

ORIGINAL

OFFICIAL TRANSCRIPT
PROCEEDINGS BEFORE
THE SUPREME COURT
OF THE
UNITED STATES

CAPTION: CITY OF BOERNE, Petitioner v. P. F. FLORES,
ARCHBISHOP OF SAN ANTONIO AND UNITED
STATES

CASE NO: 95-2074

PLACE: Washington, D.C.

DATE: Wednesday, February 19, 1997

PAGES: 1-66

REVISED

ALDERSON REPORTING COMPANY

1111 14TH STREET, N.W.

WASHINGTON, D.C. 20005-5650

202 289-2260

RECEIVED
SUPREME COURT, U.S.
MARSHAL'S OFFICE

'97 AUG 12 A11 :42

1 IN THE SUPREME COURT OF THE UNITED STATES

2 - - - - -X

3 CITY OF BOERNE, :

4 Petitioner :

5 v. : No. 95-2074

6 P. F. FLORES, ARCHBISHOP OF :

7 SAN ANTONIO AND UNITED STATES :

8 - - - - -X

9 Washington, D.C.

10 Wednesday, February 19, 1997

11 The above-entitled matter came on for oral
12 argument before the Supreme Court of the United States at
13 10:16 a.m.

14 APPEARANCES:

15 MARCI A. HAMILTON, ESQ., Yardley, Pennsylvania; on behalf
16 of the Petitioner.

17 JEFFREY S. SUTTON, ESQ., State Solicitor of Ohio,
18 Columbus, Ohio; on behalf of Ohio, et al., as amici
19 curiae, supporting the Petitioner.

20 DOUGLAS LAYCOCK, ESQ., Austin, Texas; on behalf of the
21 Respondent Flores.

22 WALTER DELLINGER, ESQ., Acting Solicitor General,
23 Department of Justice, Washington, D.C.; on behalf of
24 the Federal Respondent.

C O N T E N T S

1		
2	ORAL ARGUMENT OF	PAGE
3	MARCI A. HAMILTON, ESQ.	
4	On behalf of the Petitioner	3
5	ORAL ARGUMENT OF	
6	JEFFREY S. SUTTON, ESQ.	
7	On behalf of Ohio, et al., as amici curiae,	
8	supporting the Petitioner	20
9	ORAL ARGUMENT OF	
10	DOUGLAS LAYCOCK, ESQ.	
11	On behalf of the Respondent Flores	33
12	ORAL ARGUMENT OF	
13	WALTER DELLINGER, ESQ.	
14	On behalf of the Federal Respondent	52
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		

1 PROCEEDINGS

2 (10:16 a.m.)

3 CHIEF JUSTICE REHNQUIST: We'll hear argument
4 now in Number 94-2074, the City of Boerne v. Flores. Is
5 that the correct pronunciation of the city?

6 MS. HAMILTON: Boerne.

7 CHIEF JUSTICE REHNQUIST: Boerne. Thank you.

8 Ms. Hamilton.

9 ORAL ARGUMENT OF MARCI A. HAMILTON

10 ON BEHALF OF THE PETITIONER

11 MS. HAMILTON: Mr. Chief Justice and may it
12 please the Court:

13 This case is not about religious liberty. This
14 case is about Federal power. It is about the ability of
15 the United States Constitution to restrain Congress, the
16 branch most likely to be controlled by interest groups and
17 by opinion polls, from engaging in a hostile takeover of
18 the Free Exercise Clause of the First Amendment.

19 The Religious Freedom Restoration Act, which was
20 passed in an emotional and heated response to this Court's
21 determination in Employment Division v. Smith, is a brazen
22 attempt to reinterpret the Free Exercise Clause and to
23 impose that reinterpretation on the courts, on the States,
24 and to shift the balance of power between church and State
25 dramatically in favor of the churches.

1 This is the worst of legislative overreaching,
2 which violates the fundamental structural constitutional
3 guarantees, the separation of powers, Federalism, and
4 separation between church and State.

5 The constitutional bedrock was laid long ago in
6 Marbury v. Madison, where this Court rejected explicitly
7 the notion that the legislature may alter the Constitution
8 by an ordinary act. The Constitution, this Court said, is
9 either superior, paramount law, unchangeable by ordinary
10 means, or it is on a level with ordinary legislation, like
11 other acts, and is alterable --

12 QUESTION: Ms. Hamilton --

13 MS. HAMILTON: Yes, Justice O'Connor.

14 QUESTION: -- certainly you have to come to
15 grips with the fact that, in situations such as the Voting
16 Rights Act, where this Court had said the meaning of the
17 Constitution was that intentional discrimination violates
18 it but had never applied the so-called effects test, yet
19 Congress passed laws of a prophylactic nature saying that
20 discriminatory effects would be sufficient to create a
21 cause of action for discrimination, and we upheld those.

22 MS. HAMILTON: Yes.

23 QUESTION: And there are other similar
24 prophylactic measures, and how do you distinguish those
25 from what Congress now offers in amicus briefing, a

1 rationale that it is a prophylactic measure?

2 MS. HAMILTON: Well, Justice O'Connor, I think
3 the test to understand whether or not section 5 has been
4 appropriately used by Congress is the text of the
5 amendment itself. It states, the Congress shall have
6 power to enforce by appropriate legislation the provisions
7 of this article. Enforce means, to compel obedience to.
8 The provisions of this article means, constitutional
9 guarantees.

10 Every case in which this Court has upheld
11 prophylactic measures fits that formulation. It has been
12 the enforcement, the compelling of obedience to
13 constitutional guarantees. The religious --

14 QUESTION: Well, Katzenbach v. Morgan I think
15 went further than that. I mean, it said that even though
16 Lassiter had said that literacy tests were okay, now
17 literacy tests were not okay in New York for Puerto Ricans
18 because of what Congress had said.

19 MS. HAMILTON: Well, Mr. Chief Justice, Lassiter
20 stated that as a facial matter a particular literacy test
21 was not unconstitutional. The Congress went back and made
22 a factual determination that as they looked out over
23 literacy tests they came to the conclusion that they were
24 almost always evidence of invidious discrimination, and on
25 the basis of that factual determination the Court in

1 Katzenbach v. Morgan upheld Congress' decision to ban
2 literacy tests.

3 It is the difference between the fact-finding
4 capacities of the legislature and this Court's ability to
5 be the final arbiter of the meaning of the Constitution.

6 QUESTION: Well, it's kind of unsatisfactory,
7 really, to think that all you'd get from your argument is
8 at best a notion that we would say Congress had to do a
9 better job of making facts, a factual determination here,
10 and that's kind of an uncomfortable position to be in.

11 I'm not sure -- I mean, they can always go back
12 and build a bigger record. They had something they were
13 looking at, anecdotal events, where they thought courts in
14 general were not giving sufficient attention to laws by
15 States and others that might impinge on the Free Exercise
16 Clause.

17 MS. HAMILTON: Well, Justice O'Connor, the
18 difference between the Religious Freedom Restoration Act
19 and all of this Court's section 5 jurisprudence will turn
20 on the meaning of the word appropriate legislation, which
21 section 5 says. There must be some kind of proportional
22 fit between the means and the end to be appropriate.

23 QUESTION: Well, given that, could we go back to
24 Justice O'Connor's original question, which I think was,
25 in effect, why isn't there an obvious analogy between the

1 situation in the Voting Rights Act, section 2, section 5,
2 and the case that we have before us?

3 Why -- assuming that the voting rights cases
4 were correctly decided, why doesn't this case follow them?

5 MS. HAMILTON: Because Congress in the Religious
6 Freedom Restoration Act was not aiming to ensure
7 constitutional guarantees under the Free Exercise Clause.
8 The purpose, patent on its face and ripe in the
9 legislative history, was to bring into high level of
10 scrutiny that conduct which was constitutionally
11 appropriate.

12 QUESTION: So basically it was the candor of
13 Congress which is going to result in the
14 unconstitutionality of this statute in your view?

15 MS. HAMILTON: Not the candor, Justice Souter,
16 but rather the patent purpose --

17 QUESTION: Well, candor in expressing the
18 purpose.

19 In other words, I take it, then, your argument
20 would be different if Congress had simply kept its cards
21 closer to its vest and had said, we know that under Smith
22 there is a certain standard but, in fact, to guard against
23 violations that would escape that standard we are going to
24 have a slightly different test. That would have been
25 okay.

1 MS. HAMILTON: That still would not pass this
2 Court's section 5 jurisprudence.

3 In the civil rights cases, this Court made clear
4 there must be some colorable evidence of some State
5 wrongdoing that justifies prophylactic activity. There is
6 no evidence in this record to that effect.

7 QUESTION: So it's timing, then. Congress might
8 do this later on if it could point to specific instances
9 in which, under Smith, something had passed muster, and
10 yet later on it was determined that there really had been
11 a motive to discriminate against religion.

12 MS. HAMILTON: Well, presumably --

13 QUESTION: They jumped the gun. Is that
14 basically it?

15 MS. HAMILTON: Well, presumably, if Congress did
16 find specific instances -- for example, there was a set of
17 laws that almost always meant there was discrimination
18 against a particular religion -- in that particular
19 circumstance, Congress would be acting the way they were
20 acting under the Voting Rights Act cases.

21 QUESTION: Okay. So basically I guess it does
22 boil down to the fact that the reason there is not an
23 analogy between the voting cases and this one is a factual
24 record. Congress did not have a factual record. Is --

25 MS. HAMILTON: Well, in addition, it would have

1 been impossible to have gotten a factual record with
2 respect to every law in the United States, whether
3 passed --

4 QUESTION: Well, does Congress -- I assume --
5 I -- and that may be so, but I take it your argument is
6 that Congress in effect has to wait. It cannot guard
7 against what it foresees as a difficulty by legislating in
8 advance under section 5. It's got to wait until there has
9 been a proven record of violation for which the standard
10 of this Court is insufficient to guard. Is that it?

11 MS. HAMILTON: Yes, Justice --

12 QUESTION: To put a finer point on it, let me
13 ask you this. When Congress passed some of the voting
14 rights laws, they in effect made a presumption that where
15 there's been a discriminatory effect by a certain law they
16 must -- that that bears on intent, and it's likely there
17 was a discriminatory intent.

18 Suppose what Congress did here was to prohibit
19 any law that disproportionately affects religious
20 minorities.

21 MS. HAMILTON: If Congress --

22 QUESTION: Or religion. Could they have done
23 that?

24 MS. HAMILTON: If Congress had evidence that
25 there were instances where there was discrimination in a

1 particular arena with respect to particular types of
2 religions, Congress certainly could go ahead and do what
3 you're describing, which is --

4 QUESTION: It could pass a law that says some
5 State law, for example, that disproportionately affects a
6 religious group would be subject to stricter scrutiny?

7 MS. HAMILTON: I think that's possible. It's --
8 that's certainly not RFRA.

9 QUESTION: But you think that's not what was
10 done?

11 MS. HAMILTON: That is not what was done here.
12 The point here was to eviscerate any proof of
13 discrimination of any kind.

14 QUESTION: Ms. Hamilton, in your brief you say
15 that the Court should lay to rest the substantive power
16 theory, i.e. the notion that Congress may expand the scope
17 of constitutional guarantees. I take it you would then
18 opt for a very narrow reading of the opinion in
19 Katzenbach.

20 MS. HAMILTON: Yes. I -- Katzenbach actually
21 had two implicit readings.

22 QUESTION: Yes.

23 MS. HAMILTON: I would hold it to the much
24 narrower holding of the fact-finding capacity of Congress.

25 QUESTION: Ms. Hamilton, when Congress wrote

1 this provision that's central here -- Congress shall have
2 power to enforce by appropriate legislation the provisions
3 of this article -- and when that was ratified by the
4 States, was it understood that the provisions of this
5 article included the First Amendment?

6 I mean, it was clearly understood that it
7 included the Equal Protection Clause, which was at issue
8 in the civil rights cases.

9 MS. HAMILTON: It's not at all clear in the
10 legislative history. We do know that the First
11 Amendment's only included at this point under the
12 Fourteenth Amendment by judicial incorporation.

13 QUESTION: Which is a development of this
14 century.

15 MS. HAMILTON: Right.

16 QUESTION: And, indeed, of the latter two-thirds
17 of this century, isn't it?

18 MS. HAMILTON: Right. There's no --

19 QUESTION: Well, why isn't that the argument
20 you're making, then, that --

21 MS. HAMILTON: Oh, we do make that in a footnote
22 in the opening brief. There are so many things to say --

23 (Laughter.)

24 MS. HAMILTON: -- about RFRA that it's hard to
25 find which one is the most appropriate.

1 QUESTION: Yes, but certainly that's a major
2 point, that when Congress has adopted a provision which
3 it -- or has proposed for a constitutional amendment a
4 provision that enables Congress to prevent the unequal
5 treatment of any citizen, but especially of blacks, which
6 is what was at issue at the -- to convert that into the
7 power of Congress to enforce any provision of the Bill of
8 Rights, isn't that a massive alteration of the original
9 meaning of it?

10 MS. HAMILTON: It's staggering.

11 QUESTION: Ms. Hamilton --

12 (Laughter.)

13 QUESTION: Ms. Hamilton, the historical record
14 is not all one way on that point, isn't that so?

15 MS. HAMILTON: No. One of the problems with the
16 history of the Fourteenth Amendment, I'm sorry to say, is
17 that John Bingham did say several things that contradicted
18 himself several times, but I think it's clear that if you
19 look at the discussions of the history of the Fourteenth
20 Amendment regarding religion, the concerns about religion
21 were not religious liberty per se, they were concerns
22 about discrimination against particular groups on the
23 basis of religion, so the notion that religious liberty
24 per se is protected by the Fourteenth Amendment and now
25 there's broad expansive power to enforce, that's certainly

1 not in the legis --

2 QUESTION: Why is --

3 MS. HAMILTON: -- in the history of the
4 Fourteenth Amendment.

5 QUESTION: Why is it staggering, even under a
6 pre-Fourteenth Amendment view -- I mean, sorry, the
7 ancient view that was being described.

8 (Laughter.)

9 QUESTION: Why, even under that view, is it a
10 staggering thing to say, well, there's certainly some
11 protection in due process liberty of people's religious
12 freedom, and Congress finds that when you have laws that
13 significantly affect that freedom, they should be looked
14 at very closely to make certain there's good reason for
15 not making an exception.

16 I mean, if you just heard it just like that,
17 you'd think that's a -- not an unreasonable or a
18 staggering thing to say as a way of enforcing the
19 protection that was originally in that word liberty,
20 whether then or now.

21 MS. HAMILTON: Well --

22 QUESTION: And why is it such an odd thing that
23 we should look to the -- scrutinize the evidentiary
24 records of --

25 MS. HAMILTON: Well, Justice Breyer, I think the

1 point that Justice Scalia was leading me to with the --
2 and I was able to get in staggering was that the question
3 of the definition of appropriate, how far can Congress go
4 to enforce constitutional guarantees, and the real
5 question in this case is what does prophylactic mean if,
6 in fact, they have a prophylactic power?

7 It would seem like they should have the most
8 expansive power that they could have under the meaning of
9 the Fourteenth Amendment with respect to racial
10 discrimination. The history supports that.

11 Then the question is, well, how far does that
12 prophylactic power go in other areas of section 1, and our
13 argument is that it certainly can't go to the point where
14 Congress gets to redefine the meaning of the Constitution
15 rather than attempting to enforce it in some way.

16 QUESTION: As far as our prior holdings go, have
17 we ever extended that power to anything except the equal
18 protection provisions of the Constitution?

19 MS. HAMILTON: The only case that would indicate
20 that it was extended at all would be *Hutto v. Finney*, and
21 there's no prophylactic power question in that case.

22 QUESTION: Well, I take it -- I want to make
23 sure I understand your argument. Your argument is that
24 with respect to the protection of rights incorporated
25 under the incorporation theory there can be no substantive

1 expansion. There simply can be a kind of reasonable means
2 ends jurisdiction to enforce, and I take it that is
3 basically what you would say the Necessary and Proper
4 Clause would have provided by its own force.

5 MS. HAMILTON: I think that's right.

6 QUESTION: Why --

7 MS. HAMILTON: I think *McCulloch v. Maryland*
8 applies in both instances.

9 QUESTION: Yes, and I guess where I'm not sure
10 is, why is it that you make this distinction between the
11 protection of incorporated rights and the protection of
12 rights which are spelled out in the text of the amendment?

13 I mean, if the incorporation theory is wrong,
14 then it's wrong, but if the incorporation theory is right,
15 why can we draw a line between what section 5 provides?

16 MS. HAMILTON: Well, the city certainly does not
17 challenge incorporation per se. We're certainly not
18 saying --

19 QUESTION: Okay, then how can we draw the line
20 between the rights with -- as to congressional powers to
21 incorporated rights and nonincorporated --

22 MS. HAMILTON: I think the argument is that
23 *Katzenbach v. Morgan* was applied to equality rights, and
24 if there's going to be a broad reading under the
25 Fourteenth Amendment for enforcement, it would have to be

1 with equality rights, and if that's right, Katzenbach must
2 be the upper limit.

3 If Katzenbach is the upper limit, the Religious
4 Freedom Restoration --

5 QUESTION: No, but that still assumes -- when
6 you say it's the upper limit, I assume you're talking in
7 terms of categories, and you are still drawing a line
8 between Congress' power with respect to incorporated
9 rights and with respect to section 1 rights, and I don't
10 understand -- if incorporation is not to be overruled, I
11 don't understand how that line can be drawn on a
12 principled basis.

13 MS. HAMILTON: Your Honor, the city's argument
14 does not center on extinction. Even taking the broadest
15 power that the Congress has been permitted with respect to
16 equality rights, Congress has not been permitted, indeed
17 hasn't tried what it has done with the Religious Freedom
18 Restoration Act, which is to completely redefine the
19 meaning of an entire clause of the Constitution.

20 QUESTION: Is your main point, then, with
21 respect to that branch of your argument that what Congress
22 may be able to do in a particularized way, focusing on a
23 discrete problem, it can't do wholesale? Is that --

24 MS. HAMILTON: That is exactly our argument,
25 Justice Ginsburg.

1 QUESTION: Is that a separation of powers
2 argument in part, that we must proceed on a case-by-case
3 basis? Is there something of that in your --

4 MS. HAMILTON: I think --

5 QUESTION: -- separation of powers argument?

6 MS. HAMILTON: I -- Justice Kennedy, there --
7 the separation of powers argument is that the one thing
8 that Congress cannot do is to enact a standard that will
9 apply across every law in the country and will, in fact,
10 mimic the Constitution in its scope.

11 QUESTION: And does that apply to the validity
12 of the law in its Federal aspect, or just vis-a-vis the
13 States?

14 MS. HAMILTON: The separation of powers argument
15 would in fact invalidate this law as applied to both State
16 and Federal law.

17 QUESTION: Well, you are saying in effect I
18 think that Congress under section 5 cannot anticipate what
19 it sees as a likely difficulty and provide for it by
20 legislation, but Congress can do that generally. Why do
21 you draw that distinction?

22 MS. HAMILTON: Justice Souter, I'm confused
23 about when Congress has been able to do that generally.
24 Are you thinking about cases like --

25 QUESTION: We don't generally except in very

1 specific instances -- the First Amendment I suppose is a
2 good example. We do not generally require Congress to
3 make the kind of factual record that I think you're
4 talking about.

5 When, in fact, individual rights are being
6 invaded as, for example, in First Amendment cases, yes, we
7 do scrutinize rather carefully, but that is not the
8 general rule, and I think you are saying that even though
9 there are no individual rights being invaded here, that in
10 fact that high level of scrutiny, that high burden on
11 Congress to make a record, is being applied, and I don't
12 understand why you say that.

13 MS. HAMILTON: Your Honor, we're not arguing for
14 a high level on Congress. We're really not arguing for
15 much more than this Court stated --

16 QUESTION: Well, you're saying it cannot
17 anticipate a problem without in effect making a factual
18 record to show that in a specific instance the problem has
19 already occurred. That is what you're saying, isn't it?

20 MS. HAMILTON: Well, Justice Souter, that seems
21 to me the only way to prevent massive usurpation of State
22 law that RFRA effects. Unless Congress has a reason --

23 QUESTION: Well, why doesn't Congress have the
24 same risk of massive usurpation whenever it is legislating
25 under Article I in cases in which it's noninfringing or

1 risking an infringement of individual rights?

2 MS. HAMILTON: Well, Your Honor, the most
3 appropriate case would be Heart of Atlanta Motel, in which
4 Congress did provide for rights against discrimination in
5 the Commerce Clause context when it was acting
6 appropriately according to that enumerated power. There
7 were massive fact-findings in that case as to the effect
8 on interstate commerce.

9 QUESTION: Well, it had an enumerated power
10 under the Commerce Clause and the Necessary and Proper
11 Clause, and I suppose it's got an enumerated power under
12 section 5. What's the difference?

13 MS. HAMILTON: The difference is the language of
14 section 5, which limits enforcement to the enforcement of
15 constitutional guarantees.

16 QUESTION: The Necessary and Proper Clause does
17 the same thing.

18 MS. HAMILTON: The Necessary and Proper Clause,
19 Your Honor, gives Congress the ability -- may I finish my
20 answer?

21 QUESTION: Finish your answer, yes.

22 MS. HAMILTON: Gives Congress the ability to
23 make effective its enumerated powers, but it does not say
24 that Congress can do what it did in the Religious Freedom
25 Restoration Act.

1 QUESTION: Thank you, Ms. Hamilton.

2 Mr. Sutton, we'll hear from you.

3 ORAL ARGUMENT OF JEFFREY S. SUTTON

4 ON BEHALF OF OHIO, ET AL., AS AMICI CURIAE,

5 SUPPORTING THE PETITIONER

6 MR. SUTTON: Thank you, Mr. Chief Justice, and
7 may it please the Court:

8 First of all, in response to Justice O'Connor's
9 first question, there are two critical differences between
10 RFRA and every section 5 case that has come before it.
11 First, there is no predicate of a violation, and second,
12 there is no attempt to remedy in any way.

13 The key problem with RFRA, what makes it
14 different from every piece of section 5 legislation that's
15 ever been reviewed by this Court or ever enacted, is that
16 it's totally global in nature.

17 It simply creates a new standard of review for
18 every single form of State action that ever existed
19 before, or ever will exist. It contains no time
20 limitations. It's simply a constitutional amendment in
21 section 5 clothing. The Court has never approved that. I
22 don't think they should approve it now.

23 Justice Scalia, with respect to your question
24 about incorporation, I respectfully disagree about the
25 notion that we can draw the line with respect to

1 unincorporated and incorporated rights. It's true the
2 Court has never held that section 5 applies to
3 incorporated rights, and I've worked hard and long to
4 figure out a way to make something out of that. I simply
5 can't.

6 Section 5 applies by its terms to every
7 provision of the Fourteenth Amendment. That includes --

8 QUESTION: Well, but now, when you say
9 incorporated rights, we've been quite selective, haven't
10 we, in what rights are incorporated. We haven't said they
11 either all must be incorporated or none of them. We
12 haven't incorporated all, have we? We felt free to leave
13 out the Second Amendment, to leave out aspects of the
14 Sixth Amendment, isn't that correct?

15 MR. SUTTON: That is true, Your Honor.

16 QUESTION: Then why can't we read section 5 the
17 same way? Section 5 applies to certain of the provisions,
18 those that are clearly set forth in the text of the
19 article, but not to the ones that are sucked in by much
20 later judicial interpretation.

21 MR. SUTTON: As your incorporation decisions
22 read, section 5 in this instance is not enforcing the
23 First Amendment. It's enforcing the Due Process Clause.
24 That's how they read.

25 If the notion had been Justice Black's Adamson

1 notion, that you incorporate across the board, it might be
2 different, but that's not how they read. They talk about
3 liberty interests. One liberty interest is the Free
4 Exercise Clause. It applies across the board to States,
5 and the Federal Government, same standard of review.
6 There's simply no line you can draw.

7 QUESTION: What if Congress were to say that we
8 think that the grand jury provision of -- what is it, the
9 Seventh, the Sixth Amendment? -- should be incorporated,
10 although the Court never has, so we're going to require
11 the States under the enforcement power for Article V, the
12 Fourteenth Amendment, to have -- require -- all criminal
13 prosecutions have to be initiated by a grand jury.

14 MR. SUTTON: Absolutely not, Your Honor.
15 Section 5 would not allow you to enforce that because it
16 doesn't appear in section 1. Section 1 does cover the
17 Free Exercise Clause. But this --

18 QUESTION: How do we know that section 1 doesn't
19 cover the grand jury provision?

20 MR. SUTTON: Excuse me, Your Honor. That's a
21 fair point. I suppose, as a --

22 QUESTION: Thank you.

23 (Laughter.)

24 MR. SUTTON: To understate the matter.

25 (Laughter.)

1 MR. SUTTON: It's true as a predictive matter I
2 think Congress -- you're probably right that Congress
3 could say it does incorporate, it could pass legislation
4 saying the States have to comply with the grand jury
5 provision, but when that piece of legislation came here,
6 the question of a violation is up to you. It's not up to
7 Congress.

8 As to violations, there is no deference. As to
9 remedy -- and Justice Souter, I want to get to your
10 point -- there is substantial deference.

11 Now, the question of the factual record is a
12 difficult one, and it's very problematic for my side. I
13 understand that. I think the starting point is the
14 Court's decision in South Carolina v. Katzenbach. What
15 did they do when they first started allowing prophylactic
16 legislation under section 5?

17 First, they looked at the record. They looked
18 at what Congress had done, what it had found. There were
19 commissions. There were studies.

20 Secondly, they looked at a series of case
21 findings from this Court, from lower courts, establishing
22 a pervasive and systematic disenfranchisement of the
23 minority vote.

24 Thirdly, they looked at commentary. As in
25 Lopez, that is all helpful.

1 If Congress does it, it's smart, because I think
2 what it does is, it makes it easier for you to uphold what
3 they've done, but again, as in Lopez, it's not
4 indispensable. If they want to take their chances, be
5 completely silent as to why they're doing something, they
6 can take a risk, and it then becomes your job,
7 regrettably, to figure out whether there are underlying
8 violations.

9 QUESTION: And in that respect, is there some
10 requirement that the degree of intrusion on the States
11 must be roughly balanced by the severity of the problem
12 they're trying to correct? Is that the calculus we use?

13 MR. SUTTON: Justice Kennedy, that is precisely
14 it. As you said and Justice O'Connor said in Lopez, there
15 is an etiquette of Federalism, and one of the principle
16 etiquettes of Federalism is that the States, and State
17 employees like me, are presumed to know what the
18 Constitution means and to be able to follow it.

19 The only reason you can turn that presumption on
20 its head and create an effects test as you suggested,
21 Justice Breyer, is if we've proved we don't know what the
22 Constitution means.

23 Congress can bench us. If we don't know how to
24 follow the Constitution, if we've made mistakes in the
25 past with respect to a certain provision, that's fine.

1 Congress has significant prophylactic remedial power to
2 come in and remedy the violation, but you can't have a
3 remedy without a wrong.

4 QUESTION: Mr. Sutton, it seems to me you
5 overestimate the sturdiness of this institution. We have
6 here a statute unanimously passed by Congress. There was
7 virtually no dissent, and you want us to say it's no good
8 and to judge future statutes on the basis of such
9 ineffable principles as the etiquette of Federalism.

10 I mean, it's one thing for this Court to have a
11 clear line which we can hide behind and say that this is
12 good and that is bad, but to expect us case-by-case to go
13 into this kind of an analysis of whether there's
14 sufficient factual inquiry and what-not, do you really
15 think we can carry that much water?

16 MR. SUTTON: Well, first of all the unanimity
17 behind RFRA strikes me as a wonderful opportunity from a
18 Federalist perspective.

19 If you agree with our argument, I suggest there
20 will be 51 RFRA's when all is said and done. The States
21 aren't going to stand idle. My boss is not going to stand
22 idle after the argument I'm making today, if it prevails,
23 I can promise you that.

24 The States are -- they're doing a great job when
25 it comes to Free Exercise Clause issues, so first of all,

1 I don't think there's a -- I don't think there should be a
2 concern about underprotection.

3 As to the institutional issue, I tell you, I've
4 been thinking long and hard about this. I can't see a
5 bright line out there. There wasn't one in Lopez. All
6 you had was, substantially affects interstate commerce.

7 The only bright line I can offer --

8 QUESTION: You're sure that one's going to hold,
9 too, aren't you?

10 (Laughter.)

11 MR. SUTTON: I do. I do for -- but -- well,
12 actually, there is one bright line. The one bright line
13 which clearly has not been crossed here is a record of
14 violations. They can't show them. All the record
15 shows -- I mean, when they wrote this statute, they were
16 looking in this direction. They didn't like Smith. They
17 thought there would be problems with Smith.

18 But their big concern about the States was not
19 that they'd violate Smith. They were concerned we would
20 respect Smith, and that alone, and wouldn't do anything
21 more.

22 When they enact section 5 legislation, they've
23 got to be looking in this direction, and you've got to
24 look this direction.

25 QUESTION: When you say, looking in this

1 direction, you mean looking towards decisions of this
2 Court which interpret the provision in question, the --

3 MR. SUTTON: That is absolutely correct, and
4 once they establish what the right interpretation of the
5 provision is, they've got to establish that the States
6 were violating --

7 QUESTION: They cannot, then, come forth with
8 their own interpretation, you're saying. They must depend
9 on the interpretations from this Court.

10 MR. SUTTON: That has to be correct, Your Honor.
11 If it's not correct, two things happen. First of all,
12 Congress has permission to effectively overrule Marbury v.
13 Madison, interpret the Constitution as it wishes, and make
14 that interpretation binding on this Court and throughout
15 the country.

16 Secondly, it transforms the Federal Government,
17 and specifically Congress, from one of limited to one of
18 totally unlimited powers.

19 QUESTION: If we can separate them, your Marbury
20 argument from your Federalism argument, suppose Congress
21 said, we want to lead by example, so we're going to have a
22 wholesale exemption that will cover all Federal
23 legislation, that will cover all regulations by all
24 Federal agencies, and these will be the standards, the
25 standards that are in RFRA, and just on the Federal level.

1 We're leaving the States alone.

2 Would there be any constitutional infirmity in
3 that?

4 MR. SUTTON: Not at all, Your Honor.

5 QUESTION: Even though Congress has again said
6 what it thinks should have been the Smith doctrine,
7 instead of what was?

8 MR. SUTTON: Excuse me. I didn't speak as
9 precisely as I should have. Clearly the Court still would
10 have to review, I think, the question as to what Smith
11 means. I don't think as a matter of Federal power they
12 can reinterpret the Constitution with respect to what it
13 means as to Federal agencies, so I --

14 QUESTION: But they're not saying they're
15 reinterpreting the Constitution. They're saying, we know
16 we can give exemptions, but we don't want to have to go
17 through all these statutes. We may miss some. So we just
18 have this wholesale exemption.

19 MR. SUTTON: You're right, I should have stopped
20 the first time. You're absolutely correct.

21 (Laughter.)

22 MR. SUTTON: And the reason you're absolutely
23 correct is they don't need to rely on the Free Exercise
24 Clause. Who cares what the Free Exercise Clause means?
25 Congress has authority to regulate Federal employees,

1 Federal agencies, and if they want to create exemptions,
2 they can.

3 QUESTION: Consistently with the Establishment
4 Clause.

5 MR. SUTTON: Yes, consistent with the
6 Establishment Clause. That's absolutely correct.

7 QUESTION: Can I go back for a second to your
8 answer to the Chief Justice? Does Congress have a degree
9 of leeway? Perhaps it can't make up any interpretation of
10 the First Amendment or the Fourteenth. But where the
11 Court itself has been shifting back and forth over time,
12 might they not have leeway to determine what the
13 interpretation that they're trying to enforce is?

14 If not, of course, statutes will become
15 constitutional today, and unconstitutional tomorrow, and
16 reconstitutional the next day, if this Court doesn't
17 perfectly and always hew precisely to the same
18 interpretation, which I suspect in the past it has not
19 always done.

20 MR. SUTTON: Your Honor, the first answer to
21 that question is, it's a good reason to respect the
22 Jeffersonian vision for this country. Let the States be
23 the principal bulwark when it comes to protecting civil
24 liberties. I think that's an important starting point.

25 The second question's answer is, clearly as a

1 predictive matter they can take their chances. They can
2 take the view that here's what the Free Exercise Clause
3 means when it gets to this Court. If they're right, the
4 legislation's sustained. If they're wrong, the
5 legislation falls.

6 QUESTION: And if this Court changes its mind
7 over the course of months or years, the legislation
8 becomes revalid, then invalid, then revalid, et cetera?

9 MR. SUTTON: No. Excuse me, Your Honor. I
10 don't think that's correct. Once it gets to the Court,
11 the day it's here at the Court to be reviewed, if it's
12 inconsistent with what the Free Exercise Clause -- it's
13 struck. It doesn't sit on the books waiting for a new
14 interpretation of the Free Exercise Clause.

15 I don't think I know of any precedent that
16 allows statutes to languish and effectively --

17 QUESTION: Two quick questions, please. Do you
18 agree with Ms. Hamilton that Congress certainly could pass
19 some law that would affect State laws as well, that an
20 apparently neutral law that disproportionately affects
21 religious groups would be required to meet a higher test?

22 MR. SUTTON: No, Your Honor, I do not, and the
23 reason is that there are no underlying violations that
24 would sustain such a remedial power. If there were, it
25 would be appropriate for Congress to come in, pass a

1 statute, and say, an effects test is sufficient. If you
2 don't require an underlying violation, you're presuming
3 State employees are going to violate the Constitution.

4 QUESTION: Well, a second very quick question
5 you can answer yes or no. Are you relying at all, either
6 you or Ms. Hamilton, on an Establishment Clause violation
7 in your argument?

8 MR. SUTTON: We are not, and personally I hope
9 you reject it, because that's our mission. We want to be
10 able to overprotect free exercise rights. Ohio, and I
11 think most States, would say they're not in the business
12 of beating the --

13 QUESTION: Well, are you suggesting that if you
14 overprotect you have an Establishment Clause problem?

15 MR. SUTTON: I certainly hope not. I hope we've
16 got a lot of room to overprotect religious liberties.
17 It's something we think is important. It's something we
18 want to do. We obviously don't want to do it in a way
19 that it violates the Establishment clause, though.

20 Your visual cues aren't good. The -- I

21 (Laughter.)

22 MR. SUTTON: Let me try to restate that. I'm
23 not sure I stated it very well.

24 The -- I -- my view of the Establishment Clause
25 is that clearly the --

1 QUESTION: I can't quite see your eyes.

2 (Laughter.)

3 MR. SUTTON: My view of the Establishment Clause
4 is that States are entitled to overprotect free exercise.
5 In fact, I think that's exactly what Smith says. We will
6 leave this to the States and localities to overprotect.

7 But I agree with you, there is a line. There is
8 a ceiling, and we can't go over it. We can't so
9 overprotect that in a way we're establishing a religion
10 which violates --

11 QUESTION: But if religious adherents have a
12 preference under every regulation, every statute, every
13 ordinance, does that not raise free exercise -- or
14 establishment problems that are very significant?

15 MR. SUTTON: I would submit RFRA in that respect
16 is no different from the Free Exercise Clause itself. The
17 Free Exercise Clause does no more than just protect
18 religion. It has no other purpose. RFRA does exactly the
19 same thing, but just goes a little further. That's fine.
20 It's good as long as you don't go too far and establish a
21 religion.

22 Now, it may be that my view of overprotecting is
23 going to push us into the Establishment Clause, and at
24 that point I'm in trouble, but until then, I think it's
25 appropriate and quite legitimate for States to overprotect

1 free exercise rights. That's why we support the policy
2 behind RFRA. That's why we'll enact it at the State level
3 if it's invalidated.

4 QUESTION: What do you mean, overprotect? What
5 does that word mean as you're using it?

6 MR. SUTTON: Well, as many of the dissenters
7 indicated in Smith, as the Congressmen and Congresswomen
8 indicated in pass -- may I finish the question?

9 QUESTION: Yes.

10 MR. SUTTON: As they indicated in passing --

11 QUESTION: You can finish the answer.

12 MR. SUTTON: In passing RFRA, Smith allows
13 generally applicable neutral laws to pass free exercise
14 scrutiny. They may be instances where exemptions are
15 appropriate, even though it happens to be a generally
16 applicable law, and I think a State's entitled to --

17 QUESTION: So you just mean an exemption is an
18 overprotection. Is that what you're saying?

19 MR. SUTTON: Yes.

20 QUESTION: Okay.

21 QUESTION: Thank you, Mr. Sutton.

22 Mr. Laycock, we'll hear from you.

23 ORAL ARGUMENT OF DOUGLAS LAYCOCK

24 ON BEHALF OF THE RESPONDENT FLORES

25 MR. LAYCOCK: Mr. Chief Justice, and may it

1 please the Court:

2 This case is controlled by an unbroken tradition
3 of congressional practice and judicial decision that
4 begins with the Civil War amendments themselves. From the
5 Civil Rights Act of 1866 to RFRA in 1993, Congress has
6 always understood that it has power to make constitutional
7 rights effective in practice and to go beyond the floor
8 set by this Court.

9 QUESTION: Well, certainly some of the early
10 cases on which Katzenbach relied are not to that effect.
11 The -- Ex parte Virginia was simply a case where Congress
12 had decided that State officials who violated the
13 Fourteenth Amendment should be subject to criminal
14 prosecution. That's no extension of the Fourteenth
15 Amendment at all.

16 MR. LAYCOCK: I agree the holding in Ex parte
17 Virginia did not present the question we have presented
18 here, but the standard the Court announced in Ex parte
19 Virginia was that the congressional power reaches
20 whatever is adapted to carry out the objects the
21 amendments have in view, and by the next case 4 years
22 later, the civil rights cases adopted the badges and
23 incidents theory of the enforcement power under the
24 Thirteenth Amendment, which plainly goes vastly further
25 than anything this Court would ever consider doing under

1 the Thirteenth Amendment.

2 QUESTION: Well, there is that word appropriate
3 in there, in section 5, I guess, that might bear some
4 interpretation or weight.

5 MR. LAYCOCK: It --

6 QUESTION: It has to be appropriate.

7 Now, you admit, I suppose, that Congress cannot
8 come in and overrule a decision of this Court it doesn't
9 like by legislation.

10 MR. LAYCOCK: That is not contested.

11 QUESTION: Excuse me?

12 MR. LAYCOCK: Everyone agrees with that.

13 QUESTION: Yes.

14 MR. LAYCOCK: Congress cannot overrule the
15 Court.

16 QUESTION: And there's some indication that that
17 was what Congress was all about here, if you read the
18 purpose clause. Does that concern us at all? Do we have
19 to address that concern?

20 It also made it retroactive, so presumably the
21 effect would be to overturn Smith --

22 MR. LAYCOCK: The effect is to --

23 QUESTION: -- retroactively.

24 MR. LAYCOCK: The effect is to achieve a
25 different result in some cases than Smith would achieve --

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25

QUESTION: Well, and indeed, in Smith.

MR. LAYCOCK: Pardon?

QUESTION: And in Smith itself. If that were to come up again, I guess this would be an effort by Congress to overturn that decision.

MR. LAYCOCK: Well, it would be -- overturn is shorthand.

QUESTION: Directly and retroactively.

MR. LAYCOCK: Pardon?

QUESTION: And retroactively.

MR. LAYCOCK: Yes. Overturn is shorthand, but yes, to achieve a different result on similar facts under the statute than we would achieve under the Constitution itself, that's correct, but that's no different from the Voting Rights Act or from Title VII.

QUESTION: Mr. Laycock, do you think it overturns Reynolds?

MR. LAYCOCK: Do I think it overturns Reynolds?

QUESTION: Yes.

MR. LAYCOCK: No, I don't think it overturns Reynolds, but the -- that's a compelling interest question. That's a question whether protecting women is -- and the other harms of polygamous marriage would be a compelling interest.

1 QUESTION: Well, of course, Reynolds didn't
2 reason on that basis. I mean, there wasn't any compelling
3 interest standard at the time of Reynolds.

4 MR. LAYCOCK: You would write a different
5 opinion than you wrote in Reynolds, but it's not at all
6 clear the result would be any different than in Reynolds,
7 but that would be up to this Court. This Court retains
8 the final decisionmaking power on all the cases brought
9 under the statute or brought under the --

10 QUESTION: How about cases involving prisoners
11 who -- and I've seen several of these since I've been
12 here, and petitions claiming a right in prison to smoke
13 marijuana as part of their religious practices and
14 beliefs.

15 Now, presumably, a refusal by prison authorities
16 to make marijuana available to a prisoner based on
17 religious belief would now be subject to strict scrutiny.

18 MR. LAYCOCK: We all know that prisoners file
19 frivolous claims. We all know they lose those claims --

20 QUESTION: Well, it would require that, would it
21 not?

22 MR. LAYCOCK: It requires a claim, but the one
23 piece of data on that is in the brief of the State of
24 Texas, which reports that of all the cases pending against
25 the State and its agencies, less than one-quarter of 1

1 percent are RFRA claims. Most of those are joined with
2 other claims that would have been filed anyway, and many
3 of them are frivolous prisoner claims that would --

4 QUESTION: Well, certainly it would be open in
5 the future for that claim to be made, or for some child to
6 claim that their religious beliefs require them to take
7 weapons to school, or that somebody has an absolute right
8 under the Free Exercise Clause in the military context to
9 wear yarmulkes, or other religious dress or head gear as
10 their religion dictates, and that would overturn, I guess,
11 a decision of this Court on that subject.

12 MR. LAYCOCK: Well, it would require the Court
13 to apply a different standard under the statute, but the
14 district judges --

15 QUESTION: Excuse me. A different standard from
16 Goldman? I thought Goldman applied exactly the standard
17 that the statute wants. Would Goldman be overruled by
18 this statute, which was the yarmulke case, whether an Air
19 Force officer can wear --

20 MR. LAYCOCK: Goldman was overruled by a
21 particular statute shortly after it was decided.

22 QUESTION: I'm not talking about that one.
23 Would this statute overrule Goldman?

24 MR. LAYCOCK: RFRA -- It's hard to imagine a
25 compelling interest in --

1 QUESTION: But we held there was one. We held
2 there was one. Would our holding that there was a
3 compelling interest in the Air Force not to have anybody
4 wearing a yarmulke, would that holding be overturned?

5 MR. LAYCOCK: If that's how you read Goldman, it
6 is not overruled. I always read Goldman as the military
7 exception in refusing to apply the compelling interest
8 test, but if there's a compelling interest, then Goldman
9 is not changed.

10 QUESTION: Well, compelling interest has an
11 institutional, a juridical meaning based on our past
12 cases, and I assume under ordinary principles of statutory
13 construction that that is what Congress intended.

14 MR. LAYCOCK: That is correct.

15 QUESTION: So Congress really hasn't tied our
16 hands very much. I mean, if we can say that there's a
17 compelling State interest in not having somebody wear a
18 yarmulke, I guess we have a lot of running room still,
19 don't we?

20 (Laughter.)

21 MR. LAYCOCK: You might reconsider that holding
22 if it ever comes up.

23 (Laughter.)

24 MR. LAYCOCK: But yes, you still have a lot of
25 running room, and this Court interprets RFRA and retains

1 its independence as it interprets RFRA.

2 QUESTION: I'd like to hear your response --

3 QUESTION: One -- if I can, just one moment,
4 Justice Breyer, Congress does -- this Court doesn't have
5 independence if it's construing a statute which has
6 imported into its terms a term of art that had fairly
7 specific meaning, i.e., compelling interest. It was
8 watered down considerably, but that's the statutory
9 standard we must follow, is it not?

10 MR. LAYCOCK: That's the statutory standard you
11 must follow, but you have the same independence in
12 interpreting that statute that you have in interpreting
13 any other statute, which isn't to say unconstrained
14 freedom. Of course the Court has precedents that it
15 follows, canons of interpretation it follows. It tries to
16 achieve congressional intent. But none of that is a
17 threat to the independent judiciary --

18 QUESTION: Well, but -- compelling -- we're
19 interpreting what Congress meant by compelling interest in
20 the statute --

21 MR. LAYCOCK: That's correct.

22 QUESTION: -- rather than, as we had previously
23 thought, what we meant by a compelling interest under the
24 Constitution. That's quite different.

25 MR. LAYCOCK: Well --

1 QUESTION: It's dramatically different.

2 MR. LAYCOCK: It's a different task, but it's no
3 less judicially independent. You still get the final word
4 on what the statute means.

5 QUESTION: Well, except if we're faithful to our
6 oaths we've got to say, we're looking at what Congress
7 meant by this. We have the final word on what a statute
8 means, too, but that's not nearly the same thing as
9 having, as Marbury said, the final word on what the
10 Constitution means. There we cannot be overridden except
11 by an amendment.

12 MR. LAYCOCK: I don't think we disagree, Mr.
13 Chief Justice. I think that -- I think we may be talking
14 about two different senses of independent.

15 QUESTION: Well, certainly under this Court's
16 notion of the needs and authority of the military we've
17 given a good deal of deference to military requirements,
18 just as we have to prison disciplinary requirements in the
19 prison context, and so our balance might well come out
20 differently in those cases in the past.

21 But there appears to be no room for that kind of
22 thing under the law Congress has passed, and that isn't
23 the test employed. Congress did not, in fact, return
24 faithfully to this Court's interpretations in the past.
25 It did something else.

1 MR. LAYCOCK: Congress attempted to apply the
2 compelling interest test across the board, but Congress is
3 also quite clear that what is a compelling interest
4 depends upon context. It's easier to show a compelling
5 interest in a prison or in the military than in --

6 QUESTION: I'm not even sure what compelling
7 interest means in the peyote case itself, because the
8 Court was divided on that issue. I'm not sure this is
9 quite as clear a concept as everyone assumes.

10 MR. LAYCOCK: I share that assumption. That's
11 just further evidence of why this is not such a dramatic
12 power grab. The power of interpreting compelling
13 interests remains in this Court.

14 QUESTION: Have you considered the possibility
15 that Congress might have been well within section 5 with
16 respect to its insistence upon, we'll call it an effects
17 test, but perhaps went too far when it got to enacting a
18 compelling interest criterion? Can the baby be split?

19 MR. LAYCOCK: In theory the baby can be split,
20 but there's no basis in section 5 to make that split.

21 What -- the compelling interest test comes out
22 of this Court's interpretation of the Free Exercise
23 Clause. All Congress did was change the threshold that
24 the plaintiff must show to shift the burden. This is a
25 burden-shifting statute like the other intention and

1 effect statutes.

2 QUESTION: Mr. Laycock, you can say that, and I
3 could understand it intellectually, but practically isn't
4 it so that what this statute does is to make the Smith
5 doctrine academic, a dead letter? It will never be
6 applied as long as this statute lives.

7 MR. LAYCOCK: It will occasionally be applied.
8 We have a free exercise claim pending in the court below.
9 Keeler v. Maryland was just won on a free exercise claim
10 in the District of Maryland. Rader v. Johnson was just
11 won on a free exercise claim, because there are sometimes
12 advantages to the litigant in proceeding under the free
13 exercise claim under Smith, rather than under RFRA.

14 QUESTION: Can you explain to me a case in which
15 the notion that a law of general application does not have
16 to make exceptions for religious observances?

17 MR. LAYCOCK: Well, it --

18 QUESTION: Where that would -- once we have
19 RFRA, where that doctrine would ever come into play?

20 MR. LAYCOCK: It is always in the litigant's
21 interest to show that the law is not of general
22 applicability, that in fact it discriminates, because that
23 undermines the Government's compelling interest argument,
24 undermines the Government's credibility.

25 There are a number of lower court cases that say

1 there's not a substantial burden here, so RFRA doesn't
2 apply, but there's discrimination so Smith does apply.

3 QUESTION: Are you answering Justice Ginsburg's
4 question? I don't think so.

5 MR. LAYCOCK: I thought I was. I thought she
6 said why would there ever be again a free exercise claim.

7 QUESTION: Because my understanding was that
8 this goes at least as far in protecting religious freedom
9 as Smith does, and then goes quite a distance further.

10 MR. LAYCOCK: It goes some distance further.
11 There are also -- there are also ways in which it goes not
12 quite as far, according to some of the lower courts.

13 QUESTION: Well, that's what I don't understand.
14 It seems to me it covered everything that the Smith
15 doctrine protects, and protects more and, indeed, that was
16 the only purpose in enacting it.

17 MR. LAYCOCK: That was the purpose in enacting
18 it.

19 QUESTION: Could --

20 MR. LAYCOCK: Take -- Rader v. Johnson and
21 Keeler v. Mayor of Cumberland are in the Government's
22 brief, and they are really cases that proceeded under
23 Smith and not under RFRA. There are reasons to do it.

24 If I could, I'd like to address the claim that
25 both Ohio and Boerne make that this is somehow different

1 from all previous statutes because in all other section 5
2 statutes there was this massive record of widespread
3 violations and here there are hardly any violations, and
4 both halves of that are simply not true.

5 In many of the section 5 cases it is hard to
6 imagine ever proving a constitutional violation. When
7 Congress enacted the Pregnancy Discrimination Act it
8 didn't discover that most pregnancy rules were motivated
9 by an attempt to get women or exclude them.

10 Sometimes that is true. Occasionally there
11 would be a pregnancy case that would satisfy this Court's
12 constitutional standard, but basically Congress said,
13 rules about pregnancy burden women, and that burden's
14 severe enough, it's closely enough connected to a
15 constitutional violation, we think it ought to be
16 protected.

17 They didn't find a fact that would have
18 persuaded this Court that there's a constitutional
19 violation.

20 QUESTION: But this case --

21 QUESTION: But Congress enacted -- go on.

22 QUESTION: This case says that every law, every
23 ordinance, every regulation in the United States must
24 grant a religious preference if the terms of the statute
25 are met, and it seems to me that this is quite

1 inconsistent with our traditions, and it has very serious
2 Establishment Clause problems --

3 MR. LAYCOCK: I disagree.

4 QUESTION: -- with respect to zoning, with
5 respect to the facilities that churches must have, the
6 fire exits, et cetera, and with respect to tax exemptions
7 and tax deductions.

8 MR. LAYCOCK: This does not require a massive
9 preference. What this requires is that when Government
10 substantially burdens religion, it has to justify it.
11 It's not triggered without showing a substantial burden,
12 and removing the substantial burden doesn't make the
13 church any better off than it was before it encountered
14 the Government --

15 QUESTION: But zoning imposes a substantial
16 burden on everybody, and to say that it imposes it on
17 churches just as on everybody else is to bring that within
18 this act, isn't it?

19 MR. LAYCOCK: That's correct.

20 QUESTION: Any significant legislation comes
21 within this act, and you must make an exception for
22 religious entities.

23 MR. LAYCOCK: And when you make that exception,
24 the church is no better off than it was before Government
25 started imposing the burden in the first place.

1 QUESTION: Well, or be in the state of nature,
2 you might say.

3 (Laughter.)

4 MR. LAYCOCK: Well --

5 QUESTION: No better off than it would be if it
6 were exempt from all laws, you're quite right.

7 MR. LAYCOCK: But this is -- but not nearly as
8 well off as it would be if it were getting money from the
9 Government.

10 QUESTION: But an establishment violation is
11 measured by whether there's a preference.

12 MR. LAYCOCK: This Court --

13 QUESTION: And if we were all in the state of
14 nature, then that wouldn't be the -- wouldn't be a
15 problem, but only the churches are.

16 MR. LAYCOCK: The Court unanimously rejected
17 that understanding in Amos. Simply relieving a burden or
18 exempting the church is not an establishment, nine-zero.

19 QUESTION: While we're on the Establishment
20 clause, I assume Congress can enforce the Establishment
21 Clause the same way it can enforce the Free Exercise
22 Clause, right?

23 MR. LAYCOCK: I think that's right.

24 QUESTION: So it could pass a law saying that no
25 State shall give churches a tax exemption.

1 MR. LAYCOCK: Well, the question -- you could
2 pass that law, and this Court would then have to decide
3 whether it violated the Free Exercise Clause, but -- and
4 this Court would --

5 QUESTION: Well, if it didn't violate the Free
6 Exercise Clause, then -- you think -- is that a
7 substantial argument? Must a State give a tax exemption?

8 MR. LAYCOCK: After Swaggert, I suppose not.

9 QUESTION: Yes. I suppose not, too. So
10 Congress could pass such a law, under the theory that
11 you're proposing.

12 MR. LAYCOCK: That's correct.

13 QUESTION: And by the same token, I suppose you
14 would say that Congress could pass a law saying that any
15 law or regulation -- abortion is subject only to the
16 rational basis test, no more. That's it.

17 MR. LAYCOCK: No. My client obviously has a
18 problem with the abortion decisions, but as long as this
19 Court adheres to those decisions --

20 QUESTION: No, I'm talking about --

21 MR. LAYCOCK: -- Congress can't roll them back.

22 QUESTION: -- the power of Congress under your
23 theory under section 5. Could it do that, or could it do
24 the reverse and say, any law regulating in any fashion
25 abortions has to be tested under the strictest scrutiny

1 possible?

2 MR. LAYCOCK: It --

3 QUESTION: Could it do that?

4 MR. LAYCOCK: Those two laws are very different,
5 for a reason that goes to the heart of this case.

6 QUESTION: Could it do either of those things?

7 MR. LAYCOCK: It could do the second. It could
8 not do the first. It cannot roll back a right adjudicated
9 by this Court's decisions. That is --

10 QUESTION: Because of dicta in Katzenbach?

11 MR. LAYCOCK: Because of Marbury v. Madison.
12 That really is Marbury v. Madison.

13 But when Congress expands on the rights that
14 this Court has created, you're not in Marbury land at all.
15 You are in section 5.

16 QUESTION: I don't understand that at all, why
17 Congress may move in one direction a constitutional right
18 but may not move it in another.

19 MR. LAYCOCK: Because to move it, to roll back
20 this Court's decisions really would eviscerate judicial
21 review. It would remove the independent protection for
22 our liberty. But to move in the other direction provides
23 a supplemental or second protection for it.

24 QUESTION: Well, but they would be arguing that
25 they're enforcing another constitutional right. That is,

1 in rolling back the protections of freedom of religion,
2 we're not acting under the Freedom of Religion Clause.
3 We're acting under the Establishment Clause.

4 MR. LAYCOCK: They --

5 QUESTION: Because there are many clauses that
6 can be used against each other. In the abortion example
7 just given, we could say we're using the Equal Protection
8 Clause.

9 So you can constantly adjust both downward and
10 upward the meaning of all the provisions of the Bill of
11 Rights by using one of the other clauses.

12 MR. LAYCOCK: Not constantly, but often, and
13 when that happens the law is unconstitutional. Section 5
14 power, like the Article I powers, is subject to the
15 constitutional rights adjudicated under other clauses by
16 this Court.

17 QUESTION: If it makes any alteration in the
18 nature of the other clause?

19 MR. LAYCOCK: No. If it reduces the protection
20 of the other clause below the level that this Court says
21 is the judicially enforceable meaning of that other
22 clause. This Court gets the last word --

23 QUESTION: Mr. --

24 MR. LAYCOCK: -- when it turns to another
25 clause.

1 QUESTION: I'm sorry. I didn't mean to
2 interrupt you.

3 QUESTION: Then it could prohibit States from
4 enacting any affirmative action programs in order to
5 protect the racial interests of the white majority.

6 MR. LAYCOCK: If that's this Court's
7 understanding of Adarand --

8 QUESTION: That's the understanding of your
9 argument, I'm saying.

10 MR. LAYCOCK: Yes.

11 QUESTION: Yes.

12 MR. LAYCOCK: My understanding is that the
13 section 5 power is fully subject to cases such as Adarand
14 and Richmond v. Croson, that's correct, because that's a
15 judicially adjudicated right.

16 QUESTION: Mr. Laycock, could you go back to the
17 argument that you began a while ago saying that there
18 really is no categorical distinction that can be made
19 between the premises, say, of the Voting Rights Act and
20 its effect test and the present statute?

21 MR. LAYCOCK: Yes.

22 QUESTION: Could you address specifically that
23 example?

24 MR. LAYCOCK: The easiest example, the '82
25 Voting Rights Act, is global. It mentions no particular

1 voting practice. It applies to any voting practice that
2 has a discriminatory result. It is enormously intrusive,
3 vastly more so than RFRA. It remade politics in the
4 South. RFRA is a mile wide and an inch deep.

5 QUESTION: The Voting Rights Act, it's
6 constitutionality has never been upheld by this Court.

7 MR. LAYCOCK: It's upheld in this Court's
8 decision in Mississippi Republican Committee, and it's
9 been upheld unanimously after full consideration by the
10 courts of appeals.

11 QUESTION: Wasn't there a legislative record of
12 findings of violations, and isn't that a distinction?

13 MR. LAYCOCK: The '82 -- the legislative record
14 in the '82 act is a mirror image of RFRA, pages and pages
15 of denouncing City of Mobile v. Bolden as a terrible
16 decision. Considerable findings that motive is difficult
17 to prove.

18 But what you don't find in the RFRA record you
19 do find in that record is the Senate report says, it's
20 difficult to prove, but that's not the real reason. The
21 real reason we're doing this is the Court announced the
22 wrong test.

23 QUESTION: Thank you, Mr. Laycock.

24 General Dellinger, we'll hear from you.

25 ORAL ARGUMENT OF WALTER DELLINGER

1 ON BEHALF OF THE FEDERAL RESPONDENT

2 GENERAL DELLINGER: Mr. Chief Justice, and may
3 it please the Court:

4 This case does not require the Court to break
5 any new ground in upholding the statute, because the act
6 prevents what everyone would agree is an actual violation
7 of the Constitution as noted by this Court.

8 In Lukumi, this Court --

9 QUESTION: In what, Mr. Dellinger? You gave a
10 case name, I believe.

11 GENERAL DELLINGER: I'm sorry. Lukumi.

12 QUESTION: Oh.

13 QUESTION: Babalu -- Hialeah, right.

14 GENERAL DELLINGER: Versus the City of Hialeah.
15 Thank you, Justice Scalia.

16 In that case, as in many others, the Court said
17 that State rules that treat some religious denominations
18 more favorably than others violates the Constitution.
19 This Court in Smith acknowledges that when it comes to
20 accommodations and exceptions some denominations will
21 predictably be treated more favorably than others and, in
22 Kiryas Joel, you acknowledge that this inequitable
23 favoritism will be difficult for the courts to police.
24 That takes it right --

25 QUESTION: Do you take the position in this

1 case, Mr. Solicitor General, that the denial of the
2 variance from the historic site ordinance was, in fact, a
3 violation of the act? You're here defending the act.

4 GENERAL DELLINGER: No, Justice Kennedy, we do
5 not -- we have not included -- and Mr. Laycock tells me it
6 is a substantial burden and would violate RFRA. We have
7 not included that for the United States. We entered to
8 defend the constitutionality of RFRA, and the trial court
9 has not yet passed on whether there is a substantial
10 burden in that case.

11 QUESTION: Assume that charitable deductions are
12 very important for some churches. Could Congress,
13 consistently with this act, abolish charitable deductions
14 if that amounted -- if that would result in some closure
15 of churches?

16 GENERAL DELLINGER: I think where you have -- it
17 would be tested in this Court by the standards --

18 QUESTION: But this act addresses that, does it
19 not?

20 GENERAL DELLINGER: This act.

21 QUESTION: And this act says that Congress must
22 use the least-restrictive means in formulating its tax
23 policy --

24 GENERAL DELLINGER: The Court would have to --

25 QUESTION: -- in order to protect religion.

1 GENERAL DELLINGER: It is not clear --

2 QUESTION: Is that not correct?

3 GENERAL DELLINGER: It is not --

4 QUESTION: Is that not correct?

5 GENERAL DELLINGER: That is correct, Justice
6 Kennedy.

7 It is not clear to me that relieving -- that
8 you're imposing a substantial burden if you're cutting
9 back on what was a previously accorded pure benefit. The
10 substantial burden usually indicates that the Congress is
11 relieving a burden, but as this Court --

12 QUESTION: Would we even get to the act if
13 that's all Congress did? Given the fact that the
14 treatment of the churches has been part and parcel of the
15 treatment of other so-called charitable organizations, if
16 all Congress did was in effect require the end of the
17 church's tax status, I suppose we would have a suit right
18 under Smith before we ever got to RFRA, wouldn't we?

19 QUESTION: No, I'd assumed they had abolished
20 the charitable deduction completely.

21 GENERAL DELLINGER: Correct.

22 QUESTION: And your position is that taxation is
23 not a burden?

24 GENERAL DELLINGER: That the --
25 (Laughter.)

1 GENERAL DELLINGER: The relieving of a benefit
2 may not itself constitute a burden.

3 QUESTION: The relieving of the benefit of being
4 exempt from tax in particular.

5 GENERAL DELLINGER: The --

6 QUESTION: Which amounts to saying that taxation
7 is not a burden, right, and that's --

8 GENERAL DELLINGER: Well, it is a burden, but
9 we're talking about a burden on the free exercise of
10 religion.

11 But here, I think the critical constitutional
12 violation that Congress is enacting prophylactic rules to
13 prevent, that is fully a sufficient basis for resolving
14 this case in favor of RFRA in all of its violations, is
15 Congress' concern expressed in --

16 QUESTION: Mr. Solicitor General, does that
17 require us to assume, and that may be correct, that, as
18 was the case with the Fourteenth Amendment and the Voting
19 Rights Act and all -- there's a long, well-documented
20 history of violations of the rights of the people
21 protected by the Fourteenth Amendment. Are we -- should
22 we assume as a predicate for our decision that there is a
23 comparable violation of religious rights that's prevalent
24 throughout the country?

25 GENERAL DELLINGER: No. You don't need to

1 assume that there is a comparable prevalent violation, but
2 what you will find when you look at the record are two
3 kinds of agreed-upon -- that is, Court -- this Court
4 agrees, agreed-upon violation of section 1.

5 One that has gone without mention here, where
6 this Court itself has anticipated violations that can be
7 remedied prophylactically, is that in the accommodations
8 process more influential and politically well-connected
9 religions, powerful sector interests, will get exemptions
10 when more marginal religions, particularly those that
11 represent racial and ethnic minorities, will not get
12 exemptions.

13 QUESTION: How does that --

14 QUESTION: Well --

15 QUESTION: How does that point fit into an
16 argument that's purely linguistic, I think, but very
17 important -- I'm not saying I accept it or not, but I
18 think a linguistic argument that is made is that Smith
19 says that a general law not motivated in purpose against a
20 religion does not violate -- does not violate -- the
21 Constitution, right?

22 GENERAL DELLINGER: That is correct.

23 QUESTION: And then it says, section 2, Congress
24 shall have power to enforce this article and so, your
25 argument goes, where a general law that isn't motivated

1 purposely is at stake, insofar as Congress forbids that,
2 it's not enforcing the Constitution, because --

3 GENERAL DELLINGER: Justice Breyer, I --

4 QUESTION: -- the Constitution doesn't prohibit
5 that.

6 Now, you're giving a response to that, and I
7 just want you to --

8 GENERAL DELLINGER: Yes. My response is --

9 QUESTION: -- tie that response to a linguistic
10 argument.

11 GENERAL DELLINGER: My response is quite
12 clearly --

13 QUESTION: Yes.

14 GENERAL DELLINGER: -- Congress has prohibited
15 requiring exemptions not otherwise required to some laws
16 that would not violate section 1, but it is doing so
17 prophylactically, as this Court does in cases like Miranda
18 and many others. It is doing so prophylactically --

19 QUESTION: Well, how many others -- how many
20 others, other than Miranda are there, where they -- we
21 have imposed a prophylactic rule?

22 GENERAL DELLINGER: I believe that a number of
23 your rules are prophylactic. In a case like North
24 Carolina v. Pearce, where all harsher sentencing after a
25 pretrial are prohibited --

1 QUESTION: Well, that was a constitutional
2 holding.

3 GENERAL DELLINGER: Yes. Yes, but the violation
4 about which you were concerned is the threat of
5 retaliatory sentencing, so you later noted in Michigan v.
6 Payne that that rule was greatly overinclusive in terms of
7 the actual violations. That is, hostile sentencing.

8 But to, if I may, Mr. Chief Justice, return to
9 Justice Breyer's question, what is critical here is that
10 Congress has -- in the Voting Rights Act and in others, it
11 prohibits a broader range of practices in order to get at
12 those that clearly violate the Constitution.

13 QUESTION: Let me take just what you're saying
14 and put it in this linguistic framework. Congress passed
15 this law prophylactically to prevent the violation -- and
16 now, fill in the blank. What violation?

17 GENERAL DELLINGER: The violation of treating --
18 more than one. The first one is the violation of treating
19 some religious denominations more favorably than others.

20 QUESTION: But according to Smith that doesn't
21 violate the Constitution.

22 GENERAL DELLINGER: No. I -- no, that I beg to
23 differ. Where different religious denominations aren't
24 treated differently there's no question before, after, and
25 during Smith it violates the Constitution. It may be

1 difficult to remedy on a case-by-case judicial approach
2 where you're trying to prove it, but it clearly is a
3 constitutional violation if an exemption is made for the
4 Methodist Church and the exemption is not made for the
5 Santorias.

6 If you -- take a case, for example, like the
7 district court case, Rader v. Johnson, from the State of
8 Nebraska, where the university has a rule that all
9 freshmen must live on campus, and the -- Mr. Rader is a
10 Fundamentalist Christian. His sincerity is beyond doubt.
11 His family have prayer services every morning throughout
12 high school.

13 For him, it is an occasion of sin to be forced
14 to go into a dormitory where there is alcohol, and
15 profanity, and co-ed living, and partying. It was really
16 going to cost him his ability to go to this university,
17 and his alternative is to live a few blocks off campus at
18 the Christian Fellowship House.

19 QUESTION: Can I --

20 GENERAL DELLINGER: Yes. Now -- if may just
21 finish up. This is more often the -- he is not given an
22 exemption.

23 When it turns out that the important booster
24 calls up because he wants his son to live with a relative.
25 It turns out that a student who wants to drive his sister

1 to school -- all these are allowed exemptions from living
2 off-campus.

3 In this case, he was able to prove it, but
4 Congress can worry particularly about the marginal
5 religious groups that won't get the accommodations.

6 This is not a made-up issue. There are many
7 accommodations that are made, exceptions from zoning laws,
8 accommodations all the time. Kiryas Joel says --

9 QUESTION: Well --

10 GENERAL DELLINGER: -- we are concerned that we
11 won't be able to police those in the future.

12 QUESTION: Well, let's go back to the tax issue,
13 and suppose the record shows that only a couple of major
14 churches have extensive businesses that are ordinary
15 businesses but the income from those businesses is used to
16 support the church, ultimately, but they're ordinary
17 businesses. They run hotels, or they run gambling
18 casinos, or have bingo games, or whatever, and it affects
19 primarily the Catholic Church and the LDS Church. Let's
20 say the facts show that.

21 And what the State has done is to pass laws
22 saying that all businesses that are not part and parcel of
23 the church itself, but outside businesses that produce
24 money for the church, will be taxed like every other
25 business is taxed.

1 Now, this law apparently would require the
2 strictest scrutiny of that tax law. Is that right?

3 GENERAL DELLINGER: Justice O'Connor, only if
4 you conclude --

5 QUESTION: You can say yes or no.

6 GENERAL DELLINGER: Would it require? I think
7 the answer's no, and assuming that you would conclude --

8 QUESTION: How can you read it that way, as
9 broadly as it's written?

10 GENERAL DELLINGER: On the assumption that you
11 would conclude that it is not a burden on religiously
12 motivated conduct if you limit the ways as a part of a
13 general law in which people can raise money, that a
14 substantial -- the substantial part of the substantial
15 burden --

16 QUESTION: You mean, you'd construe the statute
17 to say the same thing Smith says. That's what you're
18 saying.

19 GENERAL DELLINGER: Oh, no.

20 QUESTION: No, but you are saying some general
21 laws are okay.

22 GENERAL DELLINGER: Yes, indeed, because this --
23 there's a lot -- this case comes up.

24 QUESTION: And where -- how do we know which?

25 GENERAL DELLINGER: Justice Souter, I want to

1 remind you, and Justice O'Connor, that the case comes up
2 on a facial challenge, so that this Court has had no
3 occasion to interpret what constitutes --

4 QUESTION: No, I just want to interpret what
5 you're saying, and you're saying there are two classes of
6 cases here, and some general and neutral laws are okay,
7 and what's your criterion for drawing that line?

8 GENERAL DELLINGER: My criteria would be, again
9 without having addressed this, whether a substantial
10 burden is one that really goes to religiously motivated
11 conduct and restricts that religiously motivated conduct.

12 QUESTION: But it is --

13 QUESTION: -- a substantial burden --

14 QUESTION: -- discrimination.

15 GENERAL DELLINGER: I mean, Amos itself said
16 that, you know, economic activity is different and may
17 raise Establishment Clause problems if you allow people
18 to --

19 QUESTION: But even if it's economic activity to
20 raise money for the church? What difference is there
21 between a church that runs a business, all of the money of
22 which is devoted to the church, and a church that solicits
23 contributions, all of which is devoted to the church?

24 Is -- you're saying that somehow the latter is
25 more religiously motivated than the former?

1 GENERAL DELLINGER: There are instances, I
2 think, where the Establishment Clause would require you to
3 treat even-handedly the raising of money. That is an
4 issue that, before Smith, this Court knew, I think, how to
5 handle.

6 What you see in RFRA, if I may return, because I
7 thought the theoretical questions about section 5 were
8 interesting, but where you have a process that where RFRA
9 gives the following solution to the problem anticipated in
10 Smith and in Kiryas Joel of inequitable accommodations,
11 and what RFRA says is that everyone whose free exercise of
12 religion is substantially burdened gets the same
13 treatment, whether they're a powerful, traditional sect or
14 a marginal religion.

15 Now, they say that we haven't shown violations
16 yet, but this is a process where Congress actually
17 anticipated that State and local legislative bodies --
18 this is from the Senate report, at page 8.

19 State and local legislative bodies cannot be
20 relied upon to craft exemptions from laws of general
21 application that will protect the ability of religious
22 minorities to the same extent as the majority.

23 QUESTION: The irony to that argument is, they
24 did it in the peyote case.

25 GENERAL DELLINGER: In the -- well --

1 QUESTION: After our decision.

2 GENERAL DELLINGER: And Congress -- yes, but
3 Justice Stevens, Congress was concerned -- and they are
4 the specialists on the perils of special interest
5 exemption processes. They were concerned that if you have
6 a case-by-case process, religions that, for whatever
7 reasons, have more political influence are able to get
8 their specific exemptions.

9 QUESTION: General --

10 QUESTION: Well, certainly the peyote smokers
11 don't have a great deal of influence, and yet they
12 succeeded in Oregon.

13 GENERAL DELLINGER: It is not clear to me how
14 well one can parse what -- sometimes some minorities are
15 particularly well-situated. Others, like the Amish, have
16 a very difficult time in the legislative process --

17 QUESTION: Perhaps the peyote smokers had help
18 from those outside of religion.

19 (Laughter.)

20 QUESTION: General Dellinger, we've just been
21 told by the representative of the Ohio Attorney General
22 that the States want to do even more than Congress has
23 done, but they don't want Congress to tell them.

24 That's where they say they see the principle
25 constitutional problem, and you've just said that the

1 States -- you know, we can't trust them, and I'm asking
2 you what basis is there for making that judgment when
3 we're being told by the States, leave us alone. We'll do
4 even better.

5 GENERAL DELLINGER: Justice Ginsburg, there was
6 an 800-page record of testimony, groups -- one religious
7 group after another testified as to the difficulties that
8 particularly marginal religious groups have getting
9 accommodations.

10 The Lukumi had to come all the way to this Court
11 before they got a single person, a single judge to vote
12 for them, and it's -- I see my time has expired.

13 Thank you.

14 QUESTION: Thank you, General Dellinger.

15 The case is submitted.

16 (Whereupon, at 11:28 a.m., the case in the
17 above-entitled matter was submitted.)

18
19
20
21
22
23
24
25

CERTIFICATION

Alderson Reporting Company, Inc., hereby certifies that the attached pages represents an accurate transcription of electronic sound recording of the oral argument before the Supreme Court of The United States in the Matter of:

CITY OF BOERNE, Petitioner v. P. F. FLORES, ARCHBISHOP OF SAN ANTONIO AND UNITED STATES

CASE NO: 95-2074

and that these attached pages constitutes the original transcript of the proceedings for the records of the court.

BY Ann Marie Fedilo-----

(REPORTER)