18-1501 LIU V. SECURITIES AND EXCHANGE COMMISSION

DECISION BELOW: 754 Fed.Appx. 505

LOWER COURT CASE NUMBER: 17-55849

QUESTION PRESENTED:

Whether the Securities and Exchange Commission may seek and obtain disgorgement from a court as "equitable relief" for a securities law violation even though this Court has determined that such disgorgement is a penalty.

CERT. GRANTED 11/1/2019