### OFFICIAL TRANSCRIPT

### PROCEEDINGS BEFORE

### THE SUPREME COURT

# OF THE

## **UNITED STATES**

CAPTION: FREDEL WILLIAMSON, Petitioner v. UNITED STATES

CASE NO: 93-5256

PLACE: Washington, D.C.

DATE: Monday, April 25, 1994

PAGES: 1-46

ALDERSON REPORTING COMPANY

1111 14TH STREET, N.W.

WASHINGTON, D.C. 20005-5650

202 289-2260

1	IN THE SUPREME COURT OF THE UNITED STATES
2	X
3	FREDEL WILLIAMSON, :
4	Petitioner :
5	v. : No. 93-5256
6	UNITED STATES :
7	X
8	Washington, D.C.
9	Monday, April 25, 1994
10	The above-entitled matter came on for oral
11	argument before the Supreme Court of the United States at
12	11:04 a.m.
13	APPEARANCES:
14	BENJAMIN S. WAXMAN, ESQ., Miami, Florida; on behalf of
15	the Petitioner.
16	JOHN F. MANNING, ESQ., Assistant to the Solicitor
17	General, Department of Justice, Washington, D.C.; on
18	behalf of the Respondent.
19	
20	
21	
22	
23	
24	
25	

1	CONTENTS	
2	ORAL ARGUMENT OF	PAGE
3	BENJAMIN S. WAXMAN, ESQ.	
4	On behalf of the Petitioner	3
5	ORAL ARGUMENT OF	
6	JOHN F. MANNING, ESQ.	
7	On behalf of the Respondent	23
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		

1	PROCEEDINGS
2	(11:04 a.m.)
3	CHIEF JUSTICE REHNQUIST: We'll hear argument
4	next in Number 93-5256, Fredel Williamson v. The United
5	States.
6	Mr. Waxman.
7	ORAL ARGUMENT OF BENJAMIN S. WAXMAN
8	ON BEHALF OF THE PETITIONER
9	MR. WAXMAN: Mr. Chief Justice and may it please
10	the Court:
11	This case calls upon this Court to make clear
12	what it has indicated in every case on this issue in the
13	past 30 years, and that is that a postarrest statement of
14	an uncross-examined alleged accomplice, made in the
15	throngs of custodial interrogation, which implicates a
16	defendant, is inadmissible.
17	This Court has repeatedly recognized the
18	inherent and unique untrustworthiness of these statements.
19	They are made in the coercive atmosphere of police
20	interrogation. They are made under circumstances when the
21	declarant has every motive to speak without regard to the
22	truth. These are desperate people in desperate times.
23	People that are arrested, their lives pass
24	before their eyes, and they were motivated to speak in
25	order to curry favor, in order to assist their own

1	situation, and for the same reason that is why they
2	attempt to shift blame to someone else, to put the light
3	on a different person, and to divert the attention from
4	themselves.
5	The Government in this case recognized the
6	inadmissibility of these statements, of Harris'
7	statements, when it took the extraordinary measure of
8	moving to sever Harris, the declarant in this case, from
9	Williamson, the defendant.
10	QUESTION: The declarant is what you're
11	MR. WAXMAN: Harris, which was the person whose
12	statement we're concerned with.
13	QUESTION: You pronounced it declarant.
14	MR. WAXMAN: The declarant.
15	QUESTION: Well, just so I understand what
16	you're talking about.
17	MR. WAXMAN: I'm sorry.
18	Harris' statements in this case graphically
19	demonstrate the validity of this presumption that this
20	Court has repeatedly recognized, and why today this Court
21	should make these statements categorically inadmissible.
22	He was explained in custody he was told that
23	he would be advised what benefit he would receive for
24	making statements, cooperative statements. He knew that
25	the thing he needed to do was to finger someone else.

1	That's what he could do to relieve the burden that was
2	placed on him at this moment. That's something that
3	everyone his shoes knows they need to do in order to get
4	out from under the criminal justice system at that time.
5	They don't need to be promised. They know it
6	instinctively. The mere suggestion in this case that some
7	benefit might inure if he cooperated was enough to effect
8	his state of mind so that he would speak, regardless of
9	the truth of what he said.
10	QUESTION: But the declarant did admit his own
11	criminal liability, at least to something in this area.
12	MR. WAXMAN: He admitted very minimally that he
13	was a courier, that he was someone who was following
14	directions, he was a mule.
15	QUESTION: He was found in position, and he knew
16	what it was, and he was transporting it, so that would be
17	enough to convict the declarant of several offenses, I
18	MR. WAXMAN: That's correct, and even without
19	his statements the courts have sustained conviction after
20	conviction based on an individual's presence in a vehicle
21	under these statements without even the incriminating
22	statements.
23	QUESTION: Were there conspiracy charges brought
24	against the two defendants?
25	MR. WAXMAN: There was a conspiracy charge.

1	QUESTION: Well, are you saying this entire
2	class of statements can't be admitted, Mr. Waxman? The
3	Government seems to argue that it is a case-by-case
4	analysis under our decision in Lee and cases like that.
5	Are you saying that there is a broad exclusionary
6	principle, and if so, what is it?
7	MR. WAXMAN: The broad exclusionary principle
8	would be that these types of statements in other words
9	statements of uncross-examined, alleged accomplices such
10	as Harris, which are made in the throngs of custodial
11	interrogation which implicate in a self-serving way a
12	defendant such as Williamson, should categorically be
13	excluded.
14	QUESTION: Well, you put so many qualifications
15	on it, though, that it's hard to know how general your
16	principle is.
17	All of this is technically hearsay, I take it.
18	These are people who don't testify at trial, and you're
19	trying to get it in as an exception to the hearsay rule,
20	and traditionally the declaration against penal interest
21	is contended by the Government, I think with some reason,
22	that it's a well-established exception to the hearsay,
23	rooted in history. Do you disagree with that?
24	MR. WAXMAN: We do disagree with that, but I
25	think the focus of this Court should be on this peculiar

- 1 narrow category of statements that falls within the
- 2 general category, and this is what the Court discussed in
- 3 Lee.
- 4 QUESTION: Well --
- 5 QUESTION: So -- you're not arguing here that
- 6 the declaration against penal interest is not a firmly
- 7 rooted principle of hearsay?
- 8 MR. WAXMAN: I believe that it is, but I don't
- 9 believe the Court needs to get to that issue.
- 10 QUESTION: In Lee, and in a subsequent case
- 11 coming out of New Mexico, didn't we really describe a
- 12 presumption against admitting the evidence, rather than
- 13 making it a categorical rule?
- MR. WAXMAN: That's correct.
- 15 QUESTION: And do you -- can you prevail here
- only if the Court adopts a categorical rule?
- MR. WAXMAN: No. I think under -- certainly
- 18 under the facts of our case, the statement failed to not
- only meet the requirements of the rule, 804(b)(3), they
- 20 certainly failed to meet the requirements of the
- 21 Confrontation Clause, and we should indeed prevail, but
- 22 I --
- QUESTION: But you don't want us to just stick
- 24 by Lee and the other cases that we've decided. You want
- 25 something more.

1	MR. WAXMAN: I think in today, with 30 years
2	of experience of these types of statements, and seeing the
3	validity of the presumption and, indeed, that these
4	statements are categorically, inherently, and uniquely
5	untrustworthy any person in this position instinctively
6	will seek to curry favor and to lay blame elsewhere.
7	QUESTION: Why shouldn't as a matter of
8	general principle, why shouldn't we leave it to the jury
9	to figure this out and maybe give them some instructions?
10	MR. WAXMAN: Because the jury was never
11	presented with the facts and the circumstances to be able
12	to fairly evaluate this statement. That's precisely the
13	problem. By denying the defendant the ability to cross-
14	examine the declarant, in this case Harris, the defendant
15	was unable to show why Harris was motivated to falsely
16	implicate Williamson. The jury was this evidence was
17	kept from the jury, and the judge could only speculate on
18	the state of mind of Harris and why he would make a
19	statement implicating Williamson.
20	QUESTION: Well, you're giving us a lot of facts
21	here about why Harris' declaration wasn't trustworthy.
22	Couldn't those have been brought to the attention of the
23	jury?
24	MR. WAXMAN: Some of them could be.
25	For instance, certainly the jury was made aware
	0

1	that the agents advised him that any cooperation he gave
2	would be reported to the prosecutor, but do we know that
3	that's really what happened in this circumstance?
4	Don't we really need Harris to find out whether
5	a firm promise had been made, or what impact that
6	statement had on his mind, and how it motivated him to
7	make this statement?
8	QUESTION: Well, you've certainly got the
9	ability to bring some of the facts before the jury, as you
10	indicate. You don't have the opportunity to cross-examine
11	Harris, and that's what hearsay exceptions are all about.
12	MR. WAXMAN: That's correct, but under this set
13	of circumstances, we're presented with a situation that in
14	every case is going to bring before the Court statements
15	that are inherently untrustworthy.
16	QUESTION: What about is it untrustworthy to
17	the extent that they incriminated Harris himself? How
18	about letting in that much of his statement?
19	MR. WAXMAN: I think that that's a way that the
20	Court could go. That was the mode of analysis that Chief
21	Justice Cavanaugh took in Michigan, recognizing that,
22	while a statement implicating the declarant the
23	declarant, excuse me Harris in this case, may indeed
24	satisfy the requirements of the rule.
25	The portion of the statement that incriminates
	٥

1	Williamson was not against his penal interest, but indeed
2	was self-serving. That was the most and the best kind of
3	statement that Harris could make at that time to serve his
4	own selfish interests, and so the Court could certainly
5	allow statements such as those that Harris made which
6	incriminated himself, while excluding statements,
7	collateral statements which incriminate third parties,
8	which is
9	QUESTION: On the ground that those are not
10	against Harris' penal interest
11	MR. WAXMAN: Correct, and indeed
12	QUESTION: and on the contrary they tend to
13	make him a lesser actor in this criminal affair.
14	MR. WAXMAN: Correct, and particularly on the
15	facts of this case. Again, we have Harris saying that he
16	was a mere courier. He was following the directions of
17	another. Williamson, he claims, was the principal. He
18	was the one who procured the cocaine, who arranged for it
19	to be transported and was ultimately going to be the
20	recipient.
21	He laid the bulk of the blame on Williamson, and
22	we're left to speculate why, and the reason is, is because
23	he had every incentive to lay the blame on someone else,
24	and Williamson was denied the ability to probe his mind,
25	his state of existence, and why he made these kinds of

2	QUESTION: What would your rule be if the
3	statement which inculpated the defendant also included
4	admissions against the declarant which went beyond
5	anything the police might have known at that point? Would
6	your broad principle apply then?
7	MR. WAXMAN: I think the broad principle, and
8	the primary factor, is that we are in the context of
9	custodial interrogation, a context that this Court has
10	recognized in numerous cases is fraught with the
11	possibility of coercion, is fraught with the concern of
12	what the state
13	QUESTION: Well, if we're worried about that, we
14	won't let anything in. I mean, the argument for keeping
15	out the statement or the portion of the statement that you
16	object to is that it's too much in the declarant's
17	interest to make it, and to make it falsely, but if that
18	is in fact part of the if that occurs in a context in
L9	which the declarant is also making it worse for himself by
20	admitting more than the police would have had against him
21	at that point, doesn't that lend a note of probity to the
22	statement, and therefore shouldn't your broad principle of
23	exclusion fall in that case?
24	MR. WAXMAN: I would urge that the Court should
25	adopt a broad principle that would be protective of

1 statements.

11

1	circumstances such as this, where the danger that the
2	statement may be not true and untrustworthy is great
3	enough that we may indeed have
4	QUESTION: But the danger is less I take it
5	you would agree the danger is less in the case that I put.
6	MR. WAXMAN: Absolutely.
7	For instance, I think Lee is a case much more
8	similar to yours, where the arrested person whose
9	statement we were concerned with specifically admitted
10	that they had murdered Aunt Beattie. They had committed
11	one of the murders.
12	Here, very differently, we've got someone
13	minimizing their role and maximizing the role of the
14	person who is not present, who has no opportunity to
15	cross-examine. I think that there's definitely a variant
16	which the Court could find would make a statement more
17	reliable in Your Honor's circumstance.
18	There are numerous other facts in our case to
19	demonstrate the untrustworthiness of this statement.
20	Harris refused to give a written statement, and ultimately
21	refused to make the statement under oath. He was granted
22	immunity. The statement could not have been used against
23	him.
24	The only thing he had to lose by making the
25	statement would have been the potential prosecution for

1	perjury if this statement were false. He had already
2	implicated Williamson. He had nothing to lose to testify
3	under oath, but he refused, as he had refused to make a
4	written statement.
5	He lied to the police. We know this. He made
6	three different statements. The statements were
7	inconsistent.
8	QUESTION: Because he was afraid
9	QUESTION: Can you bring that out to the jury?
10	MR. WAXMAN: That was brought out to the jury,
11	absolutely.
12	QUESTION: So it's not as if you can't bring
13	this to the attention of the factfinder.
14	MR. WAXMAN: Some of the facts and circumstances
15	can be brought to the attention of the factfinder, but the
16	concern is that they do not get the full flavor that
17	they're entitled to when dealing with this witness who,
18	whether present or absent, was the most crucial witness in
19	the entire case.
20	Typically in a criminal case the cross-
21	examination of this type of person is the most riveting
22	moment in the trial. It is the moment that every person
23	in the Court knows that the freedom and liberty of the
24	defendant depends upon. If this witness is believed, the
25	defendant will be found quilty, and if this witness is

1	disbelieved, the defendant
2	QUESTION: Well, did the defendant take the
3	stand here, in this case?
4	MR. WAXMAN: No, the defendant did not take the
5	stand.
6	QUESTION: Did Harris was Harris called to
7	testify in front of the jury, and did he take the Fifth in
8	the presence of the jury?
9	MR. WAXMAN: No. That whole procedure was
10	done
11	QUESTION: But he could have been? He could
12	have been brought into the courtroom, sworn, and had the
13	jury see him?
14	MR. WAXMAN: I would say excuse me. I would
15	say under the case law it would have been rather unethical
16	for the defendant, knowing that Harris had invoked the
17	Fifth, to call him as a witness and to make him
18	QUESTION: I thought that happened all the time,
19	because that's one of the circumstances the jury can then
20	consider. I've had it happen in courtrooms where I've
21	been presiding.
22	MR. WAXMAN: It's always been my understanding
23	as a defense attorney that if I know a particular party is
24	going to invoke the Fifth, then I'm not permitted to call

that party and to have them invoke their constitutional

ALDERSON REPORTING COMPANY, INC. 1111 FOURTEENTH STREET, N.W. SUITE 400 WASHINGTON, D.C. 20005 (202)289-2260 (800) FOR DEPO

1	rights in front of the jury, and then to argue from that
2	invocation that you know, inferences about what the
3	person might have said or might not have said.
4	QUESTION: But here the invocation was before
5	the judge, right?
6	MR. WAXMAN: Correct.
7	QUESTION: And the judge offered the witness
8	immunity.
9	MR. WAXMAN: Correct.
10	QUESTION: And the witness said no, and then
11	what happened?
12	MR. WAXMAN: Ultimately, the witness was held in
13	contempt, and that was the end of Harris' position in
14	court, and at that point the Government went behind its
15	severance motion and put on the agent to testify about
16	what Harris said out of court, not under oath, about what
L7	he claims Williamson did.
18	I would submit that the hearsay in this case in
19	those statements was even worse than that which was
20	brought in against Sir Walter Raleigh in that case in the
21	early 1600's which is often seen as the genesis of the
22	Confrontation Clause. This statement was not even under
23	oath. It was the worst and least-reliable form of hearsay
1	that wo

QUESTION: Mr. Waxman, what are your best

15

ALDERSON REPORTING COMPANY, INC. 1111 FOURTEENTH STREET, N.W. SUITE 400 WASHINGTON, D.C. 20005 (202)289-2260 (800) FOR DEPO

1	authorities for under the Federal rule here that the
2	state would invoke? Tell us how much of this statement
3	should come in. If it is a declaration against penal
4	interest, what are your best authorities in support of a
5	requirement of redaction, for example?
6	MR. WAXMAN: The case that we relied on most
7	heavily would be Flores out of the Fifth Circuit. That's
8	at 985 F.2d. It's a 1993 decision in which that court
9	recognized that these statements, again as this Court has
10	repeatedly stated, have unique and inherent indicia of
11	unreliability because they are taken in the throngs of
12	custodial interrogation, and because the arrestee is
13	motivated to speak without regard to the truth.
14	QUESTION: It's sort of surprising that there
15	isn't more authority for the meaning of the rule in terms
16	of what comes in along with the statement, whether related
17	statements come in, or they don't, or whether redaction is
18	required.
19	MR. WAXMAN: Well, quite frankly I think that
20	there has been some confusion under the rule, and the
21	courts have adopted numerous different ways of approaching
22	these issues.
23	Some courts have found that while these types of
24	statements satisfy the first part of the rule in other
25	words, the part that says, if the statements so far

1	compromises one's penal interest, such that a reasonable
2	person would not have made them unless believing them to
3	be true, they've said that a statement has satisfied that
4	portion of the rule, but has failed to satisfy the part
5	that most courts have read into it, which is that these
6	statements have to be supported by a particularized
7	showing of guarantees of trustworthiness, so you have
8	cases that have dealt with it in that way.
9	You have cases that have found, notwithstanding
10	these circumstances similar to the ones presented here,
11	similar to those recognized in Lee, that have said we're
12	going to hold that these statements satisfy the rule, that
13	they
14	QUESTION: Well, if this statement had been
15	redacted to strike out the name of Williamson, would you
16	be here today?
17	MR. WAXMAN: No, nor would the statement have
18	been introduced, because it would have
19	QUESTION: It wouldn't be relevant
20	MR. WAXMAN: It would have had no
21	QUESTION: perhaps, or would it? Would it
22	have been relevant, still?
23	MR. WAXMAN: It would have had no relevance in
24	Williamson's trial. The only issue there was Williamson's
25	guilt. He was not at the scene at the time of the arrest.

1	He had no involvement in the initial law enforcement
2	efforts whatsoever.
3	QUESTION: Well, why wouldn't it be relevant? I
4	mean, it seems to me that the State would introduce the
5	State is trying to say someone else made the arrangements
6	for this cocaine distribution, and it would introduce
7	testimony by the person who had it in the car that,
8	indeed, someone else did, and the State's trying to prove
9	that someone else is Williamson. Why, it would certainly
10	he relevant
11	MR. WAXMAN: I think Your Honor
12	QUESTION: so it would get in.
13	MR. WAXMAN: I think Your Honor is correct.
14	There was reference to an unidentified
15	QUESTION: Right.
16	MR. WAXMAN: Cuban male at some point in Fort
17	Lauderdale, and it's true there was a conspiracy charge
18	here.
19	QUESTION: Right.
20	MR. WAXMAN: I take back what I said.
21	QUESTION: I think it would get in.
22	Is all that you're arguing are you just
23	arguing before us a question of fact, that rule 804(b)(3)
24	was applied incorrectly?

MR. WAXMAN: I think the Court could find that

ALDERSON REPORTING COMPANY, INC. 1111 FOURTEENTH STREET, N.W. SUITE 400 WASHINGTON, D.C. 20005 (202)289-2260 (800) FOR DEPO

1	it was applied incorrectly, and I would be satisfied with
2	a ruling to that extent.
3	QUESTION: Why would we want to go beyond that?
4	I mean, really what you're saying is that the
5	statement there that a reasonable person in declarant's
6	position would not have made the statement unless
7	believing it to be true, what you're telling us is, there
8	are a lot of reasons why somebody in this position would
9	make it without believing it to be true, therefore
10	804(b)(3) was not met.
11	MR. WAXMAN: Because I think what the Court
12	needs to do is to give guidance to the lower courts on how
13	to deal with these type of statements which are often the
14	most strong and possibly the only evidence that the
15	Government has to convict a defendant, and in cases that
16	have been decided by this Court
17	QUESTION: Why should the guidance be anything
18	more than, take that line seriously, and you can say, to
19	the extent that the statement is purely self-serving, that
20	it doesn't satisfy that requirement. To the extent that
21	it incriminates the speaker himself, it does fit the rule.
22	MR. WAXMAN: Again, with that kind of a ruling
23	the petitioner would prevail, and we would certainly be
24	satisfied.
25	But what I think this case does is present an
	19

1	opportunity to evaluate this presumption that the Court
2	has recognized for 30 years and has repeatedly dwelled
3	upon, and to say, what we are now saying is that these
4	circumstances are so fraught with the possibility of
5	deceiving the factfinder and concealing the truth that we
6	are going to categorically make any of these statements
7	admissible to prevent a violation of confrontation rights,
8	such as the one that occurred in this particular case.
9	This is not the only case in which the rule has
10	been inappropriately applied to this factual circumstance.
11	QUESTION: But you conceded earlier, and I think
12	you're sticking to it, that to the extent it incriminated
13	Harris, it could come in. There was evidence placing
14	Williamson together with Harris and connecting them both
15	to that vehicle, was there not?
16	MR. WAXMAN: Yes, there was.
17	QUESTION: So there would be some relevance to
18	having Harris say, yes, I was the courier, and then there
19	were at least three items of evidence that connected
20	Williamson to the car.
21	MR. WAXMAN: There were, that's correct.
22	QUESTION: What was that evidence, just his name
23	on the rental agreement?
24	MR. WAXMAN: His name was on the rental
25	agreement. There was no evidence that it was in his

1	handwriting. There were, I believe, one or two receipts
2	with his name on it in the vehicle, and the luggage in the
3	truck bore initials matching Williamson's sister's name.
4	There were no fingerprints of Williamson found
5	QUESTION: Is that the extent of the evidence
6	that connected him with the vehicle?
7	MR. WAXMAN: That was the extent of it.
8	QUESTION: Was there some correspondence,
9	something with or am I confusing this with another
10	situation, something with a girlfriend?
11	MR. WAXMAN: The girl the connection with the
12	girlfriend, I believe, was the initials on the luggage in
13	which the cocaine was found.
14	QUESTION: I thought that was a relative.
15	MR. WAXMAN: No, that was his sister.
16	QUESTION: Yes. She was not his girlfriend.
L7	MR. WAXMAN: I'm sorry, I apologize. It was
18	the sister's name matched the initials on the luggage, and
L9	I believe you're right, I believe there was a piece of
20	correspondence with the name of his girlfriend on it.
21	Again, what the Court should do is look at these
22	statements as being very distinct, ones that are self-
23	serving, and ones that are not.
24	While the statement against Harris may have been
25	against his penal interest, certainly the ones against

2	QUESTION: What if they're inextricably
3	intertwined, that in two or three sentences, each sentence
4	has some declaration against penal interest, but also some
5	inculpation of third parties?
6	MR. WAXMAN: I think, for instance the
7	example that comes to my mind is Lee, where there were
8	references to "we did this," and "we did that," and I
9	would urge the Court that the Court conceivably could
10	separate that situation out and suggest that those
11	circumstances be separately analyzed, but again, what I
12	believe is the crucial factor here is the fact that these
13	statements again are made in the coercive atmosphere of
14	custodial interrogation, and even those
15	QUESTION: Of course, if they're coercive
16	statements, custodial atmosphere, that's a presumably
17	the Miranda warnings take care of that, so I mean, we're
18	not I would think we wouldn't be arguing that point
19	here.
20	MR. WAXMAN: Well, I think this Court's cases
21	have recognized that even given Miranda warnings, it could
22	still be determined that a statement was involuntary due
23	to the coercive circumstances of the custodial
24	interrogation, but yes, that conceivably could address
25	these circumstances.

1 Williamson were not.

1	QUESTION: So you don't agree that the parts
2	that incriminated Harris and the parts that incriminated
3	Williamson were inextricably intertwined here.
4	MR. WAXMAN: Absolutely not, and again I think
5	the contrast here would be Lee, where there's a much
6	better argument that these statements were inextricably
7	intertwined.
8	Unless the Court has any further questions, I
9	would reserve the balance of my time for rebuttal.
10	QUESTION: Very well, Mr. Waxman.
11	Mr. Manning, we'll hear from you.
12	ORAL ARGUMENT OF JOHN F. MANNING
13	ON BEHALF OF THE RESPONDENT
14	MR. MANNING: Thank you, Mr. Chief Justice, and
15	may it please the Court:
16	Petitioner argues that an inculpatory confession
17	made by an accomplice to the police while he's in custody
18	should be excluded per se under the rules of evidence and
19	under the Confrontation Clause. That contention, however,
20	finds no support either in the rules or in this Court's
21	Confrontation Clause cases.
22	As to the rules, petitioner's argument would
23	mean that no matter how overwhelmingly all of the other
24	circumstances of the case pointed to the admissibility of
25	a statement under the rule, the district court would be

_	required to exclude any such statement as rong as it was
2	made by an accomplice to the police while the declarant
3	was in custody.
4	So if you think about this situation, if someone
5	went to the police and was in custody and said, I murdered
6	Fred, took the watch off of his wrist, and sold it to Joe,
7	that statement would have to be excluded in Joe's trial
8	for possession of stolen goods.
9	In other words under petitioner's view, no
10	district court could find that a reasonable person in the
11	declarant's position would not have made the statement
12	admitting to a capital crime, and at the same time
13	implicating someone else in a misdemeanor. We do not
14	believe that that can be sustained under the plain
15	language of the rule.
16	QUESTION: Well, Mr. Manning, under the plain
17	language of the rule, how much of this statement can the
18	Government get in, or is there some requirement that we
19	analyze the statement and each part of it to see whether a
20	reasonable person in the declarant's shoes would have made
21	it and thought it wouldn't have made it unless he
22	thought it were true, because there is some concern here
23	that insofar as the statement implicates Williamson,
24	perhaps it doesn't meet that requirement.
25	MR. MANNING: Well, there are two distinct

1	answers to that. One comes from the advisory committee
2	note itself. In the advisory committee note, and this is
3	cited on page 23 of our brief, the advisory committee
4	explained that a third party confession may include
5	statements implicating the accused, and under the general
6	theory of declarations against interest, they would be
7	admissible as related statements. Now, that is consistent
8	with the common law tradition of statements against
9	interest which, although
10	QUESTION: But wouldn't it have to meet the
11	requirement of the rule itself to be admitted?
12	MR. MANNING: Oh, absolutely, Your Honor.
13	QUESTION: And can it, insofar as Williamson is
14	concerned?
15	MR. MANNING: Well, yes, we think it absolutely
16	can, for a couple of reasons. If you just think about
17	when somebody is making a statement against penal interest
18	and describing his role in an offense, in describing that
19	role, he says more than just the core, I robbed the bank.
20	He will say, I robbed the Riggs National Bank,
21	Georgetown Branch, on Tuesday at 11:00 a.m., and there are
22	lots of facts that are part of that statement against
23	interest that if you took them in isolation might not be
24	strictly against the declarant's interest, but in the
25	QUESTION: Yes, but insofar as the declarant is
	0.5

1	seemingly trying, or could be seen as trying to minimize
2	the declarant's own role when caught red-handed and have
3	the major offender be somebody else, maybe it doesn't meet
4	that test.
5	MR. MANNING: Well, we think that the Court
6	absolutely has to look at the statement as a whole and
7	make a determination of whether the entire statement is
8	actually in the declarant's penal interest.
9	QUESTION: Do you say that if portions of the
10	statement don't meet that requirement of the rule, that
11	the statement can be redacted and offered, then, in a
12	lesser version, or redacted version?
13	MR. MANNING: Well, we think that that would not
14	be appropriate in a case like this, where
15	QUESTION: Is that possible in an appropriate
16	case?
17	MR. MANNING: It's certainly it's certainly
18	possible.
19	QUESTION: You don't say that's not
20	MR. MANNING: No, it
21	QUESTION: what the Court can do?
22	MR. MANNING: It would certainly be appropriate
23	in the right kind of case, but we would say that the right
24	kind of case would be a case where the other statement
25	would not be related, or would not be against the

1	declarant's penal interest, and let me give you an
2	example, if I may, of the distinction that we would draw
3	in this situation.
4	If the declarant says, Joe and I robbed the
5	bank, then that statement, the entire statement is against
6	the declarant's penal interest, because he has not only
7	admitted that he robbed a bank, he has admitted that he
8	has committed a conspiracy with Joe to rob the bank and
9	the entire statement would come in as being against his
10	penal interest.
11	Now, if he says, it's ironic, I robbed a bank
12	today, and Joe robbed a bank yesterday, well, the second
13	part of that statement would be excluded because it
14	wouldn't be related, it wouldn't be integral to his
15	description of his own offense, and that statement would
16	be redacted when the statement against penal interest was
17	submitted to the jury.
18	QUESTION: Well, you I'm not sure I follow
19	you in your answer to Justice O'Connor's question about
20	the proper use of a redacted statement. This is
21	Williamson's trial, and you have a declaration from
22	Harris, and Harris says, in effect, what he said in this
23	case about Williamson, and the judge is supposed to admit
24	that on the issue of Williamson's guilt, although all
25	references to Williamson's name are stricken?

1	MR. MANNING: No, he absolutely should not
2	strike the references to Williamson's name.
3	QUESTION: I thought it was to be redacted.
4	MR. MANNING: Oh, no, Mr. Chief Justice. All I
5	was explaining was that in a situation like that, where
6	there is a statement that includes parts, some of which
7	have no relationship to the declarant's description of th
8	offense, it may
9	QUESTION: Justice O'Connor I thought early
10	asked you earlier whether there would be some
11	situations where you couldn't get the declaration in
12	verbatim, but it would be proper to get it in with the
13	name of the person on trial, the defendant, redacted,
14	and
15	MR. MANNING: Oh, no
16	QUESTION: an example of it.
17	MR. MANNING: we don't think that would be
18	appropriate.
L9	QUESTION: Oh, no
20	MR. MANNING: When the declarant argue when
21	the declarant says, I was making this delivery to
22	Williamson, Williamson acquired the cocaine and I was
23	taking it over State lines, all of those statements are
24	against the declarant, Harris' penal interest, because he
25	is telling the police that he is involved in a conspiracy

with Williamson, that he and Williamson are engaged in the 1 offense of traveling across State lines for the purposes 2 of engaging in the business of distributing controlled 3 substances, and each detail that Harris gave of the 4 offense helped to sink him further, not only in the sense 5 that it described elements of offenses that he --6 QUESTION: He was pretty far under water before 7 8 he started. 9 MR. MANNING: Well, he was --QUESTION: All this stuff in the trunk of a 10 11 rented car --12 MR. MANNING: He was --QUESTION: -- and he was worried about being 13 found quilty. 14 15 MR. MANNING: He was fairly far under water, but his nose was still above the surface --16 17 (Laughter.) -- and what he gave up -- what he 18 MR. MANNING: 19 gave up by his confession was the element of knowledge. I 20 mean, we think that --21 OUESTION: Let me ask you a question. You say that there should not be a per se rule, that custodial 22 statements should be excluded. 23 MR. MANNING: That's correct. 24 25 OUESTION: Would you agree, however, that it

> ALDERSON REPORTING COMPANY, INC. 1111 FOURTEENTH STREET, N.W. SUITE 400 WASHINGTON, D.C. 20005

29

(202)289-2260

(800) FOR DEPO

1	makes a big difference whether this statement was made to
2	police officers while he was in custody on the one hand,
3	or the same statement being made to a friend which was
4	tape recorded on another hand?
5	MR. MANNING: That is
6	QUESTION: It would make quite a difference,
7	wouldn't it?
8	MR. MANNING: That is certainly a factor for the
9	district court to consider in its consideration of the
10	totality of the circumstances. What the district court
11	has to find under this rule is not only that the statement
12	the declarant made was would tend to subject him to
13	criminal liability
14	QUESTION: Testifying
15	MR. MANNING: but that a reasonable person in
16	the declarant's position would not have made this
17	statement unless believing it to be true.
18	QUESTION: Well, wouldn't a reasonable
19	QUESTION: Isn't it obvious that a reasonable
20	person in declarant's position might well have made
21	precisely this statement, even if there wasn't a word of
22	truth in it?
23	MR. MANNING: Well, we think that are you
24	asking about the circumstances of this particular case?
25	QUESTION: Of this very case, yes.

1	MR. MANNING: Well, we think that there are
2	several circumstances. Obviously, there is a serious
3	issue under the rule in this case, but we think there are
4	a variety of circumstances that support the district
5	court's determination that the rule properly applied.
6	QUESTION: Do you take the position that no
7	reasonable person would have made this statement unless he
8	believed it was true, in declarant's position, had been
9	caught with all this stuff
10	MR. MANNING: We think
11	QUESTION: and that no one would try to pass
12	the blame onto a third party?
13	MR. MANNING: We think that the district court
14	was justified in making that finding, which is the inquiry
15	for this Court, and the reason
16	QUESTION: Mr. Manning, isn't the most logical
17	inference that when somebody is caught red-handed, has
18	every incentive to put the blame on somebody else, and
19	that that putting the blame on the other person has no
20	reasonable why would have anyone have reason to believe
21	that putting the blame on somebody else is true?
22	I mean, he's caught with the goods, and he says,
23	well, I was a lesser I was only the courier.
24	MR. MANNING: Well
25	QUESTION: Williamson was the big guy. Why

1	would anyone say that there are reasonable assurances that
2	that latter part is true?
3	MR. MANNING: Well, in fact, we think that he
4	did not try to minimize his role in the offense in the way
5	that you describe, with respect, Justice Ginsburg. This
6	is not a case where somebody is caught selling baggies of
7	dope on the streets and says, look, I'm just the agent
8	here, the kingpin works in that convenience store and I'll
9	give you his name in exchange for a deal.
LO	This is a situation where the declarant admitted
.1	his full participation in a conspiracy to transport
2	approximately \$10 million worth of cocaine from Florida to
.3	Georgia for distribution.
4	Now, to be sure, he said that Williamson
.5	arranged the acquisition, and that the delivery was to
.6	Williamson, but he did not portray himself as a bit player
.7	in this conspiracy, and the statements he made not only
.8	conceded knowledge that the cocaine was in his trunk, and
.9	by the way, we think that these facts are more significant
0	than petitioner suggests.
1	The cocaine was in the trunk of a rental car,
2	petitioner's name was on the rental agreement, there was
3	an envelope addressed to petitioner in the glove
4	compartment, a receipt bearing his girlfriend's address in
5	the glove compartment, and the drugs were in a suitcase
	20

1	that had petitioner's sister's initials on it. Now, if
2	someone were to
3	QUESTION: But as far as Harris is concerned,
4	Harris paints himself as the courier, right
5	MR. MANNING: But
6	QUESTION: the one who arranged it and not
7	the one to whom the delivery was being made?
8	MR. MANNING: But in this case, painting
9	yourself as a courier is a very significant role in the
10	offense, and it subjected him to charges not only of
11	possession with intent to distribute, but also conspiracy.
12	QUESTION: That much is against his penal
13	interests, and I don't think there's any quarrel about
14	that. Mr. Waxman said, yes, that much can come in.
15	To the extent that he is incriminating
16	Williamson, that Harrison is incriminating Williamson, how
L7	is that against Harris' penal interest?
L8	MR. MANNING: Well, certainly in a case like
L9	this, where you're describing your role in a conspiracy,
20	every detail that you give to the police helps to sink you
21	further. It is rare that you find a case where the
22	Government prosecutes somebody on the basis of a naked
23	confession.
24	QUESTION: May I just ask one question?
25	MR. MANNING: Sure.

1	QUESTION: In determining whether it's against
2	penal interest, it is not merely a kind of a schoolroom
3	textbook analysis of whether there's an additional element
4	of criminal liability, but also on the other side of the
5	balance is maybe he thought this would give him more
6	lenient treatment from the prosecutor because he was
7	cooperating with the police, and shouldn't that be
8	evaluated in determining whether the net value was against
9	his penal interest?
10	MR. MANNING: That is certainly a factor for the
11	district court to consider in considering the totality of
12	the circumstances.
13	QUESTION: And so if we have a case in which the
14	substantial motive for making the statement is to obtain
15	more lenient treatment, what ought the result to be?
16	MR. MANNING: Well, again, it depends on what
17	other circumstances obtain. If you take the example that
18	I gave of the guy admitting to a murder and implicating
19	someone else in the receipt of stolen goods, then that's a
20	situation where, even if the motive of the person was to
21	seek lenient treatment, you would have to think that his
22	self-incrimination in a capital crime of murder would
23	overcome any doubt about the accuracy of his statement
24	arising from his desire to curry favor by handing over the
25	person to whom he gave the stolen watch that he took off

1	of the murder victim.
2	Now, the most important point, though, that we
3	would like to make is that in any of these situations the
4	district court should be trusted to weigh the
5	circumstances. It is absolutely relevant if there is
6	evidence that the declarant made the statement with the
7	idea of currying favor or getting leniency from the
8	authorities in exchange for giving up a cohort.
9	QUESTION: But if the substantial motive is to
10	obtain leniency, it seems to me that that undercuts the
11	rationale of the rule.
12	The rationale of the rule is that you wouldn't
13	make this statement unless it were true if it's against
14	penal interest, but we're hypothesizing now a situation in
15	which the penal interest is irrelevant. What you are
16	trying to do is to better your condition, is to obtain
17	leniency.
18	MR. MANNING: If the district court finds, based
19	upon a preponderance of the evidence, that the statement
20	that the declarant made was in his penal interest in the
21	sense that he inculpated someone else in an effort to gain
22	leniency, then that certainly would be a finding that
23	should be sustained and it would be a proper application
24	of the rule.
25	Our point is that in making that determination,

1	the court should not adopt a per se rule that places
2	talismanic significance on questions of custody or of
3	whether the declarant made the statement to the police.
4	QUESTION: Well, I just want to make clear what
5	your position is.
6	QUESTION: Mr. Manning
7	QUESTION: If the predominant motive in making
8	the statement is to obtain leniency, is that a statement
9	against penal interest and therefore admissible?
LO	MR. MANNING: Again, I think that it's very
11	difficult to answer that question without knowing all of
L2	the facts that surround the statement, but it would be a
13	factor
L4	QUESTION: Well, why is it against penal
L5	interest if the dominant motive is to obtain leniency?
16	MR. MANNING: Well, because if the dominant
17	motive is to obtain leniency, but the declarant makes a
18	statement that is very, very strongly against his penal
9	interest and the district court concludes that no one in
20	the declarant's position under all of the circumstances of
21	the case for example, he has admitted to a murder, and
22	his quest for leniency will gain him very little if the
23	district court in those circumstances makes the
4	determination that no reasonable person in the declarant's
5	circumstances would have made the statement unless

1	believing it to be true, then the district court would
2	appropriately admit that statement under Rule 804(b)(3).
3	QUESTION: Is the district court always well-
4	situated to determine what a person's dominant motive or
5	prevailing motive was in making a statement?
6	MR. MANNING: Well, the district court has to
7	consider all of the evidence that is submitted by the
8	Government and by the defendant in making the
9	determination. We think that the district court is among
10	the various actors who could make that determination, the
11	best-situated.
12	QUESTION: Why should we ask any factfinder
13	involved in this evidentiary to make a finding as to
14	what someone's dominant motive was?
15	MR. MANNING: Well, because what the district
16	court has to the determination that the district court
L7	has to make is whether a reasonable person in the
L8	declarant's position would have a motive to fabricate his
19	confession, and in that sense the district court
20	inevitably is making an inquiry into what a reasonable
21	person in the declarant's position would be motivated by.
22	Now, one other point I would like to make is
23	that the chief justice had mentioned earlier the
24	possibility that the jury would be able to weigh the
25	various circumstances that surround the making of the

1	statement, and I would like to reinforce that point by
2	noting that under Rule 806 of the Federal Rules of
3	Evidence a declarant can be impeached as to a hearsay
4	the credibility of a declarant can be impeached with
5	respect to a hearsay statement to the same extent as a
6	person making the same statement in court in live
7	testimony.
8	So not only is it possible for the jury to weigh
9	the evidence that is submitted pursuant to a hearsay
10	statement admitted under Rule 804(b)(3), but it's also
11	possible for the defense to impeach the declarant's
12	testimony under Rule 806, and bring out any bias or motive
13	or interest that the declarant might have had in making
14	this statement, including
15	QUESTION: But that's true with respect to any
16	hearsay, isn't it?
17	MR. MANNING: That's true about any hearsay, and
18	the Court has relied on Rule 806 in holding that, for
19	example, coconspirator's statements can be admitted
20	pursuant to a firmly rooted hearsay exception, because it
21	mitigates the concern that surrounds the admission of
22	hearsay.
23	QUESTION: Mr. Manning, at least getting away
24	from the question of motive, you would at least I take
25	it you would admit that it would be a correct statement to
	20

1	say that if the trial court reasonably reads the statement
2	as conferring a net benefit on its face as conferring a
3	net benefit upon the declarant, that it would be
4	inadmissible. You agree to that, I take it.
5	MR. MANNING: Well, I think we would prefer to
6	put it in terms of the rule itself, that the district
7	court found that a reasonable person in the declarant's
8	position would make the statement even though he did not
9	believe it was true, that is, if he had some benefit,
10	something to gain by fabricating a statement, then
11	absolutely the district court would properly exclude that
12	evidence.
13	QUESTION: Well, don't you always have something
14	to be gained by making a statement which is in effect net
15	in your favor?
16	MR. MANNING: Well, I suppose if you put it that
17	way you would have something to gain if the statement were
18	net in your favor.
19	If the declarant gained a great deal by
20	inculpating someone else for example, a situation might
21	be if you had a defendant and the police said, we will cut
22	your sentence in half if you roll over on your cohort, and
23	the declarant said, fine, you know, I'll you know, I'll
24	give a statement, and then he refuses to testify, that
25	would be a situation

1	QUESTION: But there, there
2	MR. MANNING: where it would be appropriate
3	for the district court to make the finding that a
4	reasonable person in those circumstances would have
5	fabricated the statement.
6	QUESTION: There are certainly limits, though,
7	to which a reasonable person would go and simply casting
8	blame on someone else, if, you know, the police are going
9	to look into it and say, gee, this is just a bunch of bunk
10	you told us, I mean, a reasonable person isn't just going
11	to make perfectly wild statements in hope of getting some
12	sort of deal.
13	MR. MANNING: Well, that's right, Your Honor,
14	and that's why it's important to give the district court
15	the latitude it needs to look at all the circumstances of
16	the case.
17	In fact, in this case, we think that a situation
18	like that occurred when Harris revised his statement at
19	6:00 p.m. in response to agent Walton's setting up a
20	controlled delivery.
21	As petitioner mentioned, earlier in the day,
22	Harris had made the statement that he had gotten the drugs
23	from a Cuban and that he was going to make a controlled
24	delivery to a dumpster in Atlanta at 10:30 p.m.
25	And then when Agent Walton questioned Harris at

1	6:00 p.m. that evening, he finished his questioning, he
2	stood up to go make a controlled delivery, and Harris
3	stood up and said, I can't let you go through with it,
4	it's not true, what I told you is false, and he revised
5	his story, giving details about that petitioner was
6	driving in front of him in a rented Lincoln Continental
7	and he saw the arrest, and therefore there could be no
8	controlled delivery because petitioner knew that he
9	that Harris had been arrested.
10	Now, those circumstances vouch for the
11	reliability of the statement that Harris made at that
12	point, because he would have been in much greater trouble
13	if he had fabricated them at that point.
14	The DEA could certainly have verified if
15	petitioner had a rented Lincoln Continental on the day
16	that Harris said he did, and certainly if Harris was
17	fabricating there was a chance that petitioner would have
18	a credible alibi, and the Government could have gone
19	through with the controlled delivery, and if Harris had
20	been lying at that point he would have opened himself up
21	to charges of obstruction of justice, so
22	QUESTION: Mr. Manning, I assume that the
23	reasonable person referred to in the rule is a reasonable
24	person who has no compunction about lying, is that right?
25	MR. MANNING: A reasonable person who has

1	QUESTION: A reasonable person who doesn't mind
2	lying under oath.
3	MR. MANNING: Well, it would have to suppose
4	that a reasonable person calculating the advantage of
5	telling a lie would tell a lie in these circumstances.
6	QUESTION: But he's not under oath.
7	MR. MANNING: He's not under oath, that's true.
8	That's true. Before I
9	QUESTION: I don't like the implication anyway.
10	MR. MANNING: Neither do I.
11	QUESTION: Mr. Manning, could these statements
12	have come in anyway under the coconspirator exception, or
13	were they no longer made in furtherance of the conspiracy?
14	MR. MANNING: Well, the district court initially
15	suggested that they would come in under the coconspirator
16	exception, but made no
17	QUESTION: The prosecutor talked him out of
18	that.
19	MR. MANNING: The prosecutor did talk him out of
20	it, and we believe the conspiracy had probably terminated
21	at the time of the arrest, although in connection with
22	that, I'd like to use that to illustrate the fact that
23	it's important in all of these cases that in a variety of
24	circumstances we trust district courts to make
25	determinations about the admissibility of hearsay under

1	the rederal Rules of Evidence and trust the district
2	courts to evaluate the totality of the circumstances.
3	In most cases, when someone is
4	QUESTION: Well, you do, but there has been a
5	lot of strong language in a number of this Court's cases,
6	a recent one being Lee v. Illinois, about the
7	untrustworthiness of this kind of statement. You do have
8	to acknowledge that and deal with it.
9	MR. MANNING: We do acknowledge that, and we
10	agree that in making an evaluation about the totality of
11	the circumstances and what a reasonable person in the
12	declarant's position would do when you're dealing with
13	somebody who is in police custody, the district court must
14	be sensitive to the pressures and to the circumstances
15	that would indicate whether the declarant in the
16	particular case had given up someone else in order to make
17	a deal or to get leniency.
18	QUESTION: Don't you think that most of the time
19	the defendant or, pardon me, the declarant makes the
20	statement because he thinks it's in his net benefit?
21	MR. MANNING: No, I don't think so. I think
22	that often you have people who are confronted with having
23	been caught in a crime who make statements that are very
24	much against their penal interest.
25	I mean, in this case itself the officer pulled

1	Harris over and was questioning him about his weaving in
2	traffic, and he asked Harris, may I search your trunk, and
3	Harris said yes, knowing that there were 19 kilograms of
4	cocaine in the trunk. People all the time make statements
5	to the authorities that are against their penal interest,
6	and if they didn't we would very rarely catch criminals.
7	QUESTION: Well, let me put it this way, then.
8	The penal interest rule that you're advocating rests on
9	the assumption that most of the time these statements are
10	made even though it is not to the defendant's net benefit,
11	as he understands it, to make them.
12	MR. MANNING: And that's the very premise of the
13	longstanding exception for declarations against interest.
L4	Almost as early as the hearsay rule itself developed, the
L5	courts developed an exception for statements against
16	interest.
17	Statements against pecuniary interest and
18	proprietary interest were the first ones to develop, and
19	the supposition is that people don't say things against
20	their own interest if they are lying, and that gives
21	QUESTION: But doesn't the calculus change when
22	there are multiple defendants?
23	MR. MANNING: The calculus may very well change
24	when there are multiple defendants, but the district court
25	is perfectly situated to evaluate all of the factors and

1	circumstances in determining whether the calculus has
2	changed in a way such that a reasonable person in the
3	declarant's position would fabricate his story in order to
4	gain advantage from the authorities.
5	I mean, our basic in all sorts of contexts,
6	we trust the district court to assess the probativeness of
7	evidence, and this Court has been very reluctant to
8	shackle district courts in the context of admitting
9	hearsay with per se rules that restrict their ability to
10	consider the whole picture in deciding whether something
1	fits within a hearsay exception.
.2	In Bourjaily, the Court rejected a per se rule
.3	forbidding district courts from considering hearsay
.4	evidence in determining the admissibility of statements
.5	pursuant to the coconspirator exception.
6	In Idaho v. Wright, the Court rejected a per se
.7	rule that would prevent district courts from allowing the
.8	introduction of hearsay that was made by a witness who was
.9	unavailable because she was determined to be incompetent.
0	One of the purposes of the Confrontation Clause
1	and the principal purpose, we would submit, is to further
2	the truth-seeking function of the trial, and if
3	petitioner's view is accepted, a district court looking at
4	an array of circumstances, if confronted with the fact of
5	custody, will have to ignore every other fact, put on
	45

1	blinders, and say, this statement doesn't come in.
2	We think that's contrary to the general approach
3	of this courts and the lower courts, the Federal Rules of
4	Evidence, and it's contrary to the plain language of Rule
5	804(b)(3).
6	If there are no further questions
7	QUESTION: Thank you, Mr. Manning.
8	You have 5 minutes remaining, Mr. Waxman.
9	MR. WAXMAN: Unless the Court has any further
10	questions at all, I would waive my rebuttal time.
11	QUESTION: Thank you, Mr. Waxman.
12	The case is submitted.
13	(Whereupon, at 11:55 a.m., the case in the
14	above-entitled matter was submitted.)
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	

## CERTIFICATION

Alderson Reporting Company, Inc., hereby certifies that the attached pages represents an accurate transcription of electronic sound recording of the oral argument before the Supreme Court of The United States in the Matter of:

FREDEL WILLIAMSON, Petitioner v. UNITED STATES
No. 93-5256

and that these attached pages constitutes the original transcript of the proceedings for the records of the court.

BY Am Mani Federico (REPORTER)

RECEIVED SUPREME COURT, U.S MARSHAL'S OFFICE

94 MAY -2 P2:30