

OFFICIAL TRANSCRIPT
PROCEEDINGS BEFORE

DKT/CASE NO. 81-1335

TITLE DISTRICT OF COLUMBIA COURT OF APPEALS ET AL.,
v. Petitioners
MARC FELDMAN AND EDWARD J. HICKEY, JR.

PLACE Washington, D. C.

DATE December 8, 1982

PAGES 1 thru 56



(202) 628-9300
440 FIRST STREET, N.W.
WASHINGTON, D.C. 20001

1 IN THE SUPREME COURT OF THE UNITED STATES
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3 DISTRICT OF COLUMBIA COURT OF :
4 APPEALS ET AT., :
5 Petitioners :
6 v. : No. 81-1335
7 MARC FELDMAN AND EDWARD J. :
8 HICKEY, JR. :

9 - - - - -x
10 Washington, D. C.
11 Wednesday, December 8, 1982

12 The above-entitled matter came on for oral
13 argument before the Supreme Court of the United States
14 at 1:38 o'clock p.m.

15 APPEARANCES:
16 DANIEL A. REZNECK, ESQ., Washington, D. C.; on behalf of
17 the Petitioners.
18 ROBERT M. SUSSMAN, ESQ., Washington, D. C.; on behalf of
19 Respondent Feldman.
20 MICHAEL F. HEALY, ESQ., Washington, D. C.; on behalf of
21 Respondent Hickey.

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1 P R O C E E D I N G S

2 CHIEF JUSTICE BURGER: We will hear arguments
3 next in District of Columbia Court of Appeals and others
4 against Feldman and Hickey.

5 Mr. Rezneck, I think you may proceed when you
6 are ready.

7 ORAL ARGUMENT OF DANIEL A. REZNECK, ESQ.,
8 ON BEHALF OF THE PETITIONERS

9 MR. REZNECK: Mr. Chief Justice, and may it
10 please the Court, these two cases are here on a single
11 issue, whether the federal courts in the District of
12 Columbia have jurisdiction to review prior decisions of
13 the D.C. Court of Appeals denying applications to the
14 D.C. bar or the D.C. bar examination by particular
15 applicants.

16 Rather than burdening the Court with a recital
17 of the facts of these cases, which are fully set out in
18 the brief, I thought it would be useful if I outlined at
19 the outset the routes by which an applicant may obtain
20 admission to the D.C. bar as they bear on these cases.
21 There are three possible routes.

22 One is graduation from an ABA-approved law
23 school and success on the D.C. bar examination, and
24 there is no dispute here that neither of these
25 applicants met that standard. That particular --

1 QUESTION: Neither of them? Did they ever get
2 to the second one?

3 MR. REZNECK: Neither took the bar
4 examination, and neither was a graduate of an
5 ABA-approved law school.

6 QUESTION: So they were, as I understood, the
7 problem is that they were barred from taking the
8 examination. That is the issue, isn't it?

9 MR. REZNECK: On the circumstances presented
10 to the D.C. Court of Appeals, each one was not permitted
11 to take the D.C. bar examination. That is correct, Your
12 Honor.

13 Now, that requirement of graduation from an
14 ABA-approved law school prevails in most jurisdictions
15 in the United States. Its validity has never been
16 undermined by any court, to my knowledge, and when an
17 effort was made to challenge it here several years ago,
18 this Court held that the challenge did not present a
19 substantial federal question.

20 The second route for admission to the D.C. bar
21 is admission on motion of a person who is a member of a
22 court of general jurisdiction of any other state or
23 territory, and who has been engaged in the practice of
24 law for five of the eight years preceding the
25 application. This is Subsection (c) of the Court's Rule

1 46 that we have been dealing with.

2 I would point out to the Court that teaching
3 at an ABA-approved law school qualifies under this rule
4 as being engaged in the practice of law, and Mr.
5 Feldman, as his brief indicates, has been doing that for
6 some period of time at Rutgers Camden, which is an
7 ABA-approved law school. In other words, Mr. Feldman
8 can be admitted on motion to the D.C. bar after the
9 specified time period. He is not forever barred from
10 the D.C. bar by the fact that he did not graduate from
11 an ABA-approved law school.

12 The third route is that a person who is a
13 graduate of an unaccredited law school is eligible to
14 take the D.C. bar examination upon completion of 24
15 credit hours in an ABA-approved school. This is the
16 so-called 24-hour rule, which is involved in Mr.
17 Hickey's case. There are six ABA-accredited law schools
18 in the District of Columbia. There are two in Mr.
19 Hickey's home state of Maryland. There are 172 in the
20 United States as a whole, and 24 credit hours at any one
21 of them is sufficient to satisfy the Court's 24-hour
22 rule, and Mr. Hickey is still entirely eligible to do
23 that.

24 Thus, there are several different routes into
25 the D.C. bar. The one route which we submit is not

1 available is for a frustrated bar applicant to go to the
2 United States District Court and obtain a coercive order
3 against the D.C. Court of Appeals, nullifying a prior
4 decision of that Court, and requiring it to abandon its
5 own rules for admission, and that is what both the
6 Respondents have attempted to do here.

7 I would like to identify for the Court several
8 important interests which we regard as being at stake in
9 this case. First, and I suppose most obvious, is the
10 interest of the D.C. Court of Appeals in maintaining its
11 independence and its authority in a matter which has
12 been expressly confided to it by Congress, admission to
13 its bar, and the governing statute here, I think, is
14 about as explicit as it could be. This is Section 2501
15 of Title XI of the D.C. Code:

16 "The District of Columbia Court of Appeals
17 shall make such rules as it deems proper respecting the
18 examination, qualification, and admission of persons to
19 membership in its bar."

20 And I want to emphasize that we are not
21 talking here about admission to the bar of the U.S.
22 District Court for the District of Columbia or the U.S.
23 Court of Appeals for the District of Columbia, which are
24 entirely free to regulate their own bars as they see
25 fit, and they do so.

1 QUESTION: Mr. Rezneck, do you think your case
2 stands on any different footing than if you were
3 representing the highest court of a state?

4 MR. REZNECK: Yes, I do, because of the Court
5 Reorganization Act, Justice Rehnquist.

6 QUESTION: In what respect does it stand on a
7 different footing?

8 MR. REZNECK: I think that considering the
9 history of regulation of the bar in the District, which
10 was originally confided to the U.S. District Court as a
11 court of general jurisdiction, you had a considered
12 judgment by Congress expressed in that statute
13 transferring that jurisdiction to the District of
14 Columbia Court of Appeals as the highest court of the
15 District of Columbia.

16 I think it is a question of what implications
17 can fairly be drawn from that transfer of jurisdiction.
18 I would point out that there is precedent in this Court
19 under the Court Reorganization Act, specifically the
20 Swain versus Pressley decision by Justice Stevens on
21 behalf of the Court several years ago, which construed a
22 different provision of the Court Reorganization Act as
23 conferring less jurisdiction on the federal district
24 court here than would be true on federal district courts
25 elsewhere in the country because of the provisions and

1 the intent of Congress in the Court Reorganization Act.

2 So, I do think that we stand on a different
3 and on a stronger footing here in the District for an
4 absence of jurisdiction in the federal courts.

5 QUESTION: Well, suppose before Alaska was
6 admitted to the Union it had the same kind of a
7 problem. Once it was admitted -- that is, the federal
8 courts, territorial judges performing certain
9 functions. Once it was admitted to the Union, it comes
10 in on an equal footing with all other states, and also
11 with equal burdens, does it not?

12 MR. REZNECK: Then it is a state. It would
13 depend, of course, as to whether the Act of Congress had
14 anything to say about control over admission to the
15 bar. Normally that would not be the case when a state
16 is admitted. Here we do have a statute which deals
17 explicitly with the subject of control of admission to
18 the bar, and of course there isn't any Congressional
19 statute applicable anywhere else in the United States
20 except in the District of Columbia.

21 So that we have that statute to interpret and
22 apply here, particularly in the light of the prior
23 history in the District of Columbia of regulation of the
24 bar.

25 As I was indicating, the D.C. Court of Appeals

1 is not in any sense attempting to control the bars of
2 other courts in the District of Columbia. Mr. Feldman,
3 for example, is a member of the bar of the U.S. Court of
4 Appeals for the D.C. Circuit. His name appears on
5 briefs in cases in that court, and in the reports of
6 decisions in cases in that court. While he was in
7 Washington, he was eligible to practice in the Federal
8 District Court here, because he was associated with a
9 member of the bar of the Federal District Court.

10 In other words, we are concerned solely here
11 with admission to the local bar, and that is the right
12 to practice in the local court system and to hold
13 oneself out as admitted to the general practice of law
14 in the District of Columbia, and we submit that the
15 decision below overturns or undermines one of the
16 pillars of the Court Reorganization Act of 1970, which
17 was the transfer of jurisdiction over the local bar from
18 the federal court to the U.S. -- to the D.C. Court of
19 Appeals.

20 And I would suggest that a few lines of the
21 history here are instructive, because as I think this
22 Court knows from its prior decisions under the Court
23 Reorganization Act, and as I certainly well remember
24 when I came to Washington to practice 20 years ago, we
25 had this unique hybrid court system here, where you had

1 a federal court system that was a court of general
2 jurisdiction, not limited jurisdiction, you had a local
3 court system that was entirely subordinated to the
4 federal court system, and you had a local bar which was
5 under the control of the U.S. District Court.

6 QUESTION: Well, in those days, Mr. Rezneck,
7 there was a Municipal Court which is somewhat like the
8 Superior Court now. Is that correct? Somewhat.

9 MR. REZNECK: No, it was -- well --

10 QUESTION: Somewhat.

11 MR. REZNECK: The Superior Court is a court of
12 general jurisdiction.

13 QUESTION: Yes.

14 MR. REZNECK: The Municipal Court was
15 certainly not.

16 QUESTION: It performed functions somewhat
17 like, but broader. Now, in those days, what was the
18 situation on practicing before the Municipal Court?

19 MR. REZNECK: There was separate admission to
20 the Municipal Court bar. If one was a member of the --

21 QUESTION: Did you have to take an
22 examination?

23 MR. REZNECK: No. If one was a member of the
24 bar of the U.S. District Court, one was admitted to the
25 bar of the Municipal Court, and I believe also of the

1 D.C. Court of Appeals, as it then was, so that you had
2 really a mirror image situation of what prevails now.

3 QUESTION: And then when the Municipal Court
4 later became the Court of General Sessions, did the
5 same practice prevail?

6 MR. REZNECK: The same situation prevailed,
7 because that was prior to Court Reorganization.

8 QUESTION: And then it went from the Court of
9 General Sessions to --

10 MR. REZNECK: The Superior Court, and it was
11 then that the Superior Court became a court of general
12 jurisdiction. The D.C. Court of Appeals became the
13 highest court --

14 QUESTION: Like any other -- Like any other
15 state court.

16 MR. REZNECK: Correct. The D.C. Court of
17 Appeals became the highest court of the District of
18 Columbia, and both federal courts in the District of
19 Columbia were reduced to the status of courts of limited
20 jurisdiction, like other lower federal courts in the
21 United States.

22 QUESTION: Mr. Rezneck, are there any areas at
23 all in which judgments of the D.C. Court of Appeals are
24 reviewable other than in this Court?

25 MR. REZNECK: I think that there may be one

1 provision in the Court Reorganization Act that deals
2 with certain types of criminal cases where you have a
3 joinder of D.C. Code and U.S. Code offenses in the local
4 court system. I think there is some provision which may
5 still exist for review of such cases by the U.S. Court
6 of Appeals, although that may be part of the phase-out.
7 There was some transitional reviewing jurisdiction even
8 after the Court Reorganization that existed for some
9 years, but --

10 QUESTION: But after that phase-out, judgments
11 of the D.C. Court of Appeals would be reviewable only by
12 this Court?

13 MR. REZNECK: Only by this Court. That's
14 correct. And that was a key provision of the Court
15 Reorganization Act, that decisions of the D.C. Court of
16 Appeals were made directly reviewable here instead of in
17 the lower federal courts as they had been previously,
18 and the independence and control over the D.C. bar which
19 was confided by Congress to the D.C. Court of Appeals
20 had been scrupulously respected by the federal courts in
21 the District of Columbia prior to the decision in the
22 present case. There has been an unbroken line of cases
23 in the Federal District Court which had dismissed suits
24 by frustrated bar applicants as not being the business
25 of the Federal District Court in Washington.

1 QUESTION: Did those cases raise
2 Constitutional questions?

3 MR. REZNECK: Some did.

4 QUESTION: But is -- Is your view that the
5 case turns on the fact that there was an application for
6 the right to take the bar exam, or would it be the same
7 case if they had never applied, and just made an attack
8 on the rule collaterally?

9 MR. REZNECK: If they had gone into the
10 District Court originally, in the first instance?

11 QUESTION: Yes. Say it was a little clearer
12 case of a Constitutional claim of substance. There was
13 an old Illinois case, I know, that denied admission to
14 women, and supposing you had that kind of a rule. Would
15 a woman have to apply for admission before she could
16 bring a collateral attack on the rule?

17 MR. REZNECK: Well, you don't, of course, have
18 to reach that question here, because of the case --

19 QUESTION: But I am trying to understand your
20 theory.

21 MR. REZNECK: Right.

22 QUESTION: I mean, is it your view that the
23 jurisdiction is so exclusive that every attack on the
24 rule must be made by seeking admission to the bar?

25 MR. REZNECK: If we were pressed to take a

1 position on that because the facts required it, I think
2 our position would be that the will of Congress is that
3 exclusive jurisdiction over bar admission should be --

4 QUESTION: Would you limit that --

5 MR. REZNECK: -- in the D.C. Court of
6 Appeals.

7 QUESTION: Would you limit that contention to
8 the D.C. Court of Appeals? You wouldn't apply it
9 generally to state courts?

10 MR. REZNECK: No, because there is no Act of
11 Congress that would govern in such situations.

12 QUESTION: And you probably don't think it
13 would be valid as applied to state courts.

14 MR. REZNECK: Well, I haven't had to face that
15 question, and of course it is not necessary to face the
16 question that you put in these cases, because --

17 QUESTION: Well, the reason it may be is that
18 at least as to one of the applicants, it is not at all
19 clear that he applied for anything other than
20 discretionary relief from the rule as opposed to a
21 holding that the rule was invalid.

22 MR. REZNECK: I think --

23 QUESTION: And if he just asked for
24 discretionary relief, it might be just like a case where
25 he had done nothing.

1 MR. REZNECK: I think that there are three --
2 I have thought about this, and I think that there are
3 three possible approaches that could be taken, and there
4 are authorities, I think, that support each of them.
5 They all lead to the same result here.

6 I think one could conclude that on the basis
7 of the Court Reorganization Act, that exclusive
8 jurisdiction from start to finish is confided to the
9 D.C. Court of Appeals subject to review by this Court.
10 There is an intermediate position, which is that where
11 applicants have gone to the D.C. Court of Appeals or,
12 for that matter, to a state court in the states, and
13 have obtained an adverse decision from the state court
14 or in this case the D.C. Court of Appeals, that they
15 cannot then go into the Federal District Court to seek
16 review or to relitigate any issues that were before the
17 local court.

18 And I think there is a third position, which I
19 know finds support in a decision by Judge Marrage and in
20 the Fourth Circuit decision in the Woodard case that we
21 have cited in our brief, which is that bar admissions
22 matters are of such paramount importance to the states
23 that whether it is the District of Columbia or the
24 states, they belong in the state court system from start
25 to finish, subject -- and I always emphasize subject --

1 to final review in this Court.

2 So, under any of those views, of course, these
3 cases would be decided exactly the same way, in favor of
4 dismissal here.

5 QUESTION: Under the third view, you wouldn't
6 draw a distinction between a license for a doctor, say,
7 and a license for a lawyer?

8 MR. REZNECK: Not under that third view. That
9 is probably the broadest approach. It certainly isn't
10 necessary to the decision of this case, but there is
11 very respectable authority in the Fourth Circuit, and I
12 think also in the Ninth Circuit, taking that -- taking
13 that point of view.

14 QUESTION: And what do you say about the,
15 again, on the waiver, that this is just a -- I know your
16 rule, but please waive it? That is all that happened
17 here, in one case, at least.

18 MR. REZNECK: Well, I don't think that is
19 really an accurate summation of what happened here,
20 because I know that the Respondents here have attempted
21 to avoid the effect of the Summers case --

22 QUESTION: Yes.

23 MR. REZNECK: -- by asserting that all that
24 they were doing was asking for a waiver, and that they
25 were not in any sense making a so-called claim of right

1 which would create a justiciable controversy and lead to
2 a judicial determination.

3 I would point out that if you read their
4 petition carefully, that you will see that each
5 Respondent was asserting in his petition to the D.C.
6 Court of Appeals that he was qualified to practice law
7 in the District of Columbia, that he was qualified for
8 admission to the D.C. bar, or at least to take the D.C.
9 bar examination, by reason of the unique circumstances
10 of his particular case.

11 In fact, they necessarily had to assert, and
12 they did in fact assert that they were qualified to
13 practice in the District of Columbia because that was a
14 predicate for them to be eligible for a waiver. In
15 other words, there was a claim of right on which the
16 court was capable of taking judicial action.

17 I would like in that connection just to refer
18 to a couple of points in the record on that. Let's
19 take, for example, Petitioner Mr. Hickey in the D.C.
20 Court of Appeals. This is at Page 20 of the Joint
21 Appendix. His first point: "Petitioner is
22 substantively qualified to sit for the bar examination."
23 In other words, he was asserting --

24 QUESTION: Excuse me, Mr. Rezneck. I don't --

25 MR. REZNECK: That is in the Joint Appendix.

1 QUESTION: Oh, in the Joint Appendix.

2 MR. REZNECK: Right, 20a. That is the Hickey
3 petition. "Petitioner is substantively qualified to sit
4 for the bar examination." That was a predicate to his
5 request for a waiver, that he met the qualifications,
6 other than in the one respect of not having graduated
7 from an ABA law school.

8 His counsel, and I emphasize that both these
9 litigants were represented by distinguished law firms,
10 and it seems to me unrealistic to say that what has
11 happened here was somehow a trap for the unwary, which
12 is the phrase that they have used. At Page 24 of Mr.
13 Hickey's petition, his counsel stated, after reviewing
14 the unique circumstances of his case, "Far more than
15 most, this man has earned the right to sit for the bar
16 examination."

17 QUESTION: Yes, I know, but he starts his
18 petition by saying he seeks a waiver.

19 MR. REZNECK: Yes --

20 QUESTION: -- and the last line is, for the
21 foregoing compelling reasons, rule so and so should be
22 waived. So it is a request for a waiver.

23 MR. REZNECK: He does, but I don't think that
24 the terminology -- I don't think that the terminology of
25 a request for a waiver can be determinative of the

1 question whether the D.C. Court of Appeals made a
2 judicial determination in his case. In other words, I
3 don't think this is simply a pleading matter. There
4 have been numerous cases in the circuits in which people
5 asked for exceptions, for exemptions.

6 QUESTION: Do you think there was appellate
7 jurisdiction in this Court to review that
8 determination? Say he had filed a notice of appeal
9 after the denial. Could we have reviewed that?

10 MR. REZNECK: Are you speaking of the Hickey
11 case specifically?

12 QUESTION: The one that -- Which one is Page
13 21? That is Hickey.

14 MR. REZNECK: That's the Hickey petition.

15 QUESTION: Yes.

16 MR. REZNECK: Right. I understand that there
17 may be different -- somewhat different considerations as
18 between the two with respect to the --

19 QUESTION: But on this petition, they entered
20 an order denying it and saying we refuse to waive the
21 rule.

22 MR. REZNECK: Right.

23 QUESTION: Is it your view that that was an
24 appealable order in this Court?

25 MR. REZNECK: Well, I think that the question

1 of this Court's jurisdiction over that kind of decision
2 is, I think, unsettled in the sense that there is no
3 doubt that this Court would have Constitutional --
4 Article III jurisdiction to review it, because it is a
5 matter which arises in the District of Columbia, where
6 all law is federal, and I think that you will find in
7 Justice Marshall's opinion in the Pernall case --

8 QUESTION: Well, I don't mean to interrupt,
9 but you say he couldn't bring an independent proceeding
10 in the District Court.

11 MR. REZNECK: Right.

12 QUESTION: And I am asking you, did he have
13 any remedy at all? Could he have direct review here?

14 MR. REZNECK: I think that he could if there
15 is a question as to whether he explicitly had not raised
16 federal Constitutional questions, assuming that that --

17 QUESTION: Well, we've got the petition in
18 front of us.

19 MR. REZNECK: Right.

20 QUESTION: As you read the petition, did we
21 have jurisdiction to review the order denying?

22 MR. REZNECK: I think there is a question on
23 the petition as written as to whether there would be
24 statutory jurisdiction under 1257.

25 QUESTION: So your answer is no.

1 MR. REZNECK: Your Honor, I think that is an
2 unsettled question. I really do not know the answer to
3 that. There would clearly be Constitutional
4 jurisdiction, because it is a federal matter, by virtue
5 of arising in the District of Columbia.

6 I think, if I may try to be responsive to your
7 point, because I understand what you are seeking here,
8 that he could have raised, whether he did so explicitly
9 or not, he could have raised in the D.C. Court of
10 Appeals, either in that petition or on a petition for
11 reconsideration following the denial of his waiver, any
12 and all federal Constitutional questions which he later
13 asserted in his suit in the District Court, and I would
14 suggest that what you have here is a situation of a
15 bypass of available remedies. That is one way to
16 characterize it. Or a splitting of claims. That is
17 another way to characterize it.

18 QUESTION: Well, in other words -- I think I
19 understand your -- Your position is, his only remedy is
20 by filing in the D.C. Court of Appeals and then direct
21 review here, and at his first opportunity he must raise
22 all the questions he wants to raise, and then we could
23 review them, and he is --

24 MR. REZNECK: I don't think it would
25 necessarily be his first opportunity, Your Honor.

1 QUESTION: You said he bypassed --

2 MR. REZNECK: He could have --

3 QUESTION: All he did was ask for a waiver,
4 and he is done now.

5 MR. REZNECK: He could have gone -- He could
6 have gone back and raised any and all federal
7 Constitutional or statutory questions by way of petition
8 for reconsideration after the action of the Court of
9 Appeals in this case. I don't think that would be
10 imposing an unreasonable burden on him if the
11 alternative is to inject the federal court system and to
12 review --

13 QUESTION: But there would be no -- was there
14 a timeliness requirement on that?

15 MR. REZNECK: No.

16 QUESTION: This is an adjudication that really
17 doesn't bar him from just starting all over again
18 wherever he wanted.

19 MR. REZNECK: That is -- That is correct. In
20 fact, we have taken the position in the District Court --

21 QUESTION: A rather strange case of
22 adjudication.

23 MR. REZNECK: We took the position in the
24 District Court, Your Honor, that if Mr. Hickey wants to
25 renew this process and apply for a waiver and raise any

1 and all of those questions now, he is free to do that in
2 the D.C. Court of Appeals now and the court will hear
3 him.

4 QUESTION: Mr. Rezneck, it seems to me I have
5 seen perhaps in some New York proceedings, if someone is
6 dissatisfied with what might be classified as an
7 administrative action of the New York Court of Appeals,
8 they apparently have a right to bring an action in the
9 Supreme Court of New York, which is obviously subsidiary
10 to the Court of Appeals. Would there have been any
11 possibility of initiating -- of either of these
12 Respondents initiating action in the Superior Court of
13 the District of Columbia?

14 MR. REZNECK: I think one -- I suppose one
15 could presuppose or postulate that you could -- you
16 could have formulated an action perhaps against the
17 admissions committee in the Superior Court. I think
18 under the statutory framework here, where jurisdiction
19 over the bar is so clearly confided to the D.C. Court of
20 Appeals, that from a procedural standpoint, these
21 petitioners proceeded correctly, which was to file a
22 petition in the D.C. Court of Appeals as an original
23 matter.

24 QUESTION: And it is that statute that
25 confides the jurisdiction or the responsibility for

1 admission to the bar to the District of Columbia Court
2 of Appeals that you rely on to say that the -- that
3 Court of Appeals is in a different position here than
4 the highest court of the state?

5 MR. REZNECK: Well, I rely on it as making a
6 Congressional allocation of jurisdiction between local
7 and federal courts which has no counterpart in any
8 federal statute applying elsewhere in the United States.

9 QUESTION: Well, if you were representing the
10 state of New Jersey in the same set of facts, would you
11 be making different arguments, except that you think you
12 have an additional argument?

13 MR. REZNECK: I would -- That is correct. I
14 would be arguing for the same result, because there is a
15 long line of cases in the Circuit and District Courts
16 holding that a bar applicant who goes to the state court
17 system first cannot thereafter seek, in effect,
18 collateral review of the state court decision by going
19 to the federal courts. That is a line of authority
20 which is summarized by the Tenth Circuit in a case
21 called Doe versus Pringle. We would certainly make that
22 argument if this case arose in New Jersey.

23 QUESTION: Is that the case in which there is
24 some suggestion that there is the risk that the federal
25 courts would become superboards of law examiners? One

1 of the cases has that.

2 MR. REZNECK: Well, there is a lot of language
3 in these cases, and I may say, if I might speak to that
4 point, that -- because I think it does give some
5 additional strength here to the idea that it is entirely
6 rational to construe the Congressional purpose here as
7 conferring this jurisdiction exclusively on the court,
8 on the D.C. Court of Appeals, that we are dealing here
9 with judges who, although Article I judges, admittedly,
10 are nevertheless nominated by the President, are
11 confirmed by the Senate of the United States, are used
12 to dealing with questions of federal Constitutional and
13 statutory law as their daily staple.

14 This is a court which has shown itself over
15 the years since it was made the highest court of the
16 District to be fully receptive and congenial to claims
17 of federal Constitutional law. This, almost above all
18 courts, I suppose, in addition to the federal court
19 system, is a court which can properly be entrusted with
20 the determination of federal Constitutional or other
21 questions, subject to ultimate review by this Court.

22 In other words --

23 QUESTION: Well, Mr. Rezneck, what if I went
24 before the -- or what if my wife, assuming she were
25 admitted to the bar, went before the Court of Appeals

1 for the Ninth Circuit and applied for admission to that
2 court, saying that she was admitted to practice in the
3 state of Arizona, the district of Arizona, and the Ninth
4 Circuit says, no, we don't admit women to practice
5 here? Is there any review that she could have of that
6 ruling?

7 MR. REZNECK: If the -- If that was the
8 decision of the Ninth Circuit?

9 QUESTION: Yes.

10 MR. REZNECK: Well, I would think she would
11 have a petition for certiorari in this Court.

12 QUESTION: And that that would be a case in
13 the Court of Appeals within our jurisdiction?

14 MR. REZNECK: Yes, that would, it seems to me,
15 be a clear Constitutional violation by the Ninth
16 Circuit.

17 QUESTION: Well, I assume it would be, and I
18 assume that Respondents here are claiming that there was
19 a clear Constitutional violation, and your answer isn't
20 that there wasn't a violation, but that whatever the
21 case with respect to that may be, there is no remedy.

22 MR. REZNECK: No, I think that -- the case
23 that you put is really an -- is in the sense that you
24 have the judicial determination by the Ninth Circuit
25 acting in a judicial capacity which would be reviewable

1 in this Court on writ of certiorari, just as here it is
2 our position that you had a judicial determination by
3 the D.C. Court of Appeals acting in a judicial capacity
4 which was reviewable by this Court, but not in a lower
5 Federal District Court.

6 So, I don't see any conflict or divergence
7 between the two cases.

8 QUESTION: Well, we wouldn't -- if the
9 Constitutional issues weren't raised, we normally
10 wouldn't review those issues.

11 MR. REZNECK: You are speaking on review of
12 the D.C. Court of Appeals decision.

13 QUESTION: Yes.

14 MR. REZNECK: Well, again, I think that really
15 goes to a question which has not ever been settled in
16 the District of Columbia, because as Justice Marshall's
17 opinion which I referred to in Pernall --

18 QUESTION: I know he could have raised them,
19 and I know your position is that whether he raised them
20 or not, the federal court has no role in this matter at
21 all.

22 MR. REZNECK: That is correct.

23 QUESTION: But until he does raise those
24 issues, at least some federal issue, some federal -- is
25 the decision reviewable here, other than -- just other

1 than the refusal to waive?

2 MR. REZNECK: It may be reviewable as a
3 decision on federal law, all of which decisions are
4 ultimately reviewable here.

5 QUESTION: Well, I know, but it wouldn't
6 include Constitutional issues.

7 MR. REZNECK: Yes, it --

8 QUESTION: If he didn't raise them. If he
9 didn't raise them.

10 MR. REZNECK: If in fact he didn't raise them,
11 that would pose a question, but he pleaded the facts
12 which would support the very Constitutional claim that
13 he articulated as a Constitutional claim when he got to
14 the District Court.

15 QUESTION: We normally require something more
16 than a record that -- on the basis of which a
17 Constitutional issue could have been raised.

18 MR. REZNECK: I think my point would be that
19 with respect to the question here, which is the
20 allocation of jurisdiction between the D.C. Court of
21 Appeals and the Federal District Court, that if he could
22 have raised those questions --

23 QUESTION: Right.

24 MR. REZNECK: -- in the D.C. Court of Appeals,
25 and obtained a full and fair hearing of them, and there

1 is no contention to the contrary --

2 QUESTION: Then he could never go to the
3 federal court.

4 MR. REZNECK: -- that he cannot go to the
5 Federal District Court under the scheme that is in
6 effect in the District of Columbia. That is correct.

7 QUESTION: And he couldn't go there in the
8 first instance without going to the --

9 MR. REZNECK: We don't have to reach that
10 point, but if forced to it, that would also be our
11 position.

12 QUESTION: Well, the same rule.

13 MR. REZNECK: But I emphasize that he is
14 entirely free to seek to raise those questions now if he
15 chooses to do so, assuming he did not do so before, and
16 of course I am only referring to Mr. Hickey in this
17 regard. There is no question, I think, on a fair
18 reading of the record that Mr. Feldman raised those
19 federal constitutional questions in the D.C. Court of
20 Appeals.

21 QUESTION: What if he has to raise factual
22 questions to make out his Constitutional claim? In
23 other words, say he alleges he was denied because of his
24 race or something, and the other side denies it. Can
25 the factual determination be made by the party that

1 denies the allegations?

2 MR. REZNECK: Well, of course, we don't have
3 anything like that here.

4 QUESTION: No, but I mean, I am just asking
5 you. I am trying to get the structure of the whole
6 setup. How would it work in that case?

7 MR. REZNECK: I think that -- I think that the
8 state court system, at least in the first instance,
9 subject to review by this Court, would provide the
10 factfinding mechanism by which such a question would be
11 adjudicated. Now, I think it is clear that if you could
12 demonstrate that that mechanism was inadequate, just as
13 in other contexts, and didn't provide a fair and
14 adequate remedy in the state court system, that would
15 provide a basis for going to the federal courts. I
16 think that is true in habeas corpus, and it is true in a
17 number of other contexts.

18 QUESTION: That is kind of an exhaustion
19 requirement.

20 MR. REZNECK: We are not disputing that
21 proposition at all, but again, that doesn't arise in
22 this case.

23 I would like to reserve the remainder of my
24 time for rebuttal.

25 CHIEF JUSTICE BURGER: Very well.

1 Mr. Sussman?

2 ORAL ARGUMENT OF ROBERT M. SUSSMAN, ESQ.,

3 ON BEHALF OF RESPONDENT FELDMAN

4 MR. SUSSMAN: Thank you. Mr. Chief Justice,
5 may it please the Court, I would like to begin by going
6 back to the initiation of proceedings in the District of
7 Columbia court system, and to sketch for this Court some
8 of the facts that Mr. Rezneck omitted to present.

9 I would like to begin, if I can, by mentioning
10 Mr. Feldman's qualifications and legal training. Mr.
11 Feldman elected to educate himself as a lawyer not by
12 going to law school, but by reading law, that is,
13 pursuing a program of legal study in a law office, which
14 is a recognized method of training for the bar in the
15 state of Virginia and in a number of other jurisdictions
16 around the country.

17 Mr. Feldman completed --

18 QUESTION: Must all jurisdictions have the
19 same patterns? If Virginia elects to allow private
20 study as a predicate for taking the bar, does that mean
21 other states must do it?

22 MR. SUSSMAN: No. I think that the states
23 have reasonable latitude in that regard, subject to
24 Constitutional principles, and there is no necessary
25 requirement that --

1 QUESTION: Well, you need not spend your time
2 establishing that there are other ways to learn the
3 law. Roscoe Pound, I think, did not go to a law school
4 in the conventional way, and neither did Justice Jackson
5 fully, but that isn't the issue here, is it?

6 MR. SUSSMAN: Well, I think the issue here,
7 Your Honor, is the jurisdiction of the District Court to
8 hear a Constitutional challenge to the requirements for
9 bar admission in the District of Columbia. We have not
10 presented our position on the merits of the case. The
11 issue that we have addressed both in the District Court
12 and in the Court of Appeals is the jurisdictional issue,
13 and I think that that is the issue which is now before
14 the Court.

15 I do want to point out that Mr. Feldman was
16 admitted in Virginia, and subsequently in Maryland,
17 after passing the bar exams of both states, and he
18 decided to become associated with a law office here in
19 the District of Columbia, and as a result, and quite
20 naturally, he sought admission to the District of
21 Columbia bar.

22 Upon filing his admission papers with the
23 committee on admissions, he was informed that the rule,
24 Rule 46 of the Court of Appeals barred Mr. Feldman at
25 the threshold from being considered for admission to the

1 bar, because the rule required graduation from an
2 ABA-accredited law school, and Mr. Feldman had not
3 attended such a school.

4 Mr. Feldman nevertheless felt that his
5 qualifications were good, that he had had a thorough
6 legal education. He had a commitment to the standards
7 of the profession, and he hoped that he could find some
8 way to persuade the bar admission authorities in the
9 District of Columbia to consider his qualifications on
10 their merits.

11 The committee on admissions advised Mr.
12 Feldman that the only way he could be considered for
13 admission to the bar was if there were a waiver of the
14 requirement of graduation from an ABA-accredited law
15 school. It further advised Mr. Feldman that there was
16 one body and one body only in the District of Columbia
17 that could grant such a waiver, and that was the D.C.
18 Court of Appeals itself.

19 Mr. Feldman's next step was to submit a
20 petition directly to the Court of Appeals asking the
21 court to waive the requirement of ABA -- graduation from
22 an ABA-accredited law school and consider his individual
23 qualifications, and I would like to stress what issues
24 were raised by that petition and what issues were not,
25 because I think that these are the matters on which the

1 jurisdictional issue before this Court will turn.

2 Mr. Feldman in his petition asked solely for
3 an exercise of discretion by the D.C. Court of Appeals.
4 He said in essence, I acknowledge that I didn't attend
5 an ABA-accredited law school. I acknowledge that the
6 rule on its face bars my admission. But I think that I
7 have pursued a course of legal education which is
8 entitled to some consideration, and I appeal to the
9 discretion of the court to waive the rule and take a
10 look at my individual qualifications.

11 QUESTION: Well, now that you are here, are
12 you in the position of saying that this Court sits to
13 review the exercise of discretion by 51 or two or three,
14 depending on how you count Puerto Rico --

15 MR. SUSSMAN: No, I -- Your Honor, I don't
16 think that this Court sits to review the exercise of
17 discretion by state court systems, but I do think that
18 this Court and the lower federal courts sit to enforce
19 Constitutional rights in cases where Constitutional
20 issues have been properly raised, and --

21 QUESTION: Well, you don't think this case is
22 properly here, I would think.

23 MR. SUSSMAN: Well, I think it is here on
24 appeal from the decision of the D.C. --

25 QUESTION: You didn't come here, anyway.

1 MR. SUSSMAN: Well, that's right. I think we
2 would be happier to be in the District Court litigating
3 the merits of Mr. Feldman's Constitutional claims, and I
4 don't think, to address a point that Mr. Rezneck raised,
5 that we could have sought review in this Court from the
6 denial of the waiver of petition, because the only issue
7 that was raised by the waiver petition, and clearly the
8 only issue which was addressed in the order of the Court
9 of Appeals was whether the rule requiring graduation
10 from an ABA-accredited school should be waived.

11 QUESTION: Would you think that the rule,
12 whatever the rule is, should be the same for a
13 jurisdiction which would not permit a person to sit for
14 examinations to be -- to practice medicine unless they
15 had graduated from a school, a medical school accredited
16 by the American Medical Association or some other such
17 body?

18 MR. SUSSMAN: Well, I think that on the
19 merits, there are certain Constitutional principles
20 which should be applied to all accreditation schemes,
21 whether they are schemes involving the practice of
22 medicine, the practice of law, or the practice of any
23 other profession, and I think that it would be anomalous
24 if the federal courts, lower federal courts were free to
25 entertain Constitutional claims involving the legality

1 of a state's accreditation scheme for medicine but not
2 to entertain exactly the same claims when they arise in
3 connection with admission to the bar.

4 And I must say that I believe that that would
5 be the consequence of the very broad rule which Mr.
6 Rezneck is --

7 QUESTION: Counsel, are you arguing that there
8 is a Constitutional right to a waiver?

9 MR. SUSSMAN: No. No, absolutely not.

10 QUESTION: Well, how else could you get here?

11 MR. SUSSMAN: You mean, how else could we get
12 to the Court on an appeal from a decision of the --

13 QUESTION: Well, could you get to any court?

14 MR. SUSSMAN: I think the Constitutional claim
15 that we asserted in the -- in the District Court and in
16 our complaint is not that there is a Constitutional
17 right to a waiver, but that Rule 46, both on its face
18 and as administered over a period of time by the D.C.
19 Court of Appeals was unconstitutional. I don't think
20 that we would contend that every applicant --

21 QUESTION: Well, you did, didn't you, petition
22 and ask them to waive?

23 MR. SUSSMAN: We did but we didn't request
24 that as a matter of Constitutional right, and indeed
25 that is the very point that we are making.

1 QUESTION: Well, what right did you have to
2 ask them for a waiver?

3 MR. SUSSMAN: I think that is precisely the
4 issue. I don't think that we sought a waiver as a
5 matter of right. We believed that a fair-minded and
6 reasonable decision-maker would grant a waiver as a
7 matter of discretion. That is to say --

8 QUESTION: It is really a different claim that
9 you presented to the United States District Court than
10 was presented to the District of Columbia Court of
11 Appeals.

12 MR. SUSSMAN: Oh, I think it is a very
13 different claim, and --

14 QUESTION: Why shouldn't you at least have
15 gone to the District of Columbia Court of Appeals with
16 your Constitutional claim as a matter of exhaustion
17 before you went to the District Court?

18 MR. SUSSMAN: Well, Justice Rehnquist, I think
19 that the decisions of this Court have recognized that
20 where a litigant is attempting to raise a Constitutional
21 issue, the litigant has a choice of forum. That is to
22 say, that if the litigant wishes to press the
23 Constitutional claim in the federal court, the federal
24 court should be available.

25 QUESTION: Are those 1983 cases?

1 MR. SUSSMAN: Well, Monroe v. Pape is one of
2 those cases. The England case is another case. Those
3 are cases arising under 1983, but I would not say that
4 they are any different from the claim pressed here,
5 which arises directly under the Constitution and 1331.

6 QUESTION: What authority do you have other
7 than 1983 claims that there is no principle of
8 exhaustion available here?

9 I think your colleague wants to call your
10 attention to something.

11 MR. SUSSMAN: Well, the cases that we have
12 cited in our -- in our brief are 1983 cases, but I would
13 say beyond that that the exhaustion requirement as I
14 have always understood it is a requirement in most cases
15 to exhaust administrative remedies. That is to say, if
16 there is a reasonable prospect of obtaining
17 administrative relief from the relevant decision-makers
18 one is obligated to attempt to obtain that type of
19 relief before then going into court and claiming that
20 the decision-maker has acted unlawfully.

21 But I don't think that there is any rule which
22 says that one is obligated to raise before the
23 decision-maker in an administrative context not only
24 issues of discretion but issues of law as well,
25 particularly issues of federal law, and I think that --

1 QUESTION: But even if the decision-maker is
2 the highest court of the District of Columbia?

3 MR. SUSSMAN: Even if the decision-maker is
4 the highest court of the District of Columbia, I would --

5 QUESTION: Hasn't the reason for saying on
6 occasion that you don't have to raise Constitutional
7 issues before an administrative tribunal is that
8 typically such a tribunal may be made up of an engineer
9 and a doctor and an architect, who probably don't have
10 too much expertise in Constitutional questions, but I
11 wouldn't think that would apply where the administrator
12 is a court.

13 MR. SUSSMAN: Well, Your Honor, if I can
14 respectfully disagree, I think that in the absence of
15 strong countervailing policy considerations, a litigant
16 is entitled to litigate Constitutional issues in the
17 federal court. The choice of forum is with the
18 litigant. Now, I think that the policy behind an
19 exhaustion requirement, be it in the administrative
20 context or in the judicial context, is to give a chance
21 for the administrator to address those issues which are
22 peculiarly within the competence of that administrator,
23 perhaps in the hope that a controversy in the
24 Constitutional sense will be avoided.

25 Now, here, we went to the D.C. Court of

1 Appeals to seek that type of relief which was uniquely
2 within the province of the D.C. Court of Appeals to
3 grant us. We wanted a waiver from the rule because,
4 quite frankly, we felt that if there was any prospect of
5 attaining admission to the bar administratively and
6 informally, much better to do that than to proceed
7 directly in federal court and --

8 QUESTION: No one likes to sue to be admitted
9 to the bar.

10 MR. SUSSMAN: Well, that's right. That was
11 not an appealing prospect, and we wanted to make very
12 sure that we had exhausted all possibility of obtaining
13 admission within the confines of the D.C. administrative
14 process for bar admission before going to federal court
15 and raising our Constitutional claim.

16 QUESTION: Well, there is some suggestion, at
17 least, that Feldman raised his Constitutional issue with
18 the D.C. Court of Appeals.

19 MR. SUSSMAN: Your Honor, I think that we
20 advised the D.C. Court of Appeals that we were
21 contemplating raising Constitutional issues. We
22 identified what those issues were, but, and this is
23 quite important, we did not submit them for decision.
24 We told the D.C. Court of Appeals that these are issues
25 that we are prepared to raise in federal court if and

1 when the waiver application is denied.

2 And I think that the order issued by the D.C.
3 Court of Appeals disposing of the waiver application is
4 perfectly consistent with the character of our
5 petition. The order entered by the Court says that the
6 petition for a waiver is denied. It does not say that
7 Rule 46 has been examined under the Constitution and has
8 been upheld.

9 So, it is true that we advised the Court of
10 Appeals that there were Constitutional issues we wanted
11 to pursue, but we --

12 QUESTION: Well, we now have a problem, I
13 suppose, of splitting a cause of action, possibly, and
14 neither of the federal courts ruled on that issue, did
15 they?

16 MR. SUSSMAN: That's right, neither of the
17 courts ruled on that issue, but I don't think that that
18 is an issue which one has to decide if the Court
19 concludes that the petition was merely administrative in
20 character. If the petition --

21 QUESTION: Well, if the Court were to conclude
22 that your filing was judicial in character initially,
23 then presumably we would be faced with what to do with
24 the splitting of the cause of action or the res judicata
25 problem. Would we then have to remand on that, or

1 should we, or what?

2 MR. SUSSMAN: Well, I think you are right, if
3 you conclude that the petition sought judicial action,
4 the issues remaining are res judicata issues.

5 QUESTION: Right.

6 MR. SUSSMAN: And I don't think that
7 classifying the petition --

8 QUESTION: And should that be remanded, in
9 your view?

10 MR. SUSSMAN: No, I think that you can decide
11 the res judicata issue without considering whether there
12 was an impermissible splitting of the cause of action.
13 We have contended in our brief that if there was a cause
14 of action asserted by the waiver petition, it was a very
15 different cause of action from that which was advanced
16 in the complaint in the District Court. And of course
17 it is a fundamental principle of res judicata that if
18 the second case brings forward a different cause of
19 action from the first case, then there is no res
20 judicata bar. The issues presented in the second case
21 can be heard.

22 QUESTION: I don't think that is true.
23 Anything that was litigated or might have been litigated
24 is barred.

25 MR. SUSSMAN: Well, though, I think, Your

1 Honor, with deference, that the threshold question is
2 whether the causes of action are the same. If the
3 causes of action are the same, the issue is not -- if
4 the causes of action are different, excuse me, the issue
5 is not one of res judicata, but collateral estoppel, and
6 then the question is not what issues could have been
7 raised, but rather what issues were in fact raised and
8 decided.

9 Now, I would make one further point in that
10 connection which is, let's assume that the causes of
11 action are absolutely the same in the waiver petition
12 and the District Court complaint. Let's also assume for
13 the sake of argument that the denial of the waiver
14 petition was action taken in an administrative
15 capacity. We would still say that Mr. Feldman was
16 entitled to do precisely what he did, which was to put
17 the D.C. Court of Appeals on notice that he planned to
18 raise Constitutional claims, reserved those
19 Constitutional claims for litigation in federal court,
20 and then thereafter asked for a federal court decision.

21 So, I think that if the Court does find it
22 necessary to address the res judicata issues, which we
23 don't think are before the Court for decision, there is
24 not just one, but several grounds for concluding that
25 there is not a res judicata bar to considering the

1 Constitutional issues raised by Mr. Feldman's
2 complaint.

3 Thank you, Your Honor.

4 CHIEF JUSTICE BURGER: Mr. Healy.

5 ORAL ARGUMENT OF MICHAEL F. HEALY, ESQ.,
6 ON BEHALF OF RESPONDENT HICKEY

7 MR. HEALY: Mr. Chief Justice, and may it
8 please the Court, we requested the opportunity to
9 present a brief argument this afternoon on behalf of Mr.
10 Hickey because of certain important distinctions between
11 Mr. Hickey's case and that of Mr. Feldman.

12 Again, since Mr. Reznick did not take the
13 opportunity to highlight any of the facts, let me take a
14 few moments to do that.

15 After a distinguished, a long and
16 distinguished career in the United States Navy, Mr.
17 Hickey decided to retire from the Navy, having attained
18 the rank of Commander, and to enter into a new
19 profession, the practice of law. In March of 1975, he
20 enrolled the Potomac School of Law, a newly established
21 law school here in the District of Columbia. In fact,
22 Mr. Hickey was a member of its first class.

23 Potomac was not accredited by the American Bar
24 Association. Indeed, because of its newness, it had not
25 even been through the extensive ABA accreditation

1 process. However, the D.C. Court of Appeals had
2 followed a practice for some time of granting waivers
3 from its requirement that applicants for the bar
4 examination be graduates of an accredited law school.

5 In the spring of 1978, Mr. Hickey applied for
6 such a waiver. The petition submitted on Mr. Hickey's
7 behalf emphasized certain aspects of his
8 qualifications. It also emphasized his many years of
9 service to the country. His Navy career spanned 20
10 years. He received numerous decorations for valor in
11 connection with almost 200 combat missions as a Navy
12 fighter pilot. It emphasized his age -- at the time he
13 was 47 years old -- his family situation, and the
14 resulting need to embark on his new career as soon as
15 possible.

16 What it did not emphasize or address in any
17 way was a claim of a present right to admission to the
18 bar. It did not raise any legal arguments. The D.C.
19 Circuit so found. Petitioners in this case do not
20 really contest that fact. Instead, what they claim is
21 that Mr. Hickey should not, and it is their words, "be
22 better off" than Mr. Feldman because he did not present
23 any claims to the District Court.

24 Now, whether Mr. Feldman presented them in
25 such a way as to make his act judicial or not, I think

1 Mr. Sussman has addressed that, and I don't think that
2 they were, but in Mr. Hickey's case, there was no
3 presentation of any legal arguments.

4 Petitioner's contention that he is nonetheless
5 barred from Federal District Court is very intriguing in
6 that light, since the fundamental basis of their
7 decision that the action of the D.C. Court of Appeals in
8 Mr. Feldman's case was a judicial act is the
9 presentation of this letter. No letter was submitted in
10 Mr. Hickey's case.

11 Having said that, I think we have to look back
12 at certain fundamental principles of federal court
13 jurisdiction. It is beyond dispute that had no action
14 been taken to the D.C. Court of Appeals, had Mr. Hickey
15 gone in the first instance to Federal District Court,
16 the Federal District Court would have had jurisdiction
17 to entertain his claims.

18 QUESTION: Well, there is dispute about that.

19 MR. HEALY: Mr. Rezneck --

20 QUESTION: I understood Mr. Rezneck to say
21 that the federal court has no role at all, that if you
22 want to challenge these rules, you go to the D.C. Court
23 of Appeals, period.

24 MR. HEALY: Mr. Rezneck, when pressed this
25 afternoon, Justice White, did say that. In his brief he

1 says he doesn't have to address the question.

2 QUESTION: Well, I know, but don't say there
3 isn't a dispute about it, because he has just made the
4 dispute

5 MR. HEALY: Your Honor, let me say there
6 should be no dispute.

7 (General laughter.)

8 MR. HEALY: The cases are very clear, and we
9 have cited a number of them in Footnote 5 of our brief,
10 the various circuit courts that have considered --

11 QUESTION: There is no other situation that
12 has the statute that Mr. Rezneck claims makes a special
13 case out of the District.

14 MR. HEALY: Your Honor, I think that
15 contention is respectfully without merit. I think if
16 one looks at the cases of Key v. Doyle or Swain v.
17 Pressley, as cited by Mr. Rezneck, if one looks at the
18 Senate report and the House of Representatives report in
19 connection with the passage of that Act, that the
20 intention of the statute was to make the D.C. Court of
21 Appeals at best equivalent to the highest courts of the
22 various states.

23 With respect to the comity claim that he also
24 makes, I don't think there is really any difference, but
25 in fact the D.C. Court of Appeals is still a creature of

1 the federal government. It is not a state court. So,
2 if anything, it is less than a state court. So I think
3 that issue drops out.

4 Having said, and I think the cases support us,
5 that Mr. Hickey could have gone in the first instance to
6 District Court, Mr. Reznick still argues that the
7 Summers case applies. Again, the fact that Mr. Hickey
8 never raised his Constitutional claims takes us out of
9 the Summers case entirely.

10 QUESTION: Supposing Mr. Hickey had gone in
11 the first instance to the District Court without ever
12 having gone to the District of Columbia Court of
13 Appeals. What would his Constitutional claim be if he
14 had never made application to be admitted to the
15 District of Columbia bar? That he was entitled to a
16 waiver, but he had a hunch that the District of Columbia
17 Court of Appeals wouldn't give it to him?

18 Do you think the federal court would really
19 pass judgment on that claim?

20 MR. HEALY: Your Honor, you might have a
21 question of standing at that point, and in fact in the
22 District Court counsel for petitioners argued that
23 because we had not made a formal application after
24 having been denied the waiver, that we didn't have
25 standing. Judge Greene thought that there wasn't any

1 merit to that argument.

2 Clearly, we have the requisite Constitutional
3 standing by having made the petition for a waiver.

4 QUESTION: Why did you have standing? Because
5 for all practical purposes you had asked for admission
6 to the District of Columbia bar or not?

7 MR. HEALY: We had -- as the D.C. Circuit
8 found, Mr. Hickey in his petition acknowledged that the
9 rule applied to him, and would on its face have barred
10 him from sitting for the bar examination. He sought a
11 waiver. When that waiver was denied, then the requisite
12 standing -- he had been denied admission to the bar.
13 That is correct. At that point in time.

14 QUESTION: But they didn't interpret it as
15 having requested admission to the bar.

16 MR. HEALY: Not a claim of right to admission
17 to the bar. There may be a point in time that one must
18 do something in order to gain the requisite standing in
19 order to have one's federal claim, but the question
20 addressed in the Summers case was whether the applicant
21 there, as he had, had raised his Fourteenth Amendment
22 claims in an adjudication by the state court.

23 Therefore, having claimed a present right to
24 the bar, and having litigated federal questions,
25 therefore, this Court's power to review on certiorari

1 had attached. If there is no claim of right --

2 QUESTION: Wasn't the Court of Appeals, the
3 District of Columbia Court of Appeals judgment or its
4 order -- it says, you are not entitled to admission.

5 MR. HEALY: It says that a petition for waiver
6 will not be granted.

7 QUESTION: Yes, and this rule keeps you out.

8 MR. HEALY: That's correct, Your Honor. We
9 were denied admission to sit for the bar examination.
10 However, that is not an adjudication --

11 QUESTION: Well, Ex Parte Garland says that it
12 is a judicial action, doesn't it, the exclusion of
13 attorneys from the bar is an exercise of judicial
14 power.

15 MR. HEALY: Justice O'Connor, I would
16 respectfully submit that there is a difference between
17 judicial power and a judicial act. I would not dispute
18 the fact that the general administration of the bar is
19 something that has been given to the courts to
20 administer in all of the states, but what the other
21 courts that have considered it since that time and what
22 the Supreme Court has said in Summers and as the Seventh
23 Circuit said in Ktsanes, what you have to decide is
24 whether the judicial power has been exercised in such a
25 way as to give rise to a case or controversy which then

1 becomes something that can be reviewed in this Court if
2 federal jurisdictional questions are raised.

3 QUESTION: The District of Columbia Court of
4 Appeals certainly said this rule is sufficient to keep
5 you out.

6 MR. HEALY: Yes, Your Honor, it did, and what
7 we then did in Federal District Court was to contend
8 that the rules were unconstitutional on their face and
9 as applied.

10 QUESTION: And if that had been by a state
11 court, you would have had a right to go into the Federal
12 District Court. That is your theory.

13 MR. HEALY: Yes, Your Honor.

14 QUESTION: Now, where is that case? The
15 citation of that case?

16 MR. HEALY: In the state court situation?

17 QUESTION: That the highest court of the state
18 rules against you, and that gives you a right of action
19 in the district court of that state.

20 MR. HEALY: The Ktsanes court, the Seventh
21 Circuit decision -- it is K-t-s-a-n-e-s -- said that an
22 application for a waiver from the court's rules does not
23 become a judicial act within the meaning of Summers, and
24 that one has the right subsequently to go to federal
25 court.

1 QUESTION: I didn't say waiver or anything.
2 My question was, if the highest court of the state rules
3 against you on anything, where do you get the right to
4 appeal that to a United States District Court? That is
5 my question.

6 MR. HEALY: Yes, sir. If the highest court of
7 a state rules, having been presented with claims against
8 one, one must take a petition for certiorari to this
9 Court.

10 QUESTION: How do you apply that to this case?

11 MR. HEALY: In this case, we did not submit
12 any federal questions -- we did not submit any state law
13 questions to the D.C. Court of Appeals.

14 QUESTION: Did I say state or federal
15 questions in my hypothetical? I didn't say either.

16 MR. HEALY: If one submits purely a state law
17 question, one could still go to federal court. If one
18 simply asked for a waiver, we would submit that that is
19 not a ruling by the state court and in judicial
20 capacity.

21 QUESTION: What is it?

22 MR. HEALY: It is merely an administrative act
23 denying a request for a waiver.

24 Finally --

25 CHIEF JUSTICE BURGER: Your time has expired.

1 MR. HEALY: Thank you, sir.

2 CHIEF JUSTICE BURGER: Mr. Rezneck, you have
3 three minutes remaining.

4 ORAL ARGUMENT OF DANIEL A. REZNECK, ESQ.,
5 ON BEHALF OF THE PETITIONERS - REBUTTAL

6 MR. REZNECK: Let me just respond very briefly
7 to a couple of points. Mr. Feldman's counsel has
8 asserted that he did not raise federal Constitutional
9 questions in the D.C. Court of Appeals. I think the
10 record clearly is contrary to that. If one examines his
11 letter to the D.C. Court of Appeals, which is at Pages 6
12 to 15 of the Joint Appendix, one will see --

13 QUESTION: Six? Pages 6 --

14 MR. REZNECK: Six to 15. One will see such
15 questions, for example, this Court must give him some
16 opportunity to show that his unique training and
17 experience have provided him with adequate
18 qualifications to practice law. A flat rejection of Mr.
19 Feldman's application without according him that
20 opportunity would represent the very kind of
21 irrebuttable presumption that the Supreme Court and the
22 United States Court of Appeals have expressly
23 condemned.

24 The District Court found explicitly that he
25 did more than seek a Rule 46 waiver. He in fact raised

1 Constitutional and statutory issues before the D.C.
2 Court of Appeals.

3 QUESTION: As I understood Mr. Sussman, he
4 said that he stated their Constitutional positions but
5 he didn't really tender them.

6 MR. REZNECK: I don't know what that means,
7 Your Honor. If you look at his own complaint, which I
8 think may be the best evidence on this, his own
9 complaint in the District Court, Page 41, and he is
10 describing the proceedings in the D.C. Court of Appeals,
11 and he says, "Finally, counsel argued that on its face
12 and as applied, Rule 46 violated both the United States
13 Constitution and the federal antitrust laws insofar as
14 it conclusively denied admission to the bar to all
15 persons except graduates of an ABA-accredited law
16 school."

17 I think the record is clear here that he did
18 raise those questions, that he certainly could have
19 taken them to this Court on certiorari from the decision
20 of the D.C. Court of Appeals. He did not do so. He
21 sought a bypass or a short circuit by going to a Federal
22 District Court. And I do not think that is
23 permissible.

24 With respect to Mr. Hickey in that regard,
25 while Mr. Hickey did not articulate his claims in the

1 same way, I think a fair reading of his petition will
2 show that Mr. Hickey claimed before the D.C. Court of
3 Appeals that he was qualified to take the D.C. bar
4 exam. Judge Robb, who dissented in the U.S. Court of
5 Appeals, said that he could see no difference in
6 Constitutional principle between a claim of right of
7 admission to the bar and a claim of right to take the
8 bar exam, and that each tendered an issue before the
9 D.C. Court of Appeals which was reviewable here, and was
10 not brought here.

11 I just wanted to comment in conclusion on the
12 point raised by Justice O'Connor. If this Court should
13 find that the decision of the D.C. Court of Appeals in
14 each of these cases was a judicial determination, which
15 we submit it was, what the result should be. We submit
16 that the result should be a reversal of the decision of
17 the U.S. Court of Appeals and a reinstatement of the
18 judgments of dismissal of the District Court.

19 That is so for two reasons. It would be an
20 effort to relitigate in the District Court a judicial
21 determination by the highest court of the state, and
22 there is clearly no subject matter jurisdiction in the
23 federal court to do that under a long line of cases, and
24 alternatively, it would be res judicata either on the
25 theory that the same facts and theories in essence were

1 pleaded in both courts, or alternatively, that all
2 theories could have been pleaded in the D.C. Court of
3 Appeals and were not and are still barred by the
4 doctrine of res judicata.

5 So, either way you go on that question, once
6 you conclude it is a judicial determination, I submit
7 that the result here should be a dismissal of both
8 cases, which is what both district court judges found
9 should be done.

10 Thank you.

11 CHIEF JUSTICE BURGER: Thank you, gentlemen.
12 The case is submitted.

13 (Whereupon, at 2:47 o'clock p.m., the case in
14 the above-entitled matter was submitted.)

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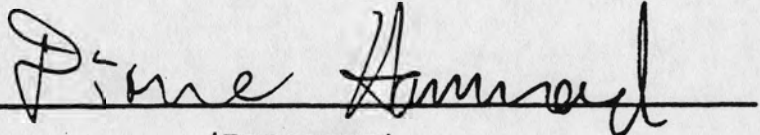
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DISTRICT OF COLUMBIA COURT OF APPEALS ET AL., Petitioners v. MARC FELDMAN
AND EDWARD J. HICKEY, JR. NO. 81-1335

and that these attached pages constitute the original transcript of the proceedings for the records of the court.

BY

A handwritten signature in cursive script, appearing to read "Pina Amador", is written over a horizontal line.

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