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Supreme Court of the United States

OCTOBER TERM, 1969

In the Matter of:

ROBERT I. TOUSSIE,

Petitioner,

VS.

UNITED STATES OF AMERICA,

Respondent.

Docket No.

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Place

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Date

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IN THE SUPREME COURT OF THE UNITED STATES 2 OCTOBER TERM 3 4 ROBERT I. TOUSSIE, 5 Petitioner 6 VS No. 443 7 UNITED STATES OF AMERICA, 8 Respondent 9 The above-entitled matter came on for argument at 20 1:45 o'clock p.m. on Wednesday, January 14, 1970. 11 12 BEFORE: WARREN E. BURGER, Chief Justice 13 HUGO L. BLACK, Associate Justice WILLIAM O. DOUGLAS, Associate Justice 14 JOHN M. HARLAN, Associate Justice WILLIAM J. BRENNAN, JR., Associate Justice 15 POTTER STEWART, Associate Justice BYRON R. WHITE, Associate Justice 16 THURGOOD MARSHALL, Associate Justice 17 APPEARANCES: 18 MURRAY I. GURFEIN, ESQ. 655 Madison Avenue 19 New York, N. Y. On behalf of Petitioner 20 FRANCIS X. BEYTAGH, JR., A 21 Assistant to the Solicitor General Department of Justice 22 Washington, D. C. On behalf o Respondent 23 24

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PROCEEDINGS

poor!

MR. CHIEF JUSTICE BURGER: Number 441, Toussie against the United States.

MR. GURFEIN: Mr. Chief Justice -MR. CHIEF JUSTICE BURGER: Mr. Gurfein.
ORAL ARGUMENT BY MURRAY I. GURFEIN, ESQ.

ON BEHALF OF PETITIONER

MR. GURFEIN: May it please the Court: The Petitioner in this case was born in 1941, in June. He became 18 on June 23, 1959. He failed to register for the draft; the reason was conscience and was indicted after an arrest in February of 1967, almost eight years after his initial failure to register.

Q Now, Mr. Gurfein, when you say he failed to register for reasons of conscience; he did not make any record or take any step, or did he at that time, to record that fact?

A He took no steps, but if I may, Your Honor, in connection with my argument on the First Amendment, I should try to expand on it a little further. I'm trying to make a summary statement of the facts now so that I can indicate to the Court the three points we should argue within the short space of time allotted.

Q Very well; very well.

A The first statement I want to make on that is that I will not get involved at this time in the reasons why the record indicates that there was a sincerity of belief on the

part of the Petitioner that he could not take part in the registration process itself, because his was a pristine religious view that the registration process itself, was merely an extension of the war-making which he was against.

It should be recalled that in 1959 when the Petitioner willfully failed to register there was no war going on. The Korean War had been finished. There was no Vietnam War at the time.

As I say, he was arrested eight years after the event. The general statute of limitations, as we know, is a five-year statute, and in the words of that statute, "except as otherwise provided by law."

Adequate and proper motion was made to dismiss prior to the trial on the basis of the statute of limitations having run, which was denied. The trial was had and at the trial counsel for Petitioner requested a jury charge to the effect that if the jury believed in the sincerity of the religious beliefs of the Petitioner and that his failure to register was due to his religious conscience, that they should acquit. This request to charge was denied by the court and a conviction by the jury ensued.

In this Court, as we did below, we made three points:

First, that the statute of limitations is a five-year statute

and that any extension Beyond it is beyond the legislative

intention, the Congressional intention and, indeed, the

prerogative of the Executive Branch.

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Secondly, we say that if the statute of limitations point is saved it is only saved as the court below indicated, by holding that a regulation and not a statute, created a continuing duty to register and that by juxtaposition of the words, "the continuing duty," even though Congress said nothing about a continuing event, ipso facto made a continuous offense, as if Congress had specifically mandated. And if that be the construction of the statute then it is violative of the Fifth Amendment privilege of the petitioner.

The last point, which I hope we shall have some time to discuss, is that we believe that under the First Amendment the minimum that we are asking here is covered by that amendment; and that is that when a religious principle's objector objects to registration as part of the process, while it is true he must subject himself to the criminal process, at the same time he has a right, when he is tried by the jury, to have an instruction that if the jury believes he is religiously sincere, that they should recognize, in the context of this whole problem, his religious conscience under the First Amendment.

Now, if I may turn first to the statute of limitations if Your Honors please. As a result of the decision below by the distinguished Second Circuit Court of Appeals, as well as other circuits, we are now in this state of the law that a

400.7 narcotics peddler is subject to a statute of limitations of 2 five years. A man who sells defense secrets to a foreign 3 government is subject to a statute of limitations of ten years. 4 A boy of 18 who fails to register for Selective Service, is 5 subject to a statute of limitations of 13 years. 6 And the question is: how did this come about and is 7 this harsh result sound? 8

Are you raising the constitutional question on that fact?

In this phase I am not raising a constituional question, Your Honor.

Any question?

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Beg your pardon, Your Honor.

Are you raising any question about the Govern-0 ment's power to do that? To have different statutes of limitations?

No; I'm raising the question (a) as to the intention of Congress, which has not expressed it; (b) that without the intention of Congress, if Your Honor please, if the regulation is construed as I have indicated it was below, it is an unconstitutional delegation of legislative power by the Congress to the President and that hence, under the Doctrine of Separation of Powers, it was unconstitutional; yes, Your Honor.

And the 13 years you got by adding five years

after his 26th birthday?

Acce

- A That is correct.
- Q After five days after --

obvious, but what the court did below, in order to get around this problem, was to say — although the regulation, which I shall read in a minute — perhaps I ought to read it now.

Although the regulation says that "The duty to register shall be continuous at all times," two circuits have construed "at all times" to mean for life, apparently, because there are two circuits that seem to have held that even if the man is over 26, or rather if the statute goes beyond age 26 he could still be projecuted, which would make a man of seventy subject to prosecution and this Court had a collateral problem like that in Garo, which was affirmed by a divided Court: 4 to 4 in 1950. It was a collateral case and we really don't know what the Court held.

Now, in the Second Circuit they held that the regulations merely limited to 26 and therefore, it's obvious that a boy of 18 must wait until 26, which is eight years, and then five years thereafter, is five, and that's where I got my 13 years, which is exactly what was done below in this case.

Now, the regulation, I don't think, means -- I say this with most respectful submission, what it has been construed to mean. And I don't think it needs much of a gloss as to what

was intended. At page A-13 of our brief, in the appendix, the regulation says, "The duty of every person, subject to registration to present himself for, and submit to registration shall continue at all times, and if for any reason any such person is not registered on the day or one of the days fixed for his registration, he shall immediately present himself for and submit to registration before the local board in the area where he happens to be."

The word "immediately" is a very peculiar word if you are talking about a continuing duty. It seems to me that the fair inference of this is that in issuing the regulations under the statute which permitted the President to f the time or times and place or places, of registration, which naturally Congress had to do. They couldn't watch every day of registration. But all this means is that if a man was sick or absent from the country or out of town, or something else happened which was not willful, he should not believe that he was thereafter, forever discharged fromhis duty because at age 18 he could not register.

In other words, the word "immediately" makes very little sense. And I say if we take it in this context, Your Honors, you have a very simple administrative regulation heree that does not, on its face, purport to create a real continuing duty and certainly on its face, says nothing about a continuing offense.

Q What would be the impact of that regulation if you omitted the word "immediately," in your view?

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A Well, I think "immediately" is just a gloss and I can't read the mind of the drafters of the regulation, Mr. Chief Justice.

Q Suppose we took it out now; would it mean anything different?

catch willfull offenders who violate the law. They are beyond the pale. I think this is for the purpose of notifying people who are perfectly, ready, willing and able to register under the draft laws. It is a simple point, that if they are not available on the day when they are supposed to register, that they are supposed to register thereafter. Now, whether it says "immediately," or not, Mr. Chief Justice, I think the same results would follow. But, I think the word "immediately" does tend to help us in construing it merely, as an administrative regulation and not as the courts below did, as in effect, a substitution for a statute, extending the general statute of limitations beyond five years.

Now, the process by which the court below arrived at extending the statute was by acknowledging, very frankly, in the opinion of the Second Circuit, certainly is a distinguished opinion in terms of facing the problems that arose. And though they decided against us, we certainly cannot complain about the

that led to fairness of the judicial reasoning / the result. And they recognized all the problems there.

8.

That court did not, as the government now contends, say that you can read anything into that statute. They honestly, said, "we cannot read it into the statute; there isn't any such thing," because the statute of limitations, the general statute says "except as otherwise provided, according to law." Surely the Congress can make a 13-year statute of limitations in honor to your question, Mr. Justice Black, if it chose to. But the question is: did it choose to? What is there in this statute which simply says that the President may say when boys shall come in and register. What is there in that statute? It gives the Executive power to promulgate what the Court of Appeals for the Second Circuit recognized as an impractical effect, an extension of the statute of limitations.

Now, we think that this court, over the years — and this goes way back — has always leaned over backwards, if I may use the colloquial phrase, with respect to statutes of limitation. It runs against the grain of lawyers and judges generally, the wholesystem of criminal justice, to permit by extensions, by back door methods and ambiguities the creation of extensions for statutes of limitations. And this Court has so held many times.

Now, the reason for it is perfectly obvious; and I go

beyond that. It seems to us that in the historical material that we put into the brief, Your Honors will see that going 2 back to England and going back to the colonies and certainly to 3 the beginning of the Republic, there has never been any ques-A tion but that a statute of limitations must be created by the 5 legislative and not by the executive. And it's one of those 6 fundamental things in the separation of powers that it seems to 1 me has gone through all the vicissitudes of various attempts to 8 try to change by indirection, generally at the instance of the 0 government, the normal rules applicable to the meaning of 10 English words. 99

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Q What do you say, Mr. Gurfein, to the Court of Appeals' view that this whole thing should be determined on the basis of the statute itself and applies only between ages 18 and 26. The regulation can't do any more.

A Cannot do more.

Q Well, can't do any more, but at least it goes five years. I gather the Court of Appeals rejected the interpretation you suggested that someone might be prosecuted at age 70 if they failed to register 52 years earlier.

A They did, and yet --

Q In fact, as to this fellow's brother, dismissing the indictment, the brother being freed; is that right?

A Yes, Your Honor, Mr. Justice Brennan. The point I am making is avery simple one: that there is ambiguity;

that you can have rulings of the other two circuits, which it said it goes for all time, as against the ruling of the Second Circuit --

- Q Well, I think you got --
- A They are both wrong.
- Q No; but I was interested in your answer to what the Court of Appeals said in saying, Oh, you have to read this really -- the statute applies only between ages 18 and 26.

 Then the terminal date for the beginning of the running of the statute of limitations, which we concede is five years, is age 26; and therefore it goes to age 31.

Now, that was, as I mentioned, was the gist of the view of the Court of Appeals; wasn't it?

A Yes, sir; and that is what I am addressing myself to now.

Q Oh, I'm sorry.

A I -- no, that's right in line with my thinking, if I may suggest.

Q Yes.

A When you have a "continuing duty statute," that does not mean that you have a continuing offense by legislative enactment. I go back to an old case in this Court, United States against Irvine, which goes back to 1870 or something like that. Inthat case the question came up of where somebody embezzled funds, when did the statute begin to run? It had been

held below that since he had a duty to return the funds, the statute had not run. This Court held that once an act is done which makes it a completed crime then the statute begins to run

Now, Congress itself, knows how to create continuous offenses, as is evidenced, for example, by the bankruptcy statutes. The bankruptcy statute says very clearly, "The concealment of assets of the bankrupt or other debtor will be deemed to be" -- not a continuing duty -- "a continuing offense until the debtor shall have been finally discharged or discharge denied and the period of limitations shall not begin to run until such final discharge or denial of discharge.

You will find nothing, Your Honors, in the Selective Service Act which remotely relates to the statute of limitations or even to the creation of a continuous offense.

And so we say, analytically, as well as historically, what makes it necessary to say if we are to adopt a liberal construction of the statutes of limitations that just because we have a duty, that that necessarily means that we have a continuous offense.

Q Well, you don't think, Mr. Gurfein, that the statute, being applicable from pages 18 to 26 -- the statute itself requires one to register at some time between 18 and 26?

A Oh, there may be a duty to register but we should not take it out of the normal concept of the statute of limitations.

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Q Well, I know, but if the statute is to be read that way then why, up to age 26 isn't there a duty to register and not having registered, why wouldn't the five-year period begin to run at age 26?

A Because, under the normal rules of the statute of limitations, and of continuous es, I believe the rule is and should be, that once the crime is completed --

Q Well, when is that completed -- is it completed on the 18th birthday?

A Letme put it to you this way: It is completed in this sense, that if the defendant were apprehended, let us say, on his 19th birthday he couldn't say to the Prosecutor, "Now, wait a minute, I still have time until I'm 26." He is in the toils; he has committed a completed crime.

And I say, Your Honors, if he has committed a completed crime, what is there to say by virtue of legislative
mandate, that that is to be treated differently from any other
crime?

Q Well, is this -- this, then, is an argument,
Mr. Gurfein, that -- your argument then is that the crime, for
purposes of the statute of limitations, was completed on the
18th birthday when he failed that day to register; is that it?

- Q He was given five days.
- A Give him five days; whatever that was.
- Q And that's your statutory obligation, to

register within five days or you are guilty of an offense. On the sixth day you are guilty of an offense.

A And I can't defend myself by saying, "No, I have seven more years or five more years; I'm through. I'm a criminal.

And that brings right to the second point, because of the time --

Q Well, you would say, I gather, that when he came to be 23 he could not be prosecuted.

A I would say when he came to be 23 he could not be --

Q And furthermore, he had no duty to register between 23 and 25?

A No. I say he may have a duty to register, but it there doesn't have to be a criminal sanction. There are many duties in life in the statutes that we don't have criminal sanctions. It happens every day.

Now, if I steal your purse, I certainly have a duty to return it to you; I have a duty for life. But as far as the statute of limitations is concerned, you cannot apprehend me more than five days after I stole the purse, but I still owe you the purse. And that's all I say is that there has been a gloss upon a gloss here.

In the first place, Congress never said so and Congressis the only one to say so. In the second place, the

regulation is ambiguous and in the third place at every rule of liberal construction in criminal cases, the rules ought to be, and we think is, that the statute began to run when the crime is complete and the test of when the crime is complete, is: could the man have been apprehended at that time or would be still have an offense.

And that's our position on that.

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Q Mr. Gurfein, are there any analogies with respect to registration of securities that shed any light on this?

analogy is the Alien Registration Act, which raises the same problem, in a sense, and where there has been some differing view, I believe that they have also held there that it is a continuous offense; but I think the policy with respect to aliens is a separate policy that would take too long to go into here; but I think there are certain reserve power for aliens, which makes it different.

Q Is it not exactly parallel in terms of the government's resources to go and find the people who are directed by statute to register?

- A You mean does it affect their resources?
- Q Is there a difficulty?

A Oh, there is a difficulty, but so is there a difficulty in apprehending a thief or any common criminal. And

I don't think that these lads are in any different position from any other criminals. And that's why I use that analogy. It may have seemed startling, perhaps dramatic, but the fact that it does appeal to me as an anomaly that a narcotics peddler should be subject to a five-year term of limitations and a boy of 18 should be subject to 13 years.

But that I leave, because my time is running on that.

Q But there you have as in most statutes of limitation, you have the problem of the handicaps of defense after a long lapse of time; you don't have that here.

A That is true.

Q This is a mathematical equation you are dealing with here.

A That is true. I don't believe that the limitation is only for that reason, but also for reasons of repose, as the courts have often said.

Now, I'm up to the second point and I am sure I won't have time for the third and I'll mail it to Your Honors. I'm saving five minutes for the rebuttal.

The -- once you say that the only reason Toussie, the Petitioner here, is in trouble is because you extended the statute of limitations because he had a compulsory duty to register. Then it is if you were creating two statutes: you have first the statute which makes him the criminal because I think we all concede, he certainly could have been arrested

shortly after his 18th birthday, if he willfully failed to register. And then you say to him, "Now you are compelled to register, and by registering, identify yourself with your name and age and birthdate. And by that very identification you are submitting yourself to prosecution in the sense that — while it isn't on all fours with even Albertson and Marchetti, in spirit and theory it is an even more pristine violation of the statute of limitation; because here you do have an act which can't be equivocal really. The act itself, of registering and giving your name and birth is enough to convict you; so we don't have to talk here about chains and links in evidence and substantial danger of incrimination.

And we suggest that in that context, and I must say, the Third Circuit by the way, years ago in 1955, before this whole series of cases, indicated that there was a Fifth AMEndment problem in alien registration cases and we cited them in our brief and they might be of some interest. They didn't have to decide it for other reasons.

And so we say that in this context the draft resister, and they are a class. There is no denying the fact that there have been a number of willful resisters that these people are in a select class of the kind that this Court has spoken of, who are asked to come forward, give their names under compulsion, and hence, be subject to prosecution by the statute.

Q Mr. Gurfein, not that it's particularly

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involved in this case, but suppose they just ask him: "Let me see your draft card." What would happen?

A If the police asked him? The question is if the police asked him or the draft board. If he was under a compulsory duty to register and he came in pursuant to that compulsion —

Q No, no. I mean, they stop him on the street and for some reason they ask him for identification and say, "and also your draft card." And he says, "I don't have one "He could be prosecuted; couldn't he?

A That's a violation of the law and if it's within the five years he'd be arrested.

Q He'd be prosecuted.

A He would be prosecuted.

Q Within five years?

A Well, at any time after he registered; yes.

Q But, it would be your argument that that would still be a five-year limit.

A That's right.

If Your Honor please, I think there is a difference between the registration and the registrant — the nonregistrant that has already committed the crime.

Q Oh, certainly.

A Now, coming to the third point and I wish I had more time to expand this, a self-incrimination argument, but I

think it's obvious that it's either accepted or not, based on this conception, as I say, if Congress had passed a statute which said, "Let us call in all those who failed to register in the draft in the last couple of years. Now, please register." That, clearly would be against the Fifth Amendment, under the Amendment itself and under the cases, and we say this is analogous now.

In the First Amendment the court itself below, the Second Circuit, said that in theory it is true that a conscientious objector to the process of registration ought to have a right to have a jury pass on the sincerity of his belief. But, unfortunately, they said -- I don't say "unfortunately," I added that, under present doctrine, we can't allow that to stand.

And we query what an present doctrine should support that result. We think that there is no doubt that Toussie stands convicted here because of his religious beliefs. I don't think the government says that he doesn't stand convicted because of religious beliefs. If so, he is convicted for the exercise of a First Amendment right directly.

Now, if he exercised that First Amendment right directly, should he not have the opportunity to have a jury pass on the sincerity of his beliefs?

In other words, in Sherbert against Verner and the way the Court has been determining these First Amendment questions

lately, it's no longer a question, as I see it, of whether there is a vague constitutional exemption for conscientous objectors, generally. That is not the issue. The issue is if a man in the exercise of his First Amendment rights, honestly and conscientiously believes that registration is part of the process of killing and will not register. Is he then to be sent to jail without any defense whatever.

And the question, of course, is one of balancing.

In Sherbert against Verner and Shapiro and other case, the

Court has now said that in the balancing of these interests we

do not look to see whether it's a reasonable regulation, but

whether there is a compelling reason as to why the government

must have this.

And we venture to say, with the utmost respect, that in this context the government doesn't need to send people to jail. Not one more boy is going to be brought into the Army, no matter which way this goes. You and I --

Q Is that really the issue, Mr. Gurfein? The compelling reason is that a country like ours, depending upon this kind of system of military organization, does have, does it not, a compelling reason to have everyone register?

A There is no doubt it makes it easier, Mr. Chief Justice.

Q And hasn't Congress also provided that the conscientious objector may have another avenue?

truly

A But what does that do to the/conscientious objector who believes that the process of registration itself, is violative of his religious principles? That is not answered And we're not saying that this Court should overthrow the constitutionality of the Selective Service System which you held valid in Nugent. We're not asking that this man be let out; we're not saying that he should be given a medal for not registering for religious reasons. We are saying that there are so few of these that they are not going to hurt the raising of any army, in the first place, and in the second place that the issue is an extremely narrow one here: Should a jury be allowed to pass on the sincerity of his beliefs?

He's going to be subjected to the crucible of the criminal process in any event, and therefore when people say, "Well, this will open the floodgates; nobody will register;" I say, respectfully, that can't be true. Nobody is going to take on going to jail who isn't a dedicated and firm believer that, in his own conscience, the registration is part of this process of conscription, which is part of the process of war.

If Your Honors please, I could expand on that, but I do want to save five minutes for rebuttal and I simply want to say at the end that the principal dissenter, as has often been said, should be the subject, and is, indeed, the subject of the great concern of this Court and there is no doubt that a principal dissenter in this field certainly subjects himself to

the direct of punishments as a felon and therefore, it is giving away not very much to permit a First AMendment right, either directly or because otherwise you are discriminating between two types of conscientious objectors: those who register and are processed and those who, by reason of religious beliefs, cannot even register.

Q Do you think there is a constitutional obligation to have a conscientious objector exception in the statute? Supposing Congress hadn't passed one?

A I think that under the free exercise clause of the First Amendment, Mr. Justice Harlan, that there is very little history to support the idea that there is no conscientious objection. I think Mr. Chief Justice Hughes, in his dissent in MacIntosh, spoke of the principles of the constitution. He didn't mention any amendment, but it's obvious he meant the First Amendment.

We know, too, that there never was conscription until the Civil War in 1863. We know that at that time the first ten amendments were considered to be limiting the power only to the Federal Government. The Federal Government had no army.

So, that I think all the resort to history on both sides is inconclusive and I do believe that when we read the content of what is free exercise of religion we must do it in a negative way in order to avoid the constitutional right under the First Amendment, by saying "no." This is like bigamy or

polygamy. This is something inimical to society which, of course, the court can do.

But we submit that our balancing of the interests of the few that are involved, there is a constitutional right, and even if it's within the purview of the right, as distinguished from it, merely a privilege, if you will, there still is a sort of due process within the First Amendment that we think discriminates, then, between two honest and principled religious people: one who registered and the other who does not We don't ask you to let the second one off but we do say that if he is willing to test it in the crucible of the criminal court, a jury should be permitted to pass on the sincerity.

MR. CHIEF JUSTICE BURGER: Mr. Beytagh.

ORAL ARGUMENT BY FRANCIS X. BEYTAGH, JR.,

ASSISTANT SOLICITOR GENERAL, ON BEHALF OF

THE UNITED STATES

MR. BEYTAGH: Mr. Chief Justice, and may it please the Court: As counsel has indicated, Petitioner makes what is basically a three-pronged attack on the validity of the conviction for nonregistration with the Selective Service System.

He says first that the statute of limitations bars
the instant prosecution; he says second, that if it did not,
that the privilege against self-incrimination provides a defense
complete defense to his conviction; and he says third,
at all events, his religious beliefs provide a defense, since

they entail a conviction that he should not even submit to registration or that at the least, the Court should have committed that issue to the jury.

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I think the central fallacy of the statute of limitations argument was alluded to by Mr. Justice White. The result as we see it, if Petitioner is correct, is that at age 23 when he says the five-year statute of limitations would have run, he will no longer be subject to registration; and I don't see anything that makes him subject to registration, apart from our prosecution for failure to register at the time he should have.

Now, our view of the statute and the regulations that we think properly implements the statute, is simply this:

When the District Court, I think, set it out perhaps as well as it could be. Basically the offense that Petitioner is charged with is one of failing to register with the Selective Service System, not simply upon reaching age 18 when he was required to, but failing to register at all during the period of time between age 18 and age 7%, during which the regulations, and we think by fair application of the statutes that the regulations sought to implement effectually, required that perform his duty.

Q I don't get that. It seems to me if he hasn't committed an offense, when five days after his 18th birthday or -- he has committed an offense -- then you say it's a continuing offense, but only until age 26. I should think it would just continue until the day he dies. I don't understand the 26.

There is nothing in there saying that you have between the age of 18 and 26 to register.

A No, I think there is, Your Honor. The--

Q You have to register within five days after your 18th birthday, period.

A The registration statute itself, Section 453 says that every male person now or hereafter in the United States --

Q Where is that?

A It's from page 2 of our brief. It sets out Section 3.

"Who, on the day or days fixed for the first or any subsequent registration, is between the ages of 18 and 26."

And it goes on to say "shall register as set out by the proclamation and rules and regulations.

Q Well, that's for people who are over 18 at the time of the enactment of the law; isn't it?

A That is one of the purposes of the Act, Your Honor, but the way we read it is that reading it with Section 4 which makes people between 18 and a half and 26 liable for training and service.

The purpose of Congress was to set out a group of people in this age group to be responsible for registration.

There are certain people who are not required to register initially at age 18 and they become responsible for registration

upon the happening of certain events that no longer put them in 200 this category. 2 I suppose the -- who, nonresident citizens; 3 people who have an American mother who has never been in the United States, or something like that? 5 A There is a whole list that's provided by 6 Section 454 and it's set out in the regulations there are 7 people who are, essentially, in the Armed Forces at thattime --8 Well, aren't --9 A -- or in reserve components serving in certain 10 governmental capacities; things like that; and aliens in 11 certain categories. 12 Q Well, registration applies to people other 13 than citizens; doesn't it? 10 A Correct; yes. 15 So, why could he wait until he was 25 to 16 register if he was here when he was 18? 17 Q Male citizens. 18 No, I don't think it says male citizens. 19 Q It says "male citizen." 20 It says "male person," now and hereafter in 29 the United States. 22 Oh, yes, and every other male person. 23 A Yes. 20 And there's a rather intricate provision relating to 25

aliens, basically. My understanding is that most aliens are Section 1 required to register. If they determine not to register and 2 subject themselves to service, they still may be admitted in 3 certain categories, but they -forfeit their right to become A naturalized citizens. 5 Mr. Beytagh, if I could understand that this 6 is a continuing duty I have great difficulty with it being a 7 continuing offense, and I'm not playing semantics. 8 Well, we think it's both a continuing duty --9 the regulations prescribes that quite clearly and --10 Well, if it's a continuing offense does he 99 commit it 365 times a year? 12 No; it's one offense, Your Honor. 13 0 When was that? 14 The offense continues --A 15 The day he reached 18? 0 16 A Well, five days thereafter. 17 That's when the offense was committed. 0 18 But the offense continues because the statute A 19 and regulations that implement that statute require --20 Well, we have statutes saying "You so ll not 21 buy heroin." 22 A That's correct. 23 But you have to buy some in order to be quilty! 24

And you are juilty each time you buy it.

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Well, what's the difference? 3 Well, the difference here is that this 1 individual had a responsibility at age 18 or five days there-5 after to register. He did not do that and he has continued 6 thereafter not to do that. The notion of the continuing offense, 8 as this Court's decisions have spelled out, and as our brief 8 develops, fits with this. 9 Basically, the notion is that there is an ultimate 10 objective that the individual's criminal activity seeks to 11 achieve. The ultimate objective here is evasion of military 12 service; there's no question about that. That cannot be 13 achieved unless and until he reaches the point at age 26 when 94 he no longer liable for training and service. 15 Well, I would assume that at age 25 if he 16 enlisted, he still could get convicted. 87 A You mean if he came in and registered? 18 0 No: enlisted. 19 If he enlisted in the Army --A 20 Could he still be convicted? 21 I think that's correct. I think that the 22 chances of the Government --23 Actually, I suppose, if he came in and 20 registered ten days after his 18th birthday he'd still be guilty 25 of the offense of not coming in and registering five days after.

A That's correct.

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as we point out in the brief, one element in this offense is willfulness and coming in at any time, seems to me, the more remote, obviously, the less significant this is, but coming in certainly ten days after would seem to me to raise a very serious doubt about the willfulness of his earlier violation and I doubt if he would be prosecuted. The only difficulty, as we point out in our brief, we would have, if somebody who had apparently pursued a deliberate course of nonregistreation until he had reached a situation, marriage-wise or otherwise where he was eligible for a deferrment or exemption, and then he came in and said, "Well, here I am. I just wanted to tell you wo want to register and I didn't mean anything bad about what I did previously."

Otherwise, the government's policy is basically, to get men to serve in the military, not put people in prison.

Now, Petitioner refers to a Hawaiian case which, he says, demonstrates conclusively that it is not the government's policy and I simply stated as to that Hawaii case, the individual very carefully came in only after he had reached age 26.

Q There have beenother decisions on this in the lower courts?

- A Yes, that's correct.
- Q How are they split?

A I don't think there is any split. The Courts

of Appeals have considered this have regarded the requirement as a continuing duty, and it regarded the offense as a continuous offense.

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Now, it is true that several of these cases have intimated that the duty is an open-ended one, and does not terminate at age 26.

This question was raised, that Mr. Justice Brennan brought up, in this very case. The Petitioner's brother was charged along with him. His brother, at the time he was charged, was age 33 or two years, close to two years beyond the age of 31, when we say the statute of limitations and the courts below say the statute of limitations ran on his failure to register.

The -- Judge Duling determined that a reasonable construction of the statute and the regulations, putting them all together: Section 3, Section 4 and the regulations that seek to implement them, indicate that the intent of Congress was to make persons eligible for service in the Armed Forces, responsible to register until they reach that age, age 26, when they were no longer liable for training and service.

Now, we think that's a reasonable --

Q , Well, that's not the answer to Justice Harlan's question. You said there was no split. I had understood that there was; that this is the view of this District Court and the Court of Appeals and other Courts of Appeals. But, I thought

that instead of there being in effect a 13-year statute of limitations, there was an unlimited period of time during which a person could be prosecuted; am I wrong about that?

A No, Your Honor; you are correct; and I thought that I included that --

Q Well, I would consider that a difference of opinion, or, in Mr. Justice Harlan's words, "a split."

A I thought his question went to whether there was a split on the centinuing duty question.

Q Perhaps it did.

Q My question was ambiguous, just like the statute.

Q That was to be my question, but I thought that the questions were directed at the continuing duty concept, not whether there was a difference or a split on perpetual duty or limited eight-year duty.

and I thought I included it in my answer, we understand these cases to leave this, as I say, open-ended. We think that that's wrong. In this particular case the Solicitor General determined in the case of his brother not to pursue an appeal because we thought that was not an appropriate or proper or correct reading of the --

Q And this Court, am I correctin understanding, is alone; that is, this District Court and Court of Appeals.

This decision is alone in sort of creating a 13-year statute; am I correct on that?

A I think that is correct, except I don't agree and don't accept the notion of the 13-year statute of limitations. Our notion is that a five-year statute applies.

Q Well, there can be no prosecution after a person reaches five days after his 31st birthday.

think that there are some reasons for this. It seems to me that these earlier decisions that we are deferring, were in the main decided ten or more years ago and since that time there has been a substantial development and evolution in the Fifth Amendment area, which is one of the issues in this case. It seems to me that all things considered this was a reasonable construction and application of the statute.

On his, what is it, 26th birthday he has a choice of going in and asking to register and exposing himself to Fifth Amendment problems or the statute will -- the real statute of limitations --

A That's correct.

Q Well, doesn't that give you some Fifth Amendment problems?as of that date?

A Well, I think the Fifth Amendment problems we reach that date, but we think that there are answers to them.

Q Yes, I agree. Do you see any basis for

distinguishing between the obligation of an alien to register and the obligation to -- that's involved here?

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I don't see any essential difference, Your Honor. It is more likely an annual income tax return or renewal of a driver's license, various analogies that we have suggested, it would seem to us, and this is antitipating a Fifth privilege argument. It seems to us that no matter how Petitioner seeks to characterize it, his argument ultimately leads to the conclusion that once a person violates a continuing or recurring obligation or duty imposed by the government, whether it be a regulatory scheme, tax scheme; whatever, he requires registration reporting.

The thrust of his argument is that once he violates that, the statute of limitations on that offense starts to run and thereafter he is insulated from any further compliance because he could say that "Any further compliance would incriminate me. under the Fifth Amendment and I can't be required to do that."

We think that none of these cases, Marchetti, Grosso, or any of the others go to this extreme. Petitioner seeks to draw some distinctions and says he doesn't see a need to go that far, but it seems to me it is very difficult to say that he's not going that far. The central objective, it seems to us, is of the point that he seeks to make is, on the privilege question, is that he could not be compelled, required, whatever, to

register after the initial registration period. It seems to us that if that is so, neither could people be required to make reports, returns, whatever, after they have initially failed to.

Q WEll, Mr. Beytagh, on the government's reasoning, though, if he does come in at age 19 or 21 or 22, he does incriminate himself; doesn't he?

A I think in some sense he incriminates himself, but it seems to me --

Q Well, I mean, the government never heard of him until then and they now discover that here he is and he didn't come in five days after his 18th birthday. Does the government then have a basis for prosecuting?

A It certainly does have a basis forprosecuting.

Q Well, how do we get over that?

A Well, it seems to me that there are several ways here. In the first place, as I indicated, his coming in voluntarily casts a question initially on the willfulness of the original offense and whether the prosecution will be successful.

It also seems to us, and this is, I think, the central point on which the Court of Appeals relied, is that there isn't any real compulsion that's working on him to force him to come in after he first failed to register. This is a continuing offense, if we accept that and we have to accept that in order to get to the privilege question.

Therefore, unlike the situations in Marchetti and Grosso, he risks no greater threat of punishment by continuing not to register during the --

Q Well, the answer is then there is no violation of the privilege.

A And I think there is no compulsion working on him and therefore no violation of the privilege. This is developed at considerable length in the Court of Appeals' opinion. It seems to us that there is a substantial difference between this kind of situation and the situation in Grosso and Marchetti. The situation there would have required people to continue to do things that would have —

Q Now, why is it voluntary? Why is it voluntary on your theory of continuing offense. Why, when he comes in at 19, 21; it's not compelled?

A I didn't suggest -- in the first place, this individual didn't come in -- and he --

Q No, but testing whether or not this is any merit in this privilege argument. As I understand you, the Court of Appeals, and I gather the government adopts the Court of Appeals' position. The Court of Appeals position is that there is nothing compulsory about his — he doesn't register at 19 or 21 under any compulsion and therefore the privilege is not violated; is that right?

A Because he has already committed whatever

offense he's going to commit, since it is a continuing offense, continuing crime and that he doesn't incriminate himself to any greater extent than he has already incriminated himself.

Q It doesn't rest on the attribution of voluntariness of coming in then and registering.

A No, it's a question --

Q It rests on that because it's a continuing offense.

A That there is no real compulsion working on him in the Fifth Amendment sense, because he doesn't risk anything greater by not coming in --

Q It's rather subtle isn't it? You're saying though that -- you do say that if he asks someone at age 21 and he not having registered before -- if he asks somebody, "Am I under a legal duty to register now?" They should tell him "yes."

A Yes.

Q And he answers them well, "But I can't be punished any more than I already could be by not registering now." They say "yes." But he says, "But I do have a legal duty." And you say that responding to a legal duty is not compulsion for Fifth Amendment purposes?

A Under the circumstances presented here I would -

Q Actually, Mr. Beytagh, this is a matter of detection and identification, rather than incrimination.

A Well, I ---

Seas Seas Q He's already incriminated in the broad sense, 2 by this violation of the statute. His coming in merely iden-3 tifies him, exposes him. 4 Well, Mr. Justice Brennan's suggestion was 5 that this was incrimination and I was accepting that for pur-6 poses of discretion. 7 Q Well, he, in truth, is already incriminated; 8 is he not? 9 Well, I think --10 The fact that he has failed to register on the 0 day when the law requires it? 11 12 I think he s already committed a criminal offense and he continues to commit one by not coming inn. 13 14 Well, he's not self-incriminated by committing the offense. 15 I agree with that. 16 Well, when we're talking about the privilege 17 it's whether it's compulsory self-incrimination; that's what 18 we're talking about. 19 That's correct, and my submission was that the 20 compulsion that was operating on him as the Court of Appeals 21 suggests, is not the sort of compulsion that should be given 22 sanction by this Court. 23 WEll, when he comes in and registers at 21 he 24 certainly has let the government know that he has failed in his 25

duty up to then.

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A I think that's so, Your Honor.

Q But he's not then compelled to do it? Isn't that your point?

making. I don't thinkthe situation -- we don't rest simply on that point and I don't think we need to rest on that point.

We developed in our brief a variety of other suggestions that go to: (1) the question of willfulness and also the question of whether the government, in fact, is going to prosecute. And the position we're going to take, as I indicated earlier, and will take, is that if these people when they come in have not sought to take advantage of the fact of their not registering at some earlier point, although they may have committed a technical violation, the purpose is to get people eligible for military service and not in jail.

Q Are you suggesting that the statute should be construed to bar a criminal prosecution of the fellow voluntarily registers?

A No, Your Honor. And I don't suggest that and
I don't think that an immunity statute, as Petitioner suggests,
as the solution of this problem would really solve it. It seems
to me that would simply invite people to fail to register
until they reach the point in time when they were in a better
position vis-a-vis the Selective Service System and then come in.

Suppose the statute of limitations does begin 1 to run five days after his time when he failed to register. 2 What about this case? 3 If the statute of limitations began to run --A 0 Yes. 5 -- at that point in time, then the statute has 6 expired. 7 Then what? 0 8 Then if the statute has run on this particular A 9 offense and can't be prosecuted and the courts below --10 The fact that it was a continuing offense, 4 4 wouldn't have anything to do with it, would it? 12 A No, but in order to get him within the period 13 of the statute -- and as a matter of fact, the statute here, 14 under our view, never started to run because he was indicted 15 just prior to reaching age 26. 16 0 Why? 17 The indictment here was found before his 26th 18 birthday, so that under our view of the statute and the regula-19 tions --20 Your view is, although he was guilty of the 21 offense five days after he became 18, he could be indicted 22 at any time thereafter. 23 As in any continuing offense, I don't think 24 there is anything anomalous about that. Counsel --25

Q I didn't mean there was. I just wanted to --

A Yes. Counselsuggested that there was something strange about the notion that at age 19 or so the government can discover this and prosecute him. This is so with respect to conspiracy and any other continuing offense. If it continues, the government can certainly interrupt its continuance when it discovers the offense and bring a prosecution against the individual at that time. It doesn't have to wait until the statute of limitations starts.

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Q You agree that they couldn't prosecute him twice for failing to register.

a I think that's correct and I think any suggestion that there are any double jeopardy problems here is answered by our reference to the regulations. These people, if they are prosecuted, they are involuntarily registered with the Selective Service System and there is no question of their being subject twice to the jeopardy.

Q Does that amount to any great handicap to the Department of Justice, the prosecution?

A I'm not sure I understand your question.

and you say to continuously commit that offense, would it amount to any great handicap to the Department to require them to prosecute him within five years from the time that it was committed?

I think that the question is not simply

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A Well, under our submission the responsibility terminates at age 26; I have to agree, and therefore he could not commit an offense after that and the statute question would be whether the statute would run after that time.

Several other comments on the privilege defense that

Petitioner asserts. As I sought to allude to — there is some
question of whether he even has standing to assert that defense.

He's made it clear, indeed, in his point — he has made it
demonstrably plain that he never intended to register at all.

Indeed, he said that his religious beliefs prevented him from
doing so. So, it seems to us there is some real question
to say
about whether he's in a position that he was deterred from
registering at some later point in time because of potential
self-incrimination.

The Marchetti and Grosso line of decisions we sought in our brief to distinguish those, it seems to us that the distinctions are rather clear. First they relate to initial registration in an area permeated with criminal statutes relating to people inherently suspect to criminal activities.

Petitioner, as counsel suggested, he's in that same category once he has failed initially to register. We don't think that's so, as I indicated to Mr. Justice Stewart, there are a number of people who have the responsibility to register at a later point in time and there are also people who, for one reason or another, have excusably failed to register and they

come in at a later point in time.

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As I indicated, Mr. Chief Justice, we view the position here suggested, whatever distinction might be sought to be made, is basically a far-reaching one if the Court accepts it on the privilege question it will take it beyond anything that it's done thus far in the Fifth Amendment area. And that, we think, will seriously impair the ability of the government to obtain necessary information. This is a big country, and as the Court has pointed out in several opinions that Grosso and Marchetti also, indeed, pointed this out, too. The government is in need for people to come forward with information as an essential prerequisite to effective and orderly operation of the variety of schemes.

We can suggest a number of analogies. I suggested some of them to the Chief Justice.

On the last point, it seems to us that it doesn't really require, despite the rather elaborate development by the Petitioner, very much comment. This Court has continually recognized that Congress proceeded in a reasonable and sensible fashion to establish procedures for determining conscientious objection and exemption from military service on the basis of that objection.

It seems to us that all of these cases, Nugent, Seegar, the cases that are presently before the Court, all indicate that this procedure that has been established was

a reasonable, sensible, sound one.

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We don't know of any cases that stands for the proposition that Mr. Justice Harlan raised that the First Amendment, of itself, requires that conscientious objectors be taken into account. Fortunately, the Congress has not seen fit to force this Court to that issue, because Congress has taken into account conscientious objection. It goes back, as Petitioner indicated, historically, even to colonial times.

narrow one here. Is the burden, assuming that some First
Amendment rights exist, is the burden to come in and register
and do nothing more, to come in and register and submit to a
readily available, sensible and reasonable process of determining conscientious objection; is that burden such a great one
that it should be given constitutional protection? We submit
all
that it's not; that it's a sensible scheme to have/people come
in and identify themselves initially with the government so
that the Selective Service System can have available to it
records, including the entirety of a manpower pool available.
And then there is a procedure, going on from there, to determine conscientious objection.

Q How did the government learn that this man hadn't registered?

A My understanding, Your Honor, is that there was an anonymous tip, and that's all that the record shows.

Q What?

A A tip that this individual and his brother had not registered. Now, whether somebody got mad at them and called, I don't know; that's all that the record indicates.

Q And then it was presented to the grand jury?

A And then it was presented; an indictment was found and the prosecution proceeded. The prosecution as to his brother was dismissed, because of Judge Duling's reading of the statute, but the prosecution of the Petitioner continued

For these reasons --

Q What sentence did he get?

A He has not been sentenced yet, it's under

4208 procedure for referral and determination by the Department

of Justice as to an appropriate sentence.

There are questions that were raised during the course of the trial relating to his mental capacity and I think that that is part of the problem here, that — not that an insanity defense was presented as such, but the question of the appropriateness of the punishment is one that is suitable for determination under the procedure of making the investigation first, and then reporting to the District Judge.

Q He was tried before Judge Duling; was he?

A He was not, Your Honor. Judge Duling considered the initial motion to dismiss. Judge Mischler was the judge that --

Q Yes.

A For these reasons we submit that the judgment of the Court of Appeals upholding the Petitioner's conviction should be affirmed.

MR. CHIEF JUSTICE BURGER: Thank you, Mr. Beytagh.

Mr. Gurfein, the Marshal says you are out of time, but my records show you have about a minute-and-a-half.

REBUTTAL ARGUMENT BY MURRAY I. GURFEIN

ON BEHALF OF PETITIONER

MR. GURFEIN: Thank you, sir. I'd just like to make two points: On the statute of limitations, if you take the first age group that registered in 1951, 18 to 26, and try to apply the Court of Appeals' Second Circuit reasoning to them, the man who then was 19 years old had a statute of limitations of 11 years; the man who was 25 years old at that time had a statute of limitations of six years; and it makes no sense, it seems to us, to say that there is anything within the statute that makes 26 years the cut-off date.

Now, with respect to the compulsion, all I want to say is this: that the Petitioner in this case would not be here today; he'd be out if it were not for the fact that the government held that there was a continuing duty for him to register. And it is idle to say, I respectfully submit, that that makes no difference. The statute would definitely run against him, but the government cannot have its cake and eat it,

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as we indicated in the brief.

If he's under a duty to register, then the Fifth

Amendment problem becomes extremely acute. If he was not under
a duty to register, the statute of limitations has expired.

MR. CHIEF JUSTICE BURGER: Thank you, Mr. Gurfein; the case is submitted.

(Whereupon, at 3:45 o'clock p.m. the argument in the above-entitled matter was concluded)

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