

ORIGINAL

OFFICIAL TRANSCRIPT PROCEEDINGS BEFORE

SUPREME COURT OF THE UNITED STATES

DKT/CASE NO. 81-1453

TITLE SOUTH DAKOTA,
v. Petitioner
MASON HENRY NEVILLE

PLACE Washington, D. C.

DATE December 8, 1982

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ALDERSON REPORTING

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1 IN THE SUPREME COURT OF THE UNITED STATES

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3 STATE OF SOUTH DAKOTA, :

4 Petitioner :

5 v. : No. 81-1453

6 MASON HENRY NEVILLE :

7 - - - - - x

8 Washington, D.C.

9 Wednesday, December 8, 1982

10 The above-entitled matter came on for oral
11 argument before the Supreme Court of the United States
12 at 11:33 o'clock a.m.

13 APPEARANCES:

14 MARK V. MEIERHENRY, Esq., Attorney General of the State
of South Dakota, Pierre, South Dakota; on behalf of
15 the Petitioner

16 DAVID R. GIENAPP, Esq., Madison, South Dakota, on behalf
of the Respondent

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1 P R O C E E D I N G S

2 CHIEF JUSTICE BURGER: Mr. Attorney General, I
3 think you may proceed when you are ready.

4 ORAL ARGUMENT OF MARK V. MEIERHENRY, ESQ.

5 ON BEHALF OF THE PETITIONER

6 MR. MEIERHENRY: Mr. Chief Justice, may it
7 please the Court:

8 This case comes from the state of South
9 Dakota, and it was on a writ of certiorari granted in
10 May of this year to review a case involving the refusal
11 by an individual in the state of South Dakota to submit
12 to a blood test. The facts are very brief but important
13 to this issue, and I will go through them quickly.

14 About 9 o'clock in the evening of the 19th of
15 July, 1980, the defendant was stopped by law enforcement
16 officers in the city of Madison, South Dakota. He was
17 stopped for the reason that he failed to stop at a stop
18 sign, and when he was pulled over, he was asked two
19 questions: number one, to get out of the car, and number
20 two, for his driver's license.

21 As he got out of the automobile, he staggered,
22 fell against the car to, at least in the opinion of the
23 officer, get his balance. He also smelled of alcohol,
24 the odor of alcohol. When asked for his driver's
25 license, he said: I'm not going to lie to you, I don't

1 have one, I lost it because of a prior DWI -- which is
2 driving while intoxicated, our statute in South Dakota.

3 After he failed a series of sobriety tests, he
4 was taken down to the station. He was advised of his
5 Miranda warnings and he was advised of the South Dakota
6 implied consent statute and asked if he would take a
7 blood test, and he refused. He was read the implied
8 consent statute a second time, he refused a second time,
9 and on the third occasion, he again was read the implied
10 consent statute and he refused. That was at the police
11 station.

12 The defendant made a motion to suppress his
13 statements, his refusal to take this blood test, and the
14 trial court did suppress the refusal and any related
15 evidence, basically on three grounds: number one, that
16 the statute of South Dakota was unconstitutional; number
17 two, that it was not relevant evidence; and number
18 three, that Mr. Neville had not been advised that if he
19 refused to take the test, that fact of refusal would be
20 used against him.

21 And I will point out to the Court that this
22 law changed in South Dakota. It was passed, became
23 effective July 1, 1980. This occurrence was some 19
24 days after the passage of that statute. And that
25 statute in part reads: "If a person refuses to submit to

1 chemical analysis of his blood, urine, breath or other
2 bodily substance as provided in other subsections, such
3 refusal may be admissible into evidence at the trial."

4 This Court granted the state's request to look
5 at this issue, whether this statute that I have just
6 read to you -- which allows the fact of the refusal to
7 take a test to determine blood alcohol content of a
8 motorist to be admitted into evidence at the subsequent
9 trial of the motorist for driving while under the
10 influence of alcohol -- violates the Fifth Amendment
11 privilege against self-incrimination.

12 QUESTION: But the statute doesn't require
13 that he be informed specifically that it may be admitted
14 in evidence.

15 MR. MEIERHENRY: No, it does not.

16 QUESTION: As the New York statute does.

17 MR. MEIERHENRY: No, it does not.

18 QUESTION: Does it require that he be advised
19 that it could be used with respect to the revocation or
20 reissuance of his driver's license?

21 MR. MEIERHENRY: Yes. If you look in the
22 appendix on page 9, there is a card that is given to
23 each police officer that is a part of the statute and
24 explains their rights. It does inform them if they do
25 not take the test they may lose their license for up to

1 a year.

2 QUESTION: Why do you think the legislature
3 drew a distinction between the two? Oversight?

4 MR. MEIERHENRY: Perhaps. I guess I would
5 point out that the reason this individual was not
6 advised of the fact that his refusal could be used
7 against him is explained by the police officer in the
8 appendix, which is the cards from Pierre, which is the
9 state capitol and where these things are mailed out of,
10 had not updated the new card. I might inform the Court
11 now that each individual, if this is found
12 constitutional, and are, in fact, being advised that the
13 refusal could be used against them. So that portion of
14 the case, because of this 19 days, the bureaucracy did
15 not set into operation quick enough, is the only reason
16 that this individual was not so advised.

17 QUESTION: But Mr. Attorney General, does that
18 mean that the failure to give him this advice would make
19 the evidence inadmissible no matter how we ruled?

20 MR. MEIERHENRY: No, I don't believe it would,
21 and it would be our position that it would not make it
22 so inadmissible. It might be something that the state
23 court would consider, but I don't believe it does.

24 QUESTION: But the trial judge ruled it would,
25 didn't he?

1 MR. MEIERHENRY: Yes, but that issue has been
2 remanded back to the trial court. The only thing that
3 the South Dakota Supreme Court decided was that the
4 statute itself was unconstitutional because it violated
5 the Fifth Amendment to the United States Constitution.

6 QUESTION: Well, what will happen if the trial
7 judge on the remand says, well, maybe the statute is
8 constitutional, but the evidence is still inadmissible
9 because of this reason? Then would you have another
10 appeal to the South Dakota Supreme Court?

11 MR. MEIERHENRY: No, I think not because that
12 goes more to the matter of -- he also ruled as to
13 relevancy, which could change from judge to judge.

14 QUESTION: Right.

15 MR. MEIERHENRY: But this Court can decide the
16 issue of whether it violates the Fifth Amendment.

17 QUESTION: But that won't determine whether it
18 is admissible or not.

19 MR. MEIERHENRY: It will under South Dakota
20 law because I think these other matters will fall into
21 place after that, if it is admissible and not in
22 violation of the Fifth Amendment.

23 QUESTION: No, but I mean it is still
24 conceivable, at least, that the trial judge will say
25 this evidence is inadmissible for two reasons that the

1 United States has no business telling me how to decide:
2 one, that it is not relevant, and two, you didn't give
3 the advice you are supposed to give under the statute.

4 MR. MEIERHENRY: I think the relevancy issue,
5 first of all, can be decided because the legislature, in
6 the first place, said it's relevant. This was on a
7 motion to suppress, I might point out.

8 QUESTION: Right.

9 MR. MEIERHENRY: There has been no trial.
10 Number one, our legislature has said in the statute it
11 is relevant, so I think that issue is a matter of
12 evidentiary law in our state; the trial judge was just
13 erroneous, he is wrong on that issue. And I think that
14 had that issue been covered or approached by our South
15 Dakota Supreme Court, the trial judge may have been
16 overruled on that point, and I would have to say to the
17 Court we are speculating at that point. But on that
18 point I think it is clearly relevant, mainly because the
19 legislature says it is, plus some evidentiary reasons.

20 QUESTION: May I ask one other question on the
21 procedure. They also sent back, as I remember it, the
22 question with the admissibility of his statement -- I'm
23 too drunk to take the test -- or he said something like
24 that, as I understand.

25 MR. MEIERHENRY: Yes.

1 QUESTION: And the trial judge may well rule
2 one way or the other on that issue. Say he says again
3 that that statement is inadmissible. Would you be able
4 to ask for review of that in the state Supreme Court?

5 MR. MEIERHENRY: I guess -- yes.

6 QUESTION: It seems to me much more probative
7 than the test itself.

8 MR. MEIERHENRY: The answer, quickly, is yes,
9 we could. What the state wants is the results of the
10 test. Not before this Court are the statements. That
11 has not, again, been reached by the South Dakota Supreme
12 Court. But --

13 QUESTION: But is it not possible -- the
14 reason I ask these questions is I want to be sure we
15 have a final judgment here. Is it not possible that on
16 a second appeal on that issue to the state Supreme
17 Court, they might decide that the evidence is
18 inadmissible for one of the other two reasons, relevance
19 or failure to give advice? I just wonder if we know we
20 have something we must decide here.

21 MR. MEIERHENRY: Well, I think you must decide
22 it because, if you will look at the lower court, the
23 South Dakota Supreme Court case in a footnote, they are
24 obviously going to follow this Court in the sense that
25 as arbitrator of the Fifth Amendment, plus I don't

1 believe they ever considered the relevancy issue. If
2 they did, they would have to rule, and they did, that
3 this statute is unconstitutional on the basis of
4 relevancy, and I don't see how relevancy --

5 QUESTION: No, no, no, I understand that. Put
6 they did also say that it was unconstitutional under
7 your state's constitution as well as the federal, too,
8 as I remember.

9 MR. MEIERHENRY: That's correct. But I
10 believe if you will look at a footnote, I believe it is
11 footnote -- not the famous footnote 9 in Schmerber, but
12 I think it is also footnote 9 in the Northwestern
13 citation, that they have in effect indicated that the
14 Fifth Amendment, as pointed out by this Court, although
15 our amendment to our state constitution has a little
16 different wording, that they would take the same
17 interpretation and it is not more expansive than found
18 here.

19 QUESTION: Well, if this evidence is
20 inadmissible under the Fifth Amendment and the case goes
21 back on that basis and there is an acquittal, you are
22 through.

23 MR. MEIERHENRY: That is correct. If this
24 Court should hold that the fact of refusal --

25 QUESTION: Well, if we said there wasn't a

1 final judgment and didn't review the case, then the
2 evidence is inadmissible and you could never get review
3 if there is an acquittal.

4 MR. MEIERHENRY: That's true. And in most
5 regards these --

6 QUESTION: Well, it isn't quite true because
7 there could be another appeal. If you appeal on the
8 question of whether "I'm too drunk to testify," that
9 issue, you will be back in the South Dakota Supreme
10 Court again where it might bring up all these issues.

11 QUESTION: That is a state case. That is a
12 state issue.

13 QUESTION: Well, that is this case.

14 MR. MEIERHENRY: I guess we can't go forward
15 in state court unless the Fifth Amendment -- the primary
16 issue is the Fifth Amendment, and as this Court knows,
17 we have two appellate circuits of the federal system,
18 states on either side of the issue. Our statute that
19 talks about the refusal, we feel, is within the Fifth
20 Amendment. The evidence we are searching for, which is
21 the evidence that disappears -- the minute the
22 individual is arrested and taken into custody, it begins
23 to disappear, it's evidence that will disappear --

24 QUESTION: Mr. Attorney General, you have got
25 a man that staggers around, he can't walk straight, he

1 is falling all over the lot, and he says "I can't take
2 the test because I'm drunk." What do you need the test
3 for?

4 MR. MEIERHENRY: Well, I think the test --

5 QUESTION: Isn't that enough to convince any
6 jury that he is drunk?

7 MR. MEIERHENRY: We are not submitting at this
8 point that we could get his comments in.

9 QUESTION: I know that, but I'm just saying
10 factually then you wouldn't have had all this trouble,
11 would you?

12 MR. MEIERHENRY: No, but we believe that our
13 South Dakota Legislature in passing this statute that
14 allows the fact of refusal to be put into evidence had a
15 number of things in mind: first of all, to remove, or to
16 rather allow the jury to know all the facts, number one.

17 QUESTION: Like killing a gnat with a sledge
18 hammer.

19 MR. MEIERHENRY: Well, I think it's important
20 that when we look at the trial of cases, I mean the
21 day-to-day functioning of our lowest courts that try
22 these matters, that we take certain things into
23 account. First of all, this is a subject that is well
24 known by the population. We even have lawyers that have
25 some reputation writing books on the best seller list

1 about driving while intoxicated. So it is something
2 people know about.

3 I doubt if you can take 12 citizens in the
4 state of South Dakota, put them in the jury room, and
5 they aren't aware that there is blood tests and should
6 be in cases. So it is important, number one, that those
7 of us that enforce the law can show the jury that we
8 have done it adequately and properly. On the back of
9 every South Dakota driver's license this implied consent
10 law is written.

11 The individual in the jury room can pull out
12 his driver's license and know there should be a blood
13 test and that there is a duty and a requirement to take
14 it. And we should be able to indicate to the jury first
15 of all we have followed the proper procedures, we
16 allowed this individual or at least offered the blood
17 test to them, and if they did not avail themselves of
18 it, fine. We should also be able to put that in.

19 So it is relevant to show, first of all, that
20 we have followed the statutes of the state of South
21 Dakota in the handling of a defendant. Secondly, it
22 does show consciousness of guilt, and I would like to
23 refer to the federal system, and it is part of the
24 progeny of Gilbert v. California, the Fisher case and
25 the Enge case, but I thought it interesting to see what

1 would a federal district judge do when he reads and
2 finds out that, number one, handwriting samples are
3 admissible, and so he issues an order -- and this is not
4 pleading evidence, a handwriting sample, obviously -- he
5 orders the defendant to give the court or the
6 prosecution a sample of his handwriting and he fails to
7 do so.

8 QUESTION: He can be compelled in an open
9 court, can he not?

10 MR. MEIERHENRY: He can, Your Honor, and U.S.
11 v. Askew upheld that out of the Tenth Circuit and this
12 Court denied cert. Well, what is even more interesting
13 is what happens to that right of refusal. Here is the
14 suggested pattern federal jury instructions, out of
15 Divett and Blackmar, and I'm paraphrasing a little bit,
16 but here is what the judge would tell the jury in a
17 federal case: There is evidence that the defendant after
18 his arrest refused to furnish a sample of his
19 handwriting. It was a lawful order and not in violation
20 of the defendant's privilege against
21 self-incrimination. Refusal to obey the order is not
22 sufficient to show guilt. You may, however, consider
23 the defendant's refusal and may give it such weight as
24 you think it is entitled to as tending to prove
25 consciousness of guilt.

1 That is what would happen in the federal
2 system, not a violation of the Fifth Amendment, the
3 handwriting, and an inference of guilt or at least
4 tending to prove consciousness of guilt. Now, Divett
5 and Blackmar is not the Supreme Court, obviously, but it
6 is the suggested pattern jury instructions that we all
7 use and has not been found by any court to be improper.

8 So here South Dakota tried to fashion a
9 remedy, and all the Court is aware of the reason for
10 these implied consent laws, and we are another state,
11 don't want to hold our citizens down and forcefully take
12 this blood sample. We don't want that in the state of
13 South Dakota.

14 But we have made some conditions. One of the
15 conditions not discussed here is that if you refuse to
16 take your blood test, you lose your license for one
17 year. That is also common. But we have got another
18 hooker in South Dakota, and it is if you don't take the
19 test, you automatically lose your license for a year,
20 but if you plead guilty before the separate hearing on
21 the refusal, the civil hearing on the refusal, then we
22 won't take your driver's license away. So in a way, even
23 though you refuse, if you plead guilty, admit your
24 guilt, which is some kind of compulsion, you aren't
25 going to lose your license for a year. Obviously, that

1 is usually to the defendant's advantage and it hasn't
2 been --

3 QUESTION: Doesn't anyone argue that that
4 burdens your federal right to a jury trial?

5 MR. MEIERHENRY: No, because I think only
6 those defendants -- we have discussed that before.
7 Probably the only time we would see it is on the third
8 offense. It is a felony. If an individual took an
9 opportunity on his second offense to do so, he may go in
10 and attack in the federal system his plea on the second
11 offense, trying to throw that out so it isn't a felony,
12 because the third offense is a felony. That is the only
13 reason I could ever see you would see it in the federal
14 courts, is an attempt to play the system against itself
15 and say "I was compelled."

16 So if you look at the handwriting sample,
17 which some of the justices of this Court in various
18 decisions have said is a real physical evidence, if you
19 can compel in the federal system someone to take a
20 handwriting sample, you can compel them to take a blood
21 test.

22 QUESTION: It's easier, I suppose, to compel a
23 breathalyzer test or a blood test than it is a
24 handwriting test because you can't tie a man down and
25 force him to give a handwriting example, can you, but

1 you can tie him down and take a blood test or a
2 breathalyzer test.

3 MR. MEIERHENRY: Your Honor, I think there are
4 some other things that are involved, too. Handwriting
5 is hand writing, and in the Askew case it took 19 months
6 for the federal judge to get a sample. Maybe he learned
7 to write left-handed in the meantime or something. But
8 I think the fact is it is pleading evidence and it is
9 scientifically acceptable.

10 We know when we talk about a 1.5 blood count
11 in courts, and the jury, perhaps, knows what the issue
12 is, what we are talking about. It is probably the best
13 evidence there is. It protects Mr. Gienapp's client as
14 much as it helps the state in determining what is his
15 blood count. If Mr. Mason -- or Mr. Neville, I mean,
16 who is experienced in this area, would have come out
17 with a .05, he probably would have never faced the
18 charges. So it is an independent test that is reliable
19 and valuable, whereas otherwise you are left with oral
20 testimony: staggering, slurred speech.

21 So we believe that the South Dakota statutory
22 scheme, which is similar to most, is constitutional, and
23 the refusal that we are arguing here today is not that
24 his words can go in -- "I'm too drunk, I can't pass the
25 test;" it is simply that another witness, maybe it's the

1 nurse -- ours is required to be taken by medical people
2 -- that a nurse, medical technician or the officer
3 observed the defendant refused to take the test. And
4 then the jury knows why.

5 Those of us that have defended and prosecuted
6 these cases, you must realize -- just as was pointed out
7 in this Court when you approved the instruction of
8 possession of recently stolen property -- there are
9 certain things in life that this Court can recognize and
10 know goes into criminal cases or into the affairs of
11 life, and one of them is in a driving while intoxicated
12 case, the jury expects a blood test. They are waiting
13 to see the blood test.

14 And the state, if it puts in its refusal, it
15 settles the issue. There is no blood test because none
16 was taken. It isn't that it was a bad test and the
17 state didn't want to put it in and is in some way trying
18 to push guilt onto this person. On the other hand, it
19 isn't as if something was done improperly and the court
20 didn't allow it. It isn't the defendant through some
21 lawyer's trick keeping this from us so that we don't
22 know what the blood test is. It is simply a physical
23 and real fact that no blood test exists, and that is as
24 real and physical as handwriting or any of the other
25 factors that we can put into evidence.

1 So it is in a way the type of real evidence
2 that under our system that the jury expects to see and
3 should see. They should be told the whole facts
4 because, otherwise, what happens? And this Court has
5 read the record and all of us are familiar. The officer
6 is told: forget these facts; testify truthfully about
7 everything, but leave this out. You can testify as to
8 the individual's slurred speech, you can testify to all
9 these matters, but when you get down to the station
10 house and the defendant exercises his statutory power to
11 refuse, even though our legislature said to be a driver
12 in South Dakota you have to agree to take this blood
13 test, that is a requirement on our citizens, but we are
14 going to give you the power to refuse -- not the right
15 to refuse -- I think that's a misnomer in all the cases
16 -- the power to refuse, and he exercises that power, we
17 are going to inform the fellow citizens on the jury why
18 there is no blood test, why they haven't gotten what
19 most of us expect in a criminal case.

20 QUESTION: Mr. Attorney General, I know in
21 this case you are not arguing about the conversation
22 "I'm too drunk" because that has not been determined
23 yet, but it seems to me that in order to put in the fact
24 of refusal, just as a matter of evidentiary, you
25 couldn't ask for the conclusion, did he refuse.

1 Wouldn't you have to ask what did the officer say to him
2 and what did he say? So wouldn't you necessarily have
3 to consider whether the conversation that took place was
4 admissible?

5 MR. MEIERHENRY: I think that all of us would
6 look at this Court's decision in this case and try to
7 determine how much of the words we can put in. I think
8 you would expect this type of record to come out:

9 Question: "Was he given a blood test?"

10 "No, he wasn't."

11 "Did you offer him one?"

12 "Yes, I did."

13 "Well, why didn't he take the blood test?"

14 "I read him the implied consent clauses --"

15 QUESTION: I object on the grounds it calls
16 for a conclusion.

17 MR. MEIERHENRY: Well, I was going to get to
18 it, and I would say by his words and actions he
19 indicated he refused.

20 QUESTION: And I made the objection right now.

21 MR. MEIERHENRY: Because it is going to be
22 different --

23 QUESTION: It seems to me -- I'm not
24 suggesting it's right or wrong, but it does seem to me
25 just as a normal evidentiary matter, that's the way you

1 put this kind of conclusion into evidence, by putting in
2 the conversation that took place. I'm really not quite
3 sure why you are afraid to argue that you have a right
4 to do that.

5 MR. MEIERHENRY: I guess the state believes we
6 do have a right to do it. I guess in the limiting
7 nature of the question presented and ruled upon in the
8 lower court, we feel, I guess, it would be dicta in this
9 case to do so. But we believe we should be able to put
10 those comments in.

11 QUESTION: But it seems to me that you can't
12 really answer the ultimate question whether you can put
13 in the refusal unless the trial lawyer knows how he is
14 going to go about doing it.

15 MR. MEIERHENRY: Well, we submit that first of
16 all, the evidence itself is constitutional. It would
17 appear that Schmerber has said it is constitutional.

18 QUESTION: What do you mean when you say
19 evidence is constitutional?

20 MR. MEIERHENRY: We are saying that the blood,
21 were it taken from the individual over his objection,
22 could be offered at trial.

23 QUESTION: Well, are you saying really that
24 there is nothing in the Fifth Amendment or Sixth
25 Amendment or whatever it is that would prevent it from

1 being offered at trial?

2 MR. MEIERHENRY: That is what we would say,
3 that the base evidence that we are seeking here, and the
4 state prefers to have rather than a refusal, is a blood
5 test. We can draw the blood, we can give it to another
6 witness, and the results of those tests can be testified
7 to. That is the base evidence.

8 QUESTION: We can't say that here so far as
9 the South Dakota courts are concerned. All we can say
10 is that there is nothing in the Fifth Amendment that
11 prevents them from being used by the South Dakota courts.

12 MR. MEIERHENRY: That is correct. And I
13 believe every state jurisdiction has used the Fifth
14 Amendment to the United States Constitution, the
15 Schmerber case and so forth and made all kinds of
16 different interpretations of what the Fifth Amendment
17 says, and only this Court can do that as to the Fifth
18 Amendment to the U.S. Constitution.

19 Our court has indicated that it will follow
20 the lead of the South Dakota Supreme Court on what the
21 Fifth Amendment means, obviously, and secondary, that
22 our state constitution, although they used slightly
23 different words, will follow the Fifth Amendment precept
24 under the United States Constitution.

25 QUESTION: But are you in effect arguing that

1 since the court has held that it is appropriate for the
2 state to compel, to compel the extraction of blood, a
3 fortiori if the blood is admissible, the refusal to do
4 it is admissible?

5 MR. MEIERHENRY: I believe it follows. I
6 believe the only thing that makes this unusual is
7 legislatures, some legislatures have given the
8 individual the power to refuse, the power not to have
9 their body invaded; but then they have also put the duty
10 to take the consequences, which are not in violation of
11 the Fifth Amendment. The consequences are not as
12 extreme as what would be allowed under the United States
13 Constitution of taking it by force.

14 So we feel that it is logical to say that the
15 fact it doesn't exist is through no fault of the
16 state's, is not the state's fault. This individual
17 exercised his power by statute not to have the test
18 taken, and that should be brought to the fact finder. I
19 can't imagine a state or federal judge trying one of
20 these cases not listening to why there wasn't a blood
21 test. He may say, well, I'm going to hear it and then
22 if it's irrelevant I will strike it out, as all of us
23 are familiar that court trials do. I can't believe
24 anyone trying to find the facts of this issue would not
25 expect to know why there wasn't a blood test: Why hasn't

1 the state done its job; where is this blood test?

2 That is to our disadvantage, obviously, and we
3 wouldn't want to put it in if it wouldn't help us,
4 obviously. But the public has a right to know -- or the
5 jury, I should say, the jury has a right to know what
6 are the facts of this case as long as it doesn't violate
7 the Fifth Amendment, and it does not, in our opinion,
8 because -- obviously we can take the handwriting
9 example. To me it is a perfect example of why the
10 refusal, that fact, should be put in. It goes to the
11 indication of intoxication.

12 We can testify as to slurred speech, not
13 necessarily, depending on the Fifth Amendment and the
14 Miranda warning, what was said; but the manner in which
15 an individual talked is something the officer can
16 comment on, that he staggered. All these things are
17 observations that have to be testified to.

18 And when we come to the test, we are saying it
19 is in the same regard. Had he done what state law
20 requires, there would be a test. We wouldn't have to
21 worry about the refusal or any of his comments. Had he
22 done what he agreed to do when he got his South Dakota
23 driver's license or operate a motor vehicle in our
24 state, he would have consented to the blood test. But
25 he exercised his power not to do so.

1 It seems very reasonable under the United
2 States Constitution that a state attempting to give its
3 citizens or any visitors the power to refuse this bodily
4 intrusion are then more limited in their evidentiary
5 presentation to a jury than if they said, we don't care,
6 we don't care, we're going to hold them down, we're
7 going to hire big officers and we're going to find large
8 nurses and we're going to take the blood tests, and then
9 we're going to put in the evidence of how the individual
10 struggled and swore and punched someone, then we have a
11 felony, perhaps, assaulting an officer.

12 This is not reasonable. This is not what the
13 Fifth Amendment should do when a state is attempting to
14 have, through a power that it has been issued, a
15 reasonable way in which to get evidence which is allowed
16 under the Fifth Amendment.

17 We would ask that this Court reverse the
18 judgment, and I would keep my time for rebuttal.

19 CHIEF JUSTICE BURGER: I think we will resume
20 there and have your argument at 1 o'clock, counsel.

21 [Whereupon, at 12:00 p.m. the Court was
22 recessed, to reconvene at 1:00 p.m. the same day.]

23
24
25

1 AFTERNOON SESSION

2 CHIEF JUSTICE BURGER: Mr. Gienapp, I think
3 you may proceed when you are ready.

4 ORAL ARGUMENT OF DAVID R. GIENAPP, ESQ.

5 ON BEHALF OF THE RESPONDENT

6 MR. GIENAPP: Mr. Chief Justice, and may it
7 please the Court:

8 As the Attorney General has indicated, the
9 issue in this particular case presented to this Court is
10 the constitutionality of a South Dakota statute allowing
11 into evidence an individual's refusal to take a blood
12 alcohol test. This refusal is committed pursuant to a
13 South Dakota statute that was in existence long before
14 this statute.

15 Since 1960 South Dakota has statutorily
16 allowed an individual to refuse to take a blood alcohol
17 test, not without some certain civil penalties, but
18 allowed that permission. In 1980 this statute was
19 passed by the South Dakota legislature allowing evidence
20 of that refusal into evidence at the trial for the DWI,
21 which is the South Dakota vernacular, I guess, for
22 driving while intoxicated, the formal charge in South
23 Dakota.

24 QUESTION: Mr. Gienapp, was that 1980
25 amendment that you just spoke about in response to a

1 decision of the Supreme Court?

2 MR. GIENAPP: It was perhaps a year and a half
3 later after a decision of the South Dakota Supreme Court
4 that held that such a refusal was not admissible without
5 a statute, basically. I believe that case is cited in
6 the briefs, and I believe it is State v. Oswald.

7 QUESTION: Then was the South Dakota Supreme
8 Court conceding, at least sub silentio, that there was
9 no constitutional issue involved, if they were inviting
10 the legislature to pass a statute?

11 MR. GIENAPP: No, I don't believe they really
12 invited the legislature --

13 QUESTION: Well, they said in the absence of a
14 statute, you said.

15 MR. GIENAPP: Well, I don't know if they
16 specifically said in the absence of a statute. That is
17 an interpretation that was given to it when the statute
18 presently in existence was presented --

19 QUESTION: Was this your interpretation of
20 it? I thought that is what you said.

21 MR. GIENAPP: No, Your Honor. My
22 interpretation is that they merely said point blank it's
23 not admissible, not referring to the constitutional
24 issue or otherwise. There was a subsequent case where
25 they inferred that there was not a constitutional issue,

1 which they specifically overruled in their decision in
2 State v. Neville.

3 Referring briefly to the Attorney General's
4 comments on the background of this case, the motion to
5 suppress was made and granted on three separate and
6 distinct grounds, one of them being the constitutional
7 issue, one of them being the relevancy issue, and one of
8 them being the issue that the arresting officer did not
9 comply with the statutory procedure under South Dakota
10 law prior to asking for the blood alcohol test, a
11 statute which is a situation that is precedent to taking
12 that particular test.

13 The appeal was made to the South Dakota
14 Supreme Court only on the constitutional grounds. The
15 other two grounds granted at the trial court level
16 remain and stand today. The Attorney General indicated
17 that the statute is relevant and that a ruling that it
18 was irrelevant under the statute by the trial judge -- I
19 don't agree with that particular comment because the
20 statute as it is worded is discretionary with the trial
21 judge. It does not say it is admissible, it does not
22 say it shall be admissible; it says it may be
23 admissible. The trial judge in this particular case
24 ruled that it was not admissible on the grounds that it
25 was irrelevant and immaterial to that particular factual

1 situation.

2 The issue as it is presented to this Court is
3 solely on the constitutionality and solely on the Fifth
4 Amendment aspect of the case. It is Petitioner's --
5 Respondent's position that this particular statute is
6 unconstitutional, is violative of the Fifth Amendment
7 for the reasons set forth in Schmerber v. California and
8 for the logic that follows those particular reasons.

9 QUESTION: Do you think we have jurisdiction
10 here?

11 MR. GIENAPP: I question jurisdiction, Your
12 Honor, in my reply to the writ for certiorari. I
13 question whether there is jurisdiction.

14 QUESTION: Because of lack of finality?

15 MR. GIENAPP: Yes, Your Honor.

16 QUESTION: And that is because why?

17 MR. GIENAPP: Because of two other grounds
18 that the matter has already been suppressed on at the
19 trial court level in this particular case.

20 QUESTION: Well, that is not finality, is it?
21 Is it an independent state ground of some kind?

22 MR. GIENAPP: No, these are -- Yes, these are
23 independent state grounds, although they have not been
24 rule on per se by the South Dakota Supreme Court.

25 QUESTION: Well, are you suggesting that if

1 the state prevails on the constitutional issue in this
2 Court, nevertheless your courts may reaffirm the setting
3 aside of the conviction on one of the other two grounds?

4 MR. GIENAPP: Yes, Your Honor.

5 QUESTION: Or on state constitutional grounds?

6 MR. GIENAPP: That would be a possibility also.

7 QUESTION: It is curious that in the earlier
8 case that you referred to, that they made no reference
9 to any state constitutional issue.

10 MR. GIENAPP: No, they did not, not in State
11 v. Oswald, and I don't believe in State v. Maher did
12 they either.

13 QUESTION: If you were to prevail on that
14 basis, the state would really be at quite a
15 disadvantage, wouldn't it, because the Supreme Court of
16 South Dakota opinion in this case seems to speak only in
17 terms of the federal constitution. And if that decision
18 were unreviewable, you would be in kind of the same
19 situation as the state of California was in the case of
20 California against Stewart. If it goes back to the
21 trial court on the basis of the Supreme Court of South
22 Dakota's opinion, the state simply can't use the
23 evidence and it will never have a chance to have the
24 federal question reviewed.

25 MR. GIENAPP: They would have the chance to

1 have the federal question reviewed in a different case
2 where there was not the error in following statutory
3 procedures in advising someone of the implied consent
4 right, or --

5 QUESTION: Not in this case.

6 MR. GIENAPP: That's correct, Your Honor.

7 But could I follow up one further comment,
8 Justice Rehnquist. The South Dakota decision, though,
9 did decide it on both the state and the federal
10 constitution.

11 QUESTION: But isn't there a suggestion in the
12 opinion that that court views the two as coterminous?

13 MR. GIENAPP: Their history has been such that
14 they do not.

15 QUESTION: I was merely going to add that if
16 he is acquitted, however, the issue cannot be reviewed.

17 MR. GIENAPP: That's correct, Your Honor. If
18 he is convicted, it would be likewise; the issue would
19 not be reviewed because I, obviously, would not raise it
20 if it were not introduced at the trial court level.

21 QUESTION: But if we dismissed this case for
22 lack of jurisdiction and the case went back, the
23 evidence would be inadmissible, but not only for the
24 constitutional reason but for irrelevancy or --

25 MR. GIENAPP: That is correct, and also --

1 QUESTION: So even if we reversed, even if we
2 reversed the constitutional ruling, the evidence in the
3 trial court wouldn't be admissible.

4 MR. GIENAPP: That's correct, Your Honor.

5 QUESTION: Because of the other two grounds?

6 MR. GIENAPP: That's correct, Your Honor.

7 QUESTION: Did the trial judge explain why he
8 thought it was irrelevant, and if he didn't, do you have
9 any suggestion as to support that ruling?

10 MR. GIENAPP: The trial court did not explain
11 why it was irrelevant, Your Honor, at least that I can
12 recall, and it is not in the record. My explanation
13 might very possibly be that the South Dakota statute
14 that we are referring to, of course, is discretionary,
15 as I have defined. It says may be admissible. South
16 Dakota has an evidentiary rule much like the federal
17 rule which says that evidence should not be admitted
18 unless its probative value exceeds its prejudicial
19 effect, or vice-versa. That would be one of the reasons
20 that I could see for a trial judge making such a
21 decision.

22 QUESTION: Irrelevancy was hardly the correct
23 label for that if that was the basis of the ruling.

24 MR. GIENAPP: Could be.

25 QUESTION: Can these other two grounds ever

1 get to the Supreme Court of South Dakota for exclusion?

2 MR. GIENAPP: Not in this particular case,
3 no. They did not raise those on the intermediate
4 appeal, that is correct.

5 QUESTION: And if the case is tried as an
6 acquittal, the state cannot appeal.

7 MR. GIENAPP: That's correct, Your Honor.

8 QUESTION: Well, if they didn't raise those
9 grounds on their appeal, then aren't they the law of the
10 case?

11 MR. GIENAPP: That's correct, Your Honor.

12 QUESTION: I didn't realize that. So this
13 evidence can never go in.

14 QUESTION: In any event, no matter what.

15 QUESTION: So that it is purely an advisory
16 opinion.

17 MR. GIENAPP: That is my interpretation of it,
18 and I feel that I raised that to some extent. I haven't
19 raised it in my briefs here, but I raised it.

20 QUESTION: It isn't a question of finality; it
21 is a question of an independent state ground, really.

22 MR. GIENAPP: It is a question of finality as
23 to the Fifth Amendment ruling within the state.

24 QUESTION: Well, that is final enough, but it
25 makes the ruling irrelevant in this case. However you

1 decide this ground will make no difference in the trial.

2 MR. GIENAPP: That is my interpretation of
3 what has taken place up to this time, Your Honor. In
4 the petition -- or in the South Dakota Supreme Court,
5 the South Dakota Supreme Court made the general
6 statement that other issues raised, we don't need to
7 reach or we deem to be without merit.

8 QUESTION: Well, but that wouldn't answer it.
9 If they raised them. I want to be sure that I
10 understand your representation to the Court. You are
11 telling us that the state did not seek to reverse those
12 two rulings, the error in relying on the irrelevance
13 that the trial judge said, and the fact that no advice
14 was given.

15 MR. GIENAPP: That is my recollection, Your
16 Honor. The --

17 QUESTION: Well, that is quite important, as
18 Justice Stevens says.

19 MR. GIENAPP: The petition for intermediate
20 appeal --

21 QUESTION: Because if they raised it, the
22 Supreme Court wouldn't have had to reach them because
23 they had another reason for reversing.

24 QUESTION: Then we would just decide this case
25 and remand it to the court.

1 MR. GIENAPP: The petition for intermediate
2 appeal, sir -- I don't have the case, or the page number
3 off the top of my head -- is embodied in the appendix
4 for petition for a writ of certiorari, and that would
5 specify the entire reasons for the appeal in this
6 particular case.

7 QUESTION: You say that is in the appendix?

8 MR. GIENAPP: Yes. It's on page A-33 of the
9 -- excuse me -- of the petition for a writ of certiorari.

10 QUESTION: Well, if this case resolves itself
11 down to whether or not the trial judge abused his
12 discretion under the state statute, do you think that is
13 the kind of a case this Court would review under any
14 circumstances, an abuse of discretion, even if it was
15 the grossest kind of abuse of discretion?

16 MR. GIENAPP: I guess -- Let me correct myself
17 in looking at this petition for intermediate appeal.
18 The petition says the appeal is from the entire order, a
19 portion which declares it unconstitutional. So the
20 reference is to the entire order, but the other portions
21 of the order were basically affirmed by the South Dakota
22 Supreme Court.

23 QUESTION: Well, didn't the South Dakota
24 Supreme Court say in that regard that it affirmed the
25 order of the circuit court suppressing the admission of

1 refusal evidence and that it need not address the other
2 issues raised on appeal, or deemed them to be without
3 merit. It didn't say.

4 MR. GIENAPP: Or deemed them to be without
5 merit. Yes, that is correct.

6 QUESTION: Here at A-35 it says two questions
7 are presented. This is in the petition for intermediate
8 appeal. One is the constitutionality of the statute,
9 but secondly, whether or not the defendant's statement
10 made after having been advised of Miranda rights and
11 after having waived those rights would in any case be
12 admissible regardless of the constitutionality of the
13 statute.

14 MR. GIENAPP: That's correct, Your Honor.

15 QUESTION: Doesn't that subsume the
16 admissibility of the statements on other grounds?

17 MR. GIENAPP: That basically does not include,
18 first of all, the third ground, which relates to the
19 statutory requirements.

20 QUESTION: Why doesn't it? It just says are
21 there any other reasons with respect to a disability.

22 QUESTION: No. I think isn't a fair reading
23 of that second question that it refers to the "I'm too
24 drunk" comment, which they then did reverse on and
25 remand for a hearing?

1 MR. GIENAPP: That's correct, Your Honor.

2 QUESTION: It doesn't refer to the question of
3 whether it was inadmissible because of irrelevance.

4 MR. GIENAPP: And those were the only two
5 issues briefed, basically, in the South Dakota Supreme
6 Court, that particular situation.

7 QUESTION: And it is correct, is it not, that
8 the state Supreme Court treated both of those issues in
9 its opinion?

10 MR. GIENAPP: Yes.

11 QUESTION: The "I'm too drunk" comment and the
12 constitutionality question.

13 MR. GIENAPP: They remanded the propriety of
14 the statement for further trial court proceedings within
15 the confines of Miranda.

16 QUESTION: Counsel, before you go on, I think
17 your brief expressly agrees that the issue before us was
18 stated correctly in the Attorney General's brief, and
19 that, of course, was only the constitutional question.

20 MR. GIENAPP: That's correct, Your Honor.

21 QUESTION: Of course, agreement can't confer
22 jurisdiction on us, but I'm wondering now what is your
23 position. In other words, what do you urge us to do?

24 MR. GIENAPP: Basically, Your Honor, I have no
25 problem with the Court deciding the issue. I did raise

1 the issue in my reply to the petition for writ of
2 certiorari. I did raise it at that particular level. I
3 did not raise it in this brief. I guess I am placed in
4 a position where I am representing an individual client
5 technically in this particular case. Regardless, in my
6 opinion, regardless of whether this Court reaches the
7 issue or not, it is not going to be introduced against
8 my individual client.

9 I hope that is some type of answer to your
10 question.

11 QUESTION: Let me see if I understand that.
12 That this evidence, if we reverse and send it back as a
13 new trial, that this evidence is not going to be
14 admitted in evidence. And why?

15 MR. GIENAPP: Because of the other two grounds
16 found at the trial court level for the non-admissibility
17 of this particular --

18 QUESTION: And irrelevancy was one of them.

19 MR. GIENAPP: Right.

20 QUESTION: Well, suppose it is a different
21 trial judge, who might think that this was quite
22 relevant?

23 MR. GIENAPP: Then I get into the res judicata
24 rule of the case situation. I would argue that --

25 QUESTION: There hasn't been any trial here,

1 yet.

2 MR. GIENAPP: No.

3 QUESTION: It is only on appeal from denial --
4 or grant of a motion to suppress.

5 MR. GIENAPP: That's correct, Your Honor. And
6 also I feel that the third issue is so obvious under
7 South Dakota law that no new judge is going to decide
8 contrary to that because there is a South Dakota statute
9 that says these specific rights should be given, and as
10 the Attorney General indicated, the new cards weren't
11 out yet, subsequent to July 1st.

12 QUESTION: What you are really saying is you
13 would be happy to have us give you an advisory opinion
14 on it.

15 MR. GIENAPP: That would be a correct
16 assumption.

17 QUESTION: Is it an advisory opinion when that
18 is the only question that brings you two gentlemen here?

19 MR. GIENAPP: Well, you know, I followed
20 through on the appeal with good faith and obviously feel
21 that it is an issue that there is --

22 QUESTION: Well, you didn't ask for an appeal.

23 MR. GIENAPP: That's correct.

24 QUESTION: No, but after it was here, after it
25 was granted, you conceded that the constitutional issue

1 posed by the state was here.

2 MR. GIENAPP: I briefed only what the state
3 briefed in their particular brief. I believe I --

4 QUESTION: And we aren't bound by what either
5 of you say, of course.

6 MR. GIENAPP: I believe I did raise a question
7 on that regard in my reply to the petition for writ of
8 certiorari.

9 QUESTION: And so you thought when we granted
10 the cert, that issue had been resolved by our grant.

11 MR. GIENAPP: That's correct, Your Honor.

12 QUESTION: He thought we knew what we were
13 doing.

14 [Laughter.]

15 MR. GIENAPP: That, I guess, would be a proper
16 summation of my feelings in that regard.

17 [Laughter.]

18 QUESTION: Very tactful, counsel.

19 MR. GIENAPP: I feel, though, going to the
20 constitutional issue, and it is Respondent's position
21 that Schmerber, that this is clearly communicative or
22 testimonial under the dictates of Schmerber. Schmerber
23 specifically states that blood test results were
24 admissible only because it was neither petitioner's
25 testimony nor evidence relating to some communicative

1 act or writing by the petitioner.

2 Here we have, through statutory authority,
3 basically a situation where the state has passed a
4 statute allowing a compelled testimonial response into
5 evidence. Under the procedures in South Dakota in
6 arrests such as this, under the way things happen in a
7 DWI case such as this and the way it happened in this
8 particular case, this individual is advised of these
9 rights, erroneously here, but advised of these rights,
10 and at the conclusion of these rights, after he is in
11 custody, he is compelled to give a testimonial
12 response. This statute now seeks to introduce into
13 evidence at a criminal trial this very compelled
14 testimonial response.

15 I feel that it is clearly communicative and
16 testimonial. I do not follow and cannot see the state's
17 argument that it is real or physical, because it falls
18 exactly within the wording, the outlines, the statements
19 in Schmerber v. California. The Fifth Amendment
20 privilege is fulfilled only when the person is
21 guaranteed the right to remain silent unless he chooses
22 to speak in the unfettered exercise of his own will.
23 When you are told that you have to give me a response,
24 you have to tell me, that I want you to tell me --

25 QUESTION: Well, is that really what the

1 statute says?

2 QUESTION: That the defendant must or the
3 accused DWI guy when he gets out of the car must speak
4 up and say something? Or just that if he doesn't agree
5 to take the test, which I take it would require some
6 sort of consent, the fact of his failure to agree can be
7 admitted in evidence?

8 MR. GIENAPP: Under the facts of this
9 particular case, you are talking testimonial, and as
10 this Court has indicated, testimonial can be other than
11 actual word of mouth; it can be a nod of the head, a nod
12 of the head "no" or this type thing. Under South Dakota
13 law --

14 QUESTION: But he wasn't compelled to shake
15 his head, certainly.

16 MR. GIENAPP: He was basically requested by
17 the law enforcement officer to give him a response.
18 That response was communicative, that response was
19 testimonial.

20 QUESTION: Yes, but he certainly wasn't
21 compelled. He was free just to stand mute, I suppose.

22 MR. GIENAPP: He wasn't within the implied
23 consent right told that. But to follow that particular
24 question one step further, the Attorney General's
25 position, which I don't necessarily concur with, that

1 just the refusal, the fact of refusal is admissible,
2 under South Dakota law and under decisions of the South
3 Dakota Supreme Court, muteness is considered a refusal.

4 QUESTION: Muteness?

5 MR. GIENAPP: Muteness.

6 QUESTION: To stand mute.

7 MR. GIENAPP: To stand mute.

8 QUESTION: To refuse to speak.

9 MR. GIENAPP: Yes. To refuse to speak is
10 considered a refusal. To state "I don't want to take
11 that test until I have had the opportunity to talk to an
12 attorney," under South Dakota law is considered to be a
13 refusal.

14 QUESTION: Let me clarify that. If he doesn't
15 shake his head one way or the other, doesn't utter a
16 word, stands mute in the literal sense, do you say that
17 South Dakota law makes that testimonial?

18 MR. GIENAPP: South Dakota law states that
19 that is a refusal. And under the Attorney General's
20 position, that just the fact of a refusal is what should
21 be introduced, if a person stood mute, that would be
22 introduced as a refusal.

23 QUESTION: And you say that is testimonial.

24 MR. GIENAPP: I don't necessarily say the
25 muteness is testimonial. I say in this particular

1 factual situation, where there is an actual verbal
2 response, it is testimonial; but it is still a compelled
3 response. The muteness is basically compelled because
4 he has got to do something, either answer or remain
5 mute, and it is going to be used against him. And it is
6 basically going into the private mind of the individual.

7 QUESTION: I don't understand why you say this
8 is a compelled refusal. As I understand it, the statute
9 gives him a privilege to refuse, doesn't it?

10 MR. GIENAPP: It is --

11 QUESTION: Well, does it?

12 MR. GIENAPP: If I said compelled --

13 QUESTION: Does it? Doesn't it?

14 MR. GIENAPP: Yes.

15 QUESTION: Well, then how is it compelled?

16 MR. GIENAPP: If I said and used the words
17 "compelled refusal," I was erroneous. I should have
18 said "compelled response" or "compelled testimony." He
19 didn't have to refuse, but whatever he said was
20 compelled because he was being told at that particular
21 time. If he had acquiesced to the blood test and said,
22 "yes, I'll take the blood test, I'm too drunk, I'll
23 never pass it, but I'll take it," I guess I would
24 consider that also --

25 QUESTION: But he could under Schmerber be

1 compelled to give the blood sample, could he not?

2 MR. GIENAPP: That's correct.

3 QUESTION: They could have strapped him down
4 and just gone ahead and taken it, as long as they had
5 appropriate medical procedures.

6 MR. GIENAPP: That's correct.

7 QUESTION: And what the State was after was
8 the blood sample, was it not? The State wasn't after a
9 refusal. They are not interested in the refusal. They
10 didn't want to have evidence -- they wanted the blood
11 test so they could make a test to determine whether or
12 not he was intoxicated.

13 MR. GIENAPP: I think that would be a proper
14 assumption.

15 QUESTION: Then I have difficulty
16 understanding how you can argue there is any compelled
17 refusal.

18 MR. GIENAPP: It is compelled, the testimonial
19 -- as I indicated, it is not compelled refusal, it is
20 compelled communicative or testimonial response. It
21 would be no different than a situation without the
22 benefits of Miranda where your fingerprints are being
23 taken, which is obviously a legitimate police function,
24 and the police officer says, "Do you really want these
25 fingerprints taken?" and you make the testimonial

1 response, "No, I don't because they are probably going
2 to show up on the gun," that basically by the
3 inquisition is compelled and it is testimonial under
4 Schmerber and it would not be admissible. It would be
5 what, basically in the footnote of Schmerber in that
6 situation, the testimonial byproduct that was discussed
7 there.

8 QUESTION: Counsel, I have a problem. You ask
9 him to take a blood test and he stands mute, says
10 nothing. Can't you put that in evidence?

11 MR. GIENAPP: As a defense?

12 QUESTION: Either side. Put in evidence the
13 fact that he was asked to take a blood test and he just
14 stood mute and said nothing. Nothing to stop you from
15 putting that in.

16 MR. GIENAPP: No, that could be put into
17 evidence.

18 QUESTION: That's what I thought.

19 MR. GIENAPP: Yes, that could be put into
20 evidence. But what I am stating is that the
21 representation by the Attorney General that all they
22 wanted was the refusal into evidence, the fact of a
23 refusal, the fact of the refusal could mean many
24 things. I feel, as I believe Justice Stevens referred
25 to this morning, that the constitutional issue is

1 there. If it is violative of the Fifth Amendment, then
2 and in that situation it is not admissible whether it is
3 just called a refusal or the actual words are used.

4 If it is not violative of the Fifth Amendment,
5 then I think the actual words would be as admissible as
6 the fact of just a refusal because the South Dakota
7 statute does not say that the fact of refusal should be
8 admitted; the South Dakota statute merely says the
9 refusal may be admitted.

10 QUESTION: Well, counsel, if it isn't
11 compelled, how can it violate the Fifth Amendment?

12 MR. GIENAPP: It is my position it is
13 compelled. The individual does not have to give any
14 testimonial or communicative response --

15 QUESTION: He is not required to take the test
16 and he is not required to answer, is he?

17 MR. GIENAPP: That's correct. He is not
18 required to take the test and he is not required -- He
19 has the option, but it is a compelled option by the very
20 inquisition, and the results of that option are
21 testimonial or communicative.

22 QUESTION: Including standing mute and saying
23 nothing and refusing to submit.

24 MR. GIENAPP: That's correct. But you have a
25 situation where the rights used state that what do you

1 want to do, do you wish to take this test? And you are
2 not going to find a situation where someone stands mute
3 that often, because it is an actual questioning, it is
4 an actual interrogation compelling this particular
5 response. And the basic rights and problems that the
6 Fifth Amendment seeks to protect and the dangers in this
7 type of situation are indicative in this case. Not that
8 there was any abuse, but you have a situation where he
9 was advised of these rights three times.

10 Can law enforcement officers then continue to
11 advise and get the refusal that is most susceptible to
12 what they would like to introduce at trial? Under this
13 statute they could. And here we have a situation where
14 the compelled response was not given only once but three
15 times. There is a constitutional right to refuse, but
16 there is not a constitutional right for the state to
17 create a statute which compels an individual to give
18 testimonial or communicative statements.

19 If the state's argument that it is
20 circumstantial evidence of guilt, if that argument is
21 carried to its logical extreme, then theoretically the
22 Fifth Amendment would no longer protect any
23 communication that could also be characterized as
24 circumstantial evidence of a state of mind; and I submit
25 that virtually any testimonial or communicative

1 statement can be interpreted and argued as being
2 circumstantial evidence of a state of mind.

3 The General also argues that this is necessary
4 to bring so juries know what went on. I submit that the
5 only reason they want it is to show through compelled
6 testimonial response an inference of guilt.

7 QUESTION: Well, that is what most cases are
8 about. Isn't the prosecution trying to show some sort
9 of inference of guilt?

10 MR. GIENAPP: That's correct, although they
11 have stated here that their main concern is so that
12 there is not any question that the individual was not
13 given the opportunity to take a test --

14 QUESTION: But anyone who has tried one of
15 those cases knows that if you don't put in the test, the
16 defense lawyer is going to get up and that is going to
17 be his big pitch to the jury: why didn't the state make
18 a test?

19 MR. GIENAPP: I don't feel that it is proper,
20 and I never have -- and I have tried a number
21 of these -- argued, when the refusal wasn't admitted,
22 argued why wasn't there a refusal. I don't feel that is
23 proper argument because I think that it is totally
24 improper argument by a defense counsel.

25 QUESTION: Well, do you think that other

1 defense counsel adhere to your high standards in that
2 regard?

3 [Laughter.]

4 MR. GIENAPP: There would be some question
5 amongst other defense counsel as to whether or not I
6 have high standards.

7 [Laughter.]

8 CHIEF JUSTICE BURGER: Your time has expired.

9 MR. GIENAPP: Thank you.

10 QUESTION: Could I just ask you. Your
11 petition, your motion to suppress was based solely on
12 constitutional grounds, I take it.

13 MR. GIENAPP: That's correct.

14 QUESTION: Did you make the motion?

15 MR. GIENAPP: Yes, Your Honor.

16 QUESTION: And the further grounds that the
17 procedures utilized by the arresting officer in advising
18 the defendant of his potential rights were violative of
19 the Fourth, Fifth and Sixth Amendment rights.

20 MR. GIENAPP: That's correct, Your Honor.

21 QUESTION: Now, do you think the trial court's
22 ruling -- and you submitted the findings of fact, the
23 proposed findings of fact, and he adopted them, I take
24 it.

25 MR. GIENAPP: Yes, Your Honor.

1 QUESTION: And signed them. And on page 829
2 at Roman numeral III, is that based on a state statute
3 or is that a holding that failure to advise of the right
4 to -- failure to advise that the refusal could be used
5 against him and failure to advise of his right to
6 counsel, are those constitutional rulings?

7 MR. GIENAPP: That would be a constitutional
8 ruling. That particular statement is not --

9 QUESTION: That's not based on the state
10 statute or the state law.

11 MR. GIENAPP: Well, the failure to advise that
12 the refusal could be used against him was a violation of
13 state law, yes.

14 QUESTION: Well, arguably it could be a
15 violation of the Federal Constitution. That is what you
16 said it was. That is what your motion was.

17 MR. GIENAPP: That's correct, Your Honor. But
18 the order --

19 QUESTION: Because this doesn't refer to the
20 statute.

21 QUESTION: I suppose, whether it is federal or
22 state ground, it is still the law of the case, which we
23 have no power to review unless it has been raised --

24 QUESTION: It sure is.

25 MR. GIENAPP: And I believe on page A-32,

1 then, the actual three items in the order are listed
2 there.

3 QUESTION: Well, isn't it strange; it really
4 is strange that the state would appeal one ground for
5 excluding the evidence but it would make absolutely no
6 difference in the disposition of the case.

7 MR. GIENAPP: The state, I believe, was, of
8 course, interested in the broader --

9 QUESTION: I know, but it wouldn't make any
10 difference in this case.

11 MR. GIENAPP: That's correct, Your Honor,
12 that's my opinion.

13 QUESTION: It's very strange.

14 CHIEF JUSTICE BURGER: Mr. Attorney General?

15 ORAL ARGUMENT OF MARK V. MEIERHENRY, ESQ.

16 ON BEHALF OF THE PETITIONER -- REBUTTAL

17 MR. MEIERHENRY: Well, we respectfully
18 disagree with our colleague here. If you will look at
19 page 4 of our brief, you will see the statute that is
20 19-13-28.1.

21 QUESTION: Right.

22 MR. MEIERHENRY: That says, notwithstanding
23 another provision, such refusal -- it says that if
24 someone violates 32-23-10, such refusal is admissible
25 into evidence. But it all hangs upon whether or not

1 10.1 is constitutional. If it is not constitutional,
2 then this is a nullity because we can't use the fruits
3 of an unconstitutional statute to admit it.

4 I disagree with Mr. Gienapp. The relevancy
5 issue falls, and he says the right, and I would point
6 out to the Court --

7 QUESTION: Yes, but will this evidence ever be
8 admissible?

9 MR. MEIERHENRY: Yes, it will.

10 QUESTION: Let's assume that we reverse this
11 judgment and say it is quite constitutional to introduce
12 the evidence, at least as far as the Fifth Amendment is
13 concerned, it is constitutional, it is not forbidden.
14 How can you ever get the evidence in in the light of
15 these rulings in the trial court?

16 MR. MEIERHENRY: Very clearly, because then
17 the statute 19-13-28.1 says that the refusal evidence is
18 admissible without regard to relevancy, without regard
19 to what Mr. Gienapp misintends. He refers back to the
20 fact that you have to be advised that if you don't take
21 the test, you could lose your license for a year. Our
22 legislature nor no court has ever said you have to be
23 advised that your refusal will be used against you.
24 That has not been -- I disagree with Mr. Gienapp on that
25 point.

1 The state's position is that if --

2 QUESTION: Well, here is a ruling that the
3 failure to advise him excludes the evidence.

4 MR. MEIERHENRY: It is the state's position
5 that should this Court find that this is constitutional,
6 the trial judge does not have the discretion and would
7 subsequently reverse his ruling. His ruling is based
8 first of all that it is unconstitutional. Since it is
9 unconstitutional, then he would have to have an advising
10 of rights, and besides that, he says, since this is
11 unconstitutional --

12 QUESTION: It is irrelevant.

13 MR. MEIERHENRY: -- it is irrelevant. What we
14 are contending to this Court is that we need a final
15 judgment here. To argue otherwise as if any trial judge
16 in our state always held that it is irrelevant and it is
17 also unconstitutional, we would never get the issue
18 presented to anyone.

19 QUESTION: Well, you could always appeal that
20 to the Supreme Court of South Dakota, making it clear
21 that you appeal both points, and perhaps you have.

22 MR. MEIERHENRY: Well, we think it is clear --
23 it was remanded back on a Miranda issue. Obviously, why
24 would they remand it back if it were irrevelant.

25 QUESTION: They remanded it back for

1 voluntariness.

2 MR. MEIERHENRY: Yes.

3 QUESTION: The voluntariness of the "I'm too
4 drunk" statement.

5 MR. MEIERHENRY: Yes, but that goes to
6 relevancy. Why would they remand it if it was
7 irrelevant?

8 QUESTION: If it is irrelevant, there was no
9 need to.

10 MR. MEIERHENRY: Why would we go through the
11 trauma of going through --

12 QUESTION: There is no ruling that the "I'm
13 too drunk" statement was irrelevant. And there really
14 couldn't be, either.

15 MR. MEIERHENRY: But that only occurred in the
16 response, the refusal. No. And of course, we have
17 adopted basically the Federal Rules of Evidence and --

18 QUESTION: But your Supreme Court drew a
19 distinction between the refusal and the "I'm too drunk"
20 statement. They sent one back and they disposed of the
21 other.

22 MR. MEIERHENRY: Because they interpreted this
23 Court's ruling under Schmerber to be it was
24 unconstitutional, just as the trial judge did. If that
25 is the case, Your Honor, then it also makes a nullity

1 our subsequent statute that says it is admissible. Mr.
2 Gienapp used --

3 QUESTION: Clearly it is not admissible in a
4 case where it's totally irrelevant. It wouldn't be
5 admissible in a child custody case, for example.

6 [Laughter.]

7 MR. MEIERHENRY: That is perhaps true, Your
8 Honor.

9 QUESTION: But if it went back to the trial
10 court in your state, and the judge enters and makes
11 findings and conclusions in which he just says it is
12 irrelevant, period, then that is subject to review by
13 the higher courts in the State on an abuse of discretion
14 basis, is it not?

15 MR. MEIERHENRY: That's correct.

16 QUESTION: But would we have any authority to
17 review an abuse of discretion issue here?

18 MR. MEIERHENRY: No, I don't -- obviously
19 not. It would not involve any federal question or
20 constitutional issue on the part of the state.

21 Thank you.

22 CHIEF JUSTICE BURGER: Thank you, gentlemen.
23 The case is submitted.

24 [Whereupon, at 1:37 p.m. the case in the
25 above-entitled matter was submitted.]

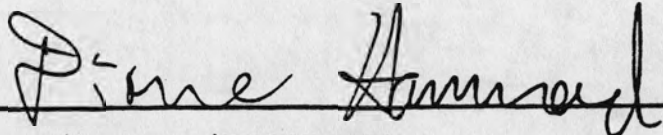
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SOUTH DAKOTA, Petitioner v. MASON HENRY NELVILLE No. 81-1453

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A handwritten signature in cursive script, appearing to read "Pina Amund", is written over a horizontal line.

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