OFFICIAL TRANSCRIPT PROCEEDINGS BEFORE

THE SUPREME COURT OF THE UNITED STATES

DKT/CASE NO. 94-6859 TITLE RONALD DERAY SKIPPER, Petitioner v. SOUTH CAROLINA PLACE Washington, D. C. DATE February 24, 1986 PAGES 1 thru 47

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1 IN THE SUPREME COURT OF THE UNITED STATES 2 - - - - X 3 RONALD DERAY SKIPPER. . 4 Petitioners. 5 ۷. : No. 84-6859 6 SOUTH CAROLINA 7 -Y 8 Washington, D.C. 9 Monlay, February 24, 1986 10 The above-entitled matter came on for oral 11 argument before the Supreme Court of the United States 12 at 11:47 o'clock a.m. APPEARANCES: 13 14 DAVID I. BRUCK, ESQ., Columbia, South Carolina; on behalf of the petitioner, appointed by this Court. 15 16 HAROLD M. COOMBS, JR., Assistant Attorney General of 17 South Carolina, Columbia, South Carolina; on behalf of 18 the respondent. 19 20 21 22 23 24 25 1 ALDERSON REPORTING COMPANY, INC. 20 F ST., N.W., WASHINGTON, D.C. 20001 (202) 628-9300

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1 PROCEEDINGS 2 CHIEF JUSTICE BURGER: We will hear arguments 3 next in Skipper against South Carolina. 4 Mr. Bruck, I think you may proceed when you 5 are ready. 6 ORAL ARGUMENT OF DAVID I. BRUCK, ESQ., 7 ON BEHALF OF THE PETITIONERS, 8 APPOINTED BY THIS COURT 9 MR. BRUCK: Mr. Chief Justice, and may it 10 please the Court, in this case the petitioner, Ronald 11 Skipper, was convicted of murder and rape in the South 12 Carolina Supreme Court, and was sentenced to death. At 13 his sentencing hearing before the trial jury, one of the 14 mitigating factors which he attempted to submit for the 15 jury's consideration was evidence of his past good 16 conduct and successful adaptation to a jail environment 17 during the seven-ani-a-half months between the time of 18 his arrest and the time of his trial. 19 He attempted to prove this obviously for the 20 purpose of persuading the jury whose sole decision of 21 the sentencing phase was whether to send him to prison 22 for life or whether to sentence him to leath, that if he 23 were sentenced to life instead of death, he could be 24 expected to continue to conduct himself in a non-violent 25 manner, and that he would adapt successfully and

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1 non-violently to the regime of imprisonment.

2 QUESTION: Mr. Bruck, does the record disclose 3 by an offer of proof or otherwise exactly what it is 4 that the evidence of the two corrections officers, if 5 that is what we are dealing with, would have consisted 6 of?

7 MR. BRUCK: In terms of an offer of their 8 testimony on the witness stand in camera, no, it does 9 not. This proffer, the proffer is contained primarily 10 at Page 11 of the Joint Appendix, and it is counsel standing in place and representing to the trial judge 11 12 what the witnesses would say. We have under subpoena, Your Honor, two jailers to testify that the defendant 13 has made a good adjustment, and at that point the court 14 says that that issue is not relevant. The actual 15 testimony is not in the record. 16

QUESTION: For our purposes, we should consider what the evidence would have been to have consisted only of past conduct while incarcerated, is that correct, without an element of prediction of future conduct?

MR. BRUCK: Yes, that is what was proferred. It is that he made a good adjustment. It was obviously being offered for the purpose of permitting the sentencing authority to draw the conclusion about his

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future conduct. The South Carolina Supreme Court seemed
to feel that both were offered.

3 QUESTION: Now, under present South Carolina
4 law, do I understand correctly that the state would now
5 permit such avidance to be introduced?

6 MR. BRUCK: Yes, Your Honor, but for a very 7 limited purpose. South Carolina has again and again and 8 again adhered to the position first expressed in Koon I, 9 which was the basis of the ruling here that the issue of 10 the future good conduct of a defendant in prison is 11 irrelevant.

12 However, in Koon II, a case decided nearly a year after this trial, and thus of no relevance to what 13 14 happened here, the Court said that past good conduct in jail is admissible but only as general evidence of the 15 good character of the defendant. It is not admissible 16 17 on the issue of good conduct in jail, which the Court 18 has continued over and over again to say is inadmissible, and proof of that, our position is that 19 20 the South Carolina Supreme Court has repeatedly, beginning with Koon I and as recently as State against 21 22 Chaffee, cited in my brief, upheld the exclusion of expert psychiatric testimony which was focusing solely 23 24 on whether or not based on a psychiatric evaluation of 25 this defendant his likely future conduct in prison would

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1 be good. That conduct is always excluded, and it is 2 always excluded under South Carolina law on grounds of 3 relevancy, that is, the relevancy of the issue. There 4 has never been a case in which a psychiatrist offering 5 his testimony was held to have offered him competent 6 testimony, unrealible testimony. South Carolina focuses 7 solely on the issue of whether or not the question of his future conduct itself is relevant, and South 8 Carolina says that it is not, and that is the issue 9 10 before the Court. QUESTION: But, of course, we did limit 11 12 certiorari here, diin't we? MR. BRUCK: Yes. 13 14 QUESTION: To evidence of future adaptability? 15 MR. BRUCK: Evidence tending to prove future 16 17 adaptability. OUESTION: Yes. 18 MR. BRUCK: Obviously, the evidence was not 19 20 offered idly. It was not offered to satisfy the jury's curiosity, but rather to allow the jury to make its own 21 conclusions. 22 QUESTION: But doesn't the state say now that 23 that very testimony would be admissible at least for 24 some limited purpose? 25 6 ALDERSON REPORTING COMPANY, INC. 20 F ST., N.W., WASHINGTON, D.C. 20001 (202) 628-9300

1	MR. BRUCK: For a very limited purpose, a
2	purpose so limited that South Carolina has almost
3	invariably found exclusion to be harmless error in all
4	but one case since this time.
5	QUESTION: You tell me now that South Carolina
6	would always exclude the testimony of an expert if he
7	purported to predict how the prisoner would act.
8	MR. BRUCK: That's correct. That's correct.
9	There are, I think, three or four decisions that have
10	been filed in the South Carolina Supreme Court dealing
11	with not past testimony of guaris, but
12	QUESTION: Well, do you understand the state's
13	submission now to be to the contrary?
14	MR. BRUCK: Well, yes. As a matter of fact,
15	the state seems at some point in its brief to say in the
16	teeth of all of the South Carolina decisions that in
17	fact the rule of State against Koon isn't really such a
18 .	rule, and that all South Carolina excludes is
19	incompetent lay opinion testimony. Well, there are two
20	things wrong with that. The main thing that is wrong
21	with it
22	QUESTION: Is that it is wrong.
23	MR. BRUCK: is that it isn't true.
24	(General laughter.)
25	MR. BRUCK: The second thing that is wrong
	7

1 with it is that if it were true, this testimony in this case would not have been excluded because if you look at 2 3 the record, the testimony was as to his past --4 OUESTION: Mr. Bruck? 5 MR. BRUCK: -- conduct. Sir? 6 QUESTION: I am not clear as to your position 7 in response to the questions that have been asked. In 8 this case the prosecutor injected by questions he asked 9 the issue of conduct in prison. 10 MR. BRUCK: Yes, sir. 11 QUESTION: And he also included that in his 12 final speech to the jury. Suppose the prosecutor had nct done either of those things? Would, under your 13 presentation here today, it have been appropriate for 14 counsel for the defendant to have introduced, first of 15 16 all, psychiatric testimony as to the likelihood of his 17 being a good prisoner for the long-term future? 18 **CUESTION:** Yes. 19 QUESTION: Is the answer to that yes? MR. BRUCK: The answer to that is yes. 20 QUESTION: That is your position? 21 22 MR. BRUCK: Yes, sir. QUESTION: Now, let's assume, instead of 23 24 having psychiatric testimony, the only testimony to that effect came from the two prison guards and from the 25 8

1 woman who visited the prison once a week. 2 MR. BRUCK: Yes, sir. 3 QUESTION: Not psychiatrists. Is your answer 4 still yes? MR. BRUCK: Would it have been appropriate for 5 6 that testimony to have --7 OUESTION: Would that have been admissible? 8 It is purely speculation by lay people. Would it have 9 been admissible? 10 MR. BRUCK: What was offered was not 11 speculation. It was his past record, and that would 12 have been aimissible. 13 QUESTION: Right, but -i4 MR. BRUCK: If the question is, would their 15 speculation --16 QUESTION: -- you offer the past record for 17 the purpose of showing --18 MR. BRUCK: Correct. 19 QUESTION: -- the likelihcod that he would not 20 be dangerous in the future. 21 MR. BRUCK: Correct. 22 QUESTION: Well, now --23 QUESTION: If this ability by -- excuse me. 24 QUESTION: Go ahead. 25 QUESTION: Testimony by prison guards would

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1 have been admitted for that purpose?

2 MR. BRUCK: Yes, sir. Their observations, 3 what they saw, not necessarily their opinions, but what 4 they saw over seven-and-a-half months of day-to-day 5 conduct with this man would most certainly have been 6 admissible as an aspect of his character within the 7 language of -- an aspect of his record bearing on his character and enabling the jury to make an intelligent 8 9 decision about one of the crucial issues that they had 10 to confront. 11 QUESTION: What if the state did not make 12 future dangerousness an element of the sentencing process in any way. Do you still think the constitution 13 14 would require South Carolina to admit the sort of

15 testimony that Justice Powell was asking you about?
16 MR. BRUCK: Yes, I believe it would. Of

course, that is not the situation we have in this case. 17 But I think there are -- I have two responses to that. 18 First, I think that all of this Court's capital 19 20 sentencing case recognize the calculations of future dangerousness, of future behavior, whether it be bad or 21 good, the capacity for rehabilitation is at the vary 22 ccre of what American judges and juries do when they 23 pass sentence in all kinds of cases, and this Court's 24 cases go back half a century and more discussing that 25

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1	fact. In Lockett itself, one of the mitigating
2	circumstances that was excluded was the defendant's
3	capacity for rehabilitation, psychiatric
4	QUESTION: I notice you rely a great deal on
5	Lockett when it refers to aspects of the defendant's
6	character and record. Ncw, the character and record,
7	when were the character and record of this man male,
8	before he committed the crime or after he committed the
9	crime?
10	MR. BRUCK: I read Lockett to say both.
11	QUESTION: Do you think Lockett contemplated
12	some character evaluation of how he has acted after the
13	crime and while he is awaiting trial?
14	MR. BRUCK: That is my reading.
15	QUESTION: Well, isn't character an evaluation
16	of past conduct?
17	MR. BRUCK: It is partly that, and it is
18	partly an evaluation the jury cannot avoid the
19	question, if we spare this man's life, then what? The
20	jury has a great responsibility when they sentence him
21	to death, but they also have a great responsibility when
22	they take a convicted murderer and allow him to live.
23	They cannot the state cannot wish away the question
24	that is going to arise in the jury room, will this
25	person harm other people if we don't sentence him to
	11

1	death. That question is there. It is inherent when a
2	judge passes sentence. It is inherent when a jury
3	passes sentence. The jury will make the question
4	will answer that question in one of two ways. It will.
5	answer it on the basis of all relevant information, and
6	if they are given that opportunity, they will answer it
7	correctly as well as our judicial system can possibly
8	allow, or the jury will answer the question in an
9	artificially created blackout of information, as
10	happened in this case, where the most reliable evidence
11	of what was likely to happen if we spared this man's
12	life, the testimony of his jailers, the people that had
13	had him and could see him day to day and could form a
14	judgment of how ne would likely do in confined
15	QUESTION: Suppose they gave him a trial
16	within a week. Do you suggest that the evidence about
17	his conduct the week between the time he committed the
18	crime and the time he was tried has any bearing on this

19 issue?

MR. BRUCK: I would suggest that that would be of vanishingly small weight. As a general matter, my answer would be that the weight to be accorded to mitigating evidence is for the sentencer, and all that Lockett and all that Eilings say is that the state may not give it no weight by exclusion.

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1 OUESTION: Suppose a guestion to these two 2 witnesses had been, in the hope of the court, well, now, 3 we have asked you to describe how he has acted in the 4 past. What would be your prediction for the future? 5 Don't you think that question would be proper? 6 MR. BRUCK: I would think that a jailer who is 7 a person --8 QUESTION: You mean there is no yes or no 9 answer to that? 10 MR. BRUCK: I would think as to the jailers 11 the question would be proper, but I think that is a 12 question on which the states enjoy a degree of latitude 13 in deciding the reliability of evidence. Under South 14 Carolina law -- would be admissible. 15 QUESTION: Out of state, so ion't permit 16 testimony on the ultimate issue the jury has to decide. 17 MR. BRUCK: Well, of course, this isn't the 18 ultimate issue. It is an issue of some importance. 19 QUESTION: Well, it is pretty ultimate. It is 20 pretty ultimate. 21 MR. BRUCK: I think if the witness was allowed 22 to give all of the basis, all of the facts that he 23 observed, I do not think the Constitution would require 24 us to give a straight answer. 25 CHIEF JUSTICE BURGER: We will resume there at 13

1	1:00 o'clock, counsel.
2	(Whereupon, at 12:00 o'clock p.m., the Court
3	was recessed, to reconvene at 12:59 o'clock p.m. of the
4	same lay.)
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1	AFTERNCON_SESSION
2	(12:59 A.M.)
3	CHIEF JUSTICE BURGER: Mr. Bruck, you may
4	resume your argument.
5	ORAL ARGUMENT OF DAVID I. BRUCK, ESQ.,
6	ON BEHALF OF THE PETITIONER,
7	APPOINTED BY THIS COURT - RESUMED
8	MR. BRUCK: Thank you, Your Honor.
9	If I may complete my response to Justice
10	White, I think basically petitioner's position would be
11	that where a lay witness is permitted to give all of his
12	observations and provide all of what he has to say based
13	on his own knowledge and experience and what he had
14	seen, the Constitution would not require that that lay
15	witness's opinion also be admitted in mitigation of
16	punishment.
17	QUESTION: Including a prison guard.
18	MR. BRUCK: I would say including a prison
19	guard. South Carolina law, I believe, state evidentiary
20	law would admit the testimony as, I believe, would the
21	federal rules, because that is someone whose knowledge
22	is based on special experience.
23	QUESTION: But a state, if it permits the
24	prison guard to testify on what he has seen and heard,
25	wouldn't need to permit an opinion from him.
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1	MR. BRUCK: That is correct. That is cur
2	position. All of this, as I mentioned briefly at the
3	beginning, has to do with what the jury actually is
4	required what is actually required of the jury at the
5	sentencing phase. As the joint opinion in Jurek stated,
6	any sentencing authority must predict a convicted
7	person's probable future conduct when it engages in the
8	process of determining what sentence to impose. That is
9	simply an inquiry which cannot be willed away. At
10	bottom, I read Lockett to be concerned with accuracy,
11	with reliability, with the concern of the Eighth
12	Amendment, and if we are to sentence people to death,
13	let it not be in error. Let it be only based on the
14	facts as best we can bring them to the sentencer's
15	attention.

What we have here in this case, I think, is an exceptionally clear example of the sentencing determination fraught with the potential for error, because not only were the state's own witnesses, the jail guards, who knew the most about how this man was likely to do in prison, prohibited from testifying --

QUESTION: Let me go back to the question I put to you before lunch. Suppose within 60 days after the murder, the case comes to trial. Is it your suggestion that Lockett requires, the Constitution

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1 requires that the Court admit evidence about his good 2 behavior during the two months between the time of the 3 murder and the time of the trial? 4 MR. BRUCK: Yes. I think that would again be 5 for the weight, and the weight, as the Court said in 6 Eddings, is not for the law, not for the legislature, 7 but for the jury. QUESTION: Ordinarily the character of a 8 9 person like reputation is based on always past events, 10 isn't it? 11 MR. BRUCK: Yes. 12 QUESTION: Not necessarily current events, but 13 things past. 14 MR. BRUCK: Well, things past up to the time 15 when his character is being evaluated, which is at the 16 time of the trial. The way people -- and this is often 17 the case when you have people who are mentally ill or 18 who suffer from character disorders, and there was abundant evidence in this record that this man was a 19 20 product of an alcoholic home, of child abuse, of terrible domestic violence, and this is the sort of 21 22 person that may well be unable to function out in 23 society, and yet in a structured environment might well 24 be able to function very well, and we all know of dramatic examples of that. The Birdman of Alcatraz. 25 17

1 QUESTION: Are you saying he might be able to 2 function very well in the controlled environment of a 3 prison. 4 MR. BRUCK: Correct. 5 OUESTION: Is that the issue --6 MR. BRUCK: That certainly was. 7 QUESTION: -- that society is probing at, how he is going to act in prison? 8 9 MR. BRUCK: Yes, that is the issue. A jail 10 environment is certainly similar to a prison 11 environment, perhaps not identical, and that would be an 12 issue for cross examination by the state, to try to bring out ways in which this may not really be all that 13 14 probative. That is grist for the jury mill. These are the things we have trials to determine. 15 QUESTION: Yes, but some states bar evidence 16 17 that is not ante litem motam, so to speak, evidence that may have been concocted by a party over a short period 18 of time after the event which is being judged came into 19 20 effect. Now, you are saying, I take it, that the state can't do that here, that it is strictly a matter for 21 cross examination. They can't ever say that this 22 conduct was shaped by the defendant in order to produce 23 24 evidence for this trial. MR. BRUCK: The prosecutor can certainly say 25 18

1 that to the jury, but I don't think the state can say as 2 a matter of law that because of the danger that 3 defendant is putting on an acc, that therefore that 4 cannot even be weighed by the jury, that the jury can't 5 even hear it. After all, we have situations in which 6 defendants have been incarcerated for two and three 7 years, and then have a retrial, and their prison 8 behavior can be assessed over a very long period of 9 time. Now, there is still the possibility that it was 10 still concocted. I don't know that any arbitrary time 11 deadline of a week or 50 days could ever be meaningfully 12 developed. We could say, well, this is too short, or 13

there is too great a risk. I mean, there are all kinds of dangers. In Washington, for instance. Texas recognized the danger that co-defendants would concoct their stories and try to swear each other off the charges, and therefore -- and that is not a completely irrational concern.

QUESTION: Counsel, maybe I am way off base, but I don't guite understand. Assuming that the trier of fact concludes that this man would not be helped out of jail, what would he do?

24 MR. BRUCK: Would not be helped in the sense 25 that if the trier of fact concluded that his conduct

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would be bad, that he would be violent in jail, that he 1 would rape other inmates, as the prosecutor argued in 2 3 this case, that would be a consideration weighing in 4 favor of death. If the trier of fact included that probably this man's conduct would be good in the sense 5 6 of nonviolent --7 QUESTION: You put the testimony on. MR. BRUCK: That's correct. 8 QUESTION: He coulin't withirsw it. 9 10 MR. BRUCK: Oh, you mean if the witnesses were called and they said, as a matter of fact, this guy was 11 12 being a real problem, he banged on the bars and that is about all we have got to say about it. 13 QUESTION: But you couldn't blame that on the 14 state, could you? 15 MR. BRUCK: Absolutely not. 16 QUESTION: So you don't begin to have the man 17 18 electrocuted. MR. BRUCK: That is right. If we didn't 19 interview our witnesses first and call them, and 20 indeed --21 QUESTION: You wouldn't feel any counsel 22 responsibility for it at all, would you? 23 24 MR. BRUCK: I would feel grave responsibility if I called a witness and diin 't know what he was going 25 20 A! DERSON REPORTING COMPANY, INC. 20 F ST., N.W., WASHINGTON, D.C. 20001 (202) 628-9300

1 to say and he hurt my client.

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QUESTION: I sure hope so.

3 MR. DRUCK: Yes, sir. I sure would. By the 4 same token, and we have absolutely no guarrel with the 5 idea that if the defendant during the one week or the 60 6 days between his arrest and his trial attempted a jail 7 break, I don't think it can be seriously contended that 8 that is not evidence relative to an aspect of his 9 character and record that the jury ought to know and 10 that they are entitled to know, and indeed, South 11 Carolina law most certainly provides for consideration.

12 Indeed, in this record there is the slightest 13 fragment of rather minor misbehavior which was admitted 14 to by the defendant himself that when they first put him 15 in jail he banged on the bars because they wouldn't let 10 him get a phone call, and the solicitor and prosecutor 17 jumped on that, seized on that in his closing argument. 18 He said, didn't he admit kicking on the bars over there. That is the kind of prisoner he is, kicking on 19 20 the bars, kicking on the bars.

Now, there was nothing improper about that
argument. What was improper about it is that Ronald
Skipper's side of the story was excluded from evidence,
and that is all the jury heard.

QUESTION: I doubt you will find any prisoner

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that at some time didn't bang on the bars. 1 MR. BRUCK: Well, I agree with that, Your 2 3 Honor, and I believe that is exactly what defense 4 counsel proferred the jailers to say, that after this initial little problem he had the night he was locked 5 6 up, he made a good adaptation and did fine, and the jury 7 could fairly have concluded from that that he would have done all right if they spared his life and sent him to 8 9 prison, and that, of course, is the testimony the jury 10 never got to hear. 11 If the Court has no further questions, I would 12 like to reserve the remainder of my time for rebuttal. CHIEF JUSTICE BURGER: Very well. Mr. Coombs. 13 14 OFAL ARGUMENT OF HAROLD M. COOMBS, JR., ESQ., ON BEHALF OF THE RESPONDENT 15 16 MR. COOMBS: Mr. Chief Justice, and may it please the Court, the state's position in this case may, 17 18 if you will, be reduced to one simple sentence. Predictive testimony that is offered in mitigation may 19 20 be properly excluded when it is merely based upon a lay witness's opinion, and when the lay witness's opinion is 21 22 simply illustrated by evidence in the record, and the jury is free to consider that evidence for themselves. 23 Now, our obsition is that this properly 24 focuses the jury's attention. By properly focusing the 25 22

1 jury's attention on the characteristics of the 2 defendant, the characteristics of the crime that he has 3 committed, and his prison record, and only allowing in 4 relevant evidence. 5 QUESTION: What was excluded here? 6 MR. COOMBS: What was excluded in this case, 7 Justice White, was one thing, and that was predictive 8 testimony from lay witnesses. 9 QUESTION: That wasn't what was asked, was 10 it? 11 MR. COOMBS: That was my understanding, Your 12 Honor. 13 QUESTION: What was the guestion that these 14 prison guards weren't permitted to answer? 15 MR. COOMBS: Your Honor, there was never any 16 specific testimony proferred. The only fair inference 17 from the record, I would submit to the Court, is that 18 they were offered for one thing, and that was to 19 speculate on his future conduct. 20 OUESTION: Weren't they just going to --21 wasn't it just said that they were going to testify 22 about how he had conducted himself since he had been in 23 jail? 24 MR. COOMBS: No, Your Honor, I would disagree 25 with that. 23 ALDERSON REPORTING COMPANY, INC.

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1QUESTION: But Mr. Bruck said this morning2before noon.

MR. COOMBS: Your Honor, I think if you look, for example, at the top of Appendix Page 4, the trial judge is confronted with what he is going to admit into evidence, and I think at Appendix Page 4 he very clearly says that insofar as evidence is offered which pertains to the characteristics of the defendant, it is admissible into evidence.

10 If we look at Appendix Page 10, we see that the defendant's former wife is testifying. She is 11 permitted to use the very words that he has made a good 12 adjustment to prison. She is permitted to testify as to 13 14 what she has seen about Ronald Skipper in prison. Then, the defense attorney asks her to predict over an 15 extended period of time what is your opinion as to 16 whether he could make a good adjustment, and it is only 17 at that point --18

QUESTION: And what page is that?

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MR. COOMBS: That is at Appendix Page 10, Justice White. It is only at that point that the solicitor objects. The objection is sustained, and defense counsel proceeds to make his offer of proof, and when he makes that offer of proof, that is when very strangely he makes an offer of proof for past conduct.

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1 OUESTION: In South Carolina, is that the way 2 you proffer proof? You don't get the verbatim 3 statement? 4 MR. COOMBS: A sunnary from counsel is usually 5 ccnsidered --6 QUESTION: This witness, if permitted to 7 testify, would testify as follows. You don't go that 8 route, do you? 9 MR. COOMBS: Justice Marshall, they do it one 10 of several ways. It is done any number of ways. 11 Counsel may make the offer of proof to the court. He 12 may put the witness on the stand and have the offer go 13 into the record that way. So the trial judge's 14 discretion is my understanding. 15 QUESTION: Do you consider this a proffer of 16 proof? 17 MR. COOMBS: I would consider this an offer of 18 proof. The trial judge --19 QUESTION: Of what? Of what? Proof of what? 20 MR. COOMBS: I would say this is an offer of 21 proof offered to relate back to the question he was attempting to elicit from the witness, which was a 22 23 prediction of future conduct over a period of years. It is only natural that when the trial judge is sitting 24 25 there on the bench, and he is deciding, what am I ruling 25

1 upon? He is going to go back to the question that was 2 asked, and what was that guestion? It was a guestion 3 concerning a prediction of future conduct. 4 QUESTION: Well, Mr. Coombs, suppose, just suppose that all that they wanted to offer was the 5 6 testimony of the corrections officers about past conduct 7 while in jail? 8 MR. COOMBS: Justice O'Connor, that would have 9 been admissible. Admissible. 10 OUESTION: Pardon me? 11 MR. COOMBS: Admissible. 12 QUESTION: And would it be admissible for the purpose of proving future aljustability to prison life? 13 MR. COOMES: Okay, Justice O'Connor, at that 14 point we come to what is meant by prison life. I 15 16 suggest to this Court that in light of the South Carolina Supreme Court's decision in Plath, State v. 17 18 Plath and Arnold, that when our Supreme Court talks about prison life, they are talking about such things as 19 20 how well the prisoner is going to be able to take one shower a week. I will use the example used in Plath. 21 22 Or whether he is going to be personally happy. They are not talking about his interaction 23 24 with other prisoners. Most certainly they are not talking about future dangerousness. State v. Woomer was 25 26

1 decided when the present case --2 OUESTION: Well, you didn't answer my 3 question. Would the evidence of past conduct in prison 4 be admissible to prove adjustability and behavior in the 5 future while in prison in South Carolina? 6 MR. COOMBS: It depends what you mean by 7 future adjustment in prison, Justice O'Connor. If it is 8 something that is going to be of consequence to the 9 determination of whether or not this man lives or dies --10 OUESTION: Yes. 11 MR. COOMBS: -- yes, it would be admissible, 12 very clearly admissible. 13 QUESTION: Yes. And yet the judge kept it out 14 here even in the face of arguments by the prosecutor 15 that this man would be likely to attack other prisoners 16 in the future and behave badly in prison. 17 MR. COOMBS: No, Justice O'Connor. I do not 18 believe that that is a fair reading of this record. I believe that the defendant was able --19 20 QUESTION: Isn't that the prosecutor's 21 argument at the end? Doesn't it touch in part upon the 22 likelihood that this man would attack other prisoners in 23 the future, and that he is likely to misbehave in 24 prison? 25 MR. COOMBS: That was the prosecutor's 27 ALDERSON REPORTING COMPANY, INC.

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inference, Your Honor. There is plenty of evidence in
this record. There is plenty of evidence in the record
from which the prosecutor could have insum that
inference.

5 QUESTION: But don't you think that it is 6 arguable that the defendant should have been allowed to 7 rebut that inference with testimony of this type from 8 corrections officers?

9 MR. COOMBS: If the testimony from the 10 corrections officers had been proffered for the purpose 11 of testifying to things that they had observed 12 concerning Ronnie Skipper when he was in jail, that 13 testimony was admissible.

QUESTION: That is exactly what Mr. Dusenberry said. Your Honor, we have under subpoena two jailers to testify that the defendant has made -- has made a good adjustment.

18 MR. COOMBS: Okay, that is from, I would
19 guess, Appendix Page 11.

QUESTION: Yes. You say that is very strange, but the court says, whether he can or cannot is not an issue in this case. Even if they stay after this initial period, he has made a good adjustment. The ccurt says, read Koons. That is not an issue. So none of these witnesses would be permitted to testify,

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1 Dusenberry asked. The court says no. 2 MR. COOMBS: Justice White, again, you have to 3 look at the question that was raised. He was trying to 4 introduce --OUESTION: I thought I just did. I thought I 5 6 just did. 7 MR. COOMBS: That is part of the offer of 8 proof, Your Honor. The question where the solicitor 9 objected was a question which called upon his former 10 wife to predict future behavior over a period of years 11 in prison. When you examine this record, I suggest the 12 record is replete --13 QUESTION: So you would say that you -- he 14 would say, we should judge this case, at least you say 15 we should, on the basis that if all the defense wanted 16 to do was to put on these two jailers to testify how 17 these people had -- now this defendant had behaved 18 himself in prison in the past, it would have been admissible. 19 20 MR. COOMBS: I am sorry, Your Honor. I don't 21 understand your question, Justice White. 22 QUESTION: Well, if the defense had said, I 23 want to call these two prison guards, I want to ask them 24 how this defendant has conducted himself in the past, 25 while he has been in prison, admissible, I gather. 29 ALDERSON REPORTING COMPANY, INC.

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MR. COOMBS: That would have been admissible.
 Yes, sir.

QUESTION: Well, that is hard to justify in light of the colloguy on Page 11, I think, Mr. Coombs. The court had sustained an objection to the wife's testimony about whether or not he could adjust, and then counsel says, we want to take up a matter of law outside the presence of the jury.

9 Now, the counsel didn't reargue the wife's 10 admissibility. He said, I've got two witnesses here, and as Justice White said, we have under subpoena to 11 testify that the defendant has made a good adjustment, 12 and then the trial court obviously thinks he is still 13 talking along the same line as before, but he wasn't, 14 and surely -- I mean, we can't say that he didn't mak? 15 his point to the trial court. 16

MR. COOMBS: I think, Justice Rehnquist, you have to look at the entire record, and I think at that print, on that one isolated page of the appendix, that the trial judge still has the objection on his mind from Page 10, but we can certainly look, for example, at Appendix Page 4. We can look at the extensive record before the Court in this case.

For example, the defendant in this case testified from the stand that when he was in prison he

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1 had learned vocational skills. He had applied those 2 skills. He was --3 QUESTICH: And his wife was permitted to 4 testify about how he had conducted himself. 5 MR. COOMBS: His wife, his former wife was 6 certainly permitted to testify about how he conducted 7 himself in prison --8 QUESTION: The same sort of things that the 9 prison guards were going to talk about. 10 MR. COOMBS: If the prison guards limited 11 their testimony to things that they had actually seen, 12 that is exactly right, Your Honor. Presumably it would have been very similar. They would have been paralleled 13 14 one another. 15 QUESTION: I am having difficulty following 16 your argument, counsel. You conceded in response to 17 several guestions that evidence about his conduct was 18 admissible. 19 MR. COOMBS: Evidence about his conduct 20 certainly was admissible. 21 QUESTION: Now his counsel then offers to 22 present evidence on precisely that question, and you say 23 it is not admissible. 24 MR. COOMBS: I think, Your Honor, the question 25 is, what does the trial judge have on his mind in 31

1 Appendix Page 11.

QUESTION: Well, we have to go on the basis of
the record, not what is in his mind. You have said,
perfectly clear, several responses, that that evidence
is admissible, and then the judge rules it is not
admissible, and you either have to defend it or concede
it, or say that it is totally irrelevant.
MR. COCMBS: Our position, Your Honor, is that
observations of past conduct are admissible. Lay
witnesses' testimony which merely seeks to predict
future conduct is inadmissible, and I suggest the record
is entirely consistent with that view.
QUESTION: May I ask on that, directed at what
issue is the evidence of past conduct admissible? Is it
admissible on the issue of adjustability to prison
life?
MR. COOMBS: Again, that means you have to
consider what you mean by prison life. Might I suggest
for the Court's
QUESTION: Whatever the judge meant when he
said it is not admissible on adjustability to prison
life. In your view, is it admissible on that issue?
MR. COOMBS: If you mean showering, how often
does he shower, that is not admissible. If it means is
he going to be a productive prisoner, it most certainly
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1 is admissible, and I would cite this Court to Transcript 2 Page 1257. 3 OUESTION: Let me just keep you on this for a 4 moment. You concele that the evidence of past conduct would be admissible on the issue of adjustability to 5 6 prison life if the term ajustability to prison life is 7 properly understood. MR. COOMBS: That is correct, Your Honor. 8 9 QUESTION: Then how do you defend the judge's 10 ruling at the bottom of Page 11? 11 MR. COOMBS: The only thing I can say there is 12 that he still had on his mind the question that was raised at Appendix Page 10. 13 14 QUESTION: Where do you get your view of the law then? 15 MR. COOMBS: I get my view, for example, from 16 17 the whole --QUESTION: Do you agree he committed error 18 because he didn't understand the question? 19 20 MR. COOMBS: I don't think he understood one single guestion. 21 22 QUESTION: That just explains, it doesn't justify the error. Did he commit error? 23 MR. COOMBS: No, he didn't commit error 24 25 because he let in everything that was offered to show 33 ALDERSON REPORTING COMPANY, INC.

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past conduct and he put this to the jury. He put it to the jury at Trial Transcript Page 1,257. He charges the jury. He charges the jury on nonstatutery mitigating circumstances. And he says, nonstatutory mitigating circumstance number 4, the defendant is now and during the confinement under life sentence would be free of all alcohol and drug dependence.

One more, if I might read it to the Court. 8 9 Nonstatutory Aggravating Circumstance Number 5. The defendant has shown a progressive positive adjustment to 10 confinement, and he has the educational and employment 11 skills that would enable him to be a stable, productive 12 prisoner. The only thing that was kept out in this case 13 is simply the predictive testimony of lay witnesses. 14 What was included would be the observations of all the 15 lay witnesses as to what they actually saw. Once that 16 was in the record, then the jury was free to consider, 17 18 to evaluate, and if they wanted to use that as a mitigating circumstance that mitigates against the death 19 penalty, they were free to take that into consideration 20 and give it whatever weight they chose. 21

22 QUESTION: You agree that these two guaris 23 testify as to certain facts, and that would be 24 admissible.

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MR. COOMBS: We don't have that in the record,

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1 but just hypothetically.

2	QUESTION: Do you agree that there are some
3	things he could say that would be admissible?
4	MR. COOMBS: I certainly to.
5	QUESTION: And the judge kept it out?
6	MR. COOMBS: I do not agree with that.
7	QUESTION: What did the judge say? I am not
8	going to let it in.
9	MR. COOMBS: He said at the top of Appendix
10	Page 4, for example, he said anything
11	QUESTION: I am talking about Page 11. He
12	might get others off on Page 4, but I still think Page
13	11 is this case. The judge says you cannot put these
14	witnesses on.
15	MR. COOMBS: Transcript Page 11 or Appendix
16	Page 11 takes place for one reason, because on Page 10
17	defense counsel has asked his former wife to make a
18	prediction as to what his behavior in prison is going to
19	be over a period of years. That takes place the bottom
20	third roughly of Appendix Page 10.
21	Under prison conditions over an extended
22	period of time, what is your opinion as to whether he
23	could make a good adjustment? I say very clearly that
24	is asking her to speculate on his future conduct. It
25	has nothing to do with what she herself has actually

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seen.

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2 I remind the Court, suggest to the Court once 3 again that his former wile was permitted to characterize 4 her own testimony, to describe the testimony and then characterize it by saying that Mr. Skipper had made a 5 6 good adjustment to prison. The defendant's mother could 7 testify that he had been free of drugs. Again, the jury could take that and give it whatever weight they might 8 9 choose. 10 The defendant himself went into excruciating 11 detail about his past conduct, his present conduct, and even his future conduct, and how detailed is his 12 testimony, what kind of leeway did the trial judge give 13

to this prisoner. He even allowed him to predict that a
South Carolina public school would be the school of
choice for his own children.

I think based upon this record it is hard to imagine a factual scenaric of where a trial judge has given a prisoner more leeway in putting before the jury the circumstances and the facts which the jury may consider and decide if they think that is a proper matter to use in mitigation of the death penalty.

Our position is that the evidence is to be presented by the witnesses. Once that evidence is presented, it is for the jury to attach whatever weight,

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whatver meaning that they see is fit. On the basis of this record, there is absolutely nothing in this record to show that his former wife or the priscn guards or the jail visitors have any special knowledge, any special insight that can make their testimony, their cbservations more meaningful for that jury.

7 OUESTION: I am still having difficulty. At 8 the bottom of Page 11, they are discussing what they are 9 trying to introduce. They are trying to introduce 10 evidence of three people which would bear on whether he 11 could or could not adjust to prison life. And the judge 12 said, that is irrelevant. They will not be permitted to 13 testify. But you have already conceled several times 14 that that would be admissible evidence if there was no 15 opinion given.

16 MR. COOMBS: I certainly have, Mr. Chief 17 Justice. And the only thing I can suggest is at 18 Transcript Page 11 or Appendix Page 11 has to be read 19 along with Appendix Page 10, it has to be read along 20 with the top of Appendix Page 4. And it has to be read 21 along with everything else the trial judge admitted in 22 evidence during the course of this trial, which is some considerable volume, right here. We are talking about --23

QUESTION: The judge has told him categorically, whether he can or cannot adjust to prison

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1 life is not an issue in this case.

2	MR. COOMES: Again, Mr. Chief Justice, the
3	transcript at Page 1,257. Obviously, there is some
4	misunderstanding. The trial judge at Transcript or
5	Appendix Page 11 thinks we are still talking about
6	future adjustability, because at 1,257 he says as a
7	nonstatutory mitigating circumstance the defendant has
8	shown a progressive positive adjustment to confinement,
9	and he has the educational and employment skills that
10	would enable him to be a stable, productive prisoner.
11	So, obviously the trial judge believed that
12	that was proper, relevant, admissible testimony.
13	QUESTION: But he said the contrary,
14	categorically.
15	MR. COOMBS: That is exactly right. At
16	Appendix Page 11, he made a mistake, and why did he make
17	a mistake? Because once questions had been asked
18	calling for a prediction of future conduct, and that
19	objection was sustained, defense counsel then proceeded
20	to make an offer of proof of past conduct, which is
21	nonsensical. The trial judge became temporarily
22	confused at Appendix Page 11, and that is exactly what
23	happened.
24	QUESTION: So are you arguing that the error
25	was harmless?
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MR. COOMBS: It was a mere number of words that made absolutely no difference whatsoever as to what was admitted or not admitted at trial. The only leason he wanted those prison guards to testify, the only reason he wanted the jailhouse visitor to testify was for purposes of predicting lay testimony, predicting future adability to prison.

8 QUESTION: What do you say about the opinion 9 of the South Carolina Supreme Court that says that the 10 convicted murderer's adaptability to prison life is not 11 a matter of any relevance to the sentencing proceeding?

MR. COOMBS: Justice Stevens, that again goes to what the South Carolina Supreme Court has considered prison life to be. For example, in State v. Plath, they don't think that -- they consider prison life to involve simply matters of personal hygiene or something along those lines, how often he showers.

18 QUESTION: Where does the opinion in Koon I
19 make that differentiation between kinds of adaptability
20 to prison life?

21 MR. COOMBS: I don't believe it does, Your
22 Honor. You have to read the whole series of cases.
23 QUESTION: You are reading the opinion, not
24 anything in the opinion itself.

25

MR. COOMBS: Not in the opinion itself.

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QUESTION: But it is clear, is it not, that the judge apparently read his reference to what is not admissible is exactly the same thing the South Carolina Supreme Court said, that adjustability to prison life -they used the word adaptability instead of adjustability -- is not an issue at the penalty hearing.

7 MR. COOMBS: With one exception, Your Honor. I 8 don't know on the record here whether the trial judge 9 said anything about State v. Woomer. State v. Woomer is 10 an opinion of the South Carolina Supreme Court which had 11 been published at the time of the instant case. State 12 v. Woomer --

QUESTION: The same day as Koon I, right? 13 14 MR. COOMBS: I believe -- I know it had come 15 out one day apart, roughly at the same time. Woomer 16 holds that expert testimony predicting future conduct is 17 admissible. Specifically, that holds that expert testimony concerning future dangerousness is 18 admissible. It goes on to say that future -- testimony 19 20 concerning future nondangerousness is admissible.

21 Woomer holis that expert testimony predicting 22 future conduct is admissible. Specifically, that holds 23 that expert testimony concerning future dangerousness is 24 admissible. It goes on to say that future -- testimony 25 concerning future nondangerousness is admissible. The

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1	only
2	QUESTION: That is a little different from
3	adjustability to prison life. Or io you say that is the
4	same as adjustability to prison life?
5	MR. COOMBS: I think future nondangerousness
6	could well be construed as being the same thing as
7	future good conduct in prison.
8	QUESTION: Let me just put it this way. In
9	ycur view, is testimony directed at the issue of whether
10	an inmate is adjustable to prison life admissible or
11	inadmissible in South Carolina at a penalty hearing?
12	MR. COOMPS: In the present case, it was
13	admissible.
14	QUESTION: As a general proposition?
15	MR. COOMBS: It is generally admissible so
16	long as it concerns matters of consequence. It is not
17	admissible if it concerns simply how often he might take
18	a shower in prison.
19	QUESTION: What was the evidence at stake in
20	Koon I that was excluded? What was the Supreme Court
21	talking about there when it said this evidence is
22	totally irrelevant?
23	MR. COOMBS: I think it was talking about
24	predicted future conduct, Your Honor, not taking a
25	shower. I don't believe it concerned a shower. I think
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1 it concerned -- is probably as vague -- I believe it is 2 as vague as in the present case. Simply testimony from 3 lay witnesses concerning their predictions of future 4 conduct. QUESTION: Do you think that the Supreme Court 5 6 of South Carolina knew what it was saying when it wrote 7 that opinion? They apparently say the trial judge didn't know what he was saying. 8 9 MR. COOMBS: I just read the opinions, Your Honor. I don't have any special insight into that. 10 11 QUESTION: Counsel, wasn't the evidence 12 excluded in Koon I evidence of an expert? Wasn't it psychiatric testimony? 13 14 MR. COOMBS: I believe, Your Honor, it was expert testimony, but it was --15 QUESTION: It wasn't lay testimony. 16 MR. COOMBS: It was expert testimony, I 17 believe, that was concerned with prison life, 18 adjustability to this very vague, nebulous term, prison 19 20 life. And again I say the South Carolina Supreme Court considered prison life often to involve matters which 21 are not relevant. 22 We believe that it is inescapable, and it is 23 inescapable because this Court has said so in Jurek v. 24 Texas, that every time a sentencing authority has 25 42 ALDERSON REPORTING COMPANY, INC.

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jurisdiction, every time that it has discretion, it has to make some sort of inherent prediction concerning future conduct.

4 We submit that this has been taken into 5 consideration by the State of South Carolina. We feel 6 that by limiting testimony, by excluding testimony of 7 things that are not relevant, the jury sentencing 8 discretion is properly focused. We think the state has 9 made a valid decision in focusing the attention of the 10 sentencing authority in this case, and we would ask that 11 the juigment be sustained.

Unless there are any questions --

13 CHIEF JUSTICE BURGER: Do you have anything
14 further, Mr. Bruck?

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ORAL ARGUMENT OF DAVID I. BRUCK, ESQ.,

ON BEHALF OF THE PETITIONER,

APPOINTED BY THIS COURT

MR. BRUCK: Yes, Your Honor, only two points which I would like to touch on very briefly. One, I think it should just be mentioned that what the state now argues is the very core of this case, and their entire submission is a point never before raised by the state below.

It is not until the state came to this Court that it asserted for the first time that all that was

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being offered to cite what the record clearly shows is some sort of incompetent lay opinion testimony. There was no reason for the state to make any such argument, because South Carolina law is so clear that the issue of future conduct in prison at least when the behavior is likely to be good is inadmissible as a matter of law and is categorically excluded.

8 That is what State against Koon said, the 9 exact testimony that was excluded by the psychiatric 10 witness, and it is contained in Justice Marshall's 11 opinion in Patterson versus South Carolina in Footnote 3. The expert said, I conclude that Koon would be a 12 very good risk for good adjustment in an institution, 13 14 and a very low risk for assaultive or violent behavior in an institutional setting. 15

I don't think that has anything to do with how 16 often he would have taken a shower. Counsel for the 17 state keeps saying over and over again in his argument 18 that these various family witnesses were permitted to 19 testify, and he notes that a written mitigating 20 circumstance was submitted to the jury dealing with 21 future conduct. There are inconsistencies in the trial 22 judges' actions in this case, but there is a way of 23 explaining all of them. That which was objected to by 24 the state was excluded. There was no objection to the 25

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wife. There was no objection to the defendant's own
statements on the witness stand, and therefore the judge
let it in.

4 There was no objection to the written 5 mitigating circumstance that was submitted. Therefore 6 the judge submitted it. The problem with the written 7 mitigating circumstance is, it had virtually no evidence 8 to back it up. The prosecutor is the one who made use 9 of that bit of writing in the sentencing instructions, 10 because he gave the prosecutor the opening for his 11 extremely effective jury argument about, if you send 12 this fellow to prison, he is going to rape other boy inmates in the jail, because that is the kind of inmate 13 14 he is, and you know that based on what you know about him, and on this record. That is why there was no 15 objection. I don't think the trial judge was all that 16 17 confused. His trial judge made no sui sponte rulings 18 that I am aware of during this entire -- during this entire --19

QUESTION: Well, there is certainly some evidence of confusion in the transcript on Page 11, where counsel says I am offering it to prove what did happen, and the trial judge says, well, his answer is such, he indicates he still thinks it is being offered to prove future conduct.

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MR. BRUCK: Well, it is being offered to provefuture conduct, his past conduct. That is the reason --

QUESTION: Well, yes. I suppose you could say that, but I don't think it is entirely fair to say that the trial court rulei absolutely consistently throughout.

7 MR. BRUCK: The main point I really got to my feet again to make was simply in the answer to Justice 8 9 O'Connor's questions and the answers to Justice Steven's 10 question were not correct. That the state gave the law 11 in South Carolina could not be clearer that the issue of future good conduct in prison is inadmissible as a 12 matter of law. In this case, the South Carolina Supreme 13 Court cited Koon I in saying that evidence of future 14 adjustability or allotability to prison was properly 15 excluded. 16

A few months later, in State against Elmore, 17 the case -- the South Carolina court made in identical 18 ruling based purely -- .n a case which involved only the 19 exclusion of three prison guards who had had this man 20 for two years, and when the South Carolina Supreme Court 21 22 upheld the exclusion of that testimony, solely evidence that there is prior good conduct, the state court cited 23 State against Skipper as ther authority, so I don't 24 think there is any question about what South Carolina 25

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1 law is.

2	My last point that I would like to make is
3	simply that the state in a at the last moment said
4	that the evidence here is harmless. This Court has
5	never suggested that an actual violation the rule of
6	Lockett against Ohio, that an actual exclusion of
7	relevant mitigating evidence can ever be If there
8	ever could be harmless error, that is to say, if a
9	reviewing court were ever able to say with certainty
10	that an error of this sort could not have affected the
11	jury's judgment, surely this is not the case. For all
12	we know based on this record, Ronald Skipper is on death
13	row totay precisely because of the argument that the
14	state made which he was prevented from responding to
15	with competent evidence and the state's own jailers
16	prepared, ready, and willing to testify on his behalf,
17	and on that basis we submit that this death sentence may
18	not be carried out consistent with the commands of the
19	Eighth Amendment.
20	Thank you.
21	CHIEF JUSTICE BURGER: Thank you, counsel.
22	The case is submitted.
23	(Whereupon, at 1:32 o'clock p.m., the case in
24	the above-entitled matter was submitted.)
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#84-6859 - RONALD DERAY SKIPPER, Petitioner v. SOUTH CAROLINA

and that these attached pages constitutes the original transcript of the proceedings for the records of the court.

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BY Paul A. Richardon

(REPORTER)



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