

In the

Supreme Court of the United States

ROBERT EDWARDS,

PETITIONER,

V.

STATE OF ARIZONA,

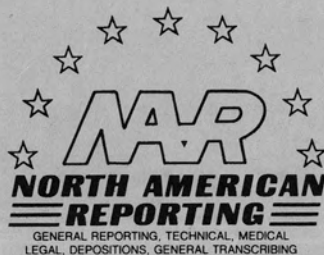
RESPONDENT.

No. 79-5269

Washington, D.C.
November 5, 1980

Pages 1 thru 50

ORIGINAL



1 IN THE SUPREME COURT OF THE UNITED STATES

2 - - - - -:
3 ROBERT EDWARDS, :
 :
4 Petitioner, :
 :
5 v. No. 79-5269
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6 STATE OF ARIZONA, :
 :
7 Respondent. :
 :
8 - - - - -:

9 Washington, D. C.

10 Wednesday, November 5, 1980

11 The above-entitled matter came on for oral ar-
12 gument before the Supreme Court of the United States at
13 10:01 o'clock p.m.
14

15 APPEARANCES:

16 MICHAEL J. MEEHAN, ESQ., P.O. Box 2268, Tucson, Arizona
 85702; on behalf of the Petitioner.

17 CRANE McCLENNEN, ESQ., Assistant Attorney General, State
18 of Arizona; State Capitol Building, Phoenix, Arizona
 85007; on behalf of the Respondent.
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C O N T E N T S

ORAL ARGUMENT OF

PAGE

MICHAEL J. MEEHAN, ESQ.,
on behalf of the Petitioner

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CRANE McCLENNEN, ESQ.,
on behalf of the Respondent

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MICHAEL J. MEEHAN, ESQ.,
on behalf of the Petitioner - Rebuttal

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MILLERS FALLS
EZERASE
COTTON CONTENT

P R O C E E D I N G S

MR. CHIEF JUSTICE BURGER: We'll hear arguments first this morning in Edwards v. Arizona.

Mr. Meehan, you may proceed whenever you are ready.

ORAL ARGUMENT OF MICHAEL J. MEEHAN, ESQ.,

ON BEHALF OF THE PETITIONER

MR. MEEHAN: Thank you, Mr. Chief Justice, and may it please the Court:

This case is here on certiorari to the Arizona Supreme Court which affirmed a conviction of Petitioner Edwards which included the use of incriminating testimony given by him to police officers at an interrogation just before he was to be given appointive counsel at his first court appearance.

The offenses arise from a robbery of a bar in Tucson, Arizona, in October of 1974. The case went unsolved for a considerable period of time but ultimately by gaining confessions from two accomplices the police solved the case sometime before January 19, 1976, about 15 months after the offenses. At that point the police obtained a complaint from a magistrate to determine probable cause to believe that an offense had been committed, who issued an arrest warrant for Robert Edwards.

The police then went to a slum area of Tucson and arrested Edwards, who then was young and indigent, and took him to the Tucson Police Department.

1 QUESTION: How old was he?

2 MR. MEEHAN: He was 23 at that time, Your Honor.

3 He was 24 at the time of the trial, which was more than a year
4 later.

5 At the Police Department he was read his Miranda
6 rights, which he said he understood. He gave a recorded state-
7 ment at the Tucson Police Department, when interrogated by a
8 police detective named Bunting. At that time his statement
9 was denying any implication in the offense.

10 QUESTION: And he had already been formally charged
11 at that time?

12 MR. MEEHAN: He had been formally charged, we con-
13 tend, and the State had agreed with us in the lower courts.

14 QUESTION: Well, as I understood their brief, they
15 agree with you here.

16 MR. MEEHAN: Well, Your Honor, they did until a week
17 ago today when they filed a supplemental brief. But in their
18 brief they very expressly, in that portion of the argument,
19 agreed that he had been formally charged. As a matter of fact
20 they agreed in the statement their question presented.

21 After the tape recording of the statement, Edwards
22 and Detective Bunting had an exchange back and forth about
23 whether he would make a further statement. He apparently
24 tried to convince the police that they should talk with
25 him about some kind of a deal. The police said that they would

1 give him a chance to tell his side of the story but they would
2 not entertain any kind of deal.

3 Ultimately, Edwards was taken for holding overnight
4 at the Pima County jail after he told Detective Bunting in
5 words to the effect: I'm not going to make a statement now,
6 I'm not going to make a deal now, I'm going to wait until I
7 get my attorney.

8 QUESTION: Do you think forever after he would be --
9 that no statement would be admissible?

10 MR. MEEHAN: "Forever after" is a long time,
11 Mr. Chief Justice.

12 QUESTION: Well, that's what I had in mind.

13 MR. MEEHAN: I think that "forever after" until the
14 next day at 1:30 when he was given a first arraignment, or
15 possibly if he had, of his own, changed his mind and decided
16 that he wanted to talk further with the police; yes, he should
17 not have been interrogated without --

18 QUESTION: So your position is, he could change his
19 mind and agree to speak?

20 MR. MEEHAN: My position is that under the right cir-
21 cumstances I think he might be able to change his mind. I say
22 that that is not in this case, but --

23 QUESTION: I'm not talking about this case, now.

24 MR. MEEHAN: I understand.

25 QUESTION: I'm talking about trying to discern

1 your position.

2 MR. MEEHAN: My position is that in terms of applica-
3 tion of Miranda, Mr. Chief Justice, that unless truly the
4 accused himself institutes a change of mind, that there should
5 be no reinterrogation until counsel has a chance to confer
6 with the accused who has asked for it. This Court made very
7 clear, I think, in Miranda, that that situation, where an
8 accused asks for a lawyer, should be treated differently
9 from the situation where the accused simply listens to the
10 rights and then goes ahead and speaks or even listens to the
11 rights being read to him and decides to remain silent, which
12 indicates that he is feeling capable of making his own deci-
13 sions about whether to deal with the interrogating officers or
14 not to deal with them.

15 It was said in Miranda and this Court confirmed in
16 a majority and concurring opinions in Mosley, and in Innis,
17 and in Fare v. Michael C., that Miranda meant what it said;
18 that unless -- at least as far as the police reinterrogation
19 -- once the accused has been read his Miranda rights and says
20 he'd like to have a lawyer, that there shall be no reinterroga-
21 tion until a lawyer is provided.

22 This case demonstrates, I think, why that often is
23 no great burden, because there was no reason that the interro-
24 gation couldn't have been carried out after 1:30 on the 20th
25 of January. Instead it was carried out on the morning of

1 20 January, hours before Edwards would be making his first
2 appearance and at a time when the police were aware that
3 Edwards as an indigent would be appointed counsel that after-
4 noon. They knew that he was about to get a lawyer.

5 QUESTION: Well, it could be, Mr. Meehan, that if a
6 crime occurs at 11 o'clock at night, that there aren't many
7 lawyers willing to get out of bed at that hour of night to
8 provide the necessary support for a defendant, that might be
9 during the daytime.

10 MR. MEEHAN: That's true, Mr. Justice Rehnquist.
11 And, indeed, in Miranda, what was said was, we're not holding
12 that the police have to have a lawyer in every precinct. But
13 I'd like to emphasize two things about this case. One, the
14 case was solved. They were not looking for additional offen-
15 ders, they were not further investigating the case, they were
16 not looking for the fruits or the instrumentalities of the
17 crime such as may have been a justification in Innis had that
18 been held to have been an interrogation, they were not trying
19 to locate the body as was the case in Brewer v. Williams,
20 there was no urgency. And even under Miranda it seems to me,
21 once the accused says, I would like a lawyer, he's indicating
22 that he feels that he needs to have that help, he needs to
23 have somebody with him; he's acknowledging that the inherent
24 coercive atmosphere, custodial interrogation, which is the
25 focus of the Miranda guarantee, and the reason for the

1 warnings and affording the right to counsel is affecting him.

2 QUESTION: When you say the case was solved, was it
3 solved as to Edwards?

4 MR. MEEHAN: Yes.

5 QUESTION: Well, it certainly won't be, if you have
6 your way in this Court. I mean, Edwards will go free, will he
7 not?

8 MR. MEEHAN: I think that's an assumption that can't
9 be made at this point, because at the trial the evidence in-
10 cluded the testimony of the police officers, but also testimony
11 of an accomplice named Cleveland Reed. There was also obtained,
12 and before this interrogation of Edwards, a tape-recorded con-
13 fession of another accomplice named Soto. As a matter of fact
14 that tape recorded confession had been played, several minutes
15 of it, at least, for Edwards on the morning of his interroga-
16 tion, which prompted the admissions that are the subject of
17 this case.

18 QUESTION: Would you consider it a solution of the
19 case if the police got incriminating statements from three
20 potential suspects to whom they had not read their Miranda
21 rights, and all of their declarations were excluded and the
22 jury returned verdicts of acquittal?

23 MR. MEEHAN: I think I would treat it as having been
24 solved by virtue of what the police felt at the time that they
25 undertook the interrogation, and I think, number one, it would

1
2 be somewhat unrealistic to have a set of facts in which there
3 were three different statements without Miranda rights having
4 been read to them.

5 But secondly, it seems to me the focus has to be at
6 the time of the interrogation. What was the motive of the
7 police in undertaking this interrogation? At that time the
8 offense was old, they were not, you know, on the trail of
9 additional accomplices. They felt, as the State said in its
10 opener, its ~~responson~~ brief, they felt they had enough evi-
11 dence. That's exactly what the State said in its brief,
12 and they did. And they presented it to a magistrate, and a
13 magistrate then has the right and the obligation
14 to weigh that evidence, to cross-examine the complaining
15 officer, to determine whether or not there's probable cause to
16 believe that an offense was committed, and the defendant com-
17 mitted it, and if so to in effect file the complaint, which is
18 to say, to approve the complaint and then to issue the arrest
19 warrant.

20 There are, of course, two issues presented in this
21 case, one under Miranda and one under the Sixth Amendment.
22 Because the State has recently chosen to argue that no formal
23 prosecution had begun in this case, I would like to spend more
24 time talking about the Sixth Amendment aspects of it than the
25 Miranda aspects.

1 As I said, in the courts below and in its briefs in
2 this Court, except the supplemental brief, the State has agreed
3 with the Petitioner that at the time of this interrogation
4 formal prosecution had begun and the right to counsel under
5 the Sixth Amendment as a critical stage had arisen.

6 A week ago the State contended on the virtue of a
7 Second Circuit case construing federal criminal rules of proce-
8 dure that no formal prosecution had begun. I think the State
9 was correct in its first position. In Arizona, under the
10 criminal rules, prosecution may be commenced in two ways,
11 either by indictment or by complaint. In this case prosecution
12 was commenced by the filing of a complaint.

13 QUESTION: But doesn't the complaint require that
14 the county attorney take it to the court and file it?

15 MR. MEEHAN: The complaint is presented to a magis-
16 trate and it is in effect filed.

17 QUESTION: And isn't that the day of the commence-
18 ment?

19 MR. MEEHAN: That is the day of the commencement,
20 but in this case the complaint was filed on the 19th of
21 January.

22 QUESTION: And if so, the complaint had been filed
23 by the county attorney of Pima County at the time of the inter-
24 rogation?

25 MR. MEEHAN: Yes, Your Honor, it had been filed with

1 the magistrate. Now, in Arizona the probable cause proceedings
2 and the complaint are begun before a magistrate, which typi-
3 cally is a police court or a justice court, as it is in
4 Arizona. And ultimately, those documents are then filed in
5 the prosecution file in the Court of General Jurisdiction,
6 which is the Superior Court. And that filing did occur some-
7 what after the day of the interrogation. But in terms of the
8 magistrate receiving the complaint and having the officer
9 appear before him and taking sworn testimony if he chooses to
10 do so, and so forth, this was done on the 19th of January.

11 QUESTION: Do you remember, offhand, Mr. Meehan,
12 where in the respondent's original brief there is a concession
13 that your client had been formally charged at the time of this
14 interrogation?

15 MR. MEEHAN: Yes.

16 QUESTION: I know I read it but I can't find it.

17 MR. MEEHAN: It's Footnote 47 on page 24. The
18 respondent recognizes the efficacy of Massiah and also cites
19 without dispute the McLeod case of this Court, which was
20 handed down very shortly after Massiah and which I think
21 supports the position that we have here that it's not important
22 that this interrogation was carried out just before the
23 appointment of counsel rather than just after, because of the
24 holding of this Court in vacating what the Ohio courts had done
25 in McLeod. That distinction, I think, is clearly not

1 important. I would say that the distinction is important in
2 terms of the due process argument that we make, and also in
3 terms of the motivation of the interrogating officers at this
4 point. I think it's fair to say that knowing that the
5 petitioner would have counsel appointed for him that after-
6 noon and knowing that any counsel worth his salt is going to
7 fully apprise this defendant of what he is charged with and
8 what the severity of the charges are and what the police can
9 do with a statement and so forth is going to advise this defen-
10 dant, not now to try quickly to make a deal by giving a state-
11 ment or to make a statement at all.

12 And of course, that's something that is to me, and --

13 QUESTION: Mr. Meehan, did the proceeding before the
14 magistrate actually begin on the 19th?

15 MR. MEEHAN: Yes.

16 QUESTION: Was it completed that day?

17 MR. MEEHAN: I cannot tell this Court that there was
18 a proceeding in terms of anything more than the officer pre-
19 paring the complaint and swearing to it and appearing with
20 the complaint before the magistrate. I am --

21 QUESTION: This wasn't a hearing where he was pre-
22 sent, was it?

23 MR. MEEHAN: Well, he -- Edwards?

24 QUESTION: Before the magistrate.

25 MR. MEEHAN: No. It was not -- it is an ex parte

1 hearing. Arizona has kind of a strange term for it. We used
2 to call it "a one-man grand jury" because it can be a very
3 full-blown hearing or it can be a very perfunctory hearing in
4 which the magistrate having placed before him a rather routine
5 felony complaint and having no basis to disagree with what's
6 sworn to by the officer simply says, yes, and, I'll issue the
7 complaint.

8 As an example, one of the other ways that this rule
9 has been used in times before the middle 70s when Arizona be-
10 gan to use grand juries with regularity, the so-called one-man
11 grand jury was used to investigate corruption in public office.
12 We had a sheriff in Pima County who was rather famous, indeed,
13 I would say, notorious, whose prosecution was originally begun
14 with the earlier procedural equivalent of this Rule 2.2,
15 2.3, and 2.4 procedure.

16 QUESTION: Well, has that superseded entirely the
17 old procedure where the defendant after the warrant of arrest
18 had been served on him could demand a preliminary hearing?

19 MR. MEEHAN: No, Mr. Justice Rehnquist, the prelimi-
20 nary hearing still exists for those felony prosecutions that
21 are commenced by complaint. I think it's significant, however
22 however --

23 QUESTION: And that would be this type of case?

24 MR. MEEHAN: Except that there is now, because of
25 the more common use of grand juries and the desire not to get

1 involved with public preliminary hearings at which the accused
2 is present, a possibility of a complaint beginning a case and
3 then an indictment superseding the complaint.

4 QUESTION: But that didn't happen?

5 MR. MEEHAN: That did happen in this case.

6 QUESTION: The indictment did supersede?

7 MR. MEEHAN: The indictment did supersede.

8 QUESTION: And what was the date of the indictment?

9 MR. MEEHAN: I think, Mr. Justice Rehnquist, but I'm
10 not sure, that it was the 27th or so of January. It was fairly
11 shortly after, but it was after the interrogation. I should
12 point out one problem with getting into this area of formal
13 prosecution. Edwards was arrested in 1976. He was not tried
14 until April of 1977, and in the interim the charges against
15 him were dismissed because of procedural problems not germane
16 to the confession. When the case went up to the Arizona
17 Supreme Court, we briefed and argued this matter, a speedy
18 trial and other things, and pointed out that only the second
19 court's file went up to the Arizona Supreme Court, and said
20 that we would move to amend or supplement the record if
21 necessary. The State agreed with us in their briefs before
22 the Supreme Court as to the sequence of events, and they agreed
23 with us here as to the sequence of events. So, physically
24 speaking, the papers on the indictment from the first case and
25 the complaint are not within this Court's record, but I can

1 ask the Clerk to supplement that if that becomes necessary or
2 appropriate.

3 QUESTION: Your position is not that the police
4 cannot at the scene of a crime interrogate witnesses whom they
5 think might be guilty, unless each and every one of the wit-
6 nesses has a lawyer, is it?

7 MR. MEEHAN: No, indeed. But that's investigative
8 interrogation and quite different, I think, from this case.

9 QUESTION: So it depends on the motive of the police?

10 MR. MEEHAN: Not motive entirely. I think motive is
11 important in deciding what is appropriate and what is not
12 appropriate. I think that motive is important here because,
13 number one, there are no countervailing considerations to re-
14 quire prompt interrogation. If there were some other problem,
15 if the body of the murder victim were still to be located or
16 if there may be other persons who were involved and should be
17 identified, or if there was a dangerous weapon like in Innis,
18 and there was a need right now to see whether this defendant
19 would waive his rights and answer questions so that those other
20 investigative activities quite properly undertaken could be
21 furthered, maybe the interrogation should occur right away.

22 QUESTION: But will they ever know unless they
23 ask?

24 MR. MEEHAN: Well, I think that the situations them-
25 selves are going to be fairly easily divisible into categories

1 and of course one reason I think this situation is fairly
2 easily divisible into the category that I'm describing is the
3 fact of the complaint, and the police believing that, they'd
4 been working on the case for 15 months, they believe now
5 they've got enough, they've gotten two statements, the time
6 between the offense and the arrest, and so forth, I think sim-
7 ply tells them at that time and tells this Court at this time
8 that we're dealing with a post-complaint, a post-indictment --
9 to use a shorthand term -- interrogation.

10 QUESTION: Mr. Meehan: I didn't understand one of
11 your answers to Justice Rehnquist. Supposing you're right at
12 the scene of the crime and the police ask somebody who was
13 a suspect a question, they've let him know he's a suspect; he
14 says, I want a lawyer. I don't want to answer your question.
15 Is it your view they can go ahead and question him anyway?

16 MR. MEEHAN: I would say that if the suspect is in
17 custody it would be a consideration.

18 QUESTION: Just the fact that it's at the scene of
19 the crime doesn't mean he isn't in custody. They tell him
20 he's a suspect and they give him the warnings; he says he wants
21 a lawyer. As I understand your response to Mr. Justice
22 Rehnquist, they can still go ahead and question him. Is that
23 really what you're --

24 MR. MEEHAN: I didn't mean to leave that impression.
25 Again, I was speaking more to the Sixth Amendment issue than

1 to the Fifth Amendment. I think that unless this Court is
2 going to change what I believe has been clearly stated in
3 Miranda and the other cases that you're bringing out that at
4 that point the police have to scrupulously honor that request
5 and not reinterrogate until a lawyer is provided. At that
6 point --

7 QUESTION: But that's based on Miranda, whereas the
8 facts here are Massiah -- ah, Sixth Amendment --

9 MR. MEEHAN: I think that this is more a Sixth
10 Amendment, Massiah -- or really, a Brewer type of case than it
11 is a Miranda type of case, although obviously the two really
12 come very close together.

13 QUESTION: In Miranda, for Miranda to help him, he
14 would have to be in custody, would he not?

15 MR. MEEHAN: Yes. Whereas, with the Sixth Amendment
16 custody isn't important but may exist here.

17 QUESTION: Under the Arizona practice, at what point
18 after the complaint is presented to the magistrate and presum-
19 ably filed is the defendant, the person in custody, informed
20 of that fact?

21 MR. MEEHAN: I'm not sure, except I know that at the
22 initial appearance which was the proceeding that was to have
23 taken place on the 20th, one of the functions is to either
24 read to or provide a copy of the complaint which is referred to
25 as the formal charges, and to advise of rights and so forth.

1 QUESTION: Well, then, did he get this notice on
2 the 20th?

3 MR. MEEHAN: The record indicates that he was shown
4 a copy of the arrest warrant at the time he was arrested on
5 the 19th, shown in the sense, I am sure, of not handing it to
6 him to read but to support the arrest, because there is an in-
7 dication that there was a scuffle at the time of the arrest.
8 He was told generally that he was an accused in a murder case on
9 the 19th when he was interrogated by Sergeant Bunting at the
10 Tucson Police Department. In terms of explaining to him pre-
11 cisely what the charges were, precisely what the penalties
12 were, precisely where they were in stage of proceedings and
13 so forth, the record doesn't show that he was given that in-
14 formation at any time before he made the statement on the
15 morning of the 20th that, I'll tell you -- I'll talk with you,
16 but I don't want anything tape recorded because I don't want
17 it used against me in court.

18 It seems to me that the State must argue, and ob-
19 viously does argue, that Edwards' Sixth Amendment right was
20 waived, and the question then becomes whether in fact a waiver
21 can occur of that right and whether it can be supported by the
22 facts in this case. Brewer v. Williams is the closest that
23 this Court has come to finding what will support a waiver in
24 a Sixth Amendment as opposed to a Miranda or a Fifth Amendment
25 kind of a case. Brewer did not, however, reach what would be

1 sufficient. All other waivers of trial rights, rights like
2 the right to counsel, which involved the factfinding process,
3 the fairness of the trial, and the reliability of the trial,
4 have always been required to be made in open court and
5 approved and supervised by a trial judge, so far as I can tell.
6 This Court has said in in the Schneckloth case that the high
7 standard of waiver which I think applies in the Sixth Amendment
8 kinds of cases requires a showing of knowledge, understanding,
9 and voluntary relinquishment that can only, or at least is de-
10 signed to be carried out in that kind of a setting. I submit
11 that in the case that's before the Court, there is no justifica-
12 tion for finding a waiver of the Sixth Amendment right to
13 counsel. It's possible that in order to establish the very
14 high standard that this Court has imposed by Johnson v.
15 Zerbst and by Fare v. Michael C., and by at least in dictum
16 Schneckloth, and Fareta v. California for waiver of right to
17 counsel at a critical stage, that a waiver should be either
18 conducted before a magistrate or a judge in open court so that
19 the record can be made that should be made. Or that it cannot
20 be accepted unless counsel has had a chance to consult and
21 advise the client, or at the very least, that it be an express
22 waiver of a kind that would provide independent evidence.
23 And I say, independent from the testimony of those who really
24 have an interest in establishing a waiver, a videotape, an
25 audio tape, or even a written waiver. I'm not suggesting that

1 this Court needs to accept or reject any one of the three or
2 that even any one of the three necessarily have to be exclu-
3 sive, but it seems to me that the cases of this Court impose
4 a very high standard of joined waiver and that in order to
5 fulfill that some kind of quite reliable factfinding process
6 needs to be undertaken before there can be a waiver.

7 ~~And what~~ In this case, what do we have that would establish a
8 waiver? Number one, when the police went to the jail on the
9 morning of the 20th to interrogate Edwards, he said he didn't
10 want to talk to them. He told the detention officer he didn't
11 want to come out of "the hole," as he described it. Number
12 two, after he was given his Miranda rights and was read a tape
13 recording of another accomplice, he made the very conflicting
14 statement, I'll tell you what you want to hear, but I don't
15 want it recorded because I don't want it used against me in
16 court. The police said, big deal. There are two of us here;
17 we can testify to what you say. He didn't say, oh, okay. He
18 said, I don't want it used against me in court so I don't want
19 it tape recorded.

20 And finally, he did not say anything about giving up
21 his right to counsel. He didn't say, well, I wanted a lawyer
22 yesterday but today I feel more able to deal for myself and so
23 I don't want one today. Under these facts, it seems to me
24 there can be no waiver of the Sixth Amendment right to counsel.

25 QUESTION: Mr. Meehan, when do you contend that the

1 interrogation started?

2 MR. MEEHAN: I haven't focused on it that clearly,
3 Your Honor, in terms of after Edwards was brought into the
4 interview or interrogation room at the jail. They read him
5 his rights, they said they wanted to talk with him.

6 QUESTION: Well, specifically, do you contend that
7 playing him the tape of the other person's confession was the
8 commencement of interrogation under Rhode Island v. Innis?

9 MR. MEEHAN: Yes, I think it was, because I think it
10 was done --

11 QUESTION: It makes a great deal of difference as to
12 whether there was a waiver at that point or later. To answer
13 the waiver question, I think you first have to decide when the
14 interrogation started.

15 MR. MEEHAN: That statement supposes that by listen-
16 ing to the tape and making a judgment as to the efficacy of
17 that tape in being able to convict Edwards that he then was
18 more informed in terms of his need for counsel, and I'm not
19 sure I agree with that. It seems to me that the playing of
20 the tape was designed to get Edwards to talk. They were tell-
21 ing him how everybody else was --

22 QUESTION: If you say that, then they have the burden
23 of proving waiver before they started to play the tape.

24 MR. MEEHAN: Yes, that's true, that is true. And
25 that then eliminates the conflicting statements that were made

1 after the tape was played.

2 If the Court please, I would like to reserve the
3 remainder of my time for rebuttal.

4 MR. CHIEF JUSTICE BURGER: Very well, Mr. Meehan.
5 Mr. McClennen.

6 ORAL ARGUMENT OF CRANE McCLENNEN, ESQ.,

7 ON BEHALF OF THE RESPONDENT

8 MR. McCLENNEN: Mr. Chief Justice and may it please
9 the Court:

10 This case involves two issues, admissibility of a con-
11 fession after a request for an attorney, and the waiver of the
12 Sixth Amendment right to counsel. The State feels that there
13 are several important facts to be considered in this case.

14 First is, Mr. Edwards was warned of his right four
15 times. Now there is fairly considerable disagreement about
16 this, but Sergeant Bunting, everyone agrees, advised him twice:
17 once at the beginning of the interview and once on the tape.
18 Detective Marmion advised him of his rights the next morning.
19 And on page 70 of the record Mr. Edwards himself says that
20 Officer Allen advised him of his rights -- page 70 of the
21 Appendix:

22 "Q. At any time did Sgt. Bunting or Steve Bunting,
23 the other detective, read you your Miranda rights?

24 A. No. It was a detective -- it was a black
25 policeman in a car. I don't know his name."

1 That was four times.

2 On the night of January 19, after the discussion,
3 after the tape recorded statement, and after the discussion
4 back and forth about the deal, Mr. Edwards never said, "I do
5 not want to talk to you again until I see an attorney." He
6 never said, "I will not answer any more questions till I see
7 an attorney."

8 What he said was, "I will wait until I get an attor-
9 ney before I make a deal. I'll wait till then before I make
10 a statement."

11 QUESTION: Where do you find that particular dia-
12 logue? Is that 70-71?

13 MR. McCLENNEN: That's several pages throughout the
14 record.

15 QUESTION: It's on 71: "Well, I need a lawyer."

16 QUESTION: Where did he talk about making the deal?

17 MR. McCLENNEN: Page 37, down towards the bottom:

18 "A. He never asked for an attorney. He stated he
19 was going to wait until he had an attorney to make a deal."

20 At the top of the page 37:

21 "...he was going to wait until he had an attorney
22 before he made a deal."

23 Page 39, the middle of the page:

24 "I'm just going to wait until I get an attorney to
25 make a deal for me."

1 Page 41, towards the top. And page 43, right before
2 the cross-examination starts:

3 "He . . . stated that without a deal he was not
4 going to make a statement. He would wait for his attorney
5 to make a deal for him."

6 QUESTION: Mr. Attorney General, where did he ever
7 say, "I withdraw my request for a lawyer"?

8 MR. McCLENNEN: He never specifically said that. The
9 next morning when Detective Marmion went there, Detective
10 Marmion -- page 57 of the Appendix, towards the bottom:

11 "A. I got there. I identified myself to Mr.
12 Barefield as a homicide detective. He said something to
13 the effect, I don't remember his exact words, that he
14 recognized me from seeing me the night before at the
15 Detective Division. I told him I was the detective that
16 had the case and I wanted to talk to him. I then advised
17 him of his constitutional rights. He replied that he
18 would talk to me and tell us anything we wanted to know,
19 but, first, he wanted to hear Manny Soto's statement."

20 And also, in the Supplemental Appendix, page 52.
21 This is Detective Milne testifying:

22 "A. Detective Marmion went through your rights
23 rather thoroughly to make sure you understood all your
24 rights.

25 Q. Thoroughly?

1 A. And also the fact that you had the right to an
2 attorney. You did not request one at that time."

3 QUESTION: But he at no time withdrew his request?

4 MR. McCLENNEN: He never said, I will no longer wait
5 until I get an attorney to make a deal.

6 QUESTION: How did we get a waiver without that?

7 MR. McCLENNEN: They advised him of his rights. He
8 said, I understand that and I'll answer your questions.

9 QUESTION: That's it.

10 MR. McCLENNEN: They said, you have the right to
11 remain silent, you have the right to an attorney. He said,
12 I understand that, I'll answer your questions.

13 Now, in North Carolina v. Butler, it is said that you
14 do not have to have a specific waiver of the right to an
15 attorney. You must look to the facts of the case. We submit
16 that this dialogue where he was specifically told that he had
17 the right to an attorney. He said, I understood that. I'll
18 answer your questions. That constitutes a waiver.

19 QUESTION: Mr. McClennen, I'm a little bit confused
20 about the procedure here. On page 30 in the Appendix it
21 simply describes: "Proceedings March 4, 1977." And it says,
22 "Larry Bunting was . . . called as witness in behalf of the
23 Defendant Edwards."

24 And then, on page 98, it says: "Proceedings, March
25 28, 1977." And then, "Larry Bunting was thereupon recalled

1 as a witness in behalf of the defendant." Are those both
2 motions to suppress in the Superior Court?

3 MR. McCLENNEN: No, Justice Rehnquist, the proceed-
4 ings, March 4 and March 7, those are the proceedings at the
5 suppression hearing. Then, the proceedings, March 21 and 28,
6 the latter part of March, that is the first trial, the one that
7 ended in a hung jury and a mistrial. The proceedings in April
8 were the second trial where Mr. Edwards was convicted.

9 Now, the next morning, when Detective Marmion went
10 to visit Mr. Edwards, the reason why Detective Marmion went to
11 visit Mr. Edwards, it was Detective Marmion's case. He had
12 been assigned as the lead detective in that case. It was his
13 responsibility. The reason why Detective Marmion did not
14 arrest Mr. Edwards, Marmion and Milne were in the process of
15 arresting Manny Soto while Sergeant Bunting and Detective
16 Bunting and Officer Allen were arresting Mr. Edwards. These
17 took place simultaneously.

18 Marmion and Milne the night of the 19th were inter-
19 rogating Mr. Soto while Sergeant Bunting was interrogating
20 Mr. Edwards. So Detective Marmion whose case it was had not
21 had an opportunity to talk to Mr. Edwards the night of the
22 19th. In his case, he was interested in what Mr. Edwards
23 had to say, so he went to the jail the next morning, read
24 Mr. Edwards his rights. Mr. Edwards acknowledged them, said
25 he'll answer the questions, but then Mr. Edwards said, but

1 first I want to hear Manny Soto's tape. Detective Marmion played
2 the tape per Mr. Edwards' request, and that caused Mr. Edwards
3 to confess. The tape --

4 QUESTION: Where in the record is it that says that
5 that caused him to confess?

6 MR. McCLENNEN: Nothing says that that caused him to
7 confess. But they played the tape --

8 QUESTION: That's your conclusion?

9 MR. McCLENNEN: That's my conclusion. They played
10 the tape and immediately he says, all right, I'll give you my
11 story.

12 QUESTION: Does the record tell us how Edwards knew
13 they had Soto's tape?

14 MR. McCLENNEN: No. That's unclear. He knew on the
15 night of the 19th that Mr. Soto was being interrogated but
16 Mr. Edwards was not told. He was told that Soto was confessing
17 but he was not told what he was saying. So Mr. Edwards the
18 night of the 19th did not know exactly what Mr. Soto had said.
19 He did not know that until the morning of the 20th.

20 QUESTION: That is, specifically about the tape.
21 How did he know there was a tape?

22 MR. McCLENNEN: There is nothing in the record that
23 indicates how he knew that.

24 QUESTION: How who knew that?

25 MR. McCLENNEN: Mr. Edwards knew that there was a tape.

1 Our position in this matter is --

2 QUESTION: How soon after he heard that tape did he
3 begin to talk and make these statements?

4 MR. McCLENNEN: According to the record it was imme-
5 diately after that. He heard the tape --

6 QUESTION: Did he -- what statement did he make to
7 introduce his statement after he heard the tape recording of
8 the accomplice?

9 MR. McCLENNEN: I'm not sure where in the record it
10 says that after he heard the tape he said, all right, I'll
11 make a statement. It's somewhere, but I can't put my finger
12 on it right now.

13 QUESTION: You are defending the judgment of your
14 court and the rationale of it?

15 MR. McCLENNEN: Yes, insofar as it allows the police
16 to reinterrogate someone who has asked for counsel.

17 QUESTION: And you agree that your case depends on
18 waiver?

19 MR. McCLENNEN: Yes.

20 QUESTION: That there was an indication of the right
21 to counsel and the right to silence?

22 MR. McCLENNEN: Yes. When he said, I'll wait till I
23 get an attorney to make a deal, I'll wait till then.

24 QUESTION: And so that you have to find the elements
25 of a waiver thereafter?

1 MR. McCLENNEN: Yes.

2 QUESTION: And that you have to contend there doesn't
3 have to be an express waiver?

4 MR. McCLENNEN: Yes.

5 QUESTION: Or a written waiver, a fortiori?

6 MR. McCLENNEN: Or a written waiver under --

7 QUESTION: Just the mere fact of being willing to
8 answer questions?

9 MR. McCLENNEN: In this situation, yes, where he was
10 told he had the right to an attorney, he knew he had the right
11 to an attorney, he knew if he asked for an attorney that they
12 would stop talking --

13 QUESTION: So suppose a man in custody is given
14 his Miranda warnings and then the officer just asks him some
15 questions and he answers them. No question about -- no ex-
16 press waiver, no written waiver, and would you say that a
17 waiver would be as readily implied in this case as there?

18 MR. McCLENNEN: I think in your hypothetical you
19 might have a more difficult time finding a waiver than we do
20 have --

21 QUESTION: Well, why? Why?

22 MR. McCLENNEN: Well --

23 QUESTION: Because you're saying that it's easier to
24 find a waiver after you have invoked your right to counsel and
25 after you've invoked your right to silence than it is before?

1 MR. McCLENNEN: You can make the argument that once
2 you've invoked it, you know that you have it, that clearly
3 indicates within the person's mind that he has those rights
4 and what will happen if he invokes them.

5 QUESTION: Well, is that true about -- that might be
6 true about silence, but how about the attorney? You know,
7 when you invoke your right to an attorney you're in a sense
8 saying, I'm not competent to handle my own affairs.

9 MR. McCLENNEN: Maybe.

10 QUESTION: You say, silence; maybe you are.

11 MR. McCLENNEN: That's the argument that's made,
12 that when a person says, I wish to remain silent, that he
13 is controlling his own destiny. But if he says, I want an
14 attorney, it indicates that he is acknowledging he is
15 incapable. But I do not think that that's true in all cases.
16 And if you look at the totality of the situation, the
17 judge, the trial judge can hear the person's testimony and
18 if at the motion to suppress the man says, yes, I asked for
19 an attorney because I knew that I couldn't handle matters
20 with the police, it's true in that situation. If you
21 get another man that says, I just asked for an attorney be-
22 cause I thought --

23 QUESTION: Well, what about this case?

24 MR. McCLENNAN: In this case he said he'd wait till
25 he had an attorney before he made a deal, and then when he

1 heard the tape --

2 QUESTION: Well, I know but your Supreme Court, and
3 you're defending that, said he invoked his right to counsel --

4 MR. McCLENNEN: Yes.

5 QUESTION: -- before he was to do anything.

6 MR. McCLENNEN: Yes.

7 QUESTION: All right, go ahead. So when he heard
8 the tape, he just said something. Is that it?

9 MR. McCLENNEN: Well, first, they read him his
10 rights. And he said, all right, I'll answer your questions.

11 QUESTION: Yes, but didn't your Supreme Court also
12 recognize that when the officers arrived the next morning and
13 the jailor said to him, the officers are here, he said, I don't
14 want to talk to anyone? And didn't the jailor say, yes, but
15 you have to?

16 MR. McCLENNEN: Yes. That is in the record.

17 QUESTION: Well, that isn't what had happened. And
18 your Supreme Court recognized that.

19 MR. McCLENNEN: The Supreme Court may have recognized
20 that but it --

21 QUESTION: And you recognize it?

22 MR. McCLENNEN: I don't recognize that; the trial
23 court didn't recognize that, because if that in fact happened,
24 that would indicate it's involuntary and the trial court spe-
25 cifically ruled that it did not believe Mr. Edwards' testimony.

1 And it found that the confession --

2 QUESTION: How about your Supreme Court?

3 MR. McCLENNEN: Well, this court can look at the same
4 facts --

5 QUESTION: I just asked you, how about your Supreme
6 Court? What'd the Supreme Court -- ?

7 MR. McCLENNEN: The Supreme Court did point out that
8 fact.

9 QUESTION: And -- so that he was told that he had to?

10 MR. McCLENNEN: They quoted from the record.

11 QUESTION: Yes, and -- but, nevertheless found a
12 waiver simply from his willingness to talk thereafter.

13 MR. McCLENNEN: Yes.

14 QUESTION: Wouldn't it follow from your logic that
15 every time there's a violation of the protections of Miranda
16 there's a waiver of them?

17 MR. McCLENNEN: No.

18 QUESTION: It sounds to me as though.

19 MR. McCLENNEN: In this case the police --

20 QUESTION: Whenever he does talk, then he's waived
21 the protections?

22 MR. McCLENNEN: No. In this case it's not that he
23 just talks. The police read him his rights and he says, all
24 right, I'll answer your questions.

25 QUESTION: Well, let's say, in every such case,

1 all right, I'll answer your questions. There's a waiver then?

2 MR. McCLENNEN: Yes.

3 QUESTION: Even though --

4 QUESTION: Well, that's perfectly consistent with your
5 logic, isn't it?

6 QUESTION: Yes, it is.

7 QUESTION: He's told that he may remain silent and he
8 says, maybe one night or maybe the next morning, I know I
9 have the right to remain silent, but I'm going to talk.

10 QUESTION: Well, he didn't say that here.

11 QUESTION: Well, what if he just goes ahead and talks?

12 QUESTION: That's the point.

13 MR. McCLENNEN: Well, maybe that's a waiver, but
14 that's not what you have here.

15 QUESTION: Let's turn to page 71 of your Appendix,
16 At the bottom of 71 he said, "Well, I need a lawyer." And
17 then I'll read his statement: He -- this is Edwards
18 speaking -- said, "I don't have nothing to hide and I'll tell
19 you my side of the story."

20 "Q. You gave him a statement.

21 A. Yes, I did.

22 Q. Was that statement recorded?

23 A. Yes, it was."

24 and so forth. Do you rely on that colloquy as the waiver,
25 after having just said, I want a lawyer? And then, he said,
"I don't have nothing to hide and I'll tell you my side of

1 the story." Is that language what you rely on to make the
2 waiver?

3 MR. McCLENNAN: Not for the statement on the 20th.
4 That preceded the tape recorded statement on the 19th. The
5 waiver on the 20th --

6 QUESTION: You have to get to that, don't you?

7 QUESTION: Yes.

8 QUESTION: Do you say this is or is not a
9 waiver, on the 19th? On the bottom of the page, the colloquy
10 on the bottom of page 71?

11 MR. McCLENNAN: Well, I think that would be a waiver.
12 But nobody's challenging the admissibility of the statement on
13 the 19th.

14 QUESTION: But do you suggest it has no relation to
15 what happened on the 20th?

16 MR. McCLENNAN: Well, it indicates that he under-
17 stands his rights.

18 QUESTION: And that he wants to talk to you. Do you
19 say it indicates he wants to talk to the police?

20 MR. McCLENNAN: Yes.

21 QUESTION: That on the 20th he put the condition that
22 he did not want it recorded?

23 MR. McCLENNAN: Yes.

24 QUESTION: So you -- essentially you say that if a
25 fellow invokes his right to counsel and then the police then

1 turn on a record and he listens to it and then he says some-
2 thing, that's a waiver?

3 MR. McCLENNEN: I'm not sure whether that would be a
4 waiver but that's not what we have here.

5 QUESTION: Why isn't that what happened here?

6 MR. McCLENNEN: Because what happened here is, he
7 asked for counsel, and he was sent back to the jail.

8 QUESTION: And your Supreme Court recognized that?

9 MR. McCLENNEN: The next morning the police came.

10 QUESTION: Yes?

11 MR. McCLENNEN: The officer introduced himself.

12 QUESTION: Yes?

13 MR. McCLENNEN: Read him his rights.

14 QUESTION: Yes?

15 MR. McCLENNEN: "He replied that he would talk to me.
16 and tell us anything we wanted to know. But first he wanted
17 to hear Manny Soto's statement." He says that he'll talk to
18 them. If an officer reads a suspect his rights, he says, you
19 have the right to remain silent, you have the right to an
20 attorney, you understand that? Yes; I'll talk to you.

21 QUESTION: But didn't he the night before say, if
22 you'll get me a lawyer, I'll talk to you?

23 MR. McCLENNEN: No, he said I'll wait till I get an
24 attorney before I'll make a deal, before I make a statement.

25 QUESTION: So didn't that apply the next morning too?

1 MR. McCLENNEN: Our position is that he changed his
2 mind.

3 QUESTION: Well, he changed his mind after the jailor
4 said, you have to talk. But you just don't -- you say that we
5 must, or should, ignore that interchange between him and the
6 jailor.

7 MR. McCLENNEN: Yes.

8 QUESTION: What if we don't? What if we don't?

9 MR. McCLENNEN: Well, what happens then -- of course,
10 Mr. Edwards, when he testified, said he told the detectives he
11 didn't want to talk to them and the detectives said he never
12 said that. And the judge believed the detectives, believed
13 that Edwards never told the detectives --

14 QUESTION: Well, he may not have but he certainly
15 was told by the jailor.

16 MR. McCLENNEN: Yes, but the jailor never conveyed
17 that to the detectives.

18 QUESTION: Well, what difference does that make? He
19 was told by an official that he had to talk, so he came out and
20 he said, I'll say anything you want.

21 MR. McCLENNEN: The detectives then tell him he has
22 the right to remain silent. And the trial court believes that
23 the testimony was voluntary; if the trial court believes
24 that he had told the jailor, I'm not going to talk to anybody --

25 QUESTION: Then your Supreme Court would have to do it

1 concluded that it was a voluntary waiver?

2 MR. McCLENNAN: Yes.

3 QUESTION: Did the jailor dispute the statement?

4 MR. McCLENNEN: Did what?

5 QUESTION: Did the jailor dispute the statement?

6 MR. McCLENNEN: The jailor was never called to tes-
7 tify.

8 QUESTION: Was there any reason why he couldn't have
9 been called?

10 MR. McCLENNEN: There is no reason in the record
11 indicated.

12 QUESTION: Mr. McCledden, you indicated a little
13 while ago that the defendant knew what his right was after
14 having requested counsel.

15 MR. McCLENNEN: yes.

16 QUESTION: What was his right after having requested
17 counsel?

18 MR. McCLENNEN: He had the right to an attorney.

19 QUESTION: He didn't get it. How did he know he had
20 a right to an attorney?

21 MR. McCLENNEN: Because that morning the officers again
22 told him of his rights, specifically told him he had a right
23 to an attorney.

24 QUESTION: You mean he had a right to an attorney if
25 he ever went to trial, or something like that?

1 MR. McCLENNEN: No, they told him --

2 QUESTION: The right to an attorney at any particular
3 point in time?

4 MR. McCLENNEN: They told him -- again they read the
5 rights. You have the right to remain silent. You have the
6 right to an attorney. You have the right to an attorney with
7 you right now.

8 QUESTION: What happens then, what is his right?
9 When he asks for an attorney, does that change the legal situa-
10 tion at all and if so, how?

11 MR. McCLENNEN: It's our position --

12 QUESTION: What duty, if any, does it impose upon
13 the police?

14 MR. McCLENNEN: If he says --

15 QUESTION: He said, I want a lawyer. Now, what duty
16 did that impose on the police?

17 MR. McCLENNEN: They cannot question him further --

18 QUESTION: But then they did.

19 MR. McCLENNEN: -- unless he changes his mind.

20 QUESTION: Well, yes, but all that happened after
21 that -- supposing they start interrogating, they give him his
22 Miranda warnings, and he says, I want a lawyer. Then they give
23 him his Miranda warnings again, and play him a record. And
24 he talks. Is that a waiver?

25 MR. McCLENNEN: It might be.

1 QUESTION: Well, in this case, they gave him his
2 Miranda warnings and he said, I want a lawyer. Then they give
3 him his Miranda warnings again --

4 MR. McCLENNEN: And he says, I'll answer your ques-
5 tions.

6 QUESTION: He says, I'll talk.

7 MR. McCLENNEN: But first I want to hear the tape.
8 And they accommodate him.

9 QUESTION: Supposing they give him his Miranda
10 warnings and he says, I want a lawyer, but before I get the
11 lawyer, I want to tell you my side of the story?

12 MR. McCLENNEN: Then that would be a selective waiver
13 which would allow him to tell them his side of the story.
14 It's up to him to decide what he wants to do.

15 QUESTION: A request for a lawyer isn't an automatic
16 refusal to talk further, is it?

17 MR. McCLENNEN: Well, this Court has said in Fare
18 v. Michael C. that the request for an attorney is a per se in-
19 dication of the Fifth Amendment right to remain silent. It's
20 our position that if a person could invoke the Fifth Amendment
21 right to remain silent, if you can later withdraw it, as in
22 Michigan v. Mosley, if the request for an attorney invokes the
23 Fifth Amendment right to remain silent, he can again withdraw
24 that, as the cases we've cited have said -- United States v.
25 Rodriguez-Gastelum, White v. Finkbeiner, U.S. v. Hauck.

1 In Rodriguez-Gastelum they said, do you want to talk?
2 The man said, yes, but with an attorney. The policeman didn't
3 stop; he said, do you want to talk to me without an attorney?
4 The man said, all right. The 9th Circuit said, that's all
5 right.

6 QUESTION: But that's not this case. I asked you if there
7 was anything in the record that said that and you said, no.

8 MR. McCLENNEN: Said what?

9 QUESTION: That he could waive his right.

10 MR. McCLENNEN: There's nothing where he specifi-
11 cally says, all right, I will talk to you without an attorney.
12 But they tell him he specifically has the right to remain silent.

13 QUESTION: Well, suppose, the night before, after they
14 stopped questioning him, they took him in another room and
15 this new officer came in and gave him his warnings? Now,
16 here's the question.

17 MR. McCLENNEN: I guess the man --

18 QUESTION: I mean, once the man says I want a lawyer,
19 he wants a lawyer.

20 MR. McCLENNEN: That assumes that he can never again
21 change his mind.

22 QUESTION: I think he can change his mind.

23 MR. McCLENNEN: What if he's --

24 QUESTION: And I think he can express it when he
25 changes it. As I read everything I know, including the FBI

1 regulations, once a man asks for a lawyer you stop questioning
2 him. That's the FBI regulations.

3 MR. McCLENNEN: Right. But that's not Rodriguez-
4 Gastelum.

5 QUESTION: You don't think those are good regulations,
6 do you? They do a pretty good job.

7 MR. McCLENNAN: Well, yes. At any rate, the cases we
8 have cited that I have mentioned say that once the person has
9 asked for an attorney, he can go back and question him before
10 he has seen an attorney. So, our position, that's what
11 happened here. He knew he had an attorney, he was told again,
12 and because they read him a statement, Soto's statement,
13 he decided he might as well talk.

14 QUESTION: Mr. McClennan, then, in your primary
15 brief you state in Footnote 47, on page 24, that the Respon-
16 dent State acknowledges that the petitioner was formally
17 charged by the State on January 19, 1976. Have you changed
18 that?

19 MR. McCLENNEN: Yes. We've reconsidered our position
20 on that. Now, this Court, in Moore v. Illinois, said that the
21 right to counsel begins when adversary judicial criminal pro-
22 ceedings are initiated.

23 QUESTION: Kirby v. Illinois.

24 MR. McCLENNEN: Well, in Kirby v. Illinois, there
25 was one point it said, "adversary judicial proceedings" --

1 and then it said, "adversary judicial proceedings." And then
2 it said "judicial criminal proceedings." And in Moore
3 v. Illinois, in five places it said, "adversary judi-
4 cial criminal proceedings."

5 It happens that the complaint is not an adversary
6 proceeding. A policeman can file a complaint, a citizen off
7 the street can file a complaint --

8 QUESTION: Well, of course, every State is different.
9 And New York is certainly different from Arizona. And I had
10 understood that you had conceded that in your State and under
11 your procedures, in Arizona, the petitioner had been formally
12 charged on the date that you conceded he had been charged.

13 MR. McCLENNEN: Well, I conceded that the State
14 had filed a complaint. But the question is, does that begin
15 the adversary judicial criminal proceedings?

16 QUESTION: Well, you conceded that it did in your
17 brief.

18 MR. McCLENNEN: In our supplemental brief we recon-
19 sidered our position.

20 QUESTION: Because you cited a case arising in a
21 New York procedure.

22 MR. McCLENNEN: Yes.

23 QUESTION: And you acknowledge, I'm sure you agree
24 with me, that each State is somewhat different in its criminal
25 procedures.

MR. McCLENNEN: Yes.

1 QUESTION: What did the Supreme Court of Arizona
2 indicate about --

3 MR. McCLENNEN: It never said anything on that issue,
4 and another matter is that at the motion to suppress it was
5 never argued that the confession should have been suppressed
6 because the Sixth Amendment had started. That issue sort of
7 cropped up as this came along.

8 QUESTION: At this point, Mr. McClellan, just so
9 I understand the State procedure. I understand they did super-
10 sede with a new indictment --

11 MR. McCLENNEN: Yes.

12 QUESTION: -- but say they had not filed an indict-
13 ment. Would it have been permissible without filing any other
14 piece of paper with the court to go to trial?

15 MR. McCLENNEN: No.

16 QUESTION: He would not have been able to do that?

17 MR. McCLENNEN: No. Under the Arizona Constitution
18 Article 20, Subsection 30, "No person shall be prosecuted
19 criminally in any court of record for felony or misdemeanor
20 otherwise than by information or indictment." So the State
21 must either get a supervening indictment or take the person to
22 a preliminary hearing and if he is bound over to Superior
23 Court, file an information. The State cannot proceed on a com-
24 plaint alone. It's our position that the complaint is not the
25 adversary proceedings.

1 QUESTION: Well, it's your present position. It
2 wasn't your position in your brief.

3 MR. McCLENNEN: No, it's our position --

4 QUESTION: And all through this litigation it hasn't
5 been your position, as I understand it.

6 MR. McCLENNEN: No. But we are trying to establish
7 what the law is right now.

8 QUESTION: Well, how do we know what the Arizona law
9 is? We must rely on you. You told us in your opening brief
10 that he had been formally charged on the date mentioned.

11 QUESTION: Well, I'm not sure. Is that really right?
12 You didn't necessarily -- as I read the footnote, you just con-
13 ceded that he was entitled to an attorney.

14 MR. McCLENNEN: Yes.

15 QUESTION: Maybe he's entitled to an attorney even
16 if he hasn't been formally charged.

17 MR. McCLENNEN: Right, under Miranda he's entitled to
18 an attorney.

19 QUESTION: Custody is the fulcrum of Miranda, is it
20 not?

21 MR. McCLENNEN: Yes.

22 QUESTION: Not charge.

23 QUESTION: Well, but charge is the fulcrum of
24 Massiah, and both arguments are made in this case, and it's
25 important to distinguish the two, because they do factually run

1 into each other, but as a matter of constitutional law they're
2 quite distinct.

3 MR. McCLENNAN: Yes. What's interesting in Miranda,
4 at page 469, the Court says, "You must tell him that what he
5 says can be used against him because he's faced with a
6 phase of the adversary system."

7 And at page 477, it again says, "It is at this point
8 that our adversary system of criminal procedure commences."
9 Now, that's under Miranda. But it's our position that the
10 filing of the complaint does not begin the adversary judicial
11 criminal proceedings for the purposes of the Sixth Amendment.
12 It's not an adversary proceeding.

13 QUESTION: Well, even if it were, do you say that --
14 assume that it was, would you say Massiah takes over if an
15 attorney hasn't been appointed yet?

16 MR. McCLENNAN: It doesn't matter whether the
17 attorney is appointed. Once --

18 QUESTION: What case have you got for that?

19 QUESTION: McLeod v. Ohio.

20 MR. McCLENNAN: McLeod v. Ohio. That once the ad-
21 versary judicial criminal proceedings begin, he's entitled to an
22 attorney under the Sixth Amendment.

23 QUESTION: Even if he has no lawyer?

24 MR. McCLENNAN: Right.

25 QUESTION: And even if he can hire his own lawyer?

1 MR. McCLENNEN: Right. However, in this case, we
2 contend they didn't start then. It's also our position, even
3 if they did start, he waived them. Because he knew he was
4 charged with a crime. They showed him a copy of the arrest
5 warrant. Sergeant Bunting discussed the charges with him --
6 Mr. Edwards, on page 101 and 102 of the briefs -- "I advised
7 him of the charge and showed him a copy of the
8 warrant."

9 And at page 104:

10 "Q. Did you have any other conversations...?

11 A Just a short conversation discussing the
12 charges."

13 He knew that he was charged with a crime, he knew
14 that he had the right to an attorney; he had previously been
15 convicted after a plea of armed robbery, he had previously
16 been tried and acquitted of attempted assault on a mailman.
17 At this case he defended himself. He wanted to defend himself.
18 He knew --

19 MR. CHIEF JUSTICE BURGER: Your time has expired now.
20 Mr. McClennen.

21 MR. McCLENNEN: He knew he had the right to an
22 attorney, and in this case he waived it. We therefore ask this
23 Court to affirm the judgment of the Arizona court.

24 MR. CHIEF JUSTICE BURGER: Do you have anything fur-
25 ther, Mr. Meehan?

1 ORAL ARGUMENT OF MICHAEL J. MEEHAN, ESQ.,

2 ON BEHALF OF THE PETITIONER -- REBUTTAL

3 MR. MEEHAN: One or two items, if I may. The section
4 of the record that you're inquiring about which I think most
5 clearly expresses Edwards's statement in asking for a lawyer
6 is on page 151. Now, that may be moot because the State --

7 QUESTION: Of the Appendix?

8 MR. MEEHAN: Of the Appendix. That may be moot be-
9 cause the State has agreed with me that on the 19th, as the
10 trial court found and as the Arizona Supreme Court found,
11 he had asked for a lawyer.

12 The Arizona Supreme Court didn't speak to the com-
13 mencement of formal prosecution because of the concession,
14 obviously. I would point out that the information there --

15 QUESTION: They didn't talk about the Sixth and
16 Fourteenth Amendments?

17 MR. MEEHAN: They did not, Your Honor, although --

18 QUESTION: Only the right against compulsory self-
19 incrimination, which would take the ground of the Miranda
20 case --

21 MR. MEEHAN: That's correct. But that is not to say
22 that it was not carefully and thoroughly briefed by both sides
23 under the Massiah line of cases.

24 QUESTION: Well, it clearly wasn't carefully and
25 thoroughly considered by the Supreme Court of Arizona.

1 MR. MEEHAN: That's true, but the question --

2 QUESTION: Or if it was, it was done so in silence.

3 MR. MEEHAN: I would agree with that.

4 QUESTION: Or it may have decided that it wasn't
5 relevant.

6 QUESTION: Well, with a concession by the State
7 in the Supreme Court of Arizona that he had been formally
8 charged with an offense, I would think that --

9 QUESTION: If the Arizona Supreme court was con-
10 scious of the law that your friend has just mentioned that the
11 complaint does not formally commence the proceeding, then the
12 Arizona Supreme Court may have concluded that that was the law
13 without discussing it.

14 MR. MEEHAN: I would acknowledge that that is con-
15 ceivable but I think that counsel does not accurately state
16 the law because the filing of the information follows, for
17 example, the preliminary hearing at which counsel as well as
18 the client has to waive.

19 QUESTION: What date was that?

20 MR. MEEHAN: The information, Your Honor, was not
21 filed in this case. There was a supervening indictment --
22 superseding indictment.

23 QUESTION: But what date?

24 MR. MEEHAN: On the 27th or so of January. It was --

25 QUESTION: I thought he was reading from either a

1 statute or the Constitution that the criminal proceeding is,
2 must be, commenced by either the information or an indictment.

3 MR. MEEHAN: If the Court please, the constitutional
4 provision does not say it must be commenced that way. It
5 says that --

6 QUESTION: Well, what was he reading from, do you
7 recall?

8 MR. MEEHAN: Article II, Section 30, of the Arizona
9 Constitution.

10 QUESTION: What does that tell us? I see you have
11 it.

12 MR. MEEHAN: He cannot be prosecuted otherwise than
13 by. Now, the Arizona rules say, for example, that at the first
14 appearance that Edwards made on the afternoon of the day he
15 was interrogated that one of the things the Court can do is
16 to amend the formal charges if necessary; the formal charges.
17 And the information and the indictment both come at a time
18 after, by various rules, the Court of Arizona in its adopting the
19 rules has recognized that the right to counsel applies.

20 QUESTION: Mr. Meehan, do you contend that the two-
21 sentence opinion of the Court in McLeod extended the Massiah
22 rule?

23 MR. MEEHAN: As I understand it, Mr. Justice Rehn-
24 quist, it originally had been vacated and remanded because of
25 Massiah and the Supreme Court of Ohio in its opinion said,

1 Massiah does not apply because here the right to counsel had
2 attached but counsel had not actually been either retained or
3 afforded. And this Court again sent the case back.

4 QUESTION: It just reversed, didn't it?

5 MR. MEEHAN: It reversed.

6 QUESTION: That's correct.

7 QUESTION: And citing Massiah.

8 QUESTION: Correct.

9 MR. MEEHAN: Yes. Obviously, it's not a plenary
10 opinion.

11 QUESTION: Like that famous Hicks case.

12 MR. CHIEF JUSTICE BURGER: Thank you, gentlemen.
13 The case is submitted.

14 (whereupon, at 11:02 o'clock a.m., the case in the
15 above-entitled matter was submitted.)
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CERTIFICATE

North American Reporting hereby certifies that the
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No. 79-5269

Robert Edwards

v.

State of Arizona

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