

# Supreme Court of the United States

OCTOBER TERM 1970

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In the Matter of:

HARRINGTON JOSEPH JOHNSON,

Petitioner

vs.

THE UNITED STATES OF AMERICA

Respondent

Docket No. 5247

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## IN THE SUPREME COURT OF THE UNITED STATES

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BARRINGTON JOSEPH JOHNSON,

Petitioner

vs

THE UNITED STATES OF AMERICA

Respondent

No. 5247

The above-entitled matter came on for argument at  
10:50 o'clock a.m. on Wednesday, March 4, 1971.

## BEFORE:

WARREN E. BURGER, Chief Justice  
HUGO L. BLACK, Associate Justice  
WILLIAM O. DOUGLAS, Associate Justice  
JOHN M. HARLAN, Associate Justice  
WILLIAM J. BRENNAN, JR., Associate Justice  
POTTER STEWART, Associate Justice  
BYRON R. WHITE, Associate Justice  
THURGOOD MARSHALL, Associate Justice  
HARRY A. BLACKMUN, Associate Justice

## APPEARANCES:

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On behalf of Respondent

P R O C E E D I N G S

MR. CHIEF JUSTICE BURGER: We will now hear arguments in Number 5247, Johnson against the United States.

MR. JUSTICE BLACK: (Presiding) Mr. Lippman.

ORAL ARGUMENT BY WILLIAM J. LIPPMAN, ESQ.

ON BEHALF OF PETITIONER

MR. LIPPMAN: Mr. Justice Black, and may it please the Court:

This case presents the question and the effect of a constitutionally invalid death sentence construction upon Petitioner's conviction for rape under the District of Columbia rape statute where the evidence of guilt was not compelling.

Briefly the facts: Petitioner was convicted largely on the testimony of the complaining witness, who testified that she was on her way to work on January 22, 1967 at St. Elizabeth's Hospital; that her car broke down in front of a gas station. She went to the gas station to seek help. Petitioner drove into the same gas station purely by happenstance. He volunteered to push the Petitioner's car to the parking lot in St. Elizabeth's Hospital. She accepted his offer. Upon

Upon arrival at the parking lot she testified that he forced her into his car and drove off. Shortly thereafter they stopped at a gas station where he purchased a dollar's worth of gas. There was an attendant present. She made no



1 outcry, no effort whatsoever to seek the assistance of the  
2 attendant.

3 They then drove off to a secluded spot; they  
4 parked for a while. He asked whether she was married. She  
5 said "yes." He asked whether she loved her husband. She said  
6 "no." He then asked her to remove her underclothes. She pro-  
7 ceeded to do so without any protest, following which an act of  
8 intercourse took place.

9 After the act of intercourse, at her request he  
10 drove her back to the door to the St. Elizabeth's parking lot.  
11 She gave him her car keys; he gave her his correct phone num-  
12 ber. He promised to return that afternoon to help start her  
13 car. He did return that afternoon and was promptly arrested  
14 and charged with rape.

15 Throughout the entire episode the complaining  
16 witness offered no protest or resistance by word or action be-  
17 cause, she said, "she was in fear for her life." She testified  
18 that she suffered from a thyroid condition, but no medical  
19 testimony was adduced to corroborate this point.

20 The complaining witness was unmarked; there was  
21 no evidence of force, other than her own testimony that she was  
22 in fear for her life.

23 Petitioner took the stand in his own defense,  
24 admitted that he pushed the complaining witness's car to the  
25 lot, but denied the act of intercourse. Petitioner was

1 indicted and tried under the provisions of 28 D. C. Code 2801,  
2 which is reproduced on page 3 of our brief, which gave the jury  
3 discretion to sentence a defendant to death for the crime of  
4 rape.

5 At the outset of the trial the prosecution  
6 announced that the case was a capital case, but that the govern-  
7 ment was not seeking the death penalty. Nevertheless, the case  
8 was tried before a death qualified jury with one juror being  
9 accused because she stated that her opposition to capital  
10 punishment would prevent her from returning a verdict of guilty  
11 if, as a consequence, Petitioner might be sentenced to death.

12 The Court charged the jury that even though the  
13 Government was not seeking the death penalty it was free to  
14 sentence Petitioner to death if they found him guilty, and that  
15 if they were unable to agree that the death penalty should be  
16 imposed they should really return a verdict of "guilty" and the  
17 Court would impose an appropriate penalty.

18 The portion of the charge with respect to the death  
19 penalty was set forth at pages 297 and 298 of the appendix.

20 Petitioner was found guilty and sentenced to a  
21 term of imprisonment of from six to 18 years. His direct appeal  
22 to the Court of Appeals --

23 Q Does the record show how long the jury was out?

24 A Yes, Your Honor, Mr. Justice Harlan; the  
25 jury was out two and a half hours, I believe, or more.

1 particularly: two hours and 20 minutes.

2 Q Did they come in for instructions, supple-  
3 mental instructions during that period?

4 A I don't believe so, Mr. Justice Harlan.

5 His direct appeal to the Court of Appeals was  
6 pending when the Court, this Court's decision in United States  
7 v. Jackson, 390 U.S. 570 was handed down. The Court of Appeals  
8 panel returned the appeal and affirmed the particular convic-  
9 tion with Senior Judge Fahey's dissenting opinion.

10 Petitioner's conviction was again affirmed by the  
11 Court of Appeals on rehearing en banc in a divided opinion.  
12 Four of the judges on the Court of Appeals dissented on the  
13 grounds that the evidence was insufficient to sustain  
14 Petitioner's conviction and three dissented on the additional  
15 grounds that Petitioner was prejudiced by the trial of this  
16 case as a capital case.

17 If the Court please, Petitioner here contends  
18 that his conviction violates this Court's holding in the  
19 Jackson case and that he was tried for a capital offense when  
20 he should not have been.

21 More particularly it is our contention that the  
22 death sentence instruction gave the jury an impermissible  
23 choice of verdicts which might have resulted in a compromise  
24 verdict in this case.

25 Petitioner's principal contention here is

1 eloquently stated in the dissent of Judge Fahey below. After  
2 quoting his approval from the opinion of Mr. Justice Marshall  
3 when then Circuit Judge Marshall -- excuse me, Your Honor, in  
4 the Hetenyi versus Wilkins case, Judge Fahey states, and I am  
5 quoting from the appendix at page 346:

6 "We cannot surmise there was no likelihood of the  
7 jury considering the availability to them of the death  
8 sentence. We have no basis for such a surmise. And it is the  
9 erroneous availability of the death sentence, not the likeli-  
10 hood of its rendition, that gives rise to the prejudice. It  
11 may have exerted an undiscernable influence on jurors in  
12 deciding whether to find guilt but without the death sentence,  
13 or not guilty. One or more jurors with his or her attitude  
14 about the crime of rape, reenforced by the statutory provision  
15 for a death sentence, might have been so influenced, as stated  
16 by the Second Circuit in Wilkins Supra 348 Fed 2d at 867: "It  
17 is sufficient if there is a reasonable possibility of pre-  
18 judice.

19 "For the Court to erroneously to give the jury a  
20 range of verdicts more severe than the law allows in the case on  
21 trial is for the Court erroneously to influence the jury  
22 adversely to the accused. As a result the verdict rendered  
23 may be more severe than otherwise it would have been, even if  
24 not the most severe permitted by the erroneous construction."

25 Q Why do you say it was held to refer to the



1 death penalty?

2 A We say it was there, Mr. Justice Black,  
3 because the charge gave the jury a choice of three verdicts;  
4 it gave them the choice of the verdict of "not guilty," of  
5 "guilty as charged," or guilty with the death --

6 Q Why do you say it was an error to submit  
7 the death penalty?

8 A We say it was error because, under this  
9 Court's decision in Jackson the trial of this case as a capital  
10 case, including the death sentence charge, violated the  
11 Petitioner's constitutional rights and he was prejudiced there-  
12 by, Mr. Justice Black --

13 Q What constitutional right?

14 A Well, the constitutional right to which he  
15 was to be protected under the rationale of this Court's  
16 decision in Jackson. Jackson holds that he was, by electing  
17 to stand trial by jury, he was exposed to greater punishment  
18 than there would have been if he had waived his right to a jury  
19 trial or pleaded guilty.

20 And this was a constitutional defect which held  
21 the penalty scheme in the kidnapping act and the same scheme  
22 that was incorporated in the D. C. rape statute. Only the  
23 jury, under the D. C. rape statute could sentence a defendant  
24 to death. So to that extent we have an analogous situation  
25 to Jackson.

1 Q Am I correct that in this case the jury  
2 found him guilty, period?

3 A Yes, Mr. Justice Marshall; they returned  
4 a verdict of guilty, but without the death penalty --

5 Q Where do you get the compromise there?

6 A Because the charge, Mr. Justice Marshall,  
7 gave them an additional option; it gave them the right to  
8 return a verdict of "guilty" with the death penalty. As we  
9 read the statute there are clearly three possibilities here,  
10 and the "guilty as charged" verdict could be construed as a  
11 verdict of guilty on the lesser included offense. If the jury  
12 had returned a verdict of "guilty" with the death penalty that  
13 could have been similar to aggravated rape, ordinary rape  
14 perhaps guilty as charged.

15 Those were the three options. As the charge  
16 clearly indicated, they had three possible alternatives here.  
17 Now, it is possible in that situation for one jury to feel:  
18 "well, let's sentence this defendant to death;" another juror  
19 to feel: "Well, let's acquit him."

20 Q But they were only out two hours and 20  
21 minutes; that was awful fast bargaining. There have been  
22 studies made of juries that bargaining comes after many hours;  
23 do you know what the studies show?

24 A Mr. Justice Marshall --

25 Q I can see where a jury would say: "Well,

1 we can find manslaughter, involuntary manslaughter, third  
2 degree murder, second degree murder, first degree murder or  
3 first degree murder with the death penalty, but where the  
4 jury has the decision of deciding whether or not he is guilty  
5 of rape I don't see so much bargaining in there.

6 Q I suppose part of your argument, is as I  
7 understand it that in a case that divided the Court of Appeals  
8 as closely as this one did, on whether the evidence was even  
9 sufficient, the question of harmless error takes on a sig-  
10 nificance different from that which it would take on where the  
11 evidence was overwhelming.

12 A That is entirely correct, Mr. Justice  
13 Harlan. I am glad that you made the point, sir, because  
14 we're not arguing this contention in the abstract; we're  
15 arguing it with reference to this close case of guilt.

16 Q Let me ask you this question: what sentence  
17 did this man get finally?

18 A Six to 18 years.

19 Q Is he in jail now?

20 A He is now serving his sentence at Lorton  
21 Reformatory; yes, sir.

22 Q How much of it has he served?

23 A He has served three years and he is  
24 eligible for parole in the fall of 1973.

25 We believe that the rationale of the Fahey

1 dissent has indeed been adopted in this Court's opinion in  
2 Price v. Georgia, decided last term. Here the Petitioner was  
3 subjected to trial for a capital offense and exposed to the  
4 hazards of capital punishment, much the same as was the  
5 Petitioner in Price v. Georgia, and that the death sentence  
6 instruction here gave the prosecution the advantage of offering  
7 the jury a choice, a situation which is apt to induce a jury  
8 to strike a compromise, rather than to continue to the debate  
9 his innocence.

10 So, if I may just respond to Mr. Justice Marshall's  
11 observation a minute ago. It's true the jury was out two  
12 hours and a half; it's not a very long time. It's quite  
13 possible to stipulate that they did not seriously consider the  
14 death sentence, but it's impossible to rule out that possibil-  
15 ity; and this is where law can say by hindsight what actually  
16 happened in that jury room as part of the jury deliberations,  
17 so long as it was an option tendered in the charge to the jury,  
18 it's got to be credited as a realistic possibility.

19 Q But we're talking about realism, too. It  
20 is true that the Government said at the beginning of the trial  
21 that they were not asking for the death penalty.

22 A That is correct, Mr. Justice Harlan.

23 Q So I would suppose that's a factor that, in  
24 weighing the whole situation here, should be taken into  
25 account.



1                   A           Again, the test is not as you would read  
2 it or as I would read it, but as the impact it had upon the  
3 jury. Let me just read the critical portions of the charge,  
4 Mr. Justice Harlan.

5                   Starting at the bottom of page 287 of the Joint  
6 Appendix:

7                   "If, however, you are convinced beyond a reason-  
8 able doubt that the defendant is guilty of the crime of rape,  
9 then the statute provides that you may unanimously do so,  
10 recommend to the Court that the death penalty attach."

11                   The Government is not seeking the death penalty  
12 -- I repeat: The Government is not seeking the death penalty.  
13 "But you may, if you wish to do so, add the words 'with the  
14 death penalty' to your guilty verdict," if you find him guilty.

15                   Now, this following paragraph I regard as quite  
16 critical.

17                   Q           Do you think that was error?

18                   A           Yes, sir.

19                   Q           Do you think he was bound by the statement  
20 of the Government that they weren't seeking the death penalty?

21                   A           No, no; I think he had to charge the jury  
22 according to the statute. That's what the statute provided at  
23 the time.

24                   Then he goes on to say: "If you do not wish to  
25 have the death penalty imposed or if you are unable to agree

1 that the death penalty should be imposed, the you should  
2 merely return a verdict of "guilty."

3 Now, how does that language strike the jury?  
4 Isn't he really saying -- isn't it a possible interpretation  
5 that he's telling the jury: "Well, if you don't feel this  
6 crime is heinous enough or that the defendant should be killed,  
7 should be put to death, then just find him guilty."

8 Now, the jury retired to the jury room with those  
9 instructions. There is no part of the trial which is of graver  
10 importance than the determination -- than the guilt-determining  
11 process of the Court's charge.

12 I refer the Court respectively to the language of  
13 Mr. Justice Frankfurter in *Andres v. United States*, in his  
14 concurring opinion: 333 U.S. 740 at 765: "Charging the jury is  
15 not a matter of abracadabra; no part of the conduct of the  
16 criminal trial is there a heavier task than on the presiding  
17 judge. The charge is that part of the whole trial which pro-  
18 bably exercises the weightiest influence upon jurors."

19 So here we have a situation where the jury is  
20 given an impermissible option -- impermissible in the --

21 Q Why do you say it was impermissible if you  
22 say the judge had a right to give that charge and was not bound  
23 by the decision of the Government --

24 A It was voided and made an illegal charge by  
25 this Court's decision in *Jackson*, Mr. Justice Black, by virtue

1 of the statutory scheme.

2 Q You mean Jackson versus Denno?

3 A No; United States versus Jackson, the  
4 United States versus Jackson.

5 Q Does the record show how old this man was?

6 A Beg your pardon, Mr. Justice Harlan?

7 Q Does the record show how old this defendant  
8 was?at the time

9 A I believe he was in his late twenties at  
10 the time.

11 Q Does the record show the composition of the  
12 jury? Was it an all male jury or partly male, partly female,  
13 or what?

14 A It was partly male and female, Mr. Justice  
15 Harlan. It was not an all-male jury.

16 Q How old was the victim?

17 A The victim was, I believe, in her late  
18 30s or around 40; and the record also shows that they were the  
19 same physical size.

20 Q Was this an interracial --

21 A No; they were both members of the Black  
22 race, Mr. Justice Stewart.

23 Q What you apprehend -- or could have  
24 happened was that some jurors would say, "Well, he's guilty,  
25 but if you're going to give him the death penalty I'm going to

1 vote to acquit because I simply can't give him the death  
2 penalty, and therefore the jurors agreed more or less to find  
3 him guilty without giving him the death penalty. Is that the  
4 sort of thing you are concerned may have happened?

5 A My concern is that the availability of the  
6 death sentence option might have led to a compromise resulting  
7 in the "guilty as charged" plea by giving a possible holdout  
8 who wanted to acquit to agree that he would go over to the  
9 majority and find the defendant guilty as charged, provided no  
10 death penalty were imposed.

11 In other words, as a quid pro quo for rejecting  
12 the death sentence, a holdout who wanted to acquit might have  
13 been won over.

14 That's the way we view the compromise possibilities  
15 here. Again we're talking about possibilities, not probabilities  
16 because I don't think it's the province of the Court or Counsel  
17 or anybody as part of a rationalization after the fact to say  
18 what exactly was in the minds of the jury. This Court has been  
19 circumspect and refuses to do it -- engage in such an action.

20 Q I gather, under the District system as it  
21 then was; it's been changed since, but as it then was that if  
22 everyone agreed he was guilty he had to get life under a  
23 guilty verdict unless everyone also agrees to give death; is  
24 that right?

25 A I believe the statute provides for



1 imprisonment up to 30 years.

2 Q Well, I know there is, but my point is:  
3 he couldn't get death even though everyone agreed he was guilty;  
4 he could not get death unless everyone also agreed he should  
5 get death; is that right?

6 A That is correct.

7 Q That's the way it works.

8 A Yes. It had to be unanimous either way.

9 Q But there had to be an affirmative recom-  
10 mendation of the death penalty, did it not?

11 A It had to be a verdict of guilty with the  
12 death penalty?

13 Q Affirmatively; the jury had to affirmatively  
14 add that.

15 A That is right.

16 Q If you had a situation where they were  
17 unanimous that he was guilty, if there was a single holdout  
18 then the only result would have been a verdict of guilt without  
19 the death penalty; is that right?

20 A Yes, I believe that's a fair construction of  
21 the statute.

22 Q Well, by the same token, does that have  
23 any bearing on whether or not there was this kind of pressure  
24 to compromise that you argue?

25 A I believe -- again, Mr. Justice, it's

1 impossible to say where the pressure comes from. There might  
2 just as readily have been a holdout who wanted to acquit who  
3 was won over to the middle ground because of the availability  
4 of the death sentence --

5 Q Suppose it wasn't available and he just  
6 upped and said "no?" All he had to do was say "no death  
7 penalty" and they would give him 30 years.

8 A I'm sorry, I don't understand the question,  
9 Mr. Justice White. All who had to do?

10 Q Well, why would one juror trade a vote for  
11 guilty to avoid the death penalty when all he had to do was  
12 to just say "no death penalty." Just one person say "no death  
13 penalty" and they automatically give him 30 years; not a hung  
14 jury, not a new trial, but 30 years, that's all he would get;  
15 one person saying -- well, he doesn't need to take anything  
16 away to avoid the death penalty. All he needs to do is say  
17 "no death penalty."

18 A There still might have been a way of  
19 avoiding a hung jury. The compromise might have been reached  
20 as a method of avoiding a hung jury.

21 Q Well, but that wouldn't have anything to do  
22 with the death penalty.

23 A Well, you are asserting, Mr. Justice White,  
24 that the difference in the reasoning of the jury -- I cannot  
25 really go on that assumption. I think that under the statute

1 and under the charge that they were confronted with a  
2 package; again there were three possibilities.

3 Q They were clearly told, and accepted, I  
4 think, that there was this alternative of -- that if you dis-  
5 agree on the penalty that's the end of the matter.

6 A But it was a unitary vote and a unitary  
7 procedure that was called for; it wasn't a two-step procedure.

8 Q No; but in their deliberations in the jury  
9 room isn't it so that all 12 of them could have agreed that he  
10 was guilty and 11 of them might have thought that he ought to  
11 have the death penalty but the 12th says: "No; I won't give  
12 him death," and the only verdict they could return would have  
13 been a proper verdict of guilty to which would automatically  
14 attach the 30 year sentence.

15 A Not automatically --

16 Q Well, whatever it is.

17 A -- up to 30 years. Yes, Your Honor,  
18 certainly it is a possibility.

19 I should like to close my direct argument and  
20 reserve the rest of my time for rebuttal, with this quote from  
21 the opinion of this Court in Jackson v. Denno, 378 U.S. 386  
22 Senate, an opinion written by Mr. Justice White, where the  
23 following language appears:

24 "For we cannot determine how the jury resolves  
25 these issues and will not assume that they were reliably and

1 properly resolved against the accused."

2 That's this case. Thank you.

3 MR. JUSTICE BLACK: Mr. Huntington.

4 ORAL ARGUMENT BY SAMUEL HUNTINGTON, ESQ.

5 ON BEHALF OF THE UNITED STATES

6 MR. HUNTINGTON: Mr. Justice Black and may it  
7 please the Court:

8 The Government's basic position in this case is  
9 that no prejudice resulted to petitioner from the trial of his  
10 as a capital case.

11 Q Are you going to argue the fact that the  
12 United States does not --

13 A We do make that argument at some length in  
14 our brief and I had planned to refer to it briefly. I think  
15 it is set forth quite adequately in our brief.

16 The main thrust of Petitioner's argument here is  
17 that the instructions to the jury on the death penalty issue  
18 may have interfered with the jury's deliberations on the issue  
19 of guilt; and heavy reliance is placed on this Court's decision  
20 last term in Price v. Georgia and the 1966 Second Circuit's  
21 opinion in the Hetenyi v. Wilkins.

22 Both cases, of course, involve the double jeopardy  
23 problem of retrying a person for murder after he had been found  
24 guilty only of the lesser-included offense and that conviction  
25 had been upset on appeal.



1           The analogy Petitioner seeks to draw between the  
2 Price and Wilkins situation and this case are unfounded for  
3 several different reasons. The first reason is that in each  
4 of the former cases the murder charge was one of the principal  
5 issues, that's the principal issue in the case. Each prosecu-  
6 tor had sought to establish first degree murder and the jury's  
7 deliberations and the defendant's guilt in those cases would  
8 necessarily center in and focus on that first degree murder  
9 charge.

10           By contrast, the death penalty issue in this case  
11 played a very insignificant role. Some statistics are worthy  
12 of note.

13           Since 1930 only three persons have been executed  
14 for rape in the District of Columbia, the last one occurring in  
15 1949. Because I could discover no jury has imposed the death  
16 penalty in a rape case since the 1950s and at least in the last  
17 several years the Government has not sought to impose the  
18 death penalty.

19           Turning to this case the prosecutor specifically  
20 stated during voir dire that he was not seeking the death  
21 penalty. After the voir dire neither the prosecutor nor the  
22 Petitioner's trial counsel again referred to the death penalty  
23 issue. In his summation the prosecutor merely requested a  
24 verdict of "guilty as charged."

25           And then, of course, during the charge to the jury

1 the judge twice repeated that the Government was not seeking the  
2 the death penalty; nor did the evidence presented by the govern-  
3 ment make it likely that the jury would seriously consider  
4 imposing the death penalty. This is not a case of aggravated  
5 rape.

6           Petitioner's argument on the sufficiency of the  
7 evidence really cuts two ways here: he argues that the evidence  
8 did not really establish nonconsent, but we maintain that the  
9 closer of the evidence is on the consent issue the less likely  
10 it is that the Government would, that the jury would seriously  
11 consider imposing the death penalty.

12           Q       Could I ask you a question? Are you  
13 arguing this case on the premise that Jackson governs this  
14 case, even though retroactively? Are you arguing it on that  
15 premise --

16           A       Well, this part of my argument is based on  
17 that premise.

18           Q       Based on that premise; I understand that.  
19           Now, the second question I want to ask you: on  
20 that premise you are arguing that this was harmless error, at  
21 best?

22           Now, I read the Court of Appeals' opinions and  
23 I couldn't find any advertent discussions on the harmless error  
24 issue, except insofar as embraced and encompassed in the state-  
25 ment that the other contentions were without merit; am I right?

1                   A       That's right; it did not specifically --

2                   Q       It did not.

3                   A       But this whole argument I'm making now, I  
4 think, supports the finding that Petitioner was not prejudiced  
5 but beyond a reasonable doubt.

6                   Well, now, turning to this compromise contention,  
7 we believe that that is also -- the analogy with Price and  
8 Wilkins does not exist. In Price and Wilkins there was the  
9 case of first degree murder, second murder or voluntary man-  
10 slaughter, and not guilty.

11                   Before the jury could unanimously return a ver-  
12 dict of guilty of the second -- of the lesser-included offense,  
13 which they did in both Price and Wilkins -- the juror's holding,  
14 out for not guilty had to give up their insistence on that  
15 verdict and the jurors' holding out for the first degree murder  
16 had to give up their insistence on that verdict. So, obviously  
17 there was a possibility of compromise.

18                   As Mr. Justice White pointed out, the effect does  
19 not exist here. The jurors did not have to agree on the death  
20 penalty issue so that in order to return a verdict of guilty  
21 it was not necessary that the jurors who might have thought  
22 that they should impose the death penalty, give up anything.  
23                   if  
24 Obviously/they were in favor of imposing the death penalty they  
25 would believe in Petitioner's guilt.

So, the same dynamics that existed and the same

1 potential for compromise just don't exist here.

2 Q I understand the Petitioner's point to be  
3 that that second part of the charge could be interpreted as  
4 telling the jury that you must be unanimous in order to give  
5 the death penalty and that if you are not unanimous on the  
6 death penalty automatically you find him guilty.

7 A Well, he does make that point here; he made  
8 it in his opening brief and in his reply brief and he quotes  
9 the first full paragraph at the top of page 298 of the Appen-  
10 dix, which does say that if you do not wish to have the death  
11 penalty imposed or if you are unable to agree, then you should  
12 merely return a verdict of guilty.

13 However --

14 Q I think we both agree that that was rather  
15 inappropriate language; don't we?

16 A Well, I think -- in isolation you can put  
17 that interpretation on it. I think if you read the last two  
18 paragraphs on the bottom of page 297 in conjunction with that  
19 it's perfectly clear that the trial judge instructed the jury,  
20 first of all, to determine the guilt question.

21 He so said that: "If you find him not guilty  
22 that's the end of the case." If you find him guilty then you  
23 can go on and consider the death penalty which the Government  
24 has not sought to impose and if you are unable to agree there  
25 then you return a "guilty" verdict. I don't really think that



1 this charge is ambiguous in that point at all.

2           Petitioner also alleges in its brief -- he didn't  
3 refer to it in argument -- Mr. Lippman didn't refer to it --  
4 that the fact that the jury was death-qualified may have pre-  
5 judiced Petitioner on the issue of guilt.

6           Well, to begin with, the jury in this case was  
7 not truly death qualified in that the prosecutor did not seek  
8 to obtain a jury which would determine the death penalty. The  
9 prosecutor merely sought to have a jury which would be un-  
10 biased on the issue of guilt. And this whole question to the  
11 jury was whether their views on capital punishment would inter-  
12 fere with their ability to return a verdict of guilty if the  
13 evidence so compelled. One witness was excused.

14           There are several decisions of this Court that  
15 have established that the exclusion of persons for views on  
16 capital punishment cannot be held to -- that the evidence simply  
17 is insufficient to hold that that would bias, make the jury  
18 prosecution-prone.

19           The Witherspoon case so held and Bumper and North  
20 Carolina the same result was reached, and as a matter of fact  
21 in Pope v. United States, involving -- decided under Jackson,  
22 involving a Jackson-type decision, the jury was death-qualified  
23 and Pope received the death penalty but in remanding this case,  
24 this case simply remanded for resentencing. Therefore, impliably  
25 holding that Pope was not prejudiced on the question of guilt,

1 although that point was argued.

2 I would like briefly to refer to the remaining  
3 points made in Petitioner's brief. He argues the sufficiency  
4 of the evidence; that issue actually involves both questions  
5 of fact and law. The legal question involves the proper inter-  
6 pretation of the phrase "forcibly and against her will" as  
7 contained in the D. C. Rape Statute. Both the majority and  
8 the dissenting opinion below recognized that under the pre-  
9 vailing and proper interpretation of that statute, overcoming  
10 the resistance of a woman by placing her in the fear of death  
11 with serious bodily harm, was the equivalent of using force to  
12 overcome physical resistance.

13 So the disagreement centered on what was necessary  
14 to establish fear.

15 Q Does the record show at what stage after  
16 this alleged affair she complained that she was raped?

17 A Yes; the record shows Mrs. Fushee -- well,  
18 Mrs. Mayes, the complainant, testified that when Petitioner  
19 returned her to her place of work she went in and reported to  
20 her supervisor and told her the crime.

21 Mrs. Fushee testified -- her supervisor -- testi-  
22 fied as a defense witness that when the complainant arrived she  
23 first asked if she could have the day off. She first said, "I  
24 want to talk to you; can I have the day off? I don't feel fit  
25 for working." She testified that the complainant was very

1 upset and very nervous and that she asked the complainant to  
2 come into the back room. There were a lot of people in the  
3 hall when they first met, and that in the back room the com-  
4 plainant broke down and told of the crime.

5 Q I would assume there were guards there  
6 when she came to work.

7 A There is no evidence ~~that~~ there were any  
8 guards at the parking lot --

9 Q I looked for it; there is nothing in there  
10 about it anywhere. I tried to find it in the record, but there  
11 is nothing either way.

12 A Nothing either way. There is evidence that  
13 Petitioner waited until one car had parked and someone had  
14 gone inside before pushing the car into the lot.

15 Q Who alerted the police to arrest this man?

16 A Well, as soon as it was reported to the  
17 supervisor they reported that to the security guards at the  
18 hospital and they then called in the police.

19 Q She did not make any complaint to the  
20 police herself?

21 A Well, she stayed with the supervisor and --

22 Q Well, I understand that. The arrest was  
23 triggered by her conversation with the supervisor, who got in  
24 touch with the guards and they with the police; is that it?

25 A That's right, Your Honor.

1 Now, the evidence on fear, I think, is certainly  
2 sufficient to support this verdict. Mrs. Mayes repeatedly  
3 testified that she was scared to death and that she thought  
4 Petitioner was going to kill her. She also testified that he  
5 stated that if she didn't scream he wouldn't hurt her.

6 There is also some objective testimony in that she  
7 testified that he recognized she was nervous and he told her  
8 that he knew she was scared because she was so nervous.

9 Under all of these circumstances -- I won't  
10 belabor the point -- the resolution of this issue, we believe,  
11 the Court of Appeals was correct.

12 First of all, involving an interpretation of the  
13 D. C. Statute as it does, on the issue of law, this Court has  
14 traditionally deferred to the opinion of the Court of Appeals  
15 on local D. C. matters and we think that they should do so  
16 here.

17 On the issue of facts the jury finding was con-  
18 curred in by the Court of Appeals and we don't believe this  
19 Court should consider the matter further.

20 Q How did the Court divide on the evidence  
21 point?

22 A Five to 4.

23 Q Five to 4; four thought the evidence was  
24 too thin?

25 A Four of them thought the evidence was too



1 thin, but they didn't base it on credibility; they based it  
2 more on the interpretation of what is necessary to show fear.

3 Q That it was what?

4 A What was legally necessary to establish  
5 fear as a substitute for force under the D. C. Statute.

6 Q They assumed the truth of everything that  
7 the complaining witness said, as I read the dissenting opinion  
8 and they said that that was insufficient to establish the  
9 offense of rape in the District of Columbia.

10 A Yes.

11 The final argument Petitioner raises here relates  
12 to the prosecutor's characterization during summation of  
13 Petitioner's defense as inconsistent. He argues that his  
14 defenses were alternate; in denying intercourse on the one  
15 hand and arguing that the Government could not establish non  
16 consent.

17 However, the Petitioner did offer evidence on the  
18 consent issue. He offered the evidence of Mrs. Fushee, the  
19 hospital supervisor and she testified that Mrs. Mayes had first  
20 for leave. That could only be relevant on the issue of con-  
21 sent.

22 Mrs. Fushee, however, further testified in direct  
23 contradiction to defense's theory that intercourse had not  
24 taken place. She placed the arrival of Mrs. Mayes at the hos-  
25 pital at 7:30 whereas Petitioner had testified that he had

1 left her off at 6:45 and another witness had testified that  
2 Petitioner was home by 7:00 o'clock. So, really Petitioner  
3 was arguing inconsistent defenses.

4 Even if the prosecutor's isolated remarks here  
5 were somewhat in excess this case is no way comparable to the  
6 Burger case, the 1935 decision of this Court, reversing for  
7 prosecutorial misconduct, where the conduct there pervaded the  
8 entire trial and included many different items.

9 And I just will close in noting that the Court of  
10 Appeals for the District of Columbia examines very closely  
11 charges of prosecutorial misconduct as in the case of United  
12 States against Stevenson in 424 F. 2d, 923. They cite a whole  
13 bunch of cases there in which they have examined it, but neither  
14 the majority nor the dissent in this case felt called upon to  
15 comment on this issue.

16 In conclusion we submit that the judgment below  
17 should be affirmed.

18 REBUTTAL ARGUMENT BY WILLIAM J. LIPPMAN, ESQ.

19 ON BEHALF OF PETITIONER

20 MR. LIPPMAN: Just a few brief points, Mr.  
21 Justice Black.

22 First, with reference to Mr. Justice Stewart's  
23 comments with regard to the evidence. This Petitioner was  
24 convicted on the basis of the testimony of the complaining wit-  
25 ness, which might have been corroborated with respect to the

1 act of intercourse, but was not corroborated, in our judgment,  
2 with respect to the consent issue.

3 Now, the Court of Appeals in the Coltrain case,  
4 Coltrain v. United States, 418 Fed. 2d 1131, which was decided  
5 after this case initially, talks about the element of corro-  
6 boration and all evidence of the defense. We maintain that  
7 that element of corroboration was missing here.

8 Now, if the Court please: this record in its  
9 entirety cannot be read without getting the feeling that there  
10 has been some kind of miscarriage of justice here. This was  
11 true at the point of trial and the Court of Appeals, the form  
12 of the Court of Appeals' opinion: 5 to 4; it is particularly  
13 true now what we have the issue of United States v. Jackson  
14 violation and the Price case.

15 Now, we feel that this case does come under the  
16 reasoning of the Price case, but we're not asking this Court to  
17 make a broad pronouncement of constitutional significance here.  
18 This Court can exercise its supervisory powers under the  
19 Federal Courts and reverse this conviction if it feels  
20 that there has been substantial error or substantial injustice  
21 below.

22 As regards the holding by Mr. Huntington so far  
23 as the statistics are concerned. Of course the jury didn't  
24 know how many rape defendants have been sentenced to death.  
25 It's impossible to impute this knowledge into the minds of the

1 jurors. This would be making a basic mistake by assuming that  
2 the only issue here is the issue of the death sentence con-  
3 struction and how it affected the jury's deliberations.

4 We have a broad issue in that the defendant was  
5 tried for a capital offense when he shouldn't have been, and  
6 he was exposed to all the incidents of a capital trial. This  
7 Court has recognized the distinction between being tried for  
8 a capital offense and other offenses.

9 Indeed, it was recognized in the opinion of  
10 Price V. Georgia. And I think in Price v. Georgia the Court  
11 said that to be charged with a capital offense -- of course  
12 that went up on double jeopardy, but they did say that's an  
13 ordeal not to be viewed lightly. The language of this Court  
14 in Price v. Georgia.

15 Thank you for your attention.

16 MR. JUSTICE BLACK: Mr. Lippman, I believe you  
17 were appointed by the Court?

18 MR. LIPPMAN: Yes, Your Honor.

19 MR. JUSTICE BLACK: The Court wants to thank you  
20 for your services.

21 MR. LIPPMAN: Thank you very much.

22 MR. JUSTICE BLACK: I am comforted by the fact  
23 that the lawyers will perform these services for indigent  
24 defendants.

25 MR. LIPPMAN: Thank you very much, Mr. Justice



1 Black.

2 (Whereupon, the argument in the above-entitled  
3 matter was concluded at 11:40 o'clock a.m.)  
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