BRARY COURT. U. B.

Supreme Court of the United States

OCTOBER TERM - 1968

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Docket No. 238

In the Matter of:

DAVID I. WELLS,

Appellant;

VS.

NELSON A. ROCKEFELLER, as Governor of the State of New York, LOUIS J.
LEFKOWITZ, As Attorney General of the State of New York, JOHN P. LOMENZO, as Secretary of State of the State of New York, MALCOLM WILSON, as Lieutenant Governor of the State of New York, and Presiding Officer of the Senate of the State of New York, and ANTHONY J.
TRAVIA, as Speaker and Presiding Officer of the Assembly of the State of New York,

Appellees

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Place

Washington, D. C.

Date

January 13, 1969

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IN THE SUPREME COURT OF THE UNITED STATES

October Term, 1968

4 DAVID I. WELLS,

Appellant;

Case No. 238

versus

NELSON A. ROCKEFELLER, as Governor
of the State of New York, LOUIS J.
LEFKOWITZ, as Attorney General of
the State of New York, JOHN P. LOMENZO,
as Secretary of State of the State of
New York, MALCOLM WILSON, , as Lieutenant Governor of the State of New York,
and Presiding Officer of the Senate of
the State of New York, and ANTHONY J.
TRAVIA, as Speaker and Presiding Officer

of the Assembly of the State of New York.

Appellees.

Washington, D. C. January 13, 1969

The above-entitled matter came on for argument at 12:50 p.m.

BEFORE:

EARL WARREN, Chief Justice
HUGO L. BLACK, Associate Justice
WILLIAM O. DOUGLAS, Associate Justice
JOHN M. HARLAN, Associate Justice
WILLIAM J. BRENNAN, JR., Associate Justice
POTTER STEWART, Associate Justice
BYRON R. WHITE, Associate Justice
ABE FORTAS, Associate Justice
THURGOOD MARSHALL, Associate Justice

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APPEARANCES:

ROBERT B. McKAY, ESQ. 40 Washington Square South New York, N. Y. 10003 Counsel for appellant

GEORGE D. ZUCKERMAN, ESQ. Assistant Attorney General State of New York Counsel for appellees

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PROCEEDINGS

MR. CHIEF JUSTICE WARREN: Case No. 238, David I. Wells, appellant, versus Nelson A. Rockefeller.

Mr. McKay?

ORAL ARGUMENT OF ROBERT B. MCKAY, ESQ.

ON BEHALF OF APPELLANT

MR. McKAY: Mr. Chief Justice, may it please the Court: I should like to first introduce Mr. David Wells, the plaintiff, appellant in this case, who, through the generosity of the Clerk's office, has been allowed to participate with me as an adviser, even though he is not a member of this Bar or of any Bar, but he is a man of great knowledge in the field of Congressional districting, particularly in New York.

This is an appeal from a 3-judge Federal District

Court in the Southern District of New York dismissing the suit

that had been brought by Mr. Wells in 1966 in challenging the

then 1961 Congressional districting statute in New York.

The present appeal is from the judgment and order of 1968 upholding and, therefore, dismissing the complaint in connection with the 1968iCongressional districting statute of New York.

In a sense, this case picks up where the Missouri case, on which we have just heard argument, leaves off. In many respects it is quite different because in this case, unlike the Missouri case, the legislative posture was totally

different. There was no debate in the Legislature of any consequence. There was only one plan presented, which had been prepared by a Joint Legislative Committee on Reapportionment en camera and it was presented and passed without essential debate.

Population disparities between the smallest and the largest districts are more than twice as great as in the Missouri case, and specifically in this case, the appellant has claimed throughout that there is a deviation that is so substantial it requires justification, but the justifications given by the State are unsatisfactory and, further, that there is a lack of compactness and that the reasons for that are explained by affirmative evidence in the record showing that there was a partisan objective leading to the particular district lines that were drawn.

This case, thus, raises two principal questions that are related but separable. The first is whether population deviations that are not de minimis, what is necessary for the State in order to satisfy the burden of justification.

Second, where the districts are not as compact as they could have been, and there is affirmative evidence of a gerrymander for partisan, or in this case perhaps bipartisan purposes, is the plan unconstitutional?

The suit was originally filed in 1966 in challenge to the last previous Congressional districting statute in New

York which dated from the year 1961. In May of 1967, the 3judge court held that the statute was unconstitutional and that decision was affirmed by this Court in December of 1967.

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The 3-judge court held that the population deviations were excessive, and they were, indeed, very substantial at that time. They suggested also that there was, and I quote, "a seemingly bizarre structure among the Congressional Districts," and so the court advised that in redrawing the line, the Legislature should draw Congressional Districts that are, and I quote, "reasonably compact and contiguous."

"considerations of race, sex, economic status, or politics to cross their minds." How well the New York Legislature obeyed that injunction, we shall see.

The 1968 Act was prepared by a small group of legislators in a Joint Legislative Committee on Reapportionment and the drafting was largely in the hands of advisers to the leading Republican and Democratic figures concerned with this particular matter at the time in the State of New York.

The plan was introduced on February 20th, in response to a determination by the 3-judge District Court that a new plan must be drafted and presented by March 1st of 1968. It was discussed briefly, but not really debated, and both Houses of the New York Legislature on February 26th, passed after a 10-minute debate in the Senate and a slightly longer but

perfunctory debate in the Assembly. It was signed by the Governor 90 minutes after it had been passed by the second House.

There were substantial majorities for the legislation in both Houses and by both parties in each House. There was no real doubt as to the decision made in advance that this was the legislation that would be accepted by the New York Legislature in 1968.

The principles of Congressional districting that have been outlined by this Court, or that are, we believe, in the prospect of being defined, are several. First, substantial population equality is required.

Second, to the extent that there is comparison with State legislative reapportionment, the deviations of Congressional Districts should be and ordinarily will be smaller than in State legislative redistricting.

Third, the deviations must be explained on rational and permissible grounds, and now it seems to us that there are emerging principles which will be tested in part by this case. The deviations from compactness also must be explained by the egislative body or those who support the legislation.

Q I am not sure I understood your second criterion.

That is, as I heard you say it, smaller deviations are required in Congressional districting than might be permitted in State legislative reapportionment. Did I understand that correctly?

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A Yes, sir. In Reynolds versus Sims, the majority opinion stated that there might be a larger toleration for deviations in State legislative representation districts than in Congressional, because ordinarily there are a larger number of districts to be drawn in a State legislative chamber than in the Congressional Districts.

- Q I thought that worked the other way.
- A No, I think not, because of the necessity of the opportunity to draw so many different districts, there is more opportunity to play with the population figures, the point, in a sense, that Mr. Achtenberg made in connection with Missouri.
 - Q Smaller building blocks.
- A Smaller building blocks, and more of them; yes, sir.
- Q So it follows, in your submission, that a lower magnitude of deviation is constitutionally permissible in Congressional Districts than might be constitutionally tolerable in State legislative apportionments.
 - A Yes, sir.
- Q And you say there is something in Reynolds against Sims that says so?
 - A Yes, there is. I can find the specific --
 - Q Well, it is probably in your brief.
 - A It is in the brief; yes, sir.
 - Q Thank you.

A The emerging principles, as we see them, are that when there are deviations from compactness, that this also must be explained by the State because it is impossible to have equality without being tested, in a sense at least, by the measure of compactness, a point I shall return to.

Finally, we suggest that a gerrymander for racial, religious, socio-economic or partisan purposes is or should be forbidden by the Constitution.

Let me speak first to the question of deviations in equality as it affects this case. It seems to us manifest that the deviations in this case are not de minimis by any standard whatsoever. The deviation from the smallest to the largest Congressional District in the State of New York under the 1968 Act is more than 53,000 persons.

This is a difference in percentage point from the smallest, the least populous, to the most populous district, of over 14 percent. Even adjoining districts sometimes have population deviations as great as 50,000 where it would have been perfectly possible to reduce the figure, as I shall suggest later, to a very small figure, indeed.

Indeed, it would have been possible to change one of the substantial deviations between adjoining districts from 40,000-plus to 5,000-plus by the shift of a single county, and now if you will refer, I can show you where this is, to Appendix B -- yes, I can do it on the map that is behind me, as well. It

is also in Appendix B in the appellant's brief.

Lewis County, which is now in the 31st District, could have been shifted to the 32nd District, thus also increasing or improving compactness of the 32nd District, with a difference in population instead of 40,000-plus, of 5,000-plus.

If a further shift had been made of Hamilton County, which is here, to the 32nd District, the population deviation would have been reduced to under 2,000. But in that particular case there would have been some sacrifice of compactness and we do not urge it but simply point out that this would have been possible without any other change in the State lines in that particular area.

On the deviation from equality point, it should be noted that there is no decision of this Court that has approved a population deviation as large as the one that exists in the State of New York now. As has been made clear from the Missouri case already discussed, the only two cases in which this Court has recently affirmed a decision with a population deviation of any substantial figure have been in the Mississippi and the Florida cases, and in neither of those was the population deviation as large as in the New York case.

Q What do you think the deviation was in the Florida case?

A The Legislature thought it was 8,000-plus. When the actual figures were made available, it turned out to be

2 48,000-plus. Now, I do not make any particular --Q Wasn't that a court-made plan? 2 A Yes, that is correct, sir. 3 And the court thought it was only a deviation of 4 a total of something like 2 percent, didn't it? 5 That is correct. 6 And you say when the figures became available, 7 it was --8 A It turned out to be something like 48,000. 9 But the court, in affirming here, did it have the 10 figures then, or not? Or did it have only what the District 11 Court had? 12 I think this Court at the time had the figures 13 of 48,000, but I would have to verify that. If that is wrong, 14 I will let you know. 15 Q Because that would have made a total variation 16 of something like 12 percent. 17 Yes, from the smallest to the largest. 18 Yes, and what is the total variation in this 10 case -- 14? 20 Over 14 percent. 21 What do you think is the right approach -- from 22 the smallest to the largest, or deviation from the ideal, whether 23 plus or minus? 24 Both are available. If you want the deviations 25 10

from the ideal, the figure in this case would be 6.6 in one direction and 6.5 in the other direction. But the more dramatic figure, of course, is the deviation --

Q Well, naturally it is more dramatic, but I wonder which is the more helpful.

A I think they are both relevant and I would be glad to stand on either of them as showing a very substantial impermissible deviation in this case.

My present point is only that it is not de minimis and that, thus, under Swann versus Adams, and other decisions in this Court and elsewhere, it becomes necessary for the State to explain what the reasons for those deviations were. It is here that I believe the State has failed to give any satisfactory explanation. No explanation will hold up at all.

Let me talk then briefly to the explanations that the State has sought to give and suggest why I think each of them is inadequate and not sufficient constitutionally.

The State asserts in the first place that the plan took into account regional differences throughout the State of New York. This would obviously be of some significance. The difficulty is that the plan is not consistent in that standard. There are substantial unexplained departures from the notion of regionality or from the notion that they also assert of the desirability of preserving political subdivision lines, counties, cities, and towns, where possible. Let me give examples.

the present time, divides unnecessarily the cities of Yonkers in Westchester County just north of New York City, and Lackawanna, just south of Buffalo. It divides much more substantially than necessary the city of Rochester in upper central New York. It divides unnecessarily the town of Islip on Long Island, and it divides in ways that we think are undesirable but make no particular point of part of the boroughs in the City of New York.

Q Do you say that not dividing cities is a permissible consideration?

A Yes, sir.

Q You just say that that really is just not true in this case because at least they inconsistently applied the principle.

A Again, from Reynolds and Sims, and through the more recent cases, it has been perfectly clear that one of the factors that could be taken into account in justifying population deviations of small amounts, is the desirability of protecting against the gerrymander, and one way of protecting against the gerrymander is to use whole political subdivision units, so that there can be no charge that the lines are drawn in ways that cannot be checked out.

But in the State of New York, the State legislative

Act here in question has unquestionably divided towns and cities
in ways that were not necessary. I suggest "not necessary"

because the appellant himself has devised a plan which has been before the Court throughout, which he does not assert is the best plan, does not suggest is one that should be approved by this Court or by the Legislature, but simply to show that on the basis of an entire plan for the State of New York, it is possible to draw a plan that is more compact, more equal, and does not divide any town or city that is not required to be divided because of the substantiality of the population of that town, county, or city.

and they have not done so, certainly, on the basis of regionality.

Take for example the 35th Congressional District, which stretches from virtually the outskirts of Rochester all the way over virtually to Schenectady, a stretch of about 200 miles, more than two-thirds of the whole State.

Now, we have some reason to know what the basis for this district drawing was when it was first drawn in 1951. It was designed, according to the popular legend in New York, which I have no reason to doubt, to make impossible the election of a Democratic Congressman. In fact, a Democratic Congressman has been elected every time since then, so the purpose failed, but there still remains no logic to that district except now as a means of protecting the incumbent in that particular office.

It is not a region. It is not the Mohawk Valley, as was asserted by witnesses before the hearing below. It is not

land or area within the State of New York that makes any particular sense except for the political reasons for which it was originally drawn and has now been continued.

1.

The State has suggested that it is appropriate to consider the so-called "blue line" counties together. The blue line is essentially the line drawn around the Adirondack Preserve in upper northeastern New York, and that is the justification, they say, for not drawing the district lines somewhat more consistently with compactness and population, as appellant has suggested.

But, in fact, the very counties that are at issue here -- Lewis County, to which reference has been made before -- is in the State legislative districts joined with a non-Adirondack county, Oneida, which is a Mohawk Valley county.

So there is, again, no consistency of approach in the State and there is, we believe, no justification for the lines which have drawn that the State has satisfactorily advanced in any of these points.

The State also argues that there is a factor of legislative convenience. Perhaps this is the legislative function consideration that was discussed in the Missouri case.

They say the time was too short to devise a better plan. But the time, I call to their attention, was from May of 1967 when the 1961 plan was held unconstitutional, until March 1 of 1968, the deadline imposed by the Court. There was, indeed, time to

draw a more comprehensive, a more equality serving plan.

They suggest the necessity of preserving the election districts whole, and this, of course, is a valid consideration to minimize the dislocation of a new plan.

Appellant, in drawing his plan, did not use election districts because he did not have, unlike the State, the population of the election districts, but it could have been done just as well with election districts as with census tracts with which he largely worked.

So, again, it is a factor that could have been satisfied perfectly well and a plan that would have met the equality and the compactness standards which we believe are appropriate.

It is asserted also that this is the best plan that could have been secured, but note that unlike Missouri, there was no legislative compromise factor involved here. The plan was produced and was presented to the legislators on a take-it-or-leave-it basis and it was perfectly clear that they were expected to take it; both parties had agreed in advance that this was the plan that would best satisfy the give and take of the political and the legislative process, and so it was passed without any substantial dissent in both Houses.

Q This was done when one House had a majority
of Democrats and the other House had a majority of Republicans,
wasn't it?

A Yes, sir. Thus distinguishing it from what had

been the previous situation in New York, and in most States,
where both Houses are of a single party, where the plan is
worked out by that party and presented to the Legislature and
then that seems to have been the case in Missouri, but the dissent dispute is unavailable in those cases.

But here it was necessary to give something to both parties in order to make the plan acceptable legislatively.

Further I call to your attention, and here you will have to look at Appendix B, the map of Queens in New York City, which is on that map.

The Sixth and the Eighth Congressional Districts, if they were to be added together, could be a reasonably compact, almost circular area. But as you will notice, they have been drawn as the interlocking wedges as though of two wrenches working together. The question is why they were drawn in that fashion?

The perfectly clear answer is that the Sixth District is a Republican District and was intended to be so. The Eighth District is a Democratic District and was intended to be so.

I shall advert later, in connection with my argument on gerrymander, to the exact evidence which shows that that was not only the result but, as well, the intent.

Let me turn now to the question of gerrymander to which these arguments are, I believe, inextricably linked.

Here the question is deviation from equality and deviation from

compactness and the burden being, I believe, on the State to explain both in the rather extreme circumstances here presented.

Q I don't see Richmond here. Where is that?

A It shows only in the extreme left-hand corner, just the very timiest touch of the lower left-hand map.

You see that little line going from the 2 there.

That is the Verrazanno Bridge. To the left of that is Richmond, but it doesn't appear here. Richmond, intact, is a part of the 16th Congressional District. It is not large enough by itself to justify a whole district, so it is linked through the Verrazanno Bridge to part of Brooklyn.

- Q Over there in Kings County.
- A Yes, sir.

Q Thank you.

Vice by which a Legislature seeks to add to or diminish the power of a political group, a racial group, a socio-economic group, a religious group, or any other kind of group interest. It is that we believe is demonstrated in this case and it is that we believe must be prohibited in terms of the constitutional philosophy that is here involved.

Whether speaking in terms of Article I, Section 2, of the Constitution, as in Wesberry versus Sanders, or in terms of the equal protection of the laws clause of the Fourteenth Amendment, we believe that a gerrymander designed to favor or to disfavor some identifiable group is constitutionally impermissible.

4.

The State has argued -- I think not very strongly, but it should be mentioned -- that there is a doubt as to the justiciability of the gerrymander issue. The reliance there is on bits and pieces of lower Federal Court decisions and State Courts with one exception: that is the affirmance by this Court of the decision in WMCA versus Lomenzo, in which there was a pro curiam affirmance of a decision of a 3-judge District Court below in which, among various points, the Court had suggested that the gerrymander was not a justiciable issue.

But the affirmance pro curiam seems equally susceptible to the point that this Court was not satisfied that there was sufficient proof of a gerrymander in that case to warrant taking it up on that particular issue. It becomes particularly unlikely that the Court has determined that the issue is not justiciable in view of the fact that WMCA was bracketed in time by Fortson versus Dorsey from Georgia, and Burns versus Richardson from Hawaii, in each of which the Court explicitly recognized the possibility that, in proper circumstances, there might be racial or political gerrymandering taken into account as a basis for a holding of unconstitutionality.

This, we believe, is a case in which those factors are sufficiently evident, both affirmatively, and negatively by inference, to justify the imposition of that rule in this

particular case.

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The proof of the gerrymander in the circumstance of this case, I think, deserves some mention at this point. The circumstances of the development of the 1968 legislative plan, and of its passage through the Legislature, suggest that there was a bipartisan agreement to protect incumbents and to trade off to make sure that individuals favored by the legislative leaders in both parties would be assured as nearly as may be of a continuance of their seat or of the giving of a new seat in the Congress to be elected in 1968.

by substantial majorities in both Houses. The principal Repthlican and Democratic architects of the plan both testified in
its favor in the hearing before the 3-judge District Court below
and the evidence as to the Sixth and Eighth Congressional Districts indicates very clearly that they were drawn so as to insure a Republican preponderance in the Sixth and a Democratic
preponderance in the Eighth.

Now, that is a point that I would like to return to in the time that I have reserved, if I may leave it at that juncture.

- Q Were there any findings made on that?
- A No, sir; there were not.

MR. CHIEF JUSTICE WARREN: Mr. Zuckerman.

ORAL ARGUMENT OF GEORGE D. ZUCKERMAN, ESQ.

ON BEHALF OF APPELLEES

MR. ZUCKERMAN: Mr. Chief Justice, may it please the Court: Before discussing New York's 1968 Congressional Districting Act, I believe it would be advisable if we briefly considered the background of this litigation.

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York's Congressional Delegation from 43 to 41 seats, it was necessary to draw new lines in 1961. Now, although at that time this Court had not yet come down with the decisions in Reynolds versus Sims, Baker versus Carr, or Wesberry versus Sanders, the New York Legislature in its report of the Joint Legislative Committee on Reapportionment determined that the most important criterion to follow is substantial equality of population.

At that time, the standard that was being recommended by the American Academy of Political Science, by former President Truman, and by various Congressional leaders such as Congressman Celler, was that no district should exceed the State mean by more than 15 percent, and that is what the New York Legislature did in 1961.

The present suit was instituted in 1966, and when the 3-judge court reached its conclusion in 1967, they concluded that whatever standard the Legislature may have followed in 1961 had become outmoded as a result of the recent decisions of this Court.

They also particularly criticized the Congressional Districts in Brooklyn under the 1961 Act, where disparities of up to 29-1/2 percent existed among contiguous districts.

But when it came to determining an appropriate remedy, the District Court, in its opinion, acknowledged that it might be perferrable to wait until the 1970 census figures were available, rather than having the Legislature draw new lines in 1968 based on figures that were eight years old. However, the Court felt that the decisions of this Court in Swann versus Adams precluded such an extension.

Accordingly, to resolve this dilemma, the court below suggested a compromise which I would just like to quote from because this was very important when the Legislature drew the lines. The court said:

"Acting upon the assumption that accurate Congressional representation must await the 1970 census, and upon the Supreme Court's understandable objection to protracted delay, a compromise may be in order. The 1968 and 1970 Congressional Districts ought to be held in districts far more equalized than they are at present. There are enough changes which can be superimposed on the present districts to cure the most flagrant inequalities."

When the Legislature drew new lines in 1968, they followed this admonition from the District Court; whereas, before six of the Congressional Districts were above 10 percent

from the State mean, and seven districts were below 10 percent from the State mean, there is no district in the 1968 Act which is more than 10 percent. The largest deviation, -6.6 percent, rests upon rational State policies which I will come to in a minute.

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As for appellant's argument that the Legislature didn't have time to consider this thing, first let me point out that the Joint Legislative Committee on Reapportionment issued a 20-page report which outlined its policies, and these were in the hands of the Legislature several weeks before the Act was actually passed.

The bill was introduced on February 20th, and it was six days later when the Legislature enacted the statute. After considering the policies set forth in the report of the Joint Legislative Committee on Reapportionment, and after hearing witnesses for the State, and after giving any party — or any person, I might add — in the State an opportunity to criticize these districts at a hearing in March of last year, the District Court concluded that the Legislature had, indeed, cured the defects under the prior 1961 statute.

In Brooklyn, where the greatest disparities occurred, as I mentioned, up to 29-1/2 percent under the 1961 lines, the seven districts in this area are now all within one-tenth of one percent of each other, and they are all less than two percent from the State mean.

The minor population disparities that exist among

New York's districts rest upon rational State policies which

were undertaken by the Legislature to first, of course, create

districts that are substantially equal in population, but at

the same time to respect the integrity of county lines where

possible, and to afford recognition to the natural geographical

and economic regions within the State of New York.

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Now, in New York State the problems and the aspirations of the people of New York City are far different from those in Long Island or Westchester; or similarly, the problems of the people in the Mohawk Valley and what they expect of their Representatives in Congress are far different from the people in the Adirondacks or in the Niagara frontier.

The State wanted to afford some recognition to these regional considerations. For example, the City of New York, in light of its independent charter and its special political and economic problems, was treated as a separate entity, and nobody, including appellant, has criticized this.

Dividing the population of New York City into the population of the State entitled the city to 19 Congressional seats, and 19 is what is received. In fact, no one has been able to point to any metropolitan area within the State of New York that has been discriminated against in drawing the 1968 lines.

The City of New York itself divides within sub-regions.

Manhattan and the Bronx are separated from Brooklyn and the other boroughs by water and, as some New Yorkers will tell you, there is more than just a body of water which separates Manhattan from Brooklyn.

When it came to drawing these lines, the Bronx presented a problem because its population was too large for just three districts, but not large enough for four full districts. Therefore, a small segment of the Bronx, being the southwest portion, was attached to a district in Manhattan which it is connected to by four bridges.

Altogether, the eight districts given to Manhattan and the Bronx do not vary from each other by more than one-quarter of one percent.

Queens presented a problem because its population of 1,800,000 was too large for just four districts, but not large enough for five full districts. To overcome this problem, the Rockaway Peninsula, which lies to the south of Queens, and which is connected by toll bridges to Brooklyn, was joined in the Brooklyn District. Therefore, the other four districts, all within the County of Queens, were joined to produce districts which do not vary from each other by more than about 200 persons.

Preserving the integrity of the New York City lines

left Nassau and Suffolk Counties separated from the rest of the

State, and this was treated as a separate region in the drawing

of five Congressional Districts which are approximately equal to

each other.

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When we move north of the New York City line, the Legislature followed the county lines wherever possible. The counties of Westchester, Monroe and Erie, which were too large for just one district, of course, had to be divided, but every other county of the State has not been divided in the creation of the Congressional Districts.

Now, in the extreme western portion of the State, the 1961 districts were left alone in the drawing of the 1968 statute. The reason for this is, first, nobody had criticized these lines in the arguments prior to the issuance of the prior opinion, nor had the District Court pointed out these lines as being particularly large in disparities.

But another reason was that these districts rest upon rational State considerations as well. For instance, the largest deviation among the present districts is the 38th Congressional District, and that is the area just south of Erie, south of Buffalo. This is composed of five small, agrarian counties which are all similar both as to their nature and as to their economy.

The only way to make this district a little bit closer to the State mean would have been to take a portion of Erie County and join this into the 38th District. However, Niagara and Erie Counties form an area which is known in the State as the "Niagara Frontier." They are treated alike when

it comes to receiving Federal and State grants and various

State projects. They have practically nothing at all in common with the agrarian counties in the southwest tier.

- Q Where is Jamestown? Is that in the Niagara Frontier, or is it south of it?
 - A I believe Jamestown is in the 38th District.
 - Q Down in the 38th.

and the same

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- A Yes, Your Honor. That, I think, might be about the largest city in --
 - Q Where is Rochester?
- A Rochester is in Monroe County. That is the 37th and the 36th Districts.

In that particular area, as long as you bring it up, it should be pointed out that that area was divided, as it has been for the past prior two Congressional districting statutes, right along the Genesee River.

Now, it is interesting that nobody from Upstate or the western portion of the State came into the District Court to challenge these lines. In fact, we have no one from any of the political parties here before this Court to challenge these lines. We have just one private citizen from Queens who is taking up the supposed argument for the people Upstate or in the western portions of the State.

He argues that he could come up with a plan which would somewhat reduce the disparities in New York's present

Congressional Districts. However, he does it by ignoring many of the considerations which were felt to be very vital by the New York Legislature.

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As an example, he would move Lewis County from the present 31st District, where it is joined with the other Adirondack Counties, and move it into the 32nd District, which would, true, bring the disparity down a little bit. However, in that event, Lewis County, which strongly believes in conservation, since it is part of the Adirondack Preserve, would then be under the domination of the metropolitan area of Utica.

Appellant claims that a similar district was drawn in the State Assembly or State Senate, but those districts are much smaller in population. They are only about one-half in size of the population of Congressional Districts, so it was not possible to create one district just to encompass the Adirondacks.

As for the examples that appellant has given toward the division of cities, there were a few cities where it was impossible to keep that intact. I should say really only two cities, I believe, in the whole State. One, the City of Yonkers, a little portion, I believe one ward, was taken out of Yonkers in the creation of the 25th and 26th Districts. However, the only way appellant gets around this in his supposed plan is to take Putnam County and move it northward into the 28th District.

Now, this is an example of how a private citizen does

not understand the aspirations and the problems that are felt by other people in the State.

irrelevant to consider the economic or conservation interests or whatever you referred to, in terms of the justification of population disparities among districts, let's suppose you have a case where there is a very large population disparity between District A and District B, and let us suppose that that population disparity is a result of the Legislature's judgment that it is appropriate to consider the border counties in District A rather than District B because those border counties have interests that are harmonious with the rest of District A, but not harmonious with District B.

Are you arguing to us that that is a relevant constitutional fact?

A To a limited extent, Mr. Justice. What I am arguing is that the most important criterion is, of course, equality of population.

Q Is what?

A Equality of population. But I believe disparities, minor disparities, should be permissible when the State feels that rational State policies require such disparity.

Q I know, but are you saying here if the disparity is gross --

A No, Your Honor. If the disparity were, say, 50

percent or 30 percent, I would not say that --

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Q Well, what are the factors that are relevant, constitutionally relevant, assuming that a gross disparity exists? Any factors?

A Well, if the disparity is gross enough, I suppose no explanation by the State would satisfy this Court.

Q Suppose it is not quite so gross. Suppose it is just a little gross.

A Well, I think what this Court is really interested in is fair and effective representation.

Q No, I understand it to be the constitutional standard.

A Yes. What I am saying is that the Legislature might feel, for instance, that nobody in Congress is speaking for the conservationist interests of the State. There are 41 Congressmen from New York. They might feel that it was necessary to have at least one Congressman who represented the Adirondack area.

Q I thought you just said that is constitutionally irrelevant, where you have a gross population disparity. Now let me change that wording.

I don't suppose that numerically there is a disparity just on the basis of numbers which is constitutionally objectionable. Is it permissible, is it mandatory or is it permissible for this Court to take into account a conservation

interest in the terms that you have just stated?

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A I believe it is permissible.

Q Well, does that affect the literal constitutional standard? The constitutional objective, if I may speak very roughly and broadly, is to see that one man's vote has equal effect with the vote of another man; is that right?

A Yes, Your Honor.

Q In lay parlance? Now, what does the conservation factor in the example you have put to us have to do with that?

"fair and effective representation", I believe, can be found in the Reynolds versus Sims opinion. I believe this was the overall goal. One man-one vote was felt to be the guiding principle which would lead toward the effectuation of this goal.

But this is not just solely a question of numbers.

Basically, people want to be adequately represented in Congress and the question then becomes who is being injured? If the result of creating a Congressional District representing conservationist interests is such that the district is six or seven percent from the State mean, is anyone else in the State really being injured to any great extent?

Perhaps in a very abstract sense they are. But if one vote is 94/100ths of another vote, I would say the injury really only lies in the abstract.

Q Well, in the Midland County case I wrote an

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24 25 opinion in connection with the local government problem and arguments of that sort were made. I regret to remind you that that was a dissenting opinion. I don't believe it should have been, but that is the way it turned out.

Yes, Your Honor.

Q But you are arguing, then, that these qualitative factors are constitutionally relevant.

I am.

Do you see a difference between that kind of an interest, say representation of conservation interests on the one hand, and gerrymandering on the other, in terms of constitutional relevance?

Well, let me get to the issue of gerrymandering, because I think that presents rather complicated factors.

Q And I hope you will say something about Six and Eight, Districts 6 and 8.

A Yes, I will.

First let me say, at the outset, that this Court has never held that partisan gerrymandering presents a cognizable issue under the Fourteenth Amendment. I would say on the facts of this case, it would be hard to imagine a weaker test case than the present one to bring forth this issue.

There is a great deal of confusion, first of all, about what gerrymandering means. The evil of the original gerrymandered districts in Massachusetts was not that they

took the shape of a salamander or a dragon. The evil there was that the result was expected to produce a completely disproportionate share of seats to one party, and when I say "disproportionate" I mean because the lines were drawn in such a way that one party was expected to get many more seats than their general voting patterns in the State would entitle them to.

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I think we often lose sight of this in looking at district lines in the abstract. Compactness certainly is esthetically pleasing, but it is not the sole answer. You could have perfectly compact districts and you could still have partisan abuses, depending upon where various groups lie within the State.

Now, assuming that gerrymandering presented a justiciable issue, I believe it should be evident that appellant has failed to present any evidence which would justify this Court overturning the districts on that basis.

First of all, in any districting plan there is always going to be certain districts which are going to favor one party and districts which will favor another party. Professor McKay in one of his articles entitled "Reapportionment Reappraised" has himself said that legislative representation lines are never neutral. If you look at any one district, you can always argue that if the lines were drawn to the left two blocks or to the right three blocks, Party A or Party B might have won the election.

What I think of in terms of gerrymandering is the definition which is found in the Encyclopedia of Social Sciences which I have set forth in my brief. This speaks of the abuse of power by a party that is dominant at the time in the legislature, so as to maximize its political strength throughout the State.

Now, no one has shown that there was any abuse under New York's present lines. In terms of political realities, there couldn't have been an abuse, because the Democratic Party controlled the State Assembly, and the Republican Party controlled the State Senate.

The only two examples that appellant has been able to point to are the 35th District and the 6th District. The 35th District is located in the lower central valley of New York, this district right here. It is rather an elongated district and I admit it is not esthetically pleasing if the lines are viewed in the abstract, but it is a perfectly logical district. It consists of eight agrarian counties. They are all small in population.

The boundaries of the district exactly follow the boundaries of these counties. As for the argument that this was drawn with some partisan intent in view, as appellant's counsel has conceded, although it was expected to produce a Republican Congressman, in each of the four elections that have taken since this district was created, the Democratic candidate

has won the election.

Turning to Queens, we have the example of the 6th Congressional District. What appellant is basically arguing is that if the 6th District was drawn somewhat differently, a Republican candidate might not have won that district.

Again, in terms of realities, I doubt this very much, because in the last election the Republican Congressman carried the district by a margin of more than two to one. In fact, it is misleading when you come to New York City just to talk in terms of Republican as against Democrat. The people of New York City are quite sophisticated in who they vote for. That particular candidate is a Republican, but he happens to have a very liberal persuasion and he happens to draw large numbers of votes from Democrats and Independents.

What appellant tries to seize upon is the fact that at the hearing below, a witness representing the Majority

Leader of the State Senate said that he saw nothing wrong with a district, the 6th District, electing a Republican Congressman. What he was saying, if his remarks are read in their full context, was that the Republican Party generally captures about 43 percent of the vote in the Borough of Queens. Therefore, he couldn't see anything wrong in having them elect one candidate in the four districts that are allotted to Queens. In fact, if the Democrats won all four districts, the argument could have been made that this was not fair and effective representation.

We feel the present act is constitutional. We agree with the District Court. If this Court should feel, however, that there is any defect in any of these lines, we would ask that under equitable considerations, the drawing of new lines be deferred until the 1970 census figures are available.

Q When would that be?

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- A I imagine that would be in 1971.
- Q Which would mean you would probably have the same lines in '70 and '72?

A No, I would say that the 1972 election will be held under new lines. Certainly I think the Legislature should have the figures by the end of 1971, even if we have to pay more money to get them.

- Q The 1970 election would have to be under this statute.
 - A One more election.

If there are no further questions --

Q Well, I take it the gerrymander argument really doesn't depend on any disparities in numbers, does it.

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A No.

Q The districts could be absolutely equal in population and if the gerrymander argument is good here, it would be good there.

Right. That argument does not -- well, of course, disparities might be one example.

Since you bring that up, let me make reference to the example in the Adirondacks where we said that Lewis County was kept in the 31st District, not in the 32nd.

Now, this entire area here is Republican. The Republican candidates for Congress captured both the 31st and the 30th Districts by very large margins. So the reason that Lewis County was kept in the 31st certainly was not for partisan reasons.

Do you concede that -- the Adirondack area is the 31st, is it?

A The 31st.

Do you concede that there was deliberate intent to put the Adirondack counties in one district?

> A Yes.

In order to lump together the so-called conservationist interests?

Basically, yes. In fact, Lewis County --

Q Well, is that any different than deliberately putting in the 31st District either all Democrats or all

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A Well, as I said, the idea was to get a Congressman who would represent the dominant position in this area.

- Q And you said that the 35th was a deliberate attempt to lump together all rural interests.
 - A What we were looking for were logical districts.
- Q Well, you say it is perfectly justifiable to deliberately put together --
- A No, Your Honor; not if it created large disparities in population.
- Q No, no; not at all. But it was done to put together all the people of one interest or of one persuasion.
 - A This area is agrarian.
 - Q Yes.
- A And they had been together for many years in one particular district. This is what the people wanted of their Representatives. This is the pressure that --
- Q You suggested that it is wholly proper for the Legislature, not questionable constitutionally, for the Legislature to draw the district lines based upon the character of the interest groups who are defined by the district.
- A I am not saying that the Legislature was required to do that in this case, but at the same --
- Q But let's assume that it does do that. You say it may do that.

A Where there are not disparities in population of any appreciable extent, and where this is an area of the State where the people want this, where this is the pressure they bring to bear on the Legislature. Otherwise, if the Legislature

Q So in the 31st, the Legislature could say, "We are going to put all the conservation interests in that district, give them a Representative, and in the 35th we are going to give the rural interests who are in that county a Representative, and then down in the city we are going to draw a district so that the Negroes have a Representative, and another one in which the Roman Catholics will have a Representative."

Q How about those who are opposed to freeways?

Do you want to get a district for them, too?

A That will be rather difficult if we keep to the principle of contiguity.

What I am saying is that I believe to try to get fair and effective representation, it may not be impermissible in States like New York to give recognition to some of these interests.

Q Do you have to be right in what you have just said to win this gerrymander argument?

A No, this is just a viewpoint, but I think the argument that has been thrown in this case is so weak that I am just throwing this in --

Q Well, apparently they haven't seized on the

strong gerrymander argument, about the 31st District.

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A Well, certainly when you talk about partisan gerrymandering, one political party as opposed to another, there really is no argument that can be made with regard to the 31st District.

you stressed the fact that there was no partisan districting; that it was agreed by both sides that it was all right. Would you think that if we consider that there has been gerrymandering that it would be any less wrong had it been accomplished just because the members of the Legislature or the members of Congress wanted to have their own districts protected and did it for that reason, rather than for partisan reasons?

A Well, that only played an element in this regard, Your Honor: that since they felt there would be new district lines after the 1970 census, they thought it would be foolish to completely wipe all the old districts off the map and start in a vacuum. They felt it would be desirable to make as few changes as possible, rather than have three completely different changes in Congressional constituencies within about a six-year period.

Thank you.

MR. CHIEF JUSTICE WARREN: Mr. McKay?

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REBUTTAL ORAL ARGUMENT OF ROBERT B. MCKAY, ESQ.

ON BEHALF OF APPELLANT

MR. McKAY: May it please the Court, two brief, specific points, one in response to Mr. Justice White's earlier question about Gong versus Kirk.

As I see the District Court opinion in that case, which is 278 Fed. Supp. 133, which is the one that I believe was affirmed by this Court, the reference there is to the disparity in population of only 8,000. Whether something else was developed between the time of the --

There was. There was a motion for reconsideration in the District Court, which brought the other figures to the District Court's attention and the figures were discussed in the jurisdictional statement in response here, to some extent.

Now, could I ask you if you would make the same argument on gerrymander here, whether there was any population disparity or not?

A Yes, sir; I would. It was emphasized and exaggerated by the two facts of substantial population deviations, otherwise unexplained, and the lack of compactness, which makes the district suspect, and then there is also affirmative evidence in this case that --

Q Is it also your claim here that the population disparities themselves were energized by a gerrymandering motive? A Yes, sir; at least in part. Let me give you some examples of that, if I may.

I will first make reference to my own writing, the fact that the drawing of political lines is never neutral. Of course, that is true. But the objective of the Constitution, and of the earlier decisions of this Court, as I understand it, is to make sure that it be as neutral as possible and it be as free of erroneous, nonpermissible considerations as possible.

We go, then, right to the question of whether it is permissible to have a conservationist viewpoint represented, or a religious viewpoint represented, or a Congressman who represents a racial, a socio-economic, or political --

Q Or an urban district.

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A Or an urban district. Now, the urban districts pretty well will be represented where you have a substantial city and, indeed, it would be my own belief that as far as possible to protect against gerrymandering, the city should be kept intact. The district lines, whether they be cities, counties, townships, or whatever the case may be, should be adhered to where possible, and that is what has not been done in the State of New York.

They should be adhered to, to the extent possible, to protect against the gerrymander.

Now, the question was raised by Mr. Justice Fortas --Q Is one independent ground of yours that they

are gerrymandering?

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A Yes, sir.

Q It does not depend at all upon the disparity of the voters, one man-one vote?

A We make both arguments, Your Honor: that there are substantial population inequalities that are not explained by any rational and permissible ground; and that there is a gerrymander.

Q Which constitutional provision do you rely on as to the gerrymandering?

A On both the equal protection clause of the Fourteenth Amendment and Article I, Section 2. Fair representation, the vote by the people, is within Article I, Section 2, and that to us means equality and fairness, and the equal protection clause, of course, means that there shall not be arbitrarily drawn districts.

Q With reference to constitutional principles, do you say that in addition to actual population equality, constitutionally there is also required compactness?

A Yes, sir.

Q And that is the way you handle it, or express it, that gerrymandering can't be done, whether it is for conservationist, religious, political, or other grounds, because you would introduce the element of compactness, the constitutional principle?

- A Yes, sir.
- Q I have forgotten, it is some while now, but didn't the old 1912 statute, the Federal Statute, as I recall it, used to have the criteria of compactness, contiguity --
 - A And contiguity.
 - Q Was there also population? I have forgotten.
 - A No, there was not.
- Q Now that, of course, was repealed. In the old Groom case, wasn't there some consideration of compactness as a constitutional criterion, or not?
 - A No decision on that point.
 - Q Was it raised or suggested or discussed?
- A Whether it was raised, I am not sure; but there was no decision on that point.
- Q But in any event, you would ask us to add to substantial population equality, a constitutional requirement of compactness.
 - A That is correct.
 - Q Now what about contiguity?
 - A And contiguity, I think, quite clearly.
- Q Well then what you would have us do then, ultimately, I take it, is to convert those statutory criteria, adding the population equality, as we have now defined it, into
 constitutional criteria.
 - A Yes, sir.

Q May I ask you, along the same lines that my brother Brennan has been asking questions, do you really mean that, or do you mean that where there is gerrymandering, the likelihood is very great that the result of it will be to dilute or arbitrarily to manipulate the effect of the individual's vote so that, in short, are you talking about two constitutional principles here, or one?

One constitutional principle has been popularly called the one man-one vote principle. Now, is gerrymandering under that heading, or is it something different in constitutional terms?

A I believe it is a function of the equality argument. Our proposition, I think, would run this way: that substantial equality is required. In this case, that alone would be enough to justify reversal.

But in addition, in order to make the equality aspect work, as someone suggested, it is only one part of a pair of pliers -- equality. In order to make it work, there must also be protection against use of the districting process for impermissible purposes or else equality serves no real function of itself.

So our second argument is that equality and compactness must be satisfied in reasonable ways and where it is not satisfied, the State must explain. Some explanations can be given.

But in this case there are no satisfactory explanations and,

indeed, there is affirmative evidence of a conscious intent to gerrymander for partisan reasons.

Q Well, if I live in the northeast section of a State and the Legislature gerrymanders the State — to take an extreme and, therefore, absurd example to illustrate the point so as to put my area in with the extreme southwest portion of the State so that they will put together a Democratic district, then it seems to me that what has happened is that my vote as a resident of one of these extreme areas is being manipulated.

A Yes, sir.

Q And that does bear upon the principle of equal effect of my vote.

A Right.

Q And it is from that principle, I take it, that you would derive a constitutional basis for your insistence upon an absence of gerrymandering.

A Quite clearly so. In this case there is, in addition, the affirmative evidence of conscious purpose for partisan or bipartisan results and, in addition, as Mr. Zuckerman has just conceded, there was an effort to secure a conservationist district, which seems to me to pervert the old rural-urban dichotomy that was the thrust of Reynolds versus Sims.

Q We don't have to reach this gerrymandering question if we agree with you that the substantial population principles have been met.

A That is right, sir.

Thank you.

(Whereupon, at 1:50 p.m. the argument in the aboveentitled matter was concluded.)