

OFFICIAL TRANSCRIPT PROCEEDINGS BEFORE

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WASHINGTON, D.C. 20543

THE SUPREME COURT OF THE UNITED STATES

ORIGINAL

DKT/CASE NO. 84-48

TITLE UNITED STATES, Petitioner V. HUGHES ANDERSON BAGLEY

PLACE Washington, D. C.

DATE March 20, 1985

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IN THE SUPREME COURT OF THE UNITED STATES

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UNITED STATES, :

Petitioner, :

V. : No. 84-48

HUGHES ANDERSON BAGLEY :

- - - - -x

Washington, D.C.

Wednesday, March 20, 1985

The above-entitled matter came on for oral
argument before the Supreme Court of the United States
at 10:15 o'clock a.m.

APPEARANCES:

DAVID A. STRAUSS, ESQ., Assistant to the Solicitor

General, Department of Justice, Washington, D.C.; on
behalf of the petitioner.

THOMAS W. HILLIER, II, ESQ., Seattle Washington;

on behalf of the respondent, appointed by this Court.

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1 promises, or inducements made to prospective government
2 witnesses in exchange for their testimony.

3 In response to all the various discovery
4 requests, the government gave the defense a large number
5 of documents. Now, respondent elected to waive a jury
6 trial, and he was tried by District Judge Vorhees of the
7 Western District of Washington.

8 Among the witnesses against him were two state
9 law enforcement officers named O'Connor and Mitchell,
10 who had witnessed criminal transactions involving
11 respondent while they were operating in an undercover
12 capacity.

13 O'Connor and Mitchell were supervised during
14 the investigation of respondent by the Federal Bureau of
15 Alcohol, Tobacco, and Firearms, ATF. At trial, O'Connor
16 and Mitchell testified primarily about the firearms
17 charges.

18 Now, after the seven-day trial, Judge Vorhees
19 found respondent not guilty on the firearms charges, but
20 guilty on the narcotics charges. Some years later,
21 respondent filed a Freedom of Information Act request,
22 and he uncovered two form ATF contracts that had been
23 signed by O'Connor and Mitchell before the trial, but
24 that were not among the materials delivered by the
25 government to the defense.

1 Respondent then brought this action for
2 collateral relief under 28 USC 2255, alleging that if he
3 had known of these form contracts at the time of the
4 trial he could have used them to impeach O'Connor and
5 Mitchell.

6 Respondent's 2255 motion came before Judge
7 Vorhees. The prosecutor testified at a hearing that he
8 had been unaware of the form contracts, which apparently
9 were in ATF files, and that if he had been aware of
10 them, he would have given them to respondent.

11 Judge Vorhees found that well after the trial
12 O'Connor and Mitchell were each paid \$300 by ATF, but
13 that the ATF form contracts were blank when O'Connor and
14 Mitchell signed them, that no AFT representative signed
15 them until after the trial, and that no government agent
16 at any time had promised O'Connor or Mitchell
17 compensation for his services or his testimony or
18 anything else.

19 QUESTION: Mr. Strauss, may I interrupt? You
20 refer to these as form contracts. They do have some
21 typed information in them that I gather is tailor-made
22 for the particular -- for Mr. Bagley. Was that not
23 true?

24 MR. STRAUSS: That is right, Justice Stevens.
25 There was a finding, however, that at the time they were

1 signed by the witnesses, O'Connor and Mitchell, and in
2 fact at the time of the trial the only thing typed into
3 them was the unique identifier, a numerical code in the
4 upper righthand corner.

5 QUESTION: Not even the name Mitchell?

6 MR. STRAUSS: There was no finding about the
7 name Mitchell. I assume it was typed in at the time.

8 QUESTION: The language that he will provide
9 information regarding T1 and other violations committed
10 by Hughes A. Bagley and so forth, that was typed in
11 after the trial?

12 MR. STRAUSS: That is the finding.

13 QUESTION: I see. And it is based on -- whose
14 testimony was this?

15 MR. STRAUSS: I believe the testimony of the
16 supervising AFT agent, named Prins.

17 QUESTION: And I notice it is signed by three
18 officers of AFT, and they all signed after the trial,
19 too?

20 MR. STRAUSS: Yes, that is right.

21 Judge Vorhees further found that O'Connor and
22 Mitchell had at most a unilateral expectation that they
23 might receive some compensation for their services,
24 although not necessarily for their testimony.

25 Finally, Judge Vorhees ruled that since he

1 himself had found respondent guilty, he was uniquely
2 positioned to determine the impact that the AFT form
3 contracts would have had on the trial, and he stated
4 that he was convinced beyond a reasonable doubt that the
5 form contracts would have made no difference to his
6 verdict.

7 Now, as I said at the outset, the Court of
8 Appeals reversed and ordered that respondent be granted
9 a new trial. This happened in 1983, six years after
10 respondent was convicted. The Court of Appeals did not
11 explain at any point in its opinion why Judge Vorhees
12 was mistaken in concluding beyond a reasonable doubt
13 that his own verdict would not have been affected by the
14 form contracts.

15 Instead, the Court of Appeals stated, and I am
16 reading the last sentence of its opinion, "We hold that
17 the government's failure to provide requested Brady
18 information to the respondent so that he could
19 effectively cross examine two important government
20 witnesses requires an automatic reversal."

21 QUESTION: Mr. Strauss, the government here
22 doesn't challenge the application of the Brady doctrine
23 to purely impeaching materials, I take it.

24 MR. STRAUSS: We do not dispute that there are
25 some circumstances in which the prosecution has an

1 obligation to disclose purely impeaching material. That
2 is right, Justice Rehnquist.

3 QUESTION: And this case is one of them?

4 MR. STRAUSS: No, this case, we would submit,
5 is not one of them.

6 QUESTION: Can you imagine any way this case
7 could have gone the other way and for the Judge to say I
8 was wrong?

9 MR. STRAUSS: You mean for Judge Vorhees to
10 say? Oh, I think very much so, Justice Marshall.

11 QUESTION: I mean, I think it is a horrible
12 burden to put on somebody.

13 MR. STRAUSS: Well, I can understand, Justice
14 Marshall, where a judge might in fact have an
15 overreaction the opposite way and be insensed that this
16 material was not brought to his attention.

17 QUESTION: When you were told to explain why
18 you did something six years ago, your subconscious tells
19 you to defend it.

20 MR. STRAUSS: Well, I think that is certainly
21 right, Justice Marshall, and there are decisions of this
22 Court predicated on that, but Judge

23 QUESTION: But was he --

24 MR. STRAUSS: I am sorry, Mr. Chief Justice.
25 Judge Vorhees found respondent guilty on the basis of a

1 record which turned out according to respondent to be
2 incomplete, and I think Judge Vorhees might very well
3 have reacted the other way and said that if the
4 government was going to conceal this information, he
5 would teach it a lesson.

6 It is not obvious to me that the psychology
7 cuts in favor of the government.

8 QUESTION: When the case was tried before the
9 court without a jury, was any of this information before
10 the court?

11 MR. STRAUSS: These form contracts were not
12 before the court.

13 QUESTION: Yes, and that is the only issue in
14 the case, isn't it?

15 MR. STRAUSS: That is right, is whether those
16 had been --

17 QUESTION: So this was in effect something
18 newly discovered.

19 MR. STRAUSS: That is exactly right.

20 QUESTION: In making the judgment he made,
21 Judge Vorhees did not have to review any judgment or
22 decision he had previously made on the issue involved
23 here, did he?

24 MR. STRAUSS: That's right.

25 QUESTION: But, Mr. Strauss, wasn't there an

1 affidavit or something denying that anything like this
2 occurred that Judge Vorhees had?

3 MR. STRAUSS: There were affidavits that Judge
4 Vorhees had that the respondent but not the Court of
5 Appeals has made quite an issue of. These were
6 affidavits that were submitted to the respondent in
7 response to a different discovery request.

8 QUESTION: I know, but did Judge Vorhees have
9 those? Did he understand that there were no such
10 contracts from those affidavits?

11 MR. STRAUSS: He could not have understood
12 that there were no such contracts in those affidavits
13 because the affidavits made no such representation.

14 QUESTION: What did the affidavits show?

15 MR. STRAUSS: The affidavits were long,
16 detailed recountings of the undercover dealings between
17 the witnesses and the defendant, and they concluded with
18 a recital that said, I have made these statements free
19 of threats or promises or promises of reward. Each of
20 them concluded with that boilerplate recital.

21 QUESTION: And O'Connor and the other officer
22 signed those affidavits?

23 MR. STRAUSS: They signed those affidavits.

24 QUESTION: And Judge Vorhees had those?

25 MR. STRAUSS: It is not clear. I could not

1 find any place where those affidavits were actually
2 introduced into evidence by respondent. Respondent had
3 the affidavits. They were Jencks Act material given to
4 respondent.

5 QUESTION: But those statements were clearly
6 false when they said they were free of promise of
7 reward, because there is a promise of reward in these
8 form contracts.

9 MR. STRAUSS: No, that is not right, Justice
10 Stevens. The statements were not false. There was a
11 finding of no promises. They are not false for several
12 reasons. There is a finding that no promises were made
13 to these witnesses, that at most they had a unilateral
14 expectation that something would be given to them.

15 QUESTION: Yes, but might not the Judge have
16 concluded that based on that statement that Justice
17 Stevens referred to in those affidavits?

18 MR. STRAUSS: The judge who concluded that was
19 the judge on habeas proceedings --

20 QUESTION: I see.

21 MR. STRAUSS: -- who had before him -- the
22 entire record before him.

23 QUESTION: Not the trial judge?

24 MR. STRAUSS: Not the trial -- he was the
25 trial judge, but he was the trial judge sitting in the

1 habeas proceeding. It was the same judge, but he
2 concluded that at the time of the 2255 motion.

3 QUESTION: The form document says, the United
4 States will pay to said vendor a sum commensurate with
5 services and information rendered.

6 MR. STRAUSS: The testimony, Justice Stevens,
7 that they gave was that while they were conducting this
8 investigation, they were busy signing a lot of forms
9 that were shown to them by the case agent, and they
10 basically didn't know what the forms were for.

11 QUESTION: They gave that testimony before the
12 magistrate, not before the judge.

13 MR. STRAUSS: That's right.

14 QUESTION: They never said that to the judge.

15 MR. STRAUSS: That's right, but the judge
16 upheld the magistrate's finding.

17 QUESTION: When was that? Was that at the
18 time of the original trial or at the habeas proceeding
19 years later?

20 MR. STRAUSS: That was in the habeas
21 proceeding in 1981.

22 QUESTION: This is five or six years later.

23 MR. STRAUSS: Yes.

24 QUESTION: So the judge's decision did not
25 involve in any sense reviewing his own prior judgment.

1 MR. STRAUSS: That is right.

2 QUESTION: How can you say that? He made a
3 finding of fact that his own prior judgment would not
4 have been different based entirely on the prior
5 judgment.

6 MR. STRAUSS: The prior judgment was based on
7 different evidence from the evidence that was before him
8 at the --

9 QUESTION: But it involved a complete review
10 of the prior judgment, did it not? Is that not your
11 whole argument?

12 MR. STRAUSS: I don't know whether that
13 constitutes review of the prior judgment or not. It
14 does not constitute review in the sense of any appellate
15 review or of any second guessing of the judgment.

16 QUESTION: How could he have said he would
17 have made the same decision without knowing what he
18 decided in the first place?

19 MR. STRAUSS: Well, of course he knew what he
20 decided in the first place, but the point is that the
21 judge had no -- the factors that operate in cases like
22 Santa Bella to cause judges to have a commitment to the
23 decision they previously reached so that they will be
24 unwilling to overturn that decision do not operate here,
25 because there is new evidence.

1 And as I suggested in my colloquy with Justice
2 Marshall, may even operate in the opposite direction,
3 because the judge may be annoyed at the suppression --
4 at the alleged suppression of evidence by the
5 government.

6 QUESTION: May I -- just one other question.
7 In response to Justice White, I understood you to say
8 that this was not Brady material that there was any
9 obligation to produce. Is that your position?

10 MR. STRAUSS: I am not sure that the
11 government would have had an obligation. Well, no, I am
12 sure. The government would not have had an obligation
13 to produce this material, I think, in the absence of a
14 request.

15 QUESTION: Did this material relate to the
16 narcotics charge or the firearms charge?

17 MR. STRAUSS: The witnesses testified on both,
18 but their testimony was primarily on the firearms
19 charges.

20 QUESTION: And they were acquitted on that
21 charge?

22 MR. STRAUSS: They were acquitted on that
23 charge. That is right.

24 QUESTION: Once again, why isn't it Jencks
25 Act?

1 MR. STRAUSS: It is not Jencks Act, Justice
2 Marshall, because it is not a statement. It is not
3 Brady material in my view because this is simply not
4 evidence that seriously draws into question the
5 witnesses' credibility.

6 QUESTION: I thought you said that the
7 government apologized for not knowing it was there.

8 MR. STRAUSS: The United States attorney said
9 that if he had had these documents --

10 QUESTION: Well, why would he do that if he
11 wasn't required to produce it?

12 MR. STRAUSS: I think it would have been very
13 good practice for him to do it. I think he gave the
14 right answer. It would make -- one of the features of
15 the law in this area is that it really makes no sense
16 for the United States attorney not to go overboard and
17 to do far more than he is obligated to do, because if he
18 doesn't, what is likely to happen is just what happened
19 in this case. Six years down the road these documents
20 will be uncovered and he will have to relitigate all of
21 these issues.

22 QUESTION: Well, Mr. Strauss, it is your
23 position that the request of the defense counsel when
24 requesting prior criminal records of witnesses to be
25 called by the government and any deals, promises, or

1 inducements made to the witnesses simply didn't cover
2 the document that had been given to the witnesses to
3 sign?

4 MR. STRAUSS: We think that is right, Justice
5 O'Connor, although that is not the argument we are
6 primarily relying on. The request was for deals,
7 promises, or inducements made in return for the
8 testimony. That is different from whether the
9 government would compensate these people for their
10 services.

11 I think it is one thing to compensate a person
12 for specifically giving certain testimony in court and
13 another to say that because he has performed certain
14 undercover services he will receive compensation.

15 QUESTION: That is a pretty fine line you are
16 trying to draw, isn't it?

17 MR. STRAUSS: I think there is a difference in
18 the effect that would have on the credibility of the
19 witness, but I would add that that is not -- our primary
20 argument is not --

21 QUESTION: The fact finder would certainly
22 regard as rather substantial evidence that a government
23 witness was being paid, whether in return for a promise
24 or anything else, wouldn't he?

25 MR. STRAUSS: I think --

1 QUESTION: Attorneys would love to have
2 evidence like that, wouldn't they?

3 MR. STRAUSS: I think that is probably right,
4 Justice --

5 QUESTION: And you suggest it is not good
6 impeachment material?

7 MR. STRAUSS: I think a defense attorney
8 probably would want to inquire into that. The defense
9 attorney in this case --

10 QUESTION: Would want to? I would think he
11 would be ineffective if he didn't.

12 MR. STRAUSS: Well, one of the findings that
13 the District Judge made in this case was that the
14 defense attorney's cross examination tactic was not to
15 try to undermine the witness's credibility, but to try
16 to enlist them on his side.

17 QUESTION: But he didn't know of the existence
18 of these contracts when he was cross examining these
19 witnesses.

20 MR. STRAUSS: He didn't know of the existence
21 of these form contracts.

22 QUESTION: If he had, he would certainly have
23 been after them, wouldn't he?

24 MR. STRAUSS: There is no finding to that
25 effect, and there is no reason to think that.

1 QUESTION: Common sense would tell you that.

2 MR. STRAUSS: Well, I think --

3 QUESTION: He asked for them, didn't he?

4 MR. STRAUSS: He asked for deals, promises --
5 he made an omnibus request for deals, promises, or
6 inducements in exchange for the testimony, not for
7 anything specific like this.

8 One possible cross examination approach,
9 Justice Brennan, would have been to pursue that line,
10 without a doubt, but this defense attorney did not
11 pursue that line. He never even asked --

12 QUESTION: Mr. Strauss, the judge on habeas
13 certainly wouldn't have gotten to a harmless error
14 analysis unless he thought there was error, and he
15 couldn't have found that there was error unless he
16 thought there had been concealment of material
17 information.

18 MR. STRAUSS: I think, Justice White --

19 QUESTION: And you suggest that it wasn't even
20 material.

21 MR. STRAUSS: I think, Justice White, that the
22 judge on habeas found there was harmless error in order
23 to avoid having to rule whether it was even material or
24 within the request at all. He looked at the documents
25 and said, these wouldn't have made any difference to me

1 and there is no point in pursuing this matter further.

2 QUESTION: Well, I know, but identifying
3 material to show possible bias, I think that is enough
4 of a finding of materiality.

5 MR. STRAUSS: These were blank -- the finding
6 was, these were blank contracts with only the witnesses'
7 signatures on it, that no promises of any kind were made
8 to the witnesses, and that ultimately the witnesses were
9 paid some money, well after the trial.

10 I think to suggest that the witnesses'
11 credibility would have been substantially impaired at
12 all by the fact that they signed one of these documents
13 I think is incorrect.

14 QUESTION: Didn't the government furnish these
15 documents? These weren't things that the witnesses made
16 up on their own. Presumably the government investigator
17 handed them to them and said, here, sign this, and at
18 the appropriate time we will consider making a payment,
19 or something of that sort. Isn't that what happened?

20 MR. STRAUSS: Essentially, that's right,
21 Justice O'Connor.

22 QUESTION: How much did they get paid?

23 MR. STRAUSS: \$300 apiece.

24 QUESTION: Plus expenses?

25 MR. STRAUSS: Plus expenses.

1 QUESTION: You don't seem to -- you just
2 wouldn't be satisfied with winning this case on the
3 basis that you won it before the habeas judge?

4 MR. STRAUSS: We would be more than satisfied
5 to win this case on that basis.

6 QUESTION: Well, that is just on a harmless
7 error basis. It isn't on a no materiality basis or on
8 reviewing this on a lesser, less than a harmless error
9 basis.

10 MR. STRAUSS: The question we presented
11 concerned the harmless error issue, and we would be more
12 than happy just to get rid of the Ninth Circuit's
13 automatic reversal rule, which we think is completely
14 unfounded.

15 QUESTION: Well, if we disagreed with that,
16 wouldn't we remand to have them review the District
17 Judge's determination of harmless error?

18 MR. STRAUSS: I think that is right, Justice
19 White. If you disagreed with the automatic reversal
20 rule, you would have to remand for that.

21 QUESTION: You certainly go much farther than
22 that in the brief. In the brief you want to review this
23 error, if there is one, on the basis of -- on a lower
24 basis than the harmless error.

25 MR. STRAUSS: Well, than the constitutional

1 harmless error rule. That is right, Justice White, for
2 just that reason, that if the Court should remand,
3 little purpose would be served by having the Court
4 simply remand to have the Ninth Circuit review it under
5 a harmless error rule that we think is unnecessarily
6 strict to us and not necessarily generous to --

7 QUESTION: Well, you think it is unnecessarily
8 strict, but it certainly is indicated by our prior
9 cases, isn't it?

10 MR. STRAUSS: I don't think that's right,
11 Justice White. What the prior cases of the Court
12 indicate is that this is the approach to be applied in
13 cases where the government has knowingly introduced
14 perjured testimony, and it is our submission that this
15 sort of --

16 QUESTION: Constitutional error. If there is
17 an error, it is a constitutional error.

18 MR. STRAUSS: It is the standard that applies
19 to constitutional error.

20 QUESTION: But we shouldn't review that on the
21 ordinary harmless error basis.

22 MR. STRAUSS: The failure to disclose these
23 documents is not constitutional error. There is no
24 provision in the Constitution that says the government
25 has to disclose these documents. The question is what

1 standard of materiality.

2 QUESTION: Well, if there isn't any error --
3 what kind of an error is it if it isn't a constitutional
4 error?

5 MR. STRAUSS: It is an error if it leads to
6 the trial being unfair. The question is --

7 QUESTION: That isn't a constitutional error?

8 MR. STRAUSS: If the trial is unfair it is
9 certainly a constitutional error. That's right. But
10 the prior question is whether the non-disclosure of
11 these relative, rather innocuous documents infected the
12 trial with unfairness.

13 QUESTION: What case is it that requires the
14 government to turn over statements that are merely
15 impeaching as opposed to exculpatory?

16 MR. STRAUSS: The Court has never squarely
17 held that the government has to turn over such
18 material. I think that is right, Justice Rehnquist.
19 But as we said, we don't dispute --

20 QUESTION: You want us to decide that they
21 really do have an obligation under Brady to turn it
22 over?

23 MR. STRAUSS: We, as I said, don't dispute
24 that in some circumstances --

25 QUESTION: If we judge the case on that basis,

1 then, then we are assuming a constitutional error.

2 MR. STRAUSS: I don't follow that, Justice
3 White. If the Court -- we would be happy if the Court
4 were to assume that these documents were in some sense
5 probative or relevant or useful, but to find that the
6 automatic reversal rule applied by the Court of Appeals
7 is not a correct one.

8 QUESTION: But then what? Assuming that, that
9 this standard was the wrong -- that the Court of Appeals
10 was wrong on saying there should be an automatic
11 reversal, what then? If we assume that there was a
12 constitutional error, what do we do?

13 MR. STRAUSS: I don't see why this -- the
14 assumption is not that there was constitutional error,
15 Justice White. The failure to disclose information like
16 this is not constitutional error. All that the failure
17 to disclose information like this does is raise a
18 question whether the trial was fair.

19 And the issue is how material this evidence
20 must be in order to cause us to question the basic
21 fairness of the trial.

22 Our submission is that it is not necessary to
23 find that the evidence would not have affected the
24 outcome beyond a reasonable doubt in order to conclude
25 that the trial was fair, that a much lower threshold is

1 appropriate.

2 The Court dealt with an issue quite similar to
3 this, obviously, in United States against Agurs, where
4 the Court specified a standard of materiality for cases
5 in which the defense either does not request information
6 from the government's files or makes a general request,
7 and the Agurs standard is that the defendant must show
8 that the undisclosed evidence raises a reasonable doubt
9 about his guilt.

10 Now, it seems to us that that standard would
11 be an appropriate standard to apply here rather than the
12 harmless beyond a reasonable doubt test that the --

13 QUESTION: May I ask you a factual question,
14 Mr. Strauss, on this question of whether it was a
15 general request or a specific request? You mentioned
16 the eleven different requests. Actually, I guess it is
17 eleven paragraphs in one request for information.

18 And the eleventh paragraph said, "all
19 information which would establish the reliability of the
20 Milwaukee Railroad employees in this case whose
21 testimony formed the basis for the search warrant."

22 Are they the same two employees?

23 MR. STRAUSS: I think they are, Justice
24 Stevens.

25 QUESTION: And so wouldn't this have also been

1 responsive to that paragraph?

2 MR. STRAUSS: If it was responsive to
3 Paragraph 6, which is the one we are disputing, then I
4 think it would be responsive to that as well. We would
5 dispute that this has --

6 QUESTION: The reason I raised it, it seems to
7 me this may be relevant to the specificity of the
8 request, whether it is covered by more than one
9 paragraph.

10 MR. STRAUSS: The respondent has never relied
11 on Paragraph Eleven as a basis for this.

12 QUESTION: I realize that, but I don't know
13 why.

14 MR. STRAUSS: Well, I think probably, Justice
15 Stevens, because it was understood that this had to do
16 with collateral proceedings attacking the search
17 warrant, and not with the trial in chief, but that is
18 speculation.

19 QUESTION: I see.

20 MR. STRAUSS: As I said, the Agurs standard
21 applies in cases where there has been a general request,
22 and this really follows from Justice Stevens's last
23 question. The request in this case was for deals,
24 promises, or inducements. And in our view, it is not
25 appropriate to regard that as a specific request in the

1 contemplation of Agurs.

2 If a prosecuting attorney receives a truly
3 specific request, a request, for example, for the
4 criminal record of the victim, or a request also from a
5 defendant contemplating a defense of self-defense for
6 any evidence in the government's possession that the
7 victim committed acts of violence.

8 If a prosecuting attorney receives a request
9 like that, we know with some clarity precisely what he
10 is to do. He is to go to his files and look for certain
11 specific information, and he is to give instructions to
12 the law enforcement officers working on the case that
13 they are to come forward with certain specific documents
14 or evidence if they have it.

15 It seems to us that when a prosecuting
16 attorney receives a request like respondent's for deals,
17 promises, or inducements, he is really in no better
18 position than he would have been in if he had received
19 no request at all. He has a duty under Brady and
20 Agurs.

21 QUESTION: Well, suppose he had had a request
22 for the names of any proposed witnesses who would be
23 paid for their testimony. Would that be specific
24 enough?

25 MR. STRAUSS: Who would be paid for their

1 testimony? Yes, certainly, Justice Marshall.

2 QUESTION: Really, that is what they wanted
3 here, wasn't it?

4 MR. STRAUSS: No, Justice Marshall. There is
5 no finding that they were paid for their testimony.

6 QUESTION: It is just that they testified and
7 they were paid.

8 MR. STRAUSS: They performed undercover
9 operations over a period of several months, and then
10 were compensated for that.

11 I would like to save the balance of my time.

12 QUESTION: May I ask just one other factual
13 question? You did mention a unique identifying number
14 was on the contract when signed. I notice that the
15 number is 490,803,000,000 and some more, which indicates
16 there probably have been quite a few of these forms
17 executed over the years.

18 (General laughter.)

19 QUESTION: Would this not be a standard thing
20 that a prosecutor would inquire about if there are that
21 many of them floating around?

22 MR. STRAUSS: I don't know, Justice Stevens.
23 My offhand reaction would be that that proves too much,
24 because there obviously weren't that many.

25 QUESTION: Well, I don't know how many people

1 you have at \$300 apiece providing information. There
2 could be a lot of them.

3 MR. STRAUSS: Contracts. I don't know the
4 answer.

5 CHIEF JUSTICE BURGER: Mr. Hillier.

6 ORAL ARGUMENT OF THOMAS W. HILLIER, II, ESQ.,
7 ON BEHALF OF THE RESPONDENT, APPOINTED BY THIS COURT

8 MR. HILLIER: Thank you, Mr. Chief Justice.
9 If it please the Court, the Ninth Circuit Court of
10 Appeals found that the respondent's constitutional right
11 to confront and cross examine his accusers was
12 materially obstructed as a result of the government's
13 failings in this case.

14 As a result, at issue here is whether the
15 Court of Appeals correctly reasoned that the
16 non-disclosure in this case resulted in constitutional
17 error and whether it applied the appropriate standard in
18 reaching that decision.

19 We obviously disagree with the petitioner's
20 position that the Court of Appeals failed to consider
21 the issue of materiality at all, and we take strenuous
22 exception to its characterization of this sort of
23 evidence that wasn't disclosed as non-constitutional,
24 and its attempts to sort of trivialize its
25 meaningfulness within the context of this case.

1 And I think in order to resolve the case and
2 to really, truly determine what the character of that
3 evidence was in relation to your decision, we have to
4 look to the facts, because there are several facts, as
5 you have already discussed in your questions of counsel
6 for the petitioner, which are especially relevant to
7 this case.

8 QUESTION: Were you trial counsel?

9 MR. HILLIER: I was not, Your Honor.

10 QUESTION: Were you counsel in the habeas?

11 MR. HILLIER: An assistant federal public
12 defender in my office was, Your Honor.

13 QUESTION: I see.

14 MR. HILLIER: I have represented Mr. Bagley on
15 prior occasions, but I was not counsel in this case.

16 The developments which occasioned this case
17 occurred back in April and May of 1977, and during that
18 time these two railroad employees were under the direct
19 supervision of one single case agent in this case, a
20 gentleman by the name of Mr. Norm Prins.

21 Between April 12th and May 4th of 1977, the
22 two of them executed four separate affidavits each, a
23 total of eight affidavits, detailing their contacts with
24 Mr. Bagley within the context of their investigation,
25 and at the end of each one of those affidavits, the

1 boilerplate paragraph indicated by counsel stated that
2 they had received no rewards or promises of rewards in
3 return for the statement that they had just made.

4 On May 3rd, 1977, the day before each of these
5 individuals signed in the presence of Mr. Prins, they
6 executed the contract which is at issue here today, a
7 contract which is labeled For the Purchase of
8 Information and Payment of Lump Sum Therefore.

9 On May 3rd they executed that contract,
10 indicating an expectation, an objective expectation of
11 return for their investigative efforts. On the
12 following day, they executed again affidavits indicating
13 that they had not. Mr. Prins supervised and observed
14 both of these signings one day apart.

15 Pretrial, as indicated, Mr. Lundine, who was
16 representing Mr. Bagley, filed a comprehensive Brady --
17 or a comprehensive discovery motion. It is important to
18 note that this pretrial request came in November of
19 1977, a year after the Agurs decision, which required
20 some sort of specificity if we are going to have the
21 complaint that we do here today.

22 Within that pretrial discovery request, Mr.
23 Lundine requested, as indicated, any promises or
24 expectation -- promises or inducements made to witnesses
25 in exchange for testimony, but that is not all, and we

1 don't rely upon that solely. As we indicated in our
2 brief, the request was comprehensive. It goes on.

3 If I could, and it is in the record, of
4 course, Mr. -- pursuant to Brady in a subsequent
5 paragraph Mr. Lundine requested "notice and copies of
6 all material in possession of the government which is
7 exculpatory, which may be favorable to the defendants or
8 would assist in the preparation of the defense,
9 including promises or representations of any kind made
10 to government witnesses."

11 And finally, he stated, "I would also request
12 any information which goes to the reliability of the two
13 government witnesses in this case that are the subject
14 of this inquiry as it relates to the search warrant."

15 So, there were three separate recitals in that
16 discovery motion requesting information about any sorts
17 of inducements or representations or promises which may
18 have been made to the witnesses in this case which may
19 in effect taint their testimony.

20 In that same month, on November 30th, the
21 government responded to that request and indicated in
22 their discovery response that all statements made by
23 these two witnesses had been turned over to the defense,
24 including the affidavits which are at issue here.

25 It is interesting to note that in that

1 response government counsel represented that these were
2 the two principal government witnesses. Missing, of
3 course, was the contract to purchase and pay for
4 information provided in return for their services.

5 We submit that Agent Prins, who failed to
6 disclose that document, however, didn't forget about
7 it. Trial commenced in mid-December, from December 12th
8 until December 23rd.

9 On December 21st, before the trial was even
10 over, Agent Prins went to his supervisor with the
11 contracts in question and requested payment to each of
12 these individuals, \$500, in return, as he stated in his
13 documents, which are before the Court, for their
14 investigative efforts and for their testimony at trial.

15 During trial Agent Prins did this, despite
16 having turned over nothing with regard to those
17 representations to counsel for the accused.

18 QUESTION: Mr. Hillier, it seems to me another
19 fact you have got to contend with since you are
20 stressing the facts is that we are not here just judging
21 the conduct of some particular government witness.

22 We have a habeas proceeding in which Judge
23 Vorhees after this bench trial that you are just
24 describing had all the information that was apparently
25 withheld and said it just wouldn't have made any

1 difference to him.

2 Now, the Ninth Circuit has said to Judge
3 Vorhees, go ahead and try this case again. That just
4 seems like a lot of beating around the mulberry bush.
5 Judge Vorhees is going to come out the same way.

6 MR. HILLIER: Well, Judge Vorhees, when this
7 case -- if this case is returned to Judge Vorhees, will
8 probably preside over a jury trial, I would expect, Your
9 Honor, so I don't think, and your cases suggest, Clancy,
10 Goldberg, and the like, that we can't speculate as to
11 what is going to happen again. We can't speculate what
12 could have happened.

13 QUESTION: No, but we can speculate as to
14 whether this error that you see would have had any
15 effect on Judge Vorhees' deliberation in this case, and
16 I would think he is a pretty good witness for that.

17 MR. HILLIER: Well, Judge Vorhees made a
18 determination based upon what the Court of Appeals
19 suggested was an improper constitutional analysis, and
20 also his factual basis was undermined.

21 QUESTION: But he does say, this wouldn't have
22 made any difference to me in trying the case. You can
23 refine the constitutional arguments all the way you
24 want, but that really has some import in this case. The
25 Judge who tried it in a bench trial says, if I had known

1 all that you now tell me, I still would have come out
2 the same way.

3 MR. HILLIER: Justice Rehnquist, you are
4 correct, that is what he said, but why did he say that?
5 Judge Vorhees --

6 QUESTION: Does it make any difference why he
7 said it?

8 MR. HILLIER: Well, I believe it does, because
9 it goes to the factual underpinnings for his decision,
10 the facts which you must analyze now when you are
11 independently reviewing the constitutional basis for his
12 decision. Judge Vorhees indicated that, I make this
13 finding because the testimony of these agents as it
14 regarded the drug counts was largely exculpatory.

15 That simply wasn't the case. And in fact his
16 verdict belies that. In reality, and contrary to what
17 government counsel has indicated, what happened here,
18 these two witnesses were key not only in the preparation
19 of the entirety of the government's case, but they were
20 the only witnesses produced by the government on the
21 drug count. They were key --

22 QUESTION: Was there other evidence other than
23 the testimony of these two witnesses that would have
24 established

25 MR. HILLIER: The government -- excuse me.

1 QUESTION: -- the defendant's guilt on the
2 drug counts?

3 MR. HILLIER: Excuse me, Your Honor. The
4 government presented no other evidence except for these
5 witnesses as relates to the drug counts. These
6 witnesses -- and I think that it is important when
7 reviewing your cases as to whether this information is
8 material, Chief Justice --

9 QUESTION: I mean, there were no statements or
10 confessions or admissions by the defendants themselves
11 that were in evidence relating to the drug counts?

12 MR. HILLIER: As to the nine counts that were
13 reduced down to simple possession, there was
14 contradictory evidence to the agents in the record. As
15 to the two delivery counts, there was testimony from the
16 defendant himself at trial, but there was no other
17 evidence which was produced by the government.

18 The defendant himself took the stand and
19 acknowledged that he had made deliveries, but that they
20 were not of the sort contemplated or charged by the
21 government in its indictment.

22 QUESTION: Deliveries of what?

23 MR. HILLIER: Of controlled substances,
24 prescribed, controlled substances, his own controlled,
25 prescribed -- prescribed, controlled substances.

1 QUESTION: What was his testimony again?

2 MR. HILLIER: His testimony was that he did
3 not make the deliveries as urged by the prosecution in
4 the case, but he acknowledged that he had prescribed
5 drugs himself that he had given to individuals in the
6 past, including these two agents. But his testimony was
7 in contradiction to the facts that they attempted to
8 present to the court in --

9 QUESTION: Was that cumulative testimony or
10 otherwise?

11 MR. HILLIER: The defendant's testimony?

12 QUESTION: No, the government's testimony.
13 Was it cumulative?

14 MR. HILLIER: Well, the government's
15 testimony, of course, came first, and our argument here
16 is that the defendant was denied the opportunity to
17 prepare a defense which contemplated effectively cross
18 examining these individuals in anticipation of
19 discrediting them.

20 The reason they didn't do that, of course, was
21 because the government failed to disclose information
22 that they had a right to.

23 QUESTION: Would his own admissions and his
24 own testimony be sufficient to support Judge Vorhees'
25 decision?

1 MR. HILLIER: Your Honor, I think that in
2 order to answer that question, you have to presume that
3 the error which occurred did not constitutionally impact
4 the defendant's rights.

5 QUESTION: Wel, no, that is going around in a
6 circle. I am asking you a very simple question. I will
7 start over.

8 If the only testimony before the court was the
9 testimony that the defendant himself gave, would it
10 support a guilty decision?

11 MR. HILLIER: I think the simple answer to
12 that is, I am not entirely certain. If the exact drugs
13 which were charged in the indictment were the ones which
14 he testified to delivering, I think that you are
15 correct, but of course the defendant ought not to be put
16 in that position of having to -- I think what the
17 government is attempting to do is to put the cart before
18 the horse here. What we are trying to argue --

19 QUESTION: He testified voluntarily, didn't
20 he?

21 MR. HILLIER: Well, he did, but the strategy
22 employed there was, there was a need to confront the
23 credibility of these witnesses. They had not any
24 opportunity to do that pretrial or during trial, during
25 cross examination because of the denial of the existence

1 of promises made to these witnesses.

2 QUESTION: Are you suggesting that if they had
3 -- if the defense had been able to have this material so
4 as to challenge the credibility of the two government
5 witnesses, that then he might not have taken the stand
6 and disclosed his guilt?

7 MR. HILLIER: That is entirely possible, Your
8 Honor, and again, what your teachings have been, this
9 Court's teachings are that we cannot speculate as to
10 what might have happened. What we are required to do
11 here is to look at the nature of the error, to analyze
12 the character of the evidence to determine whether its
13 non-disclosure may have impacted a constitutional
14 right.

15 The constitutional right here is the right to
16 cross examine on a material witness, a key witness, and
17 that wasn't accomplished because the government in
18 effect affirmatively said that there were no promises
19 made to these people by handing over the affidavits
20 which were presented, by Agent Prins's failure to
21 disclose, and, we submit, wilfull withholding of those
22 documents.

23 QUESTION: Let me go back to Justice
24 Rehnquist's question. This is a hypothetical. The same
25 type of trial as this, and they produced these two

1 contracts, and the judge, trying it without a jury,
2 says, I have gone over the evidence, including these
3 contracts, which I disregard, and find him guilty.

4 Would that stand up on appeal?

5 MR. HILLIER: Well, I --

6 QUESTION: On habeas? Anything else?

7 MR. HILLIER: Yes --

8 QUESTION: Would that stand up?

9 MR. HILLIER: Perhaps I am misunderstanding
10 your hypothetical. Had the court actually received
11 these documents in evidence at trial?

12 QUESTION: Yes, sir.

13 MR. HILLIER: And the court said, I appreciate
14 the impeachment effort concerning these witnesses, I
15 find their testimony compelling nonetheless.

16 QUESTION: Yes, sir.

17 MR. HILLIER: I think that we would have a
18 hard time arguing that that case ought to be reversed,
19 because we would be arguing that the factual basis for
20 the court's decision was improper, and of course the
21 court --

22 QUESTION: So you admit you could not reverse
23 it?

24 MR. HILLIER: Well, if there were a factual
25 basis. What I am admitting is that if there were facts

1 which supported a finding of guilt --

2 QUESTION: The exact same facts of this case.
3 The only difference is, the two contracts were put in
4 evidence.

5 MR. HILLIER: Yes.

6 QUESTION: And the judge said, despite these,
7 I still find you guilty.

8 MR. HILLIER: Then we would be arguing to the
9 Court of Appeals that the court was in error in reaching
10 the decision it did, and we would have a difficult time
11 doing that, Your Honor.

12 But that isn't the issue here, and that is why
13 Judge Vorhees's analysis was incorrect. He did not
14 perceive the constitutional significance of analyzing
15 impeachment material pretrial to determine what strategy
16 the case may take.

17 Judge Vorhees did not have the benefit of this
18 Court's decisions in Strickland and Cronin, which came
19 more recently outlining what effective counsel really
20 is, and Justice O'Connor in her decision said, what we
21 are looking to within the Sixth Amendment context is
22 whether we can have justifiable reliance upon the
23 outcome.

24 And what that means in Justice Stevens's
25 opinion in Cronin was, a lawyer who is going to truly

1 test the adversarial process of the trial court
2 proceeding, and the way that you do that is through
3 cross-examination, of course, and Justice Stevens then
4 alluded to the Davis decision, which indicates that this
5 type of information, the ability to cross-examine a
6 government witness with impeaching information, is of
7 the most important kind of information when analyzing
8 that effective cross examination question, and that
9 failure to disclose it or to provide it or to limit is
10 constitutional error of the first magnitude, and that is
11 what we are dealing with here.

12 We never got to the point where we could
13 involve ourselves in that very necessary constitutional
14 right to effective cross examination of these
15 witnesses. Entire trial strategies were affected, and
16 as Justice O'Connor said in her opinion in Strickland,
17 ineffectiveness, or the effectiveness of counsel
18 includes the ability of counsel to make independent
19 decisions about how to conduct a defense.

20 We had a jury waiver in this case. We had no
21 cross examination of the key government witnesses. We
22 don't know what would have happened, and Clancy and
23 Goldberg and your other teachings suggest we should not
24 speculate as to what might have happened, but rather we
25 look at the character of the evidence which was

1 withheld, determined whether it was in fact of such
2 magnitude that it impacted the constitutional rights of
3 the defendants, and if it does, then you are going to
4 reverse under the harmless error doctrine.

5 And if there is government interference in
6 that process, actual government obstruction, then it is
7 presumptive error, and we submit, and the Court of
8 Appeals found in this case that the government's failure
9 to disclose and their affirmations that these witnesses
10 had had no promises concerning rewards, it was
11 tantamount to government interference with the ability
12 of counsel to conduct his defense.

13 QUESTION: Mr. Hillier, if we should agree
14 with you, what about the holding that this required
15 automatic reversal?

16 MR. HILLIER: Your Honor, as we indicated in
17 our brief, that holding is effectively dicta, and I
18 don't believe I am any happier with it than the
19 Solicitor General is. I expect had it not been there,
20 perhaps we wouldn't be here.

21 But the effect of that language, of course,
22 has to be dealt with, but what you look to in the
23 decision is whether in fact the Court of Appeals
24 analyzed the materiality, and of course the Court of
25 Appeals in reaching its decision alluded to Brady, to

1 Agurs, the standard set forth in Agurs, and to Davis,
2 and the Court of Appeals then said, looking at Brady,
3 the mandate of disclosure, and looking at Agurs, which
4 says a failure will seldom, if ever, be excusable where
5 there is a specific request, and this is a specific
6 request case, and if it impacts a cross examination
7 right, such as in Davis, then we are going to talk about
8 reversal.

9 I think that the decision needs clarity, and
10 that, of course, is the function of you at this point,
11 is to add some clarity to the Court of Appeals' opinion.

12 QUESTION: Well, I know, but do we do it, or
13 do we say they were wrong to require automatic
14 reversal? Do we send it back?

15 MR. HILLIER: That is not necessary, Your
16 Honor. I think what is necessary is to say that the
17 language is improvident within the context of this
18 decision, and that in looking at Giglio, there is a
19 requirement of materiality in non-disclosure cases, but
20 in this case there was materiality, so the result of the
21 Court of Appeals was correct, but this does not mean
22 that there is an automatic reversal rule that each case
23 has to be analyzed on a case-by-case basis, and that
24 instruction should be sent forward to the Courts of
25 Appeals.

1 To summarize, the constitutional basis for our
2 argument is, the government here did fail to disclose
3 information as mandated by Brady. The failure was to a
4 specific request, and under Agurs, those sorts of
5 failures are seldom, if ever, excusable. When looking
6 at the character of the evidence, as urged in the Agurs
7 decision, we find that it involved impeaching
8 information of critical importance.

9 To answer your question, Justice Rehnquist, it
10 is our opinion that Giglio did decide that impeaching
11 information fell within the purview of Brady, that is,
12 that it is favorable information, that is information
13 that must be presented to the accused within the mandate
14 of Brady.

15 And of course Davis, Napue, Giglio, and Brady
16 all talked about the importance of impeaching
17 information. The character of that evidence is
18 manifestly important to the accused. We look to the
19 counsel's effective assistance through cross examination
20 as mandated by Davis, Strickland, and Cronin, and we
21 find that there was no cross examination.

22 In fact, the trial court found that there was
23 no cross examination in this case, and that is
24 apparent. We rely upon the statements of the government
25 in this case.

1 And that brings us to the practicalities. We
2 are dealing in the federal criminal discovery process,
3 and as indicated in Agurs, it is not perfect. There is
4 not full disclosure, and we are going to have problems
5 like this from time to time.

6 Defense counsel in these situations is
7 singularly reliant upon the forthrightness of the
8 prosecutor in responding to government -- or to
9 discovery requests. We have no capability to look into
10 their file.

11 Here, where we ask for promises of reward or
12 remuneration for any kinds of representations or
13 inducements made to any witnesses, anything which might
14 shed light on his reliability. Then I agree that the
15 prosecutor was charged with going to his agent and
16 saying, hey, have you promised this guy anything? Is
17 there anything that we have got to let the defense
18 counsel know which may impact the credibility of the
19 witnesses in this case?

20 Well, the response to that in our case was to
21 produce affidavits which clearly suggested to the
22 contrary. As a result, cross examination was limited
23 effectively and materially, and the constitutional
24 rights of this defendant, we submit, were impermissibly
25 infringed.

1 QUESTION: May I just ask one question, Mr.
2 Hillier, there? It is true, however, is it not, that
3 the affidavits that were made available to you made it
4 clear that these two men were cooperating with the
5 government in an undercover capacity, even though they
6 didn't know they got specific payment for it?

7 MR. HILLIER: That's correct, Your Honor.

8 QUESTION: So there was some material that
9 could have been used for cross examination purposes,
10 even though they could not have made this particular --

11 MR. HILLIER: There was the ability to cross
12 examine the agents as to the factual basis for their
13 conclusions. There was no ability to cross examine as
14 to their bias, their motive, their expectation of
15 remuneration.

16 QUESTION: Why not?

17 MR. HILLIER: Because there was an affirmative
18 representation by the government that they had had no
19 promises for payment.

20 QUESTION: Yes, but if there hadn't been those
21 representations, you normally would have asked them --
22 the trial counsel would normally have asked if they had
23 been paid or if any promises had been made to them. Is
24 that right?

25 MR. HILLIER: Your Honor, you are shooting in

1 the dark when you are doing that. If the government --

2 QUESTION: Well, so isn't a lot of cross
3 examination shooting in the dark?

4 MR. HILLIER: I suspect you are correct, Chief
5 Justice, but in this case we have had a representation
6 from the government that nothing had been made. It
7 certainly is not a failing on counsel's part to not have
8 asked that question, and if they had asked that
9 question, we don't know what the answer would have been,
10 and again, Goldberg and Clancy suggest that we don't
11 speculate. As Justice Brennan was discussing earlier --

12 QUESTION: I can tell you what the answer
13 would be if they had been paid and it was known they had
14 been paid. The first question the prosecution would
15 ask, have you been paid?

16 MR. HILLIER: Well, that's correct.

17 QUESTION: Isn't that the way it is done?

18 MR. HILLIER: That is the way it is done. In
19 this case --

20 QUESTION: And if it is not done that way, the
21 defendant automatically asks, are you paid?

22 MR. HILLIER: Well, I think --

23 QUESTION: Isn't that true?

24 MR. HILLIER: -- in the federal court we rely
25 upon the federal prosecutor, and when he said he wasn't

1 paid, we accepted that response, to our detriment, and
2 as indicated in Barbie, we don't even know if the U.S.
3 attorney knew.

4 QUESTION: Is that the adversary process?

5 MR. HILLIER: The adversary process does not
6 require that an attorney ask every question if the
7 question does not appear to be relevant or that a
8 response will not be favorable to the accused. If I ask
9 somebody if he is being paid expecting that he is going
10 to say no, then I am asking the wrong question, and I am
11 hurting my client because I am reinforcing the
12 credibility of that agent.

13 And even more so, in this case can you imagine
14 the possibilities with the cross examination. Here we
15 have an affidavit executed on May 4 saying I'm not
16 promising anything, and on May 3rd we have an affidavit,
17 a contract for purchase of information. Why, if that
18 had been turned over, the possibilities for effective
19 cross examination are immense, and it is particularly
20 immense because there was no monetary amount --

21 QUESTION: If the first one had been turned
22 over, you wouldn't have gotten those affidavits.

23 MR. HILLIER: Well, the affidavits would have
24 had to have been produced under Jencks, because they
25 were statements of the accused, or of the witnesses, so

1 the possibilities for cross examination, as I have
2 indicated, are tremendous, and they are even more
3 tremendous because there was no actual amount set forth,
4 so we are dealing with a person who is testifying and
5 hopes to get the most amount he can possibly get.

6 QUESTION: Mr. Hillier, what do you think is
7 the standard for judging the materiality of this failure
8 to produce? Is it the Agurs standard?

9 MR. HILLIER: It is the Chapman standard.

10 QUESTION: Why Chapman?

11 MR. HILLIER: Well, because we are dealing
12 with -- Agurs simply states that when you deal with
13 constitutional error, then you analyze it on the basis
14 of whether it was harmless beyond a reasonable doubt.
15 Agurs states -- Agurs does not --

16 QUESTION: Well, I know, but beyond a
17 reasonable doubt, that is a harmless error standard,
18 isn't it?

19 MR. HILLIER: It is a constitutional harmless
20 error standard.

21 QUESTION: I mean, it says there is an error,
22 but under Agurs there is no constitutional error at all
23 unless the omitted evidence creates a reasonable doubt
24 that did not otherwise exist. If the omitted evidence
25 creates such a reasonable doubt, there is constitutional

1 error, and you never reach a harmless error standard.
2 You can't reach a harmless error standard.

3 MR. HILLIER: The Agurs standard which you
4 have just --

5 QUESTION: Isn't that the Agurs standard?

6 MR. HILLIER: That is the non-constitutional
7 Agurs standard which applies in general or unspecific,
8 or general or no request situations. Agurs states --

9 QUESTION: Where do you get out of Agurs that
10 there is a different standard for a specific request?

11 MR. HILLIER: Agurs states where there has
12 been a specific request, then these kinds of situations
13 will seldom if ever be excusable, and if there is a
14 possibility that it might --

15 QUESTION: All that means to me is that seldom
16 if ever would there not be a reasonable doubt.

17 MR. HILLIER: Agurs went on to indicate that
18 if it might have affected the jury's verdict, then it is
19 reversible error under the strict disclosure standard.
20 That is what Agur said.

21 QUESTION: That is the same -- is there any
22 difference between that and just reasonable doubt?

23 MR. HILLIER: Absolutely, Your Honor. I think
24 in constitutional error cases, first of all, the
25 prosecutor bears the burden of indicating that it was

1 harmless. In the opposite, in the non-constitutional
2 situation --

3 QUESTION: What do you think this standard,
4 this reasonable doubt standard I just read to you is, a
5 constitutional error standard? I thought it was. What
6 kind of a -- is this a non-constitutional standard?

7 MR. HILLIER: Within the context -- ours is a
8 constitutional error, Your Honor, because there was a
9 failure to provide specifically requested evidence.

10 QUESTION: I am asking you now under the test
11 in Agurs for a general request.

12 MR. HILLIER: Yes. I agree with the Solicitor
13 General that the analysis of that kind of error is a
14 non-constitutional analysis.

15 It becomes constitutional error if the
16 defendant is able to meet his burden of persuasion in
17 that case of showing that notwithstanding the
18 non-constitutional nature of the disclosure in this
19 case, the failure to disclose, and it is
20 non-constitutional because there is no specific request,
21 or the prosecutor wasn't put in a position where he had
22 to respond, or the prosecutor responded dishonestly,
23 then the defense bears the burden of showing that it
24 interrupted the fair trial process of that particular
25 case.

1 Then it elevates to the constitutional -- then
2 it is constitutional error, but the analysis, the
3 standard of review is different.

4 QUESTION: Well, Mr. Hillier, even in
5 Strickland, which involved a Sixth Amendment right to
6 counsel, the standard applied was the Agurs standard of
7 establishing a reasonable probability that but for the
8 error, the result would have been different, and I
9 wonder if that isn't the appropriate standard here if
10 you are correct that there was an error.

11 MR. HILLIER: No, Your Honor, it would not be,
12 because Strickland was referring to the
13 non-constitutional error aspect of the Agurs decision.
14 It is our position -- and Agurs indicated that to
15 determine whether it is constitutional or
16 non-constitutional, you look to the character of the
17 evidence.

18 QUESTION: Strickland was a state case. How
19 could it be referring to some non-constitutional
20 standard?

21 MR. HILLIER: Your Honor, in order to get
22 here, of course, we have to urge that there is
23 constitutional error, but in reaching the determination
24 of whether there was constitutional error, there are
25 various standards which apply.

1 When there is a head-on collision with a
2 constitutional right, such as in Gideon where you say no
3 lawyer at all, then that is presumed, and you have that
4 collision. There are other types of error which result
5 from a non-constitutional basis, such as the failure to
6 disclose in the Agurs situation, which then require a
7 different standard of review from the court to determine
8 whether --

9 QUESTION: It would still have to be a
10 constitutional issue to reach any state case, or a
11 federal case either.

12 MR. HILLIER: Sure. Yes, Your Honor. That is
13 true.

14 QUESTION: It sounds to me like you are
15 putting the cart before the horse. After you meet the
16 standard, then you know whether there has been a
17 constitutional error or not. You don't get here
18 assuming there is a constitutional error. You allege
19 there is one, but you apply the standard in order to
20 determine whether it is. Isn't that correct?

21 MR. HILLIER: Yes, Your Honor.

22 QUESTION: Let me see if I have your position
23 clear. If in the prosecution the only evidence from
24 whatever source was the same evidence that this man, the
25 defendant, gave on the stand, testifying in his own

1 behalf, and a jury returned a verdict of guilty, would
2 that verdict have been assailable?

3 MR. HILLIER: Your Honor, under that
4 hypothetical, we would have difficulty, but that is --
5 that presumes that there was not the constitutional
6 violation, the confrontation which existed --

7 QUESTION: It presumes nothing. I have put a
8 simple hypothetical here. The only evidence is the
9 evidence that he gave, whether from his mouth or from
10 others, and the jury accepted that and found and
11 returned a verdict of guilty. Do you think there would
12 be anything really wrong with that verdict?

13 MR. HILLIER: Well, I guess --

14 QUESTION: In a legal sense. Would it be
15 assailable?

16 MR. HILLIER: I think that again the counsel
17 always has a difficult time assailing the verdict of a
18 trier of fact. What we are alleging, however, is a
19 constitutional impediment which affected that burden,
20 and that is why --

21 QUESTION: That is not in my hypothetical.

22 MR. HILLIER: I understand that, Your Honor.
23 And in response to your question, of course, absent
24 constitutional error, if a jury returns a verdict based
25 upon evidence presented, we are going to have a

1 difficult time arguing to a Court of Appeals.

2 QUESTION: Now take the same hypothetical, but
3 not a jury, a judge makes that finding. He could not be
4 reversed unless it were found to be clearly erroneous.
5 Is that not so?

6 MR. HILLIER: Yes, Your Honor, in the absence
7 of a constitutional error which affected that decision,
8 then we would --

9 QUESTION: Well, in my hypothetical there is
10 no constitutional question.

11 MR. HILLIER: I understand that, and in
12 response to your hypothetical --

13 QUESTION: A pure factual question.

14 MR. HILLIER: Yes.

15 QUESTION: Thank you.

16 MR. HILLIER: Thank you.

17 CHIEF JUSTICE BURGER: Do you have anything
18 further, Mr. Strauss?

19 ORAL ARGUMENT OF DAVID A. STRAUSS, ESQ.,

20 ON BEHALF OF THE PETITIONER - REBUTTAL

21 MR. STRAUSS: One or two brief points, Mr.
22 Chief Justice.

23 We discussed the affidavits, which I think are
24 a pure red herring in our reply brief, and I won't
25 pursue them further. We also mention in our reply brief

1 that in addition to the testimony of the agents, there
2 was a search of the defendant's residence that found
3 some controlled substances.

4 I have two --

5 QUESTION: So the evidence supporting the drug
6 charges other than the testimony of the two witnesses at
7 issue here consisted of testimony of the defendant
8 himself by way of some admissions, and physical evidence
9 obtained in a search of his residence?

10 MR. STRAUSS: That is right. There was also
11 that evidence in the case, Justice O'Connor.

12 QUESTION: Weren't there two groups of drug
13 charges, and weren't their testimony important on the
14 ones he went to jail on?

15 MR. STRAUSS: I don't think it is clear which
16 ones he went to jail on. He received probation which
17 was subsequently revoked on all counts. I don't want to
18 suggest that their testimony was unimportant on any of
19 them. But there was additional evidence. It is a
20 mistake to say that their testimony was the only
21 evidence in the case.

22 I want to make one point about what has
23 happened generally in this area since Agurs, and then
24 one point about this case in particular. In Agurs, the
25 Court specified a standard that would apply to no

1 request or general request cases, and the Court said
2 that a request for all Brady material or all helpful
3 material would be a general request.

4 But the Court left open the possibility that a
5 specific request would be judged by a different
6 standard. Now, I think what the response to that has
7 been, and this case is an excellent example of it, is
8 that defense counsel have tried to take the general
9 request for all Brady material and break it down into a
10 dozen or so abstract categories, all deals, promises,
11 and inducements, all information casting doubt on the
12 reliability of the witnesses, all statements.

13 They have tried to rephrase the general
14 request into requests that while not as
15 all-encompassing, when taken together cover the
16 waterfront, and as Mr. Hillier was arguing, that was
17 essentially the way he presented his requests.

18 He said, we wanted to know everything they
19 had. We wanted to know if there was anything that would
20 cast doubt on the testimony of these agents.

21 And he suggested that when the prosecutor
22 received these discovery requests, he should have gone
23 to the agents and said, is there anything we should let
24 the defendant know? That is our point exactly.

25 These were essentially general requests that

1 left the prosecutor no better off than he would have
2 been if he had just gotten a request for all Brady
3 information or no request at all. He would have had to
4 go to the agents and say, is there anything we should
5 let the defendant know?

6 QUESTION: So the Agurs-Strickland test or
7 standard is the appropriate one in your view?

8 MR. STRAUSS: Yes.

9 QUESTION: The brief was a little vague that
10 the government filed, and I didn't understand what the
11 government thought.

12 MR. STRAUSS: We think there are some true
13 specific request cases where you have a truly focused
14 specific request where an argument can be made for a
15 more exacting standard than Agurs.

16 QUESTION: Do you understand Agurs and
17 Strickland to say that there is no constitutional
18 violation at all unless what was done or not done would
19 have created a reasonable doubt that otherwise would not
20 have existed?

21 MR. STRAUSS: That is right.

22 QUESTION: And so if such a doubt exists,
23 there is no occasion or reason to reach the harmless
24 error.

25 MR. STRAUSS: That's right, because then you

1 know the trial was unfair, and you have to have a new
2 trial.

3 QUESTION: Exactly.

4 MR. STRAUSS: I think that is exactly right,
5 Justice White. In fact, I realize, Justice White, I
6 should have answered your earlier question by quoting
7 from Agurs at Page 108, where it says precisely that.

8 QUESTION: Well, now, tell me what -- I
9 thought I understood your brief to say that Agurs and
10 the government would -- well, you say Agurs did not
11 establish a different standard for a specific request.

12 MR. STRAUSS: That is right. It did not -- It
13 left the question open.

14 QUESTION: Well, and I thought your brief said
15 that the same standard should apply to specific
16 requests.

17 MR. STRAUSS: We left open the possibility, as
18 Justice O'Connor noted, that there may be some true
19 specific request cases in which a standard more exacting
20 than Agurs would be appropriate, although not a beyond
21 a reasonable doubt standard, which we think should be
22 reserved for truly egregious cases like the --

23 QUESTION: Well, what if it were perfectly
24 true in this case that the government committed -- they
25 just perjured themselves in these affidavits? What if

1 it were perfectly clear? You say it isn't clear, that
2 it was just a lot of general things.

3 MR. STRAUSS: I think it is clear they did not
4 perjure themselves.

5 QUESTION: Yes, but what if it was clear that
6 they did?

7 MR. STRAUSS: If they perjured themselves in
8 the trial, then Giglio and Napue --

9 QUESTION: No, no, in these affidavits.

10 MR. STRAUSS: I think that would probably not
11 even be a Brady question. I think that would be a more
12 general fairness problem. It would be as if the
13 prosecutor lied to the defense counsel in a series of
14 colloquies or in some other way took steps to mislead
15 him. It would present a fairness problem, but not one
16 that really lends itself to Brady and Agurs.

17 QUESTION: Would you approach it from the
18 standpoint of a fair trial issue?

19 MR. STRAUSS: Yes. That's right.

20 QUESTION: You would, still would?

21 MR. STRAUSS: Yes.

22 QUESTION: Not just some supervisory way of
23 censoring the prosecutor?

24 MR. STRAUSS: It might be appropriate to
25 censor the prosecutor as well. I think there may be

1 cases in which prosecutorial misleading of the defense
2 would reach a level to call the fairness of the trial
3 into question.

4 I see my time is up. Thank you.

5 CHIEF JUSTICE BURGER: Thank you, gentlemen.
6 The case is submitted.

7 (Whereupon, at 11:18 o'clock a.m., the case in
8 the above-entitled matter was submitted.)
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#84-48 - UNITED STATES, Petitioner V. HUGHES ANDERSON BAGLEY

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