SUPREME COURT OF THE UNITED STATES

IN THE	SUPREME	COURT	OF.	THE	ONTTED	STATES
MARION WILSON,)	
	Petition	ner,)	
v.) No.	16-6855
ERIC SELLERS,	WARDEN,)	
	Responde	ent.)	

Pages: 1 through 62

Place: Washington, D.C.

Date: October 30, 2017

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1	IN THE SUPREME COURT OF TH	E UNITED STATES
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3	MARION WILSON,)
4	Petitioner,)
5	v.) No. 16-6855
6	ERIC SELLERS, WARDEN,)
7	Respondent.)
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9		
10	Washington, D.C	•
11	Monday, October 3	0, 2017
12		
13	The above-entitled	l matter came on for oral
14	argument before the Supreme Co	ourt of the United States
15	at 11:04 a.m.	
16		
17	APPEARANCES:	
18	MARK E. OLIVE, Tallahassee, Fl	orida; on behalf
19	of the Petitioner.	
20	SARAH HAWKINS WARREN, Solicito	or General of Georgia,
21	Atlanta, Georgia; on behalf of	the Respondent.
22		
23		
24		
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1	PROCEEDINGS
2	(11:04 a.m.)
3	CHIEF JUSTICE ROBERTS: We'll hear
4	argument next in Case 16-6855, Wilson against
5	Sellers.
6	Mr. Olive.
7	ORAL ARGUMENT OF MARK E. OLIVE
8	ON BEHALF OF THE PETITIONER
9	MR. OLIVE: Mr. Chief Justice, and may
10	it please the Court:
11	When a court in 2254 proceedings
12	reviews a state court's summary denial of
13	appeal from a lower court's reasoned
14	post-conviction opinion, the federal court
15	should look through the appellate cited order
16	to that last reasoned decision, as this Court
17	does, as all the circuits have done, other than
18	the Eleventh. A look-through like this best
19	fits the history of AEDPA, it best fits the
20	plain reading of 2254(d), and it best fits this
21	Court's precedents.
22	The ruling in Richter was a necessary
23	ruling and a narrow ruling. The question posed
24	in Richter was what to do "where a state
25	court's decision is unaccompanied by an

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1 explanation."
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- 2 This Court's choice was either to
- 3 require de novo review of that, utterly
- 4 inconsistent with the purpose and the history
- of AEDPA, or to accommodate AEDPA and 2254(d).
- 6 JUSTICE ALITO: Suppose that the --
- 7 the Georgia Supreme Court in this case had
- 8 issued an order saying we affirm the decision
- 9 below; our decision should not be taken as
- 10 necessarily agreeing or disagreeing with the
- 11 reasoning in the lower court's opinion.
- 12 Would look-through be appropriate in
- 13 that situation?
- MR. OLIVE: Your Honor, it depends.
- 15 The Ylst presumption is rebuttable, as Justice
- 16 Scalia explained in the 1991 opinion, and he
- 17 also explained that there's no gold standard
- 18 for how it is rebutted. He gave some examples
- 19 of how it could be rebutted.
- JUSTICE ALITO: Well, what if -- if
- 21 that --
- MR. OLIVE: And I think that would be
- 23 something to consider, but whether it would --
- JUSTICE ALITO: So you --
- MR. OLIVE: -- ultimately rebut, I

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1 couldn't say.
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- JUSTICE ALITO: You can't say?
- 3 MR. OLIVE: Right. I know that it --
- 4 that it --
- JUSTICE ALITO: So we -- we would --
- 6 we would presume that the state supreme court
- 7 had adopted the reasoning of the lower court
- 8 even though the supreme court said specifically
- 9 that it didn't?
- 10 MR. OLIVE: I think that it goes a
- long way toward rebutting, but whether it
- 12 ultimately would rebut could depend on other
- 13 facts and circumstances in the case.
- 14 For example, there could be a reason
- 15 for that decision because the state briefs
- 16 things that weren't presented as bases for the
- 17 decision below. It could be that the court, as
- 18 Justice Scalia said in Ylst in '91, asked for
- 19 further briefing on some items and got that
- 20 further briefing on some items. And I agree
- 21 that a court saying that, it is a significant
- 22 circumstance to consider. And the Georgia
- 23 Supreme Court is quite capable of saying that.
- 24 And --
- 25 JUSTICE GINSBURG: Suppose it said it

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in every case. Suppose you win here and then
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- the Georgia Supreme Court says now we're going
- 3 to add, as boilerplate to every decision, we
- 4 are not relying on the reasoning of the lower
- 5 court.
- 6 MR. OLIVE: That -- that would seem
- 7 like a ruse, Your Honor, to do it, and how
- 8 could they know in every single case that
- 9 that's what they're going to do and why would
- 10 they intend in every single case --
- JUSTICE KENNEDY: Well, you could play
- 12 with the words. They could say we do -- we
- 13 affirm not necessarily for the reasons below.
- 14 You know, they could have a formulation.
- MR. OLIVE: Yes, I -- you know, the --
- 16 Justice -- even the dissent below said that
- 17 that was a possibility. And it gets around the
- 18 critique that this is judging opinions by lower
- 19 courts --
- JUSTICE ALITO: Well, why would it
- 21 be --
- MR. OLIVE: -- or for having an
- 23 opinion --
- JUSTICE ALITO: -- why would it be a
- 25 ruse? I -- it seems to me that there is a

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1 general -- that that is the general practice of
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- 2 appellate courts in the United States. When a
- 3 court summarily affirms the decision of the
- 4 lower court, the summary affirmance is not
- 5 taken as necessarily adopting the reasoning of
- 6 the lower court.
- 7 That's the meaning of our summary
- 8 affirmances. That is the meaning, the
- 9 established meaning of thousands and thousands
- 10 of summary affirmances by federal district
- 11 courts -- by federal courts of appeals.
- MR. OLIVE: Well, we know that --
- 13 JUSTICE SOTOMAYOR: That might be the
- 14 reasoning, correct, on merits decisions. Is it
- 15 necessarily what courts do in granting or not
- 16 granting a COA?
- 17 MR. OLIVE: Granting or not granting?
- JUSTICE SOTOMAYOR: A COA, which is
- what's at issue here, correct?
- 20 MR. OLIVE: Right. That's correct.
- 21 We -- we actually know that it --
- 22 CHIEF JUSTICE ROBERTS: I'm sorry, I
- lost -- what -- what's correct?
- MR. OLIVE: Would you repeat it?
- 25 JUSTICE SOTOMAYOR: What I said was

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1 it's true that on summary affirmances, where
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- there's been full argument by both sides --
- 3 MR. OLIVE: Right.
- 4 JUSTICE SOTOMAYOR: -- that you don't
- 5 know the basis for a lower court's decision.
- 6 MR. OLIVE: Right.
- 7 JUSTICE SOTOMAYOR: But is that the
- 8 uniform -- the same thing, a uniform practice
- 9 in granting or denying a COA?
- 10 MR. OLIVE: I don't know the answer to
- 11 that question.
- 12 JUSTICE SOTOMAYOR: Well, we do know
- in this case because we have a former chief
- 14 judge of Georgia and a bunch of other --
- 15 MR. OLIVE: That's correct.
- JUSTICE SOTOMAYOR: -- judges from
- 17 Georgia telling us that that's not the standard
- in Georgia, correct?
- 19 MR. OLIVE: Correct. That is correct.
- 20 The summary affirmance --
- JUSTICE ALITO: But that's a question
- of -- that's a question of Georgia law. That's
- 23 not a question of Ylst --
- MR. OLIVE: Well, we know that this
- 25 Court doesn't consider the denial of a

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1 certificate of probable cause to appeal not to
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- 2 adopt the decision of the habeas court. In
- 3 both Sears and in Foster, this Court looked at
- 4 a CPC denial and concluded not that it was
- 5 precedent or that it said anything, but instead
- 6 looked through it to the -- to the habeas
- 7 corpus court and the -- and the state.
- JUSTICE ALITO: Well, that's a very
- 9 debatable -- that's a very debatable and --
- and, I think, a dubious reading of both of
- 11 those decisions. There's nothing in any of
- those decisions that says in determining, like
- in Foster, was the -- was -- was there a Batson
- 14 violation. We didn't say we're going to
- 15 consider only the things that were said by the
- lower state court; we're not going to consider
- 17 anything else.
- 18 Did we say that?
- MR. OLIVE: That's what the Court
- 20 focused its attention on.
- 21 JUSTICE ALITO: Did it say that,
- though?
- MR. OLIVE: It didn't -- you didn't --
- 24 no, sir, you didn't say that expressly, but
- that's exactly what you looked to. You didn't

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1 say, oh, well, the state supreme court had a
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- better reason or a different reason; we ought
- 3 to defer to it. You --
- 4 CHIEF JUSTICE ROBERTS: Well, but if
- 5 you have it -- I think in Foster it was
- 6 pertinent in the analysis of the -- the lower
- 7 state court determination was certainly
- 8 evidence of what the issues were and were not
- 9 decided. But I've read the footnote carefully,
- 10 and I don't see anything in there that
- 11 suggested that that was a -- an absolute rule
- 12 of law.
- MR. OLIVE: Looking at what the
- 14 practice that the court undertook and the
- 15 procedure the court undertook, you looked at
- 16 the reasons given by the state habeas court.
- 17 I agree that the state would never
- 18 cite a CPC denial as precedent for anything in
- 19 Georgia, and they haven't and we wouldn't
- 20 either. But it -- it is clear that, in this
- 21 case and in most cases, except when the court
- 22 says otherwise, the court is adopting the facts
- as set forth in the lower court's opinion.
- 24 The state -- the state, in its brief
- 25 to the Georgia Supreme Court in support of the

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denial of CPC, argued strictly the bases that
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- were in the order that was entered in the lower
- 3 court, which they wrote, by the way, with some
- 4 alterations -- minor alterations by the court.
- 5 And in the brief -- in that brief,
- 6 they cited 52 times this court should deny the
- 7 CPC on the basis of what the lower court did.
- 8 There was no --
- 9 JUSTICE ALITO: Suppose -- suppose
- 10 that there is a decision by a state
- intermediate court of appeals, and the majority
- 12 rejects a claim for certain reasons, and
- there's a concurrence in the judgment that says
- 14 we would also reject the claim -- or I would
- 15 also reject the claim for a different reason,
- and then that decision is summarily affirmed by
- 17 the state supreme court. What happens there?
- 18 MR. OLIVE: I think it would be
- 19 look-through.
- JUSTICE ALITO: To what?
- MR. OLIVE: To the majority.
- 22 JUSTICE ALITO: Just to the majority?
- MR. OLIVE: Decision. Pardon me?
- 24 JUSTICE ALITO: You would assume that
- 25 the state supreme court relied on the reasoning

- of the majority and not the reasoning of the
- 2 concurrence.
- 3 MR. OLIVE: Correct.
- 4 JUSTICE ALITO: And that -- and based
- on what? What would be the basis of that?
- 6 MR. OLIVE: Just based on the
- 7 commonsense workable, well-known, well-used
- 8 rule of Ylst, is --
- 9 JUSTICE ALITO: What about the
- 10 commonsense, well-known, well-used --
- 11 well-understood rule that a summary affirmance
- by an appellate court is not interpreted in
- this country as an adoption of the reasoning of
- 14 the lower court?
- MR. OLIVE: According to Ylst, where
- 16 there's been one reasoned state judgment --
- 17 judgment rejecting a federal claim, federal
- 18 habeas courts should presume later unexplained
- orders rest upon the same ground. That's the
- 20 rule of Ylst, and it's been applied by all the
- 21 circuits.
- JUSTICE GINSBURG: Except that that
- 23 was a procedural default question.
- MR. OLIVE: It -- it -- it was. But
- 25 the logic is the same. The logic is most --

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1 most narrowly reflects the role such orders are
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- 2 ordinarily intended to play, except --
- 3 CHIEF JUSTICE ROBERTS: Well, I
- 4 thought the logic -- the logic would be,
- 5 though, that it is unusual for a court
- 6 reviewing a procedural determination, if you
- 7 can't tell, because it's silent, you would
- 8 normally not assume the court went on to the
- 9 merits when the lower court said there was a
- 10 procedural bar.
- 11 But when it's simply merits decisions
- in both cases, the -- the argument anyway is
- 13 that that's a different situation.
- MR. OLIVE: Well, the circuits haven't
- 15 ruled that way, and I know you're wanting to
- 16 resolve the issue for all of us now. This
- 17 Court has looked through on merits rulings as
- 18 well without citing Ylst. Just last term the
- 19 Court in LeBlanc looked through and denied
- 20 relief in --
- 21 JUSTICE GINSBURG: But when -- when --
- 22 when --
- MR. OLIVE: -- in McWilliams.
- JUSTICE GINSBURG: -- when the
- 25 question is -- this question will arise only if

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1 that lower court decision was unreasonable.
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- 2 MR. OLIVE: That's correct.
- JUSTICE GINSBURG: So why shouldn't a
- 4 court of appeals -- why don't we assume that a
- 5 court of appeals would not adopt a badly
- 6 reasoned decision?
- 7 MR. OLIVE: Well, I think that
- 8 probably that is a good assumption and that in
- 9 most instances state courts get it right, but
- in the situation where a order is palpably
- 11 unreasonable, what Congress directs us to do is
- 12 apply de novo review.
- 13 And so the individuals who will be
- injured by this rule, Respondent's rule and the
- lower court's rule, are the people whose very
- judgments ought to be viewed -- ought to be
- 17 getting greater review.
- JUSTICE GORSUCH: Mr. Olive, if we're
- 19 talking about consequences of the ruling here,
- it seems to me it's possible that by adopting a
- look-through rule, we would encourage state
- 22 supreme courts to say more, perhaps very little
- 23 more, maybe as little as we're -- we're
- 24 agreeing with the result but not necessarily
- 25 the reasoning.

1	But equally possible would be to
2	encourage state intermediate courts to say less
3	and perhaps take advantage of Harrington, so
4	that no state court says anything and achieves
5	maximum deference from federal courts, like
6	California has, for example.
7	Should we be concerned that a ruling
8	in favor of look-through might actually yield
9	if states are rational and look for the least
10	cost and the most deference adverse
11	consequences to your your your clients?
12	MR. OLIVE: You know, there was an
13	assertion of that in Richter. And the response
14	was there are no there is no merit to the
15	assertion that our decision will encourage
16	state courts to withhold explanations. Opinion
17	writing practices are influenced by
18	considerations other than avoiding scrutiny by
19	federal courts. And that's at 562 West at 99.
20	JUSTICE GORSUCH: I'm asking you, do
21	you agree with that? I mean, do you think
22	that's right?
23	MR. OLIVE: Yeah, I don't agree with
24	that. I think that federal I mean state
25	court judges are not nearly as concerned with

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1 federal review as some say.
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- 2 JUSTICE GORSUCH: I understand they
- 3 are, but perhaps state legislatures are. And
- 4 they may for altruistic reasons, as in Georgia
- 5 -- very, very altruistic reasons, insist on a
- 6 practice of some reasoned decision-making, but
- 7 couldn't you see other state legislators making
- 8 other decisions, say like California has,
- 9 because of the cost of analyzing these cases?
- MR. OLIVE: We note just as -- as an
- 11 aside, that's changed now in California under
- 12 Proposition 66, all capital cases start in the
- 13 lower court and will go through the appeals
- 14 process, when that's eventually implemented.
- But, no, I don't think the
- 16 legislatures or the courts after AEDPA are
- losing ground when it comes to federal habeas.
- 18 And they have reasons for structuring their --
- 19 their processes in whatever way they please.
- 20 And in Georgia, it's a serious opinion
- 21 writing endeavor by a trial court that then is
- looked through, or has been for years, until
- two, about two years ago, by the appellate
- 24 court.
- JUSTICE BREYER: So how seriously

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1 should we take a word that you read from Ylst
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- which was "presume," it says the -- the habeas
- 3 court should presume that this simple, one-word
- 4 statement of the state supreme court means that
- 5 the decision that's keeping the person in
- 6 prison is, in fact, the decision of the
- 7 intermediate appellate court?
- Now, then if it's a presumption, the
- 9 state could refute it. And I guess is this
- 10 right? The state would be free to say, well,
- 11 look, here, Your Honor, to federal judges,
- 12 look, this decision of the intermediate court
- is so obviously wrong, in any event, it's so
- 14 obviously a procedural ground, an adequate
- 15 state ground, and look at what they argued to
- 16 the state supreme court and bring out the
- 17 briefs, and say under these circumstances you
- 18 shouldn't presume that that lower court
- 19 decision is what the supreme court decided on.
- Indeed, nobody even claimed in the
- 21 supreme court that they ought to just decide on
- that ground. I mean, would you be free to do
- 23 such -- would they be free to do that kind of
- 24 thing?
- MR. OLIVE: I think they would, but

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1 the state has never in Georgia disagreed that
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- 2 it's most improbable that the Georgia Supreme
- 3 Court's decisions did not rely on --
- 4 JUSTICE BREYER: Well, it's -- in
- 5 Georgia, I take it, the adequate state ground
- 6 is not really one -- it's not in play in this
- 7 kind of situation, but there are states where
- 8 it are -- it is.
- 9 I don't know how well, but what --
- 10 what I've just said and what you said it would
- 11 be free, is that basically the situation that
- most of the states use, as that's what's going
- on right now in the country.
- MR. OLIVE: The circumstances under
- which the presumption can be rebutted are
- 16 probably innumerable. And how the briefing
- 17 went in the lower court and -- and other
- 18 indicia are important.
- 19 Again, the court in Georgia is
- 20 perfectly free to, knows how to, and does issue
- 21 orders denying CPC for bases other than the
- 22 briefs of the parties or other than what was in
- 23 the lower court.
- 24 JUSTICE GINSBURG: Did the -- did the
- lower court in this case that we looked-through

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1 to, did it say anything at all about why it
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- 2 found no prejudice?
- 3 MR. OLIVE: It did, Your Honor. It
- 4 said that much of the evidence that had been
- 5 proffered in post-conviction was cumulative,
- 6 which it really -- there's an argument that it
- 7 wasn't that I can make.
- 8 And also that the neuropsychological
- 9 testing omissions could not be considered
- 10 prejudicial, but didn't really give reasons,
- 11 other than the evidence of guilt and the
- 12 evidence in aggravation.
- JUSTICE ALITO: Well, it said --
- 14 didn't it -- I mean, it said a lot. I think it
- devoted about 30 pages to this.
- 16 And I -- I suspect you think that some
- of the things that it said were wrong, but is
- 18 it fair to say that in general it said, in
- 19 fact, said over and over one of the reasons why
- 20 we find no -- why -- why the judge found no
- 21 prejudice is that taking into account all the
- 22 evidence that it suggested is mitigating and
- 23 all of the aggravating evidence -- and there
- 24 was a lot of it here -- the addition of this
- 25 mitigating evidence wouldn't change the

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1 outcome? Didn't the court say that?
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- MR. OLIVE: The court did say that.
- 3 But it wasn't -- it didn't consider all of the
- 4 mitigating evidence. There was a -- a swath of
- 5 mitigating evidence that had to do with this
- 6 19-year-old who had not, in the defense of the
- 7 case, killed anyone with respect to this crime
- 8 and whose lawyer said he's just been bad and
- 9 led a bad life.
- 10 There was, in fact, presentation at
- 11 post-conviction proceedings of evidence of
- 12 redeemability for this person and his good
- 13 acts. And --
- JUSTICE ALITO: No, it wasn't, all
- right, it wasn't presented at trial, but it was
- 16 presented to the habeas court.
- 17 MR. OLIVE: And it was not mentioned
- 18 by the habeas court.
- 19 JUSTICE ALITO: It wasn't mentioned.
- MR. OLIVE: Right.
- 21 JUSTICE ALITO: But does that mean it
- 22 wasn't considered? I mean, it does seem
- 23 like --
- MR. OLIVE: Well --
- 25 JUSTICE ALITO: What is your answer to

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1 the argument that what you're asking the
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- 2 federal habeas court to do really is to grade
- 3 the quality of the opinion that was written?
- 4 MR. OLIVE: Well, the evidence that
- 5 was offered was of institutional failure and
- 6 also things like he was creative and
- 7 intelligent and was struggling to break away
- 8 from his past.
- 9 And -- and the redeemability, this
- 10 Court knows, with an 18-year-old, a
- 11 17-year-old, a 19-year-old is serious
- 12 mitigation. But, Your Honor, they -- they --
- it's not a grading of what the judge did or the
- opinion that was written. 2254 works this way.
- The Court says federal habeas judges
- 16 must train their attention on what was actually
- involved -- this is a quote -- "in the
- 18 application of this Court's law to facts."
- 19 That's kind of a grading, but you can get a D
- and pass under 2254.
- 21 It's just egregious actions outside
- the realm that no one would consider
- 23 reasonable.
- JUSTICE ALITO: I mean, so what if
- 25 the -- what the habeas court did was this?

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1 They said this is all the evidence that is --
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- 2 is proffered in mitigation, and this is all the
- 3 evidence that was provided by the state in
- 4 aggravation, and taking into account all the --
- 5 all the mitigation and all the aggravation, we
- 6 conclude that there's no reasonable probability
- 7 that a jury would have returned anything other
- 8 than a sentence of death?
- 9 Now, would that be -- would that be
- 10 unreasonable because there isn't a detailed
- 11 explanation?
- MR. OLIVE: In -- in this case, we
- think it would be unreasonable. Again, the
- 14 state wrote this order. The -- there's nothing
- wrong with that. There's orders for both
- 16 sides, and the judge takes it and amends it in
- 17 whatever way they think necessary. And it was
- 18 a very minimal way in this case.
- 19 And the order that was written and the
- order that was signed reduced to irrelevancy,
- 21 it went through item by item various things,
- 22 but when it got to the institutional failure
- 23 and to the positive characteristics and traits
- of this 19-year-old, you can't find it. So you
- 25 can't reduce to irrelevancy under Porter

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1 important mitigating evidence, and that's what
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- 2 the --
- JUSTICE ALITO: That does sound
- 4 like grading --
- 5 MR. OLIVE: -- that's what this order
- 6 did.
- JUSTICE ALITO: -- so let me modify
- 8 what I -- my hypothetical where there's no
- 9 explanation, there's just a citation -- there's
- just a listing of mitigation and aggravation.
- 11 There's a little bit of explanation. There are
- 12 three sentences of explanation or there's a
- 13 half a page of explanation.
- 14 At what point does it become, would
- 15 you say, okay, well, that's enough, it's
- 16 reasonable?
- 17 MR. OLIVE: Well, that's -- the level
- 18 of abstraction there is difficult for me to
- 19 give an answer to, but this Court has given an
- 20 answer in Williams and Wiggins and Rompilla and
- 21 Porter where the Court painstakingly went
- through the evidence on aggravation and
- 23 mitigation, especially on the prejudice prong.
- So grading is a bad label for it, but
- 25 the AEDPA says take a look at what they said

- 1 and analyze it and see if it's reasonable.
- 2 And the second prong of 2254(d), which
- 3 I didn't mention before, the court has to grade
- 4 in that you look at what the state fact
- 5 findings were based upon. So you do have to
- 6 look at the opinion, you do have to analyze the
- opinion. If that's called grading, it doesn't
- 8 take much to get a high enough grade to pass
- 9 2254 muster for the courts.
- 10 And going back to the limited holding
- in Richter, this Court's language shows its
- 12 fealty really to 2254. The Court says, "Under
- 13 2254(d), a habeas court must determine what
- 14 arguments or theories supported" -- and so, if
- there's a written opinion, you can see what
- 16 arguments or theories supported -- "or, as
- here, could have supported the state court's
- 18 decision."
- 19 And so, if you know the reasons, and
- with look-through, our argument is you do know
- 21 the reasons, if you know the reasons for the
- 22 decision under Brumfeld, quoting Richter, you
- follow Richter where there is no opinion
- 24 explaining the reasons relief has been denied.
- 25 And under Wetzel, this Court says

- 1 taking out the second clause, a habeas --
- 2 habeas court must determine what -- or --
- 3 arguments or theories supported the state
- 4 court's decision.
- In this case, it's -- it is clear and
- 6 has been clear for years that the arguments
- 7 that support -- to support the Georgia Supreme
- 8 Court's denial of CPC are those arguments that
- 9 are in the state post-conviction reasoned
- 10 order.
- 11 And if the Court wishes to -- to go
- 12 beyond that, it's quite capable of doing it.
- 13 It can issue three or four pages in denying
- 14 CPC, and it does.
- 15 It can issue a paragraph in denying
- 16 CPC, explaining reasons beyond the lower court,
- 17 and it does. The Court -- if the Court wants
- 18 to do it, it can do it. It knows how to do it.
- 19 Otherwise, the presumption in Ylst should be
- 20 respected.
- 21 JUSTICE KENNEDY: Have there been any
- 22 commentary or can the bar offer us any
- 23 experience as to whether or not the Richter
- 24 rule, in the cases where it has applied has
- 25 proven to be workable and administratable or

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1 unworkable and unadministratable?
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- 2 MR. OLIVE: In Ylst --
- JUSTICE KENNEDY: And -- is there any
- 4 commentary on how Richter has worked out.
- 5 MR. OLIVE: I'm not aware of any
- 6 commentary on how it has worked out. It no
- 7 longer really is applicable in California with
- 8 respect to death penalty cases. And the truth
- 9 is in non-capital cases, they're almost all
- 10 indigent, and they almost all start in the
- 11 trial court and work up and they have the Ylst
- 12 presumption.
- 13 The workability of -- of Richter in
- its application in other jurisdictions, I'm not
- 15 seeing commentary on, but it is, again, cabined
- to the unique situation which otherwise the
- 17 Court might have had to order de novo review
- 18 with respect to the unique situation that there
- 19 be no reasons given by a court.
- 20 JUSTICE SOTOMAYOR: Now, Richter does
- 21 require a habeas court, a federal habeas court
- 22 to imagine all of the conceivable arguments
- 23 that could have supported a state court
- 24 decision, correct?
- MR. OLIVE: Yes, ma'am.

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1 JUSTICE SOTOMAYOR: So it, by
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- definition, requires more work.
- 3 MR. OLIVE: I mean, it's an incredible
- 4 situation to -- it would be difficult for
- 5 federal district court judges, if I were one,
- 6 to imagine a set of considerations that might
- 7 lead to a constitutional violation, determine
- 8 whether there was a constitutional violation,
- 9 then determine whether it would be unreasonable
- 10 to find there wasn't a constitutional
- 11 violation.
- So it's an interesting process to go
- 13 through. Right now, the administrability is
- 14 courts around the country that are looking at
- decisions from all states, including death
- 16 penalty states, know the drill.
- 17 They understand Ylst. It hasn't
- 18 caused any problems. It's imminently workable.
- 19 It makes common sense. Everyone knows how to
- 20 do it.
- JUSTICE SOTOMAYOR: It's much simpler.
- MR. OLIVE: Pardon?
- JUSTICE SOTOMAYOR: It's much simpler?
- MR. OLIVE: Much simpler and
- 25 well-known.

1	JUSTICE SOTOMAYOR: Because you're not
2	really granting habeas relief that will result
3	in necessarily in the release of a defendant
4	because, once it goes back down, the state
5	court can then decide which among the many
6	possibilities there are to still affirm the
7	conviction, couldn't it?
8	MR. OLIVE: That's correct. And, you
9	know, all that happens when you do an analysis
LO	of a reasoned decision, if you find it to be
L1	unreasonable, you get de novo review. You
L2	don't get relief, you get de novo review, and
L3	you may lose under de novo review.
L4	I mean, what the AEDPA has
L5	accomplished is removing from federal judges
L6	the power to unilaterally, by exercising de
L7	novo review and not paying any attention
L8	necessarily to what the state court ruling was,
L9	violate comity and federalism.
20	What the AEDPA did was say: No, you
21	have to look at what the state court did and
22	give it credit where credit's due. And to
23	apply Richter in states where there is a state
24	seeking credit for its reasonable decision, to
2.5	iust ignore it creates creates sort of two

- 1 polar opposites.
- 2 Before the AEDPA, federal courts could
- 3 pay no attention to what a state court did and
- 4 grant relief. And the state's rule now is
- 5 federal courts should pay no attention to what
- 6 state courts did and deny relief.
- 7 And I think the AEDPA strikes the
- 8 right balance. It's between those two. I
- 9 respect what the state court has done. If it's
- 10 reasonable, then there's no de novo review. If
- it's unreasonable, there is de novo review.
- 12 And whether you win under that review one way
- or the other is a separate question.
- 14 There's no circuit having any trouble
- 15 with this other than the lower court. This
- 16 Court doesn't have any trouble with it when it
- 17 looks through decisions and looks at the facts
- 18 in the lower court.
- 19 And I think the rule of all the
- 20 circuits, other than the Eleventh, ought to be
- 21 the rule for everyone. If I could reserve my
- 22 time?
- 23 CHIEF JUSTICE ROBERTS: Thank you,
- counsel.
- Ms. Warren.

Τ	ORAL ARGUMENT OF SARAH HAWKINS WARREN
2	ON BEHALF OF THE RESPONDENT
3	MS. WARREN: Mr. Chief Justice, and
4	may it please the Court:
5	A federal habeas court must apply 28
6	U.S.C. 2254(d)'s standard to the last state
7	court merits decision whether that decision is
8	summary and whether or not that decision is
9	preceded by a lower state court's opinion.
10	Put another way, federal habeas courts
11	conducting a 2254(d) inquiry are not required
12	to look-through a later summary state court
13	merits decision to review only the specific
14	reasoning of a lower state court opinion.
15	JUSTICE KAGAN: Ms. Warren, can I just
16	ask a question about the breadth of your
17	position? It's a little bit confusing to me
18	from the briefs.
19	You spent a lot of time talking about
20	the word "decision" and how habeas review is
21	only available for decisions, not for opinions.
22	So does your argument go that even
23	when the a state court, the higher state
24	court has issued a reasoned decision, that even
25	there the habeas court is not limited to that

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decision but can and should decide whether
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- there are other grounds?
- 3 MS. WARREN: Justice Kagan, our
- 4 position is that 2254(d) always applies to the
- 5 decision, but when that last state court
- 6 adjudication on the merits is reasoned, there
- 7 is a textual basis in 2254(d) for the federal
- 8 habeas court to look at those reasons to help
- 9 assess whether the decision itself is contrary
- 10 to or involved in unreasonable application of
- 11 this Court's precedents.
- 12 JUSTICE KAGAN: I'm not sure I
- 13 understand the question. But suppose you said
- 14 that the reasoned decision is -- is not -- is
- 15 just completely wrong.
- 16 Could you substitute, you know, so I
- 17 think the way I've understood that that goes is
- that's completely wrong, so now we don't -- we
- don't give deference to it, right? It's taken
- 20 itself out of AEDPA because it's completely
- 21 wrong.
- 22 Are you saying, no, there's a second
- step where you have to say, well, if I were the
- judge, I could have written a better decision
- 25 that would receive AEDPA deference?

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1 MS. WARREN: No, Justice Kagan, I
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- 2 don't think that's what our position is here.
- 3 So we would say that looking to the reasoning
- 4 as part of the analysis of the decision is part
- 5 and parcel of ascertaining whether that last
- 6 state court decision on the merits was contrary
- 7 to or involved in a reasonable application.
- 8 That, of course, is a very different
- 9 situation than we have here where the last
- state court decision is summary and there is no
- 11 evidence of what that last state court actually
- 12 reasoned. There is only the decision.
- JUSTICE SOTOMAYOR: So aren't we
- 14 attributing to them --
- 15 JUSTICE GINSBURG: What about the --
- we were told that it was a matter of practice
- in Georgia, I think Petitioner said, the
- 18 Georgia Supreme Court's practice is to issue a
- 19 reasoned denial of -- of a CPC whenever it
- 20 disagrees with the lower court reasoning.
- 21 So, if it disagrees, it's its practice
- 22 to tell us.
- MS. WARREN: Your Honor, I would
- 24 disagree that it always issues a reasoned
- decision when it disagrees. It is certainly

- 1 true that there are instances, a handful of
- 2 instances that Petitioner points to where a
- 3 reasoned denial has issued.
- 4 But I don't think it is fair to
- 5 characterize it or to presume that those are
- 6 the only instances in which the Georgia Supreme
- 7 Court would disagree with reasoning for that.
- 8 JUSTICE SOTOMAYOR: I'm sorry, you're
- 9 disavowing the statements of a former Supreme
- 10 Court Justice of a Georgia court and all the
- judges that signed onto that amici brief?
- MS. WARREN: Well, respectfully, Your
- 13 Honor, we -- we disagree with the
- 14 characterization --
- JUSTICE SOTOMAYOR: Well, then, but
- 16 you don't know, do you?
- MS. WARREN: We -- we don't --
- JUSTICE SOTOMAYOR: You don't know --
- 19 you don't, but they do because they actually
- 20 did the work.
- MS. WARREN: Your Honor, we don't
- 22 know. And, similarly, the rest of us don't
- 23 know when the justice --
- 24 JUSTICE BREYER: Why do we not know?
- I mean, what he quotes in his brief, is this

- 1 wrong? He says that Supreme Court Rule 36 says
- when somebody files an application for a cause,
- 3 for a certificate of probable cause, the
- 4 application, quote -- he's quoting from the
- 5 rule -- "will be issued where there is arguable
- 6 merit."
- 7 And here we have denied. And,
- 8 therefore, there is no arguable merit. Now,
- 9 that seems like Euclid, or whoever, I don't
- 10 know, who was it? Aristotle or something.
- 11 (Laughter.)
- 12 JUSTICE BREYER: But, you see, that's
- 13 their point.
- So -- so how can you get up and say we
- don't know what they do? We do know they
- 16 thought there was no arguable merit.
- 17 So I guess what you're asking us to do
- is to think of ways that nobody, has yet
- occurred to anybody, that there was no arguable
- 20 merit, not necessarily because it's a good
- opinion below, but because we've thought of one
- of your assistants, a bright young graduate,
- 23 has walked into your office with a case from
- 24 Georgia law of 1812. And judging from the
- dust, nobody's ever seen it before, but it was

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1 written by Oglethorpe's second cousin twice
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- 2 removed. And there we are. And it's
- 3 brilliant. Nobody's thought of it. You say
- 4 how do we know that wasn't their reason?
- Now, that's extreme, but you see my
- 6 point. Okay? What's the answer to my point?
- 7 MS. WARREN: Justice Breyer, I'm --
- 8 I'm not sure exactly what the -- what the
- 9 question was.
- 10 JUSTICE BREYER: Well, the point of
- 11 the question --
- 12 (Laughter.)
- JUSTICE BREYER: Sorry. Well, from
- 14 your pleasant expression, it sounded to me as
- if you were understanding my obscure question.
- 16 My -- I had two separate questions.
- One, I quoted the rule, which seemed to me what
- 18 Justice Sotomayor said, must be correct.
- 19 Then I asked a separate question, that
- the problem looking at it practically is that
- 21 you're asking us to take on a burden. The only
- 22 person who will have a greater burden is you
- 23 because you, in your job, when faced with a
- 24 decision of an intermediate appellate court and
- 25 a denial of CPC, will have to sit there making

- 1 up reasons that are not present in anybody's
- 2 opinion.
- 3 And I use Oglethorpe as a comic
- 4 example of that. But it's that kind of thing
- 5 that you'll have to do.
- 6 So my question is obviously why should
- 7 we take a system that works fairly well and
- 8 throw this practical monkey wrench, which means
- 9 a lot more work for you, into the gears?
- MS. WARREN: A few answers to your
- 11 question, Your Honor.
- The first is we agree with the way
- 13 that you stated the arguable merits standard
- and I do think that is the correct way to view
- 15 Rule 36 from the Georgia Supreme Court.
- 16 As to the second point, a few
- 17 different answers.
- 18 As to the process, that process
- 19 exactly -- is exactly what the California
- 20 courts do with Richter already, so it is not a
- 21 novel process.
- But on the practical side of things,
- 23 and as the Eleventh Circuit explained below, I
- think in practice the federal habeas court when
- 25 assessing the Georgia Supreme Court summary

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denial on the merits will first look to the
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- 2 lower court to see if the lower court's
- 3 reasoned opinion offers any reasonable basis.
- 4 And so, in many cases, the process
- 5 would be very similar. The problem here, and I
- 6 think the problem that -- the thread that runs
- 7 through the Petitioner's argument that is
- 8 problematic is presuming that the lower state
- 9 courts' reasons are the reasons of the Georgia
- 10 Supreme Court.
- 11 JUSTICE KAGAN: So, Ms. Warren, I take
- 12 that, but it seems to me that that's the
- 13 question, right? What should we presume about
- 14 what the Georgia Supreme Court is doing here in
- 15 -- in exactly the way you said?
- So let me give you a hypothetical.
- 17 Let's say we have a Batson case and there was a
- 18 denial of relief in the Batson case. And --
- 19 but it was based on a very clear error of law.
- 20 So somebody said -- it's a Hispanic defendant,
- 21 and somebody said Hispanic defendants are not
- 22 entitled to raise Batson claims. All right?
- 23 And -- and then the -- the supreme
- 24 court, the state supreme court just says
- 25 affirmed. All right? So what should we

- 1 understand about that?
- Why -- why is the state court doing
- 3 that? What -- what -- what's the reasonable
- 4 assumption about what the state court is doing?
- 5 MS. WARREN: I think there are two
- 6 reasonable assumptions. The first is that they
- 7 have, presuming that that claim was properly
- 8 preserved for merits review at the certificate
- 9 for probable cause stage, we can presume that
- 10 they have denied that claim on the merits.
- But then I think that the -- the other
- 12 presumption we must make, according to this
- 13 Court's precedents and admonitions, we should
- 14 presume that the Georgia Supreme Court knew and
- 15 followed the law.
- 16 JUSTICE KAGAN: You see, this is -- it
- 17 seems a very odd thing to say the Georgia
- 18 Supreme Court looked at an opinion and said
- 19 that is such a bad opinion, it has such a clear
- 20 error of federal constitutional law, but we are
- 21 not going to explain that to anybody. Instead
- we're just going to affirm. Now, that's one
- 23 option.
- 24 The other option is that the Georgia
- 25 Supreme Court had a bad day, and it too made an

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1 error. And the question is, and I suppose, you
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- 2 know, Ylst answered this question, but it
- 3 seemed to me to answer it in a pretty
- 4 reasonable way. It's like we just don't expect
- 5 state supreme courts to say that's a clear
- 6 error of federal constitutional law and we are
- 7 not going to tell anybody about it.
- 8 MS. WARREN: Well, the example you
- 9 give, Justice Kagan, there are a few things
- 10 about it. The first is if there is a clear
- 11 error of law below and there is no other
- 12 reasonable basis on which the Georgia Supreme
- 13 Court could have denied relief, then habeas
- 14 relief will ensue.
- 15 Because even when the federal --
- 16 JUSTICE KAGAN: No, no, no. Here
- 17 there is, there's another basis, but -- but you
- 18 have to believe that what the state court is
- 19 saying, even though this -- this lower state
- 20 court made an error of federal constitutional
- law, because we can dream up something better,
- 22 we'll just affirm it. We won't tell anybody
- 23 what we're -- what we think is an alternative
- 24 basis. We won't do anything. We'll just let
- 25 it be out there. That judge will think that

- 1 he's done a fine job. Everybody else will
- think that he's done a fine job. We'll just
- leave it out there because, what, because we
- 4 can't be bothered to write two sentences
- 5 saying, you know, we're affirming on a ground
- 6 where, you know, yes, of course, he's entitled
- 7 to make a Batson claim, but he had a bad Batson
- 8 claim?
- 9 MS. WARREN: But, Justice Kagan, the
- 10 situation you describe is exactly the situation
- 11 where the approach we're describing is most
- important for reasons of federalism and comity,
- because we must start with the proposition that
- 14 this Court has reiterated time and time again,
- 15 that the Georgia Supreme Court did know and
- 16 follow the law, and to resist the readiness to
- 17 attribute error that this Court described in
- 18 Woodford versus Visciotti.
- 19 But in those situations --
- 20 JUSTICE KAGAN: I mean, it seems to me
- 21 that that just makes a bizarre assumption about
- 22 state courts, that they're so uninterested in
- 23 errors of federal constitutional law that
- they're just going to say, well, as long as we
- 25 have something in our heads that suggests that

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1 the ultimate judgment was right, we're not
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- 2 going to tell anybody about them. We're going
- 3 to leave them out there as -- as something that
- 4 the judge and the parties and -- and future
- 5 judges and future parties will think was right
- 6 when we know it's wrong.
- 7 MS. WARREN: That -- that may be so,
- 8 Justice Kagan, but, of course, 2254(d) does not
- 9 require by its text reasoning. It does not
- 10 require statement of opinions. And this Court
- 11 has already found based on that very textual
- interpretation the reasons are not required.
- 13 And that is exactly what this Court has --
- 14 JUSTICE SOTOMAYOR: I'm sorry, that's
- the problem, which is it does require it,
- because when you read 2254(d), it talks about
- 17 "resulted in a decision that was based on an
- 18 unreasonable determination of the facts in
- 19 light of the evidence presented in the state
- 20 court proceedings."
- 21 So it requires us to look at the
- 22 reasoning. So does (a) when it talks to us
- about involved an unreasonable application of
- 24 clearly established federal law.
- 25 So you're right. There's nothing that

- 1 says you have to write an opinion in a
- 2 particular way, but we do have to look at what
- 3 they say. You even admit that.
- 4 MS. WARREN: Justice Sotomayor, I
- 5 think we have to look at what they say when
- 6 they say something. And in a (d)(1) inquiry,
- 7 looking at the "involved in an unreasonable
- 8 application," I think that language points to
- 9 the situation I described with -- with Justice
- 10 Kagan earlier, where there is a reasoned
- 11 opinion.
- 12 JUSTICE SOTOMAYOR: All right. Let's
- 13 take -- and let me just deviate to that
- 14 question.
- 15 Let's assume that there's an argument
- 16 below. The state court denies the habeas
- 17 petition summarily. Can the state now come in
- 18 to a habeas court and present an argument that
- 19 wasn't made below and argue that that is an
- alternative ground to deny the habeas, even
- though it wasn't presented below?
- MS. WARREN: Let me make sure I
- 23 understand your hypothetical. Are you
- 24 suggesting that the lower state court did not
- 25 issue a reasoned opinion?

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JUSTICE SOTOMAYOR: No -- exactly.
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 2
               MS. WARREN: Okay.
               JUSTICE SOTOMAYOR: No reasoned
 3
      opinion. But we know for a fact that this
 4
     particular argument was not raised below.
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 6
               MS. WARREN: Well, in Georgia, by law,
 7
      the lower state court, the state habeas court,
      is required to issue a reasoned opinion.
 8
      that is not a situation --
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10
               JUSTICE SOTOMAYOR: I'm asking you
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      what happens on hab -- on federal habeas
12
      review. Can the state come in, in this
13
      imagining that -- that we have the state doing
14
      in every court, not only do we have to imagine;
15
      the lawyers have to come in and set forth every
     potential constitutional violation and set
16
17
      forth every interpretation of the facts that
      are potentially available, decide which ones
18
      would be an unreasonable application of federal
19
      law or -- or unreasonable finding to grant a
20
      habeas -- you have to do the same thing to deny
21
2.2
      one. All right? Could the state come in with
23
      a totally new argument that wasn't made to the
24
      state court at all and say you should deny
     habeas on this totally new argument?
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               MS. WARREN: I'm not sure that it
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      would be precluded from doing so. And,
      certainly, that's the inquiry that the federal
 3
      habeas court would be --
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               JUSTICE SOTOMAYOR: So why do we
 5
 6
      bother having state habeas anymore? Why don't
 7
      we just say don't -- have federal habeas only
      and --
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               MS. WARREN: Well, I think --
 9
               JUSTICE SOTOMAYOR: -- and assume that
10
      the state will deny every habeas?
11
12
               MS. WARREN: I think -- I think an --
      an important point to -- to show where there is
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14
      not much daylight between the Petitioner's
15
      argument and ours is that we are not suggesting
      that that state habeas court lower opinion is
16
17
      outlawed from consideration or that it has no
      role in the process whatsoever.
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               In many cases, the very first place
19
      and in many cases perhaps the very last place
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      the federal habeas court will look is to that
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2.2
      reasoned decision below, but not because it
23
      presumes those lower court reasons are the
24
      reasons of the last court that's adjudicated
      the claim on the merits, but simply to see
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- 1 whether a reasonable basis exists to sustain
- 2 the denial of relief.
- JUSTICE SOTOMAYOR: You don't think
- 4 that there's more respect for a state court, to
- 5 let them make their own decisions? Because
- 6 what we're doing is imagining what they would
- 7 have said, instead of just asking them.
- 8 MS. WARREN: Your Honor, to the extent
- 9 there's any discomfort with the imagining or
- 10 the hypotheticals, that line has already been
- 11 drawn by this Court in Richter. But Georgia,
- 12 to the respect point, has --
- 13 JUSTICE SOTOMAYOR: There was
- 14 absolutely no reasoned decision anywhere there.
- 15 We had to do something.
- 16 MS. WARREN: That is correct. But the
- 17 textual analysis that this Court engaged as to
- 18 2254 applies equally here. It's the same --
- 19 text, of the same statute, and there's no
- 20 principled basis for deviating from that
- 21 textual analysis when it is applied to the
- 22 summary denial on the merits by the Georgia
- 23 Supreme Court.
- 24 As to your point about respect for
- 25 state courts, Georgia has a two-tiered habeas

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1 system. There are two courts that will always
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- pass on a habeas claim that is properly
- 3 exhausted, first in the lower state court,
- 4 where a reasoned opinion will ensue, and then
- 5 the Georgia Supreme Court, which will analyze
- 6 the application for CPC.
- 7 And so to suggest that the Georgia
- 8 Supreme Court should be written out altogether,
- 9 I think, is also an affront to federalism and
- 10 to comity. And to -- to require a presumption
- 11 that the Georgia Supreme Court has adopted
- those lower state court reasonings similarly is
- an affront to federalism.
- 14 JUSTICE ALITO: Where is the question
- of -- of Georgia law that is implicated here?
- 16 It would be one thing if it were generally
- 17 understood in Georgia that a summary affirmance
- 18 by the state supreme court does not necessarily
- 19 adopt the reasoning of the lower court. It
- 20 would be another thing if it was the rule in
- 21 Georgia or generally understood in Georgia that
- the opposite is true.
- 23 So what do we do with that?
- MS. WARREN: Because there is no
- 25 explicit rule in Georgia?

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1
               JUSTICE ALITO: Yeah.
 2
               MS. WARREN: I think what --
               JUSTICE ALITO: Because there is no --
 3
      is there a specific rule in Georgia? Is there
 4
      a well-known practice in Georgia?
 5
               MS. WARREN: Well, Justice Alito,
 6
 7
      there -- there is none that we are aware of.
      There is no, for example, court rule that
 8
      explains it or -- or --
 9
               JUSTICE GINSBURG: But where did --
10
      where did Petitioner get it from? Petitioner
11
12
      said that is the Georgia Supreme Court's
      practice when it disagrees with the court
13
14
      below. It so states. It doesn't adopt its
15
      reasoning. If it disagrees with the lower
      court, it will issue a decision saying so.
16
               MS. WARREN: Justice --
17
               JUSTICE GINSBURG: Where does that
18
      come from?
19
20
               MS. WARREN: Justice Ginsburg, I
      believe that's based on Petitioner's practice.
21
2.2
      I would characterize that as anecdotal.
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fact that five or six of these reasoned denials

have issued over the hundreds or thousands of

CPC applications the Georgia Supreme Court has

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- 1 reviewed I do not think stands for the
- 2 proposition that every time the Georgia Supreme
- 3 Court disagrees with the lower court's
- 4 reasoning, it takes the time to issue a
- 5 reasoned dissent.
- 6 JUSTICE KAGAN: Well, didn't Ylst tell
- 7 us what we should generally draw from silence?
- 8 It just says the maxim is that silence implies
- 9 consent, not the opposite. Courts generally
- 10 behave accordingly, not always, but generally
- 11 affirming when -- without further discussion
- when they agree, not when they disagree, with
- 13 the reasons given below.
- 14 And that was -- you know, there was a
- 15 different context. As you say, it was
- 16 procedural versus merits. But that basic
- 17 reasoning was not limited to -- to the context.
- 18 It was -- it was a more general understanding
- of what silence means, or generally should be
- taken to mean, with respect to state supreme
- 21 courts. Why wasn't it right?
- MS. WARREN: Well, Justice Kagan, a
- 23 few reasons. First, this was a pre-AEDPA
- 24 determination. It's a judge-made prudential
- doctrine that is restricted to help federal

- 1 courts ascertain whether it can hear federal
- 2 claims, not how to conduct substantive habeas
- 3 review.
- 4 And so it very well may be the case
- 5 that in that context -- in that context that
- 6 it's helping federal habeas courts ascertain
- 7 whether later state summary adjudications have
- 8 vitiated a state court bar, that silence does
- 9 imply consent. That is not the case here.
- 10 CHIEF JUSTICE ROBERTS: What do you do
- when you're presenting an argument in these
- 12 cases? Do you just -- you, the -- the state,
- 13 respond primarily or only to the state court
- decision, or do you say we've got four more
- good arguments, and so we're going to put all
- 16 those in our brief?
- 17 MS. WARREN: Mr. Chief Justice, I am
- 18 not exactly sure of the practice. I don't
- 19 think that it is limited to exactly what the
- 20 state court has said below, but I cannot say
- 21 for sure.
- JUSTICE KAGAN: What if the -- the
- 23 state supreme court says, you know, we think
- that this opinion is clearly wrong, but we're
- going to -- we're good lawyers and we're going

- 1 to think of another opinion that could have
- been written. It wasn't, but it could have
- 3 been. And -- and we're going to affirm on that
- 4 ground. Of course, we're not going to say
- 5 this; we're just going to say affirm. But --
- 6 but the thing that I'm thinking is this habeas
- 7 petitioner has never been presented with this
- 8 alternative argument.
- 9 So it might be that this habeas
- 10 petitioner would have a really good response to
- 11 this alternative argument, but he doesn't even
- 12 know that it's in the case. That seems quite
- unfair to the habeas petitioner, to say your
- 14 petition is denied, not to tell him why, even
- though he's never been given the chance to
- 16 respond to this new reasoning.
- 17 MS. WARREN: Well, I think Harrington
- 18 versus Richter already says that the -- the
- 19 petitioner's burden still remains the same,
- 20 which is to say that there is no reasonable
- 21 basis on which that court could have based its
- denial of relief.
- JUSTICE ALITO: Do we --
- 24 JUSTICE GORSUCH: Counsel --
- 25 JUSTICE ALITO: -- go that far in this

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1 case? I mean, this is not a case where
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- 2 anybody's arguing that the decision of the
- 3 Georgia Supreme Court is a reasonable one based
- 4 on some ground that was never raised by anybody
- 5 below. The -- the contours of the dispute here
- 6 are very well known. Deficient performance,
- 7 which has largely dropped out, and the question
- 8 of prejudice. So it's all about whether there
- 9 was prejudice under Strickland.
- MS. WARREN: And so -- and so do we
- 11 have to go so far as to make a rule?
- 12 JUSTICE ALITO: Do we have to have a
- 13 -- do we have to decide in this case what would
- 14 be the situation where the issue, the ground
- 15 for affirmance was never raised at all below or
- 16 where the ground for affirmance that -- that is
- 17 attributed to the state supreme court is
- 18 different from the basic ground for affirmance
- 19 that was addressed by the district court -- by
- 20 the -- by the lower state court?
- MS. WARREN: Well, I think that all
- this Court has to do is apply what it has
- 23 already found in Harrington versus Richter, and
- then that has laid out the process for how the
- 25 federal habeas court would treat the Georgia

- 1 Supreme Court's summary adjudication on the
- 2 merits there.
- JUSTICE GORSUCH: Counsel, you know,
- 4 of course, in this Court, we say our summary
- 5 affirmances are not necessarily an endorsement
- of the -- of the lower court's reasoning.
- 7 That's well established in this Court's
- 8 jurisprudence.
- 9 And as I understood Mr. Olive, he said
- 10 it might be a different case if that were
- 11 clearer in Georgia. So let's say we are going
- 12 to now confront 50 states or X number of states
- with rules or something in their precedents or
- 14 a footnote saying we do not necessarily endorse
- 15 all the lower court reasoning, just exactly as
- 16 this Court has done for itself.
- 17 Then what?
- 18 MS. WARREN: I think if a state has a
- 19 clear rule, either by case law or a rule by its
- 20 court, that -- that gives further direction as
- 21 to how to treat summary affirmances, that those
- 22 would be honored. But where --
- JUSTICE BREYER: Well --
- 24 MS. WARREN: Whereas here the Georgia
- 25 Supreme Court has no rule, has -- has no clear

- binding practice that is consistently
- 2 indicative of what it intends by summary
- 3 affirmances, that the summary affirmance of the
- 4 Georgia Supreme Court should not be treated the
- 5 exact opposite as the way this Court and other
- 6 federal courts treat their own summary
- 7 affirmances.
- 8 JUSTICE BREYER: Well, there is a big
- 9 difference. I mean, first, Harrison is
- 10 different because in Harrison there was no
- 11 decision of the state court that you could look
- 12 to.
- Obviously, the federal habeas court
- 14 has to try to figure out some theory as to what
- they were holding. That isn't the question
- 16 here where there is a decision of the court.
- 17 And where a habeas court later takes
- 18 that decision as being the decision from the
- 19 state that led to this person's being deprived
- of liberty, what does that say about whether
- 21 the summary affirmance should be treated as
- 22 precedent for state law? It says nothing, I
- 23 think.
- When you have us saying ours should
- not be treated that way, of course, we don't

- 1 want it as a precedent binding every court in
- the nation. When a federal appeals court says
- 3 our summary affirmance does not mean that we
- 4 agree, of course, they don't want it to be
- 5 binding throughout the circuit.
- 6 But this decision before us has
- 7 nothing to do with that. We can say this
- 8 district could set -- the appeals court in
- 9 Georgia has made the decision that is leading
- 10 to his deprivation of liberty and ignore the
- 11 summary affirmance without saying anything
- 12 about whether the summary affirmance is
- 13 precedent or not, a matter not before us.
- 14 Isn't that so?
- MS. WARREN: Justice Breyer, you're
- 16 correct that I don't think this Court has to
- make a judgment as to what the summary
- 18 affirmances mean in Georgia, but at that point
- 19 I would -- I would ask the Court to go back to
- 20 the text because the text requires application
- of 2254(d) to the adjudication of the claim
- that resulted in a decision.
- The decision under review is the
- 24 decision by the Georgia Supreme Court. And the
- 25 text of AEDPA does not authorize habeas relief,

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1 de novo, or ultimate relief, based on the lower
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- 2 court's reasoning that are not attributed to
- 3 the --
- 4 JUSTICE SOTOMAYOR: I -- I just have
- 5 so much trouble. It starts with what Justice
- 6 Kagan said.
- 7 You admit that if the -- if it's a
- 8 reasoned decision in the supreme court, we have
- 9 to look at the reasoned decision, correct?
- 10 MS. WARREN: Yes -- yes, Your Honor, I
- 11 think that is --
- 12 JUSTICE SOTOMAYOR: All right. And
- there is nothing in the language of 2254(d)
- 14 that says that. It just says you have to look
- at the reasoning and determine whether they are
- 16 -- it's contrary to federal law.
- So I'm not sure how that gets you to
- 18 where you're going. We're looking at a
- 19 decision. We're looking at the one court that
- 20 the state system has designated as the court
- 21 that is required to take the evidence and give
- 22 a full, reasoned decision. So we are looking
- 23 at the full, reasoned decision and deciding
- 24 whether that reasoned decision stands or not.
- 25 MS. WARREN: Your Honor is correct

- 1 that the lower state court will always have
- 2 reasons, but the Georgia legislature has not
- 3 said by law that those reasons are the reasons
- 4 attributable to the Georgia Supreme Court.
- 5 And looking to the text, as Your Honor
- 6 was, there is --
- JUSTICE SOTOMAYOR: Well, we're not
- 8 saying it either.
- 9 MS. WARREN: But that --
- 10 JUSTICE SOTOMAYOR: All -- all we're
- 11 saying is that these reasons don't stand up to
- 12 habeas scrutiny. And we would send it back for
- 13 the court to properly -- and it -- because it
- is its decision, it shouldn't be ours --
- MS. WARREN: Well, in --
- 16 JUSTICE SOTOMAYOR: -- to see if there
- is another ground for it to affirm.
- 18 MS. WARREN: In those set of
- 19 circumstances, however, Your Honor, where the
- lower state court's reasoning contains an
- 21 infirmity because the lower state court's
- reasoning shows that the decision below was
- 23 contrary to or an unreasonable application of
- this Court's precedence.
- It is not the most probable, it is not

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1 the most pragmatic, and it is not the correct
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- presumption to presume that those lower state
- 3 court's reasons are imputed on the Georgia
- 4 Supreme Court, the last state court to
- 5 adjudicate the claim on the merits.
- 6 That is what --
- 7 JUSTICE SOTOMAYOR: It's okay for you
- 8 when we say you do that to find the procedural
- 9 bar, because you like that.
- 10 MS. WARREN: Well --
- JUSTICE SOTOMAYOR: But if we're going
- to do it, why don't we do it in every
- 13 situation, other than that you like one part of
- it and not the other?
- MS. WARREN: Well, I would certainly
- 16 resist that characterization, but I would say
- that Ylst's purpose, as it was originally
- 18 conceived, is consistent with and complementary
- 19 to the inquiry that this Court later set out in
- 20 Harrington versus Richter.
- 21 And so using Ylst for the purpose that
- 22 Ylst was originally intended, which is to
- 23 identify the state court bars and to preserve
- them, which is a probable assumption, where
- 25 silence may very well equal consent, that --

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that respects comity in its own way by ensuring
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- 2 that state court procedural bars are not
- 3 vitiated by later state court summary opinions.
- 4 Here, asking this Court to make sure
- 5 that the Georgia Supreme Court or any higher
- 6 state court of the land does not have infirm
- 7 reasoning imputed on it when they are faced
- 8 with both reasonable and unreasonable bases on
- 9 which to sustain the denial of relief also
- 10 serves comity and is the best -- and is in
- 11 service of federalism and comity in that set of
- 12 cases where it matters the most, when that
- lower state court may contain an infirmity.
- 14 And for that reason, the presumption
- 15 that is the thread running throughout
- 16 Petitioner's argument, the presumption that the
- 17 lower state court's reasons are the same as the
- 18 last state court's decision cannot stand.
- 19 If the Court has no further questions.
- 20 CHIEF JUSTICE ROBERTS: Thank you,
- 21 counsel.
- Three minutes, Mr. Olive.
- 23 REBUTTAL ARGUMENT BY MARK E. OLIVE
- ON BEHALF OF THE PETITIONER
- MR. OLIVE: Thank you, Mr. Chief

- 1 Justice.
- 2 Until about a year ago, the state was
- 3 well aware of what the state process was in
- 4 Georgia. The state process in Georgia is the
- 5 parties submit a proposed order and the state's
- order in this case is at Docket Number 18-1 in
- 7 the record.
- And then a final order is entered.
- 9 And in this case, it's Docket 18-4 in the
- 10 record. Fairly changed.
- 11 And, Mr. Chief Justice, if they had
- four more good arguments to make, they would
- have been in their proposed order that they
- submitted to the court to begin with.
- Does the court say when it disagrees
- 16 with the lower court judgment? Dissenting
- 17 Judge Jill Pryor below at Joint Appendix 380 --
- 18 JUSTICE GORSUCH: Mr. Olive, we've
- 19 spent -- we're spending a lot of time arguing
- 20 about Georgia specific law, and I -- I guess
- 21 I'm wondering if -- if it all turns on what the
- 22 state court practice is, and we're going to
- 23 create a huge incentive for a state court to
- 24 simply adopt different orders that say, we
- adopt more or less the reasoning of the

- 1 appellate court but -- but not necessarily all
- of it, and there may be other reasons, what
- 3 have we accomplished in -- in this?
- 4 Presumably, we're going to defer to
- 5 those final decisions of the state courts and
- 6 not look behind those. I mean, I haven't heard
- 7 an argument that we'd look behind that kind of
- 8 ruling.
- 9 So what exactly have we accomplished
- 10 here?
- 11 MR. OLIVE: I think what the state's
- 12 rule creates is a maze trying to figure out
- what a summary affirmance means in a state,
- 14 what a discretionary denial of an appeal means,
- 15 what a -- you know, what do any of them mean
- 16 when the -- when the Ylst rule applies across
- 17 the board?
- The Ylst rule says a silence means
- 19 agreement.
- 20 JUSTICE GORSUCH: So even if a state
- 21 supreme court says we affirm the judgment, and
- 22 -- and uses language exactly like this Court
- uses, but not necessarily all the reasonings,
- and there may be additional reasons beyond
- 25 those that the lower court provided, we would

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1 look behind that? Is that -- is that the
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- 2 suggestion? And how does that fit with
- 3 federalism and comity?
- 4 MR. OLIVE: What Ylst holds is that
- 5 we're trying to figure out what's most
- 6 probable, not necessarily what is absolutely
- 7 right, what is most probable.
- And we think the court said what's
- 9 most probable is agreement with the lower
- 10 court. It can --
- JUSTICE GORSUCH: Even when the court
- 12 -- supreme court disclaims --
- MR. OLIVE: -- be rebutted in your
- 14 example --
- JUSTICE GORSUCH: -- that?
- MR. OLIVE: Pardon? In your example,
- 17 that is a circumstance which could lead to
- 18 rebuttal.
- 19 JUSTICE GORSUCH: Okay.
- 20 MR. OLIVE: And in the Georgia Supreme
- 21 --
- JUSTICE GORSUCH: And -- and in that
- 23 case then, what have we accomplished is my
- 24 question, if you could answer that?
- MR. OLIVE: You mean by just having a

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1 rubber stamp that says "not for the same
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- 2 reasons"?
- JUSTICE GORSUCH: It's just going to
- 4 be a slightly different rubber stamp.
- 5 MR. OLIVE: Well, I think --
- JUSTICE SOTOMAYOR: We've created a
- 7 simple rule and states could decide what they
- 8 want to do. Correct?
- 9 MR. OLIVE: I see my time is up. I'd
- 10 love to say "correct" to that.
- 11 (Laughter.)
- 12 CHIEF JUSTICE ROBERTS: Well, since --
- JUSTICE GORSUCH: I'd say correct and
- 14 stop if I were you.
- 15 CHIEF JUSTICE ROBERTS: I would least
- 16 -- at least like to give you the final word.
- 17 You can take a sentence.
- MR. OLIVE: Yes, Your Honor.
- 19 (Laughter.)
- 20 CHIEF JUSTICE ROBERTS: Thank you,
- 21 counsel. The case is submitted.
- 22 (Whereupon, at 12:02 p.m., the case
- 23 was submitted.)

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