

## Re-Speaking the Bill of Rights: A New Doctrine of Incorporation

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The current doctrine of incorporation of the Bill of Rights--the manner by which some or all of the ten 1791 amendments are made applicable to the states by way of the Fourteenth Amendment--creates a number of interpretive conundrums. For example, which text in the Fourteenth Amendment actually effects the incorporation of the Bill of Rights? The Due Process Clause or the Privileges or Immunities Clause?<sup>1</sup> Do incorporated rights have the same meaning and scope as their counterparts in the 1791 amendments?<sup>2</sup> Or does the original Free Speech Clause have a different meaning and scope than the “incorporated” Free Speech Clause?<sup>3</sup> If both the 1791 amendments and their 1868 incorporated counterparts have the same meaning, which meaning controls? Are the original 1791 meanings carried *forward* into the 1868 amendment?<sup>4</sup> Or are the understandings of the people of 1868 read *backward* into the original Bill of Rights and applied against the federal government by way of “reverse incorporation”?<sup>5</sup>

These conundrums are especially perplexing for Fourteenth Amendment scholars who seek to discover and apply the original meaning of constitutional text.<sup>6</sup> Even if the original 1868 understanding of the Fourteenth Amendment supports the doctrine of incorporation, how can that 1868 meaning be reconciled with what is likely to be a very different public understanding of the

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<sup>1</sup> The current Supreme Court is divided on this issue. Compare, e.g., *McDonald v. City of Chicago*, 561 U.S. 742, 759 (2010) (plurality basing incorporation of the Second Amendment on the Due Process Clause), with *id.* at 813 (Justice Thomas, concurring with the judgment but relying on the Privileges or Immunities Clause as the textual vehicle for incorporation). See also, Akhil Amar, *THE BILL OF RIGHTS, CREATION AND RECONSTRUCTION* (1998) (arguing that the Privileges or Immunities Clause and not the Due Process Clause, incorporates the Bill of Rights).

<sup>2</sup> See, e.g., Ryan C. Williams, *The One and Only Due Process Clause*, 120 Yale L. J. 408 (2010) (distinguishing the meaning of the Fifth Amendment’s Due Process Clause from the Fourteenth Amendment’s Due Process Clause).

<sup>3</sup> For an argument that 1791 freedom of speech is quite different from the Court’s incorporation doctrine on free speech, see Jud Campbell, *Natural Rights and the First Amendment*, 127 Yale L. J. 246 (2017).

<sup>4</sup> See, e.g., Steven D. Smith, *FOREORDAINED FAILURE: THE QUEST FOR A CONSTITUTIONAL PRINCIPLE OF RELIGIOUS FREEDOM* (1999) (arguing that the original 1791 federalist meaning of the Establishment Clause prevents its being incorporated into the 1868 Fourteenth Amendment).

<sup>5</sup> See, e.g., *Bolling v. Sharpe*, 347 U.S. 497 (1954) (reading Fourteenth Amendment concepts of equality into the 1791 Due Process Clause). See also, Akhil Reed Amar, *Intratextualism*, 112 Harv. L. Rev. 747 (1999) (defending the reverse incorporation approach of *Bolling*); Adrian Vermeule & Ernest A. Young, *Hercules, Herbert and Amar: The Trouble with Intratextualism*, 113 Harv. L. Rev. 730 (2000) (criticizing Amar’s intratextualist defense of reverse incorporation).

<sup>6</sup> Most scholars and judges believe that the original understanding of constitutional text ought to play at least some role in constitutional interpretation. See, Confirmation Hearing on the Nomination of Elena Kagan to be an Associate Justice of the Supreme Court of the United States: Hearing Before the S. Comm. on the Judiciary, 111th Cong. 62 (2010) (testimony of Elena Kagan) (“we are all originalists now”). Although Kagan’s statement should not be understood as claiming scholars and judges are the *same kind* of originalists, there is broad scholarly agreement that original understanding plays a non-trivial role in determining the meaning and contemporary application of constitutional text. See also, Lawrence Solum, *Originalist Theory and Precedent: A Public Meaning Approach*, 33 Const. Comment. 451 (2018); William Baude, *Is Originalism Our Law?*, 115 Colum. L. Rev. 2349 (2015).

Bill of Rights in 1791?<sup>7</sup> Originalists seem forced to either abandon originalism or accept a world in which we have two Bill of Rights, one applicable against the federal government and invested with 1791 meanings and one incorporated against the states and invested with 1868 meanings.

This essay proposes a new way to solve these conundrums and reconcile the *original* Bill of Rights with the *incorporated* Bill of Rights and do so in a manner consistent with a historically based understanding of the Fourteenth Amendment. When the people adopted the Fourteenth Amendment into existence, they readopted the original Bill of Rights, and did so in a manner that invested those original 1791 texts with new 1868 meanings. There is only one Freedom of Speech Clause—the one the people spoke into existence in 1791 but then *respoke* in 1868. This respoken Bill of Rights is now one of the privileges or immunities of citizens of the United States which neither state nor federal government may abridge.

Reconceptualizing the doctrine of incorporation as involving a re-speaking of the Bill of Rights implicates both the original understanding of the Fourteenth Amendment and the post-Reconstruction enforcement of the Bill of Rights against the federal government. Whatever the original meaning of the 1791 amendments, the people of 1868 spoke those older rights into a new context, one reflecting decades of battles over the meaning of the Bill of Rights and the importance of protecting those rights against both federal and state abridgment. An originalist interpretation of the Fourteenth Amendment not only calls for an 1868 understanding of provisions in the Bill of Rights incorporated against the states by way of the Privileges or Immunities Clause, it also requires an updated 1868 understanding of the Bill of Rights itself. The 1868 respoken of the Bill of Rights transforms the doctrine of “reverse incorporation” from an anti-historical example of living constitutionalism into a textually and historically based understanding of the original meaning of Section One of the Fourteenth Amendment.

### 1. “Speaking” Constitutional Text

The American Constitution announces itself as an act of popular sovereignty. “*We the people of the United States. . . do ordain and establish this constitution.*” A theory of self-government which emerged in the United States between the Revolution and the Founding, American popular sovereignty envisions the people as standing apart from the ordinary institutions of government who communicate their will through the device of written constitutions.<sup>8</sup> Although the members of the Philadelphia Constitutional convention drafted a proposed constitution in 1787, this document did not become *the people’s* Constitution until considered and ratified by the people acting in convention in the several states. As James Madison put it, the proposed constitution was “nothing but a dead letter, until life and validity were breathed into it by the voice of the people, speaking through the several State Conventions.”<sup>9</sup>

Madison’s biblical metaphor about the “voice of the people” is both striking and apt. According to the book of Genesis, “the Lord God formed man of the dust of the ground, and breathed into his

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<sup>7</sup> See Jud Campbell, *Natural Rights and the First Amendment*, 127 Yale L. J. 246 (2017) (arguing that the modern Supreme Court’s First and Fourteenth Amendment free speech doctrine is altogether different from the original 1791 understanding of free expression).

<sup>8</sup> See Gordon Wood, *THE CREATION OF THE AMERICAN REPUBLIC*, *supra* note 4. See also, Keith Whittington, *CONSTITUTIONAL INTERPRETATION: TEXTUAL MEANING, ORIGINAL INTENT, AND JUDICIAL REVIEW* 110 (1999) (discussing the theory of American popular sovereignty and its relationship to originalist theories of constitutional interpretation)

<sup>9</sup> James Madison, speech of April 6, 1796, in *Madison: Writings* 574 (Jack Rakove, editor) (1999).

nostrils the breath of life; and man became a living soul.”<sup>10</sup> Madison takes the biblical account of the creation of man and applies it to the creation of the Constitution. As Adam was but clay until brought to life by the breath of God, so the proposed constitution was but a “dead letter,” until the people breathed life into the document by acting in their highest sovereign capacity in the state ratifying conventions. In this way, words written by others became the words of the people themselves spoken into legal existence by the act of ratification.

Under a system of popular sovereignty, the distinction between *words-as-proposed* and *words-as-ratified* has important implications for the content of constitutional law. Words are invested with legal validity only to the extent that they represent communicated will of the people themselves. Originalist theorists embrace this distinction and maintain that determining the meaning of a constitutional communication requires determining the understanding held by those with the sovereign authority to “speak” fundamental law into existence. For example, whatever the understanding of those who drafted a proposed constitutional text, the legally relevant understanding is that held by those with the authority to “breath life” into that text through the act of ratification. As Madison explained in the expanded version of the above quote:

[W]hatever veneration might be entertained for the body of men who formed our Constitution, the sense of that body could never be regarded as the oracular guide in expounding the Constitution. As the instrument came from them it was nothing more than the draft of a plan, nothing but a dead letter, until life and validity were breathed into it by the voice of the people, speaking through the several State Conventions. If we were to look, therefore, for the meaning of the instrument beyond the face of the instrument, we must look for it, not in the General Convention, which proposed, but in the State Conventions, which accepted and ratified the Constitution.<sup>11</sup>

Madison’s distinction between the understanding of the drafters and the understanding of the ratifiers is echoed in contemporary scholarly debates regarding the original meaning of the Constitution. Scholars who adopt an originalist methodology for determining the meaning of constitutional text commonly (though not universally) distinguish the intentions of the framers from the understanding of the ratifiers.<sup>12</sup> Although the publicly declared intentions and purposes of the framers may have informed the understanding of the ratifiers, the principles of popular sovereignty dictate that it is the understanding of the ratifiers that informs (indeed, establishes) the legal validity of the text. Thus, to the degree that the drafters of a text held a different understanding than the ratifiers, the legally operative understanding must be that of the ratifiers. Only the latter counts as the voice of the people.<sup>13</sup>

The sovereign people not only have the right to establish their constitution, they also retain the right to alter or amend that constitution whenever they see fit. This fundamental principle of popular sovereignty is announced in the Declaration of Independence<sup>14</sup> and constitutionalized in Article V

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<sup>10</sup> See Genesis 2:7 (King James version).

<sup>11</sup> Madison, WRITINGS, *supra* note 9, at 574.

<sup>12</sup> See e.g., Lawrence Solum, *The Fixation Thesis: The Role of Historical Fact in Original Meaning*, 91 Notre Dame L. Rev. 1, 4-5 (2015).

<sup>13</sup> See, e.g., Testimony of Amy Coney Barrett, October 14, 2020 (Day Two, Senate Judiciary Committee Hearing on Nomination to the Supreme Court) (“I interpret the Constitution as a law, and I interpret its text as text, and I understand it to have the meaning that it had at the time people ratified it.”). <https://www.rev.com/blog/transcripts/amy-coney-barrett-senate-confirmation-hearing-day-2-transcript>

<sup>14</sup> See, Declaration of Independence (1776) (“That to secure these rights, Governments are instituted among Men, deriving their just powers from the consent of the governed,—That whenever any Form of Government becomes destructive of these ends, it is the Right of the People to alter or to abolish it, and to institute new

of the federal Constitution.<sup>15</sup> According to the super-majoritarian process set out in Article V, simply proposing a constitutional text requires two-thirds supports of both houses of Congress (or national convention).<sup>16</sup> Ratification requires an even higher three-quarter vote of support from the people in the several states.<sup>17</sup> Only those texts which survive this super-majoritarian process earn the right to be received as “higher law” --a communication from the people themselves.<sup>18</sup> This process allows the people to speak into existence new constitutional ideas. They did so, for example, when they restructured the original Article II Presidential election process through the adoption of the Twelfth Amendment.<sup>19</sup>

## 2. “Re-Speaking” Constitutional Text

Occasionally, the people “re-speak” portions of the original Constitution and either invest those words with new meaning or clarify their proper interpretation. This occurred when the people ratified the Eleventh Amendment. Article III of the original Constitution declares that “[t]he judicial power of the United States, shall be vested in one supreme Court, and in such inferior Courts as the Congress may from time to time ordain and establish. . . .”<sup>20</sup> In 1795, the people respoke the opening words of Article III when they ratified the text of the Eleventh Amendment which declares:

*The Judicial power of the United States shall not be construed to extend to any suit in law or equity, commenced or prosecuted against one of the United States by Citizens of another State, or by Citizens or Subjects of any Foreign State.*<sup>21</sup>

These opening words of the Eleventh Amendment “re-speak” the original opening language of Article III and invests those 1787 words with a 1795 meaning. The people added the Eleventh amendment in response to the actions of Article III judges who had construed the judicial power to allow out of state citizens to sue non-consenting states in federal court.<sup>22</sup> The people swiftly responded by ratifying an amendment which self-consciously re-spoke the words of Article III and declared their sovereign will that the words “*The Judicial power of the United States shall not be construed*” in a forbidden manner.

Whether one views the Eleventh Amendment as clarifying the original meaning of the language of Article III or as establishing a new construction of the same words, the people’s 1795 understanding of “the judicial power” trumps any contrary understanding of *the same words* held by the people of 1787. The Eleventh Amendment is an example of the people exercising their sovereign right to re-speak constitutional language and invest old words with specific and potentially new meaning.

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Government, laying its foundation on such principles and organizing its powers in such form, as to them shall seem most likely to effect their Safety and Happiness.”)

<sup>15</sup> U.S. Const., Art. V (setting out a two-step supermajoritarian process for amending the Constitution).

<sup>16</sup> *Id.*

<sup>17</sup> *Id.*

<sup>18</sup> See Ackerman, WE THE PEOPLE: FOUNDATIONS 6 (1993).

<sup>19</sup> U.S. Const., amend. XII (1804).

<sup>20</sup> U.S. Const., Art. III, section 1.

<sup>21</sup> U.S. Const., amend, XI (1795) (emphasis added).

<sup>22</sup> For a discussion of the events leading to the adoption of the Eleventh Amendment, see Kurt T. Lash, *Leaving the Chisholm Trail: The Eleventh Amendment and the Background Principle of Strict Construction*, 50 Wm. & Mary L. Rev. 1577 (2009).

A similar “respeaking” occurred when the people ratified the Thirteenth Amendment. The language of that amendment is based on the language of Article 6 of the 1787 Northwest Ordinance which declared:

There shall be neither slavery nor involuntary servitude in the said territory, otherwise than in the punishment of crimes whereof the party shall have been duly convicted.<sup>23</sup>

Prior to the Civil War, abolitionist opponents to slavery repeatedly quoted the language of the Northwest Ordinance as an example of the Founding generation’s opposition to holding persons as property.<sup>24</sup> According to abolitionists, the Ordinance was evidence that neither the Founders nor their Constitution demanded the continued existence of slavery. In 1865, Republican members of Congress embraced the pro-freedom theories of constitutional abolitionists like Frederick Douglass,<sup>25</sup> and they self-consciously chose the language of the Northwest Ordinance as the textual guide to framing Section One of the Thirteenth Amendment. Here are the two texts side by side:

*Northwest Ordinance:* There shall be neither slavery nor involuntary servitude in the said territory, otherwise than in the punishment of crimes whereof the party shall have been duly convicted.<sup>26</sup>

*Thirteenth Amendment:* Neither slavery nor involuntary servitude, except as a punishment for crime whereof the party shall have been duly convicted, shall exist within the United States, or any place subject to their jurisdiction.<sup>27</sup>

In transforming the language of the Northwest Ordinance into the language of a constitutional amendment, the people invested 1787 language with 1865 meaning. Antebellum courts had construed the language of the original Northwest Ordinance as banning the importation of new slaves into the territory, but not as emancipating those slaves already living in the territory.<sup>28</sup> When the people of 1865 spoke these words through their ratification of the Thirteenth Amendment, however, they understood these words as immediately freeing every enslaved person throughout the United States.

There is no obvious semantic difference between the Ordinance’s declaration that “*There shall be neither slavery nor involuntary servitude*” and the Thirteenth Amendment’s demand that “*Neither slavery nor involuntary servitude ... shall exist.*” There was, and is, however, a dramatic difference in the historical context in which those phrases were communicated. The people who passed the original Ordinance were willing to tolerate the existence of slavery on American soil. The people who spoke very similar words in 1865 had lived through decades of public debate over slavery and

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<sup>23</sup> Northwest Ordinance (July 13, 1787).

<sup>24</sup> See, e.g., Debates on the Tallmadge Amendment (Feb. 15, 1819) (speech of Mr. Taylor of New York), Annals of Congress, 15th Cong., 2nd Sess., 1172; Liberty Party Platform (Aug. 30, 1843), in Reinhard O. Johnson, THE LIBERTY PARTY, 1840–1848: ANTISLAVERY THIRD PARTY POLITICS IN THE UNITED STATES 317 (Baton Rouge: Louisiana State University Press, 2009).

<sup>25</sup> See, Frederick Douglass, *The Constitution of the United States: Is it Pro-slavery or Anti-slavery?* (March 26, 1860), in 2 LIFE AND WRITINGS OF FREDERICK DOUGLASS 467-80 (Philip Foner, ed. 1950).

<sup>26</sup> Northwest Ordinance (July 13, 1787), in, DOCUMENTS ILLUSTRATIVE OF THE FORMATION OF THE UNION OF THE AMERICAN STATES 47–54 (Charles C. Tansill, ed.,) (Washington, DC: Government Printing Office, 1927).

<sup>27</sup> U.S. Const. Amend. XIII. See also, U.S. Senate, Debates on the Drafting of the Thirteenth Amendment (April 8, 1864), in Cong. Globe, 38th Cong., 1st Sess., 1479–83, 1487–90 (drafting debates discussing the use of the Ordinance’s language in the proposed amendment).

<sup>28</sup> See Akhil Reed Amar, AMERICA’S CONSTITUTION, A BIOGRAPHY 356 (2005).

the loss of over half a million Americans in a bloody civil war. This older language, when used by a new people in this new context, communicated the complete eradication of chattel slavery from the soil of the United States.<sup>29</sup>

The adoption of the Eleventh and Thirteenth Amendments are examples of how the sovereign people can re-speak legal texts and invest old language with new meaning. A similar re-speaking occurred when the people ratified the language of the Fourteenth Amendment. Section Two of that amendment expressly respeaks, removes, and replaces portions of the original text of Article I, Section 2.

Here is the original text of Article I, Section 2:

Representatives . . . *shall be apportioned among the several states* which may be included within this union, *according to their respective numbers*, which shall be determined by adding to *the whole number* of free persons, including those bound to service for a term of years, and excluding Indians not taxed, three fifths of all other Persons.<sup>30</sup>

This older text took on new and unanticipated importance when the people ratified the Thirteenth Amendment. By abolishing slavery, the Thirteenth Amendment nullified that part of Article I which counted enslaved persons as three-fifths of a person for the purposes of representation. After 1865, four million formerly enslaved people now counted as *five-fifths* of a person for the purposes of congressional representation. This created an enormous political problem for 1866 Republicans. When representatives from the former rebel states returned to the seats they had vacated four years earlier, it was possible they would do so in larger number than before the Civil War. In order to prevent this, the Republicans of the Thirty-Ninth Congress refused to readmit any representatives from the former Confederate states until the people first ratified an amendment that prevented such an unjust political windfall for the former states of the Confederacy. This was accomplished by Section Two of the proposed Fourteenth Amendment which declares:

Representatives *shall be apportioned among the several States according to their respective numbers*, counting the *whole number* of persons in each State, excluding Indians not taxed. *But when the right to vote* at any election for the choice of electors for President and Vice President of the United States, Representatives in Congress, the Executive and Judicial officers of a State, or the members of the Legislature thereof, *is denied to any of the male inhabitants of such State, being twenty-one years of age, and citizens of the United States*, or in any way abridged, except for participation in rebellion, or other crime, *the basis of representation therein shall be reduced* in the proportion which the number of such male citizens shall bear to the whole number of male citizens twenty-one years of age in such State.<sup>31</sup>

Section Two solved the problem of the returning southern Democrats by reducing their representation to the degree that the former rebel states refused to give freedmen the right to vote. Section Two does not expressly declare that it is respeaking, repealing and replacing portions of

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<sup>29</sup> See, generally, Rebecca E. Zietlow, *THE FORGOTTEN EMANCIPATOR: JAMES MITCHELL ASHLEY AND THE IDEOLOGICAL ORIGINS OF RECONSTRUCTION* (2017) (discussing the origins and original understanding of the Thirteenth Amendment).

<sup>30</sup> U.S. Const., Art. I, sect. 2 (emphasis added).

<sup>31</sup> U.S. Const., amend. XIV, Sect. 2 (emphasis added).

the original text of Section 2 of Article I. Nevertheless, that is necessarily implied effect of the text.<sup>32</sup>

In sum, we know that the sovereign people have “respoken” legal and constitutional texts in the past. We also know that Reconstruction-era Americans engaged in “respeaking” texts, both in Section One of the Thirteenth Amendment and in Section Two of the Fourteenth Amendment. In the next section I explain why there is good reason to think that the people of 1868 also respoke older legal texts when they ratified Section One of the Fourteenth Amendment.

### 3. *The Second Sentence of Section One as Re-Speaking the Bill of Rights*

Section One of the Fourteenth Amendment contains four separate provisions. The first two address the rights of “citizens of the United States,” while the third and fourth address the rights of “persons.” Thus:

- (1) “All persons born or naturalized in the United States, and subject to the jurisdiction thereof, are *citizens of the United States* and of the state wherein they reside.
- (2) No state shall make or enforce any law which shall abridge the privileges or immunities of *citizens of the United States*;
- (3) [N]or shall any state deprive *any person* of life, liberty, or property, without due process of law;
- (4) [N]or deny to *any person* within its jurisdiction the equal protection of the laws.”

According to the judicially created doctrine called “substantive due process,” rights originally listed in the first eight amendments (which originally bound only the federal government) are “incorporated” against the states by way of a “substantive” reading of the Due Process Clause.<sup>33</sup> Few scholars (and, likely, few judges<sup>34</sup>) find this to be a plausible reading of the Fourteenth Amendment’s Due Process Clause.<sup>35</sup> Instead, most constitutional historians (and some Supreme Court justices) believe that the Privileges or Immunities Clause is the more plausible textual vehicle for the incorporation of the Bill of Rights.<sup>36</sup> If this view is correct, then it means that when the

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<sup>32</sup> Implied effects in this case should be understood as an aspect of what Lawrence Solum refers to as “constitutional implicature,” or the idea that the words may communicate more meaning than just that expressly stated. See Lawrence Solum, *Semantic Originalism*, at 172. In the case above, the text of Section Two of the Fourteenth Amendment does not have language expressly repealing the language of Article I, but by echoing its subject (and, in some aspects, using the same words) Section Two necessarily implies the repeal and replacement of the earlier text.

<sup>33</sup> See, e.g., *Timbs v. Indiana*, 139 S. Ct. 682, 687 (2019) (Ginsburg, J.) (“With only “a handful” of exceptions, this Court has held that the Fourteenth Amendment’s Due Process Clause incorporates the protections contained in the Bill of Rights, rendering them applicable to the States.”)

<sup>34</sup> See, *McDonald v. City of Chicago*, 561 U.S. 742, 813 (Justice Thomas, concurring with the judgment but relying on the Privileges or Immunities Clause as the textual vehicle for incorporation); *Timbs v. Indiana*, 139 S. Ct. 682, \_\_\_ (2019) (Gorsuch, J., concurring) (“As an original matter, I acknowledge, the appropriate vehicle for incorporation may well be the Fourteenth Amendment’s Privileges or Immunities Clause, rather than, as this Court has long assumed, the Due Process Clause.”).

<sup>35</sup> See Nathan S. Chapman and Michael W. McConnell, *Due Process as Separation of Powers*, 121 *Yale L.J.* 1672, 1675-80 (2012) (discussing the modern scholarly criticism of the doctrine of “substantive due process”).

<sup>36</sup> See, e.g., Amar, *THE BILL OF RIGHTS*, *supra* note 1, at 166.

people of 1868 declared that states cannot abridge “the privileges or immunities of citizens of the United States,” they effectively re-spoke the Bill of Rights and invested those words with a broader meaning than had been the case in 1791.<sup>37</sup>

The original meaning of the Bill of Rights *had* to be reshaped before these 1791 provisions could be applied against the States. At the time of the Founding, the Bill of Rights represented the people’s commitment to the structural principle of federalism.<sup>38</sup> The Bill bound only the federal government, and left the people in the states free, as a matter of constitutional right, to pass laws establishing religion, limiting the exercise of religion or punishing seditious speech.<sup>39</sup> It was only *Congress* that could make no law respecting these subjects. When read in conjunction with the Ninth and Tenth amendments, the Bill stood as an express reminder to the courts of law that the federal government had only limited enumerated power, with all non-delegated powers and rights retained by the people in the several states.<sup>40</sup>

If the people of 1868 understood the words “privileges or immunities of citizens of the United States” to include the personal rights listed in the 1791 Bill of Rights, then this means that those people held a very different understanding of the Bill of Rights than did the people of 1791. Rather than understanding the words of the Bill of Rights as securing the interests of the several states, the people of 1868 understood those same words as securing the rights of national citizenship.<sup>41</sup> Accordingly, when the people spoke the Privileges or Immunities Clause into existence, they used a phrase that they understood included the privileges and immunities listed in the Bill of Rights, but which invested those older words with new meanings. It is as if the people of 1868 lifted up the original 1791 Bill of Rights and set them down again upon a new 1868 foundation.

Understanding the words of Bill of Rights as being “respoken” by a different people in a different historical context allows us to understand how old words can take on new meaning. For example, there is good reason to believe that the 1791 people’s understanding of “freedom of press” was quite different than that held by the people of 1868.<sup>42</sup> Even if the original Freedom of Speech and

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<sup>37</sup> Although some scholars argue that the Privileges or Immunities Clause incorporates only some, but not all, of the 1791 amendments (see, e.g., Amar, *THE BILL OF RIGHTS*, *supra* note 1, at 248), nothing about this possibility affects the argument in this essay regarding the respoken of *any* of the Bill of Rights.

<sup>38</sup> See Leonard W. Levy, *ORIGINS OF THE BILL OF RIGHTS* 28-31 (1999). Securing a provision retaining the rights and powers of the several states was one of the primary purposes behind calls for the addition of a Bill of Rights. In the Massachusetts Ratifying Convention, for example, Samuel Adams explained that adding a provision “declar[ing] that all powers not expressly delegated by the aforementioned constitution are reserved to the several States, to be by them exercised” would be itself “a summary of a bill of rights.” See, Samuel Adams, *Massachusetts Convention Debates* (Feb. 1, 1788), in *VI Documentary History of the Ratification of the Constitution* 1390, 1395 (John P. Kaminski et al. eds., 2000) [DHRC].

<sup>39</sup> See, *Barron v. Baltimore*, 32 U.S. 243, 250 (1833).

<sup>40</sup> See, e.g., James Madison, *Speech Opposing the Bank of the United States*, in *WRITINGS*, *supra* note \_\_\_ at 489 (describing the Ninth and Tenth Amendments as jointly calling for a limited construction of federal power).

<sup>41</sup> See, Kurt T. Lash, *THE FOURTEENTH AMENDMENT AND THE PRIVILEGES AND IMMUNITIES OF AMERICAN CITIZENSHIP* 277-79 (2014). This new understanding did not involve an abandonment of federalism, it reconceptualized federalism as a constitutional principle advancing national liberty. Republican abolitionists, for example, embraced federalism and used its principles to deny federal power to pass the Fugitive Slave Acts and secure the right of northern states to oppose slavery. See, e.g., *Speech of Charles Sumner in the United States Senate*, Aug. 26, 1852, in *Daily Globe* (Washington, D.C.), September 11, 1852, p. 3.

<sup>42</sup> Compare, for example, Jud Campbell, *Natural Rights and the First Amendment*, 127 *Yale L. J.* 246 (2017) (arguing that the people of 1791 held a far narrower understanding of free speech than we do today) with Michael Kent Curtis, *FREE SPEECH, THE PEOPLE’S DARLING PRIVILEGE: STRUGGLES FOR FREEDOM OF EXPRESSION IN AMERICAN HISTORY* (2000) (arguing that the people of 1868 held far broader views of freedom of expression than did the people in 1791).



Press Clauses communicated nothing more than freedom from prior restraints,<sup>43</sup> the suppression of free expression under slavery may have generated a far broader understanding of the rights of free expression among the Reconstruction Republicans who framed and ratified the Fourteenth Amendment.<sup>44</sup>

The same would be true of the Establishment Clause.<sup>45</sup> It is possible that the original meaning of the Establishment Clause prohibited federal establishments while simultaneously *protecting* state religious establishments from federal interference.<sup>46</sup> If so, this seems to render the Establishment Clause an inappropriate a candidate for incorporation *against* the states.<sup>47</sup> This original federalism-based reading of the Clause, however, may have faded away between the time of the Founding and the ratification of the Fourteenth Amendment. If so, then it is possible that the people of 1868 understood the words of the Establishment Clause as declaring a principle of constitutional immunity from all religious establishments that is as applicable against the state governments as it is against the federal government.<sup>48</sup>

In sum, for those believe the original meaning of the Fourteenth Amendment is relevant to contemporary application of constitutional text, the meaning of the “incorporated” Bill of Rights is the meaning held by the people of 1868. The original rights were respoken and, potentially, reshaped.

#### 4. *The First Sentence of Section One as Re-speaking the Bill of Rights*

Thus far, I have discussed the second sentence of Section One as involving a re-speaking of earlier constitutional texts. But if the second sentence involves a re-speaking, then so does the *first* sentence of Section One. Declared by the same people at the same time, these two sentences both speak about the “citizens of the United States.” This repeated language must be read in *pari materia*. When these two sentences are read in conjunction, it appears that people have respoken the Bill of Rights in a manner that affects the post-Fourteenth Amendment enforcement of the Bill of Rights against the federal government as much as the states.

The opening sentence of Section One of the Fourteenth Amendment declares: “All persons born or naturalized in the United States, and subject to the jurisdiction thereof, are *citizens of the United States* and of the state wherein they reside.”<sup>49</sup> This sentence announced something new under the constitutional sun.

The original Constitution did not contain a clause defining national citizenship. It neither defined national citizenship nor declared whether national citizenship was attended by any rights, privileges or immunities. This omission became a matter of substantial debate during the antebellum period and was one of the central issues in the infamous case of *Dred Scott v. Sandford*.<sup>50</sup> Even Union

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<sup>43</sup> See, Levy, ORIGINS OF THE BILL OF RIGHTS, *supra* note 38, at 123.

<sup>44</sup> Curtis, *supra* note 39, at 357.

<sup>45</sup> U.S. Const., amend. I (“Congress shall make no law respecting an establishment of religion”).

<sup>46</sup> See, Amar, THE BILL OF RIGHTS, *supra* note 36, at 41.

<sup>47</sup> See *id.*

<sup>48</sup> For a discussion of the 1868 understanding of the First Amendment Establishment Clause, See Kurt T. Lash, *The Second Adoption of the Establishment Clause: The Rise of the Non-Establishment Principle*, 27 *Ariz. St. L.J.* 1085 (1995).

<sup>49</sup> U.S. Const. amend. XIV.

<sup>50</sup> 60 U.S. 393 (1857).

officials during the Civil War acknowledged that the original Constitution did not expressly declare the nature and substance of national citizenship.<sup>51</sup>

This original constitutional omission did not prevent antebellum abolitionist Republicans from calling for a new understanding of the rights of national citizenship. According to Joel Tiffany in his 1849 *Treatise on the Unconstitutionality of American Slavery*,<sup>52</sup> “the privileges and immunities which the American citizen has a right to demand of the Federal Government,” were those “guaranteed to him by the federal Constitution,” including

“the right of petition,—the right to keep and bear arms, the right to be secure from all unwarrantable seizures and searches, the right to demand, and have a presentment, or indictment found by a grand jury before he shall be held to answer to any criminal charge, the right to be informed beforehand of the nature and cause of accusation against him, the right to a public and, speedy trial by an impartial jury of his peers, the right to confront those who testify against him, the right to have compulsory process to bring in his witnesses, the right to demand and have counsel for his defence, the right to be exempt from excessive bail, or fines, &c., from cruel and unusual punishments, or from being twice jeopardized for the same offence.”<sup>53</sup>

To Tiffany, the privileges and immunities of citizens of the United States included the rights enumerated in the 1791 Bill of Rights—rights which Tiffany insisted should be viewed as binding upon the states.<sup>54</sup> This same view was shared by the man who drafted the Privileges or Immunities Clause of the Fourteenth Amendment, John Bingham. According to Bingham, “the privileges and immunities of citizens of the United States, as contradistinguished from citizens of a State, are chiefly defined in the first eight amendments to the Constitution of the United States.”<sup>55</sup>

This is not the place to canvass the historical evidence supporting the incorporation of the Bill of Rights by way of the Privileges or Immunities Clause of Section One.<sup>56</sup> The point is that there is a substantial record of antebellum and Reconstruction-era Republicans describing the “privileges and immunities of citizens of the United States” as including the rights listed in the Bill of Rights.

When the people of 1868 spoke into constitutional existence a group they named the “citizens of the United States,” they did so *twice*. First, they named and defined “citizens of the United States” in the first sentence of Section One. Then, in the second sentence, they used the same phrase and declared that states could not abridge the privileges or immunities of these newly defined “citizens of the United States.”

By definition, the “citizens of the United States” named in sentence one hold the *same* privileges and immunities that, according to sentence two, states must not abridge. If it is correct that the second sentence applies a “respoken” (and reshaped) Bill of Rights against the states because such

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<sup>51</sup> See, *The Opinion of Attorney General Edward Bates, On Citizenship* (Nov. 29, 1862).

<sup>52</sup> Joel Tiffany, *A TREATISE ON THE UNCONSTITUTIONALITY OF AMERICAN SLAVERY* (1849). According to Akhil Amar, “Tiffany’s Treatise became a basic handbook for many Republicans who later served in the Thirty-Ninth Congress.” Amar, *THE BILL OF RIGHTS*, *supra* note 36, at 263.

<sup>53</sup> Tiffany, *supra* note 52, at 99.

<sup>54</sup> *Id.* at 117.

<sup>55</sup> Speech of John Bingham, *Cong. Globe*, 42d Cong. 1st Sess., Appendix, 81-86 (March 31, 1871).

<sup>56</sup> For important discussions of the historical sources supporting incorporation of the Bill of Rights, see Michael Kent Curtis, *NO STATE SHALL ABRIDGE: THE FOURTEENTH AMENDMENT AND THE BILL OF RIGHTS* (1990); Amar, *THE BILL OF RIGHTS*, *supra* note 36.

are the “privileges or immunities of citizens of the United States,” then these same respoken rights equally bind the federal government for the same reason-- *because* they are the “privileges or immunities of citizens of the United States.” This would be true even if the second sentence of Section One did not exist, since the people of 1868 understood the term “citizens of the United States” referred to a group holding certain privileges and immunities. Our understanding of the first sentence is assisted by the historical evidence regarding the second sentence, but the legal meaning of the first sentence is not dependent on the second sentence.

To the people of 1868, the term “citizen of the United States” was thick with meaning.<sup>57</sup> It included a panoply of textually enumerated rights--words originally added by the people of 1791, but now spoken into existence by the people of 1868 and carrying an 1868 meaning. The ratification of the first two sentences of Section One thus had the effect of updating and reshaping the meaning of the 1791 Bill of Rights in a manner that equally bound both state and federal governments—*neither* of which have the constitutional authority to abridge “the privileges or immunities of citizens of the United States.”

Once we under the first two sentences of Section One as jointly speaking into constitutional existence “citizens of the United States” with 1868 understandings of the rights inherent in the status of national citizenship, we can clarify the meaning of these sentences by rewriting them as declaring:

*“All persons born or naturalized in the United States are citizens of the United States who hold the privileges and immunities of national citizenship, such as those listed in the Bill of Rights as we the people of 1868 understand the Bill of Rights.*

*Henceforth, neither state nor federal government shall make or enforce any law abridging these 1868-informed privileges or immunities of citizens of the United States.”*

There is no need here to fully develop how the Fourteenth Amendment modified the original understanding of the 1791 amendments and how that understanding might bind the federal government. My claim here is simply that the people of 1868 believed that citizens of the United States had *one* Bill of Rights, and they communicated words that made this 1868 understanding of that Bill enforceable against both state and federal governments.

##### *5. Re-speaking the Bill of Rights and the Conundrums of Incorporation*

Understanding the opening two sentences of the Fourteenth Amendment as the people of 1868 re-speaking the Bill of Rights solves a number of interpretive conundrums, particularly for those committed to a historically grounded interpretation of constitutional text. In terms of the doctrine of incorporation, this approach supports the insights of most contemporary constitutional historians who view the Privileges or Immunities Clause as the proper textual vehicle for incorporation of the Bill of Rights.<sup>58</sup> It suggests, however, that the meaning of these incorporated rights should be that held by the people who ratified the Fourteenth Amendment, not those who ratified the original Bill of Rights.

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<sup>57</sup> See, Martha S. Jones, *BIRTHRIGHT CITIZENS: A HISTORY OF RACE AND RIGHTS IN ANTEBELLUM AMERICA* (2018).

<sup>58</sup> See, Suja A. Thomas, *Nonincorporation: The Bill of Rights After McDonald v. Chicago*, 88 *Notre Dame L. Rev.* 159 (2012).

The approach also places the seemingly ahistorical theory of “reverse incorporation” on solid textual and historical ground.<sup>59</sup> There is nothing historically backwards about investing the post-Fourteenth Amendment Bill of Rights with the understanding of the people who respoke that Bill in 1868. Reconstruction-era Americans exercised their sovereign right to alter their original Constitution and invest old words with new meaning. This is not a pouring of new wine into old wineskins (reverse incorporation), this pours *new* wine into *new* wineskins—a new Bill of Rights for a newly constitutionalized group called the “citizens of the United States.”

Americans adopted their *current* Bill of Rights in 1868. They did so after decades of public debate over the cruelty and injustice of slavery, the need to secure equal rights, and the importance of marginalized voices in the creation and enforcement of fundamental rights. The sovereign people who drove this constitutional revolution included women’s rights groups,<sup>60</sup> martyred abolitionists,<sup>61</sup> black sailors,<sup>62</sup> black soldiers,<sup>63</sup> the enslaved and formerly enslaved,<sup>64</sup> the majority black South Carolina legislature,<sup>65</sup> pro-freedom northern Republicans,<sup>66</sup> and pro-black suffrage southern loyalists.<sup>67</sup> The sovereign people who respoke the words of the Bill of Rights had a new understanding of those words and how they bound both federal and state governments. Our jurisprudence should reflect this new understanding.

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<sup>59</sup> For a discussion of the scholarly debates over reverse incorporation and the impact of the Fourteenth Amendment on the powers of the federal government, see Richard Primus, *Bolling Alone*, 104 Colum. L. Rev. 975 (2004).

<sup>60</sup> See, *Women’s Loyal National League, Petition for a Law Abolishing Slavery*, Cong. Globe, 38th Cong., 1st Sess., 145 (Jan. 25, 1864); Susan B. Anthony, *Make the Slave’s Case Our Own* (ca. 1859), in *American Women: A Library of Congress Guide 380* (Sheridan Harvey, et. al, eds.) (Library of Congress, 2001).

<sup>61</sup> See, Michael Kent Curtis, *The 1837 Killing of Elijah Lovejoy by an Anti-Abolition Mob*, 44 UCLA. L. Rev. 1109 (1997).

<sup>62</sup> See, Martha Jones, BIRTHRIGHT CITIZENSHIP, *supra* note 57, at 50.

<sup>63</sup> See, Amar, AMERICA’S CONSTITUTION, *supra* note \_\_ at 396 (“The story of black ballots begins with black bullets.”); James M. McPherson, THE NEGRO’S CIVIL WAR: HOW AMERICAN BLACKS FELT AND ACTED DURING THE WAR FOR THE UNION (1965).

<sup>64</sup> See, David Brion Davis, THE PROBLEM OF SLAVERY IN THE AGE OF EMANCIPATION (2014) (discussing the role of slaves and former slaves in advancing abolition). See also, Frederick Douglass, The Constitution of the United States: Is It Pro-slavery or Anti-slavery? (March 26, 1860), in FREDERICK DOUGLASS: SELECTED SPEECHES AND WRITING 380-90 (ed. Philip S. Foner) (Chicago: Lawrence Hill Books, 1999).

<sup>65</sup> See, SOUTH CAROLINA HOUSE JOURNAL, Special Session 46 (1868) (majority black legislative assembly voting to ratify the Fourteenth Amendment).

<sup>66</sup> See, JOINT COMMITTEE, PROPOSED CONSTITUTIONAL AMENDMENT, April 25, 1866 (Joint Committee voting to adopt Ohio Republican John Bingham’s draft of what became Section One of the Fourteenth Amendment).

<sup>67</sup> See, Frederick Douglass, *Speech at Southern Loyalist Convention*, Philadelphia, Pa. (Sept. 6, 1866), in *National Anti-Slavery Standard*, Sept. 22, 1866, 1.