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2 appeal to the circuit court. So the special review  
3 provision to the court of claims did not apply to 2680  
4 dismissals. And we suggest that that was sensible  
5 because Congress could have wanted judgments against the  
6 United States, money judgments against the United States  
7 primarily to be reviewed by the specialized court of  
8 claims, but to have ordinary dismissals under 2680,  
9 jurisdictional dismissals, reviewed in the ordinary  
10 course by the circuit.

11 JUSTICE BREYER: I can see that, but -- that  
12 is, imagine a plaintiff and the plaintiff brings a  
13 lawsuit against the Post Office for negligence. The  
14 government's defense is that this is a discretionary  
15 function, a particular thing. And the plaintiff says,  
16 you're right, it's a discretionary function. And now  
17 it's dismissed. All right.

18 Now, if that last thing he read doesn't  
19 apply because it was discretionary function and fell  
20 within 2680, they then could see the Post Office on the  
21 basis that the Post Office has independent authority to  
22 sue or be sued. And it's hard to believe, he says, that  
23 Congress somehow, when they passed these words, "does  
24 not apply", suddenly wanted to revive all kinds of suits  
25 against, for example, the Post Office, which otherwise

1 would have been barred by that last provision that he  
2 read.

3 MR. VERGONIS: And the Post Office is a  
4 great example, Your Honor, because when Congress enacted  
5 the sue-and-be-sued clause for the Post Office, they  
6 inserted another provision now at 39 U.S.C.,  
7 Section 409, which said that tort claims against the  
8 Post Office shall be subject to the provisions of the  
9 Federal Tort Claims Act.

10 JUSTICE BREYER: So we don't have to worry  
11 about the Post Office, the example that he gave. But  
12 there may be others. Sue and be sued is a fairly -- I  
13 mean, do we know what we're talking about? I like to  
14 try to know what I'm talking about. And do we know here  
15 what the reach of exempting that last provision from --  
16 just erasing it in a discretionary action suit will be?

17 MR. VERGONIS: Two answers to that. The  
18 discretionary function exception is a common-law  
19 exception, so it may be that suable agencies have that  
20 defense available to them anyway. It existed before the  
21 FTCA was created as a common-law defense.

22 So the suable agencies who could be sued  
23 again can raise other defenses, as this Court held in  
24 *FDIC v. Meyer*, just because a suable agency can be sued  
25 on a claim doesn't mean that the claim exists against

1 the suable agency. And three of the exceptions under  
2 2680 are suable agencies themselves.

3 So if you read 2679 as applying to the  
4 exceptions, their reading of the statute, you create a  
5 situation where, say, the Panama Canal Company is  
6 sued -- well, 2679 on their reading bars that suit  
7 against the Panama Canal Company and makes the FTCA  
8 exclusive, but then 2680 under the FTCA bars the claim  
9 against the -- arising out of the activities of the  
10 Panama Canal Company.

11 JUSTICE BREYER: So -- so you're -- can I --  
12 are you saying this, in essence? Even if there are  
13 other provisions that aren't erased, even if there  
14 aren't, this particular erasure means that in an action  
15 that is dismissed -- an action against the government --  
16 for the reasons listed in those exceptions -- for  
17 example, a foreign country, a discretionary exception --  
18 in such an action, you can sue the employee where  
19 ordinary principals of res judicata wouldn't bar you  
20 from suing the employee after all. It's a different  
21 party.

22 MR. VERGONIS: That's our position, and --  
23 and you can only sue the employee today on  
24 constitutional tort claims under Bivens.

25 JUSTICE BREYER: But you're saying any

1 claim, if it falls within -- if the reason for the bar,  
2 if the reason for the dismissal falls within the  
3 exception, and if they wanted to change that, they  
4 should have said so.

5 MR. VERGONIS: That's -- that's exactly  
6 right, Your Honor. And -- and --

7 JUSTICE KENNEDY: Is -- is that another way  
8 of saying that the judgment bar applies just to  
9 judgments of the merits, or is that somewhat different  
10 from your argument?

11 MR. VERGONIS: That's one of our arguments,  
12 Your Honor. We get to the same result --

13 JUSTICE KENNEDY: Isn't that the same as the  
14 argument you just made? Because I'm curious to know,  
15 if -- if we think Smith was an interpretation of -- of  
16 2680, and you had to get around Smith and it's awkward  
17 to do it, what's your second argument? Is that the  
18 argument you're now making to Justice Breyer, or is it  
19 different from saying that what we're talking about is  
20 judgment on the merits?

21 MR. VERGONIS: Well, as I -- as I  
22 understood, Justice Breyer's question was the  
23 consequences of our argument, and our three arguments  
24 lead to the same consequences. But, yes, Your Honor, we  
25 take the position that "judgment" means a judgment that

1 would, under the common law, have preclusive effect.

2 So if you move away from the "shall not  
3 apply" language, which we think is the most  
4 straightforward way of resolving this case, and to the  
5 language of the judgment bar itself, we have two  
6 arguments under the judgment bar.

7 One is that the judgment bar is only  
8 triggered by an action under Section 1346(b). And,  
9 again, the "shall not apply" language says 1346(b) shall  
10 not apply to the excepted claims. Therefore, this is  
11 not a judgment -- a 2680 dismissal is not a judgment  
12 under the FTCA.

13 JUSTICE GINSBURG: What of the government's  
14 argument that once you get wind that a 2680 exception is  
15 going to get the government off the hook, before a  
16 judgment is entered, which might raise the judgment bar,  
17 you can voluntarily -- voluntarily dismiss your case  
18 against the government so you won't face a judgment?  
19 You can amend your complaint, if -- if you sued them  
20 both originally, to drop the government, or you can take  
21 a voluntary dismissal.

22 What -- how do you answer that?

23 MR. VERGONIS: I think once you've -- once  
24 they've answered the complaint, Your Honor, I don't  
25 think the plaintiff can just dismiss or amend the

1 complaint without seeking leave from the Court, which  
2 may result in a judgment of dismissal. Even a judgment  
3 of voluntary dismissal, as I understand their view, that  
4 "judgment" means any judgment would trigger the judgment  
5 bar, under that reading.

6 So to return to Justice Kennedy's question,  
7 on judgment in particular, we think it incorporates  
8 principles of res judicata. And the Court has looked to  
9 principles of res judicata to inform the word "judgment"  
10 in other contexts.

11 In the relitigation exception to the  
12 Anti-Injunction Act, the Federal courts are empowered to  
13 enjoin State court proceedings to protect or effectuate  
14 judgments of the Federal courts, and this Court has  
15 viewed that as -- viewed judgment errors incorporating  
16 principles of res judicata, and only judgments with res  
17 judicata effect can be enjoined under that relitigation  
18 provision. I think that's a great analogy to this case.

19 JUSTICE KAGAN: Could I take you back to the  
20 "shall not apply" language for a second? When -- when  
21 you were speaking to the Chief Justice, you said, well,  
22 the definitional section will continue to apply because  
23 definitions apply to terms and not to claims.

24 But then Mr. Martinez said something very  
25 similar to that. He said the judgment bar applies to



1 judgments, not claims. So if you're right as to that,  
2 why isn't he right as well? And the consequence of his  
3 being right was -- is that he would have taken the  
4 judgment bar out of that sweeping "shall not apply"  
5 language as well.

6 MR. VERGONIS: The judgment bar actually  
7 uses the language of claims. It says, "The judgment  
8 shall constitute a complete bar to any action by the  
9 claimant by reason of the same subject matter against  
10 the employee whose act or omission gave rise to the  
11 claim."

12 So the judgment bar is talking about barring  
13 a claim. A claim -- a claim under the FTCA can be  
14 brought vicariously against the government as employer.  
15 It's agreed to subject itself to respondeat superior  
16 liability on the claim. Where these claims are  
17 accepted, you know, the -- you know, ordinarily the  
18 judgment bar would then say if you have a judgment, the  
19 claim can't be brought against the employee.

20 2680 says it shall not apply to these sorts  
21 of claims. So when you have an intentional tort claim  
22 for which the government has not accepted respondeat  
23 superior liability, the bar on bringing that claim  
24 against the employee does not apply.

25 So it's -- it's not the context of where you

1 need to read a definition in order to sensibly apply the  
2 words of the provision. It's -- it's actually an  
3 operative provision that operates on a claim. And it's,  
4 in this context, purporting to operate on a claim that's  
5 been excluded by the "shall not apply" language.

6 JUSTICE ALITO: To go back to the point you  
7 were making before, under your interpretation, does the  
8 judgment bar add anything to principles of claim  
9 preclusion?

10 MR. VERGONIS: We think so, Your Honor. We  
11 think that's -- understanding the principles of claim  
12 preclusion that were in existence in 1946 --

13 JUSTICE ALITO: It was in existence in 1946,  
14 but today would -- it would be superfluous.

15 MR. VERGONIS: Today it may be superfluous  
16 with modern principles of res judicata, where we've  
17 developed nonmutuality and defendants are able to assert  
18 defense uses of res judicata. But at the time -- and I  
19 think this is a strong contextual clue of what Congress  
20 was trying to accomplish with this provision -- a  
21 judgment against an employee would be preclusive against  
22 a claim against the employer on the same subject matter.  
23 And that's because of reasons of potential  
24 indemnification by the employee owed to the employer so  
25 that the employee could potentially be sued twice on the

1 same claim, once by the plaintiff and once by the  
2 employer seeking indemnification. But it did not  
3 operate the other way.

4 A judgment in -- in 1946, under the  
5 restatement against the employer, didn't bar the  
6 plaintiff from then suing the employee. So the judgment  
7 bar fills this gap and explains that you need  
8 directionality of the statute, explains why Congress  
9 enacted a bar that bars suits against the employee, but  
10 didn't enact a bar the other way that bars suits against  
11 the government's --

12 JUSTICE GINSBURG: But it is obsolete in the  
13 sense that modern res judicata law would take care of  
14 the -- it wouldn't matter whether you sued the  
15 government first or the employee case first. It would  
16 be preclusive, because you've adjudicated the grounds of  
17 liability.

18 MR. VERGONIS: That's right. A -- a case  
19 that's tried on the merits would be preclusive against  
20 either, under my understanding of modern preclusion  
21 principles. It would not have then.

22 And -- and this case, this type of case  
23 where a claim is dismissed on a jurisdictional ground  
24 that the government hasn't accepted respondeat superior  
25 liability, doesn't involve any adjudication of the

1 substantive merits of the singular tort claim that the  
2 plaintiffs -- you know, the injury the plaintiffs  
3 suffered.

4 He tried to bring the case against the  
5 government, but it fell outside of the bargain. The  
6 government, at the threshold, has found not to have  
7 accepted respondeat superior liability on this claim.

8 JUSTICE ALITO: So you want us to decide  
9 this case based on a literal reading of 2680. But the  
10 Sixth Circuit did not decide the case on that ground,  
11 and we don't have to address that, do we?

12 So why should we not decide whether the  
13 Sixth Circuit was correct in its reasoning and apply the  
14 same literal reading approach that you recommend to --  
15 with respect to 2680 to the judgment bar, and hold that  
16 a judgment is a judgment, and not necessarily a judgment  
17 on the merits? And then -- because the issue under 2680  
18 is, as I think the argument has shown, is very  
19 complicated.

20 MR. VERGONIS: Two points, Your Honor:  
21 First, I don't think the argument was waived. This is  
22 not a separate ground for relief. It's a separate  
23 argument.

24 JUSTICE ALITO: It's a separate -- it's --  
25 it's another ground for affirmance, correct? It's an

1 alternative grounds for affirmance, which we have  
2 discretion to decide whether we want to reach or not.

3 MR. VERGONIS: I think it's an alternative  
4 argument for the same ground for affirmance. The  
5 question presented was whether a 2680 dismissal triggers  
6 the judgment bar. This case is about the interaction of  
7 two provisions, and it would be very artificial for the  
8 Court to ignore the text of one of those provisions and  
9 focus solely on the text of the other provision.

10 Substantively, I think the term "judgment"  
11 is an ambiguous term whereas the term "shall not apply"  
12 is not an ambiguous term. "Judgment" can mean different  
13 things in different contexts. It can mean different  
14 things within the different provisions of the Act. And  
15 some of the provisions of the Act, it seems to me, mean  
16 a ward against the government. They talk about the fee  
17 as a percent of the judgment. They talk about how the  
18 judgment is to be paid. That's 2672.

19 So "judgment" is an inherently ambiguous  
20 word, and the Court would need to grapple with that  
21 ambiguity if it tries to resolve the case that way.

22 And again, I think the issue was raised in  
23 our bio. It's logically intertwined with the question,  
24 so I think the Court really, in order to answer the  
25 second part of the question intelligently, the Court

1 needs to address the first part of the question as well.

2 If Your Honors have no further questions.

3 CHIEF JUSTICE ROBERTS: Thank you, counsel.

4 MR. VERGONIS: Thank you.

5 CHIEF JUSTICE ROBERTS: Mr. Martinez, you  
6 have two minutes remaining.

7 REBUTTAL ARGUMENT OF ROMAN MARTINEZ

8 ON BEHALF OF THE PETITIONERS

9 MR. RAMIREZ: Thank you, Mr. Chief Justice.

10 I have two main points that I'd like to  
11 make.

12 First, with respect to the Postal Service,  
13 it's true that the Postal Reorganization Act says that  
14 the FTCA applies to torts that involve the Postal  
15 Service. But Respondent's whole argument is that the  
16 FTCA's protections of sue-and-be-sued agencies doesn't  
17 protect sue-and-be-sued agencies from claims that  
18 involve the exceptions within 2680. And so by his own  
19 argument, the -- the postal -- the postal service,  
20 because -- because if it's faced with a claim involving  
21 the negligent transmission of mail, the Postal Service  
22 would not be able to take advantage of 2679(a)'s  
23 protections.

24 I think the Court might want to look to the  
25 First Circuit's decision in the Davric case, where it

1 addressed this issue. It rejected Respondent's  
2 "shall not apply" argument, and it said that it would  
3 lead to results that Congress could not have conceivably  
4 intended, and we agree with that.

5           The second point I'd like to make is just  
6 about the -- the purpose of the FTCA. We think that  
7 Congress very clearly wanted to give victims a choice  
8 between suing the government and suing the responsible  
9 employee directly, but it didn't want to force the  
10 government to litigate over the same facts twice.

11           That purpose is squarely implicated. It's  
12 not superfluous. The judgment bar is not superfluous  
13 under modern rules because, even today, if the -- if the  
14 government has to defend indirectly against a Bivens  
15 action and also has to defend against an FTCA action,  
16 the same concerns about alleviating the burdens and  
17 disruptions appear today as -- just as they would have  
18 in 1946.

19           JUSTICE GINSBURG: That's the government's  
20 choice. They're not obliged to defend the Bivens  
21 action.

22           MR. RAMIREZ: That's true. But I think when  
23 Congress enacted the FTCA, it recognized, as a practical  
24 matter, that the government, when -- when -- was  
25 typically stepping into conduct the defense of employees

1 sued under State torts.

2 So for all of these reasons, Your Honor, we  
3 ask you to restore the bargain at the heart of the  
4 original FTCA. We ask you to enforce the judgment bar,  
5 and we ask you to reverse the decision below.

6 CHIEF JUSTICE ROBERTS: Thank you, counsel.  
7 The case is submitted.

8 (Whereupon, at 11:10 a.m., the case in the  
9 above-entitled matter was submitted.)

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