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REME COURT, U. S.

Supreme Court of the United States

October Term, 1969

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 Supreme Court, U. S.
 NOV 25 1969

In the Matter of:

Docket No. 268

-----X
 CHARLES LEE PARKER, :
 :
 : Petitioner :
 : :
 vs. :
 : :
 NORTH CAROLINA, :
 : :
 : Respondent :
 : :
 -----X

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ARGUMENT OF:

P A G E

Norman B. Smith, Esq. on behalf of
Petitioner

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Jacob L. Safron, Esq., on behalf
of Respondent

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IN THE SUPREME COURT OF THE UNITED STATES
October
TERM 1969

CHARLES LEE PARKER,)
)
Petitioner)
)
vs) No. 268
)
NORTH CAROLINA,)
)
Respondent)

Washington, D. C.
November 17, 1969

The above-entitled matter came on for argument at
1:43 o'clock p.m.

BEFORE:

- WARREN E. BURGER, Chief Justice
- HUGO L. BLACK, Associate Justice
- WILLIAM O. DOUGLAS, Associate Justice
- JOHN M. HARLAN, Associate Justice
- WILLIAM J. BRENNAN, JR., Associate Justice
- POTTER STEWART, Associate Justice
- BYRON R. WHITE, Associate Justice
- THURGOOD MARSHALL, Associate Justice

APPEARANCES:

- NORMAN B. SMITH, ESQ.
728 Southeastern Building
Greensboro, North Carolina 27402
Counsel for Petitioner

- JACOB L. SAFRON, ESQ.
Staff Attorney, Office of
the Attorney General of N.C.
Raleigh, North Carolina
Counsel for Respondent

1 night in the City of Roanoke Rapids in North Carolina. And
2 he was taken to an interrogation cell and there he was inter-
3 rogative for a time. He, of course, was extremely uncoopera-
4 tive and he refused to give his name and address. However, he
5 apparently from some source knew that it is a good idea to ask
6 for counsel under these circumstances and the record indicates
7 without any impeachment or contradiction that he did request
8 that a counsel be provided for him.

9 The police, securing no proofs from their labors of
10 interrogation on the initial interview, placed him in a dimly
11 lit or unlighted cell for the night in which there was no
12 water available to him nor no food given to him. He was held
13 overnight and the next morning he was then interrogated. This
14 time he broke down rather quickly and did render the statement
15 to the police, an oral statement to the effect that he had
16 gone into the house in question; he had committed some form
17 of housebreaking or he had gotten through the door in some
18 manner. Precise details of this statement or confession is
19 not a part of the record at any point along the way.

20 And I might like to point out to the Court here on
21 this point that there was no hearing in Superior Court at the
22 time the guilty plea was rendered in this case. There was no
23 evidentiary hearing; none of the state's testimony was given,
24 insofar as the record indicates and I'm not personally aware
25 that there was one.

1 Q Are you familiar enough with the law of your
2 state -- which I certainly am not -- as to whether it is the
3 practice to do that or not to do it or is it varied by
4 county; or is it varied by case or what?

5 A It varies by the modes of operation of indi-
6 vidual solicitors and judges. As far as I know there is no
7 statutory compulsion that this be done. Some judges, in the
8 exercise of care, always like to have something on this order.
9 I think defendants quite clearly at this time also are per-
10 mitted to make statements and present evidence if they wish
11 to do so.

12 Q Unsworn, I gather; that is from the record of
13 the other case.

14 A Yes, there is no attention to the rules of
15 evidence during such hearings. The defendants are permitted
16 to get up through counsel give all sorts of unsworn testimony
17 as to what kind of good character they have and so forth.

18 Q This is a guilty plea and I suppose to purpose
19 is to ascertain whether the guilty plea is knowingly and
20 voluntarily made -- not to cut against your case -- I don't
21 mean to. And then the purpose generally is for that and then
22 also, I suppose, insofar as the trial judge has discretion in
23 imposing sentence, to apprise him of the circumstances of the
24 offense so he can intelligently exercise his discretion; would
25 that be true?

1 A I think that's true, Your Honor. I think some
2 of our judges accept the philosophy of Rule 11 to the effect
3 that there should always be a basis in fact and plea and also
4 of course, it must be knowingly and voluntarily.

5 Q In this case, there was no discretion, as far
6 as -- the trial court had no discretion as far as --
7 am I correct about that?

8 A There was some colloquy between the Court and
9 the defendant and between the Court and the defendant's mother
10 in which the Court did inquire into certain circumstances
11 of the defendant: his age, his educational background. It was
12 show, for instance, that he had unsuccessfully been enrolled
13 in the 9th grade, I think, the year prior. This was -- I
14 think the record does indicate that this case had extreme
15 racial aspects to it. The --

16 Q Just before you start -- you perhaps didn't
17 understand my last question. Did the Court have any discretion
18 whatsoever in the sentence to be imposed in this case

19 A I'm sorry. No; this was under our --

20 Q Mandatory life sentence.

21 A Mandatory life sentence, yes, sir.

22 Q It could not be less.

23 A That's right. This was a charge of first-
24 degree burglary. While there is no indication that any other
25 charges were being considered at that time, although there is a

1 gratuitous finding the judge who heard the post-conviction
2 case that he should have been charged with rape as well.

3 Incidentally, the state has made the point in its
4 brief, and I think if I may say so quite inappropriately, that
5 there as an admission of the guilt by the defendant in the
6 post-conviction hearing; that he fully admitted his guilt and
7 so forth and so on. I think from reading the record, that the
8 defendant did not admit guilt of either rape or burglary. He
9 did admit that he entered this house and that he had relations
10 with woman who was there but he was arrested, as the record
11 indicates, on the very doorstep of the same house four nights
12 later and it's quite clear that there was a strong element of
13 consent or implied consent or sort of an arrangement, which is
14 hidden by the background and has not been disclosed on the
15 facts of the case and I hope that there will be a new trial in
16 this case and I hope that at the new trial the defendant will
17 have a fair and full right to bring all these matters out to
18 the attention of the tryers of fact.

19 Also, some further brief background material on the
20 defendant. There is a psychiatric evaluation of the Petitioner
21 in the record, indicating that he's an uninhibited and impul-
22 sive individual and likely to act in such a way as to secure
23 his immediate goals.

24 We submit in this case the immediate goal of the
25 defendant and the overwhelming goal that looms in front of him

1 is the avoidance of capital punishment, because of the strong
2 racial connections which this case had and the times -- the
3 spirit of the times in which this case was heard, brought up.

4 And we think that the record demonstrates that there
5 was a very substantial factor that was present. The whole
6 theoretical basis for the Jackson case, as I understand it is
7 this compulsion; this tendency to coerce people to render
8 involuntary pleas for them to give up their rights to trial by
9 jury and to plead not guilty; the right to have a full trial.

10 I realize that the actual fact of the Jackson case
11 and the precise holding of the Jackson case go off in a dif-
12 ferent direction, as the Court pointed out a moment ago,
13 during Mrs. Bray's argument. It seems to me the whole theoret-
14 ical basis for the case is to provide some protection for a
15 person found in the position of the Petitioner in this case.

16 We say that the evidence on the record indicates
17 that there was substantial coercion present. We say that there
18 should be some test formulated by the Court in this case to
19 determine when and when not to extend Jackson protections to
20 an individual. We fully and candidly recognize that the Court
21 is not prepared to carve out a per se rule and to say that
22 every guilty plea to every capital offense heretofore in one
23 of those states or Federal jurisdiction where a Jackson-type
24 statute obtains, must be now set aside.

25 We do say, however, that some sort of test must be

1 devised by the Court to decide when and when not to set aside
2 these judgments and convictions.

3 Q Well, Counsel, could you tell me whether in
4 any case where the death sentence is a possibility, is it
5 permitted, in your view, for the defendant to plead guilty to
6 some lesser offense? What would be the conditions that would
7 have to exist to permit that?

8 A Well, Your Honor, the facts in my case do not
9 involve any lesser offense, but I realize that the Court does
10 have that problem in this series of cases. My client here
11 pleaded to the capital offense. I would say -- I have dis-
12 cussed this point in the footnote in the brief. I suggested
13 that where the lesser-included offense carries a sentence so
14 large that it is tantamount to life imprisonment that then the
15 matter should be considered on a parity with a guilty plea
16 carrying life imprisonment itself. And thus, I hold that this
17 rule would extend to Mrs. Bray's case. I don't know where the
18 stopping point is, Your Honor. Like this case, as in many
19 other cases, the stopping point somewhere must be arbitrary
20 and where this Court should place it, I cannot say.

21 I would say, however, that my client falls within
22 the boundaries and within the limit for which we contend here.

23 Q And that's because he entered his plea of
24 guilty as charged?

25 A Yes, to the offense which ordinarily would be

1 capital were it not for the entering of the guilty plea.

2 Q I presume if there were a stopping place, there
3 would be a constitutional stopping place, wouldn't it, the
4 decision of this Court?

5 A Well, since the Court is charged with finding
6 such a stopping place, Your Honor, I don't know where it would
7 be. I suggested, as I say, in a footnote, that maybe the
8 stopping point would be where you get a sentence which is
9 tantamount to life imprisonment, such as Henry Alford's 30-
10 year sentence. Although, that's not in my case and I really am
11 unable to say with certainty.

12 I would think that the test that the Court should
13 invoke in these cases is something like the test devised in
14 Chapman versus California, carrying forward the realization
15 that in not every case would the guilty plea be set aside, but
16 only in those cases where the evidence made some showing that
17 the fear of the deathhouse, fear of the gas chamber had an
18 effect -- substantial effect.

19 Q That would be every case, wouldn't it? Would
20 the fear of the deathhouse always be a factor that would
21 exert influence, if not coercion?

22 A Well, I think one would have to say substantial
23 influence.

24 Q How could it not? Could it be otherwise?

25 A I think it probably could be otherwise.

1 Q Do you think -- do you see anything in the
2 constitution which either forbids or tends to discourage or
3 cast any doubt upon the whole idea of having guilty pleas
4 entered in criminal cases?

5 A No, Your Honor, I certainly am not attacking
6 the idea of allowing guilty pleas. I think you get into a
7 quagmire when you have a disparity in sentencing: one involving
8 life and the other involving death when you base a guilty plea
9 of that distinction. Fortunately, not every state has gotten
10 into that quagmire. There are only some eight states and the
11 Federal jurisdiction, indicated from footnotes contained in
12 our brief, and also footnotes or a portion of the amicus brief
13 by the N.A.A.C.P. Legal Defense Fund.

14 Also, on the basis of some rather sketchy statistics
15 which I have gathered in the brief, which are on file with the
16 court, it is indicated that perhaps the total number of
17 prisoners who would be affected by a favorable decision for
18 my client in this case would not be an overwhelming number,
19 and that a great number of prisoners have served out almost as
20 many years as the average prisoner normally serves of a life
21 sentence before dying or being paroled or in someother way
22 terminating the service.

23 And that also, we're not contending for a per se
24 rule but only provide new trial for those persons who would
25 show in some sway that the fear of the gas chamber contributed

1 substantially to the guilty plea.

2 So that the argument may not be as persuasive in
3 this case as it would appear on first blush.

4 Q Is your client out on bond or is he in jail?

5 A No; he's been denied relief all along the way
6 and he is serving his life sentence.

7 Also, in the present case we feel that when you
8 take the statutory construction, together with the coerced
9 confession which was obtained on the Petitioner, that these
10 together make a rather potent showing of an invalidity of the
11 guilty plea. The confession was clearly in violation of the
12 Escobedo Rule and subsequent to the pronouncement of the
13 Escobedo case and also we feel that the confession violated
14 the principles declared by this Court in Gallegos versus
15 Colorado.

16 Considering the education limitations, the psycho-
17 logical deficit of the prisoner, the fact that the prisoner's
18 mother was kept from seeing him during the night on which the
19 confession was obtained; his isolation and the denial of
20 drinking water and other matters which are brought out in the
21 record and which are not overcome by any adverse evidence.
22 Even giving the state the benefit of all its favorable testi-
23 mony, we feel quite clearly that under constitutional standards
24 drawn by this Court there was a coerced confession.

25 Q Is the United States against Jackson argument

1 really just a part of a coerced confession argument? Or do
2 you think it's an additional or different argument?

3 A I think the two go forward together and both
4 taint the plea. We feel that this coerced confession adds
5 weight to the Jackson confirmity which was present in this
6 case. How far the Court should go in allowing new trials
7 where there is a Jackson defect in the statutes, I don't know.
8 I would suggest, though, that because of this additional
9 problem relating to the voluntariness of the confession, the
10 Court would be justified, certainly in setting this guilty
11 plea aside.

12 Q So, you think in this case your plan is that
13 the guilty plea resulted from a combination of things, all
14 bad: (a) a coerced confession and (b) the statutory scheme of
15 North Carolina which was coercive upon a person to plead
16 guilty; is that right?

17 A That's right, sir.

18 Q A combination of both.

19 A And we have another aspect to this case which
20 I want to bring briefly to the Court's attention if I may.
21 That is a completely unrelated matter, but certiorari was
22 generally granted in this case so I assume that the Court is
23 interested in it and this was a point concerning the system-
24 atic exclusion of Negroes from the grand jury which indicted
25 the Petitioner. Of course, he never came to trial so the

1 trial jury is not in question here.

2 Quite clearly the statistics in this case show that
3 the Petitioner comes within that long line of cases decided by
4 the Court on the permissible limits of racial exclusion of
5 grand jurors of providing he did not waive his right to attack
6 this jurisdictional defect of the court. Evidence shows that
7 seven and a half percent of the jurors served on grand juries
8 for the preceding four years. Five and a half percent of
9 those who served on the particular grand jury which indicted
10 him were Negroes; only 4.4 percent of the jurors who had
11 served for four years on all jury venires were Negro; whereas
12 the total population -- the total adult population of the
13 county was 45 percent Negro and the taxpayers of the county
14 were 39 percent Negro.

15 Q What do you say to the answer the Court of
16 Appeals that that was objected to three years after the con-
17 viction?

18 A That's the problem, Your Honor, we're faced
19 with here. We have a conflict of authority prevailing in our
20 part of the country and we're depending on this Court to clear
21 it up. The Fourth Circuit, under the case of McNeil versus
22 North Carolina and I might add the Fifth Circuit under the
23 case of Cobb v. Balkcom, has determined that there can be no
24 waiver of such a constitutional jurisdictional defect of the
25 court unless there is a showing of an intentional knowing

1 waiver leading up to the full requirements of Carnely v.
2 Cochran and Fay v. Noia and the other waiver cases that this
3 Court has determined.

4 The Court of Appeals of North Carolina, on the other
5 hand, said he's represented by counsel; counsel presumtively
6 competent, even though this matter was not discussed between
7 Petitioner and his counsel nor even thought of by the counsel,
8 nor was it in any way brought to anyone's attention by the
9 court, nevertheless he's deemed to waive it. We say there
10 cannot be a valid waiver when it takes place in a vacuum;
11 when it takes place in the midst of ignorance and lack of in-
12 formation; lack of discussion and so forth.

13 This Court quite clearly has stated that waiver is
14 a personal decision which must be arrived at by the defendant
15 himself in consultation with counsel. He must have all the
16 facts at his disposal and that he must, himself, participate
17 in the decision. Applying these principles to a similar case
18 the Fourth Circuit in McNeil versus North Carolina, and in the
19 Fifth Circuit of Cobb v. Balkcom, it has come to me what is
20 the inescapable conclusion that a waiver of a constitutional
21 nature must be established. Here there is utterly an absence
22 of evidence on this point that would favor the state and all
23 the evidence is clearly in the Petitioner's favor in that
24 there was no discussion or no consideration of it. And we
25 feel that the constitution compels a new trial on that grounds.

1 Q The Constitution, you say, compels that this
2 conviction be set aside; this judgment be set aside?

3 A Yes, sir.

4 Q And for what.

5 A Well, for two reasons.

6 Q Yes, I understand why you say that it should be
7 set aside; but set aside for what further proceedings?

8 A I should think the state would have to bring
9 a new indictment against the Petitioner and would have to try
10 him anew.

11 Q Try him, or could he plead guilty?

12 A He probably could plead guilty if he chose to
13 do so.

14 Q Then wouldn't he be back here with the same
15 case?

16 A Well, if I were his lawyer I wouldn't advise
17 him to plead guilty under the circumstances. I think I'd
18 advise a "not guilty" plea. And some of the persons of his
19 own race nowadays in North Carolina would be on his jury and
20 I think he would have a fair shake and I would be quite
21 willing to try this on a not guilty plea and quite willing to
22 bring it up here again if constitutional defects came into the
23 trial which I hope and pray would not be the case.

24 Q But that would, as a practical matter, I
25 suppose, be the result of a reversal, would it not, that he

1 would be tried again by the state, which as I understand it,
2 if there is a finding of guilt which results in a death
3 sentence, unless the jury recommended mercy and which would be
4 a life sentence, which is what he is under now.

5 A Yes, sir. He fully understands that because I
6 explained this very thoroughly before the post-conviction
7 proceeding was commenced. Whether -- a point Mrs. Bray dis-
8 cusses -- whether one, once having received life, can be put
9 at the risk of death again under these double jeopardy cases
10 is, I suppose an issue here.

11 Q Did you say you thought if you won and he were
12 reindicted he could plead guilty under the North Carolina
13 statute?

14 A No, he could not plead guilty to burglary or
15 rape, because you can no longer do so.

16 Q That's all I wanted to know.

17 A Well, I believe I have nothing further, except
18 to thank the Court for hearing the argument and praying once
19 again that the relief requested be granted.

20 Thank you.

21 MR. CHIEF JUSTICE BURGER: Mr. Safron.

22 ORAL ARGUMENT BY JACOB L. SAFRON, ESQ.

23 ON BEHALF OF RESPONDENT

24 MR. SAFRON: Mr. Chief Justice and may it please the
25 Court: Initially I believe I have to disagree with the

1 presentation of Counsel's facts. Admittedly

2 Admittedly, approximately four nights before the
3 night his client was arrested, this particular house in
4 Roanoke Rapids in North Carolina was broken into and the female
5 occupant raped. As a result the house was put under surveill-
6 ance; that it occurred at approximately 11:00 p.m. on that
7 Sunday night and now at approximately 11:00 p.m. four nights
8 later there is a -- the house is under surveillance and the
9 youth is viewed as he comes upon the grounds and comes to the
10 door. At that point he is arrested, at gunpoint, of course,
11 naturally.

12 He was then brought to the police station --

13 Q Did you say the house was under surveillance?

14 A Yes, Your Honor.

15 Q At the time the burglary was committed?

16 A This is four nights later when he comes back,
17 the house was under surveillance.

18 Q Four nights later?

19 A That's right, Your Honor.

20 Q It was not the night it was broken into?

21 A Oh, no; of course not, Your Honor.

22 He was brought to the police station for interroga-
23 tion; he refused to tell his name; he refused to tell where he
24 was from. He was interrogated for approximately two hours.
25 He was put in a cell for the remainder of the evening. This is

1 from approximately one o'clock at night on. Whether or not
2 I would believe that the cell was either dimly lit or not lit
3 at all for the middle of the night.

4 He hadn't told the police officers who he was or
5 where he had come from. The police officers had to find out
6 who he was independently and having determined independently
7 who he was, in the middle of the night, at approximately 3:30
8 or 4:30 they went to the mother's house and told the mother
9 that her son was in custody. And if they said, "Don't bother
10 coming now or whatever they might have said, I don't know.
11 But the next morning at sunrise the mother was on the door-
12 steps of a very competent law firm in Roanoke Rapids, North
13 Carolina, waiting for the doors to open up and as soon as an
14 attorney came in she employed Mr. Cranford almost contem-
15 poraneously.

16 The defendant was interrogated again that morning
17 -- this is before counsel was employed and he admitted and
18 confessed to the crime.

19 Counsel arrived on the scene, having been hired by
20 the mother, just a few minutes after --

21 Q What did you say counsel's name was?

22 A Cranford.

23 Q Not the counsel that's now representing him?

24 A No, no, Your Honor. This was privately retained

1 counsel George L. Cranford of the Roanoke Rapids Bar. He
2 arrived and he asked the Petitioner -- this is in the record
3 -- just a few minutes later, was he scared and the attorney
4 questioned Petitioner as to whether when he made the confess-
5 ion had he been threatened in any manner; whether any promises
6 had been made to him and whether he was scared at the time he
7 made this statement. It's in the record.

8 Petitioner told counsel that no threats or promises
9 had been made; that he was not scared.

10 For two days --

11 Q Is that evidence undisputed?

12 A Completely undisputed, Your Honor.

13 Q What did you say?

14 A That's undisputed; that's on Page 67 of the
15 Appendix.

16 At the time of the trial or when the case was on the
17 docket for that term, for two days preceding the trial,
18 counsel spent these two days with Petitioner and his mother.
19 And a written authorization was prepared, as required by
20 North Carolina law upon the submission of a plea of guilty to
21 a capital case. The law requires that a plea of guilty to a
22 capital case be in writing.

23 Out of an abundance of caution, privately-retained
24 counsel, Mr. Cranford after these two days that he spent with
25 the defendant and his mother, called in a fellow-member of the

1 Bar to witness the execution of the authorization. This
2 fellow-member of the Bar, out of an abundance of caution in
3 his own right, interrogated the defendant and his mother at
4 the time the defendant signed the written authorization. This
5 is in the record, too. It's in the Appendix, Page 73.

6 Charlie D. Clark, Jr., an attorney of Roanoke
7 Rapids, wanted to be absolutely certain that Petitioner knew
8 what he was doing and the consequences of his act. The
9 written tender of the plea of guilty was submitted to the
10 court and was accepted by the solicitor in writing; was
11 accepted by the judge.

12 The court interrogated the defendant as to the
13 voluntariness of his plea and the court also interrogated the
14 defendant's mother in the courtroom, whereupon the mandatory
15 sentence of life imprisonment was imposed.

16 From the facts of this case --

17 Q How old was he at that time?

18 A He was 15, Your Honor.

19 Q From the facts of this case I don't believe
20 a discussion is necessary upon whether or not the confession
21 was involuntary. I believe the facts speak for themselves.

22 And of course, the Jackson defect, we have pre-
23 viously argued, I'd like to spend some time on this question
24 of grand jury discrimination.

25 Mr. Smith, the post-conviction attorney, he

1 conducted -- he represented Mr. Parker at the post-conviction.
2 The only witness put on the stand was a register of deeds of
3 Halifax County. And from the testimony of Mr. Smith's witness,
4 Mr. Wilson's testimony it was apparent that there was no
5 racial discrimination; that the then-statutory scheme of
6 North Carolina was that the names for the grand jury were
7 selected from the tax rolls. Admittedly, at that time there
8 were tax rolls maintained for both caucasian and white --
9 caucasian and Negro. This has subsequently been changed by
10 statute and no longer exists.

11 The tax rolls had been prepared that way but the
12 names in the jury box had no indication; no racial discrimina-
13 tion.

14 The testimony presented by Mr. Smith's witness showed
15 that the jury commissioners who came from different parts of
16 the county who were known to them to be of good moral charac-
17 ter; that the same criteria were applied uniformly. Now, I
18 submit that perhaps what we get to in this instance, is some-
19 thing akin to the former blue ribbon grand jury selection used
20 in the Federal District Courts. There is no deliberate show-
21 ing of racial exclusion; merely a showing that these jury
22 commissioners picked out people known to them to be of good
23 moral character.

24 Perhaps of any issue confronting this Court today,
25 this issue could perhaps be the most vital, because it is

1 counsel's contention here that unless there is a free
2 voluntary and knowing waiver of the right to attack the grand
3 jury, that that attack can be made at any time.

4 So, that it would appear that not only upon a plea
5 of guilty, if counsel's arguments were to be followed, but
6 also upon a plea of not guilty unless the state could show
7 that the waiver to attack the grand jury was freely and
8 voluntarily and understandingly made.

9 Inthe State of North Carolina since 1902, the case
10 of State v. Peoples, the Supreme Court of North Carolina had
11 held that a defendant who could show racial exlcusion in the
12 composition of the grand jury which indicted him was entitled
13 to relief.

14 Our statutes expressly provide that the method of
15 grand jury selection may be attacked. But that attack has to
16 come either (1) prior to arraignment, or (2) prior to the
17 swearing in of the petit jury. The rule is quite similar to
18 the rule in effect i nthe Federal system.

19 Q Mr. SAfron, what worries me just a moment, is
20 that these two laywers were so abundantly cautious in getting
21 all of this business for the plea of guilty but they didn't
22 get it written up that he voluntarily waived his grievance
23 against the grand jury; ist that correct?

24 A That's true, Your Honor; it's not included.
25 And I'll be frank with you, in all the authorizations of

1 guilty pleas that I've ever seen prepared by counsel, I have
2 yet to see counsel include that particular waiver. Usually it's
3 an authorization to plead guilty and the defendant understands
4 his rights, but I have never seen that specified.

5 Q Well, during all this discussion with his
6 mother over two days; am I correct.

7 A That's correct, Your Honor.

8 Q Was that matter ever discussed?

9 A Apparently not.

10 Q How could it have been waived if it wasn't
11 discussed?

12 A Well, he was represented by privately-retained
13 counsel, Your Honor, and apparently privately-retained counsel
14 was performing for his client the best services that he had
15 available under the circumstances. But what concerns me is
16 this: our statutes provide you may attack a grand jury selec-
17 tion. The Federal rules provide that you can attack grand
18 jury selection any time prior I believe similar -- here it is
19 Rule 12(b)(2). "The Federal Rules of Criminal Procedure provide
20 defenses and objections based on defects and institution of
21 prosecution or an indictment on information other than it fails
22 to show jurisdiction of the charge and offense may be raised
23 only by motion before trial. The motion shall include all
24 such defenses and objections then available to defendant."

25 I submit that in either a trial upon a plea of not

1 guilty or a trial -- or submission of a guilty plea, that
2 this could have horrendous effects if this Court were now to
3 require that each and every such instance that the waiver be
4 on the record. The Federal system had blue ribbon grand jury
5 selection.

6 Not only are we talking now about Negro defendants;
7 we are talking about Spanish-American defendants; we are
8 talking about Puerto Ricans and an attack would be made in the
9 Federal system that collateral attack could be made on grand
10 jury selection on behalf of every indigent white defendant
11 because the former system in effect in the Federal Courts
12 under the former blue ribbon system of grand jury selection
13 presented a middle-class grand jury; the indigents were not on
14 that grand jury, and so I submit that the total effect here
15 would permit every defendant convicted on either a plea of
16 guilty or not guilty, unless somehow an expressed waiver could
17 be shown, that he would now be permitted to collaterally
18 attack the grand jury which indicted him.

19 That this defendant is now given the opportunity to
20 choose his own time and his own place to contest his guilt
21 now that the evidence is, in most instances, no longer avail-
22 able; now that the witnesses have moved or they have for-
23 gotten or that many instances are dead, and the evidence has
24 been destroyed.

25 I submit that the picture presented would perhaps

1 completely overburden the courts of all the states and the
2 Federal Judiciary.

3 This case, of course, also presents the Jackson
4 issue and also, in a sense, the issue of whether or not
5 Jackson is retroactive, if Jackson is applicable. There are
6 at the present time, according to my research, either eight or
7 nine states which have possible Jackson defects if this court
8 should rule that these defects do exist.

9 In the Federal system, of course, there is the
10 Federal Kidnapping Act; the Federal Bank Robbery Act. There
11 is the sale of narcotics to minors; the Atomic Secrets Act,
12 and in the District of Columbia there is the Rape Statute.

13 The Court of Appeals of course, for the District of
14 Columbia has previously held in Bailey versus the United
15 States that retroactivity is not to be applied in the Rape
16 Statute.

17 The effect, once again, if retroactivity were to be
18 applied, regardless of the argument previously presented,
19 well, the state isn't going to retry him after all, some of
20 the defendants have served a great deal of time and they are
21 eligible for parole. This argument, I think, denies -- is an
22 argument of expediency in that regard because that argument
23 says well, there are a great number of men, but however, they
24 will be eligible for parole and a good number of them won't
25 attack.

1 I can advise this Court that I handle habeus corpus
2 every day of the week. I represent the state in the Federal
3 system and in District Courts, that the floodgates have opened
4 up; that petitions are coming in at a rate that's unbelievable.

5 All these defendants, and particularly those in
6 instances where the weight of the evidence is overwhelming,
7 are coming in: "I was coerced to plead guilty because of the
8 fear of death;" "I was coerced to plead guilty because of the
9 statutory scheme." They are denying the fact that they pled
10 guilty because at the time of their trial the state had over-
11 whelming proof of their guilt. But now they are coming in and
12 now they are trying to choose this time and this place to
13 once again litigate, now that the states in most instances,
14 most probably, cannot successfully re prosecute.

15 If the Court has no questions, that will conclude
16 my argument.

17 Q What did they do about the segregated tax lists
18 -- white and Negro?

19 A That has been, of course, Your Honor, that has
20 been abolished by statute. At that time it was required by
21 statute.

22 Q How about this case, though?

23 A Now, at the time this grand jury was selected
24 there were two tax lists: one for white and one for Negroes.

25 The tax lists -- however, the grand jury list had no

1 racial designation at all.

2 Q Well, not that -- you mean the names that were
3 drawn off the tax lists. After they were drawn --

4 A After they were taken from the lists they had
5 no designation at the time. I believe in this case and --

6 Q Well, that was true of whites, too.

7 A Of course, this case, at the post-conviction
8 hearing conducted by Mr. Smith himself, he completely failed
9 to show, except through his statistics that there was any
10 discrimination. His own witness refuted that contention. His
11 own witness said, "this is the procedure that was used," and--

12 Q Well, what universal were you measuring the
13 Negroes on these grand juries against, all the total popula-
14 tion or just males? Were there women serving on the grand
15 juries in North Carolina at this time?

16 A This, Your Honor, we have had no idea how far
17 back.

18 Q I understood from the briefs that women weren't
19 serving on those grand juries at that time.

20 A Perhaps in this one county, because it's one of
21 this middling poor counties, and I don't think they had rest-
22 rooms for women in the courthouse. If that's the situation I
23 believe that perhaps women had been excluded from that jury
24 because they didn't have restroom facilities for the women.

25 Q So, we're measuring this against half the adult

1 population?

2 A Perhaps so, Your Honor.

3 Q But even so, the 134 Negroes of the total
4 number of the grand jurors is -- how do you suppose that
5 happened?

6 A Well --

7 Q Just because the Commissioners didn't know
8 Negroes? Or was it because they knew Negroes and thought they
9 were incompetent?

10 A No. The testimony that was brought out was that
11 the same criteria was used in selecting white prospective
12 grand jurors as Negro grand jurors; that the criteria used was
13 the criteria of the North Carolina statutes.

14 Q Well, then if they applied the same criteria
15 and they examined the same number of Negroes and whites, why,
16 you would get the same number of Negroes and whites on the
17 jury list. But that wasn't so.

18 A I would submit this: that the Commissioners
19 knew more white people.

20 Q That's the answer; isn't it?

21 A By --

22 Q And they didn't know very many Negroes to whom
23 to apply the criteria?

24 A The criteria was equally applied and I submit
25 it's similar to the situation formerly in effect in

1 the Federal system, the blue ribbon-type of jury where there
2 was a recommendation.

3 Q Since the tax lists were segregated then, they
4 knew how many Negro names they were considering and how many
5 white names they were considering; didn't they?

6 A Of course, the total number of names was before
7 them in the tax list. You would have two volumes at that time:
8 one white; one colored. Now, of course, the statutes have
9 been amended and we only have one for individuals and corpora-
10 tions and additional sources have been added by statute. The
11 voter registration lists and other items all thrown into one
12 pot now to increase the listing.

13 Of course, this is the tax list. People who have
14 listed for the purpose of both personal property taxation and
15 a valorem taxation, so I would submit that this is perhaps
16 another basis for a difference; that perhaps you had more white
17 residents of the county with property, who listed for the pur-
18 pose of taxation.

19 MR. CHIEF JUSTICE BURGER: The case is submitted.
20 Thank you for your submissions, gentlemen.

21 (Whereupon, at 2:30 o'clock p.m. the argument in the
22 above-entitled matter was concluded)
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25