Supreme Court of the United States

OCTOBER TERM, 1970

Supreme Court, U.

In the Matter of:

Docket No. 79

STELLA CONNELL,

Appellant,

VB.

JAMES M. HIGGINBOTHAM, ET AL.,

Appelle.

SUPREME COURT, U.S. MARSHAL'S OFFICE

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TABLE OF CONTENTS

441-032

1	ARGUMENT OF:	PAGE
2	Sanford Jay Rosen, Esq., on behalf of the Appellan	t 2
3	Stephen Marc Slepin, Esq., on behlaf of the	22
4	Appellees.	23
5		
6		
7		
8	%%%%%	
9		
10		
dem dem		
12		
13		
14		
15		
16		
7		
18		
19		
20		
29		
22		
23		

IN THE SUPREME COURT OF THE UNITED STATES

OCTOBER TERM

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STELLA CONNELL,

Appellant,

6 Vs

No. 79

JAMES M. HIGGINBOTHAM, ET AL.,

Appellee.

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The above-entitled matterccame on for argument at

1:45 o'clock p.m. on Thursday, November 19, 1970.

BEFORE:

WARREN E. BURGER, Chief Justice
HUGO L. BLACK, Associate Justice
WILLIAM O. DOUGLAS, Associate Justice
JOHN M. HARLAN, Associate Justice
WILLIAM J. BRENNAN, JR., Associate Justice
POTTER STEWART, Associate Justice
BYRON R. WHITE, Associate Justice
THURGOOD MARSHALL, Associate Justice
HARRY A. BLACKMUN, Associate Justice

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PROCEEDINGS

MR. CHIEF JUSTICE BURGER: We will hear arguments in Number 79, Connell against Higginbotham.

If Counsel in Number 88, either Mr. Rowntree or Mr. Williams are present, I hope you have been made aware that we will not reach your case today.

Mr. Rosen, you may proceed whenever you are ready.

ORAL ARGUMENT BY SANFORD JAY ROSEN, ESQ.

ON BEHALF OF THE APPELLANT

MR. ROSEN: Thank you, Mr. Chief Justice.

Mr. Chief Justice, and may it please the Court:

I am representing a woman who was ousted from her job as a school teacher in Orange County, Plorida because she refused to take a loyalty oath.

Incredibly, the oath that was first tendered to the Appellant was a duplicate, word-for-word, of the oath that was voided by this Court almost ten years ago in the Cramp case.

Indeed, both this case and the Cramp case arose in Orange County. And individuals comprising that county's board of public instruction were defendants in both cases.

The Court will forgive counsel, he hopes, if he confesses to a sense of des je vous. The feeling of having been here before is enhanced by the fact that just three years ago counsel stood at the Bar of the Court to plead the Whitehill case on behalf of another teacher who chafed at a

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loyalty oath.

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From Cramp through Whitehill, and James versus
Gilmore, on seven occasions in the last decade, I relatively
now advance that this Court has invalidated all state negative
loyalty oaths for public employees to come before it.

Three affirmative or positive oaths have beenleft standing. Now we have come full circle, for we are back again to consider the oath in law that was reviewed by the Court in Cramp, the first case in this line.

As enacted the Florida Loyalty Statute contains five operative phrases: test oaths, we submit, each separately or all taken together.

In Cramp this Court declared one of these phrases at least, invalid. In the present case the Court below struck down two more. Over Judge Simpson's dissent, however, it declared and then validated the remaining two phrases. On the surface one is negative and the other is affirmative.

Now, all teachers and all other employees or officials of the State of Florida must now swear an oath in the following form:

"That I will support the Constitution of the United States and of the State of Florida and that I do not believe in the overthrow of the Government of the United States or of the State of Florida by force or violence."

Appellant respectfully submits --

Q Would you have objected if it stopped after the first section?

A Your Honor, we would object if the Florida oath were to stop after the first section.

Q Well, we handed down a very recent decision of this Court in order to sustain your objection.

A Justice Stewart, Appellant requests that you consider the recent decisions of this Court which were not had after a full argument and briefing, but only on summary affirmance of decisions below.

Q Well, it was an affirmance on the merits.

A Affirmance on the merits in three cases in the last three years: Knight, Olson and Hosack.

Q Bo you think it's unconstitutional for this

Court to require, as we did this morning, seven or eight men to

take an oath that they will support and defend the constitution?

A Your Honor, I'm not here to press the question of whether an attorney may be compelled to take an eath to support the Constitution of the United States. I understand that that question may be before the Court right now in three other cases. However, I think the case of an attorney may be distinguishable, may be different, really different from the case of a school teacher, a garbage collector, a subway conductor, well, any number of potential public employees of the State of Florida, or for that matter, any other state.

We are submitting that an indiscriminate test oath of all public employees is a test oath, an unconstitutional oath for various reasons. We could also suggest grounds upon which this particular test oath could be voided without the Court's reaching that question. However, we would respectfully submit that it would be appropriate in this case for that question to be reached.

But, taking the case of the attorneys, Mr. Chief
Justice, one could suggest that an attorney coming to the Bar,
to operate within a system of law under a constitution, might
well, at very least, as a symbolic act of fidelity, take an
oath to support the constitution. Whether or not such an
attorney would ever be prosecuted for perjury, of course, is
questionable in my mind. But, if cause came on subsequent
occasions to think that the oath were taken falsely, we're
not quite even certain what that would mean, of course, but we
do believe that the case of the attorney would be somewhat
different from that of just an ordinary public employee.

In fact, Your Honor, we make five arguments as to the oaths in this case, none of which do turn on a particular phrase at issue or whether it is cast in negative or affirmative terms. We propose in this argument to take the high ground and hope to persuade the Court the time has come at least to address the underlying question of whether indiscriminate test oaths, regardless of how they may be cast, invariably offend

the constitution.

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Now, we would make five points in this case. Two of them are just the indiscriminate test oaths or all indiscriminate test oaths.

The first is that such test oaths violate the First Amendments to the United States Constitution. The second is: by operating automatically in an area abutting essential First Amendment rights, all such test oaths violate the Due Process Clause of the 14th Amendment in this case, or if it's a Federal oath, the Fifth Amendment, for lack of a hearing.

The other three arguments we would make pertain more specifically to the oaths in this case --

- Q I'm not sure I follow you on --
- A On the hearing, Your Honor?
- Q Or lack of the hearing. Hearing on what?
 And at what stage?

A All right. The hearing might be on two points. It's our submission that all test oaths have within them an element of vagueness and overbreadth. None of them is quite clear. A layman taking the oath, if he takes oaths seriously, might well wonder what the oath means. There is no provision in the Florida law nor in most laws, for any clarification of the oath.

The case before the Court is a particularly interesting one in this regard because, as the briefs demonstrate,

and the record demonstrates, this Court had, in fact, struck down one provision in the oath that was first submitted to our client, the Appellant. Now, it just so happened the Appellant went to an attorney and was informed that the oath that she was given was infirm. And then the public — the school board attempted to cure that oath and we got into a litigation posture.

In the absence of a hearing opportunity, that clarification in this case would never have occurred or might never have occurred. In addition, if the oath is at all vague, a clarification possibility would never occur if the person has conscientious reasons to believe that the oath is unclear.

Secondly, a large number of people have conscientious objections to the taking of oaths. I'm not merely talking about whether they can swear or affirm, but they conscientiously scruple against taking oaths. Members of the Society of Friends, for example, might well not wish to take any oath. Members of the Seventh Day Adventist sect might well not wish to take any oath as this Court recognized in Torcaso, I think.

So, the indiscriminate across-the-board, sutomatically operating test oath as in this case, provides no opportunity for a person who may have a good constitutional exception to the oath -- at least in her case or in his case -- to assert that exception. Or, it provides absolutely no opportunity for that person to explain his or her reasons for not

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taking the oath and to provide if that's necessary, the kind of a record to demonstrate whether, in fact, they are a risk to the government in an employment capacity.

Now, as I suggested, we will make three other arguments and have made them in our brief, addressed to the mother at issue in this particular case. The first argument will be that is illuminated by the Plorida Supreme Court on remand in the Cramp case, each of the phrases, each of the oaths in this case is unconstitutionally broad in derogation of the First Amendment. If not unconstitutionally broad, our second argument would be that each again as illuminated or perhaps obfuscated is the proper word by the Florida Supreme Court, is unduly vague, in violation of the 14th and First Amendments.

And finally, under Florida tests of severability, given the gloss that's put upon the oath by the Florida Supreme Court in Cramp on remand, any surviving p ovisions of the statute must now fall.

Now, if Your Honors will, I'll take up each of the points in order. My first point is that test oaths generally are unconstitutional.

Q May I ask if you filed a brief that the others filed on your position on the jurisdictional statement?

A Yes, Your Honor; we did. It was filed -- if Your Honor desires a copy I do have an extra one here.

As I was stating: test oaths a fortiori invade First

Amendment provinces. Even a simple oath to support the constitution is encroaching upon a person's right to believe or not
to believe in the constitution.

Q Do you think that the First Amendment amended Article VI, which requires all state judicial officers, legislators and executive officers to support the constitution of the United States?

A Mr. Justice Marshall, that's an intriguing question; one that had not occurred to me before and I suggest that the answer would be --

- Q I would suggest that your brush is too broad.
- A I agree with you and if --
- Q You are saying the statute is too broad: I think your argument's a little broad.

argument by suggesting what I meant by an indiscriminate test oath. I do not think the First Amendment has amended Article VI. And certainly this Court, in Bond versus Floyd recognized that the state might well require of a state legislator an oath that it could not require of a private citizen.

Now, it's important that Chief Justice Warren, in speaking to the oath in Bond versus Floyd, used almost precisely those words: "It may require an oath of a legislator that it could not require of a private citizen." Our submission is

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Can you make it in one and not the other?

No; it might unconstitutionally invade the First Amendment rights of one rather than the other, even a simple oath to affirm --

Do you abandon your vagueness argument?

We do not abandon our vagueness argument as to this oath. If the Court chooses to --

But you might say it would be vaque to a legislator?

Oh, I think this oath would be vague to anyone who took it; yes.

To support the constitution?

WELL, this oath is illuminated by a gloss provided by the Supreme Court of Florida which is controlling on the meaning of the oath now. In Cramp on remand the Supreme Court of Florida said: "The obvious legislative purpose in enacting the subject statute was to prevent the election or employment of public officials and employees who are knowingly disloyal to the Government of the United States, or to the State of Florida and subscribe to the doctrine of accomplishing a change in government by employment of force or violence."

We suggest that two words in that gloss are unclear; unconstitutionally unclear. We do not know what disloyal means, and we do not know what subscribe means as used by the highest

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court of the State of Florida. I took the occasion to look up the word "subscribe" today and I noted that the first three meanings have to do with signing a written document to undertake it. I'm not sure that that's what the highest court of Florida meant when it used the word "subscribe." I'm not sure how far they meant to go when they used the word "subscribe," and further, the word "disloyal" or "disloyalty," does confound me. That's as to this oath.

Now, if that gloss were not present; if the Florida Law merely said that all persons accepting office under the laws of Florida or of any political subdivision, must take an eath to support and uphold or to -- well, "support and uphold" will do, the constitutions of the United States and of the State of Florida.

Then our position, Mr. Justice Marshall, is that oath would be unconstitutional as to mere public employees not in positions of sensitivity.

Q Why?

A If I may suggest first that it would be constitutional as to legislators. Why? Because --

Q Well, what about executive officers?

A It would be constitutional as to executive officers in a sensitive post, as well.

Q Because of Article VI.

A Because of Article VI and even in the absence

gue. of Article VI it might still stand. What about policemen? 2 Policemen provide a tougher case. Policemen 3 would be closer to lawyers and indeed, the oath if the oath 1 would be supportable for lawyers, I imagine it might be for 200 policemen. 6 How about civics teachers? Teg A I think not. I think not, and possibly not 8 even for policemen, the point being that the main justification 9 for the oath, aside from Article VI --10 Suppose this petitioner in this case, which 98 you say didn't necessarily understand the oath, right? 12 Yes. A 13 Became a legislatorr. You couldn't give an 14 oath; could you? 15 I was 16 Or could you? 17 A Do you mean the precise oath that wasgiven to 18 her, the particular oath? 19 Yes. 0 20 Well, no; if she remained true to her cause 23 she could not become a legislator, but if the oath were a simple 22 one --23 Well, could she get any relief in this Court? 28 If she became a legislator? 25

0 No. 7 Under this law? A 2 No. If she said that "I don't have to take 3 the oath." 13 This particular cath? A 5 0 The oath to support the Constitution of the 6 United States. 7 You mean if that were all there was? A 8 Yes. 0 9 No; she could not get relief from this Court. 10 Well, what's the difference between her as a 99 legislator and her as teacher; the same her? 12 What is the difference? 13 As to the understanding of the oath part. 94 Well, on that point in vagueness we're not 15 pressing that particular point to vagueness. The point of 16 vagueness we press is the Supreme Court of Florida's gloss on 17 this particular affirmative oath; when you're dealing with the 18 affirmative oath. 10 But, as to her, we would still su jest that the 20 affirmative oath, put to a school teacher or a sanitation 21 employee or someone in that category, is an undue invasion of 22 their First Amendment rights. There is no good reason why the 23 government should require -- well, to start with: sanitation 20 workers to take an oath to uphold the Constitution of the 25

75 United States. We can see csome reason why the government 2 might require a civics teacher, Mr. Chief Justice, to stand 3 up and say that she will support the Constitution of the United 23 States. Movement we do not believe spit 5 However, we do not believe the government can meet the burden of subordinating interest and substantial interest 6 7 has been set recently by this Court in Shapiro versus Thompson, which must be met before you can invade First Amendment rights. 8 I didn't quite understand how you distin-9 quished public school teachers from lawyers, permission to 10 99 practice? A Well, there are two distinctions, I would 12 think. 13 Well, you do concede that may e the lawyers 14 0 may be required to take such an oath; do you not? 15 Well, I concede that I have more trouble with 16 the case of lawyers an oath --17 We happen to have a rule of this Court that 18 we abide by. .19 Certainly, and in the rules of most courts. 20 Every morning they take a certain oath. 21 Yes, and if anyone declined to take it he 22 would not be admitted. 23 That's right. Now, it's interesting that the 24 rule of this Courtuuntil recently, was a rule that had to be 25

Q Does it make any difference between doing that and signing it in writing?

I think the difference consisted of two parts -- well, one major part. It seems to me the oath to affirm the Constitution is basically a symbolic oath; it's a public gesture of fidelity to the constitution, to the basic system of government and of law. And it's a useful symbol and tradition to have the President of the United States, for example, on the occasion of his inauguration, or the Justices of the Supreme Court or other high government officers, take an oath of fidelity to the constitution to the basic document. I think it's very useful.

I don't know --

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- Q Do you think it's just a symbol?
- A I beg your pardon?
- Q Do you think it's just a symbol, ceremonial symbol?

A I assume that each man who takes the oath for high government office takes it conscientiously and believes he understands what the oath means and he intends to uphold and support the constitution of the United States, but in terms of the basic value to the society, I think it is basically

symbolic. I could hardly imagine that it would be enforced through a perjury prosecution, for example. And I do not see the symbolism of having a sanitationworker or even a teacher, sign a piece of paper saying that she will or he will, as the cost of the privilege of picking up our garbage or teaching our children, uphold —

Q Why do you put those two together all the time?

A Well, I don't mean to in any pejorative fashion. I -- of course, I choose the sanitation worker as what strikes me to be the most ludicrous example of the lack of relationship between a function for such an oath and the oath.

Q But the case here before us is a school teacher; we don't have to worry too much about the garbage collector; do we?

worry about the affirmative oath question, but of course, in Cole versus Richardson, which the Court remanded last year, the question has been refiled, or Cole versus Richardson has been refiled before the Court, and the question is being pressed upon the Court on innumerable occasions. In this case we're dealing with a school teacher. In Cole, as I recall, we were not dealing with a school teacher; the woman was a laboratory technician, which, if the area of education is one which seems to give rise to a reason for sensitivity as a symboliam of the

oath, well, certainly a lab technician in a bio-medial facility may move away from the school teacher area toward the sanitation worker area.

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But if you move into the school teacher area, where surely the reasons for giving the path or for requiring the oath may seem greater, you also find, Your Honors, that the reasons for not requiring the oath are greater. Innumerable decisions of this Court have recognized the centrality of academic freedom to the First Amendment, and indeed, to all essential democratic rights in our society.

Q Do you think that school teachers are entitled to more freedom in that respect than Supreme Court Justices or lawyers who practice before the Court?

teacher and a lawyer and I think that to have to take the oath as a school teacher would bother me a great deal more than to have to take the oath as a lawyer. I have taken the oath as a lawyer, of course, but yes; school teachers, according to the decisions of this Court, Mr. Chief Justice, are entitled to what appears, at least on my reading of the recent decisions, to be a higher protection of the First Amendment than most other folk in our society, because of the essentiality of academic freedom; because it's a very, very tenuous and subtle and, well, very fragile nature of the academic setting.

Our second point --

Q Mr. Rosen, can I ask you: did the record disclose the grade at which your client taught?

No. of

A She was either in the first or the third grade, Mr. Justice Blackmun.

Q Are you drawing a distinction between teaching at the elementary levels as compared with teaching in the law school, for instance? So far as the oath is concerned?

A No, we do not. I concede that such distinctions can be made, but we do not believe they make a difference. The abasic function of the oath is served in neither occasion to the extent that the state interest may be in avoiding indoctrination of either third graders or law school students in subversive concepts or subversive ideas, whatever they may be.

I would submit that the state has other means by which it can accomplish that end without requiring teachers to go to ignoble, in some cases, ends in having to subscribe to a test oath.

base unconstitutionality of the indiscriminate test oaths, the oaths given to all people who somehow come within the state's power, either by way of employee or one who requests a benefit, is that because of the automatic nature of the disability, the First Amendment rights are even more greatly invaded or the danger to the First Amendment rights is even greater. Therefore, at the very least, if such oaths or such inquiry can be made, there must be provision for a hearing as there was,

indeed, in the New York cases, both in Adler and in Keyishian, some provision for a hearing mt least whereby the oath or the inquiry can be clarified and the applicant, or the one who is being asked to subscribe to the oath, may, in fact, state her reservations.

O Yes.

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A The specific objection to the oath and the statute in this case, the oath of Florida and the statute of Florida, really illuminate, we feel, the defects of oral test oaths.

In the first instance under the gloss provided by the Florida Supreme Court, each of the oaths, in fact, unduly invades First Amendment interests.

Q Would you say your concept of academic freedom would go so far or maybe not so far as to say that the school or university could not hire a professor who was advising students to use violence to achieve their goals in the university?

A No, I would not say that, Mr. Justice White, but that is not the same thing as requiring all professors to take loyalty oaths.

Q Yes. We'll get closer.

"Do you intend to advise students to use violence to achieve their ends in the university?"

di di Are they going to ask all teachers that? 2 0 Yes. That's the functional equivalent of the loyalty 3 oath, at that point. I think it offends both the privilege A against self-incrimination and the First Amendment, since it's 5 quite vague at that point, aking him about future intent and 6 things like that. 7 So you would say a fortiori you couldn't ask 8 him: "Do you believe in the use of violence to achieve social 9 ends?" 10 A fortiori you could not ask him that, because 11 that is just a belief and innumerable decisions of this Court 12 have recognized that you may not invade the protected province 13 200 14 I mean that could keep him out of his job for 15 that and I just wondered if you had asked him that. 16 Well, you can always ask, Mr. Justice White. 17 Well, I know, but you are saying that if he 18 refused to answer they couldn't fire him? 19 That's right. 20 And you would say it's bad evenif the state . 0 21 conceded that if he answered "yes," they couldn't fire him 22 without answering some other questions? 23 Well, you are trying to make the teacher's 24 situation look much closer to the bar situation, I take it. 25

Well, I don't know whether it does or not. 8 Which way do you limit it? 2 Well, because of the fragility of academic 3 freedom I would think that you would have to put a greater A protection around the teacher than around the lawyer, although 53 the lawyer is entitled to a great deal of protection. 6 Could you ask him if he has ever been con-7 victed of a crime, a felony? 8 I believe you could. 0 Could you ask him as to whether he had ever 10 been discharged from the teaching position? 91 Yes. A 12 Could you ask him why? 13 A Yes. 10 Q Well, how can you do that and not ask him the 15 other questions? 16 Because when you are asking him whether he has 17 ever been convicted of a felony, in most instances you are 18 dealing not with First Amendment activities. If he has, in 19 fact, been convicted of a felony, he is a felon. That has 20 no bearing on the First Amendment. 21 Well, "have you ever been convicted of 22 burning your draft card?" 23 I'd have more trouble with that, then. 28 I should hope so. I just have great 25

difficulty equating academic freedom with the First Amendment; they are a little different.

A If anything, academic freedom may be a bit bigger than the First Amendment for the rest of us.

Q Except it hasn't been adopted yet.

A Well, except that the gloss put upon the First Amendment by this Court in Whitehill and Keyishian and other decisions has recognized the terribly critical First Amendment part of academic freedom. My reading of those decisions was that academic freedom was entitled to the highest priority protection under the First Amendment.

Well, I see that my time is elapsing and perhaps I -- we will stand on our brief for the points in terms of the actual unconstitutionality of these provisions.

But, I suggest in closing, as I did desire to quote something from Professor Emerson's recent book on the system of the freedom of expression, which very succinctly demonstrates, at least as words can, the unduly vague, broad and due process violating character of all indiscriminate loyalty oaths. But, since I can't find that particular piece of paper --

MR. CHIEF JUSTICE BURGER: If you will give us the citation we will check out Professor Emerson's --

MR. ROSEN: Page 207 and 208.

In closing, Your Honors, Appellant respectfully requests this Court to declare the Florida loyalty oath and

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statute, all of it, unconstitutional and to use the occasion to pass upon the indiscriminate test oath as such.

Thank you, Your Honors.

MR. CHIEF JUSTICE BURGER: Thank you, Mr. Rosen.

Mr. Slepin. Do I pronounce your name correctly?

MR. SLEPIN: Yes, sir. Thank you, sir.

ORAL ARGUMENT BY STEPHEN MARC SLEPIN, ESQ.

ON BEHALF OF THE APPELLEES

MR. SLEPIN: Mr. Chief Justice and may it please the Court: In employing a perspective slightly different from the perspective in which the Appellees' brief was cast, without abandoning any of the arguments in that brief, in the next few moments I'd like to take account of Appellant's argument within the somewhat prosaic context of a three-fold argument, if I may.

vance for the Court this afternoon are, briefly stated, these: first we think that the Court below was correct in assuming and we think that it was an operative assumption that oaths, per se, and we're not concerned for the moment with the contents of the oath, are constitutionally legitimate, running as they do, we think and as the court pointed out, through the very pith and marrow of the American experience.

Secondly, we think the court wascorrect in advancing that assumption to a position that governmental employment as such, may be conditioned upon an oath under certain circumstances

and we will deal again with the content of the oath in just a moment.

Secondly, we are going to take the position that the Court was quite correct in upholding, after certain judicial excisions, the instant oath that is now before this Court and in the context of that I'd like to deal with the due process arguments advanced by the Appellant.

And finally, I wouldlike to advance the argument that the court below is correct with respect to the doctrine of severability in holding, in effect, that the doctrine is alive and is well and in the case of Jackson and as well in the Cramp case, is alive, well and resident within these very chambers.

First of all, Your Honors, we're going to be somewhat less categorical than the Appellant; we want to speak to this case before the bar at this point and we will paint with the brush less broad than a comet's tail.

We want to take the position, Your Honors, as this
Court knows, that Article II, Section 7 of the Constitution
itself prescribes an oath. And later I want to point out that
the oath which it does prescribe for the President of the United
States, is far broader, far vaguer, if you will, far less
specific than the oath with which we are confronted in the
instant case.

Article VI, Clause II of the Constitution requires

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that all executive, judicial and legislative officers of the United States and the several states must subscribe to an oath.

And as we noted in our brief, the first Congress in 1789 precisely prescribed an oath.

This morning I stood before this Court and very proudly subscribed to an oath promulgated by this Court and imposed upon me by this Court pursuant to Rule 5, an oath which I want to point out to the Court, was a tri-partite oath, was far more expansive, if you will; far less specific than the oath attendant to the cause at bar at the present time.

In the of Bond v. Floyd, this Court held very categorically, it seems to me, if somewhat offhandedly, and I quote:

"A legislator, of course can be required to seear to support the Constitution of the United States as a condition of holding office. We do not quarrel with the State's contention that the oath provisions of the United States and Georgia Constitutions do not violate the First Amendment."

And then finally, at page 247 of the Lawyer's e Edition, Bond v. Floyd:

"Of course a state may constitutionally require an oath to support the constitution from its legislators."

And then, as Mr. Rosen pointed out, in the Hosack case and the Knight case and the Ohlson case, affirmed by this Court, the so-called affirmative mode of the loyalty oath was

exacted; it was sustained and this Court affirmed it.

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In Gilmore versus James, it was a case that had to do precisely with organizational membership and that particular oath or affidavit was struck down. Nevertheless, the Court pointed out that the affirmative mode of an oath as such, was hardly repugnant to the constitution because the constitution requires one in one place and prescribes it in another place.

And then lastly, in the case of Stewart versus
Washington, which again is not on point because it dealt with
subscription to Title V of the U. S. Code which was a far more
involved case than the one at bar. Nevertheless, in dicta,
Stewart versus Washington, the Court pointed out that the oath
in the affirmative mode was part and parcel of our constitution and our constitutional experience.

I suggest to the Court that the oath per se, is constitutionally legitimate on at least two grounds: number one, the Constitution of the United States in two articles admits of an oath and prescribes an oath.

And then secondly, this Court has held, and I would cite the Court as well to ex parts Curtis, that governmental employment may be conditioned and may be conditioned in such a way that the employee may claim an infringement of his First Amendment rights but the Court itself will then determine, not whether there has been an infringement, because in the Mitchell case

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upholding the Hatch Act, the Court admitted an infringement of several provisions of the constitution and rights derivative therefrom. But it will decide rather, the permissibility or impermissibility of the extent of this alleged infringement.

I am a little curious about the facts in this case ---

> Yes, sir. A

The petition was the one that had been very 0 explicitly invalidated by this Court more than ten years ago more than almost ten years before she was asked to take this oath.

That's correct.

Is it the practice for your clients, nonetheless, to submit that oath and to have applicants sign it if they are willing to do so, even though this Court has held it's constitutionally invalid?

It was unquestionably done in this case. The error is unblinkable, Your Honor. I have no knowledge that it has been done elsewhere. It seems to me that it's a case of error, omission, oversight or delinquency and the case of Adams, which, as you know, is to be before this Court and various portions of that record are included, was a case, incidentally, where some of the members of the University of Florida staff were employed for some substantial period of time before they were ever presented with an oath, which is

very much like Knight versus the Board of Regents. It pointed 9 out that the oath was adopted in 1934 and I think the Knight 2 case was brought in '67, several years after some members of 3 that faculty at Adelphi had been employed and now presented 1 with the oath. It was a delinquency in this case, Your Honor. 5 And the Court moved very quickly to remedy that particular delinquency and to point out as did the Board of Public Educa-7 tion in Orange County, after it was notified by counsel for 8 Miss Connell, that that portion of the oath which this Court had 0 dealt with in the Cramp case, had no proper place being sub-10 mitted to Miss Connell, and she was under no legal obligation 11 to subscribe to it. 12 They hadn't, although they were parties in the 13 Cramp case, they hadn't received the word of our decision? 14 Your Honor, the ways of government, executive, 15 legislative --16 17

Q These were the very parties in the case; weren't they?

A They were the parties to the case, Your Honor, although this was not the same school board and not the same superintendent, as far as I know.

Q Overlapping parties.

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A But, there is no question that that was an error and a delinquency on their part, Your Honor.

Q Well, you don't know whether or not, if the

-- it was, at least the practice, their practice to submit this form generally, or whether this was just an individual idiocyncracy?

A No, sir. If that was the point of the question I suspect, without any knowledge, and I are no knowledge that this was adduced in the testimony below, that the impermissible form, with the excised phrase was generally distributed; yes, sir.

And in fact, in the Adams case, the Court will recall from those portions of the record which are included in
Appellant's brief, there was remedial action taken by the
administration, admittedly, ten years after the fact, to withdraw the impermissibly enlarged oath and to submit a revised
oath with the excised provision deleted. Yes, sir.

before this Court is complicated, somewhat, Your Honors, by
virtue of inability to follow this Ariadne thread of the
Appellant. The Appellant seems totake the position that
vagueness is applicable when a person is a school teacher but
vagueness as a constitutional challenge somehow is not applicable when one is a legislator, to take the position that some
of the arguments apply to sanitarians but others don't seem to
teachers, and I confess, though this is an admission which perhaps I'm not compelled to make, but I'm not quite following that
particular argument. I am following the argument of this Court's

statement in Bond, in the three cases which it affirmed and with respect to the oath I took and with respect to the two prescribed oaths that government employment may be conditioned upon the exaction of an oath.

And I might point out to the Court right now that

I am persuaded that the State of Florida and any other state

could exact from a doctor who becomes an employee of the state

and who takes the position of an employee in one of the state

hospitals, an oath making him disclaim, if you will, that he is

opposed to antiseptics; make him disclaim that he is an advocate

of euthanasia and it's not beyond the realm of either reason or

experience that a man who favors euthanasia or a man who is

opposed to Dr. Lister's discovery, who does not believe in

antiseptic procedures, founds it upon either religious commit
ments or if you will, a political commitment.

Morris Cohn once said, "Not all who rave are divinely inspired," and it may be that a man is misdirected, but nevertheless feels he has a First Amendment right to believe in euthanasia. Yet I think that an oath per se, addressed to this individual is most evidently reasonable and ought not to offend constitutional rights.

- Q What about belief -- I take it there is a belief clause that survived below --
 - A There are, Your Honor, and --
 - Q What is your position about that?

A Our position is that there are two belief clauses and I think that's precisely what the Appellant said. The Appellant said that the affirmative mode of the oath which reads as follows:

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"I do hereby solemnly swear that I will support the constitution," is in point of fact, "he says, "a belief oath and it's really a negative oath masquerading as an affirmative oath."

Q What about the other requirement?

A The other requirement is: I do not believe in the overthrow of the Government of the United States or the State of Florida by force and violence and we support that and I should like to cite to the Court at this point the opinion of the lower court in Ohlson versus Phillips, affirmed by this Court at 397 U.S. 317.

The Ohlson oath was an oath, Your Honor, to uphold the Constitution of the United States and the Constitution of the State of Colorado. In explanation of that oath the Ohlson case went on to say, and I quote:

"The present oath is an affirmation of belief in organic law and disbelief in the use of force to overthrow the government" and I think that's quite --

- Q Don't we affirm judgments, not opinions?
- A You do, indeed, Your Honor and I am urging upon the Court the reason of the Ohlson Court, not the strict

words which I certainly would not claim that this Court adopted per se.

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oath means anything, to uphold the constitution, it seems to me could quite reasonably -- and I think it is preeminently reasonable --mean that one avows a belief in organic law and the infinite mutability of the constitution through organic process and devise simultaneously a disbelief in the utilization of force and violence to overthrow the government.

Now, the point that we wish to make in this regard is simply this: no hearing procedure is prescribed or allowed by the constitution with respect to the President of the United States, nor with respect to myself and my four colleagues who stood before the bar this morning; nor is it allowed so far as I know, to members of the executive, legislative and judicial departments of the Federal Government of the several states.

- Q Well, in those cases they had the protection of the Sixth Amendment and you don't have that protection.
- A Excuse me, sir; you mean it's authorized by the Sixth Amendment?
- Q It doesn't authorize you to require this oath of a teacher. And I think that's the difference between what you're talking about now.
- A Your Honor, -- respectfully I disagree on this ground: I disagree on the simple ground and I trust it's not

too simplistic, that oaths per se are not anathamatized by the constitution. The constitution is very partition --

- Q Well, I don't know who -- at least I have never thought that. I have never thought that because Article VI says so.
 - A Precisely. And because oaths --
- Q But that doesn't mean that I have to agree that all oaths are good.
 - A Certainly not and we wouldn't urge that --
- Q Well, why don't we get to this case. Is this oath good that, what business is that of the State of Florida as to what the belief of a teacher is?
- A We think it's the first order of business, as this Court pointed out in Shelton and as it pointed out before that in Adler: the schoolroom is a very sensitive place; the minds of our students are very sensitive instruments, malleable as they should be and the state -- I beg your pardon?
 - Q How does that affect the law school students?
- A I'm not quite prepared to say anything about the minds of lawschool students --
 - Q That doesn't apply to law school professors?
- A It applies to them, indeed, Your Honor. I should hope that the minds of law school students are subject to imprint by other professors. At least it's been suggested to me --

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At any rate the state does, as this court pointed out, have a vital concern in maintaining the security of the state and the question is: what can they do in that regard?

Now, if I have to swear an oath and an oath which I would point out to the Court requires me, not merely to uphold the constitution, Your Honor, but an oath to conduct myself uprightly, whatever that means, to draw upon the vagueness argument of the Appellant, an oath to conduct myself according to law, whatever that means, to draw upon the scalpel used by the Appellant. Then it seems to me clear that it's far more specific to require in the affirmative and the negative mode these two avowals of belief and disbelief.

And that's all the State of Florida is doing. The question is: are oaths per se, anathamatized by the constitution and the answer is: no.

May the government condition employment or office upon the exaction of an oath andthe answer is: sometimes, depending upon the nature of the oath.

Is a hearing necessary if one requires an officer or employee to swear that he will uphold the constitution?

None if provided the President; none is provided me; none is provided you. We think it's not necessary with respect to an affirmative oath.

Is it vague to say that one swears to preserve, to protect and to defend the constitution as the President must,

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according to Article II. Certainly it's far vaguer than saying "I don't believe in the violent overthrow of the government."

Appellant asks this Court to invalidate this oath on the grounds that it may well be a mere prediction. One may be predicting when one says, "I do not believe in the violent overthrow," but violent overthrow may come, as opposed to promising. I should think that this was set to rest in the Dodds case, when the Court says that belief means a promise, not a prediction and if we're not content with the Dodds case, in light of subsequent cases, then I merely refer this Court to the oath prescribed by Article II which says, "I will execute," and surely the President is saying that he promises to execute; not that history will demonstrate that he did execute; the meaning of these oaths are —

Q Well, the whole problem about hearing as to the Presidential oath, I don't know of any elected President that's refused to take it.

A Your Honor, I don't think that's the test of the constitutionality of it.

Q But do you think there should be a hearing there?

A I most surely do not, sir: I see no need for a hearing and the very interesting argument, and I think the correct argument made in the Smiley and Ohlson cases, is that a

hearing has to do with the weighing and sifting and finding of evidence; it has to do with the confrontation of accusers and it has to do with cross-examination; none of which applies to the instant situation.

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Q Well, do you want to pursue your position that in the case of a school teacher it is quite all right for a state to bar a school teacher for refusing to answer the question: "Do you believe in the overthrow of the government by force and violence?"

A Yes, sir. As I pointed out, and indeed, as this Court has pointed out, the state has a very compelling concern, it seems to me, in the beliefs as a spring to action. Surely we're not so abstractionist that we want to be exceedingly careful so as to believe that human belief is irrelevant tohuman conduct.

And this Court has pointed out time and again that the state has a vital concern in education; indeed, in the Brown case versus the Board of Education, it was noted that education is the principal business today of government. This Court, as noted in Shelton and Tucker and earlier in Adler, is concerned with the very sensitive minds of its students.

"Yes, I believe in the overthrow by force and violence," or if they refuse to take the oath, saying, "I do not believe in it," that right then and there that Florida may bar the person from

teaching? It just isn't a preliminary question?

A No, sir; unlike one or two of the other cases with which this Court was concerned: principally the case of the California tax exemptions, there is no weighing and sifting or judgmental element which enters into this and that case, as the Court recalls, the assessor was mandated to pake a factual determination. The swearing of this oath or a refusal to swear the oath is dispositive of the matter under Florida Law.

Q Mr. Slepin, let me somewhere along the line, would you comment on the argument that the true subversive isn't going to be bothered by any loyalty oath in the first place? I want you to touch on that before you get done.

I may, sir. I think it's probably true that one who has engaged in espionage or one who has an insidious purpose, is not going to scruple at either lying or taking some other action which will avoid placing him in the clutches of the law or place him outside the area in which he would like to find himself to carry out his mission.

And while it seems to me quite clear, and I can certainly be fooling and deluding myself if I were to take any other position, that the Florida loyalty oath, like any oath, is not a panacea in terms of security. And that the Florida loyalty oath does not assure the school authorities that they are having present in their classrooms, competent,

dedicated loyal people; it is a measure, number one: which we don't think is constitutionally impermissible, and therefore it is a measure, as are so many measures, within the broad discretion of the legislature of the State of Florida.

And if the legislature of the state feels that this is one important symbol and a symbol which may have more or less utilitarian effect, then it seems to me, so long as it is constitutional and permissible, the legislature of the state of Florida and her sister states must be granted that leave to impose such a symbolic oath.

And indeed, we would seize upon the question put to counsel for Appellant: it is, in point of fact, a symbolic oath and we feel that it's as symbolic and as important an oath as was subscribed before this Court this morning and as the constitution itself requires.

- Q May I ask you when this law was passed?
- A 1949, I believe was the initial act, Your Honor.
- Q Was there any oath of any kind before that?
- A I'm not aware of any, Your Honor, but I certainly wouldn't be surprised if there were some kind of oath required. I'm not precisely aware of that and perhaps counsel for the Appellant can answer that as he rises.
- Q Was the first one passed, the same one that is now in effect?
 - A Yes, sir --

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O Or was it amended?

A The same one but far more abundant because it contained the provision which was excised by this Court in Cramp and then contains the other provisions which were excised by the court below and we're not arguing about those judicial excisions. So it was generally the same oath but it contained three more provisions than it now contains as it sits before this Court in this case.

Q I wonder if you know how many people have been discharged from office for failure to sign it?

A I have no knowledge, Your Honor, of any person being discharged, other than those who are the parties to the cases now before the Court.

Q How many are they?

A There are several thousand teachers in the state; there are many, many thousands of state employees.

I'm sorry, sir. Three in the Adams case and Mrs.
Connell in the instant case.

- Q Three people?
- A Four in all, I think, Your Honor.
- Q Do you think maybe it was the law before '49?
- A I'm sorry, Your Honor.
- Q Do you think that maybe there was a loyalty oath before '49?

A I should suspect that there was, but I know of

Cara	no statute prior	to '49 and my research didn't reveal one.	
2	Q	Are teachers on a year-by-year contract?	
3	Public school teachers?		
4	A	They are until the first few years of teaching	
5	Your Honor, whereupon they gain continuing contracts.		
6	Q	That was after the first two years?	
7	ΑΑ	Two or three years, I believe, Your Honor.	
8	Q	So that they would have to take this oath	
9	three times?		
10	A	I strongly suspect that they would, Your Honor	
d d	yes, but I'm not	at all we	
12	Q	Suppose a teacher said, "As of this moment	
13	I am not for advocating the violent overthrow of the governmen		
14	but I can't guarantee what my research and study might lead me		
15	to believe." Would that be okay?		
16	A	No; I think not, Your Honor.	
17	Q	They have just got to take it that way or	
18	else?		
19	A	I think so, Your Honor.	
20	Q	Just the way you do in this courtroom in the	
21	morning.		
22	A	Precisely, and I think it stands on the same	
23	feet as the oath	that is sworn before this Court or the oath	
24	SWOIN		
25	Ω	I don't know about you, but I didn't take an	

oath that I wouldn't overthrow the government, did I?

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A Your Honor, I -- it is not given to me to exercise judicial interpretations, but I suspect that the oath which you did take has some very real meaning to it and I think it involves a very real commitment and belief as this Court stated in the Dallascase: the belief means a promise.

Q I agree with you and I don't agree with the other side that it's just symbolic. I most certainly don't agree with that, but my worry is that how a teacher, for example, of mathematics has to take an oath would not agree with about the overthrow of the government.

A Well, Your Honor --

Q My whole point is what I mentioned before: there is no leeway in these joints here.

applies to all state employees of every source and variety an including the sanitarian who I hold to have an extremely important place in society and anyone who has roamed through New York during the garbage strike is acutely and sensitively aware of that and I should think that the state even had a compelling interest in maintaining whatever symbolic protections it can with respect to the sanitarians who are, in my scale of values, is not the lowly creature who is below an oath; he's not below an oath and more than a teacher is above an oath, because again, the oath itself is not an anathema, and secondly,

because this particular oath is well within what we think the Court has decided is permissible.

MR. CHIEF JUSTICE BURGER: Justice Blackmun had a question, I believe.

A Yes, sir.

Q Well, perhaps it's been answered. I was merely interested in knowing whether Florida has tenure for teachers; for instance: Arkansas does not?

public school personnel, it's what's called a continuing contract, meaning that after they have taught for approximately three years, they then go on a continuing contract and they only may be dismissed for cause and there are procedures outlined for that. So, it's called a continuing contract in the elementary and secondary schools and tenure in the higher education area.

Let me just fleetingly refer to separability. This Court stated that the rule in Jackson. It was stated by the Supreme Court of Florida in Cramp and it's quite clear that we have an affirmative and negative mode requiring an avowal of belief and an avowal of disbelief; fundamentally and probably the same avowals, if you will, and I don't think the constitution and I think the cases don't think that the constitution anathamatizes a grammatically negative oath, irrespective of what the meaning of it is.

And I should think that this Court would not commit itself to the proposition that because an oath happens to be framed in the negative, then there is some superhuman commandment which says that negative oaths per se are objectionable to the constitution.

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Q May I ask you if that oath, as you interpret
it, means that the person who takes it has to swear that he will
never, under any circumstances nor conditions, no matter how
bad the government may be, attempt to overthrow it?

A No, sir; I think that it does not. I think that it does not. As I stated to this Court, Your Honor and I trust that I'm on reasonable ground though it makes me far less categorical in my statements than Appellant who challenges all oaths under any circumstances. It seems to me that we are concerned with this case at this time involving these parties. We are not, and I'm as grateful as Your Honor is, living in the Third Reich at this point, and we are not faced, it seems to me. Your Honor, with the circumstances which faced a Simone Weil, or some of the revolutionaries whose concern with the Gestapo or who is concerned with the totalitarian state.

And if Your Honor asks me whether I would project this oath into the abstract, I can only tell Your Honor that I trust that the parties defendant and Appellees in this case are perhaps in their own way, every bit as committee to the notion of individual liberty and freedom as are the Appellants in this

particular cause.

But I can't project into some totalitarian teacher which I trust will not descend upon us and which I trust will not give rise to a case before this bar --

Q May I say if a man had to face that situation he should continue to abide by that oath?

A Your Honor, I think --

Q Suppose the government, and I don't think it ever will, and I hope it won't -- suppose it should have a government like Hitler -- would you say that he would then have to swear that he would not fight it?

A Your Honor, I think that Judge Learned Hand put it well and it may be very paradoxical. As I recall he said:

"The government, any government, and I assume he meant the totalitarian one, juridically, as well as our government, has the right to protect itself against revolution and any person oppressed by that government who does not have available to him constitutional means of altering that government, has a moral right to do what he can to alter it.

And I think that's the everlasting, moving paradox with which any man in any state is called.

Thank you.

MR. CHIEF JUSTICE BURGER: I think you have consumed all your time, Counsel.

The case is submitted.