

ORIGINAL
OFFICIAL TRANSCRIPT
PROCEEDINGS BEFORE
THE SUPREME COURT
OF THE
UNITED STATES

CAPTION: ELLIS WAYNE FELKER, Petitioner v.

TONY TURPIN, WARDEN

CASE NO: 95-8836

PLACE: Washington, D.C.

DATE: Monday, June 3, 1996

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1 IN THE SUPREME COURT OF THE UNITED STATES

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3 ELLIS WAYNE FELKER, :

4 Petitioner :

5 v. : No. 95-8836

6 TONY TURPIN, WARDEN :

7 - - - - -X

8 Washington, D.C.

9 Monday, June 3, 1996

10 The above-entitled matter came on for oral
11 argument before the Supreme Court of the United States at
12 10:28 a.m.

13 APPEARANCES:

14 HENRY P. MONAGHAN, ESQ., New York, New York; on behalf of
15 the Petitioner.

16 SUSAN V. BOLEYN, ESQ., Senior Assistant Attorney General
17 of Georgia, Atlanta, Georgia; on behalf of the
18 Respondent.

19 DREW S. DAYS, III, ESQ., Solicitor General, Department of
20 Justice, Washington, D.C.; on behalf of the United
21 States, as amicus curiae, supporting the Respondent.

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1 P R O C E E D I N G S

2 (10:28 a.m.)

3 CHIEF JUSTICE REHNQUIST: We'll hear argument
4 now in Number 95-8836, Ellis Wayne Felker v. Tony Turpin.

5 Mr. Monaghan.

6 ORAL ARGUMENT OF HENRY P. MONAGHAN

7 ON BEHALF OF THE PETITIONER

8 MR. MONAGHAN: Mr. Chief Justice, and may it
9 please the Court:

10 On April 24 the Antiterrorism and Effective
11 Death Penalty Act became legally operative. This act made
12 several modifications in the habeas corpus practice of the
13 Federal courts. The provisions of pertinence here are
14 contained in section 106(b), which governs second and
15 successive petitions. These provisions are set out at
16 pages 2 and 3 of our brief.

17 Section 106(b) has three subdivisions. The
18 first two, (b)(1) and (b)(2), provide substantive
19 standards. (b)(1) applies to claims previously
20 adjudicated. (b)(2) applies to new claims both of law and
21 of fact.

22 The last section, (b)(3), governs the procedures
23 on second and successive petitions, and it clearly alters
24 the prior practice. Essentially it requires that, before
25 the district court can entertain a petition, a second

1 petition, the petitioner must obtain an authorization
2 order from an appropriate panel of judges in the court of
3 appeals.

4 Subsection (C), to which we will have more
5 reference, provides -- and that is set out on page 3 --
6 the court of appeals may authorize the filing of a second
7 or successive application only if it determines that the
8 application makes a prima facie showing that the applicant
9 satisfies the relevant substantive criteria.

10 Subsection -- finally, we turn to section
11 103(E), which is at the bottom of page 3, and that
12 provides the grant or denial of any authorization by the
13 court of appeals to file a second or successive
14 application shall not be appealable and shall not be the
15 subject of a petition for rehearing or for a writ of
16 certiorari.

17 On May 1, a petition was filed in this Court
18 styled a writ of habeas -- on May 2, a petition was filed
19 in this Court styled as a petition for a writ of habeas
20 corpus for appellate or certiorari review, and among other
21 things the petition invoked this Court's jurisdiction
22 under 2241(a), the so-called original habeas jurisdiction
23 of this Court, which from time out of mind has existed as
24 an appellate remedy.

25 QUESTION: Has what, Mr. Monaghan?

1 MR. MONAGHAN: From time out of mind has existed
2 as an appellate remedy, Your Honor. It couldn't exist as
3 an original writ because of Marbury v. Madison. It would
4 be an attempt to expand the original jurisdiction of the
5 Supreme Court.

6 On May 3, this court granted a stay of
7 execution, granted a petition for certiorari, and ordered
8 briefing limited to three questions. I will, of course,
9 address the questions that the Court posed. To the extent
10 that time permits and the Court feels it helpful, I would
11 be prepared to offer our preliminary views as to the
12 standards that should be applied if the Court concludes,
13 as we hope it will and believe that it should, that
14 section 106 of the new legislation does not impair this
15 Court's jurisdiction under 2241(a).

16 The three questions framed by this Court ask
17 whether, when Mr. Felker's attorneys presented their
18 petition to the Clerk of the Court, he was obliged, by
19 virtue of the new legislation, particularly section
20 106(3)(E), to reject it for want of jurisdiction, and
21 second, if he was, whether section 106 is
22 unconstitutional.

23 Until we receive Georgia's reply brief, we
24 believed that both parties and the Solicitor General, who
25 represents the views of the United States, agreed upon the

1 appropriate response. While the petition for a writ of
2 certiorari must be dismissed, section 106(3)(E) did not
3 restrict this Court's jurisdiction under 2241(a).

4 With the sole exception of Senator Hatch, the
5 amici took the same position. Perhaps I am mistaken, but
6 in its reply brief, Georgia now seems to have moved from a
7 position that sparing review under section 2241(a) is
8 permitted, and to a position in which it now seems to
9 argue no review at all is possible under 2241(a), that
10 this was the congressional intention.

11 To the extent that Georgia does so in its reply
12 brief, it does so without addressing any of the arguments
13 that we advanced in our initial brief based upon plain
14 meaning, nor does it discuss the relevance of this Court's
15 citations going back at least to *Martin v. Hunter's Lessee*
16 and *Cohens v. Virginia*, which postulate the need for
17 uniformity in the administration of Federal law, and in
18 *Cohens* a reluctance to interpret congressional statutes to
19 foreclose appellate jurisdiction.

20 Nor does it even refer to *McCardle* and *Yerger*,
21 despite our heavy reliance upon those cases for the
22 proposition that the rule laid down in *Cohens v. Virginia*,
23 which is that you do not construe congressional
24 legislation to impair your jurisdiction unless it's clear
25 it has a special stringent effect when claims involving

1 constitutional right involving the deprivation of liberty
2 are at stake.

3 The Georgia reply brief does make one
4 substantive argument. It asserts that it would be unfair
5 if habeas were available, since the warden would have no
6 opportunity to get review of a decision.

7 On its face, this is a very curious argument,
8 because habeas corpus has always been asymmetrical in that
9 respect. No one has ever thought it was unfair to the
10 State that the writ might be available to the prisoner on
11 appropriate circumstances.

12 QUESTION: Well, but if it is, indeed, an
13 appellate -- if the original writ of habeas corpus, as you
14 say, is appellate in this case, then it does seem that the
15 prisoner could obtain relief, but certainly it does not
16 seem, under cases like Yerger, that the warden could.

17 MR. MONAGHAN: That's exactly right, Your Honor,
18 but I think that that -- I think first of all, two things.
19 I think that asymmetry is built into the nature of habeas
20 corpus. The prisoner always can sue for the writ, but the
21 warden can't bring a declaratory judgment suit against the
22 prisoner.

23 QUESTION: Well, but in an appellate situation,
24 supposing the district court grants a writ of habeas
25 corpus, the State or the Government can appeal from the

1 grant.

2 MR. MONAGHAN: Yes, Your Honor. Well, the --
3 let me put it -- maybe a different response. The warden
4 will always get review. What they're trying to introduce
5 is a new asymmetry into the statute. The warden will
6 always get review. If the writ is granted by the court,
7 it will go down to the district court. However it rules,
8 the case will come back up to the court of appeals and go
9 on cert to this Court.

10 QUESTION: You say the court of appeals is just
11 really screening --

12 MR. MONAGHAN: The court of appeals is supposed
13 to engage in a gatekeeping function here, but one of the
14 points we want to make is that the court of appeals
15 decided the merits of this case, and that's a point which
16 I'm going to address, which is the -- and it decided it in
17 an egregious manner, if you actually study what it did.
18 But it did not perform a gatekeeping function here, but
19 that's a -- that goes to a somewhat different point.

20 QUESTION: Yes. Certainly, if you're correct in
21 your position and the Solicitor General that certiorari
22 doesn't lie here, there's no way of directly reviewing
23 that, is there?

24 MR. MONAGHAN: No, Your Honor.

25 QUESTION: But I take it your theory of -- that

1 sort of neutralizes the warden's complaint is not merely
2 that there is an asymmetry in the very structure of habeas
3 practice, but that the result of the probable cause
4 determination when it goes against the warden ultimately
5 is merged in what happens in the district court, and it's
6 like many preliminary orders that are merged before any
7 ultimate review takes place.

8 MR. MONAGHAN: That's -- yes, Your Honor, and if
9 there is an asymmetry, if there were, it would hardly
10 strike me as a point of great substance, given the
11 tradition of the writ of habeas corpus.

12 Now, Senator Hatch's brief devotes a scant two
13 pages, pages that are tacked on at the very end of his
14 brief, to the argument that section 106 bars original
15 habeas. Like Georgia's reply brief, he addresses none of
16 the arguments we make or the authorities we invoke.
17 Senator Hatch's brief is, however, very interesting for
18 what it does not state.

19 Despite the brief's copious references to the
20 number and comprehensive character of the Senate and House
21 debates, Senator Hatch cites not a single sentence of
22 legislative history that indicates that Congress gave the
23 slightest thought to this Court's original jurisdiction.
24 Senator Hatch's brief constitutes a form of postenactment
25 legislative history.

1 QUESTION: It suggests, though, does it not,
2 that it was -- Mr. Monaghan, that it was omitted
3 inadvertently, because what does come across from that
4 brief was the intention to foreclose any review if the
5 appellate panel refused to certify.

6 MR. MONAGHAN: Well, if the argument is that it
7 was inadvertently omitted, I might say that this Court is
8 not authorized to write legislation that Congress might
9 have written but didn't write.

10 If it was inadvertently omitted, then Congress
11 will presumably get a chance to do it, but it's not the
12 function of this Court to rewrite the statute, and I think
13 adherence to that principle is especially important,
14 because it would curtail the historic jurisdiction of this
15 Court, a curtailment that, as we have suggested, would
16 raise some constitutional problems.

17 Finally, we have no idea whether Congress would
18 or would not have enacted legislation that in terms
19 directly sought to impair the appellate jurisdiction of
20 this Court, so --

21 QUESTION: Mr. Monaghan, what about jurisdiction
22 in this Court under the All Writs Act by way of writ of
23 mandamus, or something of that sort?

24 MR. MONAGHAN: On behalf of the warden, or on
25 behalf of --

1 QUESTION: Either. Either. How does the act
2 affect that, do you suppose?

3 MR. MONAGHAN: That's a hard question, Your
4 Honor, because it doesn't impair jurisdiction under the
5 All Writs Act, either, and there is at least one case,
6 House v. Mayo, in which effectively habeas corpus relief
7 was given under section 1651, but there is a body of case
8 law in your Court, last invoked in an opinion by Justice
9 Scalia last year, or early this year, which says that
10 section 106 is inoperative if there's a specific provision
11 that deals with the proposed remedy, and so that brings
12 you back to 2241(a), and for that reason I was unwilling
13 to brief that point.

14 QUESTION: How would 2241(a) work on your
15 interpretation? Does this Court have authority only after
16 the court of appeals has failed to certify? Can a
17 petitioner apply to both places simultaneously, or is
18 there some sequence, or can the appellate panel be
19 bypassed altogether and come directly here?

20 MR. MONAGHAN: Well, the various briefs, if you
21 look at them carefully, sharply divide on what happens if
22 you have authority to entertain the writ and, given the
23 limited time constraints and the lack of developments in
24 the brief, we can only give you our initial thoughts about
25 this.

1 I will point out that there's a wide difference
2 between the United States and us on the very issue that
3 you ask. Most of the briefs seem to assume, amici briefs
4 seem to assume that you must go to the court of appeals
5 first. One of the briefs suggested if you go -- that's a
6 precondition to coming here. One of the briefs suggest
7 that if you come to the court of appeals first, you can
8 never come here. It leaves unclear what that amici thinks
9 is being reviewed.

10 It's also quite unclear from the United States'
11 brief what is being reviewed on the original writ of
12 habeas corpus.

13 QUESTION: Well, our Rule 20 says you have to
14 have sought relief everywhere else before coming here for
15 an original writ, doesn't it?

16 MR. MONAGHAN: And that's our position. Our
17 position is that you must go to the panel first, and then
18 you seek review here, and the question then becomes, what
19 does this Court do once it gets the case, and on that
20 point, we take as a baseline what was said in the Schlup
21 case. Successive petitions should rarely be granted by
22 any Federal court, and certainly they should be rarely
23 entertained on the merits in this Court. That's the
24 baseline against which we start.

25 But given this Court's role in the

1 constitutional system, we do not believe that the original
2 jurisdiction could be invoked to decide routine factual
3 questions or resolve routine questions of the application
4 of law to fact.

5 But having said all that, it seems to me that
6 there are essentially two kinds of questions that are
7 going to arise. The first set concerns the meaning of
8 section 106. What does it mean? We think that's open on
9 an original writ, and we think that this petition raises
10 important questions about what 106 really does mean.

11 The second set, and here's where the Solicitor
12 General and us seem to depart, concerns the role of this
13 Court apart from simply interpreting section 2241.

14 QUESTION: Before you go on to the second point,
15 that first point is the only way in which we could get
16 into that interpretation of what 106 really means?

17 MR. MONAGHAN: No. It's possible that you would
18 get -- it was possible you would get some of these
19 questions in the grant context.

20 Now, but as Justice Souter points out, in the
21 grant context you're using -- if the writ is rejected or
22 sustained in the lower Federal courts you're really on the
23 merits of the claim. You're not likely to see as many
24 questions under section 106 if you rely solely -- if
25 denial cases are excluded from your jurisdiction.

1 QUESTION: Question Number 2 --

2 QUESTION: Well, do we --

3 QUESTION: Question Number 2 in this case is --
4 asks us to construe the provisions of 106.

5 MR. MONAGHAN: Yes. We --

6 QUESTION: To what extent do the provisions of
7 106 apply to this Court in its exercise of our
8 jurisdiction.

9 MR. MONAGHAN: Yes, and that is a -- that's a
10 question which we may have flubbed. We understood that
11 largely, I think, Your Honor, in terms of -- although
12 we're prepared to discuss it, we understood it largely in
13 terms of the problem of foreclosure of review in this
14 Court, and not with respect to briefing on the question of
15 standards. Given the time constraints, and given the way
16 the questions were framed --

17 QUESTION: You agree that the provisions of 106
18 do apply to this Court when we are exercising our original
19 habeas jurisdiction?

20 MR. MONAGHAN: Well, the only provisions that
21 could apply to this Court --

22 QUESTION: That's what I mean.

23 MR. MONAGHAN: Yes, the only provisions that
24 could apply are the substantive standards of 106(b),
25 and --

1 QUESTION: Do you mean (b) (1) and (b) (2)?

2 MR. MONAGHAN: (b) (2). Those standards are the
3 only ones we're really talking about. We haven't taken a
4 position on that in this case.

5 We can -- and in large part, and I do think that
6 that's a point that needs thought, and it also needs
7 thought from our point of view, given the fact that we
8 have a client to represent.

9 I do think a great deal depends on what section
10 106(b) (2) means. There are severe construction problems,
11 here. To name one, what is the role -- what 106(b) (2) is,
12 if you take a look at it, this is really a congressional
13 attempt to define cause and prejudice.

14 (b) (2) applies to new facts and new law. It has
15 a prejudice component built into it, and it also has a
16 cause proponent built into it, but it doesn't exhaust the
17 universe of claims, and it doesn't exhaust the problems
18 that this Court would have to address. Specifically, what
19 is the role of miscarriage of justice claims under the
20 newly reformulated congressional standards?

21 In this case, the essential claims being made on
22 the petition are three in nature. They are all directed
23 to a single inquiry, miscarriage of justice.

24 QUESTION: What would the role of miscarriage of
25 justice have been in the days when we had no criminal

1 Federal jurisdiction at all?

2 MR. MONAGHAN: You had it under the All Writs
3 Act. You exercised it routinely in the 19th Century.
4 There never has been a point in time in which -- to the
5 best of my knowledge, in which you couldn't review a
6 criminal conviction of either a State or Federal court on
7 the ground that there was a violation of a Federal right.

8 QUESTION: Well, there was certainly a lot of
9 criminal convictions in the 19th Century, you could not
10 appeal them and they were not reviewed here.

11 MR. MONAGHAN: They were not reviewed, and the
12 difference was in the 19th Century what the -- if you
13 look -- there's a nice discussion by Dallin Oaks in his
14 article. It was quite comprehensive. But you look at
15 what happened in the 19th Century, starting with Ex parte
16 Bollman, going back before Ex parte Bollman, going back to
17 1795, this Court used the appellate writ to hit at
18 fundamental errors --

19 QUESTION: But not --

20 MR. MONAGHAN: Not routinely.

21 QUESTION: Not challenging final judgments of
22 conviction.

23 MR. MONAGHAN: We -- the first -- the challenges
24 to the final judgments conviction really don't emerge
25 until 1873.

1 QUESTION: Siebold, yes.

2 MR. MONAGHAN: Siebold, Ex parte Lang, but they
3 come, incidentally, Your Honor, from a Court that also
4 said that the privilege of the writ of habeas corpus is a
5 privilege of immunity of national citizenship. That's
6 said in the slaughterhouse cases, 83 U.S. at page 82, and
7 so one of the questions that would have to be faced is, if
8 this -- is what that phrase means in the 20th Century, in
9 1996.

10 It is interesting also, Your Honor, that while
11 the Government -- the United States, I mean -- spends a
12 great deal of time defending the position that the writ of
13 habeas corpus could not reach anything in 1789 other than
14 executive detention, it distanced itself from embracing
15 that view in the footnote, a very lengthy footnote. The
16 Government is not prepared to say that it will defend that
17 position today, because -- and rightly so. It can't
18 ignore 200 years of history.

19 QUESTION: Well, we got into this when you
20 indicated that under -- I think this was your -- the
21 purport of your remarks, that under 2241 we will be
22 construing what (b)(2) means. Does that not assume that
23 (b)(2) provides a baseline for what is an extraordinary
24 writ?

25 MR. MONAGHAN: The Government argues that it

1 does. The Government argues that it does, and the
2 Government makes an argument that we would have to address
3 if we disagreed with it. It would provide a baseline, but
4 the question is, is it exhaustive?

5 Take the -- and there are two kinds of cases
6 which the statute doesn't remotely address. One is the
7 role of miscarriage of justice.

8 QUESTION: I don't understand why the -- the
9 question arises, is it exhaustive. It's utterly useless
10 if it's not exhaustive, isn't it?

11 MR. MONAGHAN: No.

12 QUESTION: Well --

13 MR. MONAGHAN: No. It depends on what it means.

14 QUESTION: Well, maybe -- maybe --

15 MR. MONAGHAN: Let me give you an -- oh, sorry.

16 QUESTION: Maybe Congress thought this was as
17 much of a miscarriage of justice as they wanted the courts
18 to look into.

19 MR. MONAGHAN: That may be, Your Honor, and if
20 that's the construction which you put on it, then it
21 raises substantial questions whether or not -- not
22 necessarily in the Federal court, but in the State court
23 broader postconviction relief must be available.

24 The -- let me put a case. If our case falls
25 outside the statute, we have a claim of miscarriage of

1 justice. We have a claim in this case despite what Judge
2 Carne said in the lower court in which a Cage instruction
3 was given 14 times. Twelve times they were personalized.
4 Each juror got his own personal Cage instruction.

5 Now, if that claim -- and we also now have
6 substantial other evidence of innocence. If that claim
7 falls outside of section 2244(b) as it now stands, then a
8 State court must entertain it.

9 QUESTION: Why?

10 MR. MONAGHAN: Because the Due Process Clause
11 would require postconviction relief in those circumstances
12 in our view, so long as Palko v. Connecticut and Rochin v.
13 California are the law of the land.

14 QUESTION: Well, you know, Rochin is a fact-
15 specific case if there ever was one. I don't see how you
16 can bring it --

17 MR. MONAGHAN: The standard is not fact-
18 specific. Conduct which shocks the conscience, or in your
19 opinion in the Brecht case, Your Honor, you were very much
20 concerned in analyzing harmless error cases to discuss
21 questions of fundamental fairness.

22 I would stand basically on Justice O'Connor's
23 footnote. Justice O'Connor's -- the quote from Justice
24 O'Connor at the bottom of 25, the historic function of
25 habeas corpus is to prevent the execution of an innocent

1 person, and if the claim is strong enough and there is
2 other constitutional error, some court must consider it.

3 QUESTION: What do you think of the suggestion
4 in one of the amicus briefs that the statute really means
5 that you can get relief if you present new evidence that
6 ought to substantially undermine the reviewing court's
7 faith in the fundamental justice of the verdict?

8 MR. MONAGHAN: We certainly --

9 QUESTION: There was a suggestion that that's

10 MR. MONAGHAN: Yes, we could certainly --

11 QUESTION: -- what the statute means.

12 MR. MONAGHAN: And what we would do is to argue
13 that the statute does mean that, and if it doesn't --

14 QUESTION: And is there a way of getting to
15 there with the words of the statute as they are?

16 MR. MONAGHAN: Well, I don't know how to respond
17 to that. Yes, I think it really -- if you take a look at
18 the statute --

19 QUESTION: Why should we try to get there?

20 MR. MONAGHAN: You should try to get there,
21 absolutely.

22 QUESTION: Why?

23 MR. MONAGHAN: Because you don't want to have
24 a -- because the historic --

25 QUESTION: Why shouldn't we just try to apply

1 the statute as written? I mean, rather than trying to
2 torture some meaning out of it --

3 MR. MONAGHAN: I don't think it's a torture,
4 Your Honor.

5 QUESTION: -- that's not there.

6 MR. MONAGHAN: I don't think it's a torture, and
7 to say you're going to apply the statute as written
8 presupposes that this statute is quite plain.

9 But suppose that it were quite plain, then you'd
10 have another question, are the criteria exhausted, and it
11 doesn't follow, Justice Scalia, that the statute would be
12 meaningless. It would open a wedge for cases in which the
13 fundamental claim was miscarriage of justice.

14 QUESTION: Mr. Monaghan, if we were to agree
15 with the panel when it said, old law, no law -- new law,
16 we would come out the same way on this petition, if we
17 were to agree with that --

18 MR. MONAGHAN: Uh-huh.

19 QUESTION: -- position, then there would be no
20 occasion for us to determine whether our current Rule
21 20.4(a), sets the standard --

22 MR. MONAGHAN: Yes, I --

23 QUESTION: It just says rarely granted, or this
24 new statute. It would be kind of an academic question for
25 us to engage in.

1 MR. MONAGHAN: No, there would be another
2 question you would have to face, and let me say, Your
3 Honor, the panel says that the instruction is given twice,
4 and then it applies harmless error analysis.

5 This is what the judge does, and says, it's
6 given twice and it's really sort of harmless, it was
7 offset. The most important document that we've filed in
8 this Court is the original writ, and on page 18 in a
9 footnote you will see that the prosecutor gave each juror
10 his own personal Cage instruction. When that was called
11 to the attention of Judge Carnes, his response was, oh,
12 well, right after that he instructed the jury that it was
13 not to consider counsel's argument as evidence.

14 This occurred not when counsel was opening, this
15 occurred at the voir dire. The second thing Judge -- the
16 judge says is that we defaulted our claim in any event.
17 That's what he -- he -- we had to exhaust our claim in the
18 State court. If we were right as to when our Cage claim
19 arose, our Cage claim was not exhausted in the State court
20 till about May 1. So before you jump and conclude that
21 you could decide the case in accordance with the panel's
22 opinion, we would like to be heard on that.

23 But if -- but it raises another question under
24 the statute. The court of appeal -- and that's the
25 construction of 3(C). The court of appeals may authorize

1 the filing of a second or successive application only if
2 it determines that the application makes a prima facie
3 case. Judge Carnes, in one day, turned around a 24-page
4 opinion on the merits.

5 Now, he did not play the role of the gatekeeper
6 in this case, and that's all he was supposed to do.
7 That's all the panel was supposed to do.

8 This statute passed by Congress with respect to
9 second petitions is not the work of Attila the Hun. I
10 mean, this is an attempt, as runs throughout the entire
11 statutory scheme, to make sense out of habeas. The
12 testimony in the House -- I mean, in the Senate over and
13 over, mainly by Senator Hatch was, I want to do two
14 things. We believe in habeas corpus, and we want to
15 prevent abuses of the writ.

16 Second petition cases do raise a problem about
17 abuse of the writ, but --

18 QUESTION: Mr. Monaghan, can I ask you a
19 question I want to be sure I have your view on? If our
20 jurisdiction to entertain an original habeas writ under
21 2241 is an exercise of our appellate jurisdiction, rather
22 than original jurisdiction, what is the thing that is
23 being appealed from?

24 MR. MONAGHAN: I'm glad that you asked that,
25 Your Honor, because I have opened the Government's brief,

1 and you -- the -- what is being appealed from in this case
2 is the denial of the certificate to go forward to the
3 district --

4 QUESTION: So you think we have appellate
5 jurisdiction over the action of the court of appeals?

6 MR. MONAGHAN: Yes, Your Honor, and I would like
7 to read to you from the Government's brief. That's
8 what -- and -- because the traditional jurisdiction in
9 habeas corpus originally was always to revise a judgment
10 of a lower court. The -- Ex parte Yerger is a wonderful
11 example.

12 Now, the Government's position, I don't know
13 what the Government thinks you're reviewing in this case.
14 The Government's position at the bottom of page 23 first
15 argues that section 106 applies to this Court, and then it
16 says, it is true that the courts will have no opportunity
17 to announce except in dicta the requirements for a prima
18 facie showing that the application satisfies the
19 requirements of section 106(b)(3)(C), because the court of
20 appeals' determination that the habeas petitioner has or
21 has not made out a prima facie showing is not subject to
22 review on certiorari.

23 It's a non sequitur. Under our view, it's
24 reviewable on the original writ.

25 But what is apparent if you look at the

1 Government's brief, it is not clear what the Government
2 thinks you're reviewing. You must be reviewing some
3 court.

4 QUESTION: Well, I thought the Government was
5 taking this position, that our review is appellate in the
6 sense that it is not within the meaning of Article III
7 original, but that it is an original proceeding in the
8 sense that it's a proceeding before us in the first
9 instance, and I would suppose that if it is treated in
10 that way, we could consider as an evidentiary matter what
11 may be on the record below, even though we are not, in the
12 conventional sense, reviewing that record on an appellate
13 process.

14 MR. MONAGHAN: That would be completely contrary
15 to the whole practice in the 19th Century. Every case we
16 cite -- Yerger, Marbury v. Madison -- must be revising the
17 decision of the lower court.

18 QUESTION: And yet you read Ex parte Grossman,
19 you don't seem to have had that in mind. That's
20 admittedly a 20th Century case.

21 MR. MONAGHAN: The 19th Century -- Dallin Oaks'
22 article sets this out quite clearly, and we have many
23 cases which we could provide.

24 QUESTION: Well, there is a judgment. There's a
25 judgment of the State court.

1 MR. MONAGHAN: That's right -- no, you're not --
2 that is not the practice. You're reviewing not the
3 judgment of the detaining court, you're reviewing the
4 judgment of the court that refuses authorization.

5 In Ex parte Young, the circuit court had refused
6 to grant a writ of habeas corpus. A person was being held
7 by a military court. The Supreme Court made it very clear
8 that you were reviewing the denial of relief.

9 I might save a minute or two, if I -- thank you.

10 QUESTION: Very well, Mr. Monaghan.

11 Ms. Boleyn. Is that the correct pronunciation
12 of your name?

13 MS. BOLEYN: Yes, Chief Justice.

14 ORAL ARGUMENT OF SUSAN V. BOLEYN

15 ON BEHALF OF THE RESPONDENT

16 MS. BOLEYN: Mr. Chief Justice, and may it
17 please the Court:

18 In various decisions of this Court in the area
19 of Federal habeas corpus for State prisoners, concern has
20 been expressed on the previous statutory parameters
21 governing the well recognized problem of successive habeas
22 corpus litigants. Now, Congress has acted, we submit,
23 within its authority to regulate the consideration of
24 successive petitions while we contend not impermissibly
25 interfering with this Court's jurisdiction or having the

1 effect of suspending the writ of habeas corpus.

2 While it might appear at first, from a review of
3 the briefs, that the parties are not very far apart on
4 their views as to the statute, there's one thing that the
5 respondent in this case wishes to make adamantly clear,
6 and that is that we are very far apart on the essential
7 issue underlying the resolution by this court of the three
8 issues that it has posed.

9 First, the respondent opposes any interpretation
10 of the act and its impact on 2241 which would engender
11 further delay, whereas the petitioner --

12 QUESTION: That's not a very specific position,
13 Ms. Boleyn.

14 MS. BOLEYN: Mr. Chief Justice.

15 QUESTION: What is your position with respect to
16 the existence of the original habeas jurisdiction in this
17 Court after this act of Congress was passed?

18 MS. BOLEYN: With specific reference to that,
19 Mr. Chief Justice, our position is that, while the
20 original writ exists on paper, because the new act does
21 not mention 2241, that there was no intent of Congress to
22 allow the applicability of 2241 to successive habeas
23 corpus petitions, so it does not exist for that purpose.

24 Alternatively, we say --

25 QUESTION: Yes, well, how do you distinguish ex

1 parte Yerger?

2 MS. BOLEYN: Well, I think, Your Honor, again I
3 believe what earlier has been said by other justices, that
4 there -- our position is that this is a permissible
5 restriction.

6 QUESTION: How do you distinguish Ex parte
7 Yerger from this case on the jurisdictional point?

8 MS. BOLEYN: Well, I think that this Court has
9 recognized exceptions to its jurisdiction both in the
10 constitutional venue under Article III --

11 QUESTION: Are you familiar with the Yerger
12 case?

13 MS. BOLEYN: Yes, Your Honor, but I'm not
14 familiar with what exactly you're asking me to respond to.

15 QUESTION: Well, in Yerger, as I understand it,
16 the Court said that the repeal effected in the statute
17 considered in the McCardle case, which had repealed the
18 jurisdiction of the Court to hear an appeal from a lower
19 court decision denying certiorari, in Yerger they said,
20 well, that's true, Congress took away that appellate
21 jurisdiction, but it didn't take away our original
22 jurisdiction.

23 MS. BOLEYN: Your Honor, I don't think that
24 original jurisdiction is affected, if that's what you're
25 saying. It's original in the sense, as Justice Souter

1 said, that it's directly filed with the Court, but it
2 doesn't interfere with the Court's original jurisdiction
3 because essentially, as has been said earlier, we're
4 asking the Court to look at it as an appellate -- part of
5 its appellate jurisdiction, so in response to that
6 question, it's not interfering with the original
7 jurisdiction, it's just interfering with -- permissibly,
8 we think, with the direct attempt to file a habeas corpus
9 petition with this Court.

10 QUESTION: I'm sorry, I don't understand. If,
11 in fact, a person files a writ of original jurisdiction
12 and he says, read my papers, new section 106 totally
13 applies, I satisfy everything, I have some brand new
14 evidence that shows I'm completely innocent, a prima facie
15 case is made out and the court of appeals clearly erred in
16 denying me the right to go to the district court, file
17 that in our Court. Do we have jurisdiction to hear it?
18 Yes or no.

19 MS. BOLEYN: You do not --

20 QUESTION: I thought from your brief the answer
21 was yes.

22 MS. BOLEYN: You have the right under your --
23 under writ -- under 2241 to look at extraordinary cases
24 for extraordinary relief. Our view is that in the context
25 of successive petitions --

1 QUESTION: So you're answer's yes.

2 MS. BOLEYN: You have the right -- that right
3 still exists. We're asking that the Court use the
4 backdrop of its rules and its jurisprudence to find it has
5 no applicability in the context of successive habeas
6 corpus petitions, and the reason that we're saying that is
7 because, if this Court has the right to guarantee a direct
8 access of the petitioner to this Court, then essentially
9 this Court becomes a lower court who is doing nothing but
10 relitigating what Congress has delegated to the lower
11 court as being their task.

12 It makes this Court a factfinder. It makes this
13 Court determine such issues as cause and prejudice --

14 QUESTION: But Ms. Boleyn, part of the Yerger
15 decision was to clarify that although it's an original
16 writ, the jurisdiction that this Court exercises is
17 appellate, because apart from the original jurisdiction
18 that Article III gives to this Court, there can be no
19 other authority other than appellate in this Court.

20 So under Yerger, the basic writ was preserved,
21 and it was described and explained as an exercise of
22 appellate jurisdiction. An original writ, but appellate
23 jurisdiction. So why isn't this precisely the same?

24 MS. BOLEYN: Because, Your Honor, I believe that
25 what's being done in this case is attempting to

1 substitute, and under petitioner's view this Court would
2 be substituted for the lower court.

3 It's -- they're saying that it's exercising
4 appellate jurisdiction, but what the lower court -- you
5 would be actually acting as a factfinder determining the
6 prima facie case, because our position is that this Court
7 would be bound by the substantive provisions of the act,
8 so in that sense this Court would become a factfinder.
9 That is not within its jurisdiction on the appellate
10 level.

11 QUESTION: Well, it --

12 MS. BOLEYN: The appellate court jurisdiction
13 doesn't encompass factfinders. I'm sorry, Justice Souter.

14 QUESTION: I didn't mean to interrupt you.
15 Isn't it the case that we might well be bound by the
16 substance of the act in (b)(1) and (b)(2), but we are not,
17 in terms, bound by the prima facie case showing, which
18 applies only in courts of appeals and district courts?
19 That's a possible reading of the act, isn't it?

20 MS. BOLEYN: That's a possible reading. Our
21 interpretation is that you would be bound by the necessity
22 of having the petitioner make a prima facie case showing
23 to --

24 QUESTION: But that's not what the act says. I
25 mean, the act -- that portion of the act applies by its

1 own terms --

2 MS. BOLEYN: Yes.

3 QUESTION: -- only to the relationship between
4 district and courts of appeals, isn't that correct?

5 MS. BOLEYN: Yes. By the terms of the act, yes.
6 It's our position that insofar as 2241 exists for
7 successive State habeas, that the Court should find itself
8 to be bound by those issues just the same way that the
9 Court found in its rules that it was bound by exhaustion
10 requirements and, of course, that the petitioner under
11 this Court's rule has to establish why it hasn't sought
12 the relief from the lower court.

13 QUESTION: But then wouldn't we be making the
14 same sort of factual, fact-specific determinations on the
15 prima facie case issue? It would just be removed in
16 degree.

17 MS. BOLEYN: Yes, and I think that's exactly
18 what we're asking the Court not to do, not to denigrate
19 the Court's Article III jurisdiction by going and becoming
20 a factfinder. Congress has tried to delegate that
21 factfinding screening process, as the petitioner said, to
22 the lower courts, so we're asking the Court to reserve the
23 direct writ, as we choose to call it, for those rare and
24 extraordinary circumstances where there is not another
25 avenue of relief.

1 He had another avenue of relief. He was simply
2 found to have been foreclosed by the lower court, so by
3 its terms we do not think that where the other avenues of
4 relief terminology --

5 QUESTION: So your position basically is if the
6 court of appeals under this act turns down the petitioner,
7 that there's no occasion for the exercise of our original
8 jurisdiction even though it exists, because he had another
9 avenue of relief but he was found to not meet the
10 standards for it?

11 MS. BOLEYN: That's our position, except perhaps
12 in those cases where, as Mr. Felker did, the jurisdiction
13 of the Court as a whole has been challenged.

14 Our position is another option that the
15 petitioner had was, he could have filed a writ of mandamus
16 against the Eleventh Circuit asking that they interpret
17 the act.

18 So the only exceptional relief that he could
19 have sought was not just simply try to file an original
20 habeas corpus petition --

21 QUESTION: Well, mandamus is no more readily
22 granted here than original habeas corpus, I think. I
23 don't know that that's a way around the difficulty.

24 MS. BOLEYN: Well, I think, Your Honor, what I'm
25 trying to say when I'm saying that is that exceptional

1 relief such as the type that the petitioner is seeking in
2 this case, for example, that there's no jurisdiction of
3 the Court, or that the act is unconstitutional, is exactly
4 the type of situation that the Court might want to
5 exercise its jurisdiction.

6 QUESTION: Well, why would the mandamus petition
7 be in order to protect our jurisdiction, because I thought
8 we had no jurisdiction. You seek mandamus -- we grant
9 mandamus under the All Writs Act to protect our -- in aid
10 of our jurisdiction, but that's circular. There is no
11 jurisdiction in your view.

12 MS. BOLEYN: Your Honor, I think --

13 QUESTION: So how does mandamus work?

14 MS. BOLEYN: Your Honor, I think that perhaps I
15 was not clear about what I was saying. I think that there
16 always remains an avenue of relief for a Federal habeas
17 corpus petition to try to challenge the jurisdiction of
18 the Court, but the petitioner in this case, his only
19 avenue perhaps may have been to try to challenge the
20 constitutionality of the new act by means of some sort of
21 extraordinary relief.

22 Maybe he would have chosen the mandamus or
23 declaratory judgment, or some other extraordinary relief,
24 but insofar as he's trying to invoke the jurisdiction of
25 this Court to review the fact that the Eleventh Circuit

1 said he hadn't made a prima facie case and he wasn't
2 entitled to relief under the old act, our position is he
3 should not be able to invoke the original extraordinary
4 jurisdiction of the Court for that purpose.

5 QUESTION: Then he shouldn't be able -- I take
6 it it's your position he should not be able to invoke that
7 original extraordinary jurisdiction, as you put it, for
8 any purpose that would result in his getting substantive
9 relief.

10 MS. BOLEYN: Correct.

11 QUESTION: You are saying the only thing he can
12 litigate under that jurisdiction is jurisdiction itself.

13 MS. BOLEYN: That's correct, Your Honor.

14 QUESTION: So that if you win on that point, in
15 fact there will never be again an extraordinary case in
16 which this Court might invoke its original jurisdiction to
17 review the particularities of the case.

18 MS. BOLEYN: Not for the particularities of the
19 case, but only if there's something overriding. Now,
20 perhaps --

21 QUESTION: So that means -- I mean, the
22 practical effect of that, then, is that our original
23 jurisdiction is, in fact, gone.

24 MS. BOLEYN: Yes.

25 QUESTION: I don't understand, then. I didn't

1 perhaps understand you.

2 What do you say happens in the very unusual case
3 where a person comes in with totally convincing evidence
4 at the eleventh hour that all the State's witnesses are
5 not telling the truth, or something -- that could
6 happen -- and thus meets the standards of 106, meets all
7 those standards, the certificate, though, is denied, and
8 he comes to us and asks us to issue a writ of habeas
9 corpus under our original jurisdiction on the ground that
10 this is a very unusual case where all the evidence was no
11 good, and all the standards are met?

12 I ask you, do we have jurisdiction to do that,
13 and is your answer yes, or is it no?

14 MS. BOLEYN: My answer's no.

15 QUESTION: Well, your brief suggested yes.

16 MS. BOLEYN: Let me see if I can explain myself
17 more fully, Justice --

18 QUESTION: And the reason that we don't have
19 jurisdiction to do that, given you're here and everything
20 is -- I'm just asking you to explain, just want you wanted
21 to --

22 MS. BOLEYN: I've been trying to do -- Justice,
23 I think what we're saying is, if someone brought a claim
24 of innocence, Congress has already spoken and said that
25 primarily claims of innocence in the first instance under

1 Georgia law ought to be to the State courts, anything from
2 a motion for new trial, an extraordinary motion for a new
3 trial, or a petition for clemency.

4 Insofar as innocence is relevant to a Federal
5 habeas corpus proceeding, Congress has chosen to narrow
6 the views in which innocence is germane to a reviewing
7 court's consideration, and under the new provisions of
8 106, they have informed us exactly how innocence will be
9 viewed, and they have left out, deliberately it appears to
10 me --

11 QUESTION: I'm sorry, the case I'm quoting is
12 106 is met. The petitioner proves in his view that under
13 106 he's entitled to the writ. His claim is, under 106 I
14 should get the writ, the court of appeals wouldn't give it
15 to me, he asks us to review it.

16 MS. BOLEYN: You should not review it.

17 QUESTION: Because --

18 MS. BOLEYN: You should not review it because he
19 petitioner is seeking to obtain habeas corpus relief from
20 this Court as prohibited by the statute, and the only
21 thing that they could be trying to do is get you to review
22 the prima facie decision not to go forward.

23 QUESTION: Then I don't understand your position
24 that 2241 exists. You say it exists on paper.

25 MS. BOLEYN: Because --

1 QUESTION: But now you seem to be saying it is
2 effectively repealed. Which is it?

3 MS. BOLEYN: Effectively repealed, Your Honor.
4 I think that the fact that there is no -- under the plain
5 meaning rule there is no reference, direct reference in
6 the act to 2241, but we are saying in our position, in
7 light of successive petition concerns, that it has
8 effectively been --

9 QUESTION: So now your position is, it was
10 repealed by implication.

11 MS. BOLEYN: Yes, Your Honor.

12 QUESTION: And it's a dead letter, so it's
13 not -- it doesn't exist.

14 MS. BOLEYN: And, of course, alternatively, if
15 the Court finds it does exist, what we're asking this
16 Court to do is to make it rare and extraordinary in a
17 situation where, perhaps, jurisdiction or some other
18 unusual situation might be envisioned.

19 The only one I could think of that might
20 possibly do is if you could talk about there was some sort
21 of last minute claim of competency to be executed, for
22 example, which is a separate proceeding that wouldn't come
23 up in the regular courts of Federal habeas corpus --

24 QUESTION: Well, if it is preserved, if 2241
25 continues, not just on paper --

1 MS. BOLEYN: Right.

2 QUESTION: -- what more would you propose than
3 what our rule already says, which is exceptional
4 circumstances rarely granted?

5 MS. BOLEYN: I think, Your Honor, what we have
6 proposed is that the Court interpreted -- there's another
7 portion of that rule that talks about that the petitioner
8 has to establish that he has no other forum available to
9 litigate his claim and no other avenues of relief.

10 We're saying that the petitioner seems to
11 interpret that as saying that he has nothing left now, not
12 that he never had anything.

13 And what we're trying to get this Court is to
14 interpret its own rules as saying that, having had cert
15 jurisdiction in this case three times already, and he
16 still has remaining a petition for cert available if he
17 wishes to review the judgment of the State habeas corpus
18 court dismissing the second petition, that that's not the
19 same thing as never having a forum in which to litigate
20 your claim, that that particular forum is not meant for
21 repetitive litigation of the same issues that have already
22 been adjudicated adversely to a petitioner.

23 So an original writ, under our view and what we
24 would hope you would interpret the rules further, not to
25 allow repetitious litigation of the same claims,

1 especially in the face of a congressional delineation in
2 the lower court.

3 QUESTION: But that doesn't answer the new
4 claim. That doesn't answer the case where he says he's
5 got a new claim. Your argument doesn't address that
6 situation.

7 MS. BOLEYN: Where he has a new and different
8 claim?

9 QUESTION: He alleges a new claim, yes.

10 MS. BOLEYN: Well --

11 QUESTION: Why doesn't he just say, I presented
12 it to the court of appeals, they denied it, there's no
13 other avenue available? Why doesn't that satisfy the text
14 of the rule?

15 MS. BOLEYN: I think because in a new claim
16 situation, the Court bars new claims for lots of reasons.
17 It's no more egregious to bar him from consideration of a
18 new claim as it is to use procedural default or a Teague
19 retroactivity bar. That's no different.

20 There's always lots of hurdles that they need to
21 overcome, and this is just an additional hurdle, or a
22 specific hurdle they have to overcome, the successive
23 petition bar as it exists in 106.

24 QUESTION: Ms. Boleyn, your interpretation of
25 the new law, and your position that 2241 is effectively

1 repealed, differs from that of the Solicitor General, I
2 take it.

3 MS. BOLEYN: I believe that's true. I think
4 where we're together is that if it applies, the second two
5 provisions apply, but I believe that we say it's
6 effectively been repealed by implication. So that's where
7 we would --

8 QUESTION: May I ask also, you referred earlier
9 to mandamus. Is it your view that there is mandamus
10 review available, notwith -- apart from the common law
11 writ of certiorari, and petition for -- common law writ of
12 habeas corpus, I mean. Is there mandamus review available
13 to either party, do you think?

14 MS. BOLEYN: I think this Court in its rules
15 refers to exceptional relief. How much more --

16 QUESTION: Assume we've got an exceptional case.
17 In your view, is mandamus -- and let me supplement the
18 question by saying with regard to House v. Mayo, which was
19 cited to us today, that was common law writ of certiorari
20 review pursuant to the All Writs Act. Do you think either
21 common law certiorari or common law mandamus is available
22 pursuant to the All Writs Act?

23 MS. BOLEYN: Probably so. Probably 1651 still
24 exists as some sort of exercise of this Court's
25 discretionary powers. Of course, we would hope that that

1 would be a very limited view of that, but I think it would
2 exist.

3 QUESTION: Well, but if you're allowing that,
4 what happens to your argument that we ought to construe or
5 ought to find an implication? It cuts our 2241 power even
6 more narrowly. I mean, by its terms, the act doesn't do
7 that, and you're saying, well, you ought to do it because,
8 in effect, it would give effect to the act. You don't
9 want to make end runs around what Congress intended.

10 MS. BOLEYN: Right.

11 QUESTION: But in fact, on your view, something
12 like that is allowed if we exercise a mandamus
13 jurisdiction, so why shouldn't we construe, even on your
14 view, our 2241 jurisdiction at least that broadly?

15 MS. BOLEYN: I think the short answer to your
16 question is, we want extraordinary relief to even be more
17 extraordinary in the context of the success of the
18 petition.

19 QUESTION: 2241 doesn't sound extraordinary
20 enough. That's --

21 MS. BOLEYN: I guess that's what we're saying
22 that it -- by your own definition of it in Rule 20, it's
23 supposed to be rare, extraordinary, drastic remedy.
24 That's --

25 QUESTION: Well, I mean, that sounds like

1 mandamus, too, doesn't it?

2 MS. BOLEYN: Well, I think that what the
3 petitioner is trying to do is make an extraordinary remedy
4 an ordinary remedy. It isn't that we're trying to make
5 it --

6 QUESTION: No, but you're saying even as an
7 extraordinary remedy, your answers to Justice Breyer,
8 Justice Ginsburg and me made it very clear that under
9 2241, save for in the narrow sense, jurisdictional
10 litigation, 2241 no longer, on your view, gives us power
11 to do anything.

12 MS. BOLEYN: I think you have limited
13 exceptional power. All I can say is, our position --

14 QUESTION: Even under 2241?

15 MS. BOLEYN: Not under 2241.

16 QUESTION: But under mandamus.

17 MS. BOLEYN: Right, and perhaps under the All
18 Writs Act as well, in some sense.

19 Usually of course, in a death penalty case, when
20 you're dealing with the All Writs Act, the All Writs Act
21 is in virtue -- goes -- accompanies a motion for stay of
22 execution which, of course, usually is accompanied by some
23 other form of proceeding, usually a successive Federal
24 habeas.

25 QUESTION: But why don't you take the position

1 that we should construe those avenues as equally barred in
2 the spirit of this new act?

3 MS. BOLEYN: I think I am, or perhaps I'm just
4 not being clear. I think ordinary relief --

5 QUESTION: No, but you said -- I thought you
6 left a place for mandamus, and you left a place for the
7 exercise of the All Writs Act, which I guess --

8 MS. BOLEYN: I guess my position is that all
9 extraordinary relief has not been eliminated by virtue of
10 the act, but that extraordinary relief should remain
11 extraordinary. That's the only way I can answer your
12 question in a brief way.

13 QUESTION: Well then, why not call it what is
14 the most accurate fit for what's sought here? What's
15 sought here is a writ of habeas corpus, and you're saying,
16 just recharacterize it as a writ of mandamus. I don't
17 follow that argument.

18 I can understand the argument that 2241 is out
19 of the picture, there is nothing once you're finished with
20 the court of appeals, but I don't understand this label
21 that maybe mandamus but not habeas.

22 MS. BOLEYN: I'm not asking the Court, Your
23 Honor, to recharacterize it as mandamus. I'm simply
24 saying I think mandamus still exists for examining
25 jurisdictional defects. It does not exist, and we would

1 not urge that it be applied, to traditional habeas corpus
2 cases.

3 I mean, I do not think that we can urge that on
4 all exceptional relief, and our position is not eliminated
5 by the act.

6 QUESTION: Thank you, Ms. Boleyn.

7 General Days, we'll hear from you.

8 ORAL ARGUMENT OF DREW S. DAYS, III

9 ON BEHALF OF THE UNITED STATES, AS AMICUS CURIAE,
10 SUPPORTING THE RESPONDENT

11 GENERAL DAYS: Mr. Chief Justice, and may it
12 please the Court:

13 Section 106 of title I of the 1996 act does not
14 unconstitutionally restrict the jurisdiction of this
15 Court, at least where Congress does not divest this Court
16 of jurisdiction to entertain original petitions for writs
17 of habeas corpus. Both McCardle and Yerger establish that
18 no constitutional problem is presented.

19 Unlike the State of Georgia, we believe that
20 this Court's jurisdiction over original habeas and section
21 106 are capable of coexistence. The text of section 106
22 in terms does not address itself to this Court's
23 original -- this Court's jurisdiction over original habeas
24 under 2241, and there's no reason why this Court should
25 read the statute with that intent in mind. Therefore, we

1 do not view the continuation of this Court's jurisdiction
2 over original habeas as in some way representing an
3 invasion or a circumvention.

4 QUESTION: What exactly is it that the Court
5 would be reviewing under its original habeas jurisdiction?
6 Is it, in fact, some kind of appellate review, and --

7 GENERAL DAYS: Yes, it is.

8 QUESTION: -- would we be reviewing the denial
9 by the court of appeals of the permission to file a
10 successive petition?

11 GENERAL DAYS: No, not under these
12 circumstances.

13 Mr. Monaghan referred to our brief at page 23.
14 I think our footnote, footnote 9, makes very clear that
15 we're talking about the Court's appellate jurisdiction
16 over the decision that committed the person to jail.

17 QUESTION: You mean a State court decision?

18 GENERAL DAYS: Whatever decision is holding the
19 person in detention.

20 QUESTION: Well, this is a very kind of confused
21 point in our cases, I think.

22 GENERAL DAYS: Yes.

23 QUESTION: But we have to have some -- if it is
24 indeed appellate jurisdiction, we have to have somewhere
25 where the prisoner has been ordered confined. Your

1 thought is, it is the judgment of the State court that
2 confined him?

3 GENERAL DAYS: Yes, Mr. Chief Justice. I think
4 the law is somewhat complicated in this regard, because if
5 one looks at McCardle and Yerger, those were Federal
6 cases. They were detained ultimately by Federal circuit
7 courts. They were in military custody, and then the
8 circuit courts made a determination with respect to that
9 custody, so the whole question of the Supreme Court's
10 having appellate jurisdiction over a Federal court was
11 very clear.

12 QUESTION: Yes. They said there that you --
13 it's a review of the decision refusing the writ. I mean,
14 there were --

15 GENERAL DAYS: That's correct.

16 QUESTION: -- those decisions in -- but
17 wouldn't -- it seems to me the most logical analysis to --
18 analogy to that is to say it is the court of appeals which
19 has refused to allow the --

20 GENERAL DAYS: Yes.

21 QUESTION: -- issuance of the writ --

22 GENERAL DAYS: Yes.

23 QUESTION: -- of habeas corpus.

24 GENERAL DAYS: Yes.

25 QUESTION: But in Yerger what was -- why I'm

1 confused about it is that Yerger says that the 1868 act,
2 that was the act at issue there, repeals so much of the
3 act as authorizes an appeal from the judgment of the
4 circuit court to the Supreme Court, so there they seem to
5 repeal the section that would authorize an appeal, and yet
6 it was still in our appellate jurisdiction. That was what
7 was confusing me. So I thought perhaps what we're
8 reviewing is where you started out. It's in our appellate
9 jurisdiction because --

10 GENERAL DAYS: Oh, I -- as I was indicating to
11 the Chief Justice, it's complicated by the fact that by
12 McCardle and Yerger were cases having to do with the
13 Federal court system, and had nothing to do with State
14 detention, which is presented by this particular case.

15 QUESTION: Well, so are you changing your answer
16 to my question? What is --

17 GENERAL DAYS: The Court would not, on original
18 habeas, be reviewing a determination by the court of
19 appeals. It would be with respect to why, in this case
20 Felker, is still being detained by the State of Georgia.

21 QUESTION: It would be the same as if the
22 district court under prior practice had been asked to
23 issue a writ against the warden.

24 GENERAL DAYS: After all, the warden is here as
25 the respondent.

1 QUESTION: So what it means is, it is appellate
2 in the Article III sense because it can't be original.

3 GENERAL DAYS: That's correct.

4 QUESTION: But it is original in the sense that
5 it is going to the merits of the habeas claim rather than
6 to the specific determination by the court of appeals that
7 no prima facie case was made.

8 GENERAL DAYS: That's right. The person is
9 saying, I'm being detained --

10 QUESTION: Yes.

11 GENERAL DAYS: -- in violation of the
12 constitutional laws or treaties of the United States.

13 QUESTION: And I would suppose that in making
14 that determination we would be free to look, on your view,
15 at whatever had been presented in the court of appeals
16 simply as a convenient place where evidence was reposing.

17 GENERAL DAYS: That's correct.

18 QUESTION: May I also ask if it's your view
19 that, assuming -- we're all very doubtful of some of these
20 things -- that there is such an original, quote, appellate
21 habeas in this Court, would 2241(b) nevertheless apply and
22 authorize this Court to transfer for a hearing the case to
23 a district court, in your view?

24 GENERAL DAYS: I'm not very clear about that.
25 Certainly the statute has not been changed. It's not

1 clear exactly what that transfer would mean. This Court
2 in dealing with original petitions in recent times has not
3 used that transfer power.

4 QUESTION: But it's on the books.

5 GENERAL DAYS: It's simply dismissed these cases
6 and sent them to whatever court is competent to resolve
7 those issues.

8 But I think that in order not to evade the
9 statutory scheme that Congress set up and in most
10 circumstances the transfer to the district court would be
11 for purposes of the district court's exercising whatever
12 jurisdiction it had available, and under this statutory
13 scheme that would mean that the court of appeals would
14 have to authorize the applicant to go forward in the
15 district court before the district court could go forward.

16 This is not something that we would obviously
17 agree, but it seems to us that that makes some sense in
18 terms of reconciling 2241(b) and section 106, the
19 gatekeeper provisions.

20 QUESTION: But we might take the position that
21 the gatekeeper determination having been made, the
22 district court could have no jurisdiction, so we couldn't
23 send it to the district court, and if we needed evidence
24 taken in a really extraordinary case, I suppose we could
25 use a master, isn't that true?

1 GENERAL DAYS: Yes, that is also possible.

2 One of the things that I wanted to point out
3 that I think is contrary to the State of Georgia.
4 Georgia's position with respect to this circumvention and
5 evasion is that Congress apparently did not see the
6 continuation of this Court's jurisdiction over original
7 habeas as being a circumvention. If one looks at section
8 103 of the act, that was a decision by Congress to amend
9 Rule 22 of the Federal Rules of Appellate Procedure.

10 QUESTION: Where do we find 10 -- that portion.

11 GENERAL DAYS: That's at point 4 and 5a of the
12 appendix to our brief, Mr. Chief Justice.

13 What Congress did there is amend the
14 jurisdiction of Federal circuit judges to grant original
15 habeas, and basically said that that was no longer
16 possible. It seems to us that Congress knows how to
17 address original habeas jurisdiction when it wants to, and
18 it concluded that it did not wish to do so insofar as the
19 Supreme Court was concerned.

20 And to us, this makes great sense, because after
21 all Congress was legislating against a backdrop of this
22 Court's decisions and its policies, and there are two
23 things to note about those particular decisions and
24 policies.

25 One is that for over 70 years this Court has

1 attempted to impose reasonable restrictions over the abuse
2 of the writ, particularly second or successive petitions,
3 and secondly, in dealing with original habeas petitions
4 this Court has, as several of you on the bench, Mr. Chief
5 Justice and others, have pointed out, this Court has
6 imposed very stringent requirements before granting
7 original habeas. There hasn't been one granted since Ex
8 parte Grossman in 1925.

9 Not only that, the statute 2241(b) that Mr.
10 Justice Stevens just mentioned, 2242, which requires that
11 there be some showing of why the applicant hadn't gone to
12 another court, and 2254(b), the old 2254(b), essentially
13 indicated that no petition would be granted unless it
14 could be shown that relief was not available any place
15 else. So --

16 QUESTION: Well, but why aren't those two
17 readily met by simply saying, I can't go some place else
18 because the court of appeals said I couldn't?

19 GENERAL DAYS: Well, I think it is. That is,
20 the applicant can go to the Court, having met those
21 requirements, if that applicant has gone through the
22 gatekeeper process, but that does not dictate that the
23 Court should actually entertain and grant an original writ
24 under those circumstances.

25 QUESTION: But the fact that relief is available

1 elsewhere is no longer an obstacle to that relief, you
2 say.

3 GENERAL DAYS: Well, certainly it's been
4 exhausted, but I think that what the Court would do is say
5 that the fact that the person has been denied, the
6 applicant has been denied through the gatekeeper process,
7 does not represent an exceptional circumstance that would
8 warrant this Court's consideration of that application.

9 QUESTION: In the case of an extraordinary error
10 by a circuit court, would we have mandamus jurisdiction?

11 GENERAL DAYS: Justice Kennedy, I think mandamus
12 probably is used the way it's been used, to deal with
13 ministerial acts, the failure of lower courts to perform
14 ministerial acts. I don't think that that would be
15 available, but certainly the original petition would be
16 available to deal with miscarriages of justice, or what
17 might be viewed by this Court as a malfunction of the
18 gatekeeper process.

19 QUESTION: Well, following up on Justice
20 Kennedy's thought, what about the common law writ of
21 certiorari that was used in House v. Mayo?

22 GENERAL DAYS: I think that since the statutory
23 original certiorari jurisdiction was available, there
24 would be no need for this Court to use that particular
25 common law writ.

1 QUESTION: Thank you, General Days.

2 The case is submitted.

3 (Whereupon, at 11:29 a.m., the case in the
4 above-entitled matter was submitted.)
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CERTIFICATION

Alderson Reporting Company, Inc., hereby certifies that the attached pages represents an accurate transcription of electronic sound recording of the oral argument before the Supreme Court of The United States in the Matter of:

ELLIS WAYNE FELKER, Petitioner v. TONY TURPIN, WARDEN
CASE NO. 95-8836

and that these attached pages constitutes the original transcript of the proceedings for the records of the court.

BY Don Mani-Fedico

(REPORTER)