

# OFFICIAL TRANSCRIPT PROCEEDINGS BEFORE

THE SUPREME COURT OF THE UNITED STATES

**DKT/CASE NO.** 85-6790

**TITLE** WALDO E. GRANBERRY, Petitioner V.  
JIM W. GREER, WARDEN

**PLACE** Washington, D. C.

**DATE** February 24, 1987

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ALDERSON REPORTING

(202) 628-9300

1 IN THE SUPREME COURT OF THE UNITED STATES

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3 WALDO E. GRANBERRY, :  
4 Petitioner, :  
5 V. : No. 85-6790  
6 JIM W. GREER, WARDEN :  
7 - - - - -x

8 Washington, D.C.

9 Tuesday, February 24, 1987

10 The above-entitled matter came on for oral  
11 argument before the Supreme Court of the United States  
12 at 1:56 o'clock p.m.

13 APPEARANCES:

14 HOWARD B. EISENBERG, ESQ., Carbondale, Illinois; on  
15 behalf of the petitioner, appointed by this Court.  
16 MARCIA L. FRIEDL, ESQ., Assistant Attorney General of  
17 Illinois, Chicago, Illinois; on behalf of the  
18 respondent.

C C N I E N I S

DEAL ARGUMENT CE

PAGE

HOWARD B. EISENBERG, ESQ.,

on behalf of the petitioner,

appointed by this Court

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MARCIA L. FRIEDL, ESQ.,

on behalf of the respondent

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HOWARD B. EISENBERG, ESQ.,

on behalf of the petitioner,

appointed by this Court - rebuttal

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P R O C E E D I N G S

CHIEF JUSTICE REHNQUIST: We will hear arguments next in No. 85-6790, Walco E. Granberry versus Jim W. Greer, Warden

Mr. Eisenberg, you may proceed whenever you are ready.

ORAL ARGUMENT OF HOWARD B. EISENBERG, ESQ.,  
ON BEHALF OF THE PETITIONER

MR. EISENBERG: Thank you, Your Honor. Mr. Chief Justice, Your Honors, may it please the Court, I have been appointed by this Court to prosecute this writ of certiorari directed to the United States Court of Appeals for the Seventh Circuit. That court determined that Granberry had not exhausted his state court remedies prior to filing this federal habeas corpus application pursuant to 28 USC Section 2254.

The Court of Appeals read this Court's decision in Rose versus Lundy to require it to sua sponte determine whether the petitioner had exhausted. The Court undertook that determination and determined no exhaustion, and therefore remanded this case to the United States District Court for the Southern District of Illinois with directions to dismiss without prejudice for the purpose of allowing Granberry to exhaust his state court remedies.



1           In this petition we raise two issues. The  
2 primary one is whether the Court of Appeals was  
3 foreclosed from determining the issue of exhaustion of  
4 state court remedies by virtue of the fact that the  
5 state failed to raise that claim in the District Court.

6           If you agree with us that the Court of Appeals  
7 could not properly reach that issue, that is all you  
8 need determine. You should reverse the judgment of the  
9 Court of Appeals and remand so that that court can  
10 determine the merits of the petition. If you agree with  
11 the Seventh Circuit you must then consider the second  
12 issue, which is whether Granberry had in fact exhausted  
13 his state court remedies or in any event whether it  
14 would be futile to require him to return to the state  
15 courts of Illinois.

16           The facts briefly stated are these, Your  
17 Honors. Granberry was convicted in 1960 in Chicago of  
18 murder, rape, and armed robbery. For the past 27 years  
19 he has been confined in various state correctional  
20 institutions in Illinois. He is now confined in the  
21 Vayenna Correctional Center, which is a minimum security  
22 institution located in the deep southern part of  
23 Illinois, midway between Carbonale and Paducah,  
24 Kentucky.

25           He has an exemplary record, and yet since he

1 became eligible for parole in 1971 he has been  
2 consistently denied parole. The issue of whether parole  
3 criteria adopted by the Illinois legislature in 1973 can  
4 apply to persons convicted prior to that date has been  
5 litigated fairly extensively in the federal courts in  
6 southern Illinois and Illinois generally and before the  
7 Seventh Circuit.

8           The Seventh Circuit first held that there was  
9 an ex post facto violation. In 1984 in the Heirens case  
10 that same court overruled its earlier decision and found  
11 no ex post facto violation. Heirens, after the second  
12 Seventh Circuit decision, has filed two applications to  
13 the Illinois Supreme Court for leave to commence an  
14 original action for mandamus which would attack the  
15 denial of his parole.

16           Mandamus in Illinois is the proper remedy by  
17 which to seek review of a parole denial. In the first  
18 case the Illinois Supreme Court denied leave to proceed  
19 without prejudice to Granberry filing an action in the  
20 Circuit Court. In the second case, the Illinois Supreme  
21 Court simply denied relief.

22           Granberry then began this 2254 action in the  
23 United States District Court for the Southern District  
24 of Illinois. The magistrate ordered the state to file  
25 an answer. Rather than file an answer as contemplated

1 by the rules for 2254, the state filed a motion to  
2 dismiss under Rule 12(b)(6) of the Federal Rules of  
3 Civil procedure, asserting that Granberry's petition  
4 failed to state a claim upon which relief can be  
5 granted. There was absolutely no mention of the  
6 exhaustion issue in the state's answer. There was no  
7 mention of exhaustion in the magistrate's  
8 recommendation. There was no discussion of exhaustion  
9 in the chief judge's order denying relief.

10 On appeal the Seventh Circuit appointed me. I  
11 filed a brief on the merits, and in their reply brief  
12 the state in a final concluding section of that brief  
13 said, "And in addition Granberry hasn't exhausted state  
14 court remedies." In my response I said the latest law  
15 from the Seventh Circuit said if you don't raise it in  
16 the District Court the issue is waived.

17 The panel, however, following what they  
18 thought the rule was in Rose versus Lundy, found not  
19 only did the state not waive the issue, but that the  
20 state could not raise the issue and that it was  
21 obligated to reach the exhaustion question sua sponte.  
22 They found that Granberry had not exhausted, and they  
23 remanded the case with directions to dismiss to allow  
24 him to do so.

25 In beginning our exploration of the first

1 issue, that is, whether the issue is foreclosed once the  
2 state does not raise the issue of exhaustion in the  
3 District Court, we must begin, it seems to me, at the  
4 reasonable point, is exhaustion is a jurisdictional bar,  
5 because if it is a jurisdictional bar, then there is no  
6 question but that it can be reached even though it  
7 wasn't raised. It can be reached sua sponte.

8 The problem with that analysis, however, is  
9 that since 1886 up to Strickland versus Washington three  
10 years ago, this Court has uniformly said exhaustion is  
11 not jurisdictional. Indeed it was originally a  
12 Court-made rule. This Court made the rule in the Royall  
13 case in 1886, and when Congress codified exhaustion in  
14 1948, it said explicitly that it was simply accepting the  
15 law as shown by this Court. It is not a jurisdictional  
16 bar.

17 It is, however, a rule of comity, and the real  
18 question that this case raises is what does comity  
19 mean. The circuits are split on this question. Those  
20 courts that agree with the Seventh Circuit say comity  
21 means that you look to the relationship between the  
22 federal judiciary and the state judiciary only, and it  
23 doesn't matter what the state attorney general thinks.  
24 That is irrelevant to the inquiry, and thus those  
25 circuits which have held that you cannot waive or



1 forfeit exhaustion have said only the courts can  
2 determine when the state's interest has been properly  
3 vindicated and the attorney general cannot.

4 Those courts that have held that the issue can  
5 be waived or forfeited have said that the interests of  
6 comity are met when the representative of the state, the  
7 state's attorney general, makes a determination that it  
8 is not in the state's interest to pursue the claim  
9 through state court, and that the federal judiciary has  
10 no place intervening in that decision, that that is a  
11 matter for the attorney general of the state to decide.

12 It is our submission here that the courts  
13 which have held that the states' interests are properly  
14 vindicated when the attorney general does not waive the  
15 issue of comity -- of exhaustion, rather, is the proper  
16 rule for several reasons. Initially the state's  
17 position converts the nonjurisdictional requirement of  
18 exhaustion into a jurisdictional bar by another name,  
19 because if you follow their argument, and it is not even  
20 an extension of their argument, but the face of their  
21 argument is, we cannot waive it, only the courts of the  
22 state, which I assume means the state's highest court  
23 can determine when exhaustion is not necessary, which  
24 means they have to decide the merits of the case.

25 So, adopting the argument of the state here

1 really converts it into a jurisdictional argument even  
2 though they disavow that and acknowledge that the 101  
3 years of decisions in this Court --

4 QUESTION: The Court of Appeals could never  
5 itself raise an issue that wasn't raised in the District  
6 Court?

7 MR. EISENBERG: No, I am certainly not saying  
8 that. There would be many situations --

9 QUESTION: Why can't the Court of Appeals  
10 raise the exhaustion issue sua sponte?

11 MR. EISENBERG: Because it is not the federal  
12 court's business to determine --

13 QUESTION: Where did you hear that? I mean,  
14 where did you read that?

15 MR. EISENBERG: Well, a number of circuits  
16 have held that, and I think the rule of comity teaches  
17 that, Justice White.

18 QUESTION: Well, a number of circuits have  
19 held to the contrary, too.

20 MR. EISENBERG: Yes, but I think the better  
21 law is that the federal court should not intervene  
22 because the representative of the state has made that  
23 decision.

24 QUESTION: Yes, but the state has said to the  
25 court, why don't you raise it? We want to raise it

1 now.

2 MR. EISENBERG: They were too late.

3 QUESTION: Well, why?

4 MR. EISENBERG: Because exhaustion is  
5 basically a determination of when it is in the state's  
6 interest to have a federal court --

7 QUESTION: Well, they have now decided it is  
8 in the state interest to have exhaustion.

9 MR. EISENBERG: It converts it into a  
10 jurisdictional --

11 QUESTION: Why? why?

12 QUESTION: The court can always claim error.

13 MR. EISENBERG: It can claim error except that  
14 the issue wasn't raised.

15 QUESTION: I know, but I just asked you  
16 whether -- can't a Court of Appeals raise issues that  
17 were not raised in the District Court?

18 MR. EISENBERG: Because the notion of comity  
19 means the relationship between the state and federal  
20 judiciary. Here the state made a determination at the  
21 proper time, which is the District Court, not to raise  
22 the issue.

23 QUESTION: Well, Mr. Eisenberg, it may involve  
24 federal interests as well, and I can imagine a case in  
25 which the Federal Court of Appeals would determine that

1 it was in the federal interest to have the state  
2 consider the issue first.

3 MR. EISENBERG: I think if they were to put --

4 QUESTION: And I can also consider or imagine  
5 cases where the Court of Appeals does not want to permit  
6 a mistake made by a state's attorney in failing to raise  
7 it to defeat what the court perceives to be a valid  
8 comity interest in sending it back.

9 I just can't see why the federal court would  
10 be deprived of the opportunity to send it back for  
11 failure to exhaust on its own motion or otherwise.

12 MR. EISENBERG: Surely there would be  
13 situations, Justice O'Connor, where the federal court  
14 might well want to defer to the state court for a  
15 determination of what the state law is. That is not the  
16 case here. Here, however, my submission is that comity  
17 really says to the state, decide whether you want this  
18 issue litigated and where, and if you want it litigated  
19 in the state court you have the power to send it back.

20 QUESTION: Mr. Eisenberg, let me ask you a  
21 propos of what Justice O'Connor said, would you treat  
22 differently the presentation by the state and the  
23 District Court in the Southern District of Illinois  
24 saying we expressly waive our right to exhaust, and  
25 compare that, if you will, with a state's simple failure



1 to mention exhaustion in the Southern District, it goes  
2 up to the Seventh Circuit, and the state now says, we  
3 urge the doctrine of exhaustion, we realize we didn't  
4 urge it in the District Court, it was just an  
5 oversight? Are those different cases for purposes of  
6 this question?

7 MR. EISENBERG: No, I think for the purpose of  
8 this case that is the same situation. Those are  
9 identical. By filing the 12(b)(6) motion I view that as  
10 an explicit waiver going to the merits.

11 QUESTION: And that is comity?

12 MR. EISENBERG: Yes, it is.

13 QUESTION: Even though the state comes kicking  
14 and screaming into federal court and says we just made a  
15 mistake, we really don't want to raise this --

16 MR. EISENBERG: They have never said that.

17 QUESTION: -- and you say to the state, the  
18 doctrine of comity requires us to say that you have  
19 waived it irrevocably in the District -- that is not  
20 comity, that is some federal rule you are applying, but  
21 there is no way you can consider that comity when the  
22 state continues, even the attorney general continues to  
23 want to raise the case in the federal courts. How can  
24 you possibly call that comity?

25 MR. EISENBERG: I think there is also a point

1 at which they have to raise the issue, Justice Scalia.  
2 I think --

3 QUESTION: Well, may be. That is a good  
4 argument. But it is not comity.

5 MR. EISENBERG: I think it is comity. You say  
6 to the state, listen, you want to raise this issue, you  
7 want this case kicked back to the federal -- to the  
8 state court, you raise it where you should raise it, in  
9 the District Court.

10 QUESTION: That may be a good federal rule,  
11 but it is not a good federal rule that has anything to  
12 do with acceding to the wishes of the state.

13 MR. EISENBERG: I respectfully disagree. You  
14 have the attorney general. We are not talking about  
15 some maverick state's attorney. We are talking about  
16 the constitutional officer coming into a federal court  
17 having the power to say whether they want that case  
18 heard in that court. That is comity. That is the  
19 Federal District Court considering the views of the  
20 state as represented by its constitutional officer.

21 QUESTION: Can a state change its mind?

22 MR. EISENBERG: Not on this issue it can't.  
23 No, Justice Marshall.

24 QUESTION: Can a state normally change its  
25 mind?

1 MR. EISENBERG: Excuse me?  
2 QUESTION: Can a state normally charge its  
3 mind? Normally.  
4 MR. EISENBERG: It can normally charge its  
5 mind, but not in the course of litigation.  
6 QUESTION: But in this case solely because you  
7 say so it can't.  
8 MR. EISENBERG: No, because I think the usual  
9 rules of practice --  
10 QUESTION: All you want us to do is send it  
11 back for them to make a statement, right?  
12 MR. EISENBERG: I want this case regarded to  
13 reach the merits of the issue.  
14 QUESTION: You want the state to say, we don't  
15 want this, we waive it.  
16 MR. EISENBERG: No, I think they have already  
17 said that. My submission is, they have said that.  
18 QUESTION: Did they waive it?  
19 MR. EISENBERG: Yes, Your Honor, they have.  
20 QUESTION: Well, what are we here talking  
21 about.  
22 MR. EISENBERG: We are talking about whether  
23 the Court of Appeals could then reach out and decide the  
24 issue.  
25 QUESTION: Well, they waived it.

1 MR. EISENBERG: That is my argument precisely,  
2 that this is the kind of issue that needs to be raised  
3 in the District Court.

4 QUESTION: I just don't understand why we are  
5 all tied up with a man that has been convicted in 1860.

6 MR. EISENBERG: 1960, Your Honor.

7 QUESTION: Well, there is not much difference.  
8 (General laughter.)

9 MR. EISENBERG: It is a long time. It is a  
10 long time.

11 QUESTION: I mean, aren't we really talking  
12 about technicalities?

13 MR. EISENBERG: I think we are talking about  
14 who represents the state's interest. Is it the attorney  
15 general, or do you, in order --

16 QUESTION: Are we better able to decide that  
17 or is Illinois better able to decide it?

18 MR. EISENBERG: I think the Illinois --

19 QUESTION: Is Illinois better able to decide  
20 who represents Illinois?

21 MR. EISENBERG: The Illinois attorney general  
22 made that decision in the District Court, Your Honor,  
23 and they decided that it was the state's interest to  
24 reach the merits of the petition.

25 QUESTION: Do you want us to change that?



1 MR. EISENBERG: well, in the appellate court  
2 when there was --

3 QUESTION: Do you want us to change that?

4 MR. EISENBERG: They want to. I don't.

5 QUESTION: You want us to leave it that way.

6 MR. EISENBERG: I think what you should say  
7 is, it was too late, it was too late to raise the issue  
8 in the Seventh Circuit.

9 QUESTION: You are going right back where you  
10 were before.

11 MR. EISENBERG: That is where I want to be. I  
12 want to be at the point where you say --

13 QUESTION: I think the other side wants you  
14 there, too.

15 MR. EISENBERG: well, I think the position I  
16 assert is that they should be precluded from raising the  
17 issue because it is essentially an issue which they can  
18 decide but they also must decide at the proper time.

19 QUESTION: Mr. Eisenberg, can I ask you a  
20 question?

21 MR. EISENBERG: Certainly.

22 QUESTION: Isn't there an intermediate  
23 position? You seem to say that if they fail to raise it  
24 in the District Court then the Court of Appeals must  
25 address the merits. That is your position, as I

1 understand it.

2 MR. EISENBERG: Yes, Your Honor.

3 QUESTION: Why couldn't the Court of Appeals  
4 say, well, since they didn't raise it we are not -- we  
5 may treat it as waived or we may decide in the interest  
6 of orderly processing this litigation we would be better  
7 off to have the views of the Illinois courts or how the  
8 new parole rules work, and so forth and so on. I know  
9 this is not what the Court of Appeals did but, say,  
10 given all those factors, we have decided to require  
11 exhaustion.

12 MR. EISENBERG: Yes, and in response to  
13 Justice O'Connor's question I said on those facts it  
14 might well be appropriate where the state of the law is  
15 uncertain. Here that isn't the case, because not only  
16 is the State of Illinois law not uncertain, the  
17 governing law is from the Seventh Circuit altogether.

18 QUESTION: There is another intermediate  
19 position, too, that wouldn't be quite yours, but we  
20 could say that where right up until the federal court  
21 stage the Illinois attorney general is saying we want  
22 you to reach the issue, we have waived it below, we want  
23 you to get it now, we would not require the federal  
24 courts to abstain, but in the exercise of comity they  
25 will entertain the case. However, where the Illinois

1 attorney general simply neglected to raise the issue  
2 below and comes to the federal courts and says, you  
3 know, I want to raise it now, then in the interest of  
4 comity we will let him raise it now.

5 MR. EISENBERG: I think that is right if  
6 there is a showing of negligence by the state. For  
7 example, if they had complied with Rule 5 of the rules  
8 governing 2254 and had said the man had exhausted, and  
9 it turns out that is flat out erroneous, I think an  
10 argument can be made that there the interests of comity  
11 are not well served by requiring a waiver, but here that  
12 isn't what they did. They said to the District Court,  
13 take the merits of the case. They filed a 12(b)(6)  
14 motion which as far as I can determine admits the  
15 validity of all facts well pleaded, including  
16 exhaustion, and says, decide the merits.

17 The court decided the merits, and it is not  
18 until counsel was appointed in the Seventh Circuit that  
19 they say as an afterthought, this guy didn't exhaust,  
20 kick it back for that reason. So these are not -- the  
21 facts that you suggest I think might lead a court to say  
22 we are going to send it back to the state court, these  
23 aren't the facts here.

24 QUESTION: It seems to me that your agreeing  
25 with Justice Scalia on this point is inconsistent with

1 your argument in your brief that they should be bound by  
2 the same strict rules that defendants are on cause and  
3 prejudice and all. There you said that both sides ought  
4 to have the same procedural duties --

5 MR. EISENBERG: Surely, in terms --

6 QUESTION: -- but now you are saying you would  
7 give the attorney general a more relaxed standard.

8 MR. EISENBERG: I think in terms of the issue  
9 of preclusion they should be required to raise the issue  
10 at the same point as a criminal defendant would, which  
11 is usually in the trial court. I think that is right.  
12 However, I think to this extent comity is different.

13 If it appears that there has been a genuine  
14 error so that the rights of the state have not been  
15 vindicated, not because of the strategic decision we  
16 have here, but because of an error in reading the  
17 record, or because the State of Illinois law is not  
18 clear, I think that may be an exception to my assertion  
19 in the brief that it is a stage preclusion. If you  
20 don't raise it at the right stage you are out of -- you  
21 can't raise it on the appellate level.

22 QUESTION: Well, exhaustion is the ultimate  
23 nondispositive issue in a case like this, too, isn't  
24 it? I mean, a petitioner, a habeas petitioner may be  
25 delayed by resort to exhaustion, but he will never waive



1 his federal claim by having to exhaust the state claim.

2 MR. EISENBERG: Yes, that is right. That is  
3 right, although on the facts here requiring this man to  
4 go back to state court, I think, is not only futile but  
5 is not a good way to conserve judicial resources, which  
6 is the other consideration talked about in Rose versus  
7 Lundy regarding exhaustion under 2254. One is comity.  
8 The other is conservation of resources.

9 And it is my submission that if this issue is  
10 going to be decided in Granberry's favor, the only court  
11 short of this Court that can do that is the United  
12 States Court of Appeals for the Seventh Circuit. And so  
13 on that basis applying both the comity prong and the  
14 judicial resources prong of Rose versus Lundy, I just  
15 think the Seventh Circuit was flatly wrong.

16 Finally, this case raises another slightly  
17 different situation. This is not a habeas corpus to  
18 review a state court conviction. It is a habeas corpus  
19 action to review a parole denial, and while this Court  
20 has said that that still comes under 2254, I think the  
21 equities are somewhat different. The state asserts that  
22 the judiciary acquires some interest in the overall  
23 litigation, the criminal conviction.

24 That is not the case here. It is particularly  
25 not the case here where the governing law is not from

1 the Illinois courts at all, but from the two cases  
2 decided in the Seventh Circuit, so my initial submission  
3 is that the court should say that because the attorney  
4 general did not raise this issue in the District Court,  
5 the issue is foreclosed, and remand the case to the  
6 Court of Appeals with directions to reach the merits.

7 If you, however, disagree with me and find  
8 that the Court of Appeals properly reached the  
9 exhaustion issue, we next submit that Granberry did  
10 exhaust the issue in state court by twice requesting the  
11 Illinois Supreme Court to consider this issue as a  
12 matter of its original jurisdiction.

13 QUESTION: Is there indication in the cases  
14 that exhaustion is just not waivable?

15 MR. EISENBERG: No -- there are some circuits  
16 that would say that.

17 QUESTION: Yes, circuit courts.

18 MR. EISENBERG: Yes, there are some circuits --

19 QUESTION: One or two?

20 MR. EISENBERG: I think the division is five  
21 to four or four to three. I can tell you --

22 QUESTION: Or whether it is waivable at all or  
23 not?

24 MR. EISENBERG: Yes, the First, Third, Sixth,  
25 Ninth, and Tenth have said it is not waivable.

1 QUESTION: At all?

2 MR. EISENBERG: At all.

3 QUESTION: And so the Court of Appeals not  
4 only can't accept a waiver --

5 MR. EISENBERG: They are required sua sponte  
6 to look at the question.

7 QUESTION: Exactly.

8 MR. EISENBERG: Yes. And the Fourth, Fifth,  
9 Eighth, and Eleventh have held the contrary. The  
10 Second, and Seventh have decided both ways. So there is  
11 a genuine split of authority.

12 My submission is that by twice presenting this  
13 issue to the Illinois Supreme Court Granberry has  
14 provided the only court in Illinois which can decide  
15 this issue an opportunity, a fair opportunity to resolve  
16 the issue, and while it is probably true that under some  
17 circumstances an application to the Illinois Supreme  
18 Court to decide an issue by writ of mandamus as a matter  
19 of original jurisdiction is not a decision on the  
20 merits, clearly in order for Granberry to exhaust he  
21 need not have a decision on the merits. All he need do  
22 is present the Illinois Supreme Court with a fair  
23 opportunity to resolve the issue.

24 QUESTION: I thought that court gave him leave  
25 to file in Circuit Court, and obviously indicated it

1 thought that was the place to have it heard initially.

2 MR. EISENBERG: That was -- the first  
3 application they said that. That's correct.

4 QUESTION: But Granberry didn't choose to do  
5 that.

6 MR. EISENBERG: He did not. A year or two  
7 later he filed a second application in the Illinois  
8 Supreme Court. If he had gone back to the Circuit  
9 Court, the Illinois law is quite clear the Circuit Court  
10 was bound by the appellate court's decision in Harris  
11 versus Irving. The Circuit Court could not have granted  
12 relief. Since Granberry is confined in the southern  
13 part of Illinois, his case would have been heard by the  
14 Fifth District of the Illinois Appellate Court which  
15 decided Harris versus Irving.

16 The personnel on the court today is the same  
17 as then, and unlike the Seventh Circuit, the Illinois  
18 Appellate Court decided that a statute which changed  
19 parole release criteria could not under any  
20 circumstances raise an ex post facto issue because  
21 parole was a matter of grace and a gift from the  
22 legislature, and therefore the legislature could do  
23 whatever they wanted.

24 The Seventh Circuit, on the other hand, made a  
25 factual determination based on a bar magazine written

1 by a member of the parole board that was not on the  
2 parole board prior to 1973 that the criteria after '73  
3 were the same as those applied before '73, so my  
4 assertion is that he could not have raised it in the  
5 state trial court. It was absolutely barred. There was  
6 no reason to believe the appellate court would have  
7 decided it any differently, so he is back in the  
8 Illinois Supreme Court as a matter of discretionary  
9 jurisdiction on leave to appeal from the denial of his  
10 case in the appellate court.

11 As a matter of conserving judicial resources,  
12 what Granberry did, he went right to the Supreme Court,  
13 and under these unique facts that seems to me to be a  
14 rather reasonable thing for a pro se inmate to do.

15 QUESTION: Mr. Eisenberg, could you tell me  
16 something about predictable responses of state attorneys  
17 general? If we held that it was -- that it was  
18 waivable, would it be in the interest of state attorneys  
19 general to waive it, to get the matter into federal  
20 court and get it resolved as quickly as possible?

21 MR. EISENBERG: Oh, certainly. Sometimes it  
22 would. I can imagine that --

23 QUESTION: I mean, that is one of the things  
24 that concerns me. I am not sure that the doctrine is  
25 totally a comity doctrine in the sense that we want to



1 accommodate the states. I think to some extent it is a  
2 doctrine that is meant to protect the federal courts  
3 against volumes of litigation that could more readily be  
4 disposed of by, or as far as we are concerned, more  
5 readily by state courts.

6 MR. EISENBERG: But you are not disposing of  
7 it, you are delaying it.

8 QUESTION: Well, we are delaying it but  
9 reviewing it after it has already been done by the state  
10 courts is a little different from doing it initially  
11 yourself, isn't it?

12 MR. EISENBERG: Yes, it is, but there is  
13 obviously no showing that there has been a floodgate  
14 into the Federal District Court, but again the  
15 jurisdiction is such that the Federal District Court has  
16 to hear the claim, and if the --

17 QUESTION: Well, not if the state court grants  
18 relief.

19 MR. EISENBERG: That's true.

20 QUESTION: You have got to assume some of  
21 these cases have merit. I know not too many do, but --

22 MR. EISENBERG: Surely. I mean, that is  
23 possible, but I think ultimately that is a matter that  
24 the state decides. I can't think that is a matter that  
25 the federal judiciary should be deciding.

1                   Finally, as the second part of my exhaustion  
2     issue, it is that it would be futile for Granberry to go  
3     back into state court. Indeed, the Seventh Circuit in  
4     the Welch case decided it would be futile to require an  
5     Illinois inmate to raise this precise issue in the state  
6     court and thereby took jurisdiction of the first case.  
7     So that I think that even if you find that the original  
8     petitions to the Illinois Supreme Court did not actually  
9     exhaust, I think you should then say it is futile for  
10    Granberry to --

11                   QUESTION: Did you argue that at the Court of  
12    Appeals.

13                   MR. EISENBERG: I argued it on rehearing,  
14    which is the only time really the issue came up.

15                   QUESTION: Well, that may be, but if that was  
16    the first time then you must assume the Court of Appeals  
17    disagreed with you.

18                   MR. EISENBERG: Yes, I assume they did. I  
19    think they --

20                   QUESTION: Well, they ought to know more than  
21    we do about futility.

22                   MR. EISENBERG: Well, this panel said it  
23    wasn't futile. The earlier panel said it was futile.  
24    Nothing has changed in Illinois. You have a split  
25    between the two panels on the Seventh Circuit.

1 QUESTION: well, what did the court decide  
2 from which this petition is --

3 MR. EISENBERG: The court denied my motion for  
4 rehearing without opinion, Justice White.

5 QUESTION: Yes. Yes.

6 QUESTION: I thought that that Harris against  
7 Irving decision in the Illinois Court of Appeals just  
8 addressed the ex post facto claim but not the due  
9 process claim that your client is urging.

10 MR. EISENBERG: That is right. That is  
11 right.

12 QUESTION: So in fact at least as to that it  
13 was open for review in the state court.

14 MR. EISENBERG: It was, although I --

15 QUESTION: Sure, and normally in Illinois the  
16 Supreme Court doesn't take these things on mandamus to  
17 review it in the first instance, and the court had  
18 indicated to Mr. Granberry that he ought to file in the  
19 Circuit Court, and he never did, so it looks to me like  
20 you certainly have an uphill battle to persuade us of  
21 futility.

22 MR. EISENBERG: well, my submission is, Number  
23 One, that the due process issue is really a  
24 rearticulation of the ex post facto issue. However, my  
25 submission is that that was the same way the case was

1 presented in Welch versus Mizell when the Seventh  
2 Circuit said there was waiver and that futility should  
3 be found here. The relief we seek is a reversal of the  
4 Seventh Circuit and a remand with directions to reach  
5 the merits of the claim.

6 Thank you, Your Honors.

7 CHIEF JUSTICE REHNQUIST: Thank you, Mr.  
8 Eisenberg.

9 We will hear now from you, Ms. Friedl.

10 ORAL ARGUMENT OF MARCIA L. FRIEDL, ESC.,

11 ON BEHALF OF THE RESPONDENT

12 MS. FRIEDL: Mr. Chief Justice, and may it  
13 please the Court, whenever a colorable constitutional  
14 claim is presented to the District Court on federal  
15 habeas corpus by a prisoner in state custody, it is a  
16 duty of the attorney general to assess and evaluate and  
17 inform the District Court whether the petitioner has  
18 exhausted available state court remedies.

19 The very narrow question presented in this  
20 case is what role the concept of forfeiture plays when  
21 the assistant attorney general fails in his duty and the  
22 error is brought to the attention of the appellate court  
23 because the --

24 QUESTION: Was this just an error on the part  
25 of the lawyer representing the state in the District

1 Court?

2 MS. FRIEDL: I must assume so, Your Honor.  
3 This court has held that a 12(B)(6) motion is not an  
4 appropriate responsive pleading in habeas cases. The  
5 assistant didn't address the issue of exhaustion at  
6 all.

7 QUESTION: What about that requirement of rule  
8 5 that a form be filled out and completed by the state.

9 MS. FRIEDL: That is what I am suggesting,  
10 Your Honor, that this was a mistake on the part of the  
11 assistants, or the part of the assistant attorney  
12 general. The question that we have to address here is  
13 not whether there is an express -- the state has  
14 expressly waived anything in the District Court. This  
15 was -- this was a situation where the assistant didn't  
16 even address the issue of exhaustion. Now, the  
17 assistant failed to perform this duty. The error was  
18 brought to the attention of the appellate court because  
19 the substantive claim that the Seventh Circuit was asked  
20 to resolve in this case was -- the substantive issue  
21 that it was asked to resolve depended on the  
22 construction of unclear state law.

23 It is respondent's position that the mistake  
24 of the assistant attorney general cannot defeat the  
25 strong comity interests in federalism that are served by



1 strict adherence to the exhaustion requirement of  
2 Section 2254, especially where the error is one of  
3 omission and it is pressed on appeal.

4 QUESTION: May I ask, you mentioned two or  
5 three times the fact it was just a mistake on the part  
6 of the lawyer for the state. Would the case be  
7 different in your view if the state adopted a policy of  
8 what we sometimes refer to in the cases of sarcbagging  
9 and of always filing a motion to dismiss without raising  
10 exhaustion and then raising exhaustion for the first  
11 time in the Court of Appeals.

12 MS. FRIEDL: No, Your Honor, it --

13 QUESTION: Does that present a different  
14 issue?

15 MS. FRIEDL: It is in the attorney general's  
16 interest to request that a case be dismissed on  
17 exhaustion grounds in 99 percent of the cases.

18 QUESTION: Well, not necessarily, because if  
19 he thinks he can win on the merits, and that will end  
20 it, he will save all the litigation in state court.

21 MS. FRIEDL: That is assuming, Your Honor,  
22 that the state, that the state -- that comity runs to  
23 the state as an entity. It is our submission that the  
24 comity underlying the exhaustion requirement of Section  
25 2254 primarily runs to the state judiciary, and the

1 state judiciary is not a party to the proceeding in --

2 QUESTION: well, be that as it may, if it is  
3 possible to dispose of a patently frivolous habeas  
4 corpus claim, say he alleges something that you know  
5 would never and up on the merits in the federal court  
6 and that is the end of it, you may never have any  
7 litigation and not burden your state courts.

8 MS. FRIEDL: I agree, Your Honor. I can't  
9 believe the federal court has jurisdiction over a  
10 patently frivolous claims.

11 QUESTION: Well, say one that you are pretty  
12 darn sure you are going to win. Don't make it patently  
13 frivolous, at least one the court has jurisdiction. The  
14 way the law appeared at the time this particular  
15 petition was filed, as I understand it, at the time this  
16 one was filed it was pretty darn clear that under  
17 existing law, that it was without merit, and then there  
18 was an intervening case that seemed to give more merit.

19 MS. FRIEDL: well, Your Honor, at the time  
20 that the petition was filed in this case, the Seventh  
21 Circuit had held in the petitioner's favor on a similar  
22 -- on a similar claim, and it was after that decision,  
23 the Welch decision was subsequently overruled in  
24 Heirens, so at the time that the petition was filed this  
25 was a colorable claim and --

1           QUESTION: Well, I don't want to lose my real  
2 question. Maybe I have my facts wrong on this, but  
3 assume for the moment that you have a case that is a  
4 strong candidate for dismissal on its face, that is not  
5 totally frivolous, but one that the state in its  
6 judgment or the state attorney general thinks we can  
7 save everybody a lot of time by just going right to the  
8 merits and asking the court to dismiss it on the  
9 merits.

10           And so they adopt a policy in a case of that  
11 kind to file such a motion to just save everybody time  
12 and then they lose such a motion, or they say it is  
13 granted and the petitioner appeals, and then they raise  
14 the exhaustion argument for the first time. They decide  
15 as a matter of policy in cases in this category, let's  
16 not raise exhaustion until the Court of Appeals. Would  
17 that present a different issue than the one that we have  
18 got here where you make a big point of the fact that  
19 they acted negligently?

20           MS. FRIEDL: Yes, Your Honor, because I would  
21 consider that almost an abuse of the system. In Engel  
22 versus Isaac, this Court made it clear that state courts  
23 must be presumed -- in the context of analyzing cause  
24 for state procedural default this Court made it clear  
25 that state courts hold an interest in entertaining all

1 colorable constitutional claims presented -- they hold  
2 an interest in at least having those claims presented to  
3 them on one occasion by a particular prisoner.

4 If the state -- if comity interests run  
5 primarily to the state judiciary, which is what we  
6 submit --

7 QUESTION: Then I think you are saying that  
8 case would be just like this one. You are saying that  
9 always the Court of Appeals should make sure that the  
10 state has one shot at the case, the state tribunal.

11 MS. FRIEDL: Yes.

12 QUESTION: So that in your view the fact that  
13 he was negligent in this case doesn't separate it from a  
14 case in which an attorney general, say, for some other  
15 state might adopt a policy of sandbagging, just never  
16 filing a motion in the District Court and always raising  
17 it in the first issue in the Court of Appeals. You  
18 would treat that exactly the same, I think.

19 MS. FRIEDL: No, Your Honor. If you are  
20 suggesting that the attorney general's office could  
21 properly --

22 QUESTION: Well, no, but logically you would  
23 say we shouldn't punish the state courts for the abuse  
24 of process of the state attorney general. Your argument  
25 is that the beneficiary of this rule is the state

1 courts, not the state as a whole, so why do you punish  
2 the state attorney general by depriving the state courts  
3 of their right? I think your answer to Justice Stevens'  
4 question would have to be it is the same situation, I  
5 think.

6 MS. FRIEDL: Yes, Your Honor.

7 QUESTION: Well, don't let yourself be  
8 persuaded that easily.

9 (General laughter.)

10 MS. FRIEDL: I was -- my understanding of  
11 Justice Stevens' question was whether it would be  
12 appropriate for the state, for the attorney general's  
13 office to intentionally -- to sandbag the system, and I  
14 don't think that that is the case that is presented  
15 here. We don't have a situation at all where --

16 QUESTION: I understand. You don't think it  
17 would be -- perhaps you don't think it would be good  
18 practice, and that is not this case, but the only  
19 question I am really asking you is, legally, as far as  
20 the issue that would be presented to the Court of  
21 Appeals in such a case I don't see why that would be any  
22 different from the case in which the attorney general  
23 just goofed, as you suggest happened here. I don't know  
24 why they are any different. That is all.

25 QUESTION: Well, what if the attorney general



1 expressly waives the right of exhaustion at the  
2 appellate court level? Can the appellate court ignore  
3 that waiver and nevertheless send it back?

4 MS. FRIEDL: The appellate court should never  
5 ignore -- first of all, if it is a waiver of the  
6 exhaustion requirement --

7 QUESTION: Let's say it's an express waiver.  
8 May the federal court of Appeals disregard that and  
9 nevertheless send it back for exhaustion?

10 MS. FRIEDL: No, I don't believe so, Your  
11 Honor --

12 QUESTION: No?

13 MS. FRIEDL: -- because I believe that the  
14 representations of the attorney general's office are  
15 entitled to great deference. However, in a waiver  
16 situation --

17 QUESTION: Do you think that is binding on the  
18 federal court and the federal court cannot consider the  
19 federal interest in exhaustion?

20 MS. FRIEDL: The federal interests in  
21 exhaustion in this case are particularly strong. The  
22 existence of the federal claim depends on the  
23 construction of unclear state law in this case. The  
24 litigation history of the substantive issue presented  
25 here is a perfect example of how the federal interests

1 are sacrificed when the exhaustion requirement is  
2 bypassed.

3 For years, the grant of parole in Illinois was  
4 characterized as solely a matter of grace. After this  
5 Court's decisions in Greenholz and Letier versus Graham,  
6 the Federal District Courts in Illinois became inundated  
7 with federal habeas petitions attacking parole denials  
8 on due process and ex post facto grounds. Because the  
9 petitions were not dismissed for exhaustion in the  
10 Illinois courts, the Illinois courts were not given much  
11 more of an opportunity to consider the issue, yet both  
12 the ex post facto claim and the due process claims have  
13 been presented here dependent upon the construction of  
14 extensive state parole regulations and the state parole  
15 statute.

16 Now, as a consequence the Seventh Circuit  
17 found itself forced to construe unclear state law in  
18 decision such as Welch and Scott. This in itself is a  
19 problem in light of the Pullman abstention doctrine and  
20 in light of the mandate of Section 2254. But the  
21 problem became truly manifest when two years after the  
22 Welch decision the Seventh Circuit determined that it  
23 had misconstrued Illinois law in the Welch decision and  
24 was required to overrule that case in Heirens. In the  
25 meantime the flood of petitions continued to the

1 attractive the federal forum and the state courts  
2 remained unable to construe their own state laws in an  
3 orderly fashion in the state courts.

4 Because the petitioners claims here depended  
5 on the construction of state law, the District Court  
6 should have dismissed this case sua sponte based solely  
7 on federal interests.

8 QUESTION: It is hard to fault the District  
9 Court for not dismissing and requiring exhaustion when  
10 the state made no point of exhaustion in the District  
11 Court.

12 MS. FRIEDL: Your Honor, I think the mistake  
13 here was with the assistant attorney general's failure  
14 to represent his position on exhaustion to the District  
15 Court. I do believe that the District Court should  
16 always ensure that the position, that the state's  
17 position on exhaustion has been expressed.

18 QUESTION: Kind of like a guilty plea. You  
19 have to have an examination of the state to see if they  
20 fully understand the consequences of waiving  
21 exhaustion?

22 MS. FRIEDL: No, Your Honor, but I do think  
23 that particularly in a case such as this where there was  
24 no responsive pleading no answer filed at all, it --

25 QUESTION: There was a form to fill out,

1 wasn't there, the state, for the state to fill out a  
2 form, or the petitioner to fill out a form?

3 MS. FRIEDL: The petitioner fills out a form,  
4 and --

5 QUESTION: And talks about exhaustion?

6 MS. FRIEDL: The attorney general's office is  
7 responsible.

8 QUESTION: What mistake did the attorney  
9 general make?

10 MS. FRIEDL: Apparently the assistant was not  
11 even aware of the exhaustion requirement.

12 QUESTION: But there is a space on the form  
13 that the attorney general is supposed to fill out in  
14 response that requires them to note whether there is  
15 exhaustion or not.

16 MS. FRIEDL: In the answer, yes, and this  
17 assistant just came in with the 12(b)(6) motion.

18 VOICE: He didn't file them in --

19 MS. FRIEDL: Correct. So there has never been  
20 any representation at all made to the -- there was never  
21 any representation made to the Federal District Court on  
22 the issue of exhaustion, and under those circumstances I  
23 do believe the District Court should have --

24 QUESTION: Why didn't the judge ask for it?  
25 Don't tell me that this is normal practice for the

1 attorney --

2 MS. FRIEDL: It is not a normal practice.

3 This is a --

4 QUESTION: Well, why didn't the judge, if the  
5 attorney general didn't ask, I mean, why didn't the  
6 judge ask the attorney general why didn't you file this  
7 piece of paper?

8 MS. FRIEDL: Your Honor, because it was  
9 apparent, it should have been apparent at that time that  
10 a mistake was being made.

11 QUESTION: Well, why didn't you -- if a  
12 mistake has been made why didn't you ask that it be  
13 corrected?

14 MS. FRIEDL: We did at the --

15 QUESTION: I mean, the judge.

16 MS. FRIEDL: -- at the time when this case  
17 moved from the District Court level to the Circuit Court  
18 of Appeals, at that point --

19 QUESTION: Isn't that a little late?

20 MS. FRIEDL: Your Honor, it is when --

21 QUESTION: Isn't that a little late?

22 MS. FRIEDL: It is late and the error was  
23 brought to the attention of the appellate court as soon  
24 as the assistant handling the case on appeal recognized  
25 that there was a problem.



1 QUESTION: What is the responsive pleading  
2 that a state should file? What is it called?

3 MS. FRIEDL: An answer.

4 QUESTION: -- an answer, and is there any  
5 provision for a motion to dismiss --

6 MS. FRIEDL: Well, Your Honor, I --

7 QUESTION: Or is it just the answer, and you  
8 say, dismiss it because failure to exhaust?

9 MS. FRIEDL: I think that a generic motion to  
10 dismiss might be appropriate under the habeas -- in  
11 habeas cases so long as the generic motion to dismiss is  
12 considered to be something in the form of a motion to  
13 reconsider the trial, the federal judge's failure to  
14 summarily dismiss the claim as -- under Rule 4.

15 QUESTION: What did you say about Rule  
16 12(b)(6) a while ago?

17 MS. FRIEDL: I am am sorry, Your Honor?

18 QUESTION: Didn't you mention 12(b)(6) a while  
19 ago?

20 MS. FRIEDL: Yes, Your Honor.

21 QUESTION: What did you say? Is a 12(b)(6)  
22 motion appropriate in a habeas case?

23 MS. FRIEDL: This Court has held that it is  
24 not an appropriate motion, and I believe the reason --

25 QUESTION: I guess I should know that, but I

1 didn't.

2 MS. FRIEDL: Only if it can be considered a  
3 motion to reconsider the failure to summarily dismiss  
4 under Rule 4 do I think that any type of generic motion  
5 to dismiss would be proper, because once you have got a  
6 colorable claim at that point because the state courts  
7 are presumed to hold an interest in adjudicating  
8 colorable claims I do believe at that point the  
9 exhaustion issue must be addressed. Once it goes beyond  
10 the rule for summary dismissal stage.

11 QUESTION: Ms. Friedl, in order for you to win  
12 your case, you really don't have to get us to accept the  
13 proposition that a lower federal court cannot accept a  
14 waiver? Really all you need to establish is that a  
15 federal court need not.

16 MS. FRIEDL: Yes, Your Honor.

17 QUESTION: Now, in light of the fact that the  
18 system could be abused either way, you can have  
19 prosecutors intentionally waiving, and you can have --  
20 it is very hard to tell how it is going to play out.  
21 Why wouldn't it be appropriate to simply adopt a rule  
22 that it can be waived. We're not saying that it cannot,  
23 but it is up to the lower courts to decide whether to  
24 apply a waiver rule or not.

25 MS. FRIEDL: Because I don't think that that

1 would serve state comity interests when it is understood  
2 that the comity concerns underlying the exhaustion  
3 requirement go to the state judiciary.

4 QUESTION: It depends on what you think goes  
5 into the rule. If I think that part of the basis for  
6 the current rule is to prevent litigation from being  
7 dumped on the federal courts which would better be  
8 resolved initially in the the courts, then in order to  
9 protect against that it would be enough for me to leave  
10 it to the good judgment of the lower courts to figure  
11 out whether that is what is happening, and where they  
12 think that that is what is going on they can simply  
13 enforce the waiver. Otherwise, they may accept it in  
14 some cases. what would be wrong with a regime like  
15 that? Is it an area where you need certainty?

16 MS. FRIEDL: Yes. In the interest of overall  
17 state comity and federalism I submit that the best and  
18 easily followed rule would be for the District Court to  
19 elicit a position from the -- ensure that the respondent  
20 has taken a position on exhaustion, and if the  
21 respondent is attempting to waive the exhaustion  
22 requirement, automatically require dismissal on  
23 exhaustion grounds to ensure that no mistakes are made.

24 Now, in the Strickland case this Court  
25 suggested that it is not required to sua sponte make a

1 determination of the state's interest in waiver, but the  
2 clear legislative mandate of Section 2254 most assuredly  
3 played a part in this court's decisions wherein the  
4 exhaustion issued was raised sua sponte despite the fact  
5 that the state did not raise the issue below, despite  
6 the fact that the state expressly conceded the issue  
7 below. Now, if the comity concerns underlying the  
8 requirement are so significant that this Court has  
9 chosen to sua sponte deal with the issue in this Court,  
10 then certainly I would think that the considerations are  
11 strong enough to require that the Federal District  
12 Court --

13 QUESTION: May I just -- I want to be sure I  
14 understand what you are saying about Strickland. In  
15 Strickland, as I recall the case, there has not been  
16 complete exhaustion, and this Court nevertheless  
17 entertained the claim and specifically said that  
18 exhaustion was not jurisdictional.

19 MS. FRIEDL: Yes, Your Honor.

20 QUESTION: That is correct.

21 MS. FRIEDL: Now, in a similar fashion, even  
22 though this Court has not in the Younger abstention  
23 cases this Court in Hecery declined to review the  
24 propriety of Younger abstention only after specifically  
25 eliciting from the state representative his position on

1 abstention, and in no case to respondent's knowledge has  
2 this Court declined to review the propriety of  
3 abstention where the issue was pressed here.

4 Petitioner himself has sought to analogize  
5 this case with the cases of Eleventh Amendment  
6 immunity. This Court has made it clear that even if it  
7 need not be raised sua sponte the issue could not be  
8 forfeited by the state.

9 QUESTION: Well, more particularly, more  
10 precisely on the Eleventh Amendment point in the Ford  
11 case and the Department of the Treasury case that the  
12 state may raise the Eleventh Amendment in the Court of  
13 Appeals even though it did not raise it in the District  
14 Court.

15 MS. FRIEDL: It is my understanding that in  
16 the Eleventh Amendment cases the state may raise it in  
17 the -- at any time even after having expressly conceded  
18 it.

19 QUESTION: Well, when you say at any time, you  
20 don't mean after final judgment, do you?

21 MS. FRIEDL: Well, not after final judgment,  
22 no.

23 QUESTION: So you can raise it in any court in  
24 which the case is being heard.

25 MS. FRIEDL: In the Patsy case, for example,



1 this Court noted that even though the state was not  
2 pressing immunity for this Court that the state would be  
3 free to raise the issue on remand.

4 QUESTION: Under the habeas rules doesn't the  
5 petition go to a judge first?

6 MS. FRIEDL: Yes, Your Honor.

7 QUESTION: And doesn't the judge have to  
8 decide whether it should be summarily dismissed or an  
9 order entered ordering the attorney general to answer?

10 MS. FRIEDL: Yes, Your Honor.

11 QUESTION: Was there an order issued?

12 MS. FRIEDL: There was an order issued here.  
13 The judge did order the respondent to answer.

14 QUESTION: And just ignored?

15 MS. FRIEDL: The assistant did not answer. He  
16 responded with a 12(b)(6) motion.

17 QUESTION: To dismiss?

18 MS. FRIEDL: Excuse me?

19 QUESTION: To dismiss?

20 MS. FRIEDL: A 12(b)(6) motion to dismiss on  
21 the merits.

22 QUESTION: Of course, the judge could have  
23 permitted that.

24 MS. FRIEDL: Well, Your Honor, I --

25 QUESTION: The rules say -- the rules say that

1 he can either order a -- either dismiss summarily, or  
2 order an answer, or take some other course, including,  
3 the committee notes say, a motion to ask the state to  
4 move to dismiss.

5 MS. FRIEDL: To the extent that a 12(b)(6)  
6 motion would address the merits of the claim, a  
7 colorable claim, I don't think the motion is appropriate  
8 in the habeas context unless within the motion the  
9 state's position on exhaustion is stated. I think the  
10 exhaustion issue has to be determined once you go beyond  
11 colorable constitutional claims.

12 QUESTION: In this particular case -- as I  
13 remember, it was Judge Foreman in the Southern District  
14 of Illinois, had the case. He probably gets more habeas  
15 corpus petitions than anybody in the country because  
16 Marion is right nearby, and to suggest he didn't know  
17 that the 12(b)(6) motion was appropriate is kind of  
18 surprising.

19 MS. FRIEDL: Well, Your Honor, 12(b)(6)  
20 motions have been used in the District Courts in  
21 Illinois.

22 QUESTION: In fact, he referred this to a  
23 magistrate first, I think, didn't he, and then he  
24 decided it himself.

25 MS. FRIEDL: Yes.

1 QUESTION: If a judge could ask the state to  
2 file a motion to dismiss rather than an answer, and the  
3 state files a -- gets an order to answer but happens to  
4 file a motion, I suppose the judge could permit it.

5 MS. FRIEDL: Well, regardless of whether the  
6 12(b)(6) motion was appropriate, we are saying that the  
7 exhaustion issue must be determined. It must be  
8 determined whether a petitioner has exhausted his state  
9 court remedies before you turn to the merits of his  
10 claim.

11 QUESTION: I agree with you.

12 MS. FRIEDL: To the extent that this motion  
13 addressed a colorable constitutional claim as opposed to  
14 one that is merely frivolous, the motion -- it was  
15 incorrect for the motion to be filed, for the motion to  
16 be entertained absent a determination on the exhaustion  
17 question.

18 The Illinois Supreme Court specifically  
19 invited the petitioner in 1981 to present his claim to  
20 the state Circuit Courts. The order in that case  
21 specifically cites to the Harris versus Irving Fifth  
22 District case, which went against the petitioner, and it  
23 is unusual if you look at that that order, because the  
24 Supreme Court states precisely when it denied leave to  
25 appeal.

1           It denied leave to appeal before this Court's  
2       decision in Weaver versus Graham. That was a specific,  
3       as far as I could tell, invitation to the petitioner to  
4       return to the state courts with his claim.

5           QUESTION: Ms. Friedl, I am troubled with one  
6       other aspect of what you are proposing. You are  
7       asserting that we have to protect the state courts from  
8       the state attorney general, so that even if the attorney  
9       general makes an explicit waiver, we shouldn't accept  
10      that. It is easy enough to protect the state courts in  
11      this case when the state attorney general comes in and  
12      says, you know, I am arguing on behalf of the state  
13      courts.

14           What do we do about the case where the  
15      attorney general really does want to waive the issue and  
16      we never know that there hasn't been exhaustion. Or are  
17      the lower federal courts supposed to conduct in each  
18      case their own investigation sua sponte when the  
19      attorney general doesn't come in and say, hey, there  
20      hasn't been exhaustion?

21           MS. FRIEDL: At a minimum I believe that the  
22      Federal District Court judge should ensure that the  
23      state's position on exhaustion is stated.

24           QUESTION: Yes, but the attorney general says,  
25      you know, we can't care.

1 MS. FRIEDL: Well, under -- if the attorney  
2 general is attempting to waive the exhaustion  
3 requirement, I don't see --

4 QUESTION: He just says, I don't know, Your  
5 Honor. Frankly, we don't care. We would like to get it  
6 here.

7 MS. FRIEDL: Then the assistant is not  
8 performing his duty.

9 QUESTION: So then somehow the judge has to  
10 inquire on his own or direct --

11 MS. FRIEDL: Ordinarily.

12 QUESTION: What I am suggesting is that there  
13 is no way you are really going to get the federal courts  
14 to protect the state courts from the state attorney  
15 general.

16 MS. FRIEDL: That is not what we are --

17 QUESTION: That ultimately the state attorney  
18 general is going to be the one that applies the  
19 protection.

20 MS. FRIEDL: That is not what we are asking.  
21 The only time that the federal courts should be involved  
22 in assessing -- if the court -- something comes to the  
23 court's attention that the attorney general's  
24 representation is incorrect, then I think the matter  
25 should be pursued but on a normal -- in a normal



1 circumstance the District Court is entitled to rely on  
2 the attorney general's representations on the issue of  
3 concession, of exhaustion, whether exhaustion has been  
4 accomplished at all.

5 Now, in the waiver situation I can't conceive  
6 of -- I can conceive of only a very few cases where the  
7 assistant attorney general, wearing the hat of the  
8 judiciary, can come into federal court and represent  
9 correctly that the state courts do not have an interest  
10 in litigating a colorable constitutional claim that has  
11 never been presented to the state courts.

12 So, because those circumstances will occur so  
13 rarely where the assistant is properly waiving the  
14 judiciary's interests, I think in the interests of  
15 overall comity that the District Court should not accept  
16 any waivers of exhaustion from assistant attorneys  
17 general and particularly because this issue can be  
18 raised on appeal I would think that it would be in this  
19 Court's best interests to have the Federal District  
20 Court be aware of situations of -- it would be in the  
21 interest of this Court to have the Federal District  
22 Court automatically dismiss on exhaustion grounds cases  
23 because there has probably been a mistake and that issue  
24 can be raised by the state on appeal.

25 QUESTION: I take it you are really arguing,

1 or you are very close to saying that the issue is just  
2 not waivable.

3 MS. FRIEDL: At the District Court level I  
4 believe that the issue is not waivable.

5 QUESTION: Or any place else. Why not any  
6 place else?

7 MS. FRIEDL: Well, Your Honor, again because  
8 the strong comity concerns underlying the exhaustion  
9 requirement are those which have -- this Court itself  
10 has raised the exhaustion issue sua sponte on numerous  
11 occasions.

12 QUESTION: Exactly. Exactly. Well, but your  
13 argument sounds as though that no matter what the  
14 attorney general says he wants to do, since comity runs  
15 to the courts, the federal courts should say, no, you  
16 can't waive.

17 MS. FRIEDL: Well, the attorney general is  
18 capable of assessing the interests of the state  
19 judiciary to an extent. He is capable of giving --

20 QUESTION: He can waive in the Court of  
21 Appeals or here but not on the District Court? Is that  
22 it?

23 MS. FRIEDL: Well, it would be a different  
24 situation, I think. The automatic exhaustion rule when  
25 the attorney general attempts to waive at the District

1 Court level is really just to give the Federal District  
2 Courts a clear, easily understood rule to follow. And  
3 once the case gets to the appellate level, I believe  
4 that at that time the issue must be, if a mistake has  
5 been made, the issue must be addressed by this Court if  
6 it is raised by the state.

7 QUESTION: Realistically, how many assistants  
8 does the attorney general have, how many hundred?

9 MS. FRIEDL: Well, Your Honor -- 300?

10 QUESTION: I am talking about his  
11 responsibility. He certainly can't be responsible for  
12 what each one of them does.

13 MS. FRIEDL: That is very difficult, and we do  
14 attempt to -- we do have a uniform policy in the office  
15 that this attorney just didn't follow.

16 For these reasons, the respondent respectfully  
17 requests that the Court of Appeals for the Second  
18 Circuit's decision below be affirmed.

19 CHIEF JUSTICE REHNQUIST: Thank you, Ms.  
20 Friedl.

21 Mr. Eisenberg, you have three minutes  
22 remaining.

23 ORAL ARGUMENT OF HOWARD B. EISENBERG, ESC.,

24 ON BEHALF OF THE PETITIONER

25 MR. EISENBERG: Thank you, Mr. Chief Justice.

1 I don't know what the attorney general of Illinois'  
2 policy is I do know this is the fourth case this year in  
3 which exactly this same thing happened, fourth reported  
4 case, Granberry, Russo, Mosely, Crump. In each of those  
5 cases the Illinois attorney general did exactly the same  
6 thing, did not raise exhaustion in the District Court,  
7 raised it in the Seventh Circuit with varying results.  
8 In this case and Crump the court said, you are out of  
9 the box. In Mosely and Russo the court said, well, we  
10 really didn't mean what we said in Granberry, we are  
11 going to look at the merits anyway.

12 My submission is, this is not some negligence  
13 of some young assistant attorney general. This is the  
14 attorney general trying to have the best of both  
15 possible worlds. They want to win on the merits below  
16 and still have the ability to --

17 QUESTION: Do you have anything in the record  
18 to back you up on this?

19 MR. EISENBERG: There are four reported cases,  
20 Justice Marshall. They are all -- the cases are all in  
21 the briefs.

22 QUESTION: And they all say that the attorney  
23 general did what you said?

24 MR. EISENBERG: That is exactly right.

25 QUESTION: They said that he did what you

1 said?

2 MR. EISENBERG: Granberry, Russo, Mosely, and  
3 Crump exhaustion was raised for the first time on appeal  
4 in the Seventh Circuit, all within the last year, four  
5 cases.

6 QUESTION: So?

7 QUESTION: Did they win? In all four cases  
8 the attorney general --

9 MR. EISENBERG: Yes.

10 QUESTION: -- had won in the District Court?

11 MR. EISENBERG: They have won in the District  
12 Court. I am counsel in Mosely and Granberry. I know  
13 there was no counsel in the District Court in either of  
14 those cases. One in the District Court -- in this case,  
15 for example, no certificate of probable cause was  
16 issued. The Court of Appeals issued a certificate of  
17 probable cause, then appointed me.

18 My -- I don't want to accuse them of  
19 sandbagging because I don't think that is what they are  
20 doing. They know that without counsel inmates are going  
21 to lose most cases, certificates of probable cause are  
22 not routinely granted, and they want literally to have  
23 the door open so that they can argue whatever they  
24 want. So I don't think we can just write off this as a  
25 negligent assistant who didn't know what the policy is



1 in the office.

2 This is a 12(b)(6) motion going to the merits,  
3 asking the Court to reach the merits, and I think that  
4 is conclusive. In this case, factually, certainly. The  
5 general rule, other cases, the law may be different, but  
6 here my --

7 QUESTION: They didn't get the best of both  
8 worlds. You say they won two and lost two, so --

9 MR. EISENBERG: No, they won all four. In two  
10 cases the court reached the merits and they won on the  
11 merits. In two cases they kicked the case for failure  
12 to exhaust, they won for failure to exhaust.

13 QUESTION: I see.

14 MR. EISENBERG: So that is what I hear. They  
15 are not winning these cases -- they are not losing these  
16 cases. The inmates lose all of them. And it is just a  
17 question of when they have to raise it, and my  
18 submission is, they have to raise it at the same point  
19 the capital defendant had to raise it before his trial  
20 which led to his execution, and last term this Court --

21 QUESTION: Why can't you leave it to the  
22 federal court to figure out whether they were  
23 sandbagging or not?

24 MR. EISENBERG: I don't think that is --

25 QUESTION: That would be enough to take away

1 the incentive that you are -- .

2 MR. EISENBERG: I don't think that is  
3 necessary. I think what this Court should say these  
4 attorneys are attorneys like everyone else. They have  
5 to raise it at the proper time or they are out. And if  
6 the attorney general of Illinois doesn't like what his  
7 assistants are doing, he can deal with that, and if the  
8 voters of Illinois don't like what the attorney general  
9 of Illinois is doing they can vote him out of office.  
10 That is the remedy.

11 QUESTION: You could vote him out of office  
12 too, couldn't you?

13 MR. EISENBERG: I only have one vote, Justice  
14 Marshall.

15 (General laughter.)

16 MR. EISENBERG: Thank you, Your Honors.

17 CHIEF JUSTICE REHNQUIST: The case is  
18 submitted.

19 (Whereupon, at 2:55 o'clock p.m., the case in  
20 the above-entitled matter was submitted.)  
21  
22  
23  
24  
25

CERTIFICATION

Alderson Reporting Company, Inc., hereby certifies that the attached pages represents an accurate transcription of electronic sound recording of the oral argument before the Supreme Court of The United States in the Matter of:

#85-6790 - WALDO E. GRANBERRY, Petitioner V. JIM W. GREER, WARDEN

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BY Paul A. Richardson

(REPORTER)