

1 IN THE SUPREME COURT OF THE UNITED STATES

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3 WILLIAM ARTHUR KELLY, :

4 Petitioner :

5 v. : No. 00-9280

6 SOUTH CAROLINA. :

7 - - - - -X

8 Washington, D.C.

9 Monday, November 26, 2001

10 The above-entitled matter came on for oral
11 argument before the Supreme Court of the United States at
12 10:59 a.m.

13 APPEARANCES:

14 DAVID I. BRUCK, ESQ., Columbia, South Carolina; on behalf
15 of the Petitioner.

16 S. CREIGHTON WATERS, ESQ., Assistant Attorney General,
17 Columbia, South Carolina; on behalf of the
18 Respondent.

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1 P R O C E E D I N G S

2 (10:59 a.m.)

3 CHIEF JUSTICE REHNQUIST: We'll hear argument
4 next in No. 00-9280, William Arthur Kelly v. South
5 Carolina.

6 Mr. Bruck.

7 ORAL ARGUMENT OF DAVID I. BRUCK

8 ON BEHALF OF THE PETITIONER

9 MR. BRUCK: Mr. Chief Justice, and may it please
10 the Court:

11 For the second time this year, the Court
12 considers today South Carolina's compliance with your
13 decision in Simmons v. South Carolina. The issue this
14 time is -- is the interpretation, if you will, the South
15 Carolina Supreme Court has placed upon the future
16 dangerousness requirement of the Simmons decision,
17 specifically whether, as the South Carolina Supreme Court
18 put it, future dangerousness was neither a logical
19 inference from the evidence nor was it injected into this
20 case through the State's closing argument.

21 QUESTION: Well, in -- in some sense -- and this
22 is what concerns me about your argument -- I -- I suppose
23 at some level future dangerousness is -- is always
24 inferable from the fact of -- of a horrible crime.

25 MR. BRUCK: I -- I think it is true that -- that

1 when a person is convicted beyond a reasonable doubt of an
2 aggravated, death-eligible murder, it is -- it does not
3 take very much more to put the issue of future
4 dangerousness at issue. You can conceive of crimes -- I
5 particularly suggest the example of an intrafamilial --
6 that is, the murder of children by their mother where the
7 person with no prior record and no likelihood that the
8 situation will recur, where future dangerousness simply
9 does not sound from the evidence.

10 However, in -- there are also --

11 QUESTION: We -- we are the ones that -- that
12 gave you this -- this category of future dangerousness.
13 And it either makes sense or it doesn't. If -- if there's
14 something defensible about the category, it -- it seems to
15 me that there would be a significant number of cases in
16 which it doesn't apply. I -- I just don't see that from
17 your argument. Now, maybe the category is unworkable.
18 That's another point.

19 MR. BRUCK: Well, I should say that future
20 dangerousness is not likely to be at issue in -- in cases
21 where State law does not provide the jury the freedom to
22 consider it. If aggravation was clearly limited in a
23 weighing statute in which the jury has clearly said these
24 are the -- or is clearly told, these are the factors that
25 go on death side of the scale and nothing more -- only you

1 can think of a way in which the prosecution could try a
2 case in a way that clearly conveyed the message to the
3 jury that --

4 QUESTION: Well, how many States that use the
5 death penalty allow future dangerousness as a factor in
6 sentencing?

7 MR. BRUCK: You know, I don't have an exact
8 number. My sense is that a small minority have it as a
9 statutory aggravating factor, such as Texas, Virginia, and
10 Oregon, and a much larger number like Georgia, South
11 Carolina allow it along with a myriad of other sentencing
12 factors without it ever needing to be explicitly
13 mentioned. These are the so-called non-weighting States.
14 I would guess --

15 QUESTION: Well, that is the concern, of course,
16 is if -- whether this concept is something that is going
17 to have to be applied in every capital sentencing case or
18 whether there's some limitation.

19 MR. BRUCK: Well --

20 QUESTION: And it's hard to know from your
21 argument the answer to that. Can you draw a line?

22 MR. BRUCK: Yes. I would say where the evidence
23 does not sound in future dangerousness and where the
24 prosecution does not advance the jury's consideration of
25 future dangerousness. For example, in this case, you

1 know, on these facts, of course, none of this is
2 implicated. This is simply Simmons. This is within
3 Simmons. Even if we were to limit Simmons to its facts,
4 it would include this case because you have an onslaught
5 of future dangerousness argument. You have an onslaught
6 of classic future dangerousness evidence presented --

7 QUESTION: Wait, wait, wait, wait, wait. You
8 say evidence sounds in future dangerousness. What -- what
9 evidence that -- that is introduced at -- you know, at the
10 -- at the sentencing phase that this is a horrible person
11 would -- would not sound in future dangerousness? You're
12 trying to show that this is a horrible person, that he
13 deserves the death penalty. What kind of evidence
14 wouldn't sound in future dangerousness?

15 MR. BRUCK: Well, for example, the prosecutor
16 here took on the issue of the fact that this young man was
17 only 17 years old, and his -- and had no prior record,
18 both very substantial mitigating factors. And the
19 response was that actually the very fact that he had no
20 prior record, the prosecutor said, makes him more
21 frightening than a serial killer, more frightening than a
22 career criminal, which is all future dangerousness
23 rhetoric. Frightening means looking toward the future.
24 One is not frightened by things that have already
25 happened, but things that might happen in the future.

1 QUESTION: Now -- now, you're relying on the
2 statement of -- of the prosecutor --

3 MR. BRUCK: Yes, in part.

4 QUESTION: -- on his use of the word
5 frightening. And in another place, he does use the term
6 dangerousness.

7 MR. BRUCK: Yes, he does.

8 QUESTION: Now, that's one thing if you want to
9 -- and -- and we -- we can discuss that. But -- but I'm
10 more concerned about your broader point that when the
11 evidence, as you put it, sounds -- I'm not sure it's the
12 proper use of sounds, but when the evidence, as you put
13 it, sounds in future dangerousness, we have to -- it -- it
14 is constitutionally required that you -- you have the
15 instruction about no parole.

16 MR. BRUCK: Well, I mean, we should recall that
17 -- that -- I mean, one can say, well, these are just the
18 facts of the crime. The State has enormous discretion
19 about what evidence it wants to present, and when it
20 presents evidence that is reasonably likely or, in this
21 case, virtually certain to cause the jury to consider the
22 -- the elemental sentencing issue that a judge considers
23 in almost every case, will he do it again, then --

24 QUESTION: That will always be the case. You --
25 you are asking for a rule that -- that will cover every

1 capital case. I cannot imagine a capital case where --
2 where the prosecution does not, at the sentencing phase,
3 put in evidence that makes this look like a horrible
4 person, hence, a dangerous person. And -- and I -- you
5 know, I just don't know that we're prepared to go that
6 far.

7 Which leads me to what words -- what are the
8 magic words that you want the prosecution not to be able
9 to use. Frightening is one magic word. Right? Dangerous
10 is another magic word.

11 MR. BRUCK: It most certainly is.

12 QUESTION: Okay. I mean, we can just have a
13 list of magic words that prosecutors shouldn't use from
14 now on.

15 MR. BRUCK: I think there would be no harm,
16 although this case provides absolutely no occasion to
17 consider the issue, in dispensing with the future
18 dangerousness requirement for precisely that reason, that
19 when -- that it is -- there are so few cases in which the
20 jury is not likely, in the privacy of the jury room, to
21 ask the question, what if he gets out and does it again?
22 This comes up in case after case. And there's a -- in
23 weighing the -- the State -- I mean, is there a danger
24 that the Simmons rule might then be applied in cases where
25 it truly does not rebut something that the jury --

1 occasionally in the rare case, yes.

2 But in considering the equities, I think it's
3 worth keeping in mind that there is unfairness not only on
4 the issue of future dangerousness from this situation.
5 There is also unfairness in the retributive function that
6 the jury must suffer, and this is not in this case. It
7 may be more of an Eighth Amendment claim, but I think in
8 weighing the risk of unfairness to the two sides, it's
9 worth keeping in mind that life without parole is a much
10 more severe punishment. It is much more retributive than
11 is life with parole. And it is -- it is more severe from
12 the moment it is imposed, not only 30 or 40 years hence.
13 And the reason is that life without parole means life
14 without hope.

15 And anyone -- I -- I try these cases and -- and
16 negotiate plea bargains in these cases at the trial level,
17 and I can tell you that there is nothing that cuts the ice
18 faster with the victim's family, with the prosecutor in
19 settling a case than life without parole not because of
20 the dangerousness, but because of its retributive effect.
21 And there's something terribly unfair at -- when everyone
22 in the courtroom knows how crushing this penalty is, this
23 penalty of life without hope, except the jury, and they
24 are left to think that their option is to let this man
25 hope that some day he'll be out raising a family and

1 working at a job and -- and pretending to be a respectable
2 member of the community when it isn't so.

3 QUESTION: You're asking us to overrule Simmons.

4 MR. BRUCK: No, by no means. This case -- all I
5 am saying is that if it is true, as my friend claims, that
6 our category of cases in these non-weighting jurisdictions,
7 where the jury is given free rein to consider everything,
8 that our category of non-dangerousness cases is actually
9 so small as to be nonexistent, then in those States at
10 least I think it would be fair. And there is no harm in
11 saying --

12 QUESTION: But that isn't the question you
13 presented in your petition. All you presented in your
14 petition was, was the ruling of the Supreme Court of South
15 Carolina in this case contrary to Simmons?

16 MR. BRUCK: Well, that's right because I
17 represent a client and all my client requires is that
18 Simmons be applied. We have something that I think can
19 fairly be described as something approaching defiance of
20 your -- of your decision in Simmons when a record like
21 this is -- is found not to raise future dangerousness.
22 The only thing that State can come up with that they
23 didn't do is to call Dr. Grigson, some psychiatrist, to
24 say --

25 QUESTION: Well, for the reasons that we've

1 indicated in our questions, I -- I don't think it's
2 defiance. I -- I do think the prosecution is being pretty
3 careful not to raise future dangerous explicitly because
4 it doesn't want the jury to know this.

5 MR. BRUCK: Yes.

6 QUESTION: And it makes it a little troublesome
7 But given the state of our law, future
8 dangerousness has to be put in issue, and as we -- as
9 we've indicated, I -- I think under -- under your rule
10 that would be an issue in almost every case.

11 Let me ask you this. You've heard me ask it
12 here before. I -- I take it that if this instruction were
13 given, either the trial judge relented or future
14 dangerousness was at issue, it's perfectly open to the
15 State to say, now -- now, you may think this prisoner has
16 no hope, but the legislature can change this tomorrow
17 morning. Tomorrow morning the legislature can change the
18 parole rule, and this prisoner -- this defendant can be
19 out in the community, once again a danger.

20 MR. BRUCK: Well, I think that --

21 QUESTION: I take it that would be a fair
22 argument?

23 MR. BRUCK: I don't think it would be for a
24 separate reason, which is it invites the -- the jury to
25 treat the law under which this person is being sentenced

1 as in effect nonexistent. The only thing that's real is
2 death because that can't be changed.

3 QUESTION: Yes, but -- but the certainty that
4 life without parole will confine him indefinitely is much
5 less than that execution will kill him.

6 MR. BRUCK: That's true. That's true. But when
7 -- when a court -- I mean, a jury doesn't need to be told
8 that all manmade law is subject to being changed by man.
9 That is something that we all know. Jurors know that,
10 that this law was enacted by the legislature and can be
11 changed --

12 QUESTION: Well, would you allow the prosecutor
13 to argue it if you were the trial judge?

14 MR. BRUCK: No, I would not because to invite
15 the jury to --

16 QUESTION: So, you're saying that the jury
17 doesn't need to be told what it already knows, but that's
18 inconsistent with your whole position.

19 MR. BRUCK: No. All I'm -- all I'm saying is
20 that the jury should not be invited to speculate that all
21 the law that it is being told to apply will melt away and
22 cannot be relied on and thus should be ignored. And then
23 the jury ceases really to become a jury that applies the
24 law of the State and becomes just a pack of --

25 QUESTION: I thought your position -- I thought

1 your position was that this -- that the judge or the
2 lawyer reads the text of what it says in the South
3 Carolina law.

4 MR. BRUCK: Exactly.

5 QUESTION: Reads word for word what the
6 legislature enacted.

7 MR. BRUCK: That is exactly correct.

8 QUESTION: And that's all you're asking.

9 MR. BRUCK: That's all we're asking. And, you
10 know, my -- my submission --

11 QUESTION: Wait. Whether -- whether we should
12 allow that to happen depends on, you know, how fair it is
13 to the prosecution and why shouldn't the prosecution be
14 able to point out the reality that that's what the
15 legislature has said today and it can change that
16 tomorrow? So, if you really want future dangerousness to
17 be -- to be treated openly and honestly, I don't see how
18 you can just -- just say put in the one side and not the
19 other.

20 MR. BRUCK: Well, I have to say my case does not
21 turn on the answer to that question. That could be
22 decided either way, as this case is reversed, because
23 that's not an issue in this case. It certainly would
24 become the stuff of future appeals to this Court from the
25 only two States that see any issue left here, Pennsylvania

1 and South Carolina.

2 QUESTION: In all the other States, in -- in
3 every other State that has capital punishment --

4 MR. BRUCK: Yes.

5 QUESTION: -- this instruction is given as a
6 matter of course?

7 MR. BRUCK: Regardless of any absence or
8 presence or alleged absence of future dangerousness. In
9 other words, every State but South Carolina and
10 Pennsylvania already go beyond Simmons, and these are the
11 only two outliers in which the record is combed for
12 whether or not future dangerousness is at issue.

13 Now, as I say --

14 QUESTION: When you say it's outliers, but once
15 again that's the -- that's the dichotomy that this Court
16 set forth in -- in Simmons and in our past opinions.

17 MR. BRUCK: Well, yes. The -- it's true that --
18 that Simmons set a constitutional minimum rule and it
19 required future dangerousness to be at issue. And there
20 are a great many -- in a -- in a non-weighting
21 jurisdiction, there are a great many ways of putting
22 future dangerousness at issue. It can be done, as the
23 Court has held in Simmons itself, by argument. It can be
24 done, as is never done in South Carolina, by instruction
25 to the Court, because it's not a statutory factor. Or it

1 can -- as the State agrees and as the South Carolina
2 Supreme Court acknowledges, it can be done solely by
3 evidence without argument by the solicitor.

4 QUESTION: But what about the argument that
5 everything that goes to future dangerousness also goes to
6 something else? The prosecutor said, yes, I showed that
7 this was a terrible person because he had no prior record,
8 and I used the Billy the Kid remark because -- to rebut
9 the age. So, I can give you a reason, other than future
10 dangerousness, for everything that I put in.

11 MR. BRUCK: Well, it's -- it's -- there's very
12 little evidence of future dangerousness and very little
13 argument that cannot also be given a retributive
14 interpretation, which is what the State has labored to do
15 in their brief.

16 And if all that -- it's striking that the State
17 is not at all satisfied with the test stated by the South
18 Carolina Supreme Court, which is whether the issue was
19 argued or whether future dangerousness is a logical
20 inference from the evidence. The State's test for whether
21 evidence is -- raises an issue of future dangerousness is
22 that the evidence must only raise future dangerousness and
23 must raise nothing but future dangerousness.

24 Now, it's rather hard. The only example, as I
25 say, they can think of is a psychiatric opinion that the

1 man will kill again. Apparently that's the only evidence
2 that triggers Simmons under the Attorney General's view.

3 QUESTION: So what? What's so -- what's so
4 absurd about that?

5 MR. BRUCK: Because it ain't so.

6 QUESTION: What is so absurd about that? If
7 Simmons meant anything, it seems to me that's what Simmons
8 meant, or otherwise it -- it -- you know, it's virtually
9 worthless.

10 MR. BRUCK: Well, there was no psychiatric
11 opinion introduced by the State in Simmons itself. There
12 was nothing but a metaphorical argument that two members
13 of the Court didn't think raised future dangerousness at
14 all.

15 But it was this idea of self-defense in response
16 to someone who was a threat. That -- that was also an
17 argument about retribution, and as the dissenting opinion
18 in -- in Simmons pointed out, that -- you could certainly
19 see the retributive meaning, significance, that the
20 prosecutor meant there.

21 But it also -- it also raised the issue of
22 future dangerousness, and that was all in Simmons. And
23 that was enough. And that's why I say that to affirm this
24 case would require -- would require reversing Simmons.

25 One has to weigh, too -- I mean, you know, the

1 State argues this issue as if we are keeping them from
2 introducing evidence, and we are doing something unfair or
3 we're saying they can't do this, they can't do that. We
4 don't say the State can't do anything. All we say is that
5 when they make an argument like they made in this case --
6 he's quick-witted, doesn't that make someone a little more
7 dangerous, calling the defendant Billy the Kid, Bloody
8 Billy, the Butcher of Batesburg, and on and on and on and
9 on and on -- that we be able to answer it by saying how
10 the legislature has defined life imprisonment for the
11 people of South Carolina.

12 These jurors are the same voters that demanded
13 that life imprisonment be -- be enacted, and now that it
14 has been enacted, what can be fair about keeping the
15 defendant from telling the jury, even if all this that the
16 prosecutor says about me is true, I will never be released
17 again?

18 Now, the -- the State also acknowledges, both
19 the State Supreme Court and the Attorney General, that
20 future dangerousness was raised in this case. They make
21 no bones about that. But they say, well, we raised it in
22 a special way that does not implicate Simmons. What we
23 did was we introduced evidence that not even the Lexington
24 County jail could keep this man from being nonviolent, and
25 that is future dangerousness. And that's why the

1 instruction that we offered, telling the jury that future
2 dangerousness was not in the case, was denied.

3 But the -- but the State says, in defiance of
4 all common sense, that evidence that this young man would
5 be dangerous, even behind bars and concrete and steel, did
6 not implicate the notion that if you notion that if you
7 let him out, he would be even more dangerous. That is why
8 the State says that prison dangerousness does not --

9 QUESTION: He just didn't like being confined.

10 MR. BRUCK: I'm sorry?

11 QUESTION: Maybe he just didn't like being
12 confined. I mean, you can imagine a situation like that.

13 MR. BRUCK: Well, Mr. Chief Justice, anything is
14 possible, but that does not commend itself to our common
15 sense. I think what the jury is much more likely to infer
16 from that is that if even jail and prison can't keep this
17 guy from being dangerous, if he ever gets let out, let out
18 on parole, Katie, bar the door. He's going to be a
19 disaster.

20 QUESTION: Well, I'm not sure about that
21 inference. If I were a juror and I heard about this
22 evidence, I'd say my principal focus would be on the
23 safety of the guards and the inmates. I'd say this man is
24 dangerous in prison and that's the reason for capital
25 punishment. It seems to me perfectly logical.

1 MR. BRUCK: That -- as I say, the -- the
2 evidence has two meanings and that is one of them. We
3 don't quarrel with that. But at the same time, if -- if
4 he is --

5 QUESTION: Well, then you can't say it defies
6 all logic, et cetera, et cetera. It seems to me that
7 that's the -- the most direct conclusion that -- that
8 should follow from the prosecution's evidence on this
9 point. He's dangerous in prison.

10 MR. BRUCK: Even if it is the most direct, how
11 can we say that it does not also prove that if you let him
12 out on parole, he'll be even more dangerous?

13 QUESTION: But that isn't what Simmons said. I
14 thought the rule of Simmons was -- was a rule of fairness.
15 Look, prosecution, if you're going to argue that this man
16 should be executed because it -- he will be dangerous to
17 society if he's -- if he's let out, then, for Pete's sake,
18 you have to let the jury know that he won't be let out.
19 It's an unfair argument for the prosecution to say he'll
20 be dangerous to society unless you execute him, when the
21 prosecution knows that he'll never get out.

22 MR. BRUCK: Right.

23 QUESTION: But this is far beyond that. This
24 has nothing to do with such an unfair argument by the
25 prosecution. He's not saying don't let this person go

1 because he'll be praying on society. There's -- there's
2 nothing approaching that argument.

3 MR. BRUCK: Well, I think it -- it -- what we
4 have here is a prosecutor who has Simmons and who knows
5 that if he wants to hide from the jury -- you see, the
6 prosecution agrees with us about -- and this is, in a way,
7 what is most disturbing and troubling about this case. If
8 the prosecution did not believe, based on their evidence
9 and their argument, that this jury was thinking about
10 future dangerousness to society, why would they care?
11 What possible harm could there be from a Simmons
12 instruction?

13 I think the prosecution entirely agrees with us
14 on the importance of this and on the dynamics of jury
15 deliberation in a case where a -- where the -- where the
16 State's evidence and the argument is of this nature. They
17 know perfectly well what any practicing lawyer who tries
18 these cases on either side knows, which is that this jury
19 goes back in the jury room, after having seen and heard
20 all of this, and thinks one thing for sure: We got to
21 make sure he doesn't do it again. And it is a
22 constitutional fact, established by the Simmons case,
23 that's -- that part of that is parole, the jury's
24 misconception about parole, because we've had parole for
25 so many generations and life without parole is a new

1 phenomenon in this country.

2 QUESTION: But this -- this is not a case, is
3 it, in -- where the trial judge put any limitation on the
4 defense attorney's arguing this point or where the jury
5 came back with a question. This is not one of those
6 cases.

7 MR. BRUCK: Right. For all we know, the jury
8 knew there was parole, wrongly. And no limitation.

9 But I have to say -- and the State has not
10 claimed that in the face of this argument on instructions,
11 that the lawyer -- the defense attorney should have picked
12 up a statute book and read to the jury the instruction
13 that the judge had just refused to give. She would have
14 had her head handed to her on a plate if she had done
15 that. That is not permitted in South Carolina. When a
16 legal principle has been ruled out of a case, a lawyer
17 cannot then attempt to charge the jury anyway. And that
18 is not an argument you'll find in the State's case.

19 They do say that her -- her rhetorical claim or
20 -- or co-counsel's claim that you'll never see the light
21 of day should be deemed as the equivalent of a no-parole
22 instruction, but you dealt with that and disposed of that
23 argument in Shafer, where a much more explicit argument
24 was held not to be the equivalent of an instruction on --
25 on State law concerning parole. So, I think that -- that

1 argument has absolutely nothing to commend it and is -- is
2 directly controlled, I would submit, by -- by Shafer.

3 QUESTION: But Shafer was explicit that counsel
4 would not be allowed to read the statute, which is what I
5 think counsel wanted to do.

6 MR. BRUCK: Well, that's right. But you know,
7 it -- a lawyer doesn't, under South Carolina practice,
8 need to ask the court whether an instruction that has been
9 ruled out of the case -- whether it's okay for her to read
10 it to the jury. We know that that is not permissible.

11 I should add, too, that -- that the trial judge
12 instructed the jury in this case that he is the sole
13 instructor on the facts. At page 618 of the record, he
14 said, as -- I am as judge the sole -- made the sole and
15 only instructor in the law. And so -- and that's, you
16 know, how trials in South Carolina are conducted. Lawyers
17 do not instruct.

18 I realize as a matter of constitutional law, if
19 it were -- if there were a way for defense counsel to have
20 done that, despite the -- the court's ruling, it would
21 have sufficed under Simmons, but there was no such way,
22 and that's why the State makes no such argument in their
23 -- in their brief. This is not where an opportunity was
24 passed up by defense counsel to instruct on the law. This
25 -- there wasn't any such -- any such opportunity, and

1 that's why the -- the argument is so vague and so
2 unsatisfactory under -- under Shafer v. -- v. South
3 Carolina.

4 If this is enough to get around Simmons and to
5 allow prosecutors to keep juries from knowing what the
6 South Carolina legislature has done with respect to the
7 abolition of parole, then you will see, as you already are
8 seeing, case after case from South Carolina in which the
9 envelope is constantly being pushed further and further
10 back in what, I have to submit, is a somewhat manipulative
11 effort to get the advantage of the jury's misconceptions,
12 to get the advantage of the false dilemma that Simmons
13 correctly identified in order to get more death sentences
14 than are actually merited by the law and the evidence in
15 each case.

16 As I say, this is the second time that -- that
17 South Carolina has -- South Carolina's compliance with
18 Simmons has been before this Court in this calendar year.
19 There probably won't be another one this calendar year,
20 but there will be a continuing procession. And, indeed,
21 the first case in line will be Shafer v. South Carolina,
22 which was remanded for reconsideration of the -- for
23 consideration -- for a ruling on the issue of future
24 dangerousness. If --

25 QUESTION: Well, perhaps -- you suggested in

1 your brief that for the future the South Carolina
2 legislature is going to require that the jury be informed.

3 MR. BRUCK: Prospectively, yes. It will -- it
4 will do no good for -- for people like Shafer and -- and
5 the petitioner in this case whose cases have already been
6 tried. But, yes, the House of Representatives has already
7 voted overwhelmingly to, in effect, require a Simmons
8 instruction in every case. That bill is now before the
9 Senate and it could be by this time next year, this will
10 be of only historical interest in South Carolina, except
11 to the petitioner --

12 QUESTION: May I ask, as a matter of historical
13 interest, when did the requirement that the -- I mean,
14 when did -- when did the sentence of life without parole
15 first authorized by the South Carolina --

16 MR. BRUCK: The very first time came in -- in
17 1986.

18 QUESTION: 1986.

19 MR. BRUCK: And thereafter, the South Carolina
20 Supreme Court first handed down a truth-in-sentencing rule
21 and then reversed itself just before Simmons, and Simmons
22 was the first case tried under the new regime.

23 If there are no further questions, I'd like to
24 reserve my time for rebuttal.

25 QUESTION: Very well, Mr. Bruck.

1 Mr. Waters, we'll hear from you.

2 ORAL ARGUMENT OF S. CREIGHTON WATERS

3 ON BEHALF OF THE RESPONDENT

4 MR. WATERS: Mr. Chief Justice, and may it
5 please the Court:

6 In *Simmons v. South Carolina*, a limited due
7 process exception was crafted to the general rule in
8 *California v. Ramos* that it was for the States to decide
9 whether to inform the juries on matters of parole or other
10 early release. And the issue in this case is what
11 argument and evidentiary submissions are sufficient to --
12 for the prosecution to have been deemed to raise future
13 dangerousness such that due process overcomes that State
14 law rule.

15 And petitioner in this case contends that future
16 dangerousness can be raised simply by the gruesome facts
17 of the crime, by misbehavior in jail, by a prior criminal
18 record. And, of course, that would create, as has already
19 been discussed, a virtually standardless test and would
20 conflict with this Court's statements of the *Simmons* rule,
21 as well as the subsequent interpretation of the rule by
22 many other courts. And that is, of course, that a
23 prosecutor must specifically rely on future dangerousness
24 to society as a basis for death.

25 And, of course, that -- the reason for that is

1 the rationale of Simmons itself, which is a due process
2 right of rebuttal. Future dangerousness -- a future
3 dangerousness requirement is necessary to that due process
4 right of rebuttal, and obviously, in order to have the
5 right to rebut something, the other party must have first
6 done something to affirmatively raise that issue.

7 Now, admittedly some --

8 QUESTION: Mr. Waters, in -- in your view has
9 any South Carolina prosecutor since Simmons used words
10 that would invoke that case?

11 MR. WATERS: Invoke Simmons itself?

12 QUESTION: Yes. It seems to me that any
13 prosecutor, if your argument is right, can easily get
14 around Simmons simply by not using the words future
15 dangerousness and saying, well, this is relevant to
16 something else.

17 MR. WATERS: Well, I think, you know, our State
18 Supreme Court has on two occasions ruled that Simmons was
19 violated, and in that case, the -- the prosecutor argued
20 that, you know, he's shown that he cannot live inside or
21 outside of prison. So, clearly that crosses the line.

22 I think, you know, the -- the fundamental --

23 QUESTION: I thought your position was if he
24 couldn't live in prison, then Simmons was out.

25 MR. WATERS: Well, in that particular case, he

1 said both outside of society and inside prison in that
2 particular case. That's State v. Timothy Rogers, a State
3 court case. So, our court has on -- on a couple of
4 occasions ruled that. I mean, obviously --

5 QUESTION: You -- you then concede that if the
6 -- if the argument that counsel for the -- the prosecutor
7 makes is this man is dangerous in or out of prison, in
8 that case a Simmons instruction is warranted.

9 MR. WATERS: I think that by incorporating the
10 outside society aspect of the argument, our State Supreme
11 Court has already ruled that and I'm not here to -- to
12 challenge that.

13 QUESTION: I understood Justice Ginsburg's
14 question -- and I'm interested in it too -- as can you
15 tell us, as a matter of practice, are there instances in
16 where the Simmons rule is followed in South Carolina and
17 the jury is instructed about parole because of future
18 dangerous being an issue, or as Justice Ginsburg
19 suggested, is it the common pattern and practice for
20 prosecution -- for the prosecutor to stay away from this?

21 MR. WATERS: No. There are plenty of instances
22 where -- where solicitors argue future dangerousness to
23 society, and a life without parole instruction is given.
24 There -- it -- it ultimately boils down to what the
25 prosecutor does in his argument and how the trial judge

1 rules on -- on what was raised in -- in that trial. But
2 it does happen.

3 QUESTION: Don't you have that here? I mean,
4 the argument here was not only the -- the Bloody Billy,
5 the Butcher of whatever it was, but the words dangerous
6 were used I think -- I think twice to describe him in the
7 argument, once at least. And -- and the argument included
8 the -- the statement to the jurors, I hope you never have
9 to be in the position again of being 30 -- 30 feet away
10 from this kind of -- of a killer. Well, the jurors aren't
11 going to be spending time in prison, and I -- I don't know
12 why that argument means anything other than I hope this
13 guy is not going to be out where you are going to be and
14 find you as close to him again. So, hasn't -- hasn't he
15 raised it even on -- on your criteria?

16 MR. WATERS: I don't believe so in this case. I
17 think if you focus on the prosecutor's argument as a
18 whole, it's clear that the majority of his argument was
19 retributive. And we would assert --

20 QUESTION: Well, I'm not talking about -- I
21 mean, you -- the majority of the argument isn't even the
22 criterion that you are arguing for. You said, look, he's
23 got to raise it on my theory. He's got to raise it as an
24 argument that this person will be dangerous on the
25 outside. And I assume if he does that once, that's

1 sufficient on your theory. And my question is, didn't --
2 even on your theory, didn't he do it here?

3 MR. WATERS: Well, with regard to the dangerous
4 comment, what the solicitor said in that particular case
5 was -- he said, well, the evidence here is that he's
6 quick-witted, he's not retarded. And of course, the
7 evidence in this case also was that petitioner was a close
8 friend of -- or the petitioner's family was a close friend
9 of this victim. He used to work with the victim, and that
10 he used that familiarity with the victim to make her more
11 vulnerable.

12 QUESTION: Well, what -- what about the 30 feet?
13 I hope you're never in this position again. What about
14 that argument? I mean, isn't that an argument that makes
15 no sense except on the assumption that this person might
16 -- the defendant might be outside?

17 MR. WATERS: That -- that particular argument
18 was -- was made at -- at the beginning of the sentencing
19 phase in opening statement. It was a brief reference. I
20 don't think that that --

21 QUESTION: I mean, it was made to the jurors.
22 They heard it, didn't they?

23 MR. WATERS: They did hear that, but I think if
24 you read it in context, it was more of the case of you
25 just committed -- convicted this guy of a horrible crime.

1 You have a tough job ahead of you, and I hope you never
2 have to do this again. I -- I think that -- that brief,
3 isolated passage, when read in the context of what the
4 solicitor was saying there, would not have such -- been
5 such as to necessarily flag the future dangerousness issue
6 in the mind.

7 QUESTION: So, if -- if the prosecutor had
8 closed his argument with that, that would have sufficed.

9 MR. WATERS: I'm not -- I don't know if I would
10 say that much. I just point out that it was very early
11 and it was just a brief reference in opening statement,
12 and I don't think that that -- that can be pointed to as
13 to have crossed that line because I don't really think he
14 used it for that inference, that you know, this -- you
15 know, this guy is going to be dangerous to you. He was
16 more saying, you know, you just convicted this guy of the
17 most horrible, bloodiest crime you can imagine, and he's a
18 horrible person, and now you've got one more tough job to
19 do. And I hope you never have to go through this again.
20 I think that was the point of his argument, not that this
21 guy --

22 QUESTION: He didn't -- my -- my -- you correct
23 me if I'm wrong, because I don't have the transcript in
24 front of me, but my recollection is he didn't say, I hope
25 you don't have to go through this again. He said, I hope

1 you're -- you know, you're not in this position of being
2 30 feet away from this kind of a person again, which is I
3 think quite different.

4 MR. WATERS: Well, he -- he did not say, I hope
5 you don't have to go through that, but I think if you read
6 his argument, that was the point of it, and I think in
7 context, that's the obvious point of it rather than future
8 dangerousness.

9 QUESTION: May I ask this? You gave us a couple
10 of examples of the South Carolina Supreme Court itself
11 setting aside the conviction -- or executions because they
12 had failed to give the instruction. And you -- you've
13 just quoted the example he mentioned both inside and
14 outside prison.

15 Now, did they consider the -- your argument that
16 if read in the context of the entire argument, it -- it
17 was a featured part of the argument, or did they -- could
18 the -- could one reasonably think that from those
19 opinions, just that mere mention was enough?

20 MR. WATERS: In that -- in that particular case,
21 the solicitor focused much of his argument on that. He
22 said, this -- this defendant has shown by his prior
23 record, because the defendant had had prior incarcerations
24 and prior releases -- he's shown by his prior record that
25 he cannot exist safely both inside prison and outside

1 society. So, I would concede that crosses the line. I
2 think it was focused on in that case, and it was a direct
3 statement of outside society.

4 And I -- I don't want to get into magic words,
5 but I think when you say outside society, when he clearly
6 focuses, that -- that would be a magic word triggering
7 Simmons.

8 QUESTION: But you would draw a line depending
9 in part on how much the issue was emphasized in the
10 argument. Not just an isolated comment, for example,
11 would not be enough.

12 MR. WATERS: What I'm saying is that if there's
13 an isolated comment in the context of an -- of an argument
14 that focuses on retribution, that -- it's kind of a
15 Donnelly v. DeChristoforo principle, that you shouldn't
16 assume that the prosecutor intended to worst meaning from
17 that, and you shouldn't assume that the jury necessarily
18 took the worst meaning from that. And -- and so, I'm not
19 saying -- I'm just saying that the context does matter,
20 and -- and that's essentially what we have here.

21 In Simmons, you know, we had a -- the more
22 egregious situation. We had the prosecutor say, jury, you
23 know, the death penalty is going to be society's response
24 to a threat, society's response. The prosecutor said,
25 jury, you know, this will be your act of self-defense to

1 this particular defendant. The death penalty will be an
2 act of self-defense. The prosecutor even went on -- so
3 far as to say his own expert calls him dangerous and had
4 brought that out in cross examination. There's none of
5 that here. All they can do in this case is -- is go
6 through a technical parsing of the argument.

7 QUESTION: Well, why shouldn't -- why shouldn't
8 it be? Why isn't it fundamentally unfair in every capital
9 case, after all, not to give the instruction that the
10 alternative is life without parole? After all, you have a
11 jury who knows it has a murderer in front of it. It's
12 trying to decide among all alternative punishments. Death
13 is the worst, and then the State won't tell them what the
14 alternative is. Apparently every State but two have
15 decided that is unfair. And why, to go back to basics,
16 isn't it as unfair a thing just about as one can imagine
17 to tell the jury you have to give life or something else
18 and then not tell them what the something else is,
19 particularly when they're likely to think he'll be out
20 after a few years?

21 MR. WATERS: Well, I think the jury in this case
22 -- you know, they are told life imprisonment, and they're
23 never told that there's any possibility of --

24 QUESTION: But sitting -- in your experience,
25 wouldn't you say most jurors are sitting there thinking

1 that life doesn't mean life?

2 MR. WATERS: Well, I -- I'm not sure that that's
3 necessarily true. I mean, in State v. Patterson, which
4 was a 1986 case in South Carolina, there was a voir dire
5 of the jury on that, and most of the jurors said, we
6 thought it meant, you know, he'll never get out. So, I
7 mean, there's conflicting evidence on that. I know this
8 Court has repeatedly referred to the fact that it -- it is
9 new event and many jurors may not know, but I don't know
10 if that's necessarily the case.

11 As a matter of fundamental fairness, we're
12 still talking about, to some degree, deference to the
13 States. And -- and so --

14 QUESTION: What does deference to the State got
15 to do with fundamental fairness? It seems to me that's an
16 entirely different argument.

17 The fundamental fairness question that Justice
18 Breyer is raising is in a context in which it may or may
19 not be debated as to whether jurors know instinctively
20 that life really means life, doesn't fundamental fairness
21 require that they be instructed unequivocally so that they
22 know the terms within which they must act in coming to a
23 verdict. That's the fundamental fairness question.

24 MR. WATERS: Well, I think that the -- the
25 ineligibility of parole, as this Court held in Simmons, is

1 only directly relevant to future dangerousness to society
2 argument, and that, of course, was the due process
3 rationale followed in Simmons.

4 As far as whether under an Eighth Amendment
5 context or even under a due process that life without
6 parole is an effective rebuttal to retribution arguments
7 and that sort of thing, that's not presented by this case.
8 But I -- I think that the -- the relevance, again, of --
9 of ineligibility for parole is to rebut future
10 dangerousness to society. That's what --

11 QUESTION: Well, in -- in Simmons, there's no
12 question that the future dangerousness issue, together
13 with the jury's question and so on, presented an egregious
14 case of -- of a need for instruction.

15 MR. WATERS: Yes.

16 QUESTION: But let's -- let's assume -- and I
17 don't believe this is this case, even remotely, but let's
18 assume we had a case in which somehow future dangerousness
19 were not an issue. And let's assume we -- we had a -- a
20 straight retribution case. Given the fact that there is
21 enough history to put in -- in doubt, to put into question
22 what really is meant by life imprisonment, in the absence
23 of a further instruction, why doesn't fundamental fairness
24 require that the jury know for sure what the terms mean,
25 which it must select from in sentencing this person?

1 MR. WATERS: Well, again, the jury is told we're
2 dealing with death or life imprisonment.

3 QUESTION: No, but that simply begs the
4 question. That -- that is changing my question to you.
5 My question to you says we're operating in a context in
6 which historical practice leads one to -- to question
7 whether jurors really do understand that life means life
8 in this case in this State now. On that assumption, why
9 doesn't fundamental fairness require that the juries be
10 given a clear instruction so that they're not sitting
11 there wondering what it means if they come back with a
12 life sentence?

13 MR. WATERS: I think that that depends that --
14 that be all to end all is the without parole context of
15 life. I mean, life is still a very severe penalty, and --
16 and in order to get to your point, I think that you have
17 to assume that adding without parole to it makes it so
18 far --

19 QUESTION: You bet I assume that. There is a
20 big difference between life imprisonment, in which a
21 person never walks out of the prison, and life
22 imprisonment in which the person walks out 15 years later.
23 Yes, I make that assumption and I want you to make that
24 assumption in answering my question. Why doesn't
25 fundamental fairness require that the juries understand

1 that?

2 MR. WATERS: Well, again, I would have to fall
3 back to the fact of is the only aspect of the State's case
4 that it rebuts is future dangerousness to society. And --
5 and so that --

6 QUESTION: And my question to you is let's
7 assume a case in which that is not the issue, a case in
8 which we're talking about retribution. I want to narrow
9 the issue down here. Why doesn't fundamental fairness
10 require that the jurors understand what the words mean?

11 MR. WATERS: Because if it is a purely
12 retributive case and -- and future dangerousness was not
13 an issue, then there is nothing fundamentally unfair. The
14 State has not made any arguments that the defendant did
15 not have an opportunity to rebut. And -- and that's the
16 holding of Simmons.

17 QUESTION: Yes, but the -- the argument to the
18 contrary would be if you were sitting there thinking that
19 this terrible murderer is in front of you and you are
20 asked what is the appropriate punishment, and on the one
21 hand you were told it's death, and on the other hand you
22 were told -- well, you're not told because a person who
23 wants to retribute, wants vengeance, would surely like to
24 know that the alternative to death, which is surely
25 vengeance, is life in prison forever, not just life in

1 prison for 10 or 15 years. I mean, can you think -- in a
2 death case punishment stage, surely that would be on
3 anyone's list of top five of the relevant factors.

4 MR. WATERS: I don't know if you can necessarily
5 assume that's the case when the jury hears evidence and
6 they're instructed on what to consider and the focus of
7 the evidence is his adaptability in prison, which is the
8 case in this case and many other cases.

9 And I think with regard to life without parole
10 being a response to purely retributive arguments, it's not
11 such an obvious be all and end all response to -- to
12 retribution that due process steps in, as opposed to the
13 situation in Simmons where future dangerousness to society
14 does respond to that. I think that there is still a
15 distinction there that -- that retribution is not
16 necessarily directly responded to by a life without parole
17 sentence, and so --

18 QUESTION: I assume that the reason for these
19 rules were just -- just State principles that the law says
20 what it says, and we don't want to get into the
21 refinements of -- of how long a life sentence may be. In
22 those States that do allow the fact that a life sentence
23 does mean a life sentence to be introduced, do those
24 jurisdictions also permit or do other jurisdictions permit
25 the prosecution to show that a life sentence does not mean

1 a life sentence?

2 MR. WATERS: There -- there are a number of --
3 of jurisdictions that have wrestled with that. I think
4 California v. Ramos is an example of that. Illinois has
5 had -- has wrestled with that. So, some do allow charges
6 on the possibility of commutation, on the possibility of
7 pardon, on the possibility of change in the law and -- and
8 add argument on that as well.

9 And, of course, South Carolina's policy has
10 always been that we want a simple either/or choice, death
11 or life, and -- and we don't want to bring in these
12 collateral concerns. Now, whether the members of this
13 Court disagree with that as a matter of policy, this
14 Court, of course, has stated in many contexts that, you
15 know, the -- the wisdom of policy decisions, as long as
16 they have a certain modicum of -- of reasonableness, are
17 for the States.

18 QUESTION: Is the prosecution allowed to argue
19 in South Carolina when -- when the choice is -- is life or
20 death, is the prosecution allowed to argue the possibility
21 of commutation?

22 MR. WATERS: No, no. Parole and early release
23 is off-limits on both sides of the coin, and there's never
24 been a State case yet to rule that, well, to be fair, the
25 prosecution needs to have that, at least as --

1 QUESTION: Well, that's not really very fair, is
2 it? When -- when the jury, you know -- if you instruct
3 the jury that life means life, it really doesn't mean
4 life. You'd have to let the prosecution come in and say
5 it could be commuted.

6 MR. WATERS: Absolutely, absolutely, and I would
7 agree with that.

8 QUESTION: Or the -- all the law can be changed?

9 MR. WATERS: Or it could be changed.

10 QUESTION: The South Carolina Supreme Court
11 hasn't dealt with that issue, though, has it? Because --
12 because Simmons is relatively new. Has there been a case
13 where the prosecutor says, okay, judge, I said future
14 dangerousness, but I want to tell them that the law can
15 change, that there's a pardon, that there's a clemency
16 power? That hasn't come up, has it?

17 MR. WATERS: Not of which I'm aware. In fact,
18 this rule developed initially when there was parole
19 eligibility, and it was really a rule initially created to
20 -- to benefit defendants, frankly, in -- in that they
21 didn't want the jury to know -- the defense didn't want
22 the jury to know that there was parole eligibility. So,
23 the South Carolina Supreme Court said, okay, we're going
24 to charge life. Life means life imprisonment. That's
25 what it means.

1 QUESTION: Well, then the South Carolina Supreme
2 Court itself changed its rule on that?

3 MR. WATERS: It had. There was a period of time
4 where their -- they did sanction charging on parole
5 eligibility. This was prior to life without parole on --
6 on either 20- or 30-year parole eligibility, depending on
7 the jury's finding of aggravators. That existed, I
8 believe, for about 4 years, and was overturned in 1991 I
9 think. So, there was a period of time.

10 But the policy -- the rule has always stayed the
11 same, though, and that is that we don't want the jury to
12 be legislating a plan of punishment. We don't want to be
13 -- them to be concerned about these possible future
14 events. We want them to make an either/or choice.

15 And South Carolina will remain true to Simmons,
16 but beyond that, unless the policy is considered to be so
17 unreasonable as to not pass the laugh test, then I think
18 that they can potentially -- they can have that policy.

19 And I want to bring up another point, especially
20 with regard to this and -- and what the prosecution did in
21 this case. I don't think the -- the Court should -- or I
22 don't -- I don't think it would be wise to -- to assume
23 that prosecutors are going to be dishonest or to assume
24 that the State court is going to be dishonest and is going
25 to circumvent this rule.

1 And in fact, what the prosecution was doing in
2 this case, yes, he was trying to avoid Simmons, but he was
3 doing that to obey the law. And -- and clearly in -- in
4 Justice O'Connor's concurrence, it said that if the
5 prosecution does not argue future dangerousness, then the
6 charge does not have to be given, and -- and that's what
7 the prosecutor was doing here. So, he wasn't trying to
8 circumvent the law. He wasn't trying to be sneaky. He
9 was trying to obey the law, and -- and --

10 QUESTION: Yes, but he was trying to get the --
11 prevent the jury from getting this information.

12 MR. WATERS: That's true. That's true. He made
13 a tactical decision that he -- and I don't know if it's
14 necessarily tactical -- he was not going to rely on future
15 dangerousness, and since that would not trigger Simmons,
16 then the State law rule would apply. And that's --

17 QUESTION: And -- and he also kind of snuck in
18 the word dangerousness there in the -- in the 30-foot
19 example, but they don't count because they weren't
20 prominent in his argument.

21 MR. WATERS: Well, I'd -- I'd like to -- to
22 address that specifically. At that point in the argument,
23 he was talking about the particular crime in this --

24 QUESTION: What -- what passage are we -- are we
25 talking about a passage? I don't have it in my -- where

1 the -- the prosecutor expressly says future dangerous?

2 MR. WATERS: No, not at all.

3 QUESTION: I thought perhaps from his question
4 that's what we were talking about.

5 MR. WATERS: What he -- what he was doing was he
6 said, okay, this defendant is quick-witted. This
7 defendant -- the evidence says this defendant is not
8 retarded. Now, doesn't that make him more dangerous for
9 Shirley Shealy, for this crime on this January the 5th,
10 for this particular lady? And what he was saying, if --
11 if you read his argument in context, was again that the
12 petitioner in this case was a close family friend of the
13 victim and also used to work at that very same Kentucky
14 Fried Chicken.

15 QUESTION: Mr. Waters, it wasn't quite like
16 that. He said dangerousness, and then counsel for the
17 defense stopped him at that point, and then he came back
18 with, well, I meant dangerous for her.

19 MR. WATERS: Well, I don't know if you can
20 necessarily read that that was at a protracted period. It
21 may have been he just cut off before he finished his
22 sentence. But what he was trying to say was is that he
23 was more dangerous for her because she would have trusted
24 him. She would not have expect to be cut to ribbons by
25 this person because he was her friend. And that's what

1 the prosecution was saying. He was saying that makes this
2 crime more aggravated. It's more premeditated. It's more
3 callous. He preyed on the vulnerability of the victim.

4 QUESTION: And she was outside prison when this
5 happened.

6 MR. WATERS: She was outside prison, but this is
7 retribution. This is, you know, jury, sentence this
8 defendant to death for all the bad things that he has done
9 culminating in this capital crime. This was such a
10 horrible crime. And if you read the prosecution, there's
11 at least five or six examples where he says, what's the
12 punishment that fits the crime? It doesn't matter if he
13 doesn't have a prior record. This case is bad enough on
14 its own. This is a case for the death penalty. And that
15 was a recurrent theme from the beginning, the middle, and
16 the end of his argument, from start to finish.

17 So, I would assert then that, you know, when
18 read as a whole -- and -- and again, if you look at the
19 evidence of dangerousness -- and there's been some raised
20 of whether -- the issue raised of whether dangerousness
21 within prison counts. And obviously, as a matter of
22 logic, it doesn't because, you know, whether or not the
23 defendant is going to get out of prison has nothing to do
24 with whether he will be a danger inside.

25 But more -- more --

1 QUESTION: But if he is a danger inside, it
2 follows that he will be a danger outside if he gets out.
3 Isn't that the kind of common sense inference that anyone
4 would draw?

5 MR. WATERS: I -- I don't think the link is --
6 is so readily made. I mean, it's common knowledge that --
7 that prison --

8 QUESTION: Well, the -- the evidence of -- of
9 his dangerousness included things that he used to like to
10 torture small animals, psychiatric evidence to the effect
11 that he wants to -- to take action, homicidal action,
12 against anybody who annoys him. The -- the word was a
13 little bit more flamboyant in the psychiatrist's
14 testimony, but that was the point. These -- these don't
15 go to conditions that would only come into play inside of
16 a prison.

17 MR. WATERS: Well, in that particular instance,
18 number one, the prosecution never used any of that in his
19 closing argument. But I don't --

20 QUESTION: Well, it brought out in its cross
21 examination.

22 MR. WATERS: Absolutely.

23 But -- but number two, if you -- that there was
24 their adaptability expert, and all of this went to focus
25 on what the jury had before it, which was adaptability to

1 prison, and that's the issue that they were focused upon.

2 And -- and what -- and the expert said, well, you know --

3 QUESTION: Let's -- let's assume that. Let's
4 assume that was the -- was the point. The fact is the
5 evidence came out, and there's -- there's no common sense
6 basis that I can think of to say that this evidence would
7 not indicate that if the individual got out of prison, he
8 would be very dangerous to the people he came in contact
9 with. And I thought you were arguing that you couldn't
10 make such an inference.

11 MR. WATERS: I think that that -- that evidence
12 is very close, but I would -- I would assert that -- that
13 the way it was used in this particular case to respond to
14 adaptability, which is dangerousness within prison, which
15 was the specific issue that was focused before this jury.
16 If the prosecution --

17 QUESTION: But what started all of this, I
18 thought, was -- was the point that you were making that
19 the evidence that went to dangerousness in prison did not
20 ground in inference of dangerousness outside. Maybe I
21 misunderstood your point.

22 MR. WATERS: No. I -- I agree that that
23 particular -- those particular instances are a bit broader
24 than the majority of evidence of dangerousness within
25 prison, but I think that the -- his expert said, look,

1 he's not a violent person. He hasn't had a violent past.
2 He -- he's -- he's not mentally ill. He's -- he's going
3 to be great in prison. He's not the type of individual
4 that poses a risk in prison. He's not a predatorial,
5 institutional violent individual, which their witnesses
6 were noting a distinction between society in prison and
7 society outside of prison.

8 And I think all the State was doing was cross
9 examining on that, saying, wait a minute. You're saying
10 he's going to be adaptable? Well, he says, you know, he
11 has violent fantasies. Well, that was brought out on
12 direct by the defense. They -- they brought that out, of
13 these violent fantasies, and the State was merely cross
14 examining on a point that already had been made by the
15 defense and saying, your opinion here is that he's
16 adaptable. Well, what about this -- this violent fantasy?

17 So, it was only used in the context of -- even
18 though it -- I agree, it has a broader context -- a
19 broader -- you know, it wasn't just his misbehavior in
20 prison, but it was only used by the State here to
21 challenge the adaptability prison in -- or the
22 adaptability issue of -- of what he would do in -- within
23 prison.

24 QUESTION: And it is important to the State, I
25 suppose, to show that he will be dangerous in prison.

1 MR. WATERS: Oh, absolutely. This is the --

2 QUESTION: The jury presumably would not want to
3 give a life sentence to someone who's going to continue to
4 kill in prison.

5 MR. WATERS: Absolutely. And this is -- this,
6 of course, is the state of -- of Skipper, and -- and it's
7 an inevitability that -- that you're going to see an
8 adaptability case. But --

9 QUESTION: The argument is made whenever the
10 State makes that point, that perfectly valid point, to the
11 jury. It automatically triggers Simmons.

12 MR. WATERS: I'm sorry? The -- the point?

13 QUESTION: The argument made is that when the
14 State makes that perfectly valid point about dangerousness
15 in prison, it automatically triggers Simmons. And you say
16 it should not unless -- unless the prosecutor specifically
17 argues violence, dangerousness outside of prison.

18 MR. WATERS: Correct. As a matter of logic
19 that --

20 QUESTION: But that really doesn't help you here
21 because here you further had evidence of his escape risk.

22 MR. WATERS: And --

23 QUESTION: So that this wasn't a guy who was
24 just going to sit quietly and -- and enjoy his time in
25 prison. This is someone who presented an escape risk and

1 hence raised a risk of -- of acting out his dangerous
2 propensity if he succeeds in escaping.

3 MR. WATERS: And I think that that's -- I would
4 agree with that wholeheartedly. I think the majority of
5 the -- of the prosecution's dangerousness within prison
6 evidence went to him being an escape risk, and -- but the
7 fact of the matter is, is whether or not you buy there's a
8 distinction between -- or accept there's a distinction
9 between inside prison and outside society, whether or not
10 -- whatever you think about Simmons, the fact of the
11 matter is that ineligibility for parole does absolutely
12 nothing to respond to the fact that he's an escape risk.
13 He's saying he's going to bust out. It -- the fact that
14 he can't get parole does nothing to respond that he's
15 going to bust out -- that he might bust out of prison.

16 QUESTION: So, you should have been happy with
17 the instruction is what that proves.

18 MR. WATERS: I'm sorry?

19 QUESTION: You should have been happy with the
20 instruction.

21 MR. WATERS: With the?

22 QUESTION: Because you're concentrating on what
23 would happen in prison. So, the instruction wouldn't help
24 you -- it wouldn't hurt you. It would actually help you.

25 MR. WATERS: What? The --

1 QUESTION: This fellow is going to be kept where
2 he'll be the most dangerous. Therefore, you should kill
3 him. That's your argument.

4 MR. WATERS: No. That's -- that's not
5 necessarily my argument. I think the argument, again,
6 goes to adaptability. I certainly -- certainly wouldn't
7 -- wouldn't assert that.

8 But, you know, as far as to his contention that,
9 well, why does the State care, you know, about giving this
10 charge if they're saying they're not raising future
11 dangerousness, again it raises these collateral concerns
12 down the road of pardon, which he's eligible for pardon.
13 It raises the -- the issues of change in the law, and --
14 and the State seeks to avoid those. And so, that's why it
15 cares. It doesn't want to have to get into that.

16 And if that issue is given, I don't know if a
17 trial judge, without direction from the Supreme Court, the
18 State Supreme Court, would allow a prosecutor to then
19 respond with -- with, you know, arguments about change in
20 the law. And -- and I guess, you know, depending on -- on
21 what happens, we'll have to see guidance on that.

22 QUESTION: Mr. Waters, you didn't really mean --
23 your brief could be read to say that -- that the lawyer
24 was effectively allowed to tell the jury that life means
25 life. You said something in your -- the jury -- that

1 because the line was about defendant would never see the
2 light of day.

3 MR. WATERS: Right.

4 QUESTION: It's no different than -- from what
5 it was in Shafer in that respect.

6 MR. WATERS: I -- I fully understand what Shafer
7 held, and my only point with that was, was that the
8 reasons that -- that this Court relied upon in Shafer were
9 not present in this case, to why those were not
10 sufficient, and that was, number one, the jury asked. So,
11 obviously, it didn't work. And number two, that the judge
12 told the jury, well, parole eligibility is not for your
13 consideration, which raised the concern in Simmons that,
14 well, parole is available but for some --

15 QUESTION: But you -- but you don't dispute that
16 if the -- if the lawyer then -- if she had tried to say in
17 open court, now, jurors, I'm going to read you from the
18 South Carolina, that she would have her head cut off by
19 the judge.

20 MR. WATERS: I think it's very unlikely the
21 trial judge would have allowed her to do that.
22 Absolutely.

23 And -- and we would just assert, though, that --
24 that this is a case -- there's no indication whatsoever
25 that the jury was confused or concerned with his possible

1 release. They didn't ask the question. They weren't --
2 it wasn't interjected by the trial judge. And so, all I'm
3 saying is -- is that makes this case materially different
4 from what happened in Shafer when it said that that was
5 insufficient.

6 And this -- this lawyer argued natural life in
7 prison -- imprisonment extensively, said, you have two
8 choices, jury. You have death, a quick, painless death,
9 or you have a long, slow death, and that a wife -- and he
10 asserted earlier, that well, you know, the -- but the jury
11 might think he's going to get out of prison and have a
12 wife and a car. Well, no. The defense argued in this
13 case just the opposite. They -- they argued that a wife
14 is never ever going to happen for Billy Kelly. A car is
15 never ever going to happen for Billy Kelly, and -- and
16 concluded by saying, I think life imprisonment is the
17 right punishment in this case because he'll never see the
18 daylight -- the light of daylight again. So, I think it
19 was clearly made to the jury, and there's no indication
20 that there was confused -- they were confused. There's no
21 indication of a fundamental unfairness in this case.

22 Finally, I think again this Court has stated in
23 -- thank you very much.

24 QUESTION: Thank you, Mr. Waters.

25 Mr. Bruck, you have 4 minutes remaining.

1 REBUTTAL ARGUMENT OF DAVID I. BRUCK

2 ON BEHALF OF THE PETITIONER

3 MR. BRUCK: Thank you, Your Honor.

4 The question has come up again concerning the
5 history of South Carolina's handling of this matter. I
6 should say that a very detailed accounting of the whole
7 history, legislative and judicial, is provided in the cert
8 petition in Simmons itself, and it's not a very edifying
9 tale. It really shows that the legislature, when they
10 first considered a limited life without parole, was
11 deadlocked because of a fear that it would reduce the
12 number of death sentences, and the legislative compromise
13 that finally came out was we can go ahead and have life
14 without parole because you don't have to tell the jury
15 anyway. So, it won't have any effect. And that was
16 the --

17 QUESTION: The Supreme Court of South Carolina,
18 I gather from your opponent, shifted its position too.

19 MR. BRUCK: Yes, it did.

20 QUESTION: And what was the reason for that?

21 MR. BRUCK: It's not entirely clear. The
22 membership of the court changed, and indeed, the -- the
23 legislative leader of this proposal to stop telling the
24 jury or not to tell the jury about parole joined the
25 court, and then the membership -- and then the court's

1 position changed. If there was a connection, I don't
2 know. But it -- it -- it's an odd history.

3 The -- my friend says that, well, South Carolina
4 doesn't want the jury to know about commutation. For a
5 very good reason. There is no such thing as commutation
6 of a life sentence under South Carolina law. We're one of
7 only two life without parole jurisdictions that do not
8 allow the Governor to commute a life without parole or any
9 prison sentence. The commutation power only extends to
10 the death penalty. So, there is a pardon power which --

11 QUESTION: When you say commutation, that's all
12 synonymous with --

13 MR. BRUCK: Clemency.

14 QUESTION: -- clemency?

15 MR. BRUCK: That's right.

16 There is a pardon power which, according to the
17 record in Simmons, has never been exercised, and by its
18 terms requires a showing of the most extraordinary
19 circumstances.

20 And there -- so, South Carolina's life without
21 parole is as locked down as any State's. The idea that,
22 well, there's lots of play is simply not so, and -- and so
23 there's very little that the State could come back with.

24 But as a constitutional matter, if there was any
25 play at the joints, absolutely, if the jury is told the

1 truth that there's no parole, the jury can also be told
2 the truth about any possibility of release that might
3 exist. We don't deny that for a moment. But the State
4 recognizes that the -- that the real issue is the
5 unavailability of parole, and that's why they fight this
6 tooth and nail.

7 Justice Ginsburg inquired about prior cases in
8 which the State Supreme Court has reversed under Simmons.
9 And it's important to keep in mind there are only two, and
10 they both involved cases that were tried before Simmons
11 came down. And that is why in one case the verbatim same
12 argument about what to do with him when he is in our midst
13 was made by the prosecutor because they didn't have
14 Simmons as the script about what not to say.

15 But since Simmons came down and since
16 prosecutors who are of a mind to defeat the rule in
17 Simmons have had the -- the facts of Simmons to go by, not
18 one case by the South Carolina -- the South Carolina
19 Supreme Court has not reversed under Simmons in a single
20 case, in every instance.

21 Now, it's true that occasionally trial judges
22 have given a life without parole instruction under
23 Simmons. Oftentimes it's because a prosecutor, out of a
24 basic sense of fairness, does not take the position that
25 the prosecutor took in this case. We're really dealing

1 with a due process rule where you sort of feel it in your
2 heart that there's something wrong, and that applies to
3 prosecutors and judges too. But when a prosecutor decides
4 to -- to use the Simmons script, they've had very good
5 success in having this instruction not given.

6 And I should say that if this -- if these facts
7 are now held by this high Court to be not to trigger the
8 rule in Simmons, you will see that as being -- as being
9 the rule. Now, it's possible that the legislature will
10 step in. It's equally possible, after such a large
11 loophole in Simmons, a loophole that will swallow the
12 entire case, is decided by this Court --

13 QUESTION: Thank you, Mr. Bruck.

14 MR. BRUCK: Thank you.

15 CHIEF JUSTICE REHNQUIST: The case is submitted.

16 (Whereupon, at 11:59 a.m., the case in the
17 above-entitled matter was submitted.)

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