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THE COURT, U. S.

120/68

Supreme Court of the United States

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 JOHN F. DAVIS, CLERK

In the Matter of:

Docket No. 40

WILLIAM JOE JOHNSON,

Petitioner,

vs.

HARRY S. AVERY,
Commissioner of Correction, et al.

Respondent.

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Place Washington, D. C.

Date November 14, 1968

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ARGUMENTS OF:

P A G E

Karl P. Warden, on behalf of Petitioner

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Thomas E. Fox, on behalf of Respondent

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1 IN THE SUPREME COURT OF THE UNITED STATES

2 October

Term, 1968

3 -----X

4 William Joe Johnson :

5 Petitioner :

6 vs. :

7 : No. 40

8 Harry S. Avery, Commissioner of Correction, et al.,

9 Respondent :

10 -----X

11 Washington, D. C.

12 Thursday, November 14, 1968

13
14 The above-entitled matter came on for argument at
15 1:25 p.m.

16 BEFORE:

- 17 EARL WARREN, Chief Justice
- 18 HUGO L. BLACK, Associate Justice
- 19 WILLIAM O. DOUGLAS, Associate Justice
- 20 JOHN M. HARLAN, Associate Justice
- 21 WILLIAM J. BRENNAN, JR., Associate Justice
- 22 POTTER STEWART, Associate Justice
- 23 BYRON R. WHITE, Associate Justice
- 24 ABE FORTAS, Associate Justice
- 25 THURGOOD MARSHALL, Associate Justice

1 APPEARANCES:

2 KARL P. WARDEN
3 Vanderbilt University Law School
4 Nashville, Tennessee 37203
5 Attorney for Petitioner

6 THOMAS E. FOX
7 Supreme Court Building
8 Nashville, Tennessee 37219
9 Attorney for Respondent

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11 * * *

1 P R O C E E D I N G S

2 CHIEF JUSTICE WARREN: No. 40, William Joe Johnson
3 versus Harry S. Avery, Commissioner of Correction, et al.

4 THE CLERK: Counsel are present.

5 MR. CHIEF JUSTICE WARREN: Mr. Warden.

6 ORAL ARGUMENT OF KARL P. WARDEN

7 ON BEHALF OF PETITIONER

8 MR. WARDEN: Mr. Chief Justice, may it please the
9 court, I am counsel for William Joe Johnson who is a prisoner
10 in the Tennessee State Penitentiary in Nashville, Tennessee.
11 As of the time of this argument today William Joe Johnson has
12 served approximately three years in solitary confinement or in
13 maximum security or in segregated environment within the
14 penitentiary. This confinement is over and above that con-
15 finement called for by his sentence, which is a life sentence
16 for the crime of rape.

17 The reason for this extraordinary confinement is
18 because he has violated one rule of the Tennessee state prison
19 system, and that rule is here being challenged today. That rule
20 is that no inmate shall advise, assist or otherwise contract to
21 aid another, either with or without a fee, to prepare writs or
22 other legal matters.

23 The United States District Court which first heard
24 this case determined that his confinement was arbitrary and
25 capricious and that it was in violation of Title 28, United

1 States Code, annotated Section 2242, and it was in violation
2 of the United States Constitution.

3 The Court of Appeals reversed the District Court.
4 Our argument here today seeks to have the United States Court
5 of Appeals reversed, to have further enforcement of this prison
6 rule ended, and to have William Joe Johnson returned to the
7 general prison population and these unnecessary restraints on
8 his liberties ended.

9 The basis for our argument is fivefold. The two
10 major points we wish to make are that, first off, the state of
11 Tennessee, by enforcing this prison rule, and by providing no
12 alternative assistance, has effectively blocked access to the
13 courts by those prisoners who are indigent and inarticulate or
14 illiterate, and that to so prevent these people from being heard
15 is a violation of due process, a violation of equal protection,
16 and a violation of the First Amendment freedoms of speech and
17 the right to petition for redress of grievances.

18 Our second principal point is that this prison
19 regulation is not a proper exercise of the state's limited
20 power to regulate the practice of law for two reasons.

21 One, this is not the practice of law; and two, even
22 if it should be considered the practice of law it is beyond the
23 power of the state to restrict this particular kind of practice.

24 Our further points are that Title 28, section 2242
25 of the United States Code annotated reflects the ancient common

1 law right of one layman to prepare, sign and verify petitions
2 of habeas corpus for those who cannot help themselves.

3 And the final two points are that the petitioner
4 does have standing to raise these issues, and that was the
5 holding of both the district and the court of appeals, and
6 finally that this is a proper case and circumstance for federal
7 courts to intervene with state prison management. And
8 apparently this has been agreed to by the State of Tennessee in
9 its supplemental brief provided that the court finds that these
10 regulations are in violation of federal rights.

11 Now as to our first point. It is clear that a
12 prisoner who can employ an attorney will be fully and well heard
13 by whatever court he wishes to petition. But it is equally
14 clear that if this prisoner is indigent that he has, then, only
15 four alternatives. One of these is to be able to talk some
16 lawyer into representing him.

17 The second is to proceed pro se. The third is to get
18 help from someone untrained in law. The fourth is just to
19 forget about it altogether and let his claim go by the board.

20 As to the first of these alternatives. If he can
21 talk a lawyer into taking the case, all of us who practice law
22 know what happens to the attorney who agrees to help one in-
23 digent prisoner. He is immediately inundated with letters from
24 not only that prison but from every prison in the country
25 requesting similar aid.

1 Q Are there not some states which provide legal
2 services for prisoners? It seems to me California has that.

3 A California has an amicus brief here, Your Honor. I
4 do not believe that California provides counsel for indigent
5 prisoners.

6 Q But some assistance?

7 A They provide assistance of prison guards and
8 chaplains, Your Honor.

9 Q They have a clerical staff, too, do they not?

10 A Apparently, yes sir.

11 Q And apparently the courts have cooperated by setting
12 up these forms with check-lists.

13 A Yes, Your Honor, although I expect to argue a bit
14 today that these forms were in fact not much better than the
15 no assistance at all that the State of Tennessee provides.

16 Your Honor, in the event that this attorney does
17 receive these letters, what happens ultimately is that the claims,
18 the just claims and the unjust claims alike, all go in the waste-
19 basket. While we ought to applaud an attorney who is willing to
20 help an occasional indigent prisoner we still cannot rely on
21 casual or whimsical charity to preserve the constitutional
22 guarantees of persons imprisoned in the United States.

23 It is not a sufficient answer here to say that prison
24 authorities will help these people. First off there is no
25 showing that these prison authorities, the guards, chaplains,

1 clerical help, and so on, are any more competent to provide
2 assistance than is a fellow inmate.

3 Secondly, it is clear that the prisoner knows full
4 well that the interests of his keeper and his own interests are
5 not absolutely identical. There is, there always will be a real
6 credibility gap between the inmate and his keeper.

7 The prisoner will want someone he can turn to, some-
8 one he can talk to, someone he can trust -- if not his lawyer
9 then his friend. And his friend is much more likely to be a
10 fellow inmate than it is to be his keeper.

11 I think the millions and millions of dollars that
12 the legal profession and government have spent in the past few
13 years trying to bridge the communication gap between the poor
14 and the courts, the legal profession, by moving law offices out
15 into neighborhoods, by allowing forms of lay intermediary
16 assistance, all these show that this credibility gap here is a
17 very real thing and ought to be recognized.

18 And finally on that point, as an aside, if it is the
19 practice of law for an inmate to help write petitions, why is
20 it not the practice of law when it is done by a prison guard or
21 chaplain as apparently it is done in California?

22 The activities --

23 Q I suppose it does not go to your rhetorical question,
24 but the practice is, is it not, that people who indulge in the
25 activities in which your client indulged charged their fellow

1 inmates everything that the traffic will bear, and presumably
2 prison employees do not.

3 A There is not one shred of evidence in this case that
4 my petitioner has charged anyone for his services. I do not
5 deny that prisoners could charge. I would deny that it would be
6 a proper thing to do, of course.

7 The activities of the petitioner here are attacked
8 as being the practice of law. It is said that the state has
9 the right to regulate the practice of law and that since
10 petitioner was practicing law he can be properly stopped by
11 the state prison authorities.

12 We claim first that this is not the practice of law,
13 that there was no claim here whatsoever to represent these
14 prisoners in court, that there was no pursuit of these cases
15 beyond the minimal help of helping them articulate what their
16 claim was, that he did not sign and verify these petitions for
17 the inmates, that he returned these petitions to the inmates and
18 they signed them and verified them themselves even if they had
19 to sign it with an "X" as happened in some instances.

20 Q Suppose he set up an office in Nashville and held
21 himself out to do precisely that? Don't you think the bar
22 association would be rather concerned about whether that was
23 the practice of law?

24 A Yes sir, I am absolutely certain that the Nashville
25 Bar Association would be concerned. They are a little bit

1 fearful about me being up here today, as a matter of fact.

2 Q Mr. Warden, is the issue as narrow as the proper
3 interpretation of 2242 -- is it the issue before us now?

4 A Your Honor, I think that is only one of the issues
5 before us today. I think there is a very real question here of
6 First Amendment guarantee and I think that goes beyond the
7 question raised directly by 2242.

8 Q If we were to say, perhaps we can agree with this as
9 a matter of interpretation of 2242 -- isn't that what the
10 district court did?

11 A The district court did that, yes, Your Honor, but
12 they also found that this was an arbitrary and capricious act
13 on the part of the federal prison authorities which was a denial
14 of equal protection to those persons who could not articulate
15 their own claims.

16 Q Then your answer is that we have to reach the
17 constitutional claim?

18 A No, Your Honor, I do not think you would have to
19 reach the constitutional point. Of course, we had to raise the
20 constitutional claim because that is one facet of our argument.
21 I don't think you have to reach that in order to decide this case.

22 But if I may say so, sir --

23 Q We would have to reach it if we didnot agree with
24 you on 2242.

25 A Yes, sir you certainly would in order to adopt our

1 view of the case.

2 Q Incidentally, I gather this came up -- this has been
3 treated all along as an issue for habeas?

4 A Yes, sir, it has.

5 Q Is he a state or federal prisoner?

6 A He is a state prisoner, Your Honor.

7 Q That is how we get 2242 into it.

8 A I suppose so, Your Honor.

9 All William Joe Johnson has done in this case is to
10 help these men articulate those things that they would have
11 said for themselves if they had been able to say them for
12 themselves.

13 Q You must agree, he has to have standing. Did he
14 have standing?

15 A Sir, both the district court and the court of appeals
16 agreed that he had standing.

17 Q You are not challenging that then.

18 A It is raised in the California amicus brief. It was
19 not raised by the State of Tennessee.

20 Q Why does he have standing? Can you tell me that?

21 A Yes, your Honor, I will try to. Over and above the
22 question of whether the two lower courts agreed that he had
23 standing, he is in solitary confinement now for violation of
24 this rule, and this rule is the one we are here challenging
25 today, and in order to challenge this rule today we have to show

1 why it is in violation of the constitutional rights of these
2 indigent illiterate prisoners.

3 Q How long has he been in solitary?

4 A For almost three years.

5 Q Was that continuous?

6 A He was turned loose at the end of the time when the
7 district court decided this case, Your Honor, and I am going
8 outside the record, Your Honor -- he was put in the day that
9 the Court of Appeals decision came down.

10 There were breaks in this total three year period
11 because he would promise he wouldn't do it any more and they
12 would turn him loose and he would do it again.

13 Q I understood this from the record.

14 A Yes, sir, he wasn't very trustworthy about that sort
15 of thing.

16 Q He was persistent in asserting his claimed rights.

17 A This man comes closer, Your Honor, to being a modern
18 day Don Quixote than anyone I ever heard of.

19 It seems to me that we have never doubted the common
20 law right of one layman to petition for another layman and the
21 one who is being held captive could not speak for himself and
22 when the one doing the petitioning is not an officious inter-
23 medler. At most William Johnson here was a lay intermediary.
24 All he ever did was call the court's attention to these men.
25 He did not benefit in any way except the satisfaction one human

1 being gets from helping another.

2 Even if this court should find that his activity was
3 the practice of law, and we vigorously maintain it was not,
4 still the state's power to regulate stops short of the power to
5 restrict unsophisticated persons from seeking legal redress in
6 the courts for their claimed unlawful imprisonment.

7 Both federal and state law provide a route by which
8 an imprisoned person can petition for release from imprisonment
9 but by effectively denying an identifiable class of persons the
10 right to use this law, hereby making the road impossible for
11 the indigent, inarticulate and illiterate, this post-conviction
12 remedy becomes illusory. This is not just a deprivation of
13 First Amendment rights, but it is also then a denial of equal
14 protection laws.

15 Q I suppose there are, or have been or may be, in the
16 penitentiary of Tennessee lawyers.

17 A Yes, Your Honor, unfortunately that is true.

18 Q That is a rule which would be denied them. I presume
19 they would be disbarred because of their convictions if it is
20 for an infamous crime. This rule would not allow them to use
21 their particular expertise.

22 A No inmate would be allowed to use --

23 Q How about the Arizona case which the court decided
24 in the last term where the layman is seeking to represent an
25 indigent?

1 A Yes, Your Honor. I think that the rationale of that
2 case would be entirely appropriate here although this man was
3 operating outside of an environment where there was a rule which
4 prohibited any help at all. That is exactly what this rule does.

5 This rule does not cut off help just for illegitimate
6 claims. It cuts off help for all claims. That is the only way
7 it can be viewed because these people cannot read, they cannot
8 write, and Tennessee statistics --

9 Q That was the situation with the defendant in the
10 Arizona case.

11 A Yes, Your Honor, it was, except it is my understanding
12 that the man who filed the petition for him was not a fellow
13 inmate but was someone outside.

14 Q They were both on the outside but the problem, I
15 think, would be the same of illiteracy, indigency --

16 A Yes, Your Honor, I think it would be.

17 Q You do not cite this Arizona case.

18 A No, I did not.

19 Q I suppose there is an element of considerations here --

20 A Your Honor, I think there is an interest of the
21 state here in the manner of preserving order in the penitentiary,
22 but I would say this.

23 I think we must always distinguish between desires
24 and interests. I am sure that the prison authorities desire
25 that they have a prison full of docile, unthinking prisoners

1 who don't cause any trouble.

2 I would like for my children and my students back
3 here not to cause me any trouble, and to do just exactly what
4 I tell them to do.

5 But in the long run that would not be in my interest
6 and it would not be in their interest, and it would not be in
7 the society where they live, so I think we must distinguish
8 these things.

9 Q Is it also true that this is not a question of
10 regulating but it is a question of prohibiting?

11 A It is a question of absolutely prohibiting, Your
12 Honor.

13 Q It is not a question of regulating.

14 A If it were, Your Honor, if the State of Tennessee
15 had provided some reasonable alternative whereby these people
16 that I think are being discriminated against, could have had
17 access to the court in a realistic fashion, then we would not
18 have perhaps have to have this case today.

19 Q This is the point. I suppose you could very well
20 make an arguable case for the prisoner to have the right to
21 have some help from some competent source, without being forced
22 or logically hold that a fellow inmate has the constitutional
23 right to furnish it. I suppose you are up here claiming some
24 right on your client's part.

25 A My client's right to write, Your Honor, from these --

1 Q Solely?

2 A No, he is also in solitary confinement.

3 Q I understand that. There is certainly a legitimate
4 review between him and the state.

5 A Yes, sir.

6 Q But is he claiming some constitutional right himself,
7 just to represent his fellow prisoners?

8 A He claims only this, Your Honor -- that the state
9 has not provided an acceptable alternative to his providing help
10 for them, and that he is being punished for doing that which
11 the state has prohibited.

12 Q What would you say if the evidence were that he not
13 only represented prisoners but he constantly collected money
14 from them for it and the rule of the prison was he may help
15 other prisoners but he may not collect money and if you do you
16 go to solitary confinement.

17 A Your Honor, then I think he would be in solitary
18 confinement for having collected the money.

19 Q Even though there was quite a dispute between him
20 and the prisoner.

21 A Sir, if I understand your question then my answer is
22 that they would have an absolute right to put him in solitary
23 confinement for taking money. There is no question in my mind
24 about that.

25 I may as well add this to it, too. Even if this

1 court should find that there is some right to counsel at this
2 critical stage of the post-conviction proceeding here, I think
3 there might still be a constitutional question as to whether
4 one prison inmate could turn to a fellow inmate and say,
5 "You reckon I ought to go to a lawyer?"

6 If that is sanctioned then I think we are strictly
7 on First Amendment rights at that point.

8 And this rule would prohibit that.

9 Q I understand that. Of course, that is not the point
10 here. He did more than advise going to a lawyer.

11 A Yes, sir, he did. He wrote out these petitions by
12 hand.

13 Q So you can say as well that is what the state is
14 preventing him from doing.

15 A The state here has claimed a number of interests, one
16 in prohibiting the unauthorized practice of law; one in keeping
17 good order in the penitentiary. I have spoken to that but I
18 would like to add this one thing -- there was no showing in this
19 case, and there can't be any showing, that the fact that one
20 prisoner helps another prisoner articulate his claim to a court
21 necessarily is going to create great disorder in a prison system.

22 In fact, I think it could be argued that these people
23 who are in the penitentiary, who are inarticulate, illiterate,
24 indigent, who have no way to express these claims might very
25 well be a greater source of disruptions to prison discipline

1 than one who was allowed to have his case heard by a court.

2 Q Do you happen to know whether this is peculiar,
3 this regulation to Tennessee or is it typical of other prison
4 regulations?

5 A Your Honor, I am of the opinion and impression, I
6 should say that it is a fairly common prison regulation. I
7 think California has it with a little variance in the form.

8 When I was in Utah recently I saw something in the
9 paper out there that said they had it. I think New York has it.
10 There are a number of jurisdictions which have it. I can't
11 tell you how many there are.

12 The state has also claimed an interest here which
13 the prison authorities say falls on their side of the scale of
14 balancing the interest, and that is in keeping the court dockets
15 from being clogged. But this rule prohibits with fine and
16 equal impartiality, the legitimate claim along with the
17 illegitimate claim, and there certainly cannot be any kind of
18 a showing that a legitimate claim filed by an inmate who had
19 inmate assistance clogs the court any more than a legitimate
20 claim filed by an inmate who has a lawyer to help or by an
21 inmate acting pro se.

22 In this rule it prohibits all of them, the legitimate
23 claims and the illegitimate claims.

24 Under the very narrow view of the state of Tennessee
25 and the State of California that presumed state interest here in

1 prohibiting the unauthorized practice of law, seems to be
2 served in whole part by allowing people to appear either pro se
3 or with attorneys. This ignores completely the indigent,
4 illiterate and incompetents who can neither act effectively nor
5 can they afford an attorney. The statistics that are provided
6 by the State of Tennessee show that 44 percent of the people
7 in the Tennessee state penitentiary are of below average
8 intelligence. 22 percent of the people in the Tennessee state
9 penitentiary are of defective mentality.

10 Now these people might be able to scratch out
11 something on a piece of paper. A lot of them can't even do
12 that. But it just does not seem realistic to me to say that
13 they can act effectively pro se, that they can call their claim
14 to the attention of a court or lawyer in such a fashion that
15 that claim will be understood.

16 These people are the ones who are being discriminated
17 against.

18 The State of Tennessee has provided no alternative
19 means for these people. The State of California has provided
20 a series of forms which these people might use and fill out
21 but the central question on those forms that these people are
22 to fill out for themselves is really the central question that
23 must be answered in Tennessee, and that is that this inmate
24 must be able to articulate why it was that he has been deprived
25 of his constitutional rights -- just a simple statement of the

1 deprivation of constitutional rights.

2 I found it a bit difficult to pen a simple statement
3 of constitutional rights and I have had the benefit of a legal
4 education and these people matriculated in the streets. I don't
5 believe it is taught there.

6 Mr. Chief Justice, I have asked to reserve a few
7 moments of my time.

8 Thank you.

9 MR. CHIEF JUSTICE WARREN: You may. Mr. Fox.

10 ORAL ARGUMENT OF MR. THOMAS E. FOX

11 FOR RESPONDENTS

12 MR. FOX: Mr. Chief Justice, may it please the court,
13 on the question of federal statute, I have said in my supple-
14 mental brief that I really do not think that is a serious
15 question because there the statute says that application for
16 writ of habeas corpus shall be in writing, signed and verified
17 by the person for whose relief it is intended, or by someone
18 acting in his behalf.

19 I do not think that goes any further than the minis-
20 terial act of signing or verifying a petition to be filed. I
21 don't think that contemplated preparation of petition, so I do
22 not believe that statute can be relied upon as was done by the
23 trial judge, the district judge. The Court of Appeals thought
24 to the contrary and I think certainly that that is too involved
25 an interpretation of that statute.

1 On the question of whether or not this is the
2 practice of law, that does not answer the question. Certainly
3 the state of Tennessee cannot regulate the practice of law in
4 such a way as to deny prison inmates access to the courts, so
5 I think this is a different situation really from what it is on
6 the outside.

7 I tried to think that -- well, here are inarticulate
8 people. Here are people who cannot write their names. You have
9 the same types of people on the outside. Why wouldn't the same
10 rule apply?

11 The only difference that I can see is that this is
12 favorable to people inside the penitentiary. They really have
13 more cause to petition the courts than the people on the outside.
14 I think that might be justification for it.

15 I think certainly that any rule that the State of
16 Tennessee has which would tend to deny these people access to
17 court, we do not contest that. We know that would be in
18 violation of constitutional rights.

19 Q What do you do if a prisoner asks for a lawyer?

20 A If the court please, under present procedure since
21 the adoption of our post-conviction procedure act, which was
22 adopted after this case was started but before it was decided
23 by the United States Circuit Court of Appeals in Cincinnati,
24 we provide a form in the statute for making a petition.

25 Then in one of these sections we provide that no

1 petition will be dismissed until full opportunity has been
2 given to freely amend it with the assistance of counsel.

3 So I say that any man who can say "My rights are
4 being denied me" and signs his name to it and gets into
5 federal court or in our state courts, it is that broad.
6 Counsel will be appointed for him under the statute and the
7 counsel will be given an opportunity to amend it freely.

8 Then if it cannot be amended so as to make out
9 justification or to allege a cause of action then it can be
10 dismissed.

11 Q What happens if the prisoner can't even say that
12 much? Would this regulation reach his getting assistance from
13 a fellow prisoner, even to do that much?

14 A The regulations may be a little broad and it may
15 be susceptible to the construction that my worthy opponent has
16 given it, to aid or assist. I think those words used in
17 context mean to act in the capacity of giving legal advice,
18 not in helping a man to write out a statement and make his
19 mark or sign his name to it. I do not think that it should be
20 given that broad a construction.

21 I agree it is possible, and I have learned that any
22 statute, any regulation that I have ever had experience with,
23 if the court determined to give it an unconstitutional con-
24 struction it can be done. I think that. I do not believe there
25 is any question about that.

1 However, I do not think a fair construction would
2 go that far with this.

3 Q Mr. Fox, what is the intent of the state of
4 Tennessee in keeping this man in solitary confinement for
5 three years?

6 A If the court please, it is talked about as punish-
7 ment but it is also a preventive measure. The man said and
8 his counsel said --

9 Q What is so bad about what he is doing?

10 A I think from the cases I have read, there is not
11 much evidence in the record on it, but the director of the
12 federal bureau of prisons instructed the wardens at the
13 federal prisons to provide a writ writers room and the purpose
14 of it was to prevent the writ writers from helping prisoners
15 write petitions.

16 I find from reading cases that most of the courts,
17 most of the state courts in the 50 jurisdictions have had
18 similar rules.

19 Here is what they say about it. This is what was
20 said about it in one federal court.

21 "Most laymen lack the ability and it would hardly
22 be necessary to include a special provision of law to authorize
23 the employment of trained legal assistance in preparing papers."

24 It just says that it permits the more aggressive type
25 to take advantage of the other prisoners who aren't quite so

1 aggressive. In some of the cases, I believe that in Hatfield
2 versus Bailleaux from the Ninth Circuit, it just says that even
3 though it is said that no charge is made that it is almost
4 impossible to find a case where a charge is not made. It might
5 be required in --

6 Q Is there any evidence in this record?

7 A No sir, no evidence in this record to that effect.
8 We insist if it gets back to that then the decree of the
9 district court is wrong because there is no evidence either
10 way on those questions.

11 Q Mr. Fox, let us take the case of a man who is
12 indigent in your penitentiary in Tennessee, and I suppose there
13 are many of them.

14 A Yes sir, I am sure that there are.

15 Q Let us assume that he is also illiterate, and I am
16 sure they have many of those, have they not?

17 A Yes sir.

18 Q Suppose he has a good cause for relief. How under
19 your regulation and the practice in your state can he get
20 relief?

21 A If the court please I think this.

22 The regulation provides "aid or assist." I don't
23 say that that goes to the ministerial functions. I think that
24 if in that position I had cause of action I don't know why I
25 could not ask somebody to write it for me and they would perform

1 nothing more than a ministerial act of writing what I say.
2 Then they could allow me to make my mark. I don't believe that
3 our regulation ought to be construed broadly enough or
4 inclusive enough to eliminate that type of situation.

5 Q It has been has it not?

6 A I don't believe it has. If so I don't know it,
7 unless Judge Miller, the District Judge, put that kind of
8 construction on it, and I think if he did that it really was
9 not necessary because, as I said before, I think we have to
10 construe our regulations and statutes in accordance with
11 their context or environment and keep in mind the thing that
12 was attempted to be permitted.

13 Q Tell me how far you think a man such as I suggested
14 could go under your regulation.

15 A I think when it gets beyond a ministerial act --

16 Q A ministerial act. What can he do actually?

17 A Under the statute certainly another inmate can sign
18 it for him -- the prisoner -- and he could verify it under the
19 federal statute. We do not deny that he has that right and the
20 federal statute gives him that right. That was in existence
21 when our regulation was made. I know that nobody in my state
22 would intend to contradict or ignore or be in conflict with
23 federal law because we understand that.

24 Q He can sign it and verify it. What else can he do?

25 A I would think that he might, if the man told him

1 what to write, he could write it.

2 Q What --

3 A If the prisoner told the other prisoner what he
4 wanted written I think he could do that. I think the regulation
5 is subject to that type of construction.

6 But here is the problem, and I am not sure there
7 is enough evidence in this record to show what takes place --
8 but it was observed in Hatfield versus Bailleaux, the court said
9 "We observe from the 2255 cases which have come before this court
10 that the penalties of perjury do not deter the writ writer from
11 writing whatever they want to."

12 That is the kind of thing our regulation was
13 designed to get rid of.

14 This is outside the record but the court might know
15 that usually those petitions are about that thick; they are not
16 limited to what --

17 Q You don't think we are familiar with them?

18 A I don't know whether they have been here or not.
19 You have no reported opinions on it. At least I found none.
20 Maybe the petitions haven't gotten up here.

21 Q If you think that is a novel experience in this
22 court --

23 A If the court knows about those things that is what
24 we are trying to eliminate.

25 Q Wouldn't I assume that Judge Miller in Nashville gets

1 quite a few of them.

2 A Yes sir, he does.

3 Q Yet he says the regulation is bad.

4 A Yes sir, he did that.

5 Q He is the one suffering the most, is he not?

6 A He suffers a lot. I think other judges suffer just
7 as much.

8 Q Didn't his ruling say in so many words that he
9 didn't need your help?

10 A Judge Miller?

11 Q Yes.

12 A If the court please I don't remember that in his
13 petition --

14 Q He said he didn't need that regulation.

15 A Yes sir, but we are here because we say Judge Miller
16 was wrong and because the Court of Appeals in Cincinnati said
17 that he was wrong. Judge Miller might be right but we are here
18 because we insist he was wrong and the Court of Appeals of
19 Cincinnati put it on the basis more so on the practice of law.

20 I accept that theory because the court said it but
21 I do not think that quite gets to it because, as I said earlier,
22 it seems to me there is no question but what it is the practice
23 of law. A state cannot regulate the practice of law in such a
24 way as to prevent people from coming to court.

25 Q Are we entitled to assume that in these 2200 cases

1 which you get in your courts that the main purpose of this
2 regulation is to relieve the court of a difficult burden?

3 A That is one reason. The other is that all the
4 prison officials, state and federal that I have read about,
5 and I think the cases I have read are nearly enough to include
6 all of them, they say it undermines prisons on discipline and
7 morale and it is impossible to repatriate and rehabilitate
8 prisoners after they come under the influence of a writ writer
9 who is unscrupulous and is not deterred by the penalties of
10 perjury, who uses the people for his own purposes rather than
11 the purposes the penitentiary designed -- to reform people of
12 that sort. Rehabilitation is impossible once the writ writers
13 get control of the members of the prison.

14 Here is what I looked for a moment ago. This is
15 what one of the courts said in Hatfield versus Bailleaux:
16 "Prison officials testified that if permitted to engage in such
17 practices, aggressive inmates of superior intelligence exploit
18 and dominate weaker prisoners of inferior intelligence. The
19 practice also tends to develop a group of inmate leaders which
20 is discouraged in all institutions."

21 Q What are you reading from?

22 A I am reading from Hatfield versus Bailleaux. That
23 is the Ninth Circuit case, if the court please.

24 I also got that 2255 cases from that same case, the
25 Ninth Circuit case.

1 Q Mr. Fox, do you still argue that there is no
2 standing here?

3 A No sir. As far as the man's right to petition to
4 be released from solitary confinement I think he has standing.

5 Q But if the case is mute, this case seems to me to have
6 a rather peculiar posture. If we should vindicate the right of
7 the inmate to consult with a fellow inmate for the first
8 inmate's benefit, that is one thing. But here, what we are
9 asked to do, really, as I understand it, is to hold that an
10 inmate has the right as a matter of regular practice, is that
11 not so? To help other inmates in preparing petitions for
12 post-conviction relief?

13 A Yes.

14 Q It is a little puzzling as to whether it is a
15 question of standing or something else. I was wondering
16 whether that is really different from other type cases we have
17 on petition of Certiorari in this court. I have forgotten the
18 jurisdiction in which an inmate himself applies for relief
19 because the prison authorities won't let him consult with other
20 inmates and provides no alternative.

21 Petitioner here, if I correctly understand this, is
22 asking us not only to release him from what offhand seems to be
23 a very onerous treatment by the Tennessee prison authorities --
24 he also asks us to establish his right to engage in the
25 practice of furnishing this kind of advice and assistance to

1 other inmates.

2 Do I correctly analyze this?

3 A. Yes sir. It is insisted upon by counsel that the
4 writ writer has that right because the inmate who has the cause
5 has that right. He cited authority from it. I didn't think
6 that sounded too unreasonable so I didn't question that--
7 provided it is found that this kind of help is necessary for
8 prisoners.

9 Q You do not think that what is too unreasonable?

10 A To say that one inmate had a right to assist another
11 inmate who was in need of his help. There is no question about
12 his need. It is reasonable to find that the other inmate who
13 did know how to assert his right, that he could go to another
14 person and have that person assert it.

15 I think, if I understand the question properly,
16 because of free speech they have a right to hear the news and
17 disseminate it. I hear that argument about the right of the
18 press in the court, to take pictures, and so on. They say the
19 public has a right to know and because of that we have a right
20 to gather that information and give it to the public.

21 I thought that was a similar sort of analagous thing.
22 I didn't contest it. Perhaps I should have.

23 Q That is the standing point you are addressing your-
24 self to?

25 A Yes.

1 Q The standing of him -- this petitioner to assert
2 somebody else's rights, that is what you are really talking
3 about?

4 A I did not contest that. I just --

5 Q Your adversary suggested that, at least in part,
6 perhaps almost entirely, this petitioner's rights are derivative
7 of somebody else's.

8 A I did not contest that assuming that it was not
9 objectionable on the grounds of prison discipline and order and
10 rehabilitation of prisoners.

11 Q Actually we held in the Button case, didn't we, that
12 that organization and the ACP could assert the rights of others
13 who did not want themselves to be litigants in courts, and we
14 laid it on First Amendment grounds. Of course we didn't say
15 they could assert those rights of others through non-lawyer in
16 court. We --

17 A No sir.

18 Q We did say that we didn't have any non-lawyer
19 problem here. But that sort of bears on this, doesn't it?

20 A Yes, I think that is the case that was cited in
21 opposing counsel's brief.

22 Q But part of the rationale of that case was great un-
23 likelihood that the rights would ever be asserted otherwise.

24 A That is right.

25 I noted that I have stated this a little bit

1 differently from Mr. Warden's question. He is talking about
2 the right of inmates to help others when there is no other aid
3 available. I just state it broadly on the question of one
4 inmate having the right to aid another.

5 If he insists now that it is not necessary and he
6 does not have that right when there is other aid available, our
7 position is that our post-conviction statute now makes it
8 awfully easy for any person to get into court, either federal
9 or state court, with his petition because he gets his petition
10 up there and it is not to be dismissed until an opportunity is
11 given to freely amend it with the aid of counsel. Then he gets
12 legal help.

13 One other question now about the type of punishment
14 that was imposed upon the writ writer. I think it ceased to be
15 punishment and it became a necessary measure for prison
16 discipline and the security of the prison.

17 This man said and his counsel said in the hearing
18 in the federal district court that he expected to continue to
19 write writs without regard to what the regulations were and
20 without regard to anything else except when another means was
21 provided.

22 So in order to stop him, not as a matter of punish-
23 ment, he has to be segregated or the prison officials have to
24 spend their time surveilling the other prisoners to see that
25 they do not get his help.

1 So it seems to me it is a lot easier and more
2 justifiable to put this man in prison and then the officials
3 have more time to spend with the other prisoners and give them
4 the help they need.

5 Q Putting him in solitary confinement?

6 A Segregate him from other prisoners.

7 Q That means solitary confinement, does it not?

8 A Yes sir. Any time he decided that he would not
9 write any more he would be released.

10 Q There are lots of penalties involved in the un-
11 authorized practice of law but that is kind of a new one, is it
12 not?

13 A Yes sir, but this is a new situation in the prison.
14 I think you have to consider the practice of law in this
15 situation is different from any other situation. I couldn't
16 find a case which dealt with these circumstances. I think it is
17 a peculiar case.

18 Q Would this rule apply to a lawyer?

19 A I think so, yes sir. I don't know that I fully
20 understand the question. If there were a lawyer in prison --

21 Q Assuming there might be a lawyer in the penitentiary
22 in Tennessee, this rule would apply to him?

23 A Yes sir. I think so. I think it applies to
24 everybody there.

25 Q Wouldn't that be a little silly?

1 A I don't know. If a lawyer has committed a felony
2 and he is in a penitentiary, he has proven he is untrustworthy,
3 that he cannot be depended upon and he is not a man of good
4 character, that is one of the requirements of practicing law.

5 Q Then you are actually protecting the inmates from
6 him -- even though the inmates want him?

7 A Yes sir.

8 Q Do you have automatic disbarment in Tennessee for
9 conviction of a felony or --

10 A Yes sir, I think that is generally the rule. I am
11 not really familiar with it, but I do know that to be admitted
12 you have to show evidence of good character. And it seems to
13 me once you are put in the penitentiary the presumption is that
14 you are not a man of good character.

15 Q Do you have a public defender?

16 A Yes, we have one in Nashville and Davison County.
17 And we do in Memphis.

18 Q Where is this prison?

19 A There are three prisons. One is in Nashville, the
20 other between Nashville and Memphis, and one in--

21 Q And you have a public defender in that county?

22 A No sir. I've heard this, though, off the record --

23 Q I don't know why you couldn't have the hours and let
24 the public defender see him, if you have one.

25 A I understand that(some of Mr. Warden's students

1 can correct me on this) it was arranged for them to go and hear
2 the complaints and go and write the petitions for the people who
3 were entitled to them.

4 They heard the complaints and didn't come up with
5 any petitions so the next time they went out there nobody came
6 to see them. They had no clients. That is the sort of thing we
7 are trying to eliminate, these petitions.

8 One of the courts said the petitions were stereotyped.
9 I take it to mean that each petition had the same thing as all
10 the others in it.

11 It is evident that this is what happens. These writ
12 writers, everything they know about the law they put in the
13 writ whether it is applicable to this particular inmate or not.

14 Q This petitioner wasn't put in solitary confinement
15 because he wasn't practicing law well, was he?

16 A No sir, I would say this. If he had limited his
17 petitions to the cases that a lawyer would advise a petition in,
18 there never would have been this kind of rule. You would not
19 have the petitions we have had, because most of these petitions,
20 as indicated by the Hatfield versus Bailleaux case, perhaps --

21 Q Did this gentleman have a monopoly of the business,
22 or were there other writ writers?

23 A I understand there are others, if the court please,
24 but maybe the others, when they started solitary confinement
25 agreed to stop and did stop their practice. This is the only --

1 Q Does the record show the number of writs this man
2 prepared?

3 A No sir. It shows he has filed several for himself
4 and several for other people. He filed one for himself, the
5 record shows, of forty-five pages.

6 Q I would be surprised if all fourteen of them were
7 not identical. They usually are.

8 A Yes sir. They put it all in. There is no question
9 about it -- what they know, they put in.

10 Q I don't think the ones we get here differ from the
11 one Judge Miller got.

12 A They are probably the same thing. I just did not
13 know they had gotten up here.

14 Q By the thousands.

15 A Thank you.

16 MR. CHIEF JUSTICE WARREN: Mr. Warden, you have a
17 few moments.

18 MR. WARDEN: Mr. Chief Justice, unless the court has
19 further questions of me, I have nothing further to add.

20 MR. CHIEF JUSTICE WARREN: Thank you, Mr. Warden.

21 Before we adjourn today I want to revert to the
22 case of Perez versus California, No. 39.

23 I see Mr. Fetros is still in the courtroom. I want
24 to say that I neglected to say to him what I usually say on
25 behalf of the court on behalf of those lawyers who have accepted

1 an appointment to represent an indigent defendant in this
2 court.

3 We consider that a real public service, Mr. Fetros,
4 and we are grateful to you as we are to all lawyers who under-
5 take that service.

6 We thank you for your representation. We will
7 adjourn.

8 (Whereupon, at 2:35 p.m. the Court recessed, to
9 reconvene at 10:00 a.m. on Monday, November 18, 1968.)

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