

9
Supreme Court of the United States

October Term, 1968

In the Matter of:

EVELLE J. YOUNGER,

Appellant;

vs.

JOHN MARRIS, JR., et al.

Appellees.

Docket No. 163

Office-Supreme Court, U.S.
FILED

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C O N T E N T S

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2 October Term, 1968

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5 Appellant; :

6 vs. :

No. 163

7 JOHN HARRIS, JR., et al., :

8 Appellees. :

9 - - - - - X

10 Washington, D. C.

11 April 1, 1969

12 The above-entitled matter came on for argument at

13 12:55 p.m.

14 BEFORE

15 EARL WARREN, Chief Justice

HUGO L. BLACK, Associate Justice

16 JOHN M. HARLAN, Associate Justice

WILLIAM J. BRENNAN, JR., Associate Justice

17 POTTER STEWART, Associate Justice

BYRON R. WHITE, Associate Justice

18 ABE FORTAS, Associate Justice

THURGOOD MARSHALL, Associate Justice

19 APPEARANCES:

20 ALBERT W. HARRIS, JR., Esq.

Assistant Attorney General of

21 the State of California

Counsel for Appellant

22 SAM ROSENWEIN, Esq.

23 Counsel for Appellees

24 - - -
25

1 P R O C E E D I N G S

2 MR. CHIEF JUSTICE WARREN: No. 163, Evelle J.
3 Younger, Appellant, versus John Harris, Jr., et al.

4 Mr. Harris.

5 ARGUMENT OF ALBERT W. HARRIS, JR., ESQ.

6 ON BEHALF OF APPELLANT

7 MR. HARRIS: Mr. Chief Justice, may it please the
8 Court.

9 This is an appeal by the District Attorney of Los
10 Angeles County in California from a judgment and order of the
11 District Court in Los Angeles, a three-judge District Court,
12 convened pursuant to a complaint filed by the appellees here
13 holding the California criminal syndicalism act void on its
14 face in all of its provisions and particulars and regardless
15 of how it might be applied.

16 In addition, the three-judge District Court issued
17 an injunction against the District Attorney, Mr. Younger,
18 enjoining him from any further prosecution of John Harris,
19 who was then under indictment in the Superior Court of Los
20 Angeles County.

21 In arriving at this conclusion, one which has been
22 appealed here by both the District Attorney, who is the party
23 below, and the Attorney General of California, we contend that
24 the District Court was wrong, that the District Court should
25 have dismissed the complaint to start with and if it did reach

1 the question on the merits that the proper consideration of
2 State law in California construing the criminal syndicalism
3 act would have required the Court to hold that the statute was
4 Constitutional and not unconstitutional and we ask this Court
5 whichever it might choose to do, either send it back for purposes
6 of being dismissed -- because we don't think it is a proper
7 case to start with -- or if you wish to reach the merits, why,
8 we think you should hold that it is a valid statute in light of
9 the California decision.

10 We say that the Court should have dismissed this
11 complaint below without any further ado.

12 John Harris had been indicted in September of 1966
13 and charged on two counts with violation of the criminal
14 syndicalism act. He was charged with passing out leaflets
15 which advocated criminal means for bringing about changes in
16 our society in California.

17 The leaflets were appended to the indictments; as
18 usual, under California procedure, he was furnished a transcript
19 of all of the testimony at the grand jury, which shows the
20 facts underlying the prosecution. None of that is before you.
21 None of that was before the District Court.

22 Unlike the case you heard argued here earlier, there
23 were not related counts involving the possession of weapons
24 or such things. In addition, the criminal syndicalism act
25 should not be confused with the criminal anarchy statute in

1 New York. They both stem from the same general idea and --
2 as does the Smith Act, which, of course, you have upheld. But
3 the California act, instead of simply stigmatizing the advocacy
4 of the overthrow by force or violence of the government, speaks
5 quite differently.

6 It addresses itself to the advocacy of particular
7 means of bringing about social change, and it lists those means
8 and it lists them very specifically. It refers to the commis-
9 sion of a criminal offense. It refers to sabotage. It refers
10 to unlawful acts of force and violence and it is only the
11 advocacy of these criminal means, criminal ways of bringing
12 about changes in the society, that are stigmatized. The
13 objective must be a change, either in the political structure,
14 or a change economically, the whole statute having been drafted
15 at a time when the advocacy of economic change, and perhaps
16 even, in this regard, a political change, was popular.

17 Your Honors have held recently without a case or
18 controversy the District Court has no jurisdiction, has no
19 jurisdiction Constitutionally. We think as to three of these
20 plaintiffs it was clear that the Court had no jurisdiction.

21 Now, Harris filed this complaint and he was awaiting
22 trial on his indictment. He had attacked the indictment in the
23 State Court. He had asked the District Court of Appeals, our
24 intermediate appellate Court, for a Writ of Prohibition. It was
25

1 denied there and he applied for a hearing in the State Supreme
2 Court and denied there.

3 Under our procedures the higher Courts had the discre-
4 tion to rule on a matter of that kind or not, as they see fit.
5 He was not foreclosed from raising the Constitutional question
6 later, if he was convicted, in the normal appellate process.

7 But he went into the Federal Courts and, perhaps
8 sensing some problem under Section 2283, he brought along some
9 additional plaintiffs. Two of them were members of the Progres-
10 sive Labor Party. Harris, I believe, alleges and, I think as
11 a matter of fact, was, himself, a member of the Progressive
12 Labor Party.

13 They said they had advocated some doctrines seeking
14 change in industrial ownership and so forth and they felt
15 inhibited, in attempting through peaceful, nonviolent means,
16 to advocate their program and, therefore, they wanted some
17 relief.

18 Another plaintiff was brought it by the name of
19 Broslawsky. He was a teacher at one of the State colleges out
20 there and he said he thought about the doctrines of Karl Marx
21 and he thought about the Communist Manifesto and even read from
22 it and now he was uncertain as to what he could say in light
23 of this statute.

24 Now, these are the only plaintiffs before the Court.
25 The only action by the State that occurred was the indictment

1 of Harris. There were no arrests, searches, no announcements,
2 manifestos, as you found in Dombrowski, about what anybody is
3 going to do with this group or, as far as I know, to any of
4 these plaintiffs. They have never been, with the exception of
5 Harris, of course, charged with anything.

6 Indeed, the District Court after proceeding to find
7 the California law unconstitutional on its face, stated,
8 unequivocally, that they were under no apprehension and that
9 there was no danger, whatever, that these three people would be
10 prosecuted or that the Courts in California would entertain a
11 prosecution on the grounds that they stated in the complaint.

12 Now, we say, having said that, they have no jurisdic-
13 tion as far as these plaintiffs are concerned and we rely, as
14 has already been mentioned here on your decision in Golden
15 against Zwickler and we don't think that these people had
16 presented any kind of a case or controversy to the Court.

17 Q Including the one under indictment?

18 A No, I will come to him in just a moment, Your
19 Honor.

20 He does stand on a different foot. He was indicted.

21 Q Up to now you have just been dealing with those
22 others?

23 A Exactly, exactly.

24 Q With respect to Harris, you are going to argue
25 2283, aren't you?

1 A Exactly, and we will argue some other things --
2 that there was no irreparable injury other than his own
3 prosecution. There was no pattern of prosecution. There was
4 not even any allegation that anybody else was bothered by this
5 prosecution or was likely to be prosecuted or that any or all
6 of the facts that you eluded to in Dombrowski were present.

7 We think, specifically, as to the injunction in connec-
8 tion with the prosecution of Harris, that that is barred by
9 Section 2283 and that is developed in our briefs. I don't
10 want to go into that in too much detail except to say this:
11 That this case presented a very excellent situation for the
12 application of the abstention doctrine and so you can see the
13 value of 2283 in failing to restrain a State Court prosecution.

14 There are two complaints, basically, about the
15 California statute. One is that it is vague. We don't know
16 what it means. The other one is that it is overbroad. It
17 prohibits things that they should be allowed to do.

18 The contention of Harris and his fellow plaintiffs
19 is that they don't have State decisions that will clarify these
20 points. Now had the Federal District Court declined to act on
21 this case and had Harris ever been convicted in the appeal is
22 no question but that any vagueness problem that there is -- I
23 am not suggesting that there is a vagueness problem, they
24 think there is -- could have been resolved by the State Courts
25 and, in defining the conduct, the area of conduct

1 proscribed perhaps removed completely the overbreadth question
2 and, thus, removed completely any necessity of a Constitutional
3 adjudication.

4 After all, if the statute doesn't apply to what you
5 might think is protective conduct, then you don't have anything
6 to decide.

7 This case was a perfect vehicle for this to be done
8 and not for the Federal District Court to show what we think,
9 in all due respect, was unseemly haste in declaring a California
10 State law unconstitutional.

11 Q Well, now, why? I expect Zwickler and Koota
12 has a bearing in this, doesn't it on a declaratory judgment
13 aspect on this ---

14 A Well, I ---

15 Q --- not on the injunctive aspect?

16 A Declaratory judgment aspect, Your Honor, we
17 don't think Zwickler against Koota is controlling here. That
18 prosecution, as I recall, had been completed in that case, in
19 the State Courts.

20 Q Well, there was no prosecution pending when the
21 lower court decided.

22 A Exactly, there was no prosecution pending.

23 Q And you say there is one here.

24 A Well, I think as to the declaratory judgment
25 and as to Harris, I think that is true. I think it is a matter

1 of comedy. I don't think that makes it unimportant or insignifi-
2 cant.

3 Q Don't you think it is significant here, though,
4 that the matter -- while in a normal case that might be some
5 force, if there are two proceedings going on at the same time,
6 involving the same questions, that maybe the Federal Court
7 ought to wait until the State gets done. But in this case the
8 State has already rejected this Constitutional claim.

9 A Well, it is ---

10 Q It has already been presented in the State
11 Courts. It has been rejected.

12 A No, Your Honor, it was only presented by means
13 of the interlocutory motion to set aside an indictment.

14 Q Isn't that a ---

15 A And the attempt to invoke the discretionary
16 review in the Appellate Courts. You have no right to go to
17 the Appellate Courts at that point.

18 Q I understand that but the trial court has already
19 rejected it anyway.

20 A Oh, the trial court, certainly, on the merits.
21 There is no question about it.

22 I think, in addition, in Zwickler you had a very
23 clear claim of simply overbreadth, not of any vagueness. There
24 was no problem of vagueness in the statute. The question was
25 that it covered protective conduct and that was going to have

1 to be reached. Our case is quite different.

2 I would like to turn, if I might, to what I think
3 is the more important question here and that is the merits of
4 the decision. As I say, we think they should have dismissed it
5 summarily but they didn't.

6 The District Court, of course, recognized, as we all
7 recognize, that in Whitney against California this very Court
8 unanimously had sustained a statute that was here being enforced.
9 But I think what they didn't do was recognize what had happened
10 in California since Whitney had been decided. I think that
11 what they did not do was recognize that some of the very issues
12 that were decided here were decided in Whitney.

13 If you go back and read Whitney again you may think
14 of it as a discarded decision but the very same claim of
15 vagueness that is raised here and which was the foundation for
16 the judgment below was discussed at very great length in
17 Whitney and that there was no division in the Court on that
18 question.

19 Justices Holmes and Brandeis had no concern about
20 the vagueness aspect of it. They didn't think it was vague.
21 Indeed, the only problem they saw in the case was that the
22 defendant should have had an opportunity to show that there was
23 no clear and present danger arising from her particular conduct
24 and they felt there was evidence that would show there was
25 such clear and present danger, that is, some eminence of

1 violence, as I recall.

2 So, they joined in the opinion affirming the validity
3 of the California criminal syndicalism act. Well, it has not
4 been back before the United States Supreme Court since then
5 and it would be naive to suggest that the thinking about the
6 First Amendment and about the statute hasn't changed somewhat
7 although the same test that you use over and over again from
8 the Connelly decision, that the people shouldn't have to guess
9 the meaning of words. That test was used in Whitney. It is
10 basically the same test.

11 Nevertheless, there has been some changes in the
12 approach and in the thinking. This was recognized in
13 California. It was recognized no less than in 1946 in the
14 Bascomb case, a case which construed the criminal syndicalism
15 act in the light of changes in the law since Whitney had been
16 decided.

17 Chief Justice Traynor, who was then an Associate
18 Justice, recognized specifically that there has been a lot
19 happening since Whitney was decided and we have got to look
20 at this again in light of what has happened since then and
21 he did. It is a long opinion and it discusses, I think, all of
22 the cases that had intervened and all of the concerns that
23 this Court has manifested over the years with the need for
24 protecting free speech and drawing a line between free speech
25 and illegal advocacy and he even, in that opinion, announced

1 a test which is even stricter than your own Dennis test.

2 He said that the danger aroused by the speech had
3 to be imminent and the danger had to be a danger that the
4 State could properly prohibit and let me remind you again that
5 what is prohibited here is the advocacy of means, unlawful acts,
6 criminal acts, violent acts.

7 Q Which case is that? I am sorry.

8 A That is the Danskin decision, Your Honor.

9 Q I see.

10 A Danskin versus San Diego Unified School District.

11 Q 171 Pac. 2d 885?

12 A Yes, sir, that is it.

13 Q Would that be it?

14 A Yes, sir.

15 Now, it might be suggested that this was not a
16 criminal prosecution under the criminal syndicalism act. What
17 it was was a case reviewing the action of a local school
18 board in applying the civic center act in California.

19 That act required a loyalty oath, in effect, if you
20 wanted to use a school room to hold a meeting, an oath that
21 you did not or had not advocated the overthrow of the govern-
22 ment. It was argued in the State Supreme Court that the
23 civic center act and its oath requirement was the supplement
24 to the criminal syndicalism act and it was to carry out the
25 purposes of that act.

1 So, in order to appraise that argument Judge Trainer
2 addressed himself to the criminal syndicalism act, what it
3 meant and what it prohibited and anybody who is in any doubt
4 about what it prohibited can find, in that opinion, what it does
5 prohibit.

6 He made it very clear that it did not prohibit the
7 advocacy of abstract doctrines, the discussion of abstract theory.
8 It was never intended to do that and it doesn't do that and no
9 one should be in any doubt in California about the breach of
10 the criminal syndicalism law.

11 Q The indictment does appear in the appendix here,
12 doesn't it?

13 A It does not.

14 Q As I understand the complaint that the plaintiff
15 Harris says he was indicted for violating the criminal syndicalism
16 act for distributing and circulating leaflets bearing the imprint
17 of the Progressive Labor Party. If that is all that he was
18 doing it is pretty hard to see how he was violating the statute
19 as you say it has now been authorized to construe.

20 A We don't know, Your Honor. He was never tried.
21 Maybe he was not in violation of the statute. No one can say
22 at this point. That is the purpose of the whole trial system.

23 Q Yes.

24 A But, if I can just add this. The indictment,
25 I think it is fair to say, did contain the leaflet that he

1 was handing out on these two occasions. That, apparently, is
2 not a part of the record below. We didn't handle the case.
3 The District Attorney handled it below.

4 If you explore the grand jury transcript, which, again
5 is not part of the record, as I understand it, below -- indicen-
6 tally that is not a secret document in California. The
7 defendant gets a copy of it. So everybody knows what is in it.

8 What really happened here -- and I am not going to say
9 that this can properly be prohibited. I don't think we have
10 reached that point because the statute wasn't applied to him and
11 he has the opportunity to trial to explore all of these issues.

12 This whole incident occurred in April, 1966, about
13 six months after the great Watts riots. It grew out of the
14 shooting and killing of a man named Leonard Deadwiler in Los
15 Angeles. He was a black man and it turned out he was taking
16 a pregnant wife to a hospital. Some confusion with the police
17 officer and he sped away as I recall and the police officer
18 chased him and later stopped him and later a coroner's inquest
19 determined that the officer approached the car with a weapon,
20 being fearful of the situation. He leaned into the car, the
21 weapon went off and killed Mr. Deadwiler.

22 Now, there was great furor about that in the Los
23 Angeles community. The District Attorney felt, in reviewing
24 the case, that it did not call for an indictment but that the
25 people had to know what had happened here. A coroner's inquest

1 was held, at which all the witnesses testified as to what had
2 happened and I don't want to go into that.

3 They started it and then they had to stop because
4 there was just too much disorder and unruly behavior so they
5 moved it from where you would normally hold an inquest, where
6 you would have three or four people, to the biggest courtroom
7 in the county courthouse in Los Angeles, and that is some court,
8 much bigger than this room. It holds several hundred people.

9 The place was completely braced with police. The
10 audience was about 90 percent black. There was some disruption
11 when witnesses -- booing of witnesses. This was the atmosphere
12 at that courtroom. Mr. Harris was out there handing these
13 leaflets out in this setting and the leaflet -- I am not going
14 to read the whole thing -- says "Wanted for the murder of
15 Leonard Deadwiler, Bobo the cop".

16 Q Where is that published in the record?

17 A Pardon me?

18 Q Where is that published in the record?

19 A Well, it is not, Your Honor. I have the indict-
20 ment here. The gist of it was that Watts was a great concentra-
21 tion camp, Bobo was a guard and Deadwiler an inmate and we
22 have to exterminate them before they exterminate us. That
23 was the gist of it.

24 Q How do you make that? You say that that is
25 advocating extermination of the police?

1 A Yes, we do.

2 Q And you say that he was calling on the people
3 to go ahead and immediately to rise and attack the police.

4 A That is something for the trial court to
5 determine and the jury to determine, I think.

6 Q What is your Constitutional theory?

7 A But he won't be convicted unless it rises to
8 that level of advocacy.

9 Q Why is that? Certainly the language in the
10 statute is broad enough to convict him for advocacy or even for
11 approval; is that right?

12 A Well, that language doesn't stand alone, Your
13 Honor; that language has been construed. It was construed in
14 Danskin. It was construed, if you please, almost 50 years ago
15 in a case called People against Malley that we cite, which
16 was a prosecution under this very section, handing out leaflets
17 and that sort of thing.

18 Q By the way, when was the last time before this
19 that an indictment was laid under this statute in California?

20 A Well, it was a long time ago.

21 Q The statute was enacted in 1919?

22 A That is correct.

23 Q And then there were a lot of prosecutions then
24 and then there were some more prosecutions in the '30's?

25 A I don't think there were many in the '30's. I

1 think both of them, I believe, were in the '20's.

2 Q And now the statute is a statute being used
3 widely in California, do you know?

4 A I only know, in addition to this prosecution,
5 of one other prosecution and that is of a group of American
6 Nazis.

7 We found some things in the course of that investiga-
8 tion that we thought the Court might be interested in and
9 appended those to our brief. There, of course, the terrorism,
10 the only answer to terrorism, when they burn our flag, it is
11 time for violence. All of this addressed toward violence, toward
12 Negroes and people who, in the judgment of these people, are
13 advocating very bad causes.

14 I think, Your Honor, we have tried to point out that
15 there has been a change in our society. It isn't that somebody
16 suddenly read the criminal syndicalism act, although I think,
17 in all honesty, if you ask 1,000 people about it in California,
18 you wouldn't get an answer from over one or two.

19 It isn't because somebody suddenly read it and said
20 "Let's prosecute". It is because the advocacy, what is prohibited
21 here, the advocacy of bombing, of chilling, of these things as
22 means of social change. The advocacy that we have seen in
23 California and the advocacy that this statute is directed to
24 is the advocacy of bombing, killing the police, specific
25 advocacy of specific acts.

1 This renewal of this kind of activity -- maybe this
2 isn't what happened in the '20's or the turn of the century
3 but it is said that that sort of thing happened with the anar-
4 chists and syndicalists.

5 We see the same thing happening again in California
6 and elsewhere and this statute has suddenly again become, in
7 one of the favorite words of some people, relevant. Maybe
8 it wasn't for a long time but it is now.

9 Q Let me see if I understand your position. You
10 are defending this statute on the grounds that it strikes at
11 the advocacy of violence; is that right?

12 A That is correct.

13 Q And you are not trying to defend it on the words
14 that are in the statute, about aiding and abetting.

15 A Well, I have to defend the statute as it is
16 written and I do defend those ---

17 Q Well, if you defend the statute as it is written
18 then you have got someother problems.

19 A Well, I think that you have to read those
20 words in the light of the way that they have been construed,
21 not as if I made them up here, advocates ---

22 Q That is not my question. My question is whether
23 you are defending the statute in this litigation on the basis
24 of the following language which appears in Section 11400. It
25 says Criminal syndicalism means -- I will interpolate -- not

1 only any doctrine or precept advocating or teaching but also
2 any doctrine aiding and abetting the commission of the crime
3 of sabotage.

4 What I am trying to get at is whether your submission
5 to us relies, to any material extent, upon the use in this
6 statute of the phrase "commission of"?

7 A "Commission of"?

8 Q Yes, 11400

9 A You mean "aiding and abetting the commission of"?

10 Q Yes.

11 A Is it the "aiding and abetting"?

12 Q And the "commission of" as possibly distinguished --

13 A Well ---

14 Q I am not trying to suggest an argument to you.
15 I am merely trying to clarify just what your position is.

16 A All right, this is what our position is: That
17 advocating and teaching are the key words and they are words
18 of art. Aiding and abetting is the customary expression in
19 California to define an accomplice, a principal in the second
20 class. It is not even written into most statutes -- committing
21 murder is proscribed but if you aid and abet in the commission
22 of murder you are punishable, as a principal, for murder.

23 We say those words are used here in connection with
24 advocating and teaching and we refer to a subsidiary rule in
25 this activity with guilty knowledge and so forth, just as if it

1 were any other crime.

2 Q You are defending this on the plain basis of
3 statute that makes it a crime to teach or advocate the
4 described act.

5 A As those words are understood by all of us and
6 has been explained by this Court many, many times.

7 Q Right.

8 A And by the California Courts. I think I have to,
9 Your Honor.

10 Q Mr. Harris, what was the date of the Danskin
11 case?

12 A Well, it was in 1946, June, 1946, some years
13 before Dennis and there hasn't been anything since because
14 there hasn't been any prosecution. This case, had it been
15 tried in the State Court and appealed, would have presented
16 the issues.

17 Q How many sections of the law are involved here?

18 A Only one was prosecuted under the one that
19 prohibits printing, circulating, distributing written ---

20 Q I take it you argue that none of the other
21 sections should be reached by any court.

22 A Exactly. There is an express severability clause
23 in the statute. There are cases -- and we have eluded to them ---

24 Q The Court below declared them all unconstitutional.

25 A Everything, every part of it, every word in it

1 and every application of it.

2 Thank you.

3 MR. CHIEF JUSTICE MARSHALL: Mr. Rosenwein.

4 ARGUMENT OF SAM ROSENWEIN, ESQ.

5 ON BEHALF OF APPELLEES

6 MR. ROSENWEIN: May it please the Court.

7 The statute that was presented to the District
8 Court was a pure speech statute. It punishes advocacy, teach-
9 ing, justifying, publishing, editing, circulating, assembly,
10 joining. It was not a conduct statute. Our brief outlines
11 the statutes that the State has. I am not going into the
12 facts any more than counsel but I simply would like to say that
13 all that is involved in this case is a young man distributing
14 in civic center, not in the black area, in the civic center,
15 outside, on the street while people were hawking newspapers
16 and while men were distributing religious material as is
17 customary in Los Angeles.

18 Just the same, this young man was distributing two
19 leaflets and that is what he faces 28 years in jail for -- two
20 counts, 14 years on each count.

21 Q He hasn't even been tried yet.

22 A No, he hasn't, but he faces that.

23 Q No, he faces the possibility.

24 A The possibility.

25 Now, in addition, as has been pointed out, he

1 raised the question of the Constitutionality of the statute
2 and raised it in an appropriate manner in the State Courts --
3 995, petition for writ of prohibition -- these are customary
4 procedures. These are remedies in the State Court, are accepted.
5 They are the tests for Constitutionality.

6 And then petition for rehearing denied each time and
7 each time, as a matter of fact, the State opposing consideration
8 by the Court. It was this record, on this basis, that the
9 Court below, the three-judge Court, passed on consideration
10 of the statute.

11 This man, these appellees, came to Court claiming
12 their rights under Section 1983. That is the law of the
13 United States. Those who are deprived of rights under that
14 law are entitled to go to a Federal Court. So, they did. That
15 Court, under the decisions of this Court, was compelled that
16 no other way -- it was its duty to undertake consideration of
17 the complaint that was presented.

18 A motion to dismiss was made by the State and accep-
19 tance, therefore, of everything that was alleged in the complaint.
20 That complaint alleged that Harris faced indictment, that he
21 had raised these issues and the State had refused to consider
22 them.

23 It raised the question of the validity of the
24 statute on its face, claiming that it violated the First
25 Amendment. It was suppressive. It, obviously, was vague. It,

1 obviously was overbroad.

2 The cases that run from Fiske against Kansas, not
3 the more recent ones, the cases that run from Young against
4 Oregon, Thornhill against Alabama, Herndon against Lowry, all
5 of these cases, including the decisions of this Court, the
6 Keyishian, et cetera, and Dombrowski, all point in only one
7 direction, that this statute is unconstitutional on its face.

8 The Court, therefore, felt that it was obliged to
9 re-examine Whitney in the light of the developing Constitutional
10 doctrine that had gone on since that time and it could only
11 reach the conclusion that the statute on its face was clearly
12 invalid.

13 This is not really disputed in the briefs, at least,
14 by the appellant, because what they, in effect, say are really
15 two aspects: One, that after all the State Courts had
16 interpreted the criminal syndicalism law and interpreted it
17 in such a way as to satisfy First Amendment guarantees and
18 that, in any event, some of the petitioners do not have standing
19 and that the one Harris cannot obtain his injunctive release
20 because of Section 2283.

21 There is no, and cannot be, any serious claim that
22 this statute today, on its face, is not unconstitutional and
23 courts, three-judge courts, in Kentucky, in Mississippi, in
24 Georgia, have all declared their statutes, criminal syndicalism
25 statutes, to be unconstitutional on their face.

1 I might say that some of the judges in their opinions
2 have indicated that they couldn't conceive of an argument that
3 could today Constitutionally support the validity of a criminal
4 syndicalism statute.

5 What is it, therefore, that the appellant is really
6 arguing here? First, the argument is made that three of my
7 appellees don't have standing, that a case or controversy is
8 not presented. Is that accurate on this record?

9 They accepted our allegation that a teacher in a
10 State college is teaching the doctrines of Karl Marx, is
11 teaching about the Communist Manifesto, is teaching revolutionary
12 doctrine and, in the light of the statute, and in the light
13 of the indictment of this young man who distributed two leaflets,
14 that this statute is going to be enforced; they feel inhibited,
15 this teacher feels inhibited, uncertain as to what he can or
16 cannot teach without offending this law.

17 The two members of the Progressive Labor Party and
18 the leaflets for the Progressive Labor Party say that they
19 and their organization advocates the replacement of capitalism
20 by socialism. They advocate the abolition of the profit system.
21 Under those circumstances, although they say they advocated in
22 peaceful terms, they ask -- and are uncertain and feel inhibited
23 as to what they can or cannot say without meeting some kind of
24 a prosecution.

25 Q Your position, then, is that the requirements of

1 Dombrowski and Zwickler are satisfied if somebody merely
2 alleges and proves, let us say, that he is engaging in some
3 form of expression that a statute prohibits on its face; is that
4 right.

5 A Yes. That is my ---

6 Q In other words, you don't need any overt acts
7 by the State. In Dombrowski there were overt acts. In Zwickler
8 there were overt acts by the State. But it is your submission
9 now that such overt acts are not necessary provided only that
10 a person in this case is engaged in the kind of teaching or
11 advocacy that the statute on its face prohibits. But do you
12 think that that is the narrow holding, that that is the holding
13 of Dombrowski and Zwickler?

14 A I think that Dombrowski and Zwickler are at
15 least indicative that where a statute is patently invalid on
16 its face and where the parties indicate and it is accepted
17 for the purpose of this record that they are engaged in the
18 area of speech that may come, may be interpreted that they
19 have a right, they have a standing -- and I might say, Your
20 Honor speaks of overt acts. If you mean an indictment or a
21 threatened indictment, I would say that that hasn't happened
22 in this case. That may be true but we are asking for declara-
23 tory judgment ---

24 Q I want to ask you just this one question. In
25 Dombrowski and Zwickler the State had taken certain types of

1 action short of indictment in both cases -- or in Dombrowski,
2 anyway -- but the State had taken certain types of action that
3 made a record of harassment or interference with or obstruction
4 to the exercise of First Amendment rights; do you agree that
5 that is the reading of those cases?

6 A Yes, that ---

7 Q Here with respect to these three persons, other
8 than Mr. Harris, you have nothing of that sort?

9 A We don't have an exact replay of that but we
10 do have overt acts.

11 Q What are the overt acts?

12 A I would say this: One, Harris has been indicted.
13 There is a threat that this statute is going to be used and
14 these persons have alleged, and it is accepted, that they are
15 precisely in the area where this threat by the State against
16 one person may flow to them. This does not come off the street
17 as someone who really has no interest. These are persons who
18 say, "We advocate socialism. We are teaching Marx. We are
19 teaching those revolutionary doctrines that might be swept
20 within the ambit of this statute."

21 That it seems to me, and if Your Honors will read this
22 brief of the appellants and notice the pages devoted to
23 documents and language that have nothing to do with this case.
24 Apostles of violence are stalking California. California is
25 toppling. It is absolutely essential that we have this law and

1 you will see that they intend to enforce this to the hilt and
2 the enforcement is to suppress speech and protest, not conduct,
3 because they have 100 statutes for that.

4 We think we have standing, we think all of us have
5 standing.

6 Q The writer of the opinion for the three-judge
7 Court rather disagreed with your prediction.

8 A He said he didn't think that they would be
9 indicted. But he could -- I don't think that the writer would
10 say they are not inhibited.

11 Q He said they do not stand in any danger of
12 prosecution by the respondent, the present District of Los
13 Angeles. Nor do we imply the existence of a likelihood that
14 the Courts of California would entertain such prosecutions if
15 instituted. That is the language; isn't it?

16 A That is a generous way of putting it. But there
17 is another aspect that we are arguing and that is that our
18 appellees are afraid to talk, are afraid to teach, and that
19 is not denied. It is accepted for the purpose ---

20 Q Would we have to extend Dombrowski to give you
21 relief?

22 A I don't think so. I think ---

23 Q Why not? What has the government of California
24 done to anybody other than Harris?

25 A With respect to criminal syndicalism law?

1 Q What, if anything, has the government or any
2 government official done to any one of the plaintiffs other
3 than Harris?

4 A Well, Your Honor, they have not done anything,
5 at this moment, overtly. They have not indicted them; they
6 haven't ---

7 Q Then is it not true that we have to extend,
8 because there were overt acts, right?

9 A I don't want to put into Dombrowski ---

10 Q Has anybody been searched?

11 A No, no.

12 Q Any documents taken from them?

13 A No.

14 Q Any threats against them?

15 A No, there hasn't been.

16 Q Well, would we have to extend Dombrowski?

17 A I don't think so and the reason I don't think
18 so is because, as I read Dombrowski and here is why I hesitate
19 to answer, Your Honor, as I read Dombrowski, it is true that
20 there were those harassing events in Dombrowski but there was
21 also the statement that if there is a patently invalid statute
22 on its face abridging freedoms of speech, press, assembly and
23 the right to petition for grievance as this statute does, then
24 one who comes within the ambit and shows that he does come
25 within the ambit would have a right to come into declaratory

1 relief or any injunctive relief if any were necessary.

2 Now, that is how I interpreted it and that is why I
3 say it might not be necessary to extend it but if it is, if it
4 is necessary to extend it, I ask the Court to extend it, not
5 because I think that this will cause a whole flock of litigation
6 to come into the Federal Courts any more than the habeas
7 corpus situation which led the howls and outcries and really have
8 simmered down and much justice has been done in the light of
9 the decision of this Court in Townsend against Burke.

10 I think that the Federal Courts can do the same
11 screening, individual judges can look and see whether claims
12 are frivolous and refuse to have a three-judge court, but if
13 the statute is patently invalid on its face the three-judge
14 court should be convened and should give the relief that the
15 plaintiff is entitled to under the laws of the United States.

16 Q Well, patently invalid on its face covers a lot
17 of ground; doesn't it? I suppose that is one of your major
18 argument in this case. Do you also argue that even if the
19 statute should be read as having been narrowed by some past
20 decisions or even if we should assume that the California
21 Courts would narrow it to some extent, do you contend that in
22 this narrowed form the State wants to present it as
23 unconstitutional?

24 A Yes, there are a number of aspects to that.
25 My answer is, yes, with this explanation: First, if I have

1 reviewed very carefully the decisions of the California Courts
2 since 1919, up to the last time they spoke on the criminal
3 syndicalism law, and the construction there is fraud and is
4 not restricted and does not follow the Constitutional doctrine
5 enunciated by this Court in interpreting the Constitution.

6 I might say, following Danskin, counsel has pointed
7 out that Danskin came, the issue was not directly presented
8 nor the Court for simply saying, if you are trying to implement
9 the criminal syndicalism law of course there has got to be
10 a clear and present danger, of course, if the American Civil
11 Liberties Union wants to use the school auditorium is not a
12 clear and present danger and that was it.

13 If you read all of the decisions outlined in 22 and
14 23 of my brief and read the decisions that followed almost
15 by 10 years the decision in Danskin and that is Black against
16 Cutter Laboratories where Mrs. Walker, and I quote from it on
17 Page 22, was a member of the Communist Party, whereupon, the
18 Supreme Court of California said, "That constitutes a violation
19 of the California criminal syndicalism act, per se.

20 So, from the construction, they are not limited and
21 of course the Court refused to pass on it when we asked them to.

22 Q What is this Vogel case about, Mr. Rosenwein,
23 the one cited by the three-judge District Court, by Judge
24 Gray in his opinion, Vogel versus the County of Los Angeles?

25 A My recollection is ---

1 Q He says is an excellent example of the California
2 Supreme Court's correct Constitutional views and he says that
3 decision has particular relevance to the issue here at hand.

4 A Yes.

5 Q What is that about.

6 A It wasn't on criminal syndicalism or anything
7 of that kind but it did have some First Amendment aspects and
8 my recollection is I think it had something to do with political
9 activities of employees and they were given some infringement
10 along that line, and the Court felt that the Supreme Court
11 was, of course, concerned about the First Amendment rights, but
12 again the District Court down below said the plaintiff here
13 went to the Courts all the way through and we are bound not
14 to wait ---

15 Q It was on a preliminary motion, wasn't it,
16 Mr. Rosenwein? I didn't understand that there was any decision
17 on the merits of that motion beyond the trial courts.

18 A Oh, no, it went up.

19 Q I thought it was a decision to consider.

20 A Not a decision but a writ of prohibition was
21 filed in the District Court of Appeals which was denied.

22 Q But not on the merits, I understood.

23 A Well, we have no way of knowing, no opinion.

24 Q Even in the trial court it was just a motion to
25 dismiss the indictment?

1 A Yes, under Section 985, but there the Court
2 ruled that the statute was Constitutional.

3 Q In what form?

4 A He issued an oral opinion.

5 Q Is that in the record?

6 A Not in our record here.

7 Q But did he understand the statute to mean what
8 you say it means on its face ---

9 A Oh, yes, the documents were ---

10 Q --- or did he assume that the statute should
11 be considered in narrower form?

12 A No, the arguments were made as to the invalidity,
13 and at length, and I will say down below great reliance was
14 placed on Whitney by the District Attorney asserting that
15 Whitney governed and that should be the end of it.

16 The Court said, "I think it is Constitutional, I
17 think we have to go to trial." That is when we sought review.

18 Now, as to 2283 I suscribe to the view ---

19 Q Do you really need the injunction?

20 A Well ---

21 Q You have a direct appeal here.

22 A That is the point.

23 Q Do you really need it in the lower court?

24 A I think thatyou would probably get assurances
25 from the State that the judgment was affirmed, that they would

1 not prosecute. I assume you would get those but down below
2 the injunction was issued to assure the State the right to
3 appeal. I would say that I stand for the position, whether I
4 need it or not, I stand for the position that 2283 is not about
5 the grant of an injunction even in the pending case where there
6 is a pending indictment.

7 I think where there is a declaratory judgment, for
8 example, that a statute is unconstitutional on its face, then
9 to effectuate the judgment, where irreparable injury is done by
10 the face of the statute, then to effectuate the judgment, which
11 is another exception of 2283, we should have a right to get
12 whatever injunctive relief we need.

13 In addition, I think history and law would indicate
14 that those who passed the Act of 1871, the predecessor to 1983,
15 must have intended, even if they didn't say so, that the
16 Federal Court should have the power to issue an injunction
17 staying State Court proceedings, to protect civil rights when
18 those situations arose.

19 Q Is the District Court saying anything at all
20 on this?

21 A No, it wasn't raised by the other side. They
22 didn't raise it, actually, in the jurisdictional statement
23 either.

24 Well, for all those reasons, I would urge the
25 affirmance of the judgment below. I think that the acts in the

1 criminal syndicalism laws, I might say, even the criminal
2 anarchy laws, ought to be interred with the seditious liable
3 laws, bad tendency tests and other relics of that kind.

4 Thank you.

5 MR. CHIEF JUSTICE MARSHALL: Mr. Harris.

6 REBUTTAL ARGUMENT OF ALBERT W. HARRIS, ESQ.

7 ON BEHALF OF APPELLEES

8 MR. HARRIS: I would only like to suggest this, that
9 anybody who has lived in California or reads about California
10 and thinks that there is any chilling of free speech and of
11 the free expression of ideas and the teaching of almost anything
12 under the sun, with all due respect to anyone who believes that,
13 I have to say I can't believe it.

14 We have, I think, the broadest free speech, in
15 California, of any place I know. Fifty years ago the District
16 Court of Appeal in Malley held that it wasn't enough to just
17 talk about revolution or something. You had to have the intent
18 to bring about, which is the illegal means that you are advocat-
19 ing. In Danskin it was made very clear that this statute had
20 nothing to do with abstract theories and to suggest that anybody
21 is afraid to talk about Karl Marx ---

22 Q Danskin is part of another matter, isn't it?

23 In that case Judge Trainer was trying to get out of a box that
24 he was in because of Whitney and this discussion of the criminal
25 syndicalism statute was dicta and was made necessary by the fact

1 that the statute that they had before them was considered to be
2 an addition to the criminal syndicalism statute and that
3 addition was held to be unconstitutional as directed to pure
4 speech and in order to distinguish the Whitney and the criminal
5 syndicalism statute Judge Trainer says that the criminal syndi-
6 calism statute can be applied only when there is imminent danger
7 that the advocacy it seeks to prohibit will give rise to evils
8 that the State may prevent. I was quoting from his opinion.

9 Are you suggesting to us that Danskin is an authori-
10 tative interpretation by the California Courts of the criminal
11 syndicalism act?

12 A Yes. I think it is. I think he felt he had
13 to reach that and I don't think that this statute should fall
14 because of some debate about whether it was dicta or holding
15 under that particular situation. I think it is very clear
16 from the opinion.

17 Even if it weren't clear, Your Honor, I would suggest
18 that this Court has a duty to construe the statute in light
19 of your own decision, without regard to what California has
20 decided. You have decided Dennis and Scales and Yates and
21 these words are not new and you have given them very limited
22 meaning and I think you have a duty to construe them in order
23 to sustain the statute and not in order to destroy it.

24 Q Do you have any examples of a Federal Court
25 in a declaratory judgment action like this attacking a State

1 statute of declaring the statute to be unconstitutional insofar
2 as it reaches some things that it shouldn't reach but leaving --
3 but saying it is Constitutional in other respects?

4 For example, it is a claim that this statute is
5 overbroad and reaches some kinds of teaching that it shouldn't,
6 which I gather is essentially the claim; isn't it?

7 Can a Federal Court say that, yes, we agree the
8 State statute is unconstitutionally overbroad and insofar as
9 it reaches these forbidden areas that it is unconstitutional,
10 and stop there?

11 Normally, I understand that the Federal Court has
12 said -- to find it overbroad it just strikes down the statute.

13 A Well, that is certainly what it did here.

14 Q But do you see any barrier to just saying that
15 it is unconstitutional insofar as it is overbroad which would
16 leave the State in the position that you suggest, of enforcing
17 the statute within the narrow valid area.

18 A No, I don't think it is a matter so much of
19 application of the statute. I think that is what you are
20 suggesting in ruling on the various applications that might
21 be made ---

22 Q The attack is on its face. It says that it
23 reaches some kinds of teaching that it shouldn't reach; isn't
24 that right?

25 A Well, it is claimed that it is too vague, anyhow.

1 That is a little different concept.

2 Q That is right.

3 A They make both contentions and it was so held
4 below.

5 Q Well, if it is the vagueness thing, I can under-
6 stand this.

7 A I think the District Court has a duty to construe
8 those words. You don't just read them as if you have never
9 seen them before.

10 Q You mean they should act like a State Court
11 and say this is what this statute means?

12 A Well, no. They aren't a State Court. I don't
13 think they should, in that sense, try to be one but no lawyer
14 that I know of picks up a statute and looks at it and says,
15 well, here is the word teach, so it has got to have something
16 to do with teaching in the classroom. None of us construe
17 statutes like that.

18 The first thing we do is look at the statute and we
19 start to look at the cases and that is just what the District
20 Court didn't do.

21 Here, had it done that, I think it would have con-
22 cluded that, as construed and as narrowed in the State construc-
23 tion, this statute is perfectly valid. I say it is valid on
24 its face as it has been construed.

25 But even if you weren't satisfied and you thought

1 Danskin to go to something else and the other cases are old
2 and so forth, still, in all, I think that Court has a duty to
3 construe the statute, to uphold it, and not to strike it down.

4 This Court said so in Fox against Washington.

5 We have used the same words that you have upheld
6 time and time and time again and now, I think, to turn around
7 and say they are too vague or overbroad, we don't understand
8 them would be contrary to your own decision, absolutely
9 unnecessary for the protection of anybody's rights.

10 Thank you.

11 (Whereupon, at 1:55 p.m. the argument in the above-
12 entitled matter was concluded.)
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