Supreme Court of the United States OCT. TERM 1968

In the Matter of:

BERNARD SHAPIRO, Welfare Commissioner of Connecticut, et al,

Appellant,

V-Si u

TIVIAN THOMPSON, et al.

Appellee

Docket No. 9 et al

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IN THE SUPREME COURT OF THE UNITED STATES

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fail.				

(The argument was resumed at 10:15 m.m., on Thursday Morning, October 24, 1968.)

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MR. CHIEF JUSTICE WARREN: Numbers 9, 33, and 34 on the docket.

Mr. Cox, you may continue with your argument.
ORAL ARGUMENT OF ARCHIBALD COX

ON BEHALF OF APPELLEES

(Resumed)

Court, yesterday I sought to show that the classification made by the one year prior residence requirement between old residents on the one hand and new residents on the other not only operates in relation to the fundamental necessities and rudiments of life, but also that it is utterly unrelated to any of the avowed purposes of the public assistance laws because the favored and disfavored are identically situated as to the extent and kind of their need or the suitability!

In the absence of rational relation to some other other state policy, therefore, that is enough to make, we submit, an equal protection case in the classic sense of the distinction between red-headed men or brown-headed men or any other capricious classification.

Now I go on first this morning to point out that our case is stronger still because we had a situation in

which the State has singled out for the purposes of disfavor and hostile treatment those who exercise the fundamental liberty of moving to a new residence in pursuance of better opportunities and a better life or what else they consider to be an advantage.

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capricious, but it is invidious in the same sense that discrimination on grounds of race or religion is invidious, and it also operates to deter the exercise of a right which underlies a number of the provisions of the Constitution.

Of course those provisions in turn help to snow that this is an invidious distinction, that is one that our fundamental constitutional principles condemn.

I do want to emphasize that this line of thought, while it relies on the constitutional provisions dealing with freedom of migration, brings me back ultimately to the equal protection clause and to the equal protection clause cases.

I stress that because I think this analysis eases the Court's problem of decision and makes it unnecessary to break new constitutional ground in these cases.

For example, one doesn't have under these circumstances to face up to the question of what exact clause of the Constitution do you find this in.

Equally, the fact that a District of Columbia

a classification as invidious as this, whereas, technically, it might be of significance if one were relying on Article IV, Section 2, alone or on the privileges and immunities clause of the Fourteenth Amendment.

Again one doesn't have to consider precisely how far Congress is entitled to define or limit the privileges or immunities of United States citizens because certainly again it is subject in its legislation to the use process clause.

Now we find the constitutional recognition or reflection of this basic provision, the constitutional condemnation, underlying condemnation of discrimination between old
and new residents in three or four familiar clauses of the
Constitution.

The first is Article IV, Section 2, which guarantees that the citizen from any State shall be entitled to the privileges and immunities of the several States.

In Blake and McCune this Court pointed out that the underlying thesis of that clause was that a citizen of one state should not be in a condition of alienage when he is within or when he removes to another state.

I suggest the old notion of the Elizabethan settlement laws is that one is in a condition of alienage when he comes to the new community.

and Nevada in the Edwards case incorporates or reflects at least to some extent this fundamental notion. Indeed, it is interesting to recall that while in the Edwards case the majority opinion of the Court did not consider how far a state was under an obligation to accord the same benefits to immigrants as it accorded to older residents, because that wasn't in front of them, it did point out that the philosophy of the Elizabethan settlement laws, as the majority opinion said, is no longer in accordance with the fact.

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in the privileges and immunities clause of the Fourteenth

Amendment. There was considerable discussion when the

Fourteenth Amendment was under deliberation by the Congress

about the right to move freely from place to place, freedom

of locomotion.

I am not aware, Justice Portas, although I haven't recently made a detailed search I must confess, of any explicit mention of going from one state to another. The discussion clearly did take place in terms of movement, where you went, and I suppose that, fairly interpreted, that meant going from state to another.

But I am not aware of any explicit mention of this before the Slaughterhouse case. There in the majority opinion it is stated with reference to the privileges and immunities

clause of the Fourteenth Amendment that one of the rights is this: It is that a citizen of the United States can, of his own volltien, become a citizen of any State of the Union by bona fide residence therein, with the same rights as other citizens of that state.

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In a sense, of course, this is history, because the opinion was written within the memory of men who had been alive and taken part in affairs at the time the Four-teenth Amendment was under consideration.

of liberty in the due process clause has been cited by such distinguished scholars as Zachariah Chafee, as a source of the right to move from state to state, and as a limitation on the power of the Federal Government to curtail that right.

only is capricious in the sense that I argued yesterday, but operates to the disadvantage of those who are exercising a constitutional liberty. But I suggest that a decision condemning that discrimination would be in accordance with settled constitutional principles unless, of course, and I now come to the last point, unless it can be shown to bear a rational relationship to some state objective other than that set forth in the legislation, because it is perfectly clear that this discrimination does not fulfill any objective set forth in the legislation.

In considering the various justifications that have been advanced, I would like to start by clearing the underbrush.

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There are a number that seem to me one can dispose of very quickly, and there are others that perhaps require somewhat further discussion.

First, it is said that this one year's delay,
this discrimination, is an aid in budgeting for a subsequent
year. No one has suggested how this aids budgeting, and I
must confess, although I may be wrong, as I have never made
up a welfare budget, I can't see how it would aid budgeting.

No one has a census of the people who come in during a year who may go on welfare which they can later use as a basis for knowing who will be added to the rolls for next year.

Indeed it is my impression that no one has a census of the number of people who move into a state in any particular year, even in. So that the figures that might make the projection somewhat easier simply aren't available.

Second, there are other elements that enter into the prediction of the size of the welfare rolls, such as volume of employment, and other things of that kind, that would overwhelm the significance of this relatively small figure of the number of people who have become bona fide residents but haven't been there a year.

Finally, I suggest that under Carrington v. Rash, and similar decisions of this Court, the slight administrative aid and convenience in budgeting, even if it existed, would not be adequate to justify discrimination as severe and hostile as this.

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Second, it is said that this discrimination is justified because it will prevent people from presenting fraudulent claims to two or more states. There is absolutely no showing of any likelihood of this kind of this kind of fraud. The total year's checks indicat in ADC payments for all kinds of eligibility run around three per cent.

Well, the number of errors in fraud by making claims on two states would be fantastically small, and I may add that there are other checks on sources of money which are made and this would be checked along with that.

A way to tell, I think, to illustrate how farfetched this argument is, is to suppose that a state would
make it a crime, and I guess it is, to seek welfare in two
jurisdictions, and then to enact a subsection saying, "Whoever
applies for aid within one year of moving into the state
shall be presumed to be committing fraud by seeking payments
from two states."

Even a prime facie or rebuttal presumption of that kind would unquestionably be struck down as arbitrary and capricious, the same way as in Tot v. United States and other

cases of that kind.

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rinally, on this point, I suggest to you that this is in principle squarely the kind of thing that Oyama forbids. There, there was created an arbitrary presumption, and it wasn't circumventing the California laws with respect to who may hold agricultural premises and the Court said that California could not create an invidious discrimination against American citizens of Japanese descent in order to deal with a few cases of fraud that might otherwise be involved.

Third, it is suggested that this rule provides an objective test of residence. This is in the District of Columbia brief, and it was suggested by Judge Holtzoff.

I think at this point one has to be very careful about what he means when he says the requirement of one year's residence provides an objective test of residence. Residence under the Social Security laws means presence in the State, living there, not for a temporary purpose.

In other words, it is without the intention of going somewhere else.

- Q Suppose he wanted to stay in Massachusetts six months and live there as a resident?
- A He would then be a resident there.
- Q And live in Florida for six months as a resident?

A Well, it would then be a little hard for 7 me to think of someone doing that, and still qualifying for aid to dependent children, Your Honor. Q You can't laugh at that proposition at all, 4 because that is a common thing in America, and it pertains to California and Florida. 6 A I suggest it isn't a common thing in relation to the types of people we are talking about here, but in that event, I would say that --9 Q I doubt if you could prove that. 10 A Well, perhaps I am wrong. But I am prepared 33 to answer your question. 12 Q Sow long must be the residence if a man 13 claims relief? 2.4 A Such a person under our position, and I am 15 going to answer you squarely, such a person would be entitled 16 to aid six months in Massachusetta and six months in Florida. 17 That is squarely the argument that we make. 48 And it seems to me that that is entirely appropriate that that should be so. 20 O That is subject to the right of each State 21 to take a reasonable time to authenticate the bona fide 22 residence in the State, and the means test and the various 23 other things? 24

A Oh, quite, and to make sure that this is a

case that is suitable to the kind of aid that is being talked about.

But once those facts appeared, as Justice Black states, and also I think they would be most extraordinary -- I don't mean to shrink from answering -- they would be entitled to aid in both States.

O I know some who do.

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a The point that I want to emphasize with respect to this test of residence is that one must ask what is it that you are trying to test.

I think that there lurks behind that expression, and it seems to me that this came out in Mr. Barton's argument yesterday, the notion that we are really asking more permanency than being in the State voluntarily with no intention of leaving.

then our answer is that requiring something more is in the arbitrary and capricious classification. To the extent that this is meant, the justification is alleged as providing a test of who is a resident in the sense that HEW uses the term and welfare laws use the term, that is voluntary presence with no intention to go, then we say again it isn't a good test. It is utterly arbitrary and capricious to say that whoever hasn't lived in a State must be presumed to have an intention of presently leaving the State.

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We just know that isn't so.

And of course there is no better proof that it isn't so in many, many instances than these records. Certainly little Shawn Brown, the baby who hadn't been born a year ago, had no greater intention to leave the District of Columbia than his mother, and the oldest member of the family who qualified for the aid.

family for generations had lived in Pennsylvania, and who had gone away for a few years, and than dame back to rejoin her can family, which had promised to look out for her, can't be said to have been likely to be planning to leave Pennsylvania just because she had been away for a short time.

I mention one other case because it seems to me to not only bear out this point but illustrates some others.

The other plaintiff in the Pennsylvania case was Jose Foster. She had four children and had been on aid in Pennsylvania. The reason she left to go to South Carolina was to take care of some old, aged and sick parents, which, incidentally, involved a cut in any welfare benefits.

longer help them, she want back to Pennsylvania. Now to presume because she hadn't been back a year that she intended to leave again is, I submit to you, utterly absurd.

Again I think if this were stated in the form of a

hasn't been a resident for a year intends to leave or is a drifter, one would say of course that is arbitrary and capricious, and it is equally arbitrary and capricious when it is advanced as a test.

I would add two more points, also, although I think they are unnecessary.

statute where there is an elaborate process of verification with respect to other conditions of eligibility involving not only elaborate questionnaires, visits to the home, surveillance in many jurisdictions, checking on references -if that can be done with respect to other conditions of eligibility, it certainly can be done with equal ease with respect to this matter of whether the person intends to leave.

Rash, Oyama v. Calfiornia, Harmon v. Forssenius, all sustain our position that this won't do as a justification.

Q Mr. Cox, perhaps another peculiarity, or so
it seems to me, is that in these circumstances the State's
payments cease when the person goes ahead with this, by
hypothesis, surreptitious, concealed intention to leave the
State. So that the State's interest perhaps in continuation
of residence may be less than it is in some other circumstances.

that I hadn't thought of, but it seems to me to be entirely valid. It does recall to my mind another point, and that is, of course, the person who does leave the former State, if this rule can stand, is likely to fall into a gap and not get welfare anyway.

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This isn't a matter of retaining your rights in the place that you left.

The last of the arguments that I regard as very easily brushed aside is the suggestion that the purpose is to limit assistance to those who have made a contribution to the community or who have some investment in the community.

Well, I don't know how we measure the matter of contribution or investment in the community. I suggest that this is really a suphemistic way of expressing the same discrimination against strangers or outsiders. I don't see how in the Brown family anybody can say that one of the children and the mother had an investment in Washington, or had made a contribution to Washington, and the others hadn't.

But remember we are talking basically here about aid to dependent children and the whole notion of investment, it seems to me, as I said before, to be simply a euphemism.

O Do you think that sort of consideration might ever be a constitutional basis for resident requirement for other purposes? I suppose in thinking about this case you

have thought about the impact of a decision that you urge upon other State residence requirements with respect to voting.

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eligibility for professions which presents a different problem, and I don't mean by my tone of voice to imply that they are constitutional. Some of them have been held un-

I haven't thought of a case where there would be a permissible consideration, but it may be that there is one.

o I thought that that was at least one of the supports reportedly asserted for voting residence requirements a knowledge of the community and knowledge of the people and knowledge of the problem and an investment in the community and a knowledge about it.

A I think I was using investment more in the sense of what one had contributed to the community and was not focusing, as you suggest, on concern for the community, interest in the character of the community.

I would certainly agree in the latter case this might well be a justifying consideration, but I suggest it is not relevant here because there I don't think one can use this kind of benefit any more than one may use schools or fire or police protection as a reward for what people have been supposedly contributing.

I would say the emphasis was on the knowledge and familiarity with the problems that you are to express an opinion on, to choose candidates to deal with, that was relevant in the voting residence requirement as to be justified.

of a burden than the constitutional right.

A We would stress that point in addition to the one which has already been mentioned.

Q That goes to the very first point that you made that this is very basic to life, itself?

A It does,

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Q I haven't quite followed that there is a right here precisely the same as the right to live where you please.

A Well, I think I have sought to stress as the basic right, the right to live where you please. It seems to me that the right to journey, to make a pleasure-swing around the country or go to Europe raises different problems and is a lesser right, I would think.

In my case, Your Honor emphasizes the point I should have made more sharply perhaps, our case deals with

the right to live where you please, to seek better opportunities.

Q I think this resolves into that instead of the right to travel.

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A I agree, and I would urgs that that is a very important aspect of our submission.

Q I suppose on the right to vote wherever one happens to be, there is no distinction as between people who are temporarily in a community and lived there a long time, as far as voting for President of the United States is concerned?

A Except that the Constitution, itself, introduces that distinction. It occurred to me, and perhaps it will occur to Your Honor, that this is rather like the arguments that were made in connection with the apportionment cases about the Senate of the United States.

The fact is that the Constitution, itself, says that qualification to vote for local people shall be the standard of qualification to vote for the President.

Now, whether the Congress could change that or not I am not prepared to say.

Q Does that mean that they could have discrimination and invidious qualifications under that section of the Constitution?

A Oh, no.

Q You base your argument on the fact that this is discrimination because they don't like people and they are not of their own, and so forth.

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A But I don't think that I can argue that
the equal protection clause repeals the provision of the
Constitution dealing with the qualifications of electors for
the Presidency.

The Constitution, itself, says that those shall be qualified to vote for President shall be those qualified to vote for the most numerous branch of the State legislature.

In terms of that consideration, as suggested in the colloquy with Justice Stewart, it does seem to me that there is an argument, and I don't have to either espouse or reject it, there is an argument not present here that goes to justify the rationality of the classification for that purpose, and the other clause about qualifications for President operates automatically.

I think that Your Honor's case, Mr. Chief Justice, would be a very analogous one, if it weren't for perhaps what today seems like an odd constitutional quirk, and my answers, were it not for that quirk, would have to be quite different.

Now there are a group of reasons that I want to lump together because, once again, I think it is very important to be exact about this in our terminology.

It is said in this group of reasons that the State

may protect its financial position, that it may deter the poor from entering the State, and that it may prevent the movement of indigents into the State for the purpose of securing welfare payments.

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meens. To the extent that this is simply an argument that it would cost us additional money to give welfare to residents for less than a year, the answer is that the cost won't excuse a classification which otherwise violated the Constitution.

A State could say that discrimination against black mathers and children would save us money. Nobody would think of advancing that as a justification for the invidious and hostile classification, or discrimination on grounds of religion might save money. It would probably save South Carolina a little bit of money.

Or you could take care of every other one in the alphabet.

A Yes, and ours isn't merely capricious, it is invidious and hostile. But the point Your Monor makes is, of course, true.

I do think in view of the figures that have been cited in the Court, however, it might be partinent for me to take just a minute to say something about the matter of cost here, although I don't want to retreat from my position

that it is constitutionally irrelevant, in view of the arguments that the Court might be concerned with.

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The effect of the deletion of the residence requirement obviously will differ very greatly from State to State, because of the current trend of migration.

I must also make it very clear that in my judgment the figures on this are hard to come by and are not altogenter reliable. I don't want to seem to be saying to the Court anything more than, "Here is the best indication we have."

In Pennsylvania the welfare authorities estimated that the elimination of the requirement would add one-half of one per cent in public assistance, chiefly ADC.

In point of fact during the pariod in which the injunction was operating, and therefore the requirement couldn't be applied, the increase I am told ran to only half of that, one-quarter of one per cent.

In Connecticut, the figures are somewhat more.

That is a State where Pratt & Whitney and other concerns advertise very widely all over New England looking for people to work there, and people am coming into Connecticut and getting jobs, and then having misfortune. There the figures seem to be slightly less than 2 per cent. It is 1,7 on one period of time we considered no residence requirement, and 1.9 on another set of figures.

Illinois, our information is that during two months

in which the operation of the residence requirement was stayed, it was something less than 3 per cent of the applications — not the people on the rolls, but the applications — which would be a much lesser figure. It came from people who hadn't been resident for a year.

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In the District of Columbia the applications of people who hadn't been in the District a year during the past nine months have run in the neighborhood of 8 per cent, a little over 8 per cent, so ---

O How many did you say for the District of Columbia?

A The percentage of new applicants has run to a little more than 8 per cent.

Q I saw somewhere a figure of four hundred applications of people.

A I have the exact figures. It would take me a minute to dig them out. It is running about one thousand a year, but his is out of the total new applications during the year, it is 8 and a fraction per cent.

Q Are these figures in your brief?

A No, they were put together very recently.

I would be glad to supply them or you can find them in the morning paper, I believe; when it is not too exact but it is a general thing.

Q You mean there are one thousand new applications

a year, and since the suspension of the residence requirement 1 in the District, one thousand of them have been filed? A Oh, no, many more than one thousand. I must have misspoken myself. I meant to say there have been 745 14 applications from those who had not been resident a year Б in the first eight months of 1968. Q And this represents 8 per cent of all new 7 applications? A This is about 8 per cent of all new applica-13. tions during that period, and of those 745 about half were approved. Now if you move to another State, Maryland, the reported figures there are away down in the order of something around 2 per cent. Q Do you have figures for Florida and California? 15 A I don't have any for those States. I doubt 15 very much that there are any, because remember these are 17 figures that have been hastily put together in an effort 18 to make a guess as to what the impact of this would be. 15 Q That would be one of the poles. If you 20 take the other pole, California, Arizona, and some of the 21 western States, and Florida, you will find the opposite 22 condition now. 23 In my own State, in California, when I came from 20 there fifteen years ago, the State had twelve million people. 25

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It has twenty million people today, and there has been an influx of people at the rate of 1500 a day, prasumably for permanent residence ever since the day I left.

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A We are talking, I think, about two different sets of figures, Mr.Chief Justice.

Q I know they are not all indigents, I don't suggest that, but most of them come looking for jobs and they don't have jobs when they come.

A I think Your Honor would find that in terms of people who end up with ADC care, it is the District of Columbia and New York City that would be the high States, because there the flow of migration of the people who end up with ADC care is, for the most part, from the rural areas, and very largely from the South into those areas.

Q New York population and New England populations is not increasing.

A But this shows, I think, or I suggest to you that the explanation is that the general movement of population is not the same as the movement of the population that ends up, as I put it, on the ADC rolls.

I can't help suggesting that this has something to do with the character of this discrimination against new residents.

The story from the New York Times that was distributed by Counsel for the Appellacs yesterday has as its headline,

"South Fostering Relief Rise Here." And I think the implication or the effect of "South Fostering Relief Rise Here", the connection between this and the residence requirement in many jurisdictions is quite clear.

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O Mr. Cox, if you had one thousand units of some kind of relief to put out to twenty thousand people, would you say it was invidious discrimination to use as one of the divisions, the division between the newcomers and the oldtimers?

a Well, I would think that that was a different case, but my answer would the same. In other words, I do recognize the difference between a resource that is fixed and there can be only so many, and I can imagine cases where that might be a permissible consideration.

But my answer as applied to Your Honor's case would be just the same as here.

Q If you had one thousand units throughout the year, you would preserve it for the people of the State?

Witsell. And when you say for the people of the State,

Your Honor is suggesting the distinction that we aren't

concerned with. You are suggesting a distinction between

residents and non-residents. We are saying you may not draw
a distinction between two kinds of residents.

But the State's resources and keeping the State's

natural resources within the State, it seems to me, to be a somewhat different problem.

I think our case is more like the case put by

Justice Douglas yesterday of saying we are going to exclude

the children of newcomers from the school. But the point

I want to make ---

O Or out-of-state tuition.

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A I think out-of-state twition differs in a number of respects. In the first place if you are dealing not with one of the basic necessities of life, a college aducation, important as it is, is not in that classification.

Second, you are dealing with a group of people --

Q Why isn't it as necessary?

A I think whether you preserve the family, or whether people have food, shelter over their head, or whether they go free, is more fundamental and more important to human existence then whether one has the benefit of a college education.

O Perhaps it is more that way, but is it constitutionally different, if both of them are a right?

A I suggest it may be, although I don't have to decide the college education case. Then I think there are two other factors in the college education case.

Q You do have to consider what ramifications are involved.

A I suggest that that is one possible distinction, and an entirely tenable one. I think the Constitution is concerned with differences of degree.

I think a second possible distinction is that when you are talking of college students, you are talking of people who go into the State. It is rather like the non-resident hunter that Justice White and I were describing. The student goes in simply for the purpose of picking up this education.

Q Does he necessarily, though, and suppose he says, "I am coming to the university of this State, and I expect to live here the rest of my life"?

A If he has such a case, and there are students who have such a case, then I think that he may well be able to attack successfully the discrimination against him, and there are cases cited in our brief, including a case in Iowa, which holds that that kind of discrimination is unconstitutional.

But that is different from the man who goes to Berkeley, or UCLA, or Harvard solely for the purpose of getting the education.

Q Before you get too far away from it, it would be very helpful to me if you would furnish us with a memorandum setting forth the figures that you gave us, as to the increase that occurred in these various jurisdictions.

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Memorandum giving us the basis for that?

Q Will you try to put in some States like California, Arizona, and Florida, please? I would think that they would be as available as those of the States you are speaking of.

a The availability of the figures that we are speaking about, Mr. Chief Justice --- we will try to get them depends on there having been an injunction staying the application of the resident requirements and then on the people having kept the figures in the welfare agency.

the effect that some of these States that have fair employment sections, the far western States, the children of migrants
are not allowed into the public schools because they don't
satisfy the residence requirement, and also the same sort
of statement with respect to access to State health facilities.

Assuming that that is so, is that a conceptual problem?

a different problem, that here we are talking about someone who is not moving from place to place. I don't mean to suggest, Your Monor, that there isn't ground for a constitutional attack in those cases, but I do suggest that at least

from the standpoint of what we are dealing with --

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a fortiori a negative answer in those cases. If you were to lose these cases, would that indicate a negative answer to the migrants who are denied an opportunity to go to school?

A I would think clearly it would enable

Connecticut to may that it would not admit to the schools

in Greenwich and other places near New York the children of

people who move out from New York to Greenwich because there

are batter schools there.

Q You have been talking about education. Some of them require you to have residence.

A I wonder if that isn't related to the school system which I am familiar with, where perhaps in my town I don't think well of the public school, and I want to send my child to the public school in the next town. There I think another factor comes into play, and that is in that case the payment may be regarded, and there is another application of the college case, as roughly related to the amount of contribution that I and my family would make directly or indirectly in taxes to the Schools in this other town, and I ought to do something roughly equal if I am going to send my child there.

Again, of course, it is a distinction between residents and non-residents, and not what we have, a

discrimination between residents.

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Since my time is jumping shead, we have spent so much time on the figures.

O If a person lives nine months a year in one area, and I pay to send my children to the school, more than other people -- with them is six months a correct rule?

A I think perhaps.

There is one point I would like to make, jumping ahead. We argue two more things with respect to this.

With respect to poor people moving into the State, first, there is no evidence that people move into States for the purpose of taking advantage of welfare requirements, and if Your Honors will look at this newspaper story that was given to you by Counsel for Appellees, you will see that the main point it stresses is that there is no evidence. People do not move for that purpose.

Q Do you wish more time?

A I hoped I would have had time to develop
the figures. All of the evidence we have tends to show that
there has been very little movement for this purpose, but
the important point to emphasize, I think, is that the
Appellees who invoke this justification have nothing to
support them.

I suggest that in dealing with a discrimination with respect to the fundamental necessities of life, and

of invidious exeatment, and in dealing with a discrimination against those who are outside the State and who are, because of their poverty, not fully represented in the political process, that something more than common assertion is necessary to show that people go into the State for this purpose.

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I would like, if I may, to say two more sentences.

- Q You may have five minutes more.
- A Thank you very much, Mr. Chief Justice.

while I think no discrimination can be justified on the assumption that the higher relief payment operates as a magnet, and I would stick on that ground, I do suggest to the Court that even if it be assumed for the purposes of argument that a State might deal with this problem in an appropriate way, that this way of dealing with it is unconstitutional because it is excessively broad, and not the measure least restricted with the exercise of constitutional liberty.

I point out it is excessively broad in a number of respects.

pirst, it applies the one-year-residence requirement to people who have come from States with lower or equal benefit levels, even to people who have come from States with higher or equal benefit levels. And indeed one finds the

residence requirements more uniform in the States that have the lowest benefit level.

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Second, it applies to people who come for reasons that demonstrably are unrelated to the benefit level, and the fact of these cases are the best illustration of that. Five out of seven were coming back where they had lived before. Another five out of seven were coming to join families, and of course most people move for hoped-for jobs.

O Do you recall that the Secretary of HEW recently proposed that there be a national fixed amount, the same in every State, with perhaps variations for differences in the cost of living?

A I think that is true. There is no doubt it is a political matter or policy matter, a uniform payment would be highly desirable.

Q The Secretary of HEW, I believe, has made a tentative proposal for discussion of that.

A Of course, that in itself would eliminate any problem of this kind.

The third respect in which I suggest that this
particular requirement is demonstrably too broad is that in
addition to the other two, if one really were concerned
with this, it could be cured by saying that the newcomer
shall be entitled to receive only the benefits of the State
from which he came.

- O Have you really thought that through?
 - A I think it is thoroughly undesirable.
- and I must confess I was quite shocked when I read that sentence, not emotionally shocked, but it just seems to me that that would have extraordinarily difficult constitutional problems, the same kind of equal protection problems.

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- a If the problem of dealing with people who come from another State is a justification for classifications which wouldotherwise be impermissible, then I suggest that it will justify the other kind of classification that you call attention to and is not as severe as the one that is being imposed.
- Q Your argument is that if that were a real purpose, it would be unconstitutional in a different way?
- A I think that that is what it comes to, but if I assume the reverse of my basic contention, I do suggest seriously that this is on the whole a less restrictive measure that raises no other constitutional problems.

I take advantage of the five minutes just to call the Court's attention to one other fact, although I have really completed my argument. There was talk yesterday about the welfare freeze that takes effect with respect to ADC aid July 1, 1969, unless it is put off again, as it has been before.

Without going into all of the complexities,

Congress made two exceptions to that. One was to cover

people added to the rolls because of the decision of this

Court in Smith and King, or King against Smith.

Second, it provided an exception to take care of the residence cases in the event that the judgments of the District Court should be affirmed. So that there is no problem of the operation of the freeze, and the decision in these cases created a need for new legislation.

Also, I don't think really that would justify a violation of the Constitution.

Thank you.

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TO

MR. CHIEF JUSTICE WARNEN: General Sennett.

REBUTTAL ARGUMENT OF WILLIAM C. SENNETT, ESQ.

ON BEHALF OF APPELLANTS

the Court, if I understand correctly the entire scope of the argument which has been presented on behalf of the Appellees in this case, it is to end finally and completely for all purposes really residency as a distinction capacity whereby the States can determine who can and who cannot qualify in certain areas.

I think that what has been suggested to this Court is that residency for purposes perhaps of voting, residency as a condition before a person may file a complaint for

pay tuition to public schools and universities and certain do not, perhaps even residency requirements to the extent that a State has a legitimate interest in knowing who is going to be a bunter and who can operate an automobile in the State are to be now ended.

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That cartainly is the logical conclusion of the argument which has here been presented.

Q You are talking about residency or are you talking about the duration of residency?

A That is right, and duration of residency
has been an historic basis whereby the States have been
able to determine who can and who cannot participate and the
extent that they can participate in activities within the
State.

o Mr. Attorney General, I gather you say that in Pennsylvania, the so-called residency requirement says it is only if the person resided there for one year, and it means that a person has to become a resident in the sense of not intending to leave, and he has to remain in that condition for a year. Is that the meaning of the Pennsylvania law?

A I think that the Pennsylvania law, as does the Federal statute, suggests that there is residency and there also is a year requirement. I believe that is right.

Q You could be there a year and still not receive benefits?

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A I suppose under certain circumstances.

Q You have to be a resident?

resident, I would say that certainly the administrators
of the Federal and State programs would be justified in coming
to the conclusion that a person who had been there a year
and in almost all circumstances would be a resident.

a person could prove he is a resident and he is still not entitled to benefits?

A If he has not resided in the Commonwealth for a year.

Q I gather the justifications for the Pennsylvania law are primarily relating to limiting the necessary outlay for ADC and it has nothing to do with checking residency and things like that?

law was passed -- and I think this is one of the things that
the argument which has been presented by the Appellees has
not mentioned at all actually -- what is the purpose, or
what was the purpose, legislative purpose for enacting these
welfare statutes in the first place? Was the legislative
purpose simply to give out money? It is not. The legislative
purpose as is spelled out in the Pennsylvania law is of course

1. to encourage self-respect, self-dependency, and the desire 2 to motivate the individual to be a good and useful citizen 3 in society. 28. It is to encourage him to go to work, and not -5 O Why wait one year? 6 A That is the judgment which both the Congress 7 and the legislatures of the States have made, that one year 8 is an adequate time in order to determine this. O General, really, in terms of the legislative 9 history of the Pennsylvania law, would you say that the 10 primary purpose of the one-year requirement in Pennsylvania 11 12 was to avoid the burden that the in-migration of indigents created on the treasury of the State? 13 A I don't think I would admit that that is the 14 primary purpose. 15 O I am not asking you what you would admit, 16 but what does the legislative history add up to? 17 A I suggest to the Court that the primary 18 purpose is hwat I have just indicated, that the legislature 19 has determined that there should be this welfare program. 20 Q I am asking about the one-year limitation 21 only, what is the purpose of the one-year limitation? 22 A The purpose is to encourage the individual 23 who comes into Pennsylvania to be a citizen and contribute 24 to the society of the State, to contribute to the economy of 25 102

the State, and to become a permanent part of that State's economy and social life.

Q For one year?

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A Yes, that is what the legislature has determined.

Now, on the one hand it is admitted that the States apparently could adopt or pass legislation which would allow them a reasonable time to determine whether or not a person is a resident — reasonable time. What is a reasonable time?

could have a reasonable time to determine residency and that that reasonable time might be three or four months but not one year, in the face of the almost unanimous judgment of the legislatures of forty States and of the Congress that one year ---

that indicates that it takes a year to determine the bona fines of the claim of residence? This is a new way to hear the argument, and it is the first time that I have heard this one. Are you suggesting that the justification for the legislation is that it takes a year to check the bona fides of non-residents?

A On the one hand it is admitted that the States should have a reasonable time within which to determine

Whether or not a person is a resident, and they admit that.

But un the other hand, it is unreasonable, capricious and

arbitrary for the States to say because a person hasn't been
in a year that welfare payments can be denied.

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I think that is an inconsistent position. That inconsistency should not be the basis for this Court's determination that the statute is unconstitutional.

The form of your law seems to assume the responsibility of determining residency if more than a year, because you have to stay there a year, and so before the term starts to run, you are a resident. I thought you indicated that this year was not for the purpose of some administrative aid in determining residency, but really had a substantive purpose of encouraging people to go to work.

A That is right. The only reason I am mentioning that is to show that in my judgment it is inconsistent on the one hand to say that the State should have a reasonable time, and on the other hand to say that a one-year is completely arbitrary and capricious.

But I do think it is important to emphasize that the States do have a legitimate purpose in encouraging people to participate in the community, and certainly the legislatures in furthering that have determined that an individual should be in the society for a year in order to fulfill that particular purpose.

7 That is a purpose in my judgment which goes beyond 2 the other purposes which have been mentioned here today. 3 Q Might I ask you how this money has been 2 raised in Pannsylvania? Through the general appropriation raising 8 measures. It is a part of the general budget. O It is based on income tax? 7 A We have no income tax. We have a general sales tex, and a corporate income tax, and estate taxes, 19 but this is a part of the general fund budget which is raised 10 in the general revenue raising measure of the State. 11 O On a year-by-year basis? 12 A Yes, one year at a time, our budget is for one year at a time. 24 When is that amount fixed? 15. The amount is fixed, for example, for the 18 fiscal year, which begins July 1, 1969, the amount is fixed 17 by the General Assembly in the early part of 1969 when it 18 approves the budget submitted by the Governor, generally 10 runs a month or so, or maybe two to three months ahead of 20 the beginning of the fiscal year. It is not a very long 21 time. 22 It is based on residence in the State? 23 Yes. 24 Of people who own property within the State? 25 105

A Yes. 2 It is payable when? 3 R It is payable currently. 13 Q What part of the year? 3 A Well, since we don't have a time -- property taxes are payable at different times throughout the year, 6 depending upon the counties in which you reside. There is 7 no State real property tax as such. There is a personal B property tax and corporate income tax payable, of course, D) in the year in which the budget is determined. 19 The corporate income tax is due quarterly, it is 11 paid quarterly the same as Federal income tax returns are 12 paid quarterly. 72 Q In advance, are they? TA Yes, in advance. 15 Might I also suggest to the Court that in order 16 to strike down the statutory determination of the legisla-17 tures in these cases, it is going to be necessary, as I 18 indicated, to strike down Section 402(B) of the Social 19 Security Act. 20 I refer the Court to Simpkins versus Moses Cohn 21 Memorial Hospital, in which this Court denied certiorari, 22 but in which the Circuit Court had under consideration State regulations and a Federal statute, and the Federal statute

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had to do with providing by the Federal Government of

Hill-Burton funds for hospitals in the States.

The Federal statute together with the State statute and the regulations authorized separate but equal nospital services, and the Court declared the Federal statute unconstitutional under theFifth Amendment, citing Bolling versus Sharpe, and of course declared the State statute and regulations unconstitutional under the Fourteenth Amendment.

upon race, and I methion the case because I submit it is authority for the proposition that where we have, as in this case, a Federal statute which directly authorizes residency requirements, that it would be necessary for this Court not only to strike down the legislation of the Commonwealth of Pennsylvania, but also of the Federal Congress.

Q Mr. Attorney General, did I understand you correctly, that ten States do not have it as of now?

A The last figures that I saw, Mr. Justice Marshall, were that forty States have residency requirements.

- Q And ten do not?
- A And I believe that would be correct.
- Q And that is perfectly legal, is that right?
- A Certainly.
- Q So that if we say the other forty are in the same category as the ten, we don't have to touch the statute?
 - A I don't see how it would be possible for

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this Court to say that a State cannot have residency requirements without declaring the statute unconstitutional.

Q The statute doesn't require it. Does the statute require it?

A Our judgment is that the Pennsylvania statute certainly prohibits, as does the Federal statute, certainly prohibits granting of welfare if the residency requirements are not met.

Q Is it your position the Federal statute requires the person to have a one-year residence?

A No.

Q Why would you have to attack the statute as far as past statute is concerned?

A Because the Federal statute specifically authorizes Pennsylvania to have a residency requirement.

Moreover, it requires the administrator to accept an aid program in which a residency requirement is included and requires them to disburse money.

Now, certainly if a residency requirement is not to be allowed, the Court has to meet the mandate of that statute, because the administrator no longer can do what he is now required to do by the statute.

Q I am talking about the State of Pennsylvania.

Cannot this Court say that as to the statute of the State

of Pennsylvania, you may not put in a one-year requirement

and you may put in a legitimate requirement? And I don't see where we have to even mention the Federal statute.

A Well, of course, there is no escaping the Federal statute when it comes to the District of Columbia case, and it seems to me that the Congress in the District of Columbia case has manifested its intention, has clearly authorized residency requirements in the District of Columbia case, and so the two statutes are, therefore, analogous, the Social Security statute, 402, carries that same provision into effect for all of the legislatures of all of the States.

O In the District of Columbia gase, you could hold the statute unconstitutional and still leave the other one. Why do we have to get to it?

A Well, it is our position that if the Congress has spoken for the District of Columbia and for all of the States in this manner, the Court cannot escape coming to grips with the Federal statute just by saying that the States have done something wrong.

The States have only done what the Congress said they could do in the first instance.

Q What is important to you, or why is it important to come to grips with the Federal statute?

A Because I think the standard is different between the Fourteenth and the Fifth Amendments .

I think first of all --

Q Isn't really the point that you are making that Congress itself has demonstrated what it thought was consistent with the Constitution?

A That is right.

Q You can make that without holding the Federal statute in doubt. The Federal statute contains a standard of judgment. You can still say that Congress has made a judgment.

can make that, but I also think that it is a support to our case to say for this Court, first of all this Court historically has said it will give greater deference to acts of the Congress, and secondly, I think the test as to discrimination is higher in a Fifth Amendment situation than in a Fourteenth Amendment case.

rinally, just let me say that as we point out in the very last few pages of our brief, that the logical result of what Appellees ask this Court to do is to end all type of discrimination in all types of welfare statutes.

Remember, all of the statutes that we mentioned yesterday, with regard to all types of welfare programs, have residency requirements therein.

In addition, is this Court now prepared to establish that welfare really is a right, and that in making a program to carry out the distribution of that right as citizens there

can be no discrimination at all, the persons under 65 who are not blind or disabled who do not belong in families with dependent children but are frequently in dire need, must the States now provide a welfare for them?

What is the result of ending this type of discrimination?

I suggest that the entire welfare program which has been adopted by the States and the Federal Congress does have discrimination included therein. We don't give welfare except if you happen to meet the particular requirements, if you happen to be blind or disabled or over 65. But what about the people who are not?

Is this Court now prepared to say that the welfare right must be extended to everyone without any discrimination whatsoever?

I don't think that the Court has ever gone that far. I am sure it hasn't. I suggest it will not do so in this case.

Finally, I direct the Court's attention to

Katzenbach versus Morgan in which this Court upheld Section

4(E) of the Voting Rights Act, and struck down the New York

statute. But at the same time admitted that Section 4(E)

which referred to American flag schools, was in itself

discriminatory. Nevertheless, it was a valid exercise of

legislative prerogative.

g Could I ask you -- I still don't understand -- do I understand that this position rests on the desire to encourage people to work rather than to save money?

words, we have spelled out that one of the legitimate purposes of the Pennsylvania statute is the budgetary requirement that limited resources are available, and these can only be, should only be distributed in a certain way, and the budgetary requirements of the Commonwealth, in order for us to determine how much money is going to be available for welfare, that is also a legitimate purpose.

Thank you.

(Whereupon, at 11:25 a.m., the above-entitled argument was concluded.)