate it to  
23 this case, if you would. Tell me whether the result would  
24 differ under your proposal and under Roberts in this very  
25 case. Why don't you focus on the statement and tell us

1 why it would or would not be different?

2 MR. FISHER: It doesn't matter in this case,  
3 Justice O'Connor, for two reasons.

4 First of all, because under Wright, you cannot  
5 look to the defendant's confession in order to assess the  
6 reliability of Sylvia's statement, and that's what the  
7 Washington Supreme Court did.

8 The second reason is even if you could look to  
9 that -- to the substantive evidence at trial, several  
10 other indicia showed that the statement here was  
11 unreliable. The -- the witness was drunk. She said she'd  
12 been in shock during the events. She gave two  
13 inconsistent statements within a 4-hour span. She was in  
14 police custody after being told that it depended what she  
15 told the officers as to whether or not she'd be allow to  
16 leave. So there are several, several reasons to believe  
17 that the statement here --

18 QUESTION: Is it --

19 MR. FISHER: -- you know, is excludable under  
20 both tests.

21 QUESTION: Why is it excludable under your test?

22 MR. FISHER: Well, under the testimonial  
23 approach, Mr. Chief Justice?

24 QUESTION: Yes.

25 MR. FISHER: For the simple reason that she was

1 in custody giving a statement, giving a confession or a --  
2 or a custodial examination to police officers knowing it  
3 was going to be used in the criminal investigation.  
4 That's the traditional -- it is -- is the most common --  
5 it is the core concern of the Confrontation Clause.

6 QUESTION: How --

7 MR. FISHER: It brings us all the way back to  
8 Raleigh's trial.

9 QUESTION: How about a statement like in Mancusi  
10 or one of those cases where the witness is given prior  
11 recorded testimony? There's been an opportunity to cross-  
12 examine. The witness is presently dead or unavailable.  
13 Does that come in under your system?

14 MR. FISHER: Yes, Mr. Chief Justice. Mancusi  
15 comes out exactly the same way, and here's why. And this  
16 shows why my test -- why the testimonial approach is  
17 actually quite narrow. All the testimonial approach says  
18 is the witness has to have had a chance to cross-examine  
19 the witness.

20 QUESTION: The defendant.

21 MR. FISHER: If, when it comes time for trial --  
22 I'm sorry?

23 QUESTION: The defendant.

24 MR. FISHER: I'm sorry. The defendant has to  
25 have had a chance to cross-examine the witness. If trial

1 rolls around and the witness is unavailable, through no  
2 fault of the parties, and there's been adequate cross-  
3 examination, as in Mancusi and actually as in Roberts  
4 itself -- and -- and I actually --

5 QUESTION: Cross-examination by the defendant,  
6 not by somebody else.

7 MR. FISHER: Right. The statement needs to be  
8 given in the defendant's presence with the defendant  
9 himself having the opportunity to cross-examine.

10 QUESTION: Would -- would your approach overrule  
11 Inadi?

12 MR. FISHER: No, I don't believe it does.

13 QUESTION: So you wouldn't have to say -- show  
14 that a particular declarant was unavailable.

15 MR. FISHER: You would have to show that a  
16 particular declarant is unavailable --

17 QUESTION: Well, then --

18 MR. FISHER: -- if it were a testimonial  
19 statement. What -- what --

20 QUESTION: Well, then how about a spontaneous  
21 declaration?

22 MR. FISHER: Well, that's the kind of a thing  
23 that's traditional hearsay. It's outside of the scope of  
24 the phrase witness against. It's outside of the scope of  
25 the testimonial approach.

1           QUESTION:     So it would come in under your  
2 system?

3           MR. FISHER:    An excited utterance comes out the  
4 same way under --

5           QUESTION:    Without -- without having to show  
6 unavailability.

7           MR. FISHER:    Right.    It's just purely a hearsay  
8 question, Justice -- Chief Justice Rehnquist.

9           QUESTION:    Because you say that's outside of the  
10 Confrontation Clause entirely, not lumping all of hearsay.  
11 I thought your whole point is we don't want to lump all of  
12 hearsay under the Confrontation Clause.

13          MR. FISHER:    That's exactly right.    I'm sorry,  
14 Justice --

15          QUESTION:    But there's one aspect of this case  
16 that before your time is up I hope you can enlighten me  
17 on.    The reason that this witness is unavailable is that  
18 the defendant has exercised his right to prevent his wife  
19 from testifying against him.    Is that correct?

20          MR. FISHER:    It's -- it's close, Justice  
21 Ginsburg.    Washington law renders as a default rule that a  
22 spouse is unavailable to testify against another spouse.  
23 Mr. Crawford here declined to waive that privilege.

24          QUESTION:    All right.    But because he could have  
25 not asserted that or not waived it, why doesn't that carry

1 over also? Why doesn't her immunity -- his control of  
2 whether she can speak -- why doesn't that control as well  
3 the use of the substitute for her testimony? If -- if  
4 there is such a privilege, why doesn't it cover both her  
5 actual testimony in court and the substitute for that  
6 testimony?

7 MR. FISHER: Well, I mean, I think you're asking  
8 me as a Federal issue. As -- you know, as a State law  
9 issue, Washington State law has decided that the second --  
10 that the out-of-court statement can come in. As a  
11 Federal --

12 QUESTION: I just don't understand the logic of  
13 it.

14 MR. FISHER: As a -- well, the reason -- you  
15 don't understand the logic of the State law rule?

16 QUESTION: The State -- yes, to say that he can  
17 keep her off the stand, but he can't prevent a substitute  
18 for -- for that statement --

19 MR. FISHER: I agree, Justice Ginsburg. It is  
20 -- it is a somewhat odd State law rule. There's a --  
21 there's a case called State v. Burden that the Washington  
22 Supreme Court held that the marital privilege applies just  
23 to the -- just to actually facing your spouse on the stand  
24 in the course of a trial because it -- you know, it helps  
25 your spouse avoid the possibility of perjury and things

1 like that. And it said it doesn't apply to out-of-court  
2 statements. I think you could make a very strong argument  
3 that it ought to apply to both, but as a State law matter,  
4 the Washington Supreme Court has said only in-court  
5 testimony.

6 Unless the Court has any further questions, I'll  
7 reserve the remainder of my time.

8 QUESTION: Very well, Mr. Fisher.

9 Mr. Dreeben, we'll hear from you.

10 ORAL ARGUMENT OF MICHAEL R. DREEBEN

11 ON BEHALF OF THE UNITED STATES, AS AMICUS CURIAE

12 MR. DREEBEN: Mr. Chief Justice, and may it  
13 please the Court:

14 If the Court reaches the second question  
15 presented in this case, the United States submits that the  
16 Confrontation Clause should be properly construed to be  
17 limited to testimonial statements and their functional  
18 equivalent, but it should not be an absolute bar against  
19 the admissibility of that kind of statement.

20 QUESTION: Well, you kind of want it both ways.  
21 It's kind of an odd position. Has the Government taken a  
22 different position on the testimonial aspect in the past?

23 MR. DREEBEN: No, Justice O'Connor. We took the  
24 same position with respect to the limitation of the clause  
25 to testimonial statements in *White v. Illinois*, and this

1 Court rejected that submission by a 7 to 2 vote. We  
2 renewed it in this case in light of the Court's grant of  
3 certiorari on the second question presented in which the  
4 petitioner's position is that this Court has too broadly  
5 construed the Confrontation Clause, but within its  
6 compass, it should be given an absolute prohibition.

7 QUESTION: I don't -- I don't understand. You  
8 -- you say it's limited to testimonial statements. The --  
9 the clause is limited to testimonial statements. However,  
10 it is not absolute.

11 MR. DREEBEN: That's correct.

12 QUESTION: Are there any other provisions that  
13 are in the Bill of Rights that are not absolute and can be  
14 overcome by proof that the -- that the overall purpose of  
15 the truth-serving function is -- is achieved? For  
16 example, the right to jury trial. Do -- do we approach  
17 that by saying, oh, in a really complicated case where a  
18 jury would impede rather than facilitate the finding of  
19 truth like, you know, a Sherman Act case, yes, it says  
20 there's -- you're -- you're entitled to trial by jury, but  
21 the whole purpose of it is to achieve truth, and where  
22 that purpose wouldn't be served, let's forget about the  
23 jury? We don't say that, do we?

24 MR. DREEBEN: No, Justice Scalia, but --

25 QUESTION: Then why do we say it about the



1 Confrontation Clause? And that -- that's essentially the  
2 Government's argument, that --

3 MR. DREEBEN: The Court has said it about the  
4 Confrontation Clause --

5 QUESTION: I know it has.

6 MR. DREEBEN: -- in a variety of contexts.

7 QUESTION: And -- and the issue here is whether  
8 we -- we should retreat from those statements.

9 MR. DREEBEN: Well, starting from the overall  
10 structure of the Sixth Amendment, the Court has construed  
11 many of the rights in the Sixth Amendment not to be  
12 absolute in certain contexts. The jury trial right does  
13 not extend to all criminal prosecutions as the language of  
14 the Constitution would provide. It does not -- the right  
15 to counsel does not extend to every criminal case in which  
16 a -- arguably the text would require. The -- the  
17 Compulsory Process Clause has been held --

18 QUESTION: That -- that's just a matter of  
19 limiting the scope. The right to counsel. Do you have  
20 the right to counsel for, you know, at -- at every moment  
21 during -- during recesses in the trial and so forth?  
22 That's -- that's just a matter of the scope of it, not a  
23 matter of saying, yes, this is within the scope. This is  
24 testimonial, but we nonetheless will not follow the  
25 command of -- of the constitutional provision that the

1 accused is entitled to be confronted with the witnesses  
2 against him

3 MR. DREEBEN: What this Court has said about the  
4 Confrontation Clause is that it incorporated a preexisting  
5 common law right that had common law exceptions with it,  
6 and those common law exceptions were capable of growing  
7 and being developed along the lines of analogous  
8 principles.

9 QUESTION: Growing and being developed so that  
10 the -- the guarantee of confrontation is just a guarantee  
11 that in the future we'll -- we'll leave it there if we  
12 think it should be there.

13 MR. DREEBEN: It's not an absolute guarantee.  
14 What helps to, I think, explain that is to look at what  
15 confrontation involves. It involves having a witness  
16 who's under oath, who is subject to cross-examination,  
17 who's demeanor can be observed by the jury, and who is  
18 brought face to face with the accused. Now, this Court  
19 has held in a number of cases that all or some of those  
20 components of confrontation may be dispensed with when, in  
21 the necessities of the case and in order to obtain witness  
22 -- witnesses who will be able to testify at the trial, it  
23 is required to do so.

24 For example, in the instance of former  
25 testimony, you have oath, cross -- and cross-examination,

1 and you have at one point the defendant face to face with  
2 the witness, but you do not have the important  
3 confrontation right of the jury having the opportunity to  
4 observe the demeanor of the witness. And the Court held  
5 that that is required because the necessities of the case  
6 require overcoming what would otherwise be a confrontation  
7 right.

8 Similarly in Maryland v. Craig, the Court held  
9 that the literal face-to-face right to confront the  
10 witness may be overcome by the necessities of the case.

11 QUESTION: But then maybe your position is not  
12 different from -- from theirs in this respect, if you take  
13 the law professors'. If you say, as you do in your brief,  
14 that it allows testimonial evidence in where the  
15 circumstances are such that they serve the same underlying  
16 purpose as the Confrontation Clause, then all you're  
17 saying is the same thing that they say here. Will the  
18 accused have had an adequate opportunity to confront the  
19 witness? In other words, like a -- a prior trial. Is  
20 that all you're saying? Because if that's so --

21 MR. DREEBEN: No. It's definitely not what  
22 we're saying, Justice Breyer.

23 QUESTION: No, it certainly isn't. You're --  
24 you're defining the underlying purpose much more broadly  
25 than the law professors.

1           MR. DREEBEN: We define the underlying purpose  
2 of the Confrontation Clause --

3           QUESTION: To achieve the truth. And if there  
4 are other indicia --

5           QUESTION: Oh, achieve the truth.

6           QUESTION: Yes.

7           MR. DREEBEN: To serve the truth-seeking mission  
8 of the --

9           QUESTION: Sir Walter Raleigh -- if they came in  
10 -- Sir Walter Raleigh -- in fact, it is shown that all the  
11 -- the statements made out of court against Sir Walter  
12 Raleigh were made in front of 12 bishops, and at that time  
13 that was a very, very good security that this was  
14 completely true. Twelve bishops who saw the thing and --  
15 and they, you know, go -- they say, absolutely accurate.  
16 In -- in your opinion, that would then come in in Sir  
17 Walter Raleigh's own case.

18           MR. DREEBEN: I doubt seriously that -- that Sir  
19 Walter Raleigh's case would come out differently under our  
20 approach. What we are talking about --

21           QUESTION: In other words, Sir Walter Raleigh --  
22 it came out that they did introduce this thing. So you're  
23 saying if we take -- if we take --

24           MR. DREEBEN: The witnesses were available,  
25 Justice Breyer, in Walter Raleigh's case. And our

1 position on the availability of witnesses is that when  
2 they are available, they should be brought in.

3 QUESTION: You would overrule Inadi then?

4 MR. DREEBEN: Oh, definitely not, Mr. Chief  
5 Justice. Our view of Inadi is that the statements of co-  
6 conspirators made to each other out of court in connection  
7 with the -- with the conspiracy are almost invariably non-  
8 testimonial statements. There may be a few rare instances  
9 in which the co-conspirators are continuing the conspiracy  
10 and speaking to law enforcement, and in that context, in  
11 the unlikely event that the United States submitted that  
12 those statements were coming in for the truth of the  
13 matter asserted and not because they were false, then  
14 perhaps there would be some issue about our approach. But  
15 in the vast majority of cases --

16 QUESTION: Well, what about in this case?  
17 There's a co-conspirator's statement.

18 MR. DREEBEN: There was no suggestion in the  
19 lower courts that these two individuals were attempting to  
20 further the conspiracy or that there was a conspiracy  
21 going on at the time of the statements.

22 QUESTION: Well, I was going to say there was no  
23 conspiracy found, was there?

24 MR. DREEBEN: That's -- these -- these  
25 statements were admitted, Justice Souter and Justice

1 O' Connor, as statements against penal interest. And the  
2 basis for the State court decision in letting them in --

3 QUESTION: And not as a so-called interlocking  
4 -- well, it was an interlocking --

5 MR. DREEBEN: Yes. The -- the Confrontation  
6 Clause --

7 QUESTION: -- type of confession or something.

8 MR. DREEBEN: The hearsay basis was statement  
9 against penal interests. The confrontation argument that  
10 was accepted by the Washington Supreme Court was that the  
11 confession of Sylvia Crawford interlocked, which meant  
12 that it overlapped and paralleled the confession of  
13 Michael Crawford --

14 QUESTION: But the Government doesn't endorse  
15 that position, as I understand it.

16 MR. DREEBEN: We do not endorse that position,  
17 Justice Stevens.

18 QUESTION: What is your position as to what  
19 should have happened with this statement?

20 MR. DREEBEN: This statement should have been  
21 excluded, Justice Kennedy. It -- first of all, we think  
22 that under --

23 QUESTION: Under Roberts --

24 MR. DREEBEN: Under Roberts --

25 QUESTION: -- as well as --

1           MR. DREEBEN: That's correct.

2           QUESTION: -- this theory.

3           MR. DREEBEN: Under Roberts, as explicated in  
4 Idaho v. Wright, corroborating evidence that serves to  
5 show the reliability of a particular statement is not an  
6 acceptable means of vindicating its admission under the  
7 Confrontation Clause.

8           I can think of only two possible reasons why the  
9 confession of the defendant when it interlocks with the  
10 statement made out of court might be treated differently,  
11 and neither of those arguments seems to me to be valid.

12           One would be if, as a factual matter, the  
13 defendant's own statements showed that the out-of-court  
14 statement was reliable to a degree not found with any  
15 other corroborating evidence, and I don't think that  
16 that's --

17           QUESTION: Well, couldn't he be impeached with  
18 his out-of-court statement?

19           MR. DREEBEN: He certainly could and was  
20 impeached with his out-of-court statements.

21           And this brings me to the second reason, Chief  
22 Justice Rehnquist. The defendant can attack the  
23 reliability of his own out-of-court confession. He's not  
24 bound by some notion of estoppel that because he said it,  
25 therefore it must be true. And the record in this case

1 reflects that Michael Crawford attempted to present a  
2 self-defense at trial that was substantially more robust  
3 than the statements that he made at the time. And under  
4 Crane v. Kentucky, this Court has held that a defendant  
5 can attack the reliability of his own statements. So even  
6 if the statements did directly interlock, in the sense  
7 that the defendant's statements matched the out-of-court  
8 declarant's statements, that would not render them per se  
9 reliable for confrontation purposes.

10 QUESTION: But they didn't match, and that's the  
11 oddest thing. On the key thing, the most important to the  
12 defendant, he suggested that the -- the person he  
13 assaulted had reached for something before the assault.  
14 And her testimony -- or her statement is that it was only  
15 after the defendant assaulted the victim that the victim  
16 reached in -- in his pocket. I don't see how those could  
17 be said to interlock. They seem to clash with each other  
18 on the key point in the case.

19 MR. DREEBEN: And -- and the State made that  
20 point in its rebuttal argument. So there -- there is  
21 certainly ample basis for saying that under existing law  
22 the statements do not come in.

23 The question for the Court is should the Court  
24 revisit its Ohio v. Roberts jurisprudence because of the  
25 concerns about whether Ohio v. Roberts was



1 constitutionally accurate.

2 QUESTION: Well, concerns by whom?

3 MR. DREEBEN: Concerns that I think are -- are  
4 raised by reading the Confrontation Clause as an original  
5 matter before this Court's jurisprudence made all hearsay  
6 subject to the Confrontation Clause.

7 Now, we do not submit that there is a practical  
8 need for the Court to revise its jurisprudence. The  
9 United States has not encountered a significantly  
10 difficult burden in admitting evidence under the hearsay  
11 rules under the Roberts approach as -- as it has now been  
12 articulated. And we also acknowledge that the Court would  
13 have to develop a jurisprudence to decide what testimonial  
14 statements means, if the Court adopts the testimonial  
15 approach.

16 What we do submit is that the way in which the  
17 word witness against is used in the Sixth Amendment,  
18 particularly when read in light of the way the word  
19 witness is used in the Fifth Amendment and also in the  
20 Sixth Amendment's Compulsory Process Clause, that the word  
21 witness was meant to refer to people who were giving  
22 evidence for purposes of a case, not to people who simply  
23 happen to observe facts in the world and made statements  
24 about them and that are now being used as hearsay in a  
25 criminal trial.

1           QUESTION: Do you think that developing a  
2 jurisprudence to decide what constitutes testimonial  
3 statements is any more difficult than developing a  
4 jurisprudence to determine what are sufficient indicia of  
5 reliability to overcome the text of the Confrontation  
6 Clause?

7           MR. DREEBEN: No, Justice Scalia. I think they  
8 both involve certain challenges.

9           What exists today is a body of law that has  
10 examined the indicia of reliability question, and with  
11 respect to certain statements in the testimonial category,  
12 such as victim statements to the police in a condition  
13 that might be likened to an excited utterance or sometimes  
14 in statements in aid of medical diagnosis or treatment,  
15 and also true statements against penal interests such as  
16 guilty pleas by a defendant that does not implicate the  
17 defendant on trial but simply acknowledges criminal  
18 conduct, the lower courts have concluded that those  
19 statements do have sufficient indicia of reliability to be  
20 admitted.

21           And our concern is that if this Court were to  
22 adopt the testimonial approach, that it not do so in a way  
23 that would foreclose lower courts from taking advantage of  
24 evidence that is reliable, unavailable from another  
25 source, important in criminal prosecutions and well-

1 grounded in the theory of the Confrontation Clause as a  
2 vehicle for achieving truth in criminal trials.

3 QUESTION: Why unavailable from another source?  
4 Let's say you have this -- this self-incriminating  
5 confession, but the person is available. You could put  
6 him on the stand to test whether that confession that he  
7 made was true or false. Why -- where do you -- where do  
8 you pull this requirement that -- that he be unavailable  
9 from? If indeed it doesn't violate the Confrontation  
10 Clause because it's sufficiently reliable, why does he  
11 have to be unavailable?

12 MR. DREEBEN: Our position is that with respect  
13 to testimonial statements, the preference is to get live,  
14 in-court testimony with all of the benefits that the  
15 Confrontation Clause envisioned for testimony.

16 But sometimes a defendant who pleads guilty is  
17 still awaiting sentencing, and as this Court held in  
18 Mitchell v. United States, the defendant still has a Fifth  
19 Amendment privilege and can refuse to testify on grounds  
20 of privilege. Other defendants who plead guilty in their  
21 own cases will sometimes refuse to testify even on pain of  
22 contempt, and at that point the choice for the judicial  
23 system is either admitting that's -- that evidence in the  
24 criminal trial or excluding it altogether and risking a  
25 manifest failure of justice because there isn't the

1 evidence.

2           And I think it's important to distinguish  
3 between those kinds of statements, the excited utterances,  
4 911 calls, true statements against penal interests that  
5 implicate only one --

6           QUESTION: Thank you, Mr. Dreeben.

7           MR. DREEBEN: Thank you --

8           QUESTION: Mr. Sherman, we'll hear from you.

9           ORAL ARGUMENT OF STEVEN C. SHERMAN

10           ON BEHALF OF THE RESPONDENT

11           MR. SHERMAN: Mr. Chief Justice, may it please  
12 the Court:

13           The State of Washington is asking the Court to  
14 -- I guess, to simply say -- excuse me -- retain the  
15 reliability framework of Ohio v. Roberts. Excuse me.

16           The -- the primary part of Ohio v. Roberts  
17 that's important to the State is the reliability factor,  
18 and the reason that -- that that's important is because  
19 essentially Ohio v. Roberts recognizes that there are  
20 other rights and interests at stake in a criminal trial  
21 other than the defendant's confrontation rights. For  
22 example, it recognizes that society as a whole has an  
23 interest in seeing that criminal activity is properly  
24 addressed.

25           QUESTION: We could have written it that way, I

1 suppose. I mean, the Confrontation Clause instead of  
2 saying in all criminal prosecutions, the accused shall  
3 enjoy the right to be confronted with the witnesses  
4 against him, we could have added, comma, unless there are  
5 other considerations.

6 MR. SHERMAN: That's correct --

7 QUESTION: It doesn't say that. It says in all  
8 criminal prosecutions, the accused shall enjoy the right  
9 to be confronted with the witnesses against him

10 MR. SHERMAN: That -- that's correct, Your  
11 Honor. And when I --

12 QUESTION: Where -- I mean, I don't understand  
13 where we derive this permissibility of not allowing him to  
14 confront the witnesses against him so long as we come to  
15 the judgment that the evidence is inherently reliable.

16 MR. SHERMAN: Well, Your Honor, I guess to  
17 answer that question properly, I -- I'll speak to what I  
18 at least read and heard actually petitioner had in one of  
19 the amici briefs concerning the -- the history surrounding  
20 the Confrontation Clause and how we got to have the right  
21 to confrontation. And essentially what I -- I gleaned  
22 from that is there, at a point in time, was not a right to  
23 confrontation, and over the course of centuries, the right  
24 developed. But it appears to me that it developed based  
25 -- developed based upon really what public policy was,

1 that the -- the society would not tolerate the inequities  
2 of the systems that were in place that were denying  
3 confrontation and felt that it was fair that this concept  
4 of confrontation take place.

5 So when the -- the Framers of the Constitution  
6 put that right into the Bill of Rights, it was based upon,  
7 in my view, their perception that the public policy that  
8 their society at that time wanted to recognize and make  
9 everyone know that they're retaining that to be their  
10 right.

11 I don't think, though, that it would rationally  
12 follow that -- that they intended that everything that  
13 they said be written in stone and --

14 QUESTION: No. That may be --

15 QUESTION: It could be amended. . It could be  
16 amended. I mean, you know, there's an amendment provision  
17 in the Constitution, but -- but until it's amended, it --  
18 it does seem to say that in all criminal prosecutions, you  
19 -- you have the right to be confronted.

20 MR. SHERMAN: And I would agree. And in fact, I  
21 -- I think one of the points that -- that I -- I want to  
22 make is that a literal interpretation of the Confrontation  
23 Clause bars the petitioner's proposal and the proposal of  
24 amici and the proposal of the State to maintain the  
25 Roberts framework.

1           QUESTION: Why?

2           MR. SHERMAN: If you take literally the  
3 Confrontation Clause, I believe that it --

4           QUESTION: It says witnesses, confront  
5 witnesses. A witness is a person who testifies and I  
6 don't see any literal problem there.

7           MR. SHERMAN: I -- I believe that everyone that  
8 comes and sits on the witness stand and says anything that  
9 is going to be used --

10          QUESTION: A typical case that -- where it  
11 should come in, but I guess under their proposal it would,  
12 and under the status quo it probably wouldn't. We have a  
13 case of drug conspiracy. During the conspiracy, well  
14 before anybody is caught, they discover, through whatever  
15 means, that there's a cup on the mantel, a pewter cup,  
16 that's filled with drugs. Who does it belong to? Does it  
17 belong to the defendant? We have a witness who overheard  
18 the defendant's wife shout out from the kitchen, Dink,  
19 have you got your pewter cup? It's on the mantel. All  
20 right? Does that come in or not come in? Whether it does  
21 or not, it's not a Confrontation Clause question.

22          You say that we should make that into a  
23 constitutional question. We should have all the  
24 constitutional courts going into it or not?

25          MR. SHERMAN: Well, I -- I respectfully disagree

1 with Your Honor --

2 QUESTION: Why?

3 MR. SHERMAN: -- that it's not a Confrontation  
4 Clause question.

5 QUESTION: All right. You say it is. In other  
6 words, every time that a -- that a -- a trial in any one  
7 of 50 million trials in the United States decides to admit  
8 some hearsay, in principle, you go into habeas and the  
9 Federal judge has to decide whether that hearsay is or is  
10 not, quote, reliable, end quote, for purposes of the  
11 Confrontation Clause. That's the present system

12 So you're the prosecuting attorney. Correct?

13 MR. SHERMAN: Correct.

14 QUESTION: You have experience in this area.  
15 Tell me if this is right.

16 What I would expect to have happen is that all  
17 these habeas courts, when they get real hearsay, nothing  
18 to do with the trial, you know, real hearsay like I just  
19 talked to you about, they'll find it reliable if the -- if  
20 the -- if the State court admitted it.

21 Then, however, they get to this kind of a case  
22 where the police were actually there writing out  
23 affidavits which they're going to introduce, and there  
24 what they'll say is, no, it's not reliable.

25 So in order to make the Roberts system work,



1 what will happen is you have to have two ideas of  
2 reliability. Now, has that been a problem or am I just  
3 making that up? Because what they're saying is the  
4 Roberts thing makes no sense. If you take it seriously,  
5 it keeps out stuff that should come in and it lets in  
6 stuff that should stay out. And if you don't take it  
7 seriously, which is what must have happened, it just  
8 produces a mess.

9 MR. SHERMAN: To address the first part of your  
10 question, Your Honor, I believe what I was -- was  
11 attempting to say was that the Confrontation Clause,  
12 strictly interpreted, it is going to not let any hearsay  
13 of any kind in. Yes, that is my position.

14 QUESTION: You mean the -- the only kind of  
15 evidence that can become -- come in at a criminal trial is  
16 from a witness who's physically present in the courtroom.

17 MR. SHERMAN: I believe that that would be a  
18 strict interpretation of the Confrontation Clause, Your  
19 Honor.

20 To answer the second part of -- of your  
21 question --

22 QUESTION: From your own experience. I'm quite  
23 interested actually, if -- if you followed what I was  
24 saying.

25 MR. SHERMAN: Well, actually --

1           QUESTION: You work in this area and I'd like  
2 you --

3           MR. SHERMAN: I do and -- and I can say from my  
4 experience, I have had very few problems arise with  
5 Confrontation Clause principles under the Roberts  
6 framework. And -- and as a matter of fact, in 12 years of  
7 practice, this is the first time I've ever seen -- I've  
8 seen an interlocking confession come up.

9           But I think that the reason that --

10          QUESTION: Do you think this was interlocking?

11          MR. SHERMAN: I do, Your Honor.

12          QUESTION: Well, they certainly differed on a  
13 key element. I'm not sure it would come in under Roberts.

14          MR. SHERMAN: And, Your Honor, I -- I believe  
15 that the court of appeals also, at least the majority of  
16 that court, believed that there was a difference between  
17 what the -- Mr. and Mrs. Crawford were saying, but the --  
18 our supreme court looked more closely at the statements  
19 and observed that in fact they were saying the same thing.

20          QUESTION: Wasn't the whole point of admitting  
21 it that -- that she had, in effect, said there -- there  
22 was no weapon, the victim was not taking a weapon out, and  
23 that was on the basis of her statement the prosecutor made  
24 exactly that argument? Wasn't that why it came in?

25          MR. SHERMAN: No, not specifically that -- that

1 she said that he wasn't taking one out because clearly she  
2 didn't say that. And if in fact that was the --

3 QUESTION: Well, her description did not include  
4 one. And -- and wasn't that the basis of the prosecutor's  
5 argument, that this wasn't self-defense?

6 MR. SHERMAN: In part, and -- and -- but his  
7 description did not include a -- a weapon either. Both of  
8 their descriptions --

9 QUESTION: No, but the implication of his  
10 description was that he reasonably thought something was  
11 coming out and he then in one of his statements said, you  
12 know, it was him or me. And the reason her statement was  
13 admitted was that it was not congruent with that, that  
14 there was no indication in her statement that a -- that a  
15 -- a weapon was being withdrawn. So at the -- I -- isn't  
16 -- isn't that the reason that the statement, for the  
17 purpose it was admitted, was not interlocking?

18 MR. SHERMAN: I believe that that was that  
19 prosecutor's interpretation of -- of that evidence, and  
20 that is in fact what he argued at trial. I think he was  
21 incorrect. I think that if you look at the statement, it  
22 very -- Sylvia Crawford very clearly says that -- that the  
23 victim appeared that he was reaching for something in his  
24 pocket.

25 QUESTION: After the assault.

1           MR. SHERMAN: No, Your Honor, I respectfully --

2           QUESTION: Why don't we look at this since the  
3 testimony is there? I read it that way.

4           MR. SHERMAN: And -- and, Your Honor, as I  
5 indicated, so did apparently my deputy prosecutor and so  
6 did our court of appeals. Our -- our supreme court read  
7 it as -- as I am

8           QUESTION: But one of the worrisome things about  
9 treating all these things as just hearsay reliability. I  
10 don't understand how this testimony comes in. When the  
11 woman testified -- when the woman said in her statement I  
12 was drunk, I closed my eyes, how could that possibly be  
13 reliable?

14          MR. SHERMAN: Well, she did say those things,  
15 but she also said things that indicated that that was not  
16 quite -- quite correct. She also said, well, I saw  
17 certain things going. I saw Michael stab the victim. I  
18 saw the victim doing these --

19          QUESTION: But she said at that time she had  
20 been drinking and she -- that happened before. I just  
21 don't understand this reliability test that allows  
22 something to come in that doesn't coincide with what the  
23 defendant himself said, and that the declarant is saying,  
24 oh, I was scared. I closed my eyes.

25          MR. SHERMAN: Well, and -- and I understand Your

1 Honor's question and -- and position. One of the things  
2 that obviously you can't get out of the flat piece of  
3 paper is -- is what her true condition was, and that is a  
4 problem. But I don't recall her saying specifically that  
5 she was drunk at the -- at the time, merely that she had  
6 been drinking and she indicated that Michael had been  
7 drinking as well. But I don't know that --

8 QUESTION: She did say that she shut her eyes  
9 and didn't really watch. Those were her words. I shut my  
10 eyes and didn't really watch. How could such testimony be  
11 reliable?

12 MR. SHERMAN: Well, because she at the same --  
13 in the same breath was able to accurately describe the  
14 same events that Michael had described in his statement.

15 QUESTION: But that's unreliable. I mean, you  
16 have a witness who says two -- two opposite things. I saw  
17 this, and on the other hand, I shut my eyes.

18 MR. SHERMAN: And -- and I understand Your  
19 Honor's position on that. I -- I just respectfully  
20 disagree. I don't think that those factors by themselves  
21 necessarily render it to be unreliable.

22 QUESTION: May I ask --

23 QUESTION: But suppose we said it was  
24 unreliable. Let's suppose we held that. And in this case  
25 it goes out. All right. Now, so we've had a pretty tough

1 standard in your view of what counts as reliable and not.  
2 It's been a pretty tough standard. It has to be really  
3 reliable.

4 MR. SHERMAN: Right.

5 QUESTION: Okay. Now, what's going to happen  
6 when the courts, the Federal courts or the State courts,  
7 apply that tough standard of reliability to hearsay  
8 statements that have to do with the -- involved in the  
9 commissions of the crime itself? In other words, not --  
10 not when they're in the police station giving confessions,  
11 but like the example I gave you with the cup.

12 Now, suppose we apply the tough standard of  
13 reliability to those. Would that make a difference?  
14 Would they then start to be kept out because they violate  
15 the Confrontation Clause?

16 MR. SHERMAN: I think at -- at a certain point  
17 the tougher you make the standards for hearsay to come in,  
18 the fewer pieces of hearsay that are going to come in. I  
19 don't think that that's necessary in this case because  
20 what -- if -- if we're simply talking about interlocking  
21 confessions and whether such a thing exists and if they --  
22 as the question presents, if there will ever be such a  
23 thing as a confession that sufficiently interlocks so that  
24 it will be sufficiently reliable to be admitted or not,  
25 the Court could simply say there's just never going to be

1 a situation that comes before us where they will interlock  
2 sufficiently and -- and be admissible -- admitted.

3 QUESTION: Mr. Sherman, on this question of  
4 interlock, I know we've referred to interlock in Bruton  
5 cases where they've got joint trials of the defendants and  
6 that sort of thing. What is the strongest case you have  
7 for the proposition that absent a joint trial, the  
8 interlocking nature of a confession -- or a statement is  
9 critical?

10 MR. SHERMAN: I think it would be this case  
11 that's before the Court today, Your Honor.

12 QUESTION: I see. So none of our precedents  
13 support that proposition.

14 MR. SHERMAN: I think actually the only time  
15 this Court has addressed the interlock theory on its  
16 merits --

17 QUESTION: Is in the Bruton-type --

18 MR. SHERMAN: -- was in Lee v. Illinois when it  
19 was simply the issue of the interlocking confession and  
20 there wasn't any side issues of co-defendants in the same  
21 trial or any of those other issues.

22 QUESTION: But -- but we have said in other  
23 cases that the reliability, which -- which Roberts insists  
24 upon, has to be established from the statement itself and  
25 not from other statements. Right?

1           QUESTION:     Which would seem to exclude  
2 interlock --

3           QUESTION:     Interlocking confessions. That's the  
4 problem I have with it. We -- we haven't had such a case,  
5 but the standard that we expressed in Roberts would seem  
6 to exclude interlocking confessions as establishing  
7 reliability.

8           MR. SHERMAN:   And if Roberts were the only case  
9 that -- that the Court were to look at, that I think would  
10 -- I would agree that would be the case. But in Lee v.  
11 Illinois, I think this Court very clearly accepted --  
12 interpreted the concept of interlocking confessions, and  
13 thereafter in Cruz v. New York. But in Lee, the Court  
14 actually set forth a test to be used -- at least in  
15 Earnest v. New Mexico, the Court called it a test -- but a  
16 test to be used in determining when an interlocking  
17 confession can be admitted. Now, that followed Roberts.

18          QUESTION:     But wasn't that a joint trial? I  
19 can't remember for sure.

20          MR. SHERMAN:   I don't believe that Lee was a  
21 joint trial, Your Honor. In fact, I think in -- Lee was a  
22 case in which the Court -- this Court determined that the  
23 confessions did not sufficiently interlock to make them  
24 reliable to be admitted, and also there was --

25          QUESTION:     I thought Lee involved co-



1 defendants.

2 MR. SHERMAN: Well, I -- I believe there -- I  
3 don't -- I don't recall there being co-defendants at  
4 trial, and I may be mistaken about that. My recollection  
5 is that the -- the two holdings of Lee were, one, that --  
6 that they couldn't be corroborated by other evidence; and  
7 two, that the confessions simply weren't sufficiently  
8 interlocking. And I may be mistaken. I just -- I'm not  
9 recalling there being co-defendants tried at the same time  
10 in that particular case.

11 But my point being that Lee, of course, came  
12 after Roberts and, in my mind, established a third way of  
13 -- third form of -- of determining reliability that was  
14 separate from what was in Roberts. Roberts had your  
15 indicia of reliability and your well-founded hearsay  
16 exception, and then in Lee it's my perception that this  
17 Court formed a third test, that being the interlocking  
18 confession rule test, and that --

19 QUESTION: But the bottom line was that it --  
20 that that test was not met in the case, that there wasn't  
21 a sufficient interlock.

22 MR. SHERMAN: That -- that wasn't met in the Lee  
23 case, and that was the decision of the court of appeals in  
24 this case. And it was our supreme court that reversed  
25 that and said, no, we believe that they did sufficiently

1 interlock.

2 QUESTION: I thought that what we said in Lee  
3 was simply that assuming that an interlocking confession  
4 exception exists, this didn't meet it. I -- I don't know  
5 that we -- that -- that we spoke as though there was such  
6 an exception. We just said assuming it does exist, the  
7 facts here don't -- don't meet it. Isn't that what the  
8 case held?

9 MR. SHERMAN: I think perhaps. I -- I know that  
10 in the very least the Washington State Supreme Court  
11 interpreted it to be a test, and I know that in Earnest v.  
12 New Mexico, this Court called it a test for determining  
13 when interlocking confessions can come in. So taking it  
14 from both of those cases, the Washington Supreme Court in  
15 the very least determined that it was a test, and in fact,  
16 in I believe it's State v. Rice said we adopt this new  
17 test from -- from Lee v. Illinois as to interlocking  
18 confessions.

19 QUESTION: I thought actually that there were  
20 five members of the Court in Lee v. Illinois to say that  
21 confessions of a co-defendant are presumptively unreliable  
22 for purposes of Roberts.

23 MR. SHERMAN: Correct, Your Honor.

24 QUESTION: And that even if there was a so-  
25 called interlocking confession exception, it wasn't met in

1 that case.

2 MR. SHERMAN: And -- and I can understand that  
3 interpretation. I -- it just -- I'm -- I'm certain that  
4 it's not the interpretation that the Washington State  
5 Supreme Court made, and in fact, most courts --

6 QUESTION: Well, maybe they better re-read it.

7 (Laughter.)

8 MR. SHERMAN: That's entirely possible, Your  
9 Honor, and I'm certain that after today, they will -- they  
10 will do so.

11 (Laughter.)

12 MR. SHERMAN: The point -- but the point being  
13 that if there is not an interlocking confession rule, then  
14 there is not. If this Court says we -- there will never  
15 be a situation where one co-defendant's confession,  
16 regardless of how identical it is to the defendant's, will  
17 ever be reliable enough, then so be it. Then we'll have  
18 that test. It will be straightforward and can be applied  
19 accordingly.

20 That, in all candor, is the lesser of the  
21 State's concerns in this coming -- in this case coming  
22 before this Court today, the primary concern of the State  
23 actually being that this Court retain the reliability  
24 standard of -- of State -- of Ohio v. Roberts for a  
25 variety of reasons that relate essentially to, as I

1 indicated earlier, this underlying recognition that there  
2 are simply other interests at stake other than the  
3 defendant's that really need to be addressed.

4 QUESTION: Why should the Court retain it when  
5 this very case gives us an example of how arbitrary that  
6 determination is made whether it's reliable? When a court  
7 can call something -- a witness -- a declarant in the  
8 shape this one was and say that's reliable, shouldn't that  
9 make us worry about using that test?

10 MR. SHERMAN: Well, I have to say that I don't  
11 think this Court or any court can make a test that is not  
12 going to have some problems, and in fact, both the  
13 petitioner and the learned professors admit that their  
14 system have -- has problems too. Any system is going to  
15 have problems. The bugs are going to have to be worked  
16 out. It'll take years of -- of cases, and the -- and the  
17 reality is -- and I, of course, mean no disrespect to any  
18 judge -- anytime you get -- you have a judge making a  
19 discretionary decision, on the same set of facts there's  
20 simply going to be some judges that will make exactly  
21 opposite decisions based upon the same set of facts.  
22 That's just human nature.

23 In this case -- and the point that I'm trying to  
24 make is that Ohio v. Roberts is a known quantity, it's a  
25 known entity. I haven't experienced any problems with its

1 application personally or in cases that have been  
2 addressed at my office since I've been there. A new  
3 system that is proposed by the petitioner and the amici  
4 simply fails to take into consideration, as this Court did  
5 in Ohio v. Roberts, that there are other rights and other  
6 interests that are involved in a criminal case. It  
7 doesn't address problems concerning witnesses that become  
8 unavailable through no fault of the State. Yet, why  
9 should society suffer to have a criminal defendant  
10 released simply because a witness has become unavailable?

11           And you know, you can't always prove a defendant  
12 has made a witness unavailable. That is a really tough  
13 standard.

14           There are similar other cases where particularly  
15 young witnesses, who are perfectly capable of telling you  
16 exactly what happened to them or what they've seen in a  
17 nonconfrontational setting, but yet, because of either  
18 fear or intimidation, they are simply unable to come into  
19 court and testify in front of a bunch of strangers or in  
20 -- probably in front of the very person who is alleged to  
21 have victimized them. They're not going to be able to say  
22 a thing. Yet, there needs to be some way to get what they  
23 can say in front of a jury. I -- I think that any system  
24 that prohibits that is just going to be contrary to the  
25 interests of society in general and to the interests of

1 the other parties that -- that are involved in the trial  
2 other than the defendant.

3 And if there are no further questions, thank you  
4 very much.

5 QUESTION: Thank you, Mr. Sherman.

6 Mr. Fisher, you have 3 minutes remaining.

7 REBUTTAL ARGUMENT OF JEFFREY L. FISHER

8 ON BEHALF OF THE PETITIONER

9 MR. FISHER: Thank you, Your Honor.

10 I think it's important to concentrate in  
11 rebuttal on the State's suggestion and the Solicitor  
12 General's suggestion to retain the reliability prong of  
13 the Roberts framework.

14 The Solicitor General agrees with us that the  
15 history of the -- that -- that you ought to be looking to  
16 history here, and the history on this point is crystal  
17 clear. From Rex v. -- Rex v. Paine in 1696, other English  
18 cases before the Constitution, and then this Court's cases  
19 after the Constitution was adopted, principally Kirby and  
20 Mattox, and all the way through Douglas, the -- when the  
21 situation arose that a witness was unavailable, the rule  
22 was clear if the -- if -- if the statement was  
23 testimonial, given to the authorities, it had to be  
24 excluded. And it's not -- and the -- and the balance was  
25 struck by the Framers not -- not just because of these

1 public policy considerations, but because of the Framers  
2 were insisting upon an adversarial method of giving  
3 testimony. And when the Framers decided, when that was  
4 not present, that we were simply not prepared to -- to  
5 admit the testimony.

6           And so what we have is we have a clear rule  
7 until at least the 1970's that reliability doesn't matter.  
8 And the only time reliability -- first was adopted by this  
9 Court. The only time it became important was in Dutton v.  
10 Evans when you had a non-testimonial statement, and then  
11 in Roberts when this Court created a general framework  
12 that it allowed reliability, all of a sudden, be into play  
13 when we were stretching the Confrontation Clause in our  
14 view too far.

15           But once you bring the Confrontation Clause back  
16 to the proper scope, as we're asking you to do and the  
17 Solicitor General is asking you to do, there's really no  
18 reason anymore to -- to keep the reliability prong. The  
19 reliability prong was -- was adopted by this Court to deal  
20 with the problem of hearsay that was coming outside the  
21 testimonial type setting. Once you -- once you read it --  
22 read that problem away, we're back to the original  
23 understanding of the Confrontation Clause.

24           And the reason that you ought to stick with that  
25 -- Justice Ginsburg I think put the nail on the head when

1 she said the reason we're here today is that you have a --  
2 you -- you have -- what you have now is a system where  
3 trial judges can reach almost any conclusion they want.  
4 That's shown in our briefs. The Solicitor General doesn't  
5 even describe to you how reliability -- doesn't even  
6 defend reliability findings in light of all the briefing  
7 by -- by the petitioner and by amici.

8           And so I think that when you look at that, you  
9 show that the very concern that gave rise to Raleigh trial  
10 -- and I would say parenthetically that I believe Lord  
11 Cobham was unavailable in the trial. That's what the  
12 transcript says. And the very problem was that trial  
13 judges could do these reliability determinations in place  
14 of -- of a clear rule of when testimony could be given.

15           Thank you, Mr. Chief Justice.

16           CHIEF JUSTICE REHNQUIST: Thank you, Mr. Fisher.

17           The case is submitted.

18           (Whereupon, at 11:56 a.m., the case in the  
19 above-entitled matter was submitted.)

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