

# OFFICIAL TRANSCRIPT PROCEEDINGS BEFORE

THE SUPREME COURT OF THE UNITED STATES

**DKT/CASE NO.** 82-1213

**TITLE** NEW YORK, Petitioner v. BENJAMIN QUARLES

**PLACE** Washington, D. C.

**DATE** January 18, 1984

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IN THE SUPREME COURT OF THE UNITED STATES

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NEW YORK, :  
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Petitioner :  
:  
v. : No. 82-1213  
:  
BENJAMIN QUARLES :  
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Washington, D.C.  
Wednesday, January 18, 1984

The above-entitled matter came on for oral  
argument before the Supreme Court of the United  
States at 11:09 a.m.

APPEARANCES:

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Attorney, Queens County, New York, New York;  
on behalf of the Petitioner.  
  
DAVID A. STRAUSS, ESQ., Office of the Solicitor  
General, Department of Justice, Washington,  
D.C.; as amicus curiae supporting Petitioner.  
  
STEVEN J. HYMAN, ESQ., New York, New York; on  
behalf of the Respondent.

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P R O C E E D I N G S

CHIEF JUSTICE BURGER: Mr. Rappaport, I think  
you may proceed whenever you are ready.

ORAL ARGUMENT OF STEVEN J. RAPPAPORT, ESQ.

ON BEHALF OF THE PETITIONER

MR. RAPPAPORT: Thank you, Mr. Chief Justice,  
and may it please the Court:

The issue in this case is whether the Court of  
Appeals of the State of New York properly suppressed the  
defendant's statement, the gun is over there, as having  
been elicited in violation of his federal constitutional  
rights and, if so, whether they also properly suppressed  
the defendant's subsequent statements admitting ownership  
of the gun, and the gun itself which was recovered seconds  
after the statement.

This Court has made it clear that the primary  
purpose of the exclusionary rule is to deter police  
misconduct. Since Officer Kraft acted properly in the  
instant case, however, there is simply no purpose to be  
served by exclusion of any of the evidence obtained as a  
result of his actions.

Briefly, the facts of the case are that Officer  
Kraft had probable cause to believe that the Respondent  
had committed a rape and was in possession of a gun in a  
supermarket. When the Respondent saw Officer Kraft, he



1 ran towards the back of the store. Kraft pursued, lost  
2 sight of him for a few seconds, caught up to him,  
3 handcuffed him, frisked him, found an empty shoulder  
4 holster, and then asked, where is the gun? The defendant  
5 indicated a carton a few feet away. He said, there is the  
6 gun. Kraft recovered the gun from that carton and then  
7 asked the defendant, is this your gun? The defendant  
8 said, yes. He admitted ownership of it. And, Kraft asked  
9 where he bought it and the Respondent told him where he  
10 bought it.

11 Under the circumstances then, it is our position  
12 that Officer Kraft acted in a necessary and prudent  
13 fashion in securing that gun as quickly as he could.

14 The contention of the Respondent or of the Court  
15 of Appeals, of course, was that Officer Kraft's action was  
16 prescribed by this Court's opinion in Miranda versus  
17 Arizona.

18 If one examines the considerations underlying  
19 the Miranda opinion, however, it becomes clear that none  
20 of those considerations apply in the case at bar.

21 Specifically, the Miranda opinion talked about a  
22 lengthy interrogation in an incommunicado atmosphere with  
23 abusive police practices that lead to unreliable  
24 confessions.

25 Well, in the instant case, we have a single

1 question asked at a public place for the purpose of  
2 protection of the police officers and any members of the  
3 public who might be in the vicinity and there were no  
4 abusive police practices because the officers had already  
5 holstered their weapons at the time that the question was  
6 asked, and the defendant's statement was completely  
7 reliable and its reliability was, in fact, proved seconds  
8 later when the gun was located exactly where the  
9 Respondent said that it would be.

10 Of course, it may be said that even if the  
11 considerations underlying the Miranda opinion do not apply  
12 to the case, the holding of the Miranda opinion requires  
13 suppression of the defendant's statement and the  
14 derivative evidence.

15 QUESTION: When you say the holding of the  
16 Miranda opinion, was there a holding in Miranda?

17 MR. RAPPAPORT: Well, as I understand it, the  
18 holding of the case was that --

19 QUESTION: It was really all dicta, the whole  
20 opinion, wasn't it? Because none of the facts of the  
21 particular Miranda fellow, who was convicted of an offense  
22 and everything, didn't raise any of the questions that the  
23 Court decided.

24 MR. RAPPAPORT: I understand what you are  
25 saying. That is why I thought it important to discuss

1 the considerations underlying the opinion which take up a  
2 great portion of the body of the opinion. But, whether  
3 you want to call it dicta or holding, the Court certainly  
4 prescribed certain rules for police officers to follow.

5 QUESTION: There have been quite a few cases  
6 since then.

7 MR. RAPPAPORT: There have been many cases since  
8 then, of course, interpreting that. It is our position,  
9 of course, that while we are willing to concede for  
10 purposes of our argument that defendant was in custody at  
11 the time the question was asked, we submit he was not  
12 subjected to interrogation.

13 Now, there was language in the Miranda opinion  
14 itself exempting from the definition of custodial  
15 interrogation general on-the-scene questioning as to facts  
16 surrounding a crime. The opinion itself left open,  
17 however, whether such questioning can occur when an  
18 individual was in custody.

19 Now, this Court did not return to the definition  
20 of interrogation for purposes of the Fifth Amendment until  
21 1980 in Rhode Island versus Innis. In Innis, of course,  
22 the Court held that in addition to express questioning  
23 interrogation also includes any words or actions on the  
24 part of the police officer other than those normally  
25 attendant in arrest and custody which the police should

1 know are pretty likely to elicit an incriminating  
2 response..

3 Now, if that Innis standard --

4 QUESTION: In Innis the Court seems to have  
5 decided that unlike Williams against Brewer that there was  
6 no question put to Innis. There was a statement made by  
7 one policeman to another which was said to have stimulated  
8 the response of the accused.

9 MR. RAPPAPORT: That is correct.

10 QUESTION: This question was directed to the  
11 accused, was it not?

12 MR. RAPPAPORT: Yes, it was. Of course, it was.  
13 But, I was about to say that if the Innis standard were to  
14 be applied to the case at bar, we would submit that the  
15 question, where is the gun, posed to an individual who is  
16 being arrested for an armed felony, and when there is  
17 reason to believe that there is a gun in the immediate  
18 vicinity of the arrest in a public place, it must be  
19 considered normally attendant to the arrest and custody of  
20 that individual.

21 However, as you point out, the Innis opinion  
22 itself did not involve an express question and we would  
23 submit that on the face of the opinion the standard set  
24 forth in this was not meant to apply to express questions.

25 QUESTION: Well, Mr. Rappaport, certainly the



1 language in Rhode Island versus Innis seemed to indicate  
2 that questions reasonably likely to elicit incriminating  
3 responses were not allowable in the absence of the Miranda  
4 warnings. And, it seems to me that your proposal is  
5 certainly contrary to that as to the admissibility of the  
6 response to that question.

7 MR. RAPPAPORT: Well, I think, Justice O'Connor,  
8 that the face of the Innis opinion says that interrogation  
9 is either express questioning or the functional equivalent  
10 of express questioning and then went on to define the  
11 functional equivalent of express questioning in terms of  
12 whether it is reasonably likely to elicit an incriminating  
13 response.

14 Again, as the Chief Justice pointed out, the  
15 Innis opinion itself did not involve an express question  
16 and the standard it was formulating was not intended to  
17 apply to express questions.

18 Now, there have been, however, many state and  
19 lower federal court opinions which have answered the  
20 question which both Miranda and Innis left open,  
21 specifically whether any expressed questions asked of an  
22 individual in custody can not be considered interrogation  
23 for purposes of Miranda. Specifically -- It is  
24 universally accepted that booking and pedigree questions  
25 do not constitute interrogation.

1           Secondly, there are a series of cases from many  
2 jurisdictions relying on the language of Miranda involving  
3 general on-the-scene questioning referring to questions  
4 preliminary or neutral or designed to clarify the nature  
5 of the situation confronted.

6           What all of these cases have in common is this  
7 recognition that sometimes expressed questions asked of an  
8 individual in custody may not constitute interrogation. I  
9 think it depends on the nature of the question asked.

10           QUESTION: This question goes to the very heart  
11 of the offense, doesn't it?

12           MR. RAPPAPORT: Well, given that the offense  
13 now -- The only charge left open now is the possession of  
14 the weapon. Of course, it does.

15           QUESTION: That is pretty incriminating, I  
16 suppose.

17           MR. RAPPAPORT: There is no question that that  
18 is incriminating, but the mere fact that the answer to a  
19 question might be incriminating or is even likely to be  
20 incriminating does not necessarily mean that Miranda  
21 prescribes the question to be asked.

22           More specifically relevant to the instant case,  
23 there have been many or at least several state and lower  
24 court cases dealing with the issue of questioning a  
25 defendant about the presence of a weapon in the immediate

1 vicinity of the arrest. And, these cases have generally  
2 held that such questioning does not constitute  
3 interrogation.

4 Now, sometimes they use the same reasoning as  
5 the general on-the-scene questioning cases, talking  
6 about -- saying that the question about a weapon, in fact,  
7 was designed to clarify the nature of the situation --

8 QUESTION: Well, it is a question about a crime,  
9 the Sullivan law in New York.

10 MR. RAPPAPORT: Yes, of course, it is a question  
11 about a crime, Justice Marshall, but the question, what is  
12 going on here, when there is a crime going on --

13 QUESTION: No, this was specific. Where is the  
14 gun and to have a gun is a violation of the law in New  
15 York unless you have a permit. So, you are asking him,  
16 have you committed a crime?

17 MR. RAPPAPORT: I think in this situation the  
18 duty of Officer Kraft and I think, apparent from the  
19 record, the intention of Officer Kraft was not to  
20 investigate the possession of a weapon or even to  
21 investigate the rape charge, but his intention was to  
22 secure this weapon.

23 QUESTION: He had already frisked him.

24 MR. RAPPAPORT: He had already frisked him and  
25 determined that the weapon was not on the defendant's

1 person, but it could have been, and very likely was, in  
2 the immediate vicinity of the Respondent in a supermarket,  
3 and the obligation of the police officer at that point was  
4 to secure that weapon.

5 QUESTION: As a practical matter, Mr. Rappaport,  
6 precisely that question, precisely that question could  
7 have been addressed to one of the clerks or customers in  
8 the store if they were standing nearby, near enough to see  
9 what had been done with the gun, is that not so?

10 MR. RAPPAPORT: Of course, that is so.

11 QUESTION: So, the response of the customer or  
12 the clerk would not involve a question about a crime,  
13 would it?

14 MR. RAPPAPORT: That is correct. And, the fact  
15 that the question was addressed to the Respondent, as  
16 opposed to someone else who might or might not have been  
17 at the scene, should not change our view of the  
18 obligations of the police officer in that instance. His  
19 obligation was to secure that weapon.

20 QUESTION: Mr. Rappaport, I guess there are  
21 other issues that you raise in the case. Did you expect  
22 to discuss those during your presentation?

23 MR. RAPPAPORT: I would like to discuss those.

24 QUESTION: And, would you mind mentioning  
25 whether you raised those issues in the courts below?



1 MR. RAPPAPORT: Certainly.

2 Just to conclude on this issue, however, I think  
3 an alternative rationale with respect to the admission of  
4 the first statement would be to say that it doesn't really  
5 matter whether you call this interrogation or not, but the  
6 exigent circumstances of having the gun loose in the  
7 supermarket overrode the necessity for Miranda warnings.

8 Also, it is undisputed that the officer had a  
9 right to search the defendant. Given that right, it is up  
10 to Congress to say he does not have the right also to ask  
11 him where the gun was. Whichever theory you choose to  
12 use, however, the point is that Officer Kraft acted  
13 properly and, given that fact, there is no reason to  
14 suppress the evidence.

15 Now, it is also our position that if, in fact,  
16 it is found that the defendant's initial statement was to  
17 be suppressed, then the subsequent statement and the gun  
18 itself need not be suppressed.

19 Now, first of all, we made an argument regarding  
20 Michigan versus Tucker, and as I think you were alluding  
21 to --

22 QUESTION: In what court did you make that  
23 argument?

24 MR. RAPPAPORT: We made that argument in this  
25 Court. We did not make that argument in the courts below.

1 It is our position though that we did raise the federal  
2 question regarding whether the derivative evidence had to  
3 be excluded given the initial violation.

4 MR. RAPPAPORT: And, in what court or courts did  
5 you raise that?

6 MR. RAPPAPORT: We raised that in the Appellate  
7 Division of the State Supreme Court of New York and in the  
8 Court of Appeals of the State of New York.

9 In the hearing court, in fact, no arguments of  
10 any kind were made by the representative of our office.  
11 We do not think that precludes us from raising particular  
12 issues on appeal.

13 If the record -- As we --

14 QUESTION: You don't have to make any argument  
15 when the arguments are directed against you in the trial  
16 court, do you? I mean, it is the other people who are  
17 making the federal challenge and so forth. Your first  
18 chance to really be on the other side is if the trial  
19 court rules against and you go to the Appellate Division.

20 MR. RAPPAPORT: Well, that is exactly our  
21 position. That is why the Assistant District Attorney,  
22 who was at that hearing, did not feel it necessary to make  
23 a particular argument at the hearing. Again, we do not  
24 think that precludes us from raising these issues on  
25 appeal.

1 QUESTION: Well, they addressed them in the  
2 state courts, didn't they?

3 MR. RAPPAPORT: They --

4 QUESTION: You weren't precluded from making  
5 those arguments in the State Appellate Court.

6 MR. RAPPAPORT: We were not precluded from  
7 making the arguments. In fact, the State Appellate  
8 Division, the intermediate court, did not issue a decision  
9 on the matter, and the New York State Court of Appeals did  
10 not discuss the issue of inevitable discovery. We do not  
11 think that because the Court of Appeals did not discuss  
12 it, however, that that necessarily implies --

13 QUESTION: Well, if you made the argument and  
14 they ignored it, they necessarily rejected it.

15 MR. RAPPAPORT: Well, they necessarily rejected  
16 it, but we think that that rejection is subject to review  
17 by this Court. That is the point I am trying to make.

18 Well, with respect to the argument with regard  
19 to Michigan versus Tucker, of course, we believe that this  
20 would not be a Fifth Amendment violation even if, in fact,  
21 the question was a violation of this Court's opinion in  
22 Miranda. The conduct at issue here certainly bears no  
23 resemblance to the historical practices at which the Fifth  
24 Amendment was aimed.

25 Therefore, the Wong Sun test for determining the

1     admissibility of derivate evidence need not be applied and  
2     there should be a balancing between the benefits and  
3     detriments of exclusion of the derivative evidence. We  
4     think if one does that balancing, it is clear that the  
5     deterrent effect of excluding the derivative evidence is  
6     minimal. It is even questionable to what extent the  
7     exclusionary rule ever really deters police misconduct.  
8     Certainly we are talking about derivative evidence. That  
9     effect is even lessened because the police officer would  
10    not be likely to want to risk losing the full confession  
11    in the hope of gaining derivative evidence.

12                 QUESTION: What if you won only on the fruits  
13    question? His initial statement was introduced too,  
14    wasn't it?

15                 MR. RAPPAPORT: This case has not been tried  
16    yet.

17                 QUESTION: I see.

18                 MR. RAPPAPORT: We would not introduce it. We  
19    think we could convict the defendant only on what --

20                 QUESTION: With the gun, yes.

21                 MR. RAPPAPORT: So, given the speculative nature  
22    of the deterrent value of excluding the evidence, that is  
23    balanced against the interest in making trustworthy  
24    evidence available. We think that the derivative evidence  
25    would be admissible.



1           Finally, with respect to the issue of inevitable  
2       discovery, very briefly we think that there is no question  
3       on the facts of this case that that gun would have been  
4       discovered without the defendant's statement. We don't  
5       think that any extensive testimony, any testimony at all  
6       other than that which appeared on the record, was  
7       necessary to establish that fact.

8           If there are no further questions, I would like  
9       to reserve the rest of my time.

10           CHIEF JUSTICE BURGER: Very well.

11           Mr. Strauss?

12           ORAL ARGUMENT OF DAVID A. STRAUSS, ESQ.

13           AS AMICUS CURIAE SUPPORTING PETITIONER

14           MR. STRAUSS: Thank you, Mr. Chief Justice, and  
15       may it please the Court:

16           In Rhode Island against Innis, the Court  
17       specifically excepted from its definition of interrogation  
18       questions normally attendant to arrest and custody.

19           And, it is our position that Officer Kraft's  
20       question, where is the gun, belongs to a definable  
21       category of questions that are incident to an arrest; that  
22       is to say his question was part of the process of safely  
23       and successfully completing the arrest of Respondent.  
24       And, in our view, Miranda warnings should not be required  
25       before question that is incident to an arrest.

1                   Now, when Respondent was asked, where is the  
2 gun, he had undoubtedly been seized within the meaning of  
3 the Fourth Amendment, and it has been assumed all along  
4 that he had been arrested. But, it does not follow, as  
5 the court below seemed to believe, that he, therefore, had  
6 to be given Miranda warnings immediately before he was  
7 asked any questions whatsoever.

8                   The concepts of arrest and seizure are Fourth  
9 Amendment concepts. Miranda rules are derived from the  
10 Fifth Amendment which, of course, serves quite different  
11 purposes. And, there is no reason for the Miranda rules  
12 necessarily to become automatically applicable whenever  
13 there is a Fourth Amendment arrest. That does not serve  
14 the purposes of Miranda and, very importantly, it  
15 certainly does not provide police officers with any  
16 clearer guidance about when they should give Miranda  
17 warnings than the interpretation of Miranda that we  
18 propose.

19                  QUESTION: Is your argument inconsistent, do you  
20 think, with the Orozco case?

21                  MR. STRAUSS: No, I don't think so, Justice  
22 O'Connor. In that case, there is no indication that the  
23 questions they asked were even asked roughly at the same  
24 time of the arrest, let alone that they were necessary to  
25 completing the process of the arrest.

1 I think Orozco can be understood as a case in  
2 which the police officers, because they couldn't bring the  
3 accused to the station house and interrogate him without  
4 Miranda warnings.

5 QUESTION: Well, you are not arguing that this  
6 question was necessary to complete the arrest, are you?

7 MR. STRAUSS: It was necessary to complete the  
8 process of arresting him safely in the same way that  
9 searches --

10 QUESTION: Well, I thought that the handcuffs  
11 had already been placed on him and that he had been  
12 arrested at the time of the question. Am I wrong?

13 MR. STRAUSS: The handcuffs had been placed on  
14 him, but the officer -- Certainly no prudent officer at  
15 that point, having heard reliably that the suspect had a  
16 gun and having found an empty shoulder holster and having  
17 lost sight of him during the chase for 10 or 15 seconds,  
18 would have thought that he could relax and begin the  
19 process of investigating the crime and putting the  
20 Respondent in jail.

21 QUESTION: Was he still in danger after he was  
22 handcuffed?

23 MR. STRAUSS: Well, he apparently thought so.  
24 He asked for the gun. And, I should point out that there  
25 is no --

1                   QUESTION: Well, what in the world could happen  
2 to him if the man was handcuffed with his hands behind  
3 him?

4                   MR. STRAUSS: Well, there is no doubt, Justice  
5 Marshall, that he could have conducted a search incident  
6 to arrest of the area of Respondent, of the --

7                   QUESTION: He didn't. He asked him a question.

8                   MR. STRAUSS: Well, he did the more prudent  
9 thing. He asked him the question. A search incident to  
10 arrest would have taken some time and during that time the  
11 gun might have turned up, for example, in the hands of an  
12 accomplice.

13                  QUESTION: And, as the East Indian said, it is  
14 much easier to rub red pepper in his eyes instead of doing  
15 my work.

16                  MR. STRAUSS: Well, this was not an abusive  
17 action or a coercive action. There has been no finding --

18                  QUESTION: All he did was ask a question.

19                  MR. STRAUSS: There has been no finding and, I  
20 think, no serious suggestion that he coerced Respondent  
21 into answering the question.

22                  QUESTION: Do you have to have coercion? Do you  
23 mean a man that is handcuffed is not coerced?

24                  MR. STRAUSS: He is coerced into not moving his  
25 hands, but not into answering a question. There has been



1 no finding to that effect.

2 A search incident to arrest --

3 QUESTION: Would your distinction between  
4 seizure and custody come in airport search cases where  
5 perhaps you might say that in response to a stop and  
6 frisked a person felt they were not free to walk away, but  
7 nonetheless you would say they may be seized but they  
8 weren't there in custody?

9 MR. STRAUSS: That is exactly right, Justice  
10 Rehnquist. A Terry stop is a seizure within the meaning  
11 of the Fourt Amendment by definition. A Terry stop is a  
12 situation in which the accused can't walk away.

13 QUESTION: He wasn't handcuffed.

14 MR. STRAUSS: Well, there is nothing that says  
15 in a Terry stop the officers couldn't handcuff an accused  
16 if they thought it necessary.

17 QUESTION: But, they didn't.

18 MR. STRAUSS: Well, in no case before this  
19 Court, but I suspect strongly that in some Terry stops  
20 accused are handcuffed.

21 QUESTION: In the Terry case he frisked him for  
22 his own protection.

23 MR. STRAUSS: That is right, in the Terry case  
24 itself.

25 QUESTION: Well, he didn't need to frisk this

1 man for he has already frisked him.

2 MR. STRAUSS: Well, he frisked --

3 QUESTION: And, he found an open holster.

4 MR. STRAUSS: Well, that is right, but in a  
5 Terry stop --

6 QUESTION: And, then he handcuffed him.

7 MR. STRAUSS: That is right.

8 QUESTION: So that he couldn't get any gun.

9 MR. STRAUSS: Well, there might have been an  
10 accomplice or --

11 QUESTION: Could he have done it with his teeth  
12 and fired with his teeth?

13 MR. STRAUSS: Well, the most logical explanation  
14 is there could have been an accomplice, Justice Marshall.

15 But, Justice Rehnquist, I think you are right  
16 in pointing out that a Terry stop is also seizure and the  
17 Court has always assumed, and the lower courts have  
18 consistently held, and I think common sense dictates, that  
19 Miranda warnings don't have to be given before questions  
20 that are asked during a Terry stop.

21 QUESTION: Weren't there other people in the  
22 store too?

23 MR. STRAUSS: I believe that is reflected in the  
24 record. Yes, Justice Blackmun.

25 QUESTION: Doesn't the record show that he was

1 hoping he would come out of the store so that he wouldn't  
2 have to bother the other people? Didn't the policeman say  
3 that?

4 MR. STRAUSS: I don't know. I have no doubt  
5 that he would rather have arrested him outside the store.

6 QUESTION: It said it in the Appendix.

7 MR. STRAUSS: He didn't. He arrested him in the  
8 store in a place where the gun could have been hidden.

9 Now, given that, in a Terry stop, Miranda  
10 warnings don't have to be given before questioning. And,  
11 I think that is a universal assumption. When the police  
12 approach a suspect and order him to stop and ask him a few  
13 questions, the suspect probably doesn't know in the first  
14 few minutes whether he has been subjected to a Terry stop  
15 or to an arrest.

16 So, it is difficult to see why the first few  
17 minutes of an arrest and questions asked incident to the  
18 arrest should be regarded as more coercive and more in  
19 need of Miranda warnings than a Terry stop.

20 QUESTION: Well, is it some -- just some major  
21 time in connection with the arrest and no difference  
22 between the kinds of questions? Incident to arrest, did  
23 you kill her?

24 MR. STRAUSS: Well, I think -- Our position now  
25 is that the question has to be part of completing the

1     arrest process, so, did you commit the crime, would not  
2     be.

3             QUESTION: Well, I suppose. You don't want to  
4     arrest somebody who didn't commit a crime.

5             MR. STRAUSS: But, his denying it is not going  
6     to cause him not to arrest him, Justice White. You don't  
7     have to know the answer to that question if you have  
8     decided to arrest him. If he says no, you are still going  
9     to arrest him.

10            I should also say, because Respondent doesn't  
11    actually seem to argue that Miranda warnings are needed  
12    during the first few minutes of an arrest or few moments  
13    of an arrest in this case or that that is the kind of  
14    situation that Miranda was directed to, what the Miranda  
15    court had in mind.

16            In Respondent's argument --

17            QUESTION: Well, if it isn't, why shouldn't you  
18    be able to ask him where is the body or did you kill her?

19            MR. STRAUSS: Well, I think it would be a  
20    consistent position to say that during the time of an  
21    arrest that it could be a Terry stop. You could ask any  
22    question. But, we are not arguing that here, Justice  
23    White.

24            QUESTION: Well, you seem to be though. You say  
25    you put that aside, but I think your rationale would cover



1 any kind of a question at that time.

2 MR. STRAUSS: Well, to the extent that the Court  
3 needs a bright-line rule of some kind. We think the  
4 incident to arrest criteria --

5 QUESTION: I thought you were suggesting let's  
6 have a bright-line rule for officers.

7 MR. STRAUSS: Well, the Court needs to supply a  
8 bright-line rule to officers.

9 QUESTION: An officer is not going to get a very  
10 bright line if you make a distinction between the kinds of  
11 questions you can ask.

12 MR. STRAUSS: Well, I don't know that that is  
13 right, Justice White. The incident to arrest criterion is  
14 a familiar one from other areas and officers don't seem to  
15 have inordinate difficulty applying it conducting searches  
16 incident.

17 QUESTION: I would have some difficulty  
18 distinguishing, under your rationale, between did you kill  
19 her and where is the gun?

20 MR. STRAUSS: Well, questions that are designed  
21 to find -- like searches incident to arrest, the rationale  
22 for which is that officers need to find a weapon, they  
23 need to secure their own safety. That is part of what  
24 they have to do to complete the arrest.

25 QUESTION: May I ask this question?

1 MR. STRAUSS: Yes, sir.

2 QUESTION: Suppose the officer in the pat-down,  
3 instead of finding a weapon, found a couple of the types  
4 of balloons that normally are used to move marijuana  
5 about. Could he have asked where is the marijuana?

6 MR. STRAUSS: I think, under the search incident  
7 to arrest rationale, evidence that might be destroyed by  
8 the accused before he is arrested might be included in  
9 that. I think that is a close call. And, that is, of  
10 course, not this case where there is no doubt --

11 QUESTION: You could have a rationale that  
12 turned on danger either to the officer or the public.

13 MR. STRAUSS: Well, I think danger is an  
14 important part of it, making sure that the person is the  
15 person who you think he is is an important part of it,  
16 questions along those lines.

17 QUESTION: What if the facts were -- The  
18 question was is that your red Volkswagon out in front of  
19 the store and then that is asked against the background  
20 that the police had then been informed by the victim, the  
21 complaining person, that she had been abducted and taken  
22 somewhere by a red Volkswagon.

23 MR. STRAUSS: That is a good example, Mr. Chief  
24 Justice. I think there would be considerable doubt  
25 whether the suspect in that situation would be under

1     arrest or just subject to a Terry stop or may be subject  
2     to no seizure at all. In fact, the officer might not  
3     know, the suspect might not know, and the reviewing court  
4     might not know into what Fourth Amendment pigeonhole to  
5     put that in --

6             QUESTION: Well, I am assuming in my  
7     hypothetical that he answered, yes, that is my red  
8     Volkswagon, which would certainly rather firmly tie him to  
9     the criminal act, wouldn't it?

10            MR. STRAUSS: Yes, I assume.

11            CHIEF JUSTICE BURGER: Your time is up.  
12     Mr. Hyman?

13            ORAL ARGUMENT OF STEVEN J. HYMAN, ESQ.

14                    ON BEHALF OF THE RESPONDENT

15            MR. HYMAN: Thank you. Mr. Chief Justice, and  
16     may it please the Court:

17                    It is the position of the Respondent that when  
18     Officer Kraft frisked before other officers, surrounded,  
19     apprehended, frisked, and handcuffed Mr. Quarles at that  
20     time and then started to interrogate him, that Mr. Quarles  
21     was entitled to have his Miranda warnings administered  
22     prior to the question, where is the gun?

23                    As this Court is aware, the only charge before  
24     this Court for which Mr. Quarles is charged is, of course,  
25     the gun charge itself and the sole basis for the charge is

1 his words, "the gun is over there," and then the location  
2 of the weapon itself.

3 I believe that the law, as this Court has framed  
4 it in Miranda, is settled today and --

5 QUESTION: What if the officer had -- He saw the  
6 defendant down at the end of this aisle and he pulled his  
7 gun and said halt or raise your hands and the defendant  
8 halted and raised his hands. And, the officer, with his  
9 gun still pointed at him, says, where is your gun?

10 MR. HYMAN: That, Your Honor, has been permitted  
11 in New York in a case called People v. Chestnut and  
12 Huffman.

13 QUESTION: Do you think Miranda forbids that  
14 kind of --

15 MR. HYMAN: No, I do not, Your Honor. I believe  
16 that what you have -- And, I think this Court in Beheler  
17 has been defining the nature of the stop involved.

18 I think that the first order is whether there is  
19 a significant restraint. Obviously a gun can be a  
20 significant restraint. But, we have now refined that  
21 further and have come to the extent of saying arrest or  
22 restraint on freedom of movement of a degree associated  
23 with formal arrest.

24 And, what I submit here, Mr. Justice White, is  
25 that you have an individual who has been handcuffed,



1 frisked, and arrested. And, when you have those standards  
2 present, Miranda must be administered.

3 Where there is a point of clarification coming  
4 on a scene -- And the New York Court of Appeals recognized  
5 this distinction quite well. Where you come upon a scene  
6 and are going towards a defendant and you may have a gun  
7 drawn, so he may not at that moment be able to leave  
8 safely, you do not have to say you have a right to remain  
9 silent before I question you. You are clarifying the  
10 situation as you come upon it.

11 Once, however, you have taken that step, what I  
12 think is the bright line that we --

13 QUESTION: What if the evidence had been that  
14 two men had committed this crime and they both ran into  
15 the store and the officer sees one of them, arrests him,  
16 handcuffs him, frisks him, doesn't find the gun, he finds  
17 an empty holster.

18 MR. HYMAN: I believe, Your Honor, that that is  
19 a difficult question, but that Miranda would apply. Once  
20 you have that individual in custody, once you have taken  
21 him and are now questioning him, you have put his Fifth  
22 Amendment rights in jeopardy and you could no more coerce  
23 a confession out of him as you could to try and obtain it,  
24 even with the question of safety at issue.

25 QUESTION: Well, Miranda didn't rest on

1 coercion, it rested on the possibility of coercion.

2 MR. HYMAN: Inherent coercion in a situation,  
3 that is correct, Your Honor.

4 QUESTION: What is it here? Is it the  
5 handcuffs?

6 MR. HYMAN: The handcuffs, certainly. You have  
7 here the classic coercive situation that Miranda was aimed  
8 at when you apply Miranda to outside the station house.

9 QUESTION: What if he hadn't been handcuffed?

10 MR. HYMAN: Excuse me?

11 QUESTION: What if he hadn't been handcuffed?

12 MR. HYMAN: At that time, Your Honor, he might  
13 not have been in the degree associated with formal arrest.

14 I think that Beheler made this --

15 QUESTION: In a public place, in a supermarket,  
16 there is a possibility of third degree tactics that  
17 Miranda was aimed at preventing?

18 MR. HYMAN: There is a -- Your Honor, I might  
19 note that this was in the -- Not to beg the question and  
20 not to say --

21 QUESTION: Well, it was in a public place,  
22 wasn't it? People were around.

23 MR. HYMAN: There was no one else around, Your  
24 Honor. The record is clear on that. There was no one  
25 else around.

1                   QUESTION: Police aren't about to take out a  
2 piece of rubber hose and --

3                   QUESTION: Where does it state that there was  
4 nobody around?

5                   MR. HYMAN: Page 25-A. Question: "Were there  
6 any other people that you observed at that time?"

7                   "No, sir."

8                   The only one -- I should note no other  
9 people -- There was a clerk at the front of the store at  
10 the checkout.

11                  QUESTION: I never heard of a supermarket with  
12 nobody around.

13                  MR. HYMAN: It was 12:00 at night. It is a  
14 24-hour supermarket.

15                  QUESTION: I have been in those too.

16                  (Laughter)

17                  QUESTION: Well, certainly there were people on  
18 the checkout.

19                  MR. HYMAN: Apparently, according to the  
20 question -- Now, I asked that question at the time of the  
21 hearing. He observes Mr. Quarles at the checkout counter  
22 with the clerk. "Were there any other people that you  
23 observed at that time?"

24                  "No, sir."

25                  And, the record is silent about anything else.

1 At no time is there indication of --

2 QUESTION: They weren't in the station house  
3 anyway.

4 MR. HYMAN: They were not in the station house.

5 QUESTION: And they weren't locked up in  
6 somebody's bedroom. They were in a public place.

7 MR. HYMAN: They were, but he was, Your Honor --

8 QUESTION: Well, he was handcuffed, that is  
9 true, and he was under arrest.

10 MR. HYMAN: But, those are the factors, Your  
11 Honor, we have as this Court has refined Miranda. It has  
12 specifically said that the station house --

13 QUESTION: What is the closest case you think  
14 for the beginning of the Miranda obligation? What is the  
15 closest case of this Court, Orozco?

16 MR. HYMAN: Orozco.

17 QUESTION: That was another five to four  
18 decision, wasn't it?

19 (Laughter)

20 MR. HYMAN: Yes. It came the right way, Your  
21 Honor, but it was five to four.

22 QUESTION: Not really.

23 MR. HYMAN: No, it was. Justice Harlan in  
24 Orozco, Your Honor, did join the majority. He indicated  
25 that under stare decisis he believed that the Miranda



1       rationale --

2               QUESTION: And there were only two dissenters.

3               MR. HYMAN: Justice White was writing the most  
4 eloquent.

5               (Laughter)

6               MR. HYMAN: But, it is, Your Honor, a situation  
7 that -- And, Your Honor, in your dissent in Orozco  
8 indicates that the status of arrest is what counts. And,  
9 I think we have achieved a very clear definition of  
10 Miranda today. And, what we now --

11              QUESTION: Mr. Hyman, there are some exceptions  
12 that we recognized, even after a custodial arrest, booking  
13 information, for example.

14              MR. HYMAN: That is correct, Your Honor.

15              QUESTION: So, some types of questions we have  
16 permitted without warnings.

17              MR. HYMAN: You have permitted -- In fact, that  
18 question, of course, other than, Your Honor, in Neville,  
19 indicating its applicability or that there is no Miranda  
20 need with regard to the question where you take a  
21 breathalyzer test is that where it is a non-incriminatory  
22 question, then you need not give Miranda warnings.

23              QUESTION: Well, of course, some booking  
24 questions could be incriminatory in a sense. One's  
25 identity, I suppose, could be.

1           MR. HYMAN: That has been, but is it -- Under  
2 the standard enunciated in Innis, is it reasonably likely  
3 if that is the standard to be applied to express  
4 questioning. Is it reasonably likely to incriminate -- to  
5 elicit an incriminating response, I submit, is not  
6 likely when you ask what is your name? When you ask where  
7 is the gun, then you have certainly crossed the line.

8           I think that what you have --

9           QUESTION: If you have arrested someone on a  
10 charge of impersonating someone else, the question of  
11 what is your real name might be designed to produce an  
12 incriminating answer, I suppose.

13          MR. HYMAN: That -- It could be under those  
14 circumstances, Mr. Justice Rehnquist, but the -- And then  
15 we could conceivably have a Miranda question, but we do  
16 have a firm rule about booking and -- It is the pedigree  
17 information is a clearly defined exception that the courts  
18 have worked out over the years.

19          What you have here, however, is an open-ended  
20 situation in which you are judging the questions based  
21 upon the circumstances. And, you will, I believe, be  
22 introducing a new totality of the circumstance standard  
23 that was rejected in California v. Beheler and was  
24 rejected when an individual was in the station house.

25          I submit that it is the status of the individual

1     that he has been placed in; that is not the place, but  
2     what has happened to him, has he been taken into custody.  
3     And, when he has been taken into custody to the degree  
4     associated with arrest, I submit that we have a Miranda  
5     issue and that the Court of Appeals was correct in that it  
6     is consistent with the subtle law in the Supreme Court as  
7     this Court as enunciated.

8             I believe that we have a situation here in which  
9     certainly in this record you do not have a safety  
10    question. You can hypothesize factors, but in the record  
11    before us we do not have another accomplice. We do not  
12    have a situation in which there is a true safety issue.

13            The officer himself on cross-examination at the  
14    time of the suppression hearing indicated that in his mind  
15    the situation was definitely under control.

16            QUESTION: Mr. Hyman?

17            MR. HYMAN: Yes.

18            QUESTION: With respect to the safety element,  
19    what about employees of the store who might be called on  
20    to move the carton in which the gun had been dropped?

21            MR. HYMAN: Of course, no one knew where the gun  
22    was until --

23            QUESTION: That is the purpose of the question.

24            MR. HYMAN: Certainly locating a weapon can be  
25    an issue. If someone found the weapon, it could be an

1 issue of possible harm to an individual.

2 QUESTION: It would be worse still if you didn't  
3 know it was there and picked up the carton and it dropped  
4 and shot him, wouldn't it?

5 MR. HYMAN: But, Your Honor, I believe in Innis  
6 you had even a far more egregious situation of a shotgun  
7 in a school yard near handicapped children. And, the  
8 court enunciated rather a clear standard at that time.  
9 And, it didn't look -- It could have at that time said no  
10 Miranda warnings are required. There was a gun in the  
11 school yard.

12 Instead, you look to the nature of the question  
13 and enunciated that once an individual is in custody, as  
14 in this instance, Miranda must be administered and the  
15 question is what is the nature of the question.

16 In Innis you specifically did not use the safety  
17 aspect as a means of detracting from Miranda.

18 QUESTION: Didn't the Court have to decide in  
19 Innis that there was no interrogation of Innis?

20 MR. HYMAN: Yes, that was the -- But, to get to  
21 that, Mr. Chief Justice, you had to first, I believe, in  
22 reading the opinion, and you specifically stated there,  
23 reach the conclusion that Miranda was, in the first  
24 instance, to be required and then we had to reach, the  
25 Court, the question of what is questions, what is



1     interrogation as we originally enunciated under Miranda  
2     and then you go into that discussion.

3             But, in the first instance, you do find that  
4     Miranda did apply and that safety of the public --

5             QUESTION: Mr. Hyman, in this case, I assume  
6     that if he had not been handcuffed, you would not be  
7     making this argument.

8             MR. HYMAN: That is correct, Your Honor.

9             QUESTION: Don't you suppose Mr. Innis thought  
10    there was a question pending before the house? There were  
11    three of them in the automobile, Mr. Innis, and two  
12    officers.

13            MR. HYMAN: I believe, Your Honor, that Mr.  
14    Innis certainly could have. This Court, however, found  
15    that --

16            QUESTION: His argument here was that the  
17    statement of the one policeman to the other, the question  
18    of one policeman to the other was the functional  
19    equivalent of a question to the accused --

20            MR. HYMAN: That is correct.

21            QUESTION: -- who was in custody.

22            MR. HYMAN: And --

23            QUESTION: A rather shallow difference, isn't  
24    it, between that and this?

25            MR. HYMAN: Well, in this, Your Honor, the

1 question here, of course, is a direct one, where is the  
2 gun? I mean, we don't have a functional equivalent here.  
3 We have a direct question with a direct incriminating  
4 question that --

5 QUESTION: Well, the words that came out of the  
6 mouth of the policeman in Innis were, in effect, where is  
7 the gun, where is the shotgun, in that case.

8 MR. HYMAN: It was a discussion between police  
9 officers. This Court found that it did not rise to the  
10 functional equivalent, that it was not words or actions on  
11 the part of the officers.

12 QUESTION: Yes, I know that is what we decided,  
13 but I am trying to see what the difference is between  
14 Innis and this case --

15 MR. HYMAN: Well, I --

16 QUESTION: -- except that the question was  
17 directed here to your client and ostensibly or  
18 superficially the question in Innis was directed by one  
19 officer to another and there was no possibility that  
20 either of the officers knew where the gun was.

21 MR. HYMAN: That is correct, as they did not in  
22 this case. But, here you do have --

23 If we are to find standards and give clear  
24 guidance to police officers, Mr. Chief Justice, I believe  
25 that when you say a question is a question with regard to

1 reasonably likely to cause an incriminatng statement, you  
2 must give Miranda warnings. You have continued the firm  
3 rule that has been established in this Court.

4 And, I suggest that Quarles fits within the  
5 classic definition of how Miranda has been applied. It  
6 fits within the custody, it fits within the interrogation.  
7 It may be at the line, but if we are to move the line, we  
8 will undo, I think, the very firm rules that this Court  
9 has so carefully enunciated.

10 QUESTION: Is there any case in this Court where  
11 Miranda has been applied to an arrest and questioning in a  
12 public place?

13 MR. HYMAN: No, Your Honor. There is a case  
14 called U.S. v. Watson in which an arrest takes place in a  
15 restaurant, as I recall, and this Court comments that he  
16 was immediately given his Miranda warnings.

17 QUESTION: But, we haven't had a case where it  
18 was in a public place and we required the Miranda  
19 warnings?

20 QUESTION: No, you -- You have not specifically  
21 held that as the rationale of the case, no.

22 QUESTION: I suppose one of your responses to  
23 that might be that that would lead police officers to  
24 conduct all their interrogation in public places.

25 MR. HYMAN: Your Honor has certainly put my

1 argument succinctly.

2 QUESTION: Well, it might be a good idea.

3 QUESTION: If they did, they certainly  
4 wouldn't -- You know, Miranda said you can take some  
5 other -- Instead of giving the warnings, you can take some  
6 other kinds of precautions to avoid the danger of third  
7 degree like moving into a public place perhaps, having  
8 televised questioning. That isn't the only way of  
9 avoiding the evils of Miranda.

10 MR. HYMAN: It is the inherent -- But, it is  
11 also, Your Honor -- Miranda is to inform.

12 QUESTION: Did Miranda say that?

13 MR. HYMAN: No, it did not.

14 QUESTION: Well, it didn't say this is the only  
15 way the state may --

16 MR. HYMAN: No, no, it certainly did not. It  
17 indicated that these --

18 QUESTION: That these are not iron-clad rules.

19 MR. HYMAN: That is correct, Your Honor.

20 But, Miranda's purpose is also to inform. And,  
21 of course, a public place no more informs an individual of  
22 his Fifth Amendment rights. The purpose of Miranda is to  
23 say --

24 QUESTION: It avoids coercion. It might avoid  
25 coercion. And, what happens alone in a station house?



1                   MR. HYMAN: But, Your Honor, Orozco was not  
2 alone in a station house.

3                   QUESTION: He was home alone in his bedroom,  
4 wasn't he?

5                   MR. HYMAN: I don't know if he was alone, sir.  
6 He was in his bedroom.

7                   QUESTION: With the several police officers.

8                   MR. HYMAN: Yes, there were three. In this  
9 instance, you have four, possibly six.

10                  QUESTION: In a public place.

11                  MR. HYMAN: In a supermarket, yes, Your Honor.  
12 I wish I could change that part of the fact pattern. I  
13 cannot. It is in a public place.

14                  But, I do not believe that that should be the  
15 distinction that this Court should consider in arriving at  
16 a standard for Miranda. Miranda itself and the progeny  
17 have very clearly now defined that it is not the place.

18                  This Court, I believe, in Mathias and O'Beckwith  
19 very carefully said it is not the place of the  
20 questioning, it is the nature of the custody.

21                  And, I submit under Beheler we have that in this  
22 case.

23                  Now, dealing with, I think, the issue of if this  
24 Court does find a Miranda violation, the question, of  
25 course, is the nature of the remedy. And, it is the

1 position of Respondent that the claim with regard to both  
2 Tucker -- That is that derivative evidence should not be  
3 admitted, the gun, and the inevitable discovery aspect of  
4 it; that both are not properly before this Court.

5 Under Gates and under Michigan v. Long, this  
6 Court has set down the framework that indicates what  
7 claims should or should not be before it.

8 Now, if I may first deal with the nature of the  
9 record. In this case, no aspect of inevitable discovery  
10 or derivative evidence was raised in the court below. It  
11 is the position of Respondent, substantiated by New York  
12 law, that the issue thereafter was not preserved by the  
13 people to now argue inevitable discovery or to argue in  
14 this Court for the time that derivative evidence should  
15 not be admitted.

16 The law in New York, as we have set forth in our  
17 brief, is clear on this subject; that a party must assert  
18 its arguments below, otherwise they will not be listened  
19 to in the court above.

20 QUESTION: Well, I think everyone would agree  
21 with that general proposition, but the question is at what  
22 point must a person against whom a federal constitutional  
23 question is urged. And here the suppression, I take it,  
24 was urged by your client. Must he make varied responses  
25 other than saying, no, this doesn't violate the Fifth

1 Amendment.

2 MR. HYMAN: Well, I think, Your Honor, in your  
3 opinion in Gates you at least intimate that there is a  
4 requirement that arguments be asserted below.

5 QUESTION: So that the lower courts can pass on  
6 them, but I wouldn't think that would apply at the trial  
7 stage.

8 MR. HYMAN: Except in New York that is the only  
9 way it can be presented. It is our argument that the  
10 silence by the New York courts, particularly on the issue,  
11 which was the only one raised, inevitable discovery, is  
12 that that is silence in and of itself is an indication  
13 that the court did not pass on it. And, New York has  
14 recognized inevitable discovery in a case called  
15 Fitzpatrick that was denied in this case.

16 QUESTION: On that score, Mr. Hyman, they found  
17 the gun. There is at least some prospect that this man's  
18 fingerprints were on the gun, is it not so?

19 MR. HYMAN: I have never checked. That  
20 certainly has never come out. That is certainly a  
21 possibility, Your Honor.

22 QUESTION: Well, what is it that is essential  
23 for preserving -- You are saying, in effect, that the  
24 person against whom a federal question is urged has the  
25 same duty and at the very same early stage of the

1 proceedings to respond with his kind of federal argument.  
2 Inevitable discovery isn't the raising of a federal  
3 question for the first time. It is a response to why the  
4 federal question raised against the state shouldn't  
5 prevail.

6 MR. HYMAN: Your Honor, I have several answers  
7 on that.

8 QUESTION: Well, give me them all.

9 (Laughter)

10 MR. HYMAN: Okay. First, Your Honor, I  
11 should note that Your Honor's indication with respect to  
12 the issue about raising in the state courts is not present  
13 at all with the issue of derivative evidence. At no time  
14 in any of the state courts, either the trial court, the  
15 appellate division, or the court of appeals did this issue  
16 of whether or not the gun, independent of the statement,  
17 be admitted.

18 I believe that, therefore, the claim, as this  
19 Court has defined in it Gates, was not a cert. So, to  
20 that extent, Your Honor, I believe, with regard to that  
21 issue, it certainly has not been preserved to here.

22 And, that would only leave the question of the  
23 inevitable discovery. And, that is one aspect.

24 The second, Your Honor, is that there could be a  
25 spearate finding by the state court on the issues of what



1 evidence is admissible or not admissible in a court of the  
2 State of New York.

3 By not raising the issue in the trial court --  
4 We have cases that have indicated that the Appellate  
5 Division and the Court of Appeals will not hear argument  
6 even on the part of the state after they have failed to  
7 make that argument below.

8 There is a case not cited in our brief called  
9 People v. Iochi, which is at 61 Appellate Division 2d 1,  
10 which indicates that the People themselves, that is the  
11 State of New York, whereas here the defendants were not  
12 placed on notice that the People were resisting the  
13 applications on the ground of lack of standing and the  
14 defendant might have introduced proof to establish their  
15 right to make the application. We do not believe that we  
16 should, in the interest of justice, entertain the question  
17 now.

18 QUESTION: But, of course, I can see why the New  
19 York courts might hold that because if an objection is  
20 made on the ground of standing, you might be able to get  
21 factual evidence in to show standing. But, would the New  
22 York Court of Appeals or the Appellate Division follow  
23 that same principle where you are just talking about kind  
24 of cross currents of legal arguments?

25 MR. HYMAN: Yes, Your Honor, because the New

1 York Court of Appeals has held in evitable discovery that  
2 there is a burden, and without a hearing, without raising  
3 that issue below, we -- there was no issue upon which to  
4 focus. And, the Court's silence with regard to that is, I  
5 believe, a significant factor and it is not that they did  
6 not want to pass on it, they did not have the authority to  
7 pass on it. And, their silence is to that extent --

8 QUESTION: Ought that to bind us though? I  
9 mean, where it isn't a question of raising of the federal  
10 question at the earliest possible time, but it is  
11 basically a question of a response to the federal  
12 question.

13 MR. HYMAN: You have the power to review de novo  
14 whether a federal question is preserved, but you must at  
15 the same time determine the scope of what the state law  
16 is.

17 And, under Street versus New York, Justice  
18 Harlan indicated that the trial court must be the place  
19 where the first argument is asserted.

20 And, I submit that in reviewing New York law  
21 there is, I think, clear question that under New York law  
22 the issue is not preserved and under --

23 CHIEF JUSTICE BURGER: We will resume there at  
24 1:00, Mr. Hyman.

25 MR. HYMAN: Thank you.

1                   (Whereupon, at 12:00 noon, the oral argument of  
2 Steven J. Hyamn, Esq., was recessed, to reconvene at 1:00  
3 p.m. this same day.)  
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AFTERNOON SESSION

CHIEF JUSTICE BURGER: Mr. Hyman, you may  
continue.

ORAL ARGUMENT OF STEVEN J. HYMAN, ESQ. -- continuation  
ON BEHALF OF THE RESPONDENT

MR. HYMAN: Thank you, Your Honor.

Your Honor, in dealing with the question of the  
jurisdictional aspect, I would like to point out that in  
the New York Court of Appeals opinion with respect to the  
preservation issue, the Court does not deal, of course, at  
all with inevitable discovery, but it does indicate in  
discussing the emergency issue, Mr. Justice Rehnquist,  
that not so far as it appears from the record was there  
any such theory advanced by the People at the suppression  
hearing. Undeniably, neither of the courts below with  
fact finding jurisdiction made any factual determination  
that the police acted in the interest of public safety.

QUESTION: But, there again you have a factual  
thing like standing where you might want findings of fact  
from a court that had authority to do that.

MR. HYMAN: But, Mr. Justice Rehnquist, when we  
deal with the question of inevitable discovery, as I  
understand the government's argument, if this Court is to  
acknowledge that, is that not, I believe, a factual  
finding to be made by the lower court and none was here.



1                   QUESTION: Well, do you really think it is a  
2 factual finding, Mr. Hyman? I think probably every judge  
3 in the country, whether he sits on a supreme court,  
4 intermediate, appellate court, or a trial court, would  
5 feel that he could tell or she could tell whether or not  
6 there would have been inevitable discovery.

7                   MR. HYMAN: Well, except that the standard  
8 proposed by the government in Nix, which it seeks to  
9 assert in this case as well, is that there must be an  
10 affirmative showing, there must be a -- They emphasize,  
11 Mr. Justice Rehnquist, the need for findings of fact and  
12 in New York, findings of fact can only be made in the  
13 trial court. And, the failure to do that --

14                  QUESTION: Do you suggest there could have been  
15 a finding that they never would have found the gun?

16                  MR. HYMAN: Yes, I do, Your Honor. I submit  
17 that --

18                  QUESTION: He had a gun and had an empty holster  
19 and you don't think they would have searched the store?

20                  MR. HYMAN: I do not know and I am not trying to  
21 be incredulous with the Court.

22                  QUESTION: No, right.

23                  MR. HYMAN: The point is that to find the gun if  
24 they thought it existed in the supermarket, they would  
25 have had to seal the supermarket and conducted a search.

1       Would they have done so? I do not know.

2               QUESTION: How far from where he was standing

3       did they find the gun in the carton?

4               MR. HYMAN: Four feet, Mr. Chief Justice.

5               QUESTION: And, you think they wouldn't have

6       found it?

7               MR. HYMAN: It was inside a carton. There is no

8       indication in the record.

9               QUESTION: Wouldn't the logical thing, ordinary

10       human experience tell you that they would look in every

11       place within reach or within a pitcher's reach of where he

12       stood?

13               MR. HYMAN: Mr. Chief Justice --

14               QUESTION: He might have thrown it.

15               MR. HYMAN: That can be an assumption, but it is

16       not --

17               QUESTION: Well, is it a reasonable assumption?

18               MR. HYMAN: It may be reasonable, but it is

19       speculative.

20               QUESTION: It is not inevitable you say?

21               MR. HYMAN: It is not inevitable. I submit that

22       in this case it is not inevitable. It may have been

23       probable. It may have been done, but -- And, it might

24       have been solved by a few questions below. Had the People

25       of the State of New York raised that issue below, then we

1 would have had a record upon which to deal with it.

2 QUESTION: Mr. Hyman?

3 MR. HYMAN: Yes, Your Honor.

4 QUESTION: In New York, does the first appellate  
5 level of court have fact finding power?

6 MR. HYMAN: It only has fact finding power in  
7 the interest of justice. And --

8 QUESTION: Might this fit that category?

9 QUESTION: Not in your opinion.

10 (Laughter)

11 MR. HYMAN: It would not have been in the  
12 interest of justice.

13 It could have, if it had so desired, determined  
14 certain findings of fact, but at the same time, it must  
15 give in the law -- the cases we cite including Iochi -- it  
16 must give great deference to the trial court. It  
17 must -- It is essentially bound --

18 QUESTION: But, it wasn't precluded under New  
19 York law from making findings in the interest of justice.

20 MR. HYMAN: Only in the interest of justice may  
21 the New York Appellate Division make findings of fact.

22 QUESTION: And, I guess the state did raise the  
23 inevitable discovery argument at that level.

24 MR. HYMAN: They did in that brief raise it for  
25 the first time.

1           QUESTION: And, do you think that the theory  
2 espoused by Illinois versus Gates would necessarily  
3 preclude this Court from considering the Michigan versus  
4 Tucker question in the light of the inevitable discovery  
5 allegation?

6           MR. HYMAN: Yes. I believe that Michigan v.  
7 Tucker is an entirely different issue and a different  
8 theory and under New York law --

9           QUESTION: Well, it has to do with the admission  
10 of the fruits, if you will.

11          MR. HYMAN: The derivative evidence.

12          QUESTION: Sure.

13          MR. HYMAN: That is correct. And, the  
14 findings --

15          QUESTION: And, I don't think we have ever  
16 placed any magic on the words used below to attack the  
17 basic question.

18          MR. HYMAN: No, but the claim is different and  
19 the nature of what is sought is different.

20          QUESTION: But, I think you need some  
21 refinement. I mean, what you have got here is basically  
22 an argument by your client that you cannot admit this  
23 evidence against me, it violates the Fifth Amendment of  
24 the United State Constitution to do so. The state's  
25 response is, no, it does not do so. Now, there is a



1 federal issue joined right there. Now, the various  
2 subcategories of argument under the state's response as to  
3 why it doesn't violate the Fifth Amendment, I don't think,  
4 should have the same requirement of raising an independent  
5 federal issue, that the parties bears the burden of the  
6 first federal issue.

7 MR. HYMAN: But, New York law puts the burden on  
8 the People and it says very clearly in its decisions that  
9 it must be raised below. Now, under New York law in  
10 People v. Huntley it says that the burden is on the People  
11 to prove that which they are seeking to introduce.

12 QUESTION: I don't know that that has the same  
13 adequate independent state ground connotation that a  
14 burden on the party raising the federal issue has.

15 MR. HYMAN: But, I think that -- The discussion  
16 in Gates would seem at least there must be an equivalency  
17 and New York recognizes that equivalency.

18 QUESTION: But, Gates didn't get this fine, I  
19 don't think.

20 MR. HYMAN: Well, I might also note that what  
21 makes this case even more troublesome, I think, than Gates  
22 is that we do have independent state grounds upon which  
23 the motion was made that the New York law could be that it  
24 would admit the evidence. There is statutory basis for  
25 its exclusion rather of the evidence independent of

1 federal issues.

2 QUESTION: But, the New York Court of Appeals  
3 spoke only of a constitutional issue.

4 MR. HYMAN: But, its silence, Mr. Justice  
5 Rehnquist, I believe, must be construed that there were  
6 grounds that prevented it considering it.

7 I believe in Street that issue -- Justice Harlan  
8 indicated that where there is silence, there is at least a  
9 presumption that the silence is because the Court did not  
10 want to deal with the issue because it was not preserved  
11 under state law which is what we are arguing here. They  
12 did not, and, in fact, did not deal with the issue because  
13 it was not preserved.

14 Thank you very much.

15 CHIEF JUSTICE BURGER: Do you have anything  
16 further, counsel?

17 MR. RAPPAPORT: Yes, Your Honor.

18 CHIEF JUSTICE BURGER: You have three minutes  
19 remaining.

20 MR. RAPPAPORT: Thank you.

21 ORAL ARGUMENT OF STEVEN J. RAPPAPORT -- Rebuttal

22 ON BEHALF OF THE PETITIONER

23 MR. RAPPAPORT: With respect to the issue of the  
24 independent and adequate state ground, I would like to  
25 refer the Court to its opinion in Michigan versus Long

1 where the Court said that if, in fact, the state court  
2 wishes to base its decision on an independent and adequate  
3 state ground, it is incumbent upon that court to make  
4 explicit that it intends to do so.

5 Clearly, the New York Court of Appeals in this  
6 case did not explicitly base its decision on an  
7 independent and adequate state ground.

8 QUESTION: Was this case decided by the Court of  
9 Appeals before we decided Michigan and Long?

10 MR. RAPPAPORT: It was decided before --

11 QUESTION: Well, then how were they to know we  
12 didn't make that requirement?

13 MR. RAPPAPORT: Well, I think the fact that the  
14 Court had not made that explicit prior to Michigan versus  
15 Long does not mean that the reasoning in that case should  
16 not be --

17 QUESTION: Why should we have made it explicit  
18 if it was already the law?

19 MR. RAPPAPORT: I am not sure I understand the  
20 question.

21 QUESTION: Perhaps we made it explicit because a  
22 lot of courts weren't catching the obvious, very obvious  
23 signal.

24 MR. RAPPAPORT: Well, I think the point is that  
25 there were reasons behind this Court's opinion in Long and

1     this case might present a reason for the application of  
2     that principle.

3             The only portion of the Court of Appeals  
4     decision which could be interpreted as raising an  
5     independent and adequate state ground is the portion cited  
6     by counsel where he said that the People never raised the  
7     issue of the safety of the public as distinguished from  
8     the safety of the officers.

9             However, the Court made a finding of fact that  
10    the record did not establish that there were, in fact,  
11    those circumstances. It is that finding which we are  
12    asking the Court to review now.

13            One other point. Counsel characterized our  
14    discussion of Miranda as permitting an open-ended kind of  
15    activity on the part of police and requiring a totality of  
16    the circumstances approach. We submit that we are doing  
17    no such thing. We are saying that objectively speaking  
18    any time there is a gun in the immediate vicinity of an  
19    arrest in a public place, it is reasonably prudent  
20    protective measure for the police to take to ask about the  
21    location of that gun.

22            If there is any question of the totality of the  
23    circumstances approach, I submit that Respondent is, in  
24    effect, asking for that when he can see that well under  
25    some circumstances there might be exigent circumstances,



1 but not in this case.

2 Thank you, Your Honor.

3 QUESTION: It is none of our business and you  
4 need not comment on it, but one can't help but wonder why  
5 a prosecutor thought he needed this evidence. But, as I  
6 say, that is not our business.

7 Thank you, gentlemen, the case is submitted.

8 We will hear arguments next in Nix against  
9 Williams.

10 (Whereupon, at 1:10 p.m., the case in the  
11 above-entitled matter was submitted.)  
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# CERTIFICATION

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#82-1213 - NEW YORK, Petitioner v. BENJAMIN QUARLES

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