



1           IN THE SUPREME COURT OF THE UNITED STATES  
2   - - - - -  
3   NEW YORK STATE RIFLE & PISTOL           )  
4   ASSOCIATION, INC., ET AL.,           )  
5                    Petitioners,           )  
6                    v.                    ) No. 18-280  
7   CITY OF NEW YORK, NEW YORK, ET AL.,   )  
8                    Respondents.        )

9   - - - - -

10                                   Washington, D.C.

11                                   Monday, December 2, 2019

12

13                                   The above-entitled matter came on for  
14   oral argument before the Supreme Court of the  
15   United States at 10:05 a.m.

16

17   APPEARANCES:

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19                    on behalf of the Petitioners.

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22                    for the United States, as amicus curiae,

23                    supporting the Petitioners.

24   RICHARD P. DEARING, ESQ., New York, New York;

25                    on behalf of the Respondents.

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1 P R O C E E D I N G S

2 (10:05 a.m.)

3 CHIEF JUSTICE ROBERTS: We'll hear  
4 argument first this morning in Case 18-280, the  
5 New York State Rifle & Pistol Association versus  
6 the City of New York.

7 Mr. Clement.

8 ORAL ARGUMENT OF PAUL D. CLEMENT

9 ON BEHALF OF THE PETITIONERS

10 MR. CLEMENT: Mr. Chief Justice, and  
11 may it please the Court:

12 Text, history, and tradition all make  
13 clear that New York City's restrictive premises  
14 license and accompanying transport ban are  
15 unconstitutional. The city's restriction on  
16 transporting firearms to places where they may  
17 be lawfully possessed and its insistence in its  
18 revised regulations that any such transport be  
19 continuous and uninterrupted are premised on a  
20 view of the Second Amendment as a home-bound  
21 right, with any ability to venture beyond the  
22 curtilage with a firearm, even locked and  
23 unloaded, a matter of government grace.

24 That view is inconsistent with text,  
25 history, tradition, and this Court's cases. The

1 text of the Second Amendment protects rights to  
2 keep and bear arms. That latter right makes  
3 clear that the Second Amendment protects rights  
4 that are not strictly limited to the premises.

5 And there is no historical analogue  
6 for the city's prohibition on transporting  
7 firearms to places where they may be lawfully  
8 used. To the contrary, the second Congress  
9 required the militia to take their own firearms  
10 from their homes to the training ground.

11 And the regulations on limiting where  
12 firearms may be discharged or where training may  
13 occur that the city invokes both underscore that  
14 the general rule was that firearms could be  
15 safely transported between and among places  
16 where they could be used and discharged. This  
17 Court recognized as much in *Heller*, both by  
18 recognizing the long history of handgun  
19 possession outside the home and by recognizing  
20 the government's interest in limiting possession  
21 in sensitive places, not every place outside the  
22 home.

23 The city, of course, has struggled  
24 mightily ever since this Court granted  
25 certiorari to make this case go away, but those

1 efforts are unavailing and only underscore their  
2 continuing view that the transport of firearms  
3 is a matter of municipal grace rather than  
4 constitutional right. The standard for  
5 mootness --

6 JUSTICE GINSBURG: But, Mr. -- Mr.  
7 Clement, the city has now been blocked by a  
8 state law, and the state has not been party to  
9 these proceedings. The state says: City, thou  
10 shalt not enforce the regulations. So what's  
11 left of this case? The Petitioners have gotten  
12 all the relief that they sought. They can carry  
13 a gun to a second home. They can carry it to a  
14 fire -- to a practice range out of state.

15 MR. CLEMENT: So, Justice Ginsburg,  
16 the Petitioners have not gotten all the relief  
17 to which they've been entitled if they prevailed  
18 in this litigation before the city and the state  
19 changed their law.

20 I think the best way to illustrate  
21 that is if we prevailed in the district court  
22 before these changes in the law, we would have  
23 been entitled, of course, to a declaration that  
24 the transport ban is and always was  
25 unconstitutional.

1           But we would also be entitled to an  
2 injunction that did three things: one, prohibit  
3 future enforcement of the transport ban; second,  
4 prevent the city from taking past conduct in  
5 violation of the ban into account in licensing  
6 decisions; and, third, an injunction that  
7 safeguard our right to transport meaningfully  
8 such that it wouldn't be limited to continuous  
9 and uninterrupted transport.

10           JUSTICE GINSBURG: But even as --

11           MR. CLEMENT: Now the state law --

12           JUSTICE GINSBURG: -- as far as what  
13 you said about enforcing past violations, no  
14 plaintiff has alleged that they ever violated  
15 the regulations when they were in effect?

16           MR. CLEMENT: That's actually not  
17 correct, Justice Ginsburg. If you look at  
18 paragraphs 12, 15, and 17 of the complaint, at  
19 pages 28 and 29 of the Joint Appendix, all three  
20 of the individual Petitioners allege that they  
21 regularly went outside the City of New York to  
22 firing ranges in -- outside -- Westchester,  
23 basically, and in New Jersey.

24           So all three of my clients are on the  
25 record as saying that, in the past, they engaged

1 in conduct that is inconsistent with the  
2 transport ban. And if you understand the ways  
3 that the City of --

4 JUSTICE SOTOMAYOR: Mr. Clement --

5 MR. CLEMENT: -- New York licenses  
6 handguns or --

7 JUSTICE SOTOMAYOR: -- Mr. Clement, I  
8 believe that the city has foresworn any future  
9 prosecution for past violations.

10 MR. CLEMENT: Well --

11 JUSTICE SOTOMAYOR: I thought that  
12 that's the representation they made to this  
13 Court.

14 MR. CLEMENT: Well, Justice Sotomayor,  
15 in their latest letter, they were very careful  
16 about what they represented. They represented  
17 that they wouldn't try to prosecute somebody for  
18 past conduct if that past conduct didn't violate  
19 the current regulations.

20 So if the past conduct happened to  
21 involve a stop for coffee and not continuous and  
22 uninterrupted transport --

23 JUSTICE SOTOMAYOR: But that has to do  
24 with the current law, and that hasn't been  
25 decided by the court below. That -- that's

1 something -- that's a complaint about the limits  
2 of the current law, not the limits of the old  
3 law. You're asking us to mix apples and oranges  
4 now.

5 MR. CLEMENT: Well, I don't think so,  
6 Justice Sotomayor. I think what I'm asking you  
7 to do is exactly what this Court did in the Knox  
8 case.

9 JUSTICE SOTOMAYOR: No, Mr. Clement,  
10 what you're asking us to do is to take a case in  
11 which the other side has thrown in the towel and  
12 completely given you every single thing you  
13 demanded in your complaint for relief, and  
14 you're asking us to opine on a law that's not on  
15 the books anymore, and one that's not on the  
16 books, not because of something necessarily the  
17 city did but because the state, a party who's  
18 not a party to this litigation, has changed the  
19 law and prohibited them from doing.

20 So this is, I think, something quite  
21 different. You're asking us to opine on an old  
22 law, not the new law.

23 MR. CLEMENT: Well --

24 JUSTICE SOTOMAYOR: And the new law  
25 hasn't been reviewed below yet.

1           MR. CLEMENT: So, again, Justice  
2 Sotomayor, I really think what we're asking you  
3 to do is exactly analogous to what was before  
4 this Court in Knox. In Knox, the thrust of the  
5 underlying complaint was that the supplemental  
6 fee assessment that the union imposed on the  
7 members was unconstitutional. That's what the  
8 complaint framed. And then --

9           JUSTICE SOTOMAYOR: But you've got  
10 what you want now. In terms of the contiguous,  
11 we don't even know whether the city is taking  
12 the -- the -- the position that you can't stop  
13 for a cup of coffee. Presumably, if you leave  
14 your gun in the car, I'm not sure how they would  
15 know you were traveling with a gun, but put that  
16 aside.

17           MR. CLEMENT: Well, so, before I put  
18 it aside, let me just say I think we do know the  
19 answer to that because, in subsection 7 of the  
20 new regulations that they promulgated  
21 specifically to try to moot this case, they made  
22 clear that the kind of transport they were  
23 allowing, at least within the City of New York,  
24 had to be continuous and uninterrupted. I don't  
25 know what "continuous and uninterrupted" means

1 if it doesn't -- if it -- if it means that you  
2 can make stops for coffee.

3 And I assure you, I think the right  
4 way to think about this for Article III purposes  
5 is, if we had been successful in the lower court  
6 and proposed an injunction, I guarantee the  
7 words "continuous and uninterrupted" would not  
8 be in our proposed injunction.

9 If the city had offered their proposed  
10 injunction and included that limitation, we  
11 would have said we don't accept that. We think  
12 that's inconsistent with the right that we just  
13 prevailed on. And that dispute --

14 JUSTICE KAGAN: Did you --

15 MR. CLEMENT: -- would be a continuing  
16 dispute that would render the case not moot,  
17 just like in Knox, there was a continuing  
18 dispute about the sufficiency of the refund  
19 notice that the union offered, post certiorari,  
20 in its effort to moot the case. The dispute  
21 that would still lie between the parties about  
22 the sufficiency of the refund notice wasn't the  
23 exact same dispute that initiated the  
24 litigation, but the case was still a live  
25 controversy for Article III purposes, and this

1 Court decided both the question presented and  
2 then also addressed the refund notice.

3 Now this Court could address the  
4 question presented here and leave the question  
5 of "continuous and uninterrupted" for the lower  
6 court if it wanted to, but there's no basis for  
7 not answering the question presented.

8 So if I could turn to that --

9 JUSTICE SOTOMAYOR: I'm sorry, that --  
10 that's the oddest decision I've heard. Answer  
11 an old law that's no longer in effect and then  
12 reserve consideration of the new law's  
13 interpretation for the lower courts? I don't  
14 know how that doesn't constitute mootness on the  
15 issue that's before us. If --

16 MR. CLEMENT: Well, with respect,  
17 Justice Sotomayor --

18 JUSTICE SOTOMAYOR: -- if -- if  
19 they've agreed and you agree that everything but  
20 the "continuous and uninterrupted" has been  
21 resolved and that you've gotten everything you  
22 wanted as demanded in your complaint, you can  
23 travel to a second home, you can travel to any  
24 lawful firing range, that's all your original  
25 complaint demanded, if you got all of that, that

1 is the issue that was before us.

2 MR. CLEMENT: Well --

3 JUSTICE SOTOMAYOR: And your question  
4 is whether -- and you've agreed we should leave  
5 that to the courts below, what contiguous --  
6 "continuous and uninterrupted" is. That happens  
7 to go to the new law, not the old one.

8 MR. CLEMENT: With respect, Justice  
9 Sotomayor, we don't think we've gotten  
10 everything that we could have gotten if we  
11 prevailed in the district court, including  
12 continuous and uninterrupted.

13 But also, we would like, with all due  
14 respect, given our five years of history in this  
15 litigation with my friends on the other side,  
16 we'd like something more than their  
17 representations to protect us against the use in  
18 the future of past conduct --

19 JUSTICE SOTOMAYOR: I -- I -- I have  
20 one --

21 MR. CLEMENT: -- in licensing  
22 decisions.

23 JUSTICE SOTOMAYOR: -- I have one  
24 question. The SG tried to give you a -- a  
25 lifeline by saying you could get damages. But I

1 read your representations to the Court and you  
2 said we could get damages. I don't see a  
3 request for relief, either damages or nominal,  
4 in your complaint. And you don't say we want  
5 damages in your submissions to us. Did you ask  
6 for damages, nominal or --

7 MR. CLEMENT: We -- we asked for all  
8 other appropriate relief in our complaint. We  
9 did not make a specific request for damages  
10 below. I'm happy to affirm that we'd like  
11 damages, but I also think that although we --

12 JUSTICE SOTOMAYOR: You'd have to ask  
13 for permission to amend your complaint to seek  
14 that, don't you?

15 MR. CLEMENT: We would have to do  
16 that, but with all due respect to the Solicitor  
17 General, we were happy that they recognized the  
18 case wasn't moot, but we didn't really feel like  
19 we needed a damages lifeline because we think we  
20 had multiple strong arguments based on this  
21 Court's precedents, including the Knox case,  
22 that said that wholly apart from the damages  
23 issue this dispute isn't moot.

24 So if I could turn to --

25 JUSTICE GINSBURG: Mr. Clement, just

1 one more on the damages. As far as I know, this  
2 Court has never used a late, meaning in this  
3 Court and not below, request for damages to save  
4 a case from mootness. I don't know of any such  
5 case.

6 MR. CLEMENT: I'm not aware of one  
7 either, Justice Ginsburg. Perhaps my -- my  
8 colleague from the SG's office will have one  
9 since it was his suggestion, but we think we  
10 have plenty of cases from this Court that are  
11 analogous to this situation.

12 And, indeed, with respect, I don't  
13 think the practice of getting the recognition  
14 after certiorari is granted that a certiorari  
15 grant may not signal anything good for the  
16 defendant. I mean, that's quite common practice  
17 that they then come up with an idea to moot the  
18 case.

19 Just if you think of a couple of  
20 recent cases, not just Knox, but Trinity  
21 Lutheran and Parents Involved, all involved  
22 late-breaking efforts, often by government  
23 entities, to make the case go away.

24 In each case, this Court said, no,  
25 that's too little, too late. And if this Court

1 starts accepting these kind of post-certiorari  
2 maneuvers, it's going to be very hard for the  
3 Court to continue --

4 JUSTICE BREYER: I probably have a --

5 MR. CLEMENT: -- to have --

6 JUSTICE BREYER: I mean, I don't think  
7 it's bad when people who have an argument settle  
8 their argument and, thus, there no longer is  
9 one, so I wonder if -- should I ask them this  
10 question? You say this case is still alive  
11 because the City of New York might prosecute one  
12 of your clients because they stopped for coffee  
13 on the way to a firing range.

14 I think I'm going to ask them that.  
15 And I have a suspicion they will say no, we  
16 aren't going to prosecute that particular  
17 individual. So then what should I do? Should  
18 I -- we have a dispute. You think they will.  
19 They think they won't.

20 MR. CLEMENT: Right. So that suggests  
21 to me we that we have the kind of live  
22 controversy --

23 JUSTICE BREYER: Here's your time. I  
24 --

25 MR. CLEMENT: -- and if the standard

1 for mootness is whether it is possible to  
2 provide effectual relief, I guarantee an  
3 injunction backed by contempt that enforces  
4 those promises is going to give my clients more  
5 effectual relief.

6 And do keep in mind what makes this  
7 case quite different from a lot of others is  
8 this is a discretionary licensing process where  
9 the city makes judgments about good moral  
10 character. There are 79 officials in the  
11 licensing department of the City of New York.  
12 Where are they going to look for guidance?

13 They could, I think, look for guidance  
14 to a court-ordered injunction. I'm not sure  
15 they're going to pull the transcript from this  
16 argument, let alone a letter from the city to  
17 the Solicitor General's Office for this. So we  
18 think we're entitled to that kind of meaningful,  
19 effectual relief.

20 We think, on the merits, this case is  
21 actually quite straightforward because there is  
22 no historical analogue for this kind of  
23 transportation restriction. As I suggested, if  
24 you look at the second Militia Act, passed by  
25 the second Congress, they not only understood

1 that you could transport your firearms from your  
2 home to a place where they could be lawfully  
3 discharged, but they required it of the members  
4 of the militia.

5 If you look at the history and  
6 traditions of this country, there are very few  
7 laws that tried to do anything like this, and  
8 the few that tried to do this were invalidated  
9 by the courts.

10 JUSTICE KAGAN: Mr. Clement, as I  
11 understand New York's scheme, New York has two  
12 kinds of licences. It has a premises license  
13 and it has a carry license. And you're  
14 attacking the premises license scheme on the  
15 ground that it doesn't allow you to carry.

16 So why don't you just attack the carry  
17 license scheme? If you want to carry, why  
18 didn't your clients get a carry license?

19 MR. CLEMENT: Well, Justice Kagan, I  
20 think what my clients wanted in this lawsuit,  
21 and there are plenty of other lawsuits out there  
22 challenging carry restrictions, but they wanted  
23 the right to transport, not the right to carry.

24 Now I --

25 JUSTICE KAGAN: Well, transporting is

1 a kind of carrying. You take your gun and it  
2 goes with you someplace. That's a kind of  
3 carrying.

4 MR. CLEMENT: I -- I will agree with  
5 that. I think it's also a kind of bearing,  
6 which is why I think this is such a  
7 straightforward case.

8 I think it's protected --

9 JUSTICE KAGAN: All I'm asking is --  
10 is -- is there's a premises scheme and a  
11 carrying scheme, and your clients want to carry,  
12 which suggests that you should have brought a  
13 challenge to the carrying scheme if you thought  
14 that that was deficient.

15 MR. CLEMENT: Again, with respect,  
16 Justice Kagan, my clients for years had -- at  
17 least two of the three, had what the city for a  
18 while called a target license, and it didn't  
19 give them a full right to carry, but it did give  
20 them the right to transport their firearms to  
21 New Jersey and other places, probably would have  
22 allowed a second home, though I'm not sure that  
23 issue is squarely presented.

24 My clients did not insist on getting a  
25 carry license either under the -- before this

1 lawsuit was filed or in this lawsuit. What they  
2 wanted is to restore rights to transport their  
3 firearms between and among places where they  
4 could be lawfully used.

5 That's different from a license that  
6 says, I get to have this firearm with me at all  
7 times, loaded, ready to go. What they wanted is  
8 to restore their right to transport firearms,  
9 locked and unloaded, between places where they  
10 could be lawfully used. That's what they asked  
11 for. That is what there is no historical  
12 analogue for.

13 And if I could emphasize, I think it  
14 would send a very important signal to the lower  
15 courts to say that when a regulation like this  
16 is inconsistent with text and has no analogue in  
17 history or tradition, it is unconstitutional,  
18 full stop. The way the lower courts have  
19 interpreted Heller is like text, history, and  
20 tradition is a one-way ratchet.

21 If text, history, and tradition sort  
22 of allow this practice, then they'll uphold the  
23 law. But, if text, history, and tradition are  
24 to the contrary, then the courts proceed to a  
25 watered-down form of scrutiny that's heightened

1 in name only.

2 And I think this Court should reaffirm  
3 that text, history, and tradition essentially is  
4 the test and can be administered in a way that  
5 provides real protection for --

6 JUSTICE BREYER: How do we go back --

7 MR. CLEMENT: -- Second Amendment  
8 rights.

9 JUSTICE BREYER: -- for one second to  
10 the question presented: Does New York City's  
11 ban on transporting a licensed, locked, and  
12 unloaded handgun to a home or shooting range  
13 outside the city limits consistent with the  
14 Second Amendment?

15 In New York, now you're going to hear  
16 in one minute, there is no New York City ban on  
17 transporting a licensed, locked, and unloaded  
18 handgun to a home or other place outside. I  
19 think you'll hear that.

20 Now what will your, very brief,  
21 response? There's a question presented, they  
22 say there is no ban. And you say?

23 CHIEF JUSTICE ROBERTS: You can finish  
24 the question.

25 JUSTICE BREYER: That was the finish.

1 (Laughter.)

2 CHIEF JUSTICE ROBERTS: Briefly.

3 Thank you.

4 MR. CLEMENT: Mr. Chief Justice, thank  
5 you.

6 So my answer in a -- in a nutshell is  
7 Knox. My slightly longer answer is every time  
8 this Court confronts a post-certiorari maneuver  
9 to try to moot a case, it almost by definition  
10 will try to take away from you the question  
11 presented. That's what happened in Knox.

12 The question presented concerned the  
13 constitutionality of the special assessment. It  
14 didn't concern the adequacy of the refund  
15 notice, but yet this Court decided both.

16 Thank you, Your Honor.

17 CHIEF JUSTICE ROBERTS: Thank you,  
18 counsel.

19 Mr. Wall.

20 ORAL ARGUMENT OF JEFFREY B. WALL  
21 FOR THE UNITED STATES, AS AMICUS CURIAE,  
22 SUPPORTING THE PETITIONERS

23 MR. WALL: Mr. Chief Justice, and may  
24 it please the Court:

25 One point on the merits and one on

1 mootness. On the merits, text, history, and  
2 tradition all condemn New York's transport ban.  
3 Such bans have been rare and commonly struck  
4 down precisely because the right to keep arms  
5 and keep and bear arms must entail and has  
6 always entailed the ability of a law-abiding  
7 citizen to carry a firearm unloaded and locked  
8 from one lawful place to another.

9           On mootness, Petitioners pointed below  
10 to economic harms from the violation of their  
11 constitutional rights. If they prevail here,  
12 the district court could award them damages,  
13 just like any other 1983 plaintiff.

14           JUSTICE GINSBURG: But they never  
15 asked for it.

16           MR. WALL: That's true, Justice  
17 Ginsburg, but there's a specific federal rule on  
18 this, Federal Rule 54(c), which says the prayer  
19 of relief binds on a default judgment, but it  
20 doesn't bind when you've litigated on the  
21 merits. And so the question for Article III  
22 purpose -- and I'll grant that there are  
23 questions about -- prudential questions about  
24 whether, under the rules, a court should allow  
25 them to inject a theory, and it would have to

1 weigh that against the city's tardiness in  
2 changing its theory of the case as well.

3 But, for Article III purposes, the  
4 question under Mission Products and Knox is, is  
5 it impossible for a court to grant effectual  
6 relief? It is not. It is possible for a court  
7 to award them the damages they have sustained --

8 JUSTICE GINSBURG: Has --

9 MR. WALL: -- as a result of the city's  
10 conduct.

11 JUSTICE GINSBURG: -- has -- has the  
12 SG, the Solicitor General, ever asked this Court  
13 to allow such a late interjection of a damages  
14 question to save a case from mootness?  
15 Mr. Clement said he was not aware of any such  
16 case. Are you?

17 MR. WALL: So I don't know of any case  
18 in which it's directly come up or we've weighed  
19 in on it. We, obviously, participated on the  
20 merits before the city's suggestion of mootness,  
21 and we felt compelled to explain to the Court  
22 our view on mootness.

23 JUSTICE KAGAN: Didn't it come up in  
24 Alejandrino? Is that the -- the name of the  
25 case? And it was decided the other way, that

1 the Court said no, we're not going to allow that  
2 to happen.

3 MR. WALL: So I think -- but that's  
4 in -- first, it's in 1926, so it predates the  
5 federal rule. So it predates 54(c), which makes  
6 clear that the prayer for relief no longer  
7 binds.

8 I also think the facts are somewhat  
9 distinguishable from here, where they've got  
10 evidence in the record at the summary judgment  
11 stage of their economic harms. Now, to be sure,  
12 they're not focused on damages. What they  
13 wanted was to engage in the conduct. They  
14 wanted an injunction and they fought for years  
15 over it.

16 JUSTICE KAGAN: I mean, not focused on  
17 damages is an understatement. They -- they  
18 practically won't take damages. They've had  
19 every opportunity to say that they want damages,  
20 including today, and, for whatever reason,  
21 Mr. Clement has, you know, basically said this  
22 case is not about damages. That's not why we  
23 think it's not moot and that's not what we want.

24 MR. WALL: So I -- I heard Mr. Clement  
25 say: I'm happy to affirm that my clients want

1 damages, but we don't think we need that  
2 lifeline from the solicitor general. We think  
3 our other theories are good.

4 We, obviously, disagree on some of  
5 those other theories, but I think the -- the  
6 question under Knox and Mission Products is, is  
7 it impossible for a court to award damages?

8 Here, there is evidence in the record  
9 of economic harm. If they get a declaration on  
10 the merits that they're right as a matter of the  
11 Second Amendment --

12 JUSTICE SOTOMAYOR: All right. Would  
13 you remind --

14 MR. WALL: -- there is no barrier to  
15 their receiving an award of damages from a  
16 court.

17 JUSTICE SOTOMAYOR: Would you remind  
18 me what -- where in the complaint they set forth  
19 damages?

20 MR. WALL: Sure. So I think the best  
21 examples are at pages 32, 33, 35, 36 of the  
22 Joint Appendix and then again at 52 through 54,  
23 56, 57, and 59 to 61.

24 JUSTICE KAGAN: But --

25 MR. WALL: Those are both the

1 pleadings and the summary judgment affidavits,  
2 and they rely on two kinds of harm. One is the  
3 competitions they were not allowed to attend  
4 with the firearms, and the other is the costs of  
5 dues and membership fees to the in-city ranges,  
6 which I think implicitly they're suggesting are  
7 higher than the out-of-city ranges.

8 JUSTICE KAGAN: Mr. Wall, I mean, they  
9 filed a complaint. They filed a motion for  
10 summary judgment. They briefed this case before  
11 the Second Circuit. They filed a cert petition.  
12 Then, in response to the suggestion of mootness,  
13 they filed another brief there.

14 And in none of those places did they  
15 ask for damages. Damages has been injected into  
16 this case because of the solicitor general in a,  
17 you know, very late-breaking three-page letter.

18 MR. WALL: Look, Justice Kagan, I'll  
19 certainly grant that there's a lot of post-grant  
20 maneuvering on both sides. The city has  
21 withdrawn its law, and the Petitioners have come  
22 up with theories for why the case is not moot.  
23 As a matter of Article III, our view is that  
24 damages could change hands and hence it's not  
25 moot.

1           I suppose you could also rest it on  
2 future consequences and say that the city's  
3 representations have come too late. It has an  
4 express scheme that allows you to consider these  
5 things.

6           JUSTICE KAGAN: Well, I -- I thought  
7 that in your brief, in your letter brief, you  
8 specifically rejected every other theory of --  
9 of why this case was live.

10          MR. WALL: We do think that the Court  
11 credits those kinds of assertions by  
12 governmental litigants. It did in DeFunis. The  
13 facts here are a little different. You have a  
14 scheme that expressly allows you to consider the  
15 conduct. You don't have any acknowledgment from  
16 the city that its former conduct was  
17 unconstitutional, and you have a representation  
18 that comes, as Mr. Clement said in his letter,  
19 at the 11th and a half hour.

20          On those facts, could you say we're  
21 not going to take a look at the city's  
22 representation? You could. That is not our  
23 theory. Our theory is that money could change  
24 hands here and they'd be entitled to that money  
25 --

1 JUSTICE KAGAN: And what do you think  
2 --

3 MR. WALL: -- if they prevailed on the  
4 merits.

5 JUSTICE KAGAN: -- of Mr. Clement's  
6 theory? I take it that you rejected Mr.  
7 Clement's theory about this continuous travel  
8 and stopping for coffee?

9 MR. WALL: I -- I think it's a close  
10 call. In our view, that's a new controversy  
11 that arises from the new law, not the old  
12 controversy in the old law, but I -- I think  
13 it's a -- I think it's a hard question, and I  
14 understand his point that there would have been  
15 fighting over the terms of the injunction in the  
16 -- in the district court or at least potentially  
17 there could have been.

18 If I could turn to the -- to the  
19 merits for just a minute --

20 JUSTICE GORSUCH: Well, why -- why  
21 isn't that good enough? If under the prior law  
22 the plaintiffs would have sought relief that  
23 would allow them to take their firearms locked  
24 safely to a range and stop along the way for a  
25 cup of coffee or a bathroom break and that that

1 is still being denied under the -- if that's a  
2 proper reading, we'll ask New York about that,  
3 I'm sure, but if that's still a proper reading  
4 of their existing regulations, why isn't there a  
5 live controversy remaining?

6 MR. WALL: I think --

7 JUSTICE GORSUCH: There would seem to  
8 be a delta of relief that's been denied them.

9 MR. WALL: Oh, I do think there is a  
10 -- a live controversy potentially now about the  
11 meaning of this "continuous and uninterrupted"  
12 requirement. I just think that arises from the  
13 new law. And the premise, I think --

14 JUSTICE GORSUCH: Well, why doesn't --

15 MR. WALL: -- we have doubts since --

16 JUSTICE GORSUCH: -- it arise -- why  
17 isn't the dispute still alive from the old law  
18 if that's a form of relief they would have  
19 sought and is still, despite the new law, being  
20 denied them? Isn't that a classic definition of  
21 relief that was sought but now still -- despite  
22 herculean, late-breaking efforts to moot the  
23 case, still alive?

24 MR. WALL: I -- if the Court wanted to  
25 say that, I don't think it would harm the United

1 States' interests. So --

2 JUSTICE GORSUCH: You're not aware of  
3 any precedent that would foreclose that and, in  
4 fact, that's pretty much what Knox did, isn't  
5 it?

6 MR. WALL: Well, except that Knox  
7 wasn't a governmental litigant, so I think the  
8 presumption of voluntary cessation worked a  
9 little differently, but to -- Justice Gorsuch,  
10 just to go to the question, I think, in the  
11 district court, the fight was about whether they  
12 could do the thing at all.

13 And now we have a -- what strikes us  
14 as a different fight about the manner in which  
15 they can go. And the legal restriction is  
16 different. The legal restriction now is tied to  
17 the new law. But, no, I'm not aware of anything  
18 --

19 JUSTICE GORSUCH: Sure, they granted  
20 --

21 MR. WALL: -- that would keep the  
22 Court from going there.

23 JUSTICE GORSUCH: -- new relief. They  
24 have granted but not total relief that the  
25 plaintiffs sought. You'd agree with that?

1           MR. WALL: I -- I would agree with  
2 that. I think there is still a controversy  
3 about the manner in which they can go. That  
4 seems somewhat different to us from the  
5 controversy that was litigated below and that  
6 this Court agreed to hear, but I don't think  
7 there's any case that would keep the Court from  
8 going down that road.

9           If I could turn to the merits for just  
10 a minute, I think all that the Petitioners are  
11 asking for, and it's a fairly modest ask, is for  
12 the Court to reiterate what it said in Heller,  
13 that the lower courts have been correct in  
14 starting with text and history and tradition,  
15 but they have created, as Mr. Clement said, this  
16 sort of asymmetry where they find that history  
17 and tradition can give a thumbs up to a law but  
18 not a thumbs down.

19           JUSTICE SOTOMAYOR: I'm sorry, can I  
20 go back to that question? In what other area,  
21 constitutional area, the First Amendment in  
22 particular, have we decided any case based  
23 solely on text, history, and tradition?

24           This seems sort of a made-up new  
25 standard. And I thought Heller was very care --

1 careful to say we don't do that. We treat it  
2 like any other constitutional provision. And if  
3 I analogize this to the First Amendment, which  
4 is what Heller suggested we should do, this  
5 seems to me to be a time, place, and manner  
6 restriction. It may not pass any of the  
7 standards of scrutiny, but, if you're looking at  
8 a First Amendment right to speak, it's never  
9 absolute. There are some words that are not  
10 protected. We're going to have a different  
11 fight about that at some point. Or there are  
12 some weapons that are not protected, just like  
13 there might be some words that are not  
14 protected.

15           We know under the First Amendment that  
16 there are time, place, and manner restrictions  
17 that a government can impose on the basis of  
18 safety and other things. On the basis of  
19 safety, you can't have a demonstration at will.  
20 You need a permit, and you have to have certain  
21 equipment and certain protections and certain  
22 things.

23           So, if I treat it in that way, we  
24 might have a fight about whether text, history,  
25 and tradition permits a time, manner, and place

1 restriction of this type, but I don't know why  
2 that's a free-standing test.

3 MR. WALL: So two points, Justice  
4 Sotomayor. The first is I understand manner  
5 restrictions. I understand the requirement that  
6 you carry the gun unloaded or that you do it in  
7 a locked container. But a ban is not a time,  
8 place, or manner restriction. And in  
9 determining which category it falls into and  
10 what's permissible, Heller said you start with  
11 text, history, and tradition.

12 And the Court commonly does that, even  
13 under the First Amendment with respect to  
14 categories, the Fourth Amendment for a search,  
15 the Seventh Amendment for the jury trial right.  
16 Heller just says you start here. And starting  
17 here, I think this is a straightforward case.  
18 There is no historical analogue and a contrary  
19 tradition.

20 Thank you.

21 CHIEF JUSTICE ROBERTS: Thank you,  
22 counsel.

23 Mr. Dearing.

24

25

1 ORAL ARGUMENT OF RICHARD P. DEARING  
2 ON BEHALF OF THE RESPONDENTS

3 MR. DEARING: Mr. Chief Justice, and  
4 may it please the Court:

5 Contrary to how they're presenting it  
6 now, Petitioners framed this case narrowly.  
7 They argue that a premises license, a premises  
8 license specifically, must allow certain limited  
9 transport of the licensed handgun to effectuate  
10 its possession and use in the premises, and they  
11 sought only injunctive and declaratory relief to  
12 require the city to allow that limited  
13 transport.

14 And that narrow framing, in turn, has  
15 two implications now. First, the case is moot  
16 because changes in state and city law have given  
17 Petitioners everything they asked for and,  
18 indeed, more than that.

19 Petitioners suggest these changes  
20 should be viewed skeptically, but it's a good  
21 thing and not a cause for concern when the  
22 government responds to litigation by resolving  
23 matters through the democratic process.

24 The Solicitor General agrees that all  
25 the objections actually raised by Petitioners to

1 mootness are unfounded but suggests that the  
2 Court could proceed to the merits of the  
3 constitutional questions anyway because  
4 Petitioners might be -- in the future be able to  
5 add a new claim for damages that they have never  
6 asserted and still now only most reluctantly  
7 embrace.

8           The Court has never adopted that kind  
9 of reasoning under Article III and it should not  
10 begin with this case.

11           And the second implication of the  
12 case's framing is that if the case weren't moot,  
13 the only question presented on the merits would  
14 be whether a premises license must, as an  
15 adjunct thereto, include the implied transport  
16 rights sought by Petitioners.

17           Though Petitioners now invoke a  
18 general right to bear arms outside the home, a  
19 premises license is not addressed to that  
20 purpose. A premises license is instead issued  
21 for possession in a particular place, and  
22 Petitioners never challenged the separate New  
23 York license that is addressed to carrying  
24 weapons outside the home, which is the carry  
25 license. So those broad questions are not

1 properly part of this case.

2           Turning first to the issue of  
3 mootness, and I'll go straight to the question  
4 of coffee stops, there are two -- two levels to  
5 this response. First is, there is no dispute on  
6 that question. The city's enforcement -- the --  
7 the governing standard is provided by state law  
8 here because the state enactment preempts local  
9 law.

10           The "continuous and uninterrupted"  
11 language cited by my friend is not in the state  
12 law. The city acknowledges that. And the  
13 city's enforcement position is that coffee  
14 stops, bathroom breaks are entirely permissible  
15 --

16           JUSTICE ALITO: But let's go to  
17 something --

18           MR. DEARING: -- under current law.

19           JUSTICE ALITO: -- beyond a coffee  
20 stop or a bathroom break. Suppose they had  
21 prevailed under and obtained a judgment that the  
22 old law was a violation of the Second Amendment,  
23 and suppose that after that, one of the  
24 plaintiffs had made a trip to a firing range in,  
25 let's say, New Jersey and, while there, decided

1 to stop to visit his mother for a couple of  
2 hours to take care of a few things for her.

3 Would there be any law that that would  
4 violate?

5 MR. DEARING: That would be, I  
6 think -- I'm not certain that it would. I think  
7 that would have to be a question now to be  
8 litigated under the state law, which would have  
9 nothing --

10 JUSTICE ALITO: No, no, no, no, we're  
11 back, without the new laws, city or state, would  
12 that have been -- would that have been legal  
13 conduct?

14 MR. DEARING: If that had happened  
15 prior to the changes in conduct?

16 JUSTICE ALITO: After a judgment that  
17 the old law was unconstitutional, prior to the  
18 enactment of any new law.

19 MR. DEARING: I don't think it's --  
20 it's at all clear because that question -- those  
21 kind of questions were never put at issue or  
22 litigated in the case. And so --

23 JUSTICE ALITO: Well, what -- you  
24 don't know what -- you don't know whether  
25 there's any city law that that would violate?

1 MR. DEARING: If there were a judgment  
2 that said that our law had been struck down --  
3 our former --

4 JUSTICE ALITO: Yeah.

5 MR. DEARING: -- law had been struck  
6 down?

7 JUSTICE ALITO: Yeah.

8 MR. DEARING: I'm not aware of any  
9 city law that that --

10 JUSTICE ALITO: So then why is this  
11 case moot? Because they didn't get all that  
12 they wanted. They wanted a declaration that the  
13 old law was unconstitutional, period.

14 And what they have obtained as a  
15 result of the new city ordinance and the new  
16 state law is a rule that says, yes, you can take  
17 the firearm to a firing range outside of New  
18 York City, but it must be a direct trip.

19 It can't include an hour spent with  
20 your mother.

21 MR. DEARING: I think that -- the  
22 answer is that Article III analysis is always  
23 focused on what the plaintiffs asked for, not  
24 speculation about what might have been an  
25 injunction here. And the -- and the only thing

1 that was ever put at issue here -- and -- and --  
2 and you can see this by looking at the actual  
3 injunction that plaintiffs framed -- was the  
4 permissible categories of destination, shooting  
5 ranges and second homes outside the city.

6 JUSTICE KAGAN: Where is the  
7 injunction that plaintiffs framed?

8 MR. DEARING: It's in -- it's in a  
9 number of docket entries, and I don't remember  
10 the numbers offhand, but they're in the summary  
11 judgment in -- in both motions for preliminary  
12 judgment -- injunction, motions for summary  
13 judgment, across several different docket  
14 numbers, injunctions were repeatedly proposed by  
15 the Petitioners. They're basically verbatim,  
16 identical.

17 And what they say is they want an  
18 injunction restraining the city from enforcing  
19 its old rule in any manner that would prohibit  
20 or preclude plaintiffs from traveling to  
21 shooting ranges and second homes outside of --

22 JUSTICE ALITO: And why wouldn't that  
23 include a non-direct trip?

24 MR. DEARING: Your Honor, the issue of  
25 directness was never ever litigated as part of

1 this case. It was never in the complaint. We  
2 have no idea what -- what the answer to that  
3 question might be if it had been litigated, but  
4 it is not what plaintiffs -- the -- the -- the  
5 Article III analysis focuses on what plaintiffs  
6 asked for, and what they asked for dealt with  
7 permissible categories of destination, and that  
8 is more than fully addressed by the state and  
9 city laws.

10 To -- to turn to -- now to the  
11 question of future consequences. We are -- we  
12 would -- as I've said, the issue about coffee  
13 stops is an entirely feigned dispute. We would  
14 not undertake any -- any prosecution or action  
15 now based on that or any other violation of the  
16 repealed law at this point.

17 CHIEF JUSTICE ROBERTS: Is there -- is  
18 there any way in which any violation could  
19 prejudice a gun owner?

20 MR. DEARING: Not that -- not that I  
21 can think of. The city is committed to -- to  
22 closing the book on that old rule and we're not  
23 going to take it into effect.

24 CHIEF JUSTICE ROBERTS: Is there any  
25 way in which a -- a finding of mootness would

1 prejudice further options available to the  
2 Petitioners in this case, for example, seeking  
3 damages?

4 MR. DEARING: I don't -- I don't think  
5 so. I mean, they -- they -- it's possible  
6 they'd have -- they'd have a time bar on --  
7 on -- on damages, but it depends -- it would  
8 depend on the allegation they've made. They've  
9 never made any allegations related to damages,  
10 and I think we'd have to assess that based on  
11 the allegations they make.

12 I think the other key point on future  
13 consequences is there's really no factual basis  
14 in the complaint for that. Mr. Clement for the  
15 first time today suggests that -- that -- that  
16 the complaint may -- alluded to a possibility of  
17 past violations. It certainly did not allege  
18 that these Petitioners had violated this -- the  
19 rule in the past.

20 And the most important thing to know  
21 about -- about those paragraphs of the complaint  
22 is that the -- the Petitioners would have  
23 been -- would have had their licenses renewed at  
24 least twice by now.

25 JUSTICE GORSUCH: Counsel, can I just

1 make sure I understood you correctly earlier? I  
2 understood you to suggest that there will be no  
3 collateral consequences to anyone for violating  
4 the city's prior ban, any kind of collateral  
5 consequences.

6 MR. DEARING: I think there's no basis  
7 to think there would be.

8 JUSTICE GORSUCH: No, I'm wondering --  
9 you're -- you're representing the city, and so  
10 I'm asking the city's representative here --

11 MR. DEARING: Yes.

12 JUSTICE GORSUCH: -- that the city --  
13 that there will be no collateral consequences  
14 from the city to individuals who violated the  
15 prior ban?

16 MR. DEARING: Absolutely correct,  
17 there will be none.

18 JUSTICE GORSUCH: All right.

19 JUSTICE GINSBURG: And you're making  
20 that representation to this Court?

21 MR. DEARING: I'm making that  
22 representation to this Court on the record on  
23 behalf of the City of New York.

24 JUSTICE SOTOMAYOR: I'm not going to,  
25 because I want to be careful for you and for

1 society, you're not representing if they shot  
2 somebody with a gun that you're not going to  
3 prosecute them for that?

4 MR. DEARING: Correct.

5 JUSTICE SOTOMAYOR: You're just not  
6 going to prosecute them for any violation of  
7 this old law?

8 MR. DEARING: Of the repealed  
9 provisions of the law, that's right. If -- if  
10 there were other potential acts of loaded guns,  
11 violent acts, that -- that's different. But the  
12 repealed provisions of the old law we will  
13 not prosecute anyone for with any --

14 CHIEF JUSTICE ROBERTS: Well, I guess  
15 my -- my question and some of the others went  
16 beyond prosecution. The question is whether  
17 they'd be prejudiced in any way, for example,  
18 with respect to qualifying for a -- a premises  
19 license under the new law, would the fact of a  
20 violation of the prior law be used against them?

21 MR. DEARING: It will not. It  
22 absolutely will not. And -- and I think a  
23 deeper point is there is no reason to think  
24 there are -- there are such violations that the  
25 Petitioners -- that there are such violations.

1 If we refer back to the complaint as I noted  
2 before, these Petitioners have been renewed --  
3 their licenses have been renewed twice at least  
4 since that complaint was filed.

5 JUSTICE KAGAN: Do you have a way --  
6 do you have a way, Mr. Dearing -- I take it  
7 these licensing decisions are made by the  
8 office, an office in the New York Police  
9 Department.

10 Do you have a way of communicating to  
11 that office what they are not permitted to do,  
12 given your representation?

13 MR. DEARING: Absolutely. And  
14 we've -- we've consulted that office. They're  
15 aware of this. We will communicate to them that  
16 -- that no such consequences are -- are to be  
17 imposed and the event -- in the extremely  
18 unlikely, and I think not going to happen, event  
19 that any -- that anyone thought that that might  
20 have happened, they should bring that to the  
21 attention of the Law Department and we'll review  
22 it and make sure that it's addressed.

23 I -- I do, though, want to just put a  
24 slightly finer point on the lack of factual  
25 basis, in any event, for the claim of future

1 consequences.

2           The Petitioners only now have made  
3 this allusion to their complaint. They've been  
4 renewed twice since then. The Court, of course,  
5 ordinarily presumes individuals follow the law.

6           Even before this case, our -- our  
7 practice was not to ask people to disclose past  
8 violations unless it had resulted in an arrest,  
9 summons, revocation, or something like that, and  
10 there is no suggestion that any Petitioner has  
11 had any of those events.

12           JUSTICE ALITO: But do you think it's  
13 really fair for you at this point to look for  
14 specific allegations in the complaint to defeat  
15 a claim of mootness that the plaintiffs had no  
16 reason whatsoever to anticipate until after we  
17 granted certiorari and the city decided to try  
18 to moot this case?

19           MR. DEARING: This -- that just  
20 confirms that the plaintiffs got everything they  
21 asked for in this case. There's nothing -- the  
22 -- the issue of potential --

23           JUSTICE ALITO: Well, how does that  
24 confirm that they got everything that they asked  
25 for? If you say, well, they didn't ask for

1 nominal damages, they didn't ask for actual  
2 damages, they didn't specifically allege that  
3 they violated the old law, you -- you really --  
4 they didn't allege that they wanted to make a  
5 non-direct trip, how could any plaintiff  
6 possibly have anticipated that until you took  
7 the quite extraordinary step of trying to moot  
8 the case after we granted review?

9 MR. DEARING: First, the state  
10 legislature has passed a new state law here.

11 JUSTICE ALITO: Yeah. And did the  
12 city have nothing to do with the enactment of  
13 that law?

14 MR. DEARING: The city supported the  
15 law, as we do with many -- many potential bills,  
16 and most of them go nowhere. The state  
17 legislature and the governor made their own  
18 decision -- make their own decisions about what  
19 to enact, of course, responsive to their  
20 state-wide constituency. And that's what  
21 happened here.

22 And that, by the way, is a good thing,  
23 not a bad one. The government should respond to  
24 litigation, should assess its laws or other --  
25 or political subdivisions' laws when they are

1 challenged. And --

2 JUSTICE GORSUCH: Counsel, let's say I  
3 -- I agree with you -- I mean, I accept that.  
4 It's -- it's great when local governments  
5 respond to the constitutional constraints that  
6 are suggested by others in litigation.

7 But it does seem a bit much, doesn't  
8 it, to fault plaintiffs for not having a  
9 specific damages requirement in their prayer for  
10 relief in a complaint that was framed years ago?  
11 This litigation, I think, has taken five-plus  
12 years, and that has become relevant only at this  
13 late stage after the city and the state have  
14 enacted a new law.

15 Why isn't the prospect of allowing  
16 damages to be added to the complaint enough? In  
17 a 1983 action, damages are clearly available.  
18 The complaint, long ago as it was filed, did say  
19 that they sought all available relief, you know,  
20 a typical prayer for relief. Rule 54 doesn't  
21 hold people to their prayers for relief. Why  
22 isn't there at least a fair prospect that a  
23 district court on remand would allow an amended  
24 complaint to seek actual damages?

25 MR. DEARING: Well, two answers. One

1 is that that's not how the Court has approached  
2 mootness questions. And, two, a fair prospect  
3 is not enough to sustain a case under Article  
4 III. But --

5 JUSTICE GORSUCH: A fair prospect of  
6 relief isn't enough to sustain?

7 MR. DEARING: A fair prospect whether  
8 the claim is even in the case at all. That --  
9 whether the claim -- a decision about whether  
10 the claim is in the case must precede a decision  
11 on the merits. That question is a  
12 jurisdictional one. And the solicitor general  
13 is mistaken that it can be deferred to later and  
14 the merits reached anyway.

15 But -- but the prior point, I think,  
16 is equally important, which is that it's not a  
17 matter of faulting the plaintiffs, but the  
18 plaintiffs chose the case they wanted to bring,  
19 as plaintiffs do.

20 Demands for relief are taken very  
21 seriously. They're crafted carefully. And the  
22 -- one of the reasons they're crafted carefully  
23 is that litigation -- demands are meant to cause  
24 a defendant to consider whether to meet that  
25 demand. And in -- in this case, this demand was

1     crafted not just in the prayer for relief but in  
2     numerous paragraphs of the complaint.  The --  
3     the case was consistently litigated in accord  
4     with that structure of the complaint.  And, in  
5     fact, even after mootness -- the mootness  
6     question arose, the Petitioners in their -- in  
7     their lengthy comprehensive response never  
8     suggested --

9                   JUSTICE GORSUCH:  So you think it's  
10    totally irrelevant that the state has at this  
11    late stage sought to moot the case when we're  
12    assessing the prospect and the interests of the  
13    plaintiff in seeking damages?

14                   MR. DEARING:  I think it is, because  
15    -- because the reason demands are made in  
16    litigation is to prompt a defendant to decide  
17    whether to meet them, not to decide later, if  
18    they do meet them, to -- to -- to reinvent the  
19    case and make it something else.  And the  
20    clearest example --

21                   JUSTICE GORSUCH:  Do you agree that  
22    there --

23                   MR. DEARING:  -- from this Court's  
24    cases --

25                   JUSTICE GORSUCH:  -- do you agree that

1       there would at least be a fair prospect that a  
2       district court on remand might disagree with you  
3       and find that there is a reasonable excuse for  
4       the plaintiffs' introduction of damages at this  
5       stage?

6                   MR. DEARING:  I don't think so.  I'm  
7       not -- I'm not aware of any case where anything  
8       like that has happened.  In fact, consistent  
9       decisions from the courts of appeals have said  
10      these were --

11                   JUSTICE GORSUCH:  Let's say if we  
12      disagreed with you, then what?

13                   MR. DEARING:  Still not enough, I  
14      think, because the -- the prospect of adding a  
15      potential live claim is not enough to -- to  
16      sustain an Article III case or controversy now  
17      and to allow the court to reach the merits  
18      before that claim is in the case.

19                   And the clearest example is Alvarez  
20      versus Smith.  That is a case that -- that --  
21      where the complaint sought declaratory  
22      injunctive relief, just like the complaint here,  
23      but a slight -- a difference, a significant  
24      difference, in that case, the plaintiffs had a  
25      motion pending in the district court.

1 JUSTICE GORSUCH: What do you do about  
2 the fact that that was pre-Rule 54 and the  
3 federal rules and so on?

4 MR. DEARING: Alvarez was not pre-Rule  
5 -- Alvarez was -- was about a decade ago.  
6 Alvarez was long --

7 JUSTICE GORSUCH: Oh, I'm sorry. I'm  
8 sorry.

9 MR. DEARING: -- after Rule 54.  
10 That's a different -- that's Alejandrino --

11 JUSTICE GORSUCH: Alejandrino, sorry.

12 MR. DEARING: -- which is a different  
13 case. Rule 54, I think, is really a red herring  
14 here. Rule 54 is a question that governs the  
15 district court's power -- remedial powers when a  
16 live controversy remains continuing before it.  
17 It says that the district court is not beholden  
18 necessarily to what is -- categorically beholden  
19 to what is included in a prayer for relief and  
20 can craft appropriate remedies. But the Court  
21 and lower courts do not look to Rule 54 in  
22 determining questions under Article III.

23 The right place to look is the  
24 complaint, the consistent litigation history,  
25 and the courts below that determined what did

1 the plaintiff ask for and has what they asked  
2 for been provided. And that has happened here.

3 JUSTICE ALITO: Mr. Dearing, are the  
4 -- are people in New York less safe now as a  
5 result of the enactment of the new city and  
6 state laws than they were before?

7 MR. DEARING: We -- we -- no, I don't  
8 think so. We made a judgment expressed by our  
9 police commissioner that -- that it was  
10 consistent with public safety to repeal the  
11 prior rule and to move forward without it.

12 JUSTICE ALITO: Well, if they're not  
13 less safe, then what possible justification  
14 could there have been for the old rule, which  
15 you have abandoned?

16 MR. DEARING: It was a reasonable --  
17 as we've outlined in our briefs, it was a  
18 reasonable implementation of the -- of the state  
19 premises license, carry license division. I  
20 think -- and we've explained that there was --  
21 was a verification benefit to the way that that  
22 rule was set up. That verification benefit  
23 perhaps has not played out as much in practice  
24 as it had been predicted, and we believe the  
25 police can work harder and make sure that the

1 city stays safe.

2 JUSTICE ALITO: So you think the  
3 Second Amendment permits the imposition of a  
4 restriction that has no public safety benefit?

5 MR. DEARING: I think you have to  
6 look, first, to consider whether the -- the type  
7 of restriction -- how the restriction accords  
8 with the history under the Second Amendment  
9 before we answer that question.

10 And so I -- I think -- I think the  
11 right place to start, and -- and, for our  
12 purposes, maybe starting with shooting ranges is  
13 the best, first key point is this must be viewed  
14 as an adjunct to the premises license. This is  
15 not just a general statute or generally  
16 applicable statute. It's an adjunct to the  
17 premises license. It's --

18 JUSTICE ALITO: Well, if it's viewed  
19 in that way, could the city -- would it be  
20 consistent with the Second Amendment for the  
21 city to prohibit any trip by a person holding a  
22 premises license to a firing range?

23 MR. DEARING: I think that would be  
24 doubtful. And the -- and the reason the city  
25 went beyond what state law says about a premises

1 license and -- and authorized transport to  
2 shooting ranges in the city was because the city  
3 recognized that -- that training is -- does  
4 intersect with and is important to effective use  
5 of the handgun in the home.

6 JUSTICE ALITO: So you are  
7 conceding -- I take it "doubtful" means that it  
8 would be unconstitutional. You can tell me if  
9 you -- you -- you don't know the answer to that  
10 question.

11 But, if it -- if that's what it means,  
12 you're conceding that the Second Amendment  
13 protects the possession of a firearm outside the  
14 home under at least some circumstances?

15 MR. DEARING: I think what I'm  
16 conceding is that, in the case of a premises  
17 license, the Second Amendment has something to  
18 say about what effective possession in the home  
19 means. And sometimes that may mean that you  
20 need to be able to -- that a license holder  
21 needs to be able to undertake certain activities  
22 outside the home.

23 JUSTICE ALITO: Well, if the person is  
24 taking the firearm, the handgun, from the home  
25 to a firing range, the person is out on the

1 streets of New York, and if -- unless a total  
2 ban on taking it to a firing range would be  
3 consistent with the Second Amendment, it follows  
4 that the Second Amendment, under at least some  
5 circumstances, protects the possession of a  
6 handgun outside the home. Isn't that correct?

7 MR. DEARING: I think -- I think  
8 that's a fair way to look at it, that -- that --  
9 that -- but -- but, from our perspective, the  
10 right question regarding a premises license is,  
11 did the -- did the rule impermissibly burden  
12 effective use of the handgun in the premises?  
13 In the same way that to get a gun to a premises,  
14 you have to get it somewhere outside -- you  
15 know, purchase it somewhere outside your  
16 premises and bring it there, that certain things  
17 that happen outside the home may -- may be  
18 integrally related to effective use of a handgun  
19 inside the home.

20 But, when you look at a premises  
21 license, and not speaking about the Second  
22 Amendment at large or writ large but the  
23 premises license specifically, the only proper  
24 lens to look at the question through is whether  
25 the restriction impinges on effective use of the

1 handgun in the home.

2           And with regard to training, we have  
3 two -- two related reasons why it doesn't. The  
4 first is to look to historical restrictions,  
5 which were not themselves directed at premises  
6 licenses but are illuminating, and,  
7 historically, the location where people were  
8 permitted to train was -- was fairly extensively  
9 restricted, provided that opportunities to train  
10 remained available.

11           And we -- that's the principle we  
12 distill from history. And -- and when you apply  
13 it to the premises license here, what our -- the  
14 conclusion is that the ability to train locally  
15 in a circumstance where market forces are  
16 allowed to operate to determine how many  
17 facilities are present, where there's no  
18 indication that supply was insufficient to meet  
19 demand, and where the Petitioners here actually  
20 in their summary judgment affidavits never even  
21 said they wished to engage in any form of  
22 regular training outside the city.

23           All they said is they wanted to go to  
24 shooting competitions -- regional shooting  
25 competitions out of the city, that on this

1 record, the former restriction or the former  
2 rule implementing the premises license to allow  
3 fire -- training locally meets Second Amendment  
4 requirements.

5 JUSTICE ALITO: Well, how should  
6 the -- what methodology should the courts use in  
7 approaching Second Amendment questions?

8 If they conclude that text and history  
9 protect a -- the text and history of the Second  
10 Amendment protect a particular activity, is that  
11 the end of the question, or do they then go on  
12 and apply some level of scrutiny?

13 MR. DEARING: I think -- I think,  
14 first, we look -- we look to history and  
15 determine whether history answers the question  
16 one way or the other, whether it's  
17 constitutional or unconstitutional.

18 JUSTICE ALITO: Right.

19 MR. DEARING: And in a significant  
20 number of cases, history will not speak with one  
21 voice or conclusively on that subject, and then  
22 the right step is to move on to an assessment of  
23 justification and fit under a means and scrutiny  
24 approach.

25 JUSTICE ALITO: But, if history says

1 this is protected, then that's the end of the  
2 question? There's no resort to some level of  
3 scrutiny?

4 MR. DEARING: If history conclusively  
5 shows that the restriction is impermissible,  
6 then I -- I think -- as in Heller, Heller is an  
7 example of that phenomenon. Heller determined  
8 without consulting means and scrutiny that  
9 the -- that the law in question sort of went to  
10 the core of and destroyed, in essence, the --  
11 the -- the -- the Second Amendment right and,  
12 therefore, was -- and more severe than any --  
13 any historical, any analogous or -- or prior law  
14 in -- in its degree of burden on the Second  
15 Amendment --

16 JUSTICE BREYER: No --

17 MR. DEARING: -- right.

18 JUSTICE BREYER: -- you're supposed to  
19 do there, because you're correctly stating the  
20 views of some judges.

21 MR. DEARING: Right.

22 JUSTICE BREYER: And some judges had  
23 an opposite view.

24 MR. DEARING: I'm aware -- I'm aware  
25 of that, that's correct.

1 (Laughter.)

2 MR. DEARING: Our -- our -- our -- our  
3 view is that -- is that history can answer some  
4 questions pretty directly and -- and in other  
5 many -- in other -- in a -- in a significant  
6 number of cases, history doesn't speak so  
7 clearly and that the most reliable method of  
8 answering the question in those cases is a -- is  
9 means and scrutiny.

10 JUSTICE GINSBURG: One -- one problem  
11 with the prior regulation, if you wanted to have  
12 a gun in your second home, you had to buy a  
13 second gun. And what public safety or any other  
14 reasonable end is served by saying you have to  
15 have two guns instead of one and one of those  
16 guns has to be maintained in a place that is  
17 often unoccupied and, therefore, more vulnerable  
18 to theft?

19 MR. DEARING: I think that the -- the  
20 question on second homes, there, Petitioners  
21 have identified a difficult application of our  
22 former rule that wasn't really contemplated when  
23 the rule was -- was adopted.

24 I still think, though, if you look  
25 historically, and the -- the right way to answer

1 a question about whether it was unconstitutional  
2 is to ask whether there had been some historical  
3 tradition of enabling individuals to use the  
4 same handgun to protect two different homes.

5 Of course, our rule never spoke to the  
6 question whether an individual could have a  
7 handgun in a -- in a -- in a residence outside  
8 our jurisdiction. That's something completely  
9 that we don't speak to -- we could never speak  
10 to.

11 And when you look at the question  
12 about -- about what happened historically, there  
13 have been incidental burdens that would have  
14 burdened similarly that kind of conduct in the  
15 past. And --

16 JUSTICE BREYER: Suppose -- I mean,  
17 this is why these things are difficult for you.  
18 All right? I understand that.

19 But, in Massachusetts, historically,  
20 all the guns and ammunition were stored in a  
21 central place at night, I believe, at the time  
22 of the resolution -- revolution. Not in  
23 anybody's home. And this -- do we have a  
24 different law for Massachusetts? I guess not.  
25 What history do we look to?

1                   And you did at one point, or someone  
2                   said, I am a policeman, I happen to notice  
3                   there's a gun next to this person in the car who  
4                   stopped at the stoplight. I say, sir, what are  
5                   you doing with this gun? He says, I am going to  
6                   a firing range. Oh, I see. You're going to  
7                   test it. Where is it?

8                   Now, if he says it's in Brooklyn, I  
9                   can find it. If he says it's somewhere 14 miles  
10                  northwest of Utica in the Adirondacks, I have a  
11                  harder time.

12                  And I don't know who to believe, and  
13                  so it's tough. So there are more guns in New  
14                  York. What happened to that, that argument?

15                  MR. DEARING: That argument is the --  
16                  is the argument that -- that is presented on the  
17                  record of the -- of the detective --  
18                  detective -- detective -- detective's affidavit,  
19                  sorry.

20                  We, of course, took a close look at  
21                  that question, and the police commissioner  
22                  determined that -- that the rule could be  
23                  repealed without a negative impact on public  
24                  safety.

25                  I do think the police will have to

1 work harder to verify what's happening in those  
2 situations, but we -- we are confident that they  
3 can do it and they will do it --

4 JUSTICE ALITO: Why --

5 MR. DEARING: -- successfully.

6 JUSTICE ALITO: -- why will they have  
7 to work harder? Somebody who lives in midtown  
8 is stopped and -- with a gun and the officer  
9 says, where are you going? I'm going to a  
10 firing range in Jersey City, which is right  
11 across the river.

12 That's tougher than, I'm going to a  
13 firing range in Staten Island. And I think  
14 three of your seven ranges are in Staten Island,  
15 am I right?

16 MR. DEARING: Two -- two are in Staten  
17 Island.

18 JUSTICE ALITO: Two are in Staten  
19 Island?

20 MR. DEARING: That's right. I think  
21 it is a little bit tougher, but, of course,  
22 the -- the person may not say Jersey City  
23 either.

24 JUSTICE ALITO: All right. How about  
25 if somebody who lives in the north Bronx says,

1 I'm going across the border to Westchester  
2 County? That's tougher for you to -- to look  
3 into than, yes, I'm going all the way to Staten  
4 Island?

5 MR. DEARING: Well, still, the --  
6 still, what happens in Staten Island is within  
7 the Police Department's jurisdiction. They have  
8 access to records, immediate access to records.  
9 They have -- that range is subject to the  
10 requirement to maintain a roster of individuals  
11 to use it.

12 I agree with you that it's not -- that  
13 it is enforceable as to Jersey City or as to  
14 Westchester, and that's part of the reason the  
15 city is determined to change the rule, even  
16 ignoring the fact that the state came in and  
17 preempted it, but I do think it is not -- it is  
18 more difficult and that -- that the judgment  
19 previously with -- was that with respect to  
20 premises licensees, of course, not a carry  
21 license, which is not at issue in this case, has  
22 never been challenged, the target license that  
23 Mr. Clement referred to was understood to be a  
24 kind of carry license.

25 And if that was the heart of the

1 complaint, the -- the claim should have been  
2 that the city needs to reinstate that carry  
3 license. That was not the claim in this case.

4 The claim in this case was  
5 specifically articulated by the Petitioners that  
6 they have a premises license, this is about the  
7 scope of a premises license, and the claim made  
8 framed by the Petitioners most clearly in their  
9 summary judgment papers at page 6 was that the  
10 relief sought here is necessary to allow the  
11 full exercise of the -- of the right of defense  
12 of hearth and home in the home.

13 They accepted the premises license  
14 framing, and the entire case has been litigated  
15 --

16 JUSTICE GORSUCH: Counsel, can I --

17 MR. DEARING: -- through that lens.

18 JUSTICE GORSUCH: I just want to  
19 circle back to the direct and continuous travel  
20 requirement of the current rule and Justice  
21 Alito's question about visiting your mother.

22 Is it now the city's position that any  
23 reasonable stops are permissible?

24 MR. DEARING: That is our  
25 enforcement -- reasonably necessary stops in the

1 course of travel --

2 JUSTICE GORSUCH: Reasonably  
3 necessary.

4 MR. DEARING: -- are permissible.

5 JUSTICE GORSUCH: Now does that  
6 include stopping to visit your mother --

7 MR. DEARING: I haven't -- I --

8 JUSTICE GORSUCH: -- or use the --

9 MR. DEARING: -- I don't know the  
10 answer to that.

11 JUSTICE GORSUCH: Get a cup of coffee?  
12 I mean, I'm not sure a cup -- is a cup of coffee  
13 reasonably necessary?

14 (Laughter.)

15 MR. DEARING: Well, it probably  
16 depends who you ask. But the Police Department  
17 has --

18 (Laughter.)

19 MR. DEARING: The Police Department  
20 has affirmed and we have made clear that -- that  
21 the enforcement position is that a stop for a  
22 cup of coffee is not a problem.

23 JUSTICE GORSUCH: So that's reasonably  
24 --

25 MR. DEARING: And, in fact, there's no

1 --

2 JUSTICE GORSUCH: -- necessary. So  
3 what -- what's going to qualify? I -- I'm just  
4 a little unclear about that.

5 MR. DEARING: I think that -- well,  
6 the controlling standard here -- I'm -- I'm  
7 giving you the enforcement position of the  
8 Police Department on the questions we have  
9 considered. But the controlling standard here,  
10 I should hasten to add, is provided by state  
11 law.

12 We -- we do not offer a definitive --  
13 cannot offer a definitive construction of that  
14 law. And I think the -- the question about what  
15 that state law means is one that's going to need  
16 to be litigated probably in state courts, but  
17 before there's any dispute here ripe for -- for  
18 constitutional adjudication, the meaning of that  
19 law is going to have to be determined.

20 JUSTICE GORSUCH: So we have no  
21 representations to us as to what is -- is direct  
22 and continuous, other than coffee's okay?

23 MR. DEARING: Coffee -- what -- what I  
24 know -- what I -- what I can represent because  
25 -- because it's come up before, coffee,

1 restrooms, food, gas, the kinds of things that  
2 you ordinarily would stop for in the course of  
3 -- of travel, I hadn't considered the mother or  
4 mother-in-law example before. I think that's  
5 going to need to play out in the state courts.

6 The more important point here, though,  
7 is that none of those issues were ever part of  
8 this controversy. The -- this controversy was  
9 about two things, as repeatedly emphasized by  
10 Petitioners throughout the --

11 JUSTICE GORSUCH: I understand --

12 MR. DEARING: -- litigation.

13 JUSTICE GORSUCH: -- that. But you're  
14 asking us to say that there is no controversy  
15 now. So I'm trying to just nail down exactly  
16 what is the delta, if any, remaining in the  
17 relief that might have been sought and the  
18 relief you've provided.

19 MR. DEARING: Well, this is all -- I  
20 guess, in short, what I'm saying is -- Mr. Chief  
21 Justice, may I answer?

22 CHIEF JUSTICE ROBERTS: Sure.

23 MR. DEARING: In short, what I'm  
24 saying is this is not relief that was ever  
25 sought. There may be a controversy here, but

1 it's a new controversy, it would need to be  
2 litigated in a new case. And the relief -- the  
3 -- the speculation about what an injunction  
4 theoretically could have included is not the way  
5 this Court analyzes questions under Article III.

6 Thank you.

7 CHIEF JUSTICE ROBERTS: Thank you,  
8 counsel.

9 Three minutes, Mr. Clement.

10 REBUTTAL ARGUMENT OF PAUL D. CLEMENT

11 ON BEHALF OF THE PETITIONERS

12 MR. CLEMENT: Thank you, Mr. Just --  
13 Chief Justice.

14 Just a few points in rebuttal. First  
15 of all, Justice Kagan, we never got to the point  
16 of a proposed injunction in this case. We  
17 didn't exactly succeed really well under the  
18 current Second Circuit law, so we never got to  
19 the point of proposing an injunction.

20 The only thing my friend is referring  
21 to are some allusions to the kind of relief we  
22 wanted in a summary judgment motion.

23 If we had gotten to that point, we  
24 would have wanted clarity, the kind of clarity  
25 that a federal court applying the Second

1 Amendment can provide. You don't have to depend  
2 on a city's representation about --

3 JUSTICE SOTOMAYOR: Mr. Clement --

4 MR. CLEMENT: -- state law.

5 JUSTICE SOTOMAYOR: -- your complaint  
6 from relief states it: "An order preliminarily  
7 and permanently enjoining the defendants" -- I  
8 skip out whoever else -- "who receive actual  
9 notice of the injunction from enforcing this  
10 prohibition from traveling beyond the borders of  
11 the City of New York to attend a gun range,  
12 shooting competition, or to use a lawfully  
13 possessed and licensed firearm for the purposes  
14 of defending one's home, person, or property."

15 And you asked for declaratory relief  
16 in -- with those same words.

17 MR. CLEMENT: That's right, Justice  
18 Sotomayor. I don't think we would have been  
19 tethered to those in a proposed injunction.

20 But, if we're going to go to the  
21 complaint, I think we should look at page 40 --  
22 at paragraph 41, at Joint Appendix 36, where we  
23 asked for "unrestricted access to gun ranges and  
24 second homes." Unrestricted.

25 I don't think at this late stage we

1 are still being offered unrestricted access.

2 And I think it's --

3 JUSTICE SOTOMAYOR: Well, let --

4 MR. CLEMENT: -- important to  
5 understand --

6 JUSTICE SOTOMAYOR: -- let's stop.

7 Justice Alito said stopping at your mother's.

8 When you say unrestricted, does that mean I can  
9 carry my gun for three days?

10 Do you think that a court actually  
11 would have crafted an injunction at all with  
12 hypothetical situations?

13 It would have said you can carry your  
14 gun to the range, and then would have left for  
15 further litigation specific applications of that  
16 general rule.

17 MR. CLEMENT: I -- I don't think so,  
18 Your Honor. I think --

19 JUSTICE SOTOMAYOR: Unless you had --

20 MR. CLEMENT: -- I -- I think what  
21 would have happened is the parties would have  
22 had their proposed injunctions. There would  
23 have been a huge delta between them. And then  
24 we would have disputed the same kind of  
25 questions that are still being disputed here.

1                   But we wouldn't have to rely on the  
2 city's representation about state law because we  
3 could have an injunction that enforced the  
4 Second Amendment.

5                   I think it's important to understand  
6 how state law and city law --

7                   JUSTICE SOTOMAYOR: So you want us --

8                   MR. CLEMENT: -- work together.

9                   JUSTICE SOTOMAYOR: -- to create --

10                  CHIEF JUSTICE ROBERTS: Maybe you  
11 could proceed --

12                  JUSTICE SOTOMAYOR: -- the law.

13                  CHIEF JUSTICE ROBERTS: -- with the  
14 other points you intended to on your rebuttal.

15                  MR. CLEMENT: I -- I -- I would be  
16 delighted to, Your Honor.

17                  I think the way that city law and  
18 state law work together here is all the state  
19 law says is we're going to allow your transport  
20 if it's direct. It doesn't otherwise specify  
21 what's direct.

22                  The city took it on itself in  
23 Section 7 of the new regs to tell you what they  
24 at least at that point thought was sufficiently  
25 direct, which is continuous and uninterrupted.

1           Now they're now making representations  
2           that the reg doesn't mean what it seems to mean  
3           and the like. And I would say that my client  
4           shouldn't have to rely on those representations.  
5           They should get that in writing in an injunction  
6           that would be enforceable. That would be  
7           effectual relief.

8           Again, I think the damages point was  
9           not our principal claim here, but let's think  
10          about in real time what would have happened is  
11          as soon as we filed the lawsuit, the city would  
12          have turned around, dropped its case entirely,  
13          and then admitted to the court that it served no  
14          public safety purpose.

15          Then I think my clients, who for years  
16          had tried to comply with the law and restricted  
17          where they wanted to go, would have immediately  
18          sued for damages.

19          I don't think they should lose that  
20          right just because the city's maneuvering  
21          happened post-certiorari.

22          Thank you, Your Honor.

23          CHIEF JUSTICE ROBERTS: Thank you,  
24          counsel. The case is submitted.

25          (Whereupon, at 11:07 a.m., the case

1 was submitted.)  
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## Official

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