OFFICIAL TRANSCRIPT PROCEEDINGS BEFORE

SUPREME COURT OF THE UNITED STATES

DKT/CASE NO. 82-825

TITLE EQUAL EMPLOYMENT OPPORTUNITY COMMISSION, Petitioner v. SHELL OIL COMPANY

PLACE Washington, D. C.

DATE October 31, 1983

PAGES 1 thru 48



(202) 628-9300 440 FIRST STREET, N.W. WASHINGTON, D.C. 20001

1	IN THE SUPREME COURT OF THE UNITED STATES
2	x
3	EQUAL EMPLOYMENT OPPORTUNITY :
4	COMMISSION :
5	Petitioners : No. 82-825
6	v
7	SHELL OIL COMPANY
8	x
9	Washington, D.C.
10	Monday, October 31, 1983
11	The above-entitled matter came on for oral
12	argument before the Supreme Court of the United States
13	at 11:03 a.m.
14	APPEAR ANCES:
15	RICHARD G. WILKINS, ESQ., Office of the Solicitor
16	General, Department of Justice, Washington, D.C., on
17	behalf of the Petitioner.
18	RCBERT E. WILLIAMS, ESQ., Washington, D.C.; on behalf of
19	the Respondent.
20	
21	
22	
23	
24	
25	

'	CONTENTS	
2	ORAL ARGUMENT OF:	PAGE
3	RICHARD G. WILKINS, ESQ.	
4	On behalf of Petitioner	2
5	ROBERT E. WILLIAMS, ESQ.	
6	On behalf of Respondent	25
7	REPUTTAL ARGUMENT OF:	
8	RICHARD G. WILKINS, ESQ.	44
9	On behalf of Petitioner	
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		

- 1 PROCEEDINGS
- 2 CHIEF JUSTICE BURGER: Mr. Wilkins, I think
- 3 you may proceed whenever you are ready.
- 4 ORAL ARGUMENT OF RICHARD G. WILKINS, ESQ.
- 5 ON BEHALF OF THE PETITIONER
- 6 MR. WILKINS: Thank you, Mr. Chief Justice,
- 7 and may it please the Court.
- 8 Three terms ago this Court concluded in
- 9 Federal Trade Commission versus Standard Oil Company of
- 10 California that an administrative complaint should not
- 11 be subjected to routine judicial review to determine
- 12 whether it had been properly issued because such review
- 13 necessarily resulted in undue delay in the resolution of
- 14 the administrative proceeding, improperly subjected cr
- 15 rendered the prosecuting agency the Defendant at the
- 16 very outset of its proceeding, and in the end resulted
- 17 in unnecessary and piecemeal appellate review.
- 18 Although this case arises in a somewhat
- 19 different context, it presents a very similar issue,
- 20 that is, whether there must be a trial and a consequent
- 21 judicious or appellate review, with all the attendant
- 22 delays, cf the question whether or not a complaint under
- 23 Title VII of the Civil Rights Act of 1964 has been
- 24 properly issued. The practical considerations that
- 25 compelled this Court's result in the SOCAL case requires

- 1 similar result here.
- QUESTION: Mr. Wilkins, you say that one of
- 3 the issues here is whether there must be a trial. Even
- 4 in the days before the Federal Rules of Civil Procedure
- 5 were adopted and complaints were held to a fairly strict
- 6 standard of pleading facts, I don't think there ever
- 7 were trials with witnesses called.
- 8 MR. WILKINS: I misspoke. I meant some scrt
- 9 of a hearing, some sort of judicial probing into those
- 10 facts.
- 11 On October 16, 1979, Eleanor Holmes North, who
- 12 was then Chair of the Equal Employment Opportunity
- 13 Commission, issued a sworn Commissioner's complaint
- 14 against the Respondent, Shell Oil Company, alleging
- 15 unlawful employment practices of Shell's Wood River,
- 16 Illinois, Refinery.
- Commissioner Norton stated that she had cause
- to believe that the Respondent had unlawfully excluded
- Blacks from managerial, professional, technical, office
- 19 clerical craft, and service worker positions, and had
- 20
- similarly excluded women from managerial, professional,
- technical craft, operative labor, and service worker
- positions. A copy of the charge was duly filed on the
- Respondent, and it was subsequently amended to state
- that Commissioner Norton had reason to believe that the

25

21

22

- 1 acts had occurred on a continuing basis since at least
- 2 July 1965.
- 3 The Commission then began an investigation to
- 4 determine whether there was reasonable cause to surrert
- 5 the allegations of Commissioner Norton's complaint. The
- 6 Commission, pursuant to Section 709(a) of the Act,
- 7 requested the Respondent to provide certain employment
- 8 information.
- 9 The Respondent, on the basis of its own
- 10 statistical analysis, refused, arguing that its
- 11 employment practices did not -- "were clearly not the
- 12 profile anticipated for a Commissioner's charge."
- 13 After informal methods of administrative
- 14 investigation failed, the Commission filed a subpoena
- 15 pursuant to Section 710, and the Respondent filed this
- 16 action to squash the subpoena and enjoy the Commission's
- 17 investigation. The Respondent argued that Commissioner
- 18 Norton had not shown sufficient facts to form a basis
- 19 for her allegations of discrimination.
- The District Court dismissed these factual
- 21 specificity arguments as meritless and enforced the
- 22 Commission's subpoena. On appeal, the Eighth Circuit
- 23 reversed, however, finding that there was indeed
- 24 insufficient factual basis to support the charge.
- 25 On denial of a hearing en banc, Chief Judge

- 1 Lay of the Eighth District dissented, stating that the
- 2 decision placed the circuit in direct conflict with the
- 3 decisions of all other courts of appeal addressing the
- 4 issue of factual allegations in a Title VII charge.
- 5 QUESTION: Was he alone in the dissent?
- 6 MR. WILKINS: He was alone in the dissent as
- 7 far as I am aware.
- 8 As noted by Chief Judge Lay, the single most
- 9 significant characteristic of the decision below is that
- 10 it does fly in the face of previously uniform precedent
- 11 rejecting any factual pleading requirement for Title VII
- 12 charges. Indeed, since the decision below was rendered,
- 13 two additional courts of appeals have looked at the
- 14 question and have concluded that the factual pleading
- 15 requirement created by the court below is inappropriate
- 16 and is not in conformity with the Title VII enforcement
- 17 scheme.
- 18 This Court has recognized that Title VII
- 19 creates an integrated, multi-step enforcement procedure
- 20 culminating in the Commission's authority to bring an
- 21 enforcement action in Federal Court.
- 22 QUESTION: Mr. Wilkins, I think that the
- 23 Eighth Circuit relied on a particular provision of the
- 24 Civil Rights Act, did it not?
- 25 MR. WILKINS: Exactly. Section 707 of the

- 1 Civil Rights Act authorizes the Commission to --
- 2 authorizes a member of the Commission to file a
- 3 complaint based upon a suspected pattern or practice of
- 4 discrimination. Section 706(b) requires that that
- 5 charge be in writing, under oath or affirmation, and
- 6 contain such information and be in such form as the
- 7 Commission requires. Section 706(b) also requires that
- 8 within ten days, the Commission serve a copy or a notice
- 9 of the charge on the Respondent containing the date,
- 10 place and circumstances of the alleged --
- 11 QUESTION: And it was that last language that
- 12 you mention now that the Eighth Circuit relied on,
- 13 wasn't it?
- MR. WILKINS: Exactly.
- But the purpose of a charge, and the purpose
- 16 of the notice of the charge under Section 706(b) have
- 17 quite discrete and limited functions. The charge does
- 18 not begin in any sort of formal enforcement action. It
- 19 does not have, in the words of this Court's prior
- 20 decisions involving similar issues, "It does not have
- 21 determinative consequences on the Respondent.
- QUESTION: What has that got to do with the
- 23 definition of the terms, date, place, and circumstances
- 24 that Congress put in the Act.
- 25 MR. WILKINS: You have to look at what purpose

- 1 Congress intended that notice provision to serve. As we
- 2 explain in some detail in our brief, prior to 1972, when
- 3 that provision was added to the Act, the Commission, to
- 4 prevent retaliation against complaining parties,
- 5 developed a practice or adopted a practice of not
- 6 serving a notice of the charge or a copy of the charge
- 7 on the Respondent until Commission staff was available
- 8 to begin investigation.
- 9 Congress was concerned in 1972 that this
- 10 practice resulted in some delay between the time that
- 11 the charge was filed and the time the Respondent was
- 12 aware that the charge was indeed pending. So it adopted
- 13 this notice provision to ensure that, in the words of
- 14 the legislative report, "they would have fair notice
- 15 that charges were pending against them."
- 16 QUESTION: They defined "fair notice" as
- 17 including the date, place, and circumstances, didn't
- 18 they?
- 19 MR. WILKINS: Yes, and this charge fully
- 20 complied with that requirement. The charge in this case
- 21 informed the Respondent that a Commission of the EEOC
- 22 had reason to believe that it discrimnated against
- 23 Blacks by excluding them from six specifically
- 24 designated job categories, and women from seven
- 25 specifically designated job categories since at least

- 1 July 1965. It gave them fair notice of date, place, and
- 2 circumstances.
- 3 QUESTION: You think that complied with the
- 4 circumstances requirement?
- 5 MR. WILKINS: Yes.
- 6 QUESTION: Mr. Wilkins.
- 7 MR. WILKINS: Yes.
- 8 QUESTION: Why would the Government not want
- 9 to provide, in these pattern and practice case, the real
- 10 circumstances that the Government is relying, the
- 11 statistical information that they looked at. What is
- 12 the matter with furnishing that, to give the Respondent
- 13 an actual look at what it is that prompted the filing; I
- 14 just don't understand.
- MR. WILKINS: There is a two-fold answer to
- 16 that, Justice O'Connor, and I think there is a very good
- 17 reason for not getting into that kind of detail at the
- 18 very outset.
- 19 On the initial level, this is a pattern of
- 20 practice charge, as the prior decisions of this Court
- 21 recognized. The pattern of practice charge is not based
- 22 on specific, individual kinds of occurrences. It is
- 23 based on broad, general occurrences that take place over
- 24 a period of time. So it is impossible, it really is
- 25 impossible to describe it beyond general terms.

- 1 QUESTION: Sure, but what the Government in
- 2 these cases is doing is putting together a few simple
- 3 statistics covering given years, and saying these are
- 4 the total number of employees in this category, and in
- 5 that community there are so many Blacks, and so many
- 6 women, and these are the actual figures that the company
- 7 has. Why can't you include that, and why shouldn't you
- 8 that in the notice of the charge?
- 9 MR. WILKINS: As the Ninth Circuit noted in
- 10 the Dean Witter case, to require the Commission to get
- 11 into providing a certain level of factual specificity,
- 12 or providing the statistics, would merely prompt, as
- 13 what has happened in this case, the Respondent would
- 14 come back and say, "But my statistics show that -- Cur
- 15 bottomline statistics show that we are in conformity.
- 16 Your statistics aren't adequate to commence this
- 17 investigation."
- 18 Going back to general notions of modus
- 19 pleading --
- 20 QUESTION: The Court doesn't have to buy that,
- 21 obviously, as a requirement and the Court wouldn't
- 22 necessarily have to turn this into a mini-trial. But it
- 23 is just -- I just have difficulty understanding why the
- 24 Government can't disclose in the notice of the charge
- 25 what it really is basing this on.

- 1 MR. WILKINS: As Professor Moore stated in his
- 2 treatise on civil procedure, just as a general precept,
- 3 a requirement that facts be pleaded in a charge is
- 4 illusory and unsound. It said that results in a battle
- 5 of the form of pleadings.
- 6 QUESTION: Sure, but we have the job of trying
- 7 to figure out why Congress included this specific
- 8 language, and they had something in mind and we have to
- 9 determine what that something is. It is just hard to
- 10 know why the Government in these cases wouldn't want --
- 11 Let me ask you another question. Suppose the
- 12 notice of charge just said, "The Commission believes
- 13 that Shell has conducted discriminatory employment
- 14 practices in its United States operations since 1965."
- 15 Is that enough?
- 16 MR. WILKINS: I am not certain. I believe
- 17 that that probably would be insufficient, but here we
- 18 don't have that sort of a charge. They have been given
- 19 the circumstances. They have been told that they
- 20 excluded Blacks from designated categories, and women
- 21 from designated categories.
- 22 Perhaps is you understand the purpose or how
- 23 the Title VII enforcement scheme proceeds, it will help
- 24 you understand.
- 25 The charge under Section 706 does not commence

- 1 a formal enforcement action. It merely commences
- 2 administrative investigation to determine whether there
- 3 is reasonable cause to believe that the allegations of
- 4 the charge are true. When such reasonable cause is
- 5 found, then the Commission attempts to conciliate the
- 6 employment dispute and settle. Only if such efforts
- 7 fail, do you commence the formal enforcement action.
- 8 Therefore, in light of the limited function that the
- 9 charge serves, requiring the Commission to come forth to
- 10 present its facts, present its proof at the very outset
- 11 is inappropriate.
- 12 QUESTION: Mr. Wilkins, your answers assume
- 13 that this is kind of a judicially fashioned doctrine.
- 14 Professor Moore has said pleading of facts is illuscry
- 15 and, therefore, why shouldn't the court strive to make
- 16 that rule a common sense one. But it is not a court
- 17 rule at all, Congress has said "circumstances." The
- 18 Federal Rules of Civil Procedure don't say
- 19 "circumstances."
- 20 MR. WILKINS: Yes, but, Justice Renquist,
- 21 Congress in 1964 said that a commissioner's charge had
- 22 to state its facts, and the courts never required that
- 23 as requiring any sort of factual pleading.
- 24 QUESTION: Do you think that those were sound
- 25 decisions, if Congress said "facts," and the courts said

- 1 "no facts."
- 2 MR. WILKINS: I believe, when you look at the
- 3 purpose and the overall Title VII enforcement scheme, I
- 4 believe they were indeed sound decisions. In 1972 --
- 5 QUESTION: How would you rationalize those?
- 6 You say that Congress had said that facts must be
- 7 specified, and the courts said, no, you don't have to
- 8 specify facts, and you say that those are sound
- 9 decisions. How would you explain that?
- 10 MR. WILKINS: The uniform position that has
- 11 been taken since 1964, beginning in the early '70s, in
- 12 the Ninth Circuit's decision in Local 104 Sheetmetal
- 13 Workers, was that the purpose of the charge is merely to
- 14 commence an administrative investigation and inquiry to
- 15 determine whether there is reasonable cause. Because
- 16 that is at the very outset, it is merely a prelude to
- 17 the formal enforcement sorts of proceedings, it clearly
- 18 would be an anomaly to require the Commission to present
- 19 more facts, more factually specific material at the very
- 20 beginning of its administrative investigation than it
- 21 needs to present, indeed, to start a formal enforcement
- 22 action in Federal District Court.
- 23 QUESTION: In other words, Congress should
- 24 have provided the same provisions as the Rules of Civil
- 25 Procedure, and so we'll assume that it did. That sounds

- 1 like about the reasoning of those cases.
- 2 MR. WILKINS: The courts reasoned this way.
- 3 Section 707 in 1964 authorized the Attorney General to
- 4 bring a pattern of practice charge. That section also
- 5 required the Attorney to set forth the facts upon which
- 6 it was based, and the courts uniformly construed that as
- 7 saying, we don't believe that Congress intended the
- 8 Commission to have to state all of the facts underlying
- 9 its -- the Attorney General to state all the facts
- 10 underlying its cause of action at the very outset. The
- 11 courts viewed that, well, then, if you are initiating
- 12 merely an administrative proceeding before that, we will
- 13 not impose a greater factual specificity burden at the
- 14 outset than we do to file the formal enforcement
- 15 action.
- 16 QUESTION: Mr. Wilkins.
- 17 MR. WILKINS: Yes.
- 18 QUESTION: Your position, of course, is that
- 19 the charge adequately puts Shell Company, in this case,
- 20 on fair notice as to what is being charged against it.
- 21 I have the appendix open at page 66, that is the
- 22 commencement of the first request for information.
- MR. WILKINS: Yes.
- QUESTION: Is that filed the same day the
- 25 charge is filed?

- 1 MR. WILKINS: Yes. I believe that that was
- 2 filed along with the --
- 3 QUESTION: Does that suggest to you that the
- 4 EEOC either doesn't know the facts, the basic facts and
- 5 circumstances, or does it suggest that it has them and
- 6 is unwilling to disclose them?
- 7 MR. WILKINS: It suggests to me, Justice
- 8 Powell, that the Commission has sufficient facts to have
- 9 reason to believe there is indeed a pattern of practice
- 10 of discrimination. The purpose of the administrative
- 11 investigation is to determine what the actual cause cf
- 12 the pattern of practice that the Commission has.
- 13 QUESTION: Is that first request for
- 14 information a standard form?
- 15 MR. WILKINS: To the best of my knowledge
- 16 there are standard requests that are made. They are
- 17 detailed to the particular needs of each case. This is
- 18 not a standard request that is just churned case after
- 19 case. There are elements that are asked in particular
- 20 cases.
- 21 OUESTION: It goes on for 16 pages, doesn't
- 22 it?
- MR. WILKINS: Yes, it does.
- 24 QUESTION: If you were counsel for the company
- 25 and received this, what would you reaction be?

- 1 MR. WILKINS: I am certain that I would sit
- 2 down and recognize that I was going to be busy for the
- 3 next little while.
- 4 QUESTION: Little while -- how many weeks?
- MR. WILKINS: I have no way of knowing.
- 6 QUESTION: How many people would be required
- 7 in a major corporation to help you gather all of this
- 8 information which really undresses the corporation for
- 9 years. Perhaps that is your purpose, but that is not
- 10 the way Government normally operates.
- 11 MR. WILKINS: There's a couple of things to
- 12 keep to bear in mind on this question. First, the
- 13 Commission has offered to send in its staff to help
- 14 compile this information. The Commission has also
- 15 offered to pay --
- 16 QUESTION: They aren't very helpful once in
- 17 there.
- 18 MR. WILKINS: The Commission also has
- 19 procedures where it will help pay for the development of
- 20 this information. The District Court in this case found
- 21 that this information sought was relevant to the
- 22 administrative investigation, and the compliance with
- 23 the request or with the subpoena was not unduly
- 24 burdensome. The Court of Appeals didn't disagree with
- 25 that.

- 1 So while it may be extensive, and I don't
- 2 disagree that it is extensive and that it would require
- 3 some substantial looking, the Commission has offered to
- 4 help in that looking, has offered to help pay for that
- 5 looking, and the lower court did not find it unduly
- 6 burdensome.
- 7 QUESTION: I'll come back to my initial
- 8 question. If, indeed, the EEOC had enough information
- 9 to make a charge, why was it necessary to file 16 pages
- 10 of questions that asked every conceivable piece of
- 11 information about the employment practices of this
- 12 corporation over a period of years?
- 13 MR. WILKINS: That is the exact purpose of the
- 14 conciliation and administrative litigation.
- 15 QUESTION: They make a charge without knowing
- 16 the circumstances.
- 17 MR. WILKINS: No, the purpose of the charge --
- 18 In this case, we had from our analysis of the EEC-1
- 19 reports that had been filed by the Respondent, there
- 20 were indications, statistical indications that Shell had
- 21 engaged -- had excluded Blacks and women from certain
- 22 job categories.
- 23 It is not clear from those EEC-1 reports at
- 24 the very outset exactly what happened, what's going cn,
- 25 what the underlying facts are. Congress recognized that

- 1 this would be the case and it would be the case at the
- 2 very beginning of these systemic charges. They're
- 3 difficult to prove. They're difficult to know --
- 4 exactly what has happened over a period of years.
- 5 QUESTION: Congress chose this very curious
- 6 language, if it really understood that the agency was
- 7 going to file this sort of fishing expedition; don't you
- 8 think so? You wouldn't be here if the language were
- 9 more specific, perhaps.
- 10 MR. WILKINS: Well, Congress was aware in 1972
- 11 that the Commission needed more inforcement power and
- 12 needed more investigatory power. Indeed, they expressly
- 13 empowered the Commission in 1972 to file these pattern
- 14 of practice charges because, as you read in the
- 15 legislative reports, the Commission has access to the
- 16 statistical analyses, it has access to this
- 17 information. Because they knew that this information
- 18 would be somewhat sketchy at the outset, it provided for
- 19 this administrative investigation for the -- It provided
- 20 the Commission with the authority to request to inspect
- 21 copy, to subpoena information to find out out what is
- 22 going on.
- 23 The Commission only undertakes conciliation
- 24 efforts or, indeed, formal enforcement actions after it
- 25 has looked at facts and determined that there is

- 1 reasonable cause.
- QUESTION: Why didn't you -- Why not furnish
- 3 some of those facts that you have looked at?
- 4 MR. WILKINS: For the exact reason that has
- 5 occurred in this case. We allege we gave them the
- 6 circumstances, we told --
- 7 QUESTION: What do you think qualifies as the
- 8 circumstances?
- 9 MR. WILKINS: In this case, we told them that
- 10 they excluded women from seven designated job
- 11 categories, and Blacks from six designated job
- 12 categories. We told them what we believed they had
- 13 done. They argued, well, you don't have enough facts to
- 14 prove that right now. Our response is, of course, we
- 15 don't, that is the purpose of the administrative
- 16 investigation. Congress didn't require us, Congress
- 17 does not require us to have reasonable cause at this
- 18 point.
- 19 QUESTION: But you did have facts -- You did
- 20 have more facts than just an assertion that they had
- 21 discriminated in these respects.
- 22 MR. WILKINS: Certainly, we had facts to back
- 23 up those allegations.
- QUESTION: Why wouldn't you think that some
- 25 summary of those facts shouldn't have been furnished?

- 1 MR. WILKINS: It may be wise, and the
- 2 Commissioners, the individual Commissioners of the EEOC
- 3 certainly have discretion to give those facts. But any
- 4 judicially imposed requirement that the Commission set
- 5 forth its facts will clearly, as the Ninth Circuit --
- 6 QUESTION: Judicially, the guestion is whether
- 7 Congress anticipated it
- 8 MR. WILKINS: Well, Congress --
- 9 QUESTION: -- in the word "circumstances."
- 10 MR. WILKINS: That is right. Let's look at
- 11 the legislative history of Section 706(b) for a moment.
- 12 QUESTION: How about the language? How about
- 13 the circumstances?
- 14 MR. WILKINS: That is how you can understand
- 15 the language, that is why I want to look at the
- 16 history.
- 17 QUESTION: I don't blame you.
- 18 MR. WILKINS: In '64, Congress provided that
- 19 Commissioner's charges could only be filed when they set
- 20 forth the facts on which they were based, and the
- 21 Commissioner had reasonable cause to believe that a
- 22 violation of the Act had occurred. In 1972, as part of
- 23 legislation that was generally designed, as this Court
- 24 has recognized, to increase the enforcement powers of
- 25 the Commission, Congress deleted these two requirements

- 1 and rendered Commissioner's charges subject to the exact
- 2 same requirements generally applicable to all charges,
- 3 that is, they must be in writing, under oath or
- 4 affirmation, and contain such information and be in such
- 5 form as the Commission requires. I think that is a very
- 6 important factor that clearly was overlooked by the
- 7 court below.
- 8 QUESTION: You would be in a much better
- 9 position if the provision that is at issue here had been
- 10 included.
- 11 MR. WILKINS: But the purpose of the
- 12 provision, Justice White, was, as I have said earlier,
- 13 merely to provide that the Respondent had some notice of
- 14 that charge.
- 15 QUESTION: So you think that the Commissioner,
- 16 in prescribing requirements which you mentioned a moment
- 17 ago, can say, we are not going to require circumstances
- 18 the way the other section does?
- MR. WILKINS: Excuse me.
- 20 QUESTION: You say that the statute provides
- 21 that a charge shall be in such form as the Commission
- 22 may provide.
- MR. WILKINS: Yes.
- 24 QUESTION: Do you think that the Commission
- 25 could provide saying that the form of charge shall be

- 1 the following, but it need not include either date,
- 2 place, or circumstances?
- 3 MR. WILKINS: The charge could be -- could
- 4 provide that. The notice, then, would have to provide
- 5 the date, place, and circumstances. But the Commission
- 6 certainly could choose to say that the charge will have
- 7 this information, and not necessarily include the date,
- 8 place, and circumstances.
- 9 QUESTION: Even though the notice of the
- 10 charge would have to be more specific, then?
- 11 MR. WILKINS: No, the notice would have to
- 12 include the date, place, and circumstances. It is
- 13 important to remember that the purpose of the notice
- 14 provision was as we said, merely to provide notice. The
- 15 word "circumstances" does not in and of itself indicate
- 16 some sort of a congressional intent to set forth the
- 17 facts. Indeed, Congress in 1972 deleted the requirement
- 18 that the Commissioner's charge would set forth the
- 19 facts.
- 20 QUESTION: But, Mr. Wilkins, also, didn't the
- 21 '64 Act require the Commissioner's charge to be based on
- 22 reasonable cause?
- MR. WILKINS: Yes.
- QUESTION: In '72, in those amendments, that
- 25 requirement was dropped

- 1 MR. WILKINS: Right.
- 2 QUESTION: But Congress tightened up in
- 3 another way. It said, okay, you don't have to show
- 4 reasonable cause, but we do want you to put it under
- 5 oath, and set forth the date, place, and circumstances.
- 6 It just looks on the surface like they wanted to tighten
- 7 up the requirements of what you disclose as the basis
- 8 for the charge, even though they dropped the reasonable
- 9 cause requirement. So I am not sure that the
- 10 legislative history argument is helping you.
- 11 MR. WILKINS: Justice O'Connor, if you look at
- 12 the comments that were made and the comments in the
- 13 legislative report, there is no indication whatsoever
- 14 that Congress felt that they were imposing any sort of a
- 15 new factual pleading requirement or any kind of
- 16 disclosure requirement.
- 17 When they talked about notice, they talked
- 18 merely about informing the Respondent that a charge was
- 19 actually pending against them, so that the Respondent
- 20 could begin to prepare its defense and gather the
- 21 information to sustain itself.
- The charge in this case clearly performs those
- 23 functions. They don't need more information to be able
- 24 to know that the charge is pending, to be able to gather
- 25 their information, to be able to sustain themselves

- 1 during the administrative investigation.
- QUESTION: Mr. Wilkins, may I ask you a rather
- 3 fundamental question on another point which your
- 4 argument has brought up. In Part 3 of you brief -- Up
- 5 to now, you have basically been arguing the meaning cf
- 6 Section 706(b) of the statute. In Part 3 of your brief,
- 7 you refer to the SOCAL case. You argue, if I understand
- 8 you correctly, that the issue should not be reviewable
- 9 at all until after the proceeding comes to an end. You
- 10 are relying, as I understand it, on the Administrative
- 11 Procedure Act.
- I am wondering whether, with reference to the
- 13 SOCAL case, you're contending that this is not agency
- 14 action at all, it is interlocutory agency action or that
- 15 it is agency action under the limited discretion of the
- 16 agency? Which of those three positions?
- 17 MR. WILKINS: No, we are not really making any
- 18 of those assertions, if I understand what you are
- 19 getting at.
- 20 QUESTION: I just want to understand exactly
- 21 why your position is based on SOCAL.
- 22 MR. WILKINS: Our position based on SOCAL is
- 23 that the issuance of an administrative complaint is
- 24 something that can always be challenged. If the
- 25 Respondent in this case -- there is always some sort of

- 1 a standard, whether it is reasonable cause, or reason to
- 2 believe --
- 3 QUESTION: But is it always -- can it be
- 4 challenged in an independent proceeding in Federal Court
- 5 or cnly in the proceeding itself?
- 6 MR. WILKINS: After the SOCAL case -- After
- 7 the SOCAL decision, of course, they can't bring an
- 8 initial proceeding under the Administrative Procedure
- 9 Act. In this case, the only reason this question arcse
- 10 is because there is a subpoena enforcement action, and
- 11 they're still trying to make the same sort of an
- 12 argument, that somehow this charge was improperly
- 13 issued. The Government's response is that the rationale
- 14 of the SCCAL case applied across the board at this
- 15 point. This has no determinative requirement.
- 16 QUESTION: Is it your position that in
- 17 subpoena enforcement proceeding, they have no right to
- 18 test the sufficiency of the charge?
- 19 MR. WILKINS: The sufficiency of the charge is
- 20 to be determined in the administrative proceeding. The
- 21 subpoena --
- 22 QUESTION: You should have won on motion to
- 23 dismiss without even looking at the language of the
- 24 charge, is what I am asking?
- 25 MR. WILKINS: Exactly.

- 1 QUESTION: Okay.
- 2 MR. WILKINS: If the Court has no further
- 3 questions, I would like to reserve a few moments for
- 4 rebuttal.
- 5 CHIEF JUSTICE BURGER: Mr. Williams.
- 6 ORAL ARGUMENT OF ROBERT E. WILLIAMS, ESQ.
- 7 ON BEHALF OF THE RESPONDENT
- 8 MR. WILLIAMS: Mr. Chief Justice, may it
- 9 please the Court. With the Court's permission.
- 10 I believe the questions that the Court has
- 11 asked this morning focus on the narrow issue that is
- 12 presented in this case, and that is what Congress meant
- 13 when it specified in Section 706(b) that the Respondent
- 14 in a Title VII proceeding must be notified of the date,
- 15 place, and circumstances of the violations alleged in
- 16 the charge.
- 17 The Commission is here arguing for an
- 18 interpretation which in our view would effectively read
- 19 the words "date, place, and circumstances" out of the
- 20 text of the statute. They maintain that the only notice
- 21 the Commission is required to give to a Respondent is
- 22 notice of the fact that a charge is pending against it.
- 23 At no time, at least until this morning, has the
- 24 Commission acknowledged any obligation to provide
- 25 anything more than bare notice of the existence, of the

- 1 pendency of the charge.
- Perhaps counsel's qualified concession in
- 3 answer to Justice O'Connor's question may indicate that
- 4 there may be some need to provide something more than
- 5 bare notice of existence, but whether it actually
- 6 requires that a date, a place, or any circumstances at
- 7 all be set forth has never been clarified from the
- 8 Commission standpoint.
- 9 QUESTION: Whether that is so or not, I
- 10 understand to say that any requirement like that is
- 11 satisfied by the notice that was given in this case, and
- 12 he says that saying there was discrimination in these
- 13 particular categories is enough of a notice of the
- 14 "circumstances."
- 15 MR. WILLIAMS: Which brings us back, Your
- 16 Honor, to the basic question which is what did Congress
- 17 mean by that word "circumstances." We think the Eighth
- 18 Circuit was right when it concluded that notice of date,
- 19 place, and circumstances was intended to provide
- 20 something more meaningful than a bare recitation of
- 21 categories of alleged unlawful employment practices.
- 22 QUESTION: What would you say they should have
- 23 furnished to you?
- 24 MR. WILLIAMS: The word "circumstances," Your
- 25 Honors, generally, in dictionary definitions and in

- 1 court opinions, is tied to facts.
- 2 QUESTION: I know, so I am asking you what
- 3 facts do you think they should have given you?
- 4 MR. WILLIAMS: At a minimum -- I think what
- 5 the Eighth Circuit required in its decision really sets
- 6 forth a very minimal standard. It has been argued --
- 7 QUESTION: What specifically would have
- 8 satisfied you in this case?
- 9 MR. WILLIAMS: In an instance such as this,
- 10 where the charge apparently is based on statistics, the
- 11 Eighth Circuit indicated that the fact that the charge
- 12 is based, at least in part, on statistics, the general
- 13 nature of the statistical data relied on --
- 14 QUESTION: Because it is a practice, the
- 15 charge necessarily is a statistical charge; is that it?
- 16 MR. WILLIAMS: Well frankly, of course, we are
- 17 at a disadvantage because we don't know for sure what
- 18 this charge was based on. The Government has some
- 19 forward belatedly and made some assertions about what
- 20 the charge was based on, but because the charge itself
- 21 or the notice did not include any explication, we don't
- 22 really know.
- 23 But assuming that their representations are
- 24 correct, and that this charge was based on statistical
- 25 compilations, comparisons between internal data that the

- 1 company had provided to the EEOC with standard data
- 2 referring to availability in the labor market, I think
- 3 what the Eighth Circuit was indicating is the fact that
- 4 those data were used, the general nature of the data,
- 5 including dates that would enable the Respondent to
- 6 identify what the Commission was basing this charge on,
- 7 that is all that seems to be required.
- 8 The Court also indicated that in the instance
- 9 where there is an individual charge that forms the basis
- 10 for the Commissioner's charge that the identity of the
- 11 individual need not be disclosed, but that the fact that
- 12 it is based on an individual charge, coupled with some
- 13 indication of the position held by that individual,
- 14 ought to be provided.
- 15 OUESTION: Suppose, in addition to actually
- 16 furnishing you notice, giving circumstances some
- 17 meaning, would also assure that the Commissioner
- 18 actually had facts on which to base a charge.
- 19 MR. WILLIAMS: That would be correct, Your
- 20 Honor.
- 21 QUESTION: Mr. Williams, suppose the charge
- 22 says that you have 316 people assigned to the broom
- 23 section of your plant, and not a single woman is there.
- 24 Would you know what they were talking about?
- 25 MR. WILLIAMS: Yes, Your Honor, I think that

- 1 would be sufficient.
- QUESTION: That would be all right.
- 3 MR. WILLIAMS: That would certainly set forth
- 4 the circumstances.
- 5 QUESTION: What would this one say? This
- 6 charge says that there were no women in one area, and no
- 7 negroes in another area.
- 8 MR. WILLIAMS: The charge said that it charged
- 9 Shell with discriminating in various forms of employment
- 10 practice against Blacks and women. It did not
- 11 QUESTION: Because there were none in these
- 12 particular sections.
- 13 MR. WILLIAMS: It didn't say that the
- 14 Commission had considered that there were none in
- 15 certain sections.
- 16 QUESTION: Didn't it say that?
- 17 MR. WILLIAMS: That information did not come
- 18 forward until much later on in this litigation, Your
- 19 Honor.
- 20 QUESTION: Didn't you know what they were
- 21 talking about?
- MR. WILLIAMS: Well, Your Honor --
- 23 QUESTION: The question is, didn't you know
- 24 what they were talking about.
- 25 MR. WILLIAMS: The Joint Appendix sets forth

- 1 documents, about six months of correspondence and
- 2 meetings between Shell and the Commission.
- 3 QUESTION: I am asking you. You didn't know
- 4 what they were talking -- You didn't kow what they were
- 5 talking about; is that your position or not?
- 6 MR. WILLIAMS: Yes, Your Honor, that is the
- 7 position. During those meetings and in that
- 8 correspondence, the company repeatedly asked the
- 9 Commission point blank, "What is this charge based on?
- 10 What statistics have you considered, if you are basing
- 11 it on statistics?" The Commission's response was a
- 12 consistent stonewall.
- 13 The answer that came back was, "We don't have
- 14 to tell you. You are invading our agency privilege."
- 15 QUESTION: Who had the facts, the agency or
- 16 you -- your client?
- 17 MR. WILLIAMS: Of course, the --
- 18 QUESTION: Who had it the first?
- 19 MR. WILLIAMS: The company has facts, and the
- 20 agency purportedly has some facts that it has considered
- 21 in determining to bring this charge. But the issue as
- 22 we see it is whether the agency has complied with the
- 23 statutory requirement of giving a sufficient indication
- 24 of what the charge is based on in order to meet the
- 25 notice requirement.

- 1 QUESTION: Mr. Williams, what if the EEOC in a
- 2 pattern of practice case did give you information that
- 3 it was basing their charge on statistical compilations
- 4 it had made, let's say, the years '78 and '79, which
- 5 reflected an insufficient number of women and Blacks
- 6 being hired in certain categories, so you got presumably
- 7 what you're asserting you should have had. Then, let's
- 8 suppose they issue subpoenas asking for information
- 9 relating to the year '77 as well as '78 and '79, because
- 10 they want to dig into the real facts, maybe they'd like
- 11 to amend the charge later, maybe they think that what
- 12 was done before is relevant somehow to '78 and 79.
- 13 Would it be your position that you could
- 14 challenge them on a subpoena request for prior years
- 15 because it didn't relate to those years --
- 16 MR. WILLIAMS: No, Your Honor.
- 17 QUESTION: -- specified in the charge?
- 18 MR. WILLIAMS: No, Your Honor, it would not.
- 19 We are not here disputing the Commission's broad
- 20 investigatory power or their subpoena power once a valid
- 21 charge and notice has been provided.
- 22 QUESTION: You concede that the subpoena cculd
- 23 request any relevant information for prior or subsequent
- 24 time-frames?
- 25 MR. WILLIAMS: Yes, Your Honor, that is

- 1 correct.
- 2 QUESTION: You really would have to, under
- 3 that Oklahoma Press case, wouldn't you?
- 4 MR. WILLIAMS: The question of its relevance,
- 5 of course, would be determined under the usual standards
- 6 of subpoena enforcement procedures, but it is a standard
- 7 that is easily met at that stage, and we are not arguing
- 8 that they can't look into those materials given a valid
- 9 charge and notice.
- 10 QUESTION: The concern, obviously, would be
- 11 that if we were to agree with you, you don't want to put
- 12 the EEOC in the position of having to have a mini-trial
- 13 every time they issue a subpoena.
- MR. WILLIAMS: No, and that is not the
- 15 concern. In fact, we believe, Your Honor, that the
- 16 prospect of litigation would be -- of that type of
- 17 litigation would be reduced, rather than increased, by
- 18 letting the Respondent know upfront what the charge is
- 19 based on.
- 20 If a adequate charge and valid notice is
- 21 given, then really the only complaint that a Respondent
- 22 could raise is if it could affirmatively show that there
- 23 has been some abuse of discretion or that the Commission
- 24 is clearly acting outside the scope of its powers. That
- 25 would be in the very unusual situation. We think that

- 1 these concerns about a flood of mini-trials at the
- 2 outset of every case are greatly overstated.
- 3 QUESTION: Do you contend that a Respondent in
- 4 a proceeding like this, such as Shell, has any right to
- 5 challenge the facts produced by the Commission and
- 6 saying those facts aren't correct; in other words, we
- 7 have other facts, so let's find out whose version of the
- 8 facts is correct at this stage of the proceeding.
- 9 MR. WILLIAMS: That's not our argument, Your
- 10 Honor, no.
- I would like to address --
- 12 QUESTION: May I ask about the procedure.
- 13 MR. WILLIAMS: Yes, Your Honor.
- 14 QUESTION: Do you still maintain -- Do you
- 15 maintain that you could have raised these issues in an
- 16 independent judicial proceeding?
- 17 MR. WILLIAMS: No, we're really -- Given the
- 18 posture in which the case is before this Court, we are
- 19 really only dealing with the subpoena enforcement
- 20 procedure.
- 21 QUESTION: It is your position that in a
- 22 subpoena enforcement proceeding, you contest the
- 23 sufficiency of the charge.
- MR. WILLIAMS: Yes.
- 25 QUESTION: Any defect in the charge at all can

- 1 be raised.
- 2 MR. WILLIAMS: Yes.
- 3 QUESTION: Are there precedents for that
- 4 procedure?
- 5 MR. WILLIAMS: Yes, Your Honor. Every case
- 6 that we are aware of that has involved these
- 7 requirements of the sufficiency of a Commission charge,
- 8 the Dean Witter case, the K-Mart case, the Staley case
- 9 in the Seventh Circuit, none of those cases has held
- 10 that the Respondent can't charge the sufficiency of the
- 11 subpoena -- or the sufficiency of the charge in the
- 12 subpoena enforcement proceeding. They have all allowed
- 13 that charge. None of them have been dismissed on the
- 14 basis of the SOCAL case.
- 15 QUESTION: So there is really no precedent at
- 16 all, is your submission, for the Government's -- the
- 17 third part of the Government's brief?
- 18 MR. WILLIAMS: We are not aware of any, Your
- 19 Honor.
- 20 I would like to address the legislative
- 21 history briefly in response to some of the arguments
- 22 that have been made.
- 23 First, the fact is, there is nothing in this
- 24 legislative history that bears directly on the intended
- 25 meaning of the words "date, place, and circumstances."

- 1 We take that as a point in our favor because it means
- 2 there is no basis for giving those words anything other
- 3 than their ordinary, everyday meaning.
- 4 Secondly, what is clear from the legislative
- 5 history is that Congress was not willing to give this
- 6 Commission completely unbridled, roving investigative
- 7 powers. They set this up as a charged based agency,
- 8 rather than an agency with a self-initiating
- 9 investigative process like the FTC, and other agencies.
- 10 To the extent that they gave Commission
- 11 members authority to file charges, Congress took pains
- 12 to see that that authority would not lead to abuse, and
- 13 particularly to fishing expeditions. That is why the
- 14 1964 Act required reasonable cause before a Commissioner
- 15 could file a charge. The concern about fishing
- 16 expeditions was very explicit in the 1964 legislative
- 17 history.
- 18 That same basic concern is reflected again in
- 19 the compromise that was reached in the Conference
- 20 Committee on the 1972 amendments with regard to the
- 21 authority for the requirements for issuance of
- 22 commissioner's charges.
- 23 The Senate bill would have cut back on the
- 24 authority for commissioner's charges to allow their use
- 25 only where there was an individual claiming to be

- 1 aggrieved, who wished to be anonymous. The Senate bill
- 2 added the oath requirement and added the new notice
- 3 language requiring notice of date, place, and
- 4 circumstances.
- 5 In conference, the Senate receded with respect
- 6 to the provision that there needed to be an individual
- 7 claiming to be aggrieved who didn't wish to come
- 8 forward, but the Senate prevailed with respect to the
- 9 addition of the oath and the notice requirement.
- 10 Given this history, we think that it is simply
- 11 not accurate to argue, as the Government does, that the
- 12 '72 amendments simply eliminated any and all factual
- 13 pleading requirements or any requirement to articulate
- 14 the basis for a charge. What it did was, it replaced
- 15 general language of the '64 Act, which required that a
- 16 charge set forth the facts on which it's based, with
- 17 specific language requiring notice of date, place and
- 18 circumstances, and the oath.
- 19 The point is, for the first time it spelled
- 20 out three particular elements that have to be disclosed
- 21 to every Respondent before a Title VII investigation can
- 22 proceed.
- 23 We also think that it is significant that when
- 24 the Attorney General's authority to bring pattern of
- 25 practice cases under Section 707 of the statute was

- 1 transferred to the Commission after the '72 amendments,
- 2 that authority was also made subject to the requirements
- 3 that there be a valid charge under oath, and supported
- 4 by notice. Those requirements had not been present when
- 5 that authority was in the hands of the Attorney
- 6 General. We think the fact that they were added when
- 7 the authority passed to the Commission again illustrates
- 8 the unwillingness of Congress to give this Commission
- 9 completely self-initiating investigative powers.
- 10 Given this background, we submit that insofar
- 11 as commissioner's charges can be used to initiate
- 12 investigations without an independent request from an
- 13 outside party, that the formal oath and notice
- 14 requirements that Congress imposed must be enforced
- 15 strictly -- we are not saying hypertechnically, but
- 16 literally -- in order to avoid conflict with the reasons
- 17 why Congress set this up a charge based investigative
- 18 process.
- We also believe, in light of the legislative
- 20 history and the context of these provisions in the
- 21 statute, that the oath and notice requirements ought to
- 22 be read as interrelated. If a charge didn't have to
- 23 contain any facts or any specific indication of what it
- 24 is based on, the oath would become practically
- 25 meaningless.

- 1 We don't think Congress intended that, we
- 2 think they perceived this as a meaningful requirement.
- 3 Given the statutory context, it seems clear that
- 4 Congress contemplated that the information that would
- 5 have to be in the notice would come from the charge.
- 6 Therefore, there would be a statement under oath setting
- 7 forth these basic details.
- 8 The Commission has stressed that the statute
- 9 gives it the authority to specify the form and content
- 10 of charges. But, Your Honors, that does not empower the
- 11 Commission to repeal the basic statutory requirements
- 12 pertaining to notice, those elements have to be
- 13 present. As long as they're satisfied, sure, the
- 14 Commission can require as much or as little other
- 15 information in whatever form it sees fit.
- 16 The Commission has also argued that its
- 17 regulations have consistently provided that a charge is
- 18 sufficient if identifies the parties and generally
- 19 describes the action or practice complained of. The
- 20 fact is, the regulations relied on by the Commission for
- 21 that point, it's Section 1601.12(b) of the current
- 22 regulations, was not adopted until 1978.
- 23 That regulation supplanted the earlier
- 24 regulation, 1601.11(b), which said that a charge would
- 25 be "deemed filed if it identified the parties, and

- 1 generally described the action or practice complained
- 2 of." Both versions then provide that a charge, after
- 3 being filed, can be amended to correct technical defects
- 4 of omissions.
- 5 The difference is that the current regulation
- 6 provides that such a charge is sufficient without being
- 7 amended, whereas the earlier version prior to 1978
- 8 required only that the charge be -- provided only that
- 9 such a charge would be deemed filed.
- 10 Finally, with regard to the Commission's
- 11 regulations, we think the Court should be particularly
- 12 cautious about deferring to the Commission's standards
- 13 for a valid charge insofar as they are applied to the
- 14 Commission's own members.
- 15 It may be necessary at times to apply formal
- 16 requirements leniently in order to avoid injustice to
- 17 individuals who may not be sophisticated in the
- 18 procedures. But we see no reason why the Commission
- 19 shouldn't hold its own members to a standard that at
- 20 least fully satisfies the requirements of the statute.
- 21 We don't think that there is anything burdensome or
- 22 disruptive about requiring the Commission to do that.
- No one is arguing here that the Commission has
- 24 to disclose its entire investigative file, all the facts
- 25 in its possession, that is certainly not what the Eighth

- 1 Circuit required. We feel that that is the fallacy of
- 2 those courts -- the other circuits that have ruled in
- 3 such a way as not to give effect to the circumstances
- 4 requirement of the statute. They failed to see that
- 5 there is a middle ground here. There need not be a
- 6 choice between a strict factual -- detailed factual
- 7 pleading requirement on the one hand, and no standard at
- 8 all on the other.
- We think that as a matter of policy, early
- 10 disclosure of the basic facts that the Commission relied
- 11 on in deciding to file a charge would help to focus the
- 12 issues; would lay a better groundwork for conciliation;
- 13 would assist both the Commission, district offices, and
- 14 the Respondent in identifying facts and data that might
- 15 be relevant; would help to promote early voluntary
- 16 compliance --
- 17 QUESTION: As a matter of policy, of course,
- 18 you can make some very strong arguments the other way.
- 19 The Federal Rules of Civil Procedure have worked pretty
- 20 well. They pretty well limited fact requirement almost
- 21 out of existence in its notice pleading. It seems to me
- 22 that your strongest case is based on the statute, and
- 23 not on what be the best policy for Congress to choose.
- MR. WILLIAMS: I would agree that the
- 25 principal argument is based on the statute. I do think,

- 1 though, Your Honor, that in a statute such as this,
- 2 which places such heavy emphasis on voluntary compliance
- 3 and conciliation, it is relevant to consider the impact
- 4 that the Commission's stonewall approach of not
- 5 disclosing anything has on the relationships between the
- 6 parties at the early stage.
- 7 If the Respondent knows basically what the
- 8 Commission is basing its case on, he may be able in many
- 9 cases to come forward with an explanation or some
- 10 exculpatory data at the very outset of the investigation
- 11 that will obviate the need for this kind of extremely
- 12 time-consuming, and expensive probing into every aspect
- 13 of its employment practices. So we think that that
- 14 policy consideration is simply -- is consistent with the
- 15 literal interpretation of the statute.
- I haven's said much to this point about the
- 17 date requirement, Your Honors. Obviously, the date of
- 18 an alleged violation is somewhat more difficult to spell
- 19 out in a case involving a continuing practice, or an
- 20 alleged continuing practice of discrimination, than it
- 21 is in an individual discharge situation.
- 22 But we still think that a fair construction of
- 23 Section 706(b) requires something more specific than a
- 24 mere reference to the effective date of the act,
- 25 particularly where there is nothing else recited in the

- 1 charge that would indicate any basis for the selection
- 2 of that date.
- In other words, the date and circumstances
- 4 notifications may compliment one another. The
- 5 circumstances when properly set forth should indicate
- 6 why the date chosen is a good faith estimate of the
- 7 probable time periods of the violations. A date that
- 8 would be insufficient standing alone, might be
- 9 sufficient in the context of a recitation of
- 10 circumstances.
- In the worst offender situation that the
- 12 Government cites, it should be relatively easy to set
- 13 forth circumstances that would support a starting date
- 14 going all the way back to the beginning of the Act. In
- 15 other situations, the dates may have to be more specific
- 16 in order to make it clear what the circumstances are
- 17 that are being referred to. Without something more than
- 18 a mere insertion of the July 2nd, 1965, date is no more
- 19 -- is really no more informative than a citation to the
- 20 Act itself.
- 21 In summary, let me just emphasize again that
- 22 this is a straightforward case of statutory
- 23 construction. To uphold the Commission's construction
- 24 is to read the statute as if the words "date, place and
- 25 circumstances" were not there. To affirm the decision

- 1 below is to give those words their ordinary meaning.
- 2 This does not require a choice between a hypertechnical
- 3 pleading standard or no standard at all. We think the
- 4 Eighth Circuit properly perceived a middle ground.
- We do believe, though, Your Honors, that since
- 6 the case is here that some further clarification of what
- 7 the terms "date and circumstances" mean in the context
- 8 of a systemic discrimination case, based primarily on
- 9 statistics, might be helpful. We suggested some fairly
- 10 minimal factors in our brief at Footnote 38 that we
- 11 think should be required in a case such as this.
- 12 QUESTION: May the Commission file a charge
- 13 just at the sheer request of another party?
- 14 MR. WILLIAMS: If there is a complaint or
- 15 request from an individual, yes, the Commissioner can
- 16 file a charge to --
- 17 OUESTION: What if the Commission just gets a
- 18 letter from somebody that complains in exactly these
- 19 words that were in this notice, that this company has
- 20 since the date of the Act discriminated against women
- 21 and Blacks in certain categories, period, and the
- 22 Commission files the charge in those very words, and
- 23 gives notice in those very words.
- 24 MR. WILLIAMS: I think, then, Your Honor, the
- 25 charge would be deficient because the individual's

- 1 complaint has not complied with the basic requirement
- 2 that the date, place and circumstances be specified.
- 3 QUESTION: So you're saying that the
- 4 Commission may not file a charge based on the kind of a
- 5 letter that I indicated.
- 6 MR. WILLIAMS: Not without something more. If
- 7 there is some -- I think it is appropriate, Your Honor,
- 8 to interpret those terms perhaps somewhat more leniently
- 9 where a layperson, an individual is filing the charge.
- 10 The Commissioner's charge then might say, "Based on a
- 11 complaint received from an individual -- The Eighth
- 12 Circuit indicated that it should --
- 13 QUESTION: So that any kind of a charge, in
- 14 any circumstance, the Commission must satisfy this
- 15 "circumstances" requirement.
- 16 MR. WILLIAMS: Yes, Your Honor.
- 17 If there are no further questions, the company
- 18 will rest on its brief.
- 19 CHIEF JUSTICE BURGER: Very well.
- 20 Mr. Wilkins, do you have anything further, you
- 21 have three minutes remaining.
- 22 REBUTTAL ARGUMENT OF RICHARD G. WILKINS, ESQ.
- 23 ON BEHALF OF THE PETITIONER
- 24 MR. WILKINS: Just one or two points, Mr.
- 25 Chief Justice.

- 1 We specified, or the Government specified in
- 2 its charge the date, place, and circumstances of the
- 3 Respondent's alleged discriminatory conduct. The
- 4 statute simply does not require us to file a bill of
- 5 particulars before we can begin administrative
- 6 investigation.
- 7 Mr. Justice Stevens, responding to your
- 8 inquiry regarding SOCAL, we believe -- the Government
- 9 believes that it is very clear that you should not, even
- 10 in a subroena enforcement proceeding, inquire beyond the
- 11 facial validity of the charge. This charge in this case
- 12 was facially valid. Indeed, the Court's prior precedent
- 13 in subpoena enforcement is very clear that inquiry is
- 14 limited --
- 15 QUESTION: How do you know whether the charge
- 16 in this case was facially valid?
- MR. WILKINS: We gave the date, place, and
- 18 circumstances of the alleged discriminatory conduct.
- 19 QUESTION: If the Eighth Circuit had agreed
- 20 with that, they would have --
- 21 MR. WILKINS: They wanted more than the date,
- 22 place, and circumstances. They wanted the facts.
- 23 QUESTION: You are saying, though, that the
- 24 question of whether the circumstances requirement has
- 25 been complied with is something that can be raised in a

- 1 subpoena enforcement proceeding.
- 2 MR. WILKINS: If --
- 3 QUESTION: Surely there is a yes or no answer
- 4 to that, Mr. Wilkins.
- MR. WILKINS: Well, the subpoena enforcement
- 6 proceeding should not become a forum for broad-base
- 7 inquiry into such questions as whether or not the
- 8 Commission had more facts, whether they could have given
- 9 more information.
- 10 QUESTION: That doesn't help us much. Is it
- 11 yes or no? Is the answer to my question yes or no?
- MR. WILKINS: If you could restate your
- 13 question, I will give you a yes or no answer.
- 14 QUESTION: May the question of whether the
- 15 circumstances requirement of the statute has been
- 16 complied with in the notice be raised in a subpoena
- 17 enforcement proceeding?
- 18 MR. WILKINS: Yes, but it should be determined
- 19 on the face of the subpoena enforcement -- on the face
- 20 of the charge itself. If you go beyond that, as we
- 21 state on page 45 of our brief, we list a whole range of
- 22 administrative agencies that have some sort of
- 23 sufficiency requirement before they can commence their
- 24 investigation, they must base a charge on reasonable
- 25 cause, et cetera -- If the Court goes beyond facial

- 1 validity, the first salvo in any sort of litigation is
- 2 going to be whether or not the charging party had enough
- 3 facts. In civil litigation in District Court, it could
- 4 become --
- 5 QUESTION: Nobody is arguing that you should
- 6 go beyond the facial validity. Shell doesn't content
- 7 you should go beyond the facial validity. We are just
- 8 saying that one element of the facial validity is
- 9 whether the circumstances requirement has been complied
- 10 with.
- 11 MR. WILKINS: Exactly. But the only purpose
- 12 of the circumstances requirement will be to give some
- 13 sort of notice. In this case, that purpose has been
- 14 fulfilled. If they had more specific facts, if they had
- 15 a mcre precise date, as they claim they need, or more
- 16 precise factual underpinning that it was in the broom
- 17 that they were excluded, instead of just from the
- 18 general FEO-1 categories, that wouldn't limit the
- 19 administrative investigation. The administrative
- 20 investigation can still inquire into the patterns of
- 21 practices outside the specifics of the charge.
- 22 So the supposed benefits of giving them the
- 23 specifics and the factual specifics are simply illuscry,
- 24 because --
- 25 QUESTION: What is you think that one of the

1 purposes for requiring the circumstances was to assure 2 that the Commission didn't have unlimited discretion to 3 file a charge, that it was a fact-based -- that it had 4 to be a fact-based charge. If the Commission has facts, 5 it should summarize them in the notice, and thereby 6 carry out Congress's intention not to file charges just 7 for the purpose of having an investigation. MR. WILKINS: That argument makes some sense 9 assuming that that is what Congress indeed did. The 10 Government submits that the legislative history of this 11 Act is clear that Congress did not impose such a 12 requirement. 13 CHIEF JUSTICE BURGER: Thank you, gentlemen. 14 The case is submitted. (Whereupon, at 11:59 a.m., the case in the 15 16 above-entitled matter was submitted.) 17 18 19 20 21 22 23 24 25

CERTIFICATION

Alderson Reporting Company, Inc., hereby certifies that the attached pages represent an accurate transcription of elactronic sound recording of the oral argument before the Supreme Court of the United States in the Matter of:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION, Petitioner vs.

82-825

and that these attached pages constitute the original transcript of the proceedings for the records of the court.

ZE

(REPORTER)

SUPREME COURT, U.S. MARSHAL'S OFFICE

83 NOV -1 P4:27