

No. _____

In the Supreme Court of the United States

In Re Gerald Nelson

**PETITION FOR A WRIT OF MANDAMUS
TO THE UNITED STATES COURT OF
FOR THE SECOND CIRCUIT**

**SUPPLEMENTAL
APPENDIX TO THE WRIT OF MANDAMUS**

Gerald Nelson
Pro Se/Petitioner
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Brooklyn, New York 11233
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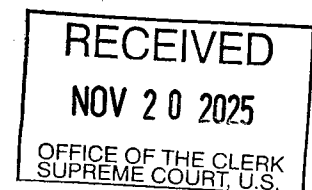


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SA-1

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

GERALD NELSON,

Plaintiff,

-vs-

NEW YORK CITY TRANSIT AUTHORITY,
DEPARTMENT OF BUSES (EAST NEW
YORK DEPOT)

Defendant.

Civ.

**DEFENDANT'S NOTICE OF
REMOVAL**

(New York Supreme Court,
County of Kings, Index No.
537/2022)

TO: The Honorable Judges of the United States District
Court for the Eastern District of New York

PLEASE TAKE NOTICE that Defendant New York City Transit Authority (*i/p/a* "New York City Transit Authority, Department of Buses (East New York Depot)") ("Defendant"), by and through its undersigned attorneys, Proskauer Rose LLP, files this Notice of Removal of the above-captioned action from the Supreme Court of the State of New York, County of Kings, to the United States District Court for the Eastern District of New York pursuant to Section 301 of the Labor Management Relations Act, 29 U.S.C. § 185(a) ("LMRA") and 28 U.S.C. §§ 1331, 1441 and 1446, and now state:

Introduction

1. On August 25, 2022, *pro se* Plaintiff, Gerald Watson, commenced an action in the Supreme Court for the State of New York, County of Kings, Index No. 537/22 ("State Court Action") by filing a Summons with Notice and Request for Judicial Intervention. The action is

pending in that court. No substantive proceeding have been had therein. The Defendant in the State Court Action is New York City Transit Authority.

2. For the reasons set forth below, this Court has original jurisdiction over the action pursuant to Section 301 of the LMRA, and 28 U.S.C. §§ 1331, 1441 and 1446.

Procedural History

3. On September 14, 2022, Plaintiff served a non-party affiliate of Defendant with the filed Summons with Notice, filed Request for Judicial Intervention, unfiled Supplemental Summons, and unfiled Verified Complaint. Attached as **Exhibit A** is an index of the State Court Action filings to date. Pursuant to 28 U.S.C. § 1446(a), attached as **Exhibit B** and listed below are all “process, pleadings, and orders” in the State Court Action to date.

B-1: Filed Summons with Notice, dated August 25, 2022, filed August 25, 2022;

B-2: Filed Request for Judicial Intervention, dated August 25, 2022, filed August 25, 2022;

B-3: Unfiled Supplemental Summons with Complaint, dated September 14, 2022; and

B-4: Unfiled Verified Complaint, dated September 14, 2022.

4. On September 30, 2022, Defendant received the filed Summons with Notice, filed Request for Judicial Intervention, unfiled Supplemental Summons, and unfiled Verified Complaint from its non-party affiliate.

5. On October 4, 2022, Defendant advised Plaintiff that it would accept service of the filed Summons with Notice, filed Request for Judicial Intervention, unfiled Supplemental Summons, and unfiled Verified Complaint effectuated on its non-party affiliate on September 14, 2022.

6. On October 5, 2022, Defendant filed a motion in the State Court Action for an order pursuant to New York Civil Practice Rules §2004 and 3012(d) for an extension of time to respond to the Verified Complaint in light of the anticipated Notice of Removal. Attached as **Exhibit C** is Defendant's moving papers for said motion. Except for said motion, Defendant has not filed any pleadings or papers to date in the State Court Action.

The Parties

7. Defendant is a public benefit corporation of the State of New York (N.Y. Pub. Auth. Law § 1200 *et seq.*) that operates bus service in New York City. (*See* Ex. B-4 at ¶ 5.)

8. Plaintiff is a former employee of Defendant and, as an employee, was represented by non-party the Transport Workers Union of Greater New York, AFL-CIO, Local 100 ("TWU"). (*See id.*)

9. At all relevant times to this action, Defendant and the TWU were parties to a Collective Bargaining Agreement ("CBA") that governed the terms and conditions of Plaintiff's employment. (*See id.*)

Federal Subject Matter Jurisdiction

10. 28 U.S.C. § 1331 provides that, "...[t]he district courts shall have original jurisdiction of all civil actions arising under the Constitution, laws, or treaties of the United States."

11. In the Verified Complaint, Plaintiff alleges to:

[B]ring[] this action under, [sic] 301 of the Labor Management Relations Act (29 U.S.C.A. 185; Fed R. Civ. P 8(a)) referred to as the Act, to recover damages for plaintiff's unlawful discharge by defendants [sic] New York City Transit Authority, Department of Buses, East New York Depot, (defendants [SIC] Company), plaintiff's [SIC] employer, and for breach by defendants Transport Workers Union of America, AFL-CIO, Local 100 (defendant Union) of its duty of fair representation owing to plaintiff, and for reinstatement by plaintiff's employer.

See Exhibit B-4 at 1.

12. Because the Verified Complaint expressly invokes 29 U.S.C.A. 185 as the basis for a claim therein, this Court has original jurisdiction pursuant that provision and 28 U.S.C. § 1331. Therefore this action is properly removed to this Court pursuant to 28 U.S.C. § 1441.¹

Timeliness of Removal

13. 28 U.S.C. § 1446(b)(1) provides that "...notice of removal of a civil action or proceeding shall be filed within 30 days after the receipt by the defendant, through service or otherwise, of a copy of the initial pleading setting forth the claim for relief upon which such action or proceeding is based...."

14. The date of filing of this Notice of Removal is within 30 days of the non-party affiliate of Defendant receiving the filed Summons with Notice, filed Request for Judicial Intervention, unfiled Supplemental Summons, and unfiled Verified Complaint and, therefore, this Notice of Removal is being filed in a timely manner pursuant to 28 U.S.C. § 1446(b).

Venue

15. 28 U.S.C. § 1441(a) provides that, "...any civil action brought in a State court of which the district courts of the United States have original jurisdiction, may be removed by the defendant or the defendants, to the district court of the United States for the district and division embracing the place where such action is pending."

16. This Court is the district court embracing the Supreme Court for the State of New York, County of Kings and, therefore, venue is proper in this Court pursuant to 28 U.S.C. § 1441(a).

¹ There are no state or common law claims alleged in the Verified Complaint. To the extent that the Verified Complaint is interpreted as raising state or common law claims such claims are related to Plaintiff's federal claim by subject matter, time period and/or alleged action and, therefore, this Court may exercise supplemental jurisdiction over these related state, state constitutional, and common law claims pursuant to 28 U.S.C. § 1367(a).

Non-Waiver of Defenses

17. By filing this Notice of Removal, Defendant does not waive any defenses which may be available to them, nor do they waive any rights to appeal.

18. By filing this Notice of Removal, Defendant does not admit any of the allegations in Plaintiff's Verified Complaint.

Conclusion

19. Based on the foregoing, because Plaintiff brings his claim under the laws of the United States this action may be removed to this Court pursuant to Section 301 of the LMRA and 28 U.S.C. §§ 1331, and 1441.

20. Promptly after filing this Notice of Removal, a true copy of this Notice will be given to Plaintiff and filed with the Supreme Court of the State of New York, County of New Kings, pursuant to 28 U.S.C. § 1446(d).

21. Accompanying this Notice of Removal is a Civil Cover Sheet.

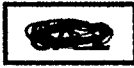
WHEREFORE, Defendant respectfully prays that this action proceed in this Court as a matter properly removed to this Court.

Dated: New York, New York
October 12, 2022

PROSKAUER ROSE LLP
Attorneys for Defendant
New York City Transit Authority

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SA-2



SUPREME COURT OF THE STATE OF NEW YORK

COUNTY OF KINGS

-----X
GERALD NELSON

Plaintiff,

VERIFIED COMPLAINT

Index No. 537/2022

(attached exhibits A and B

A. Stipulation signed 3/10/22

B. unemployment notice of

Determination dated: 09/09/22)

(verification attached)

-against-

NEW YORK CITY TRANSIT AUTHORITY,
DEPARTMENT OF BUSES (EAST NEW YORK DEPOT)

Defendant,
-----X

1. Plaintiff brings this action under, 301 of the Labor Management Relations Act (29 U.S.C.A 185; Fed. R. Civ. P. 8 (a)) referred to as the Act, to recover damages for plaintiff's unlawful discharge by defendants New York City Transit Authority , Department of Buses ,East New York Depot, (defendants Company)plaintiff's employer, and for breach by defendants Transport Workers Union of America, AFL-CIO, Local 100 (defendant Union) of its duty of fair representation owing to plaintiff, and for reinstatement by plaintiff's employer.

2. Defendants Company New York City Transit Authority ("Transit") is a corporation organized and existing under the laws of the State of New York, within

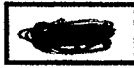


the territorial jurisdiction of this court. This defendant transacts business in the state of New York, and is an employer within the meaning of Section 2(2) of the ("NLRA"), 29 U.S.C. 152 (2), and , within the meaning of 29 U.S.C.A. 185.

3. Defendants Local 100 Transport Workers of America AFL-CIO, (" Local 100") is a "labor organization" within the meaning of Section 2(5) of the National Labor Relations Act ("NLRA"), 29 U.S.C. 152 (2).

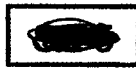
4. Plaintiff was employed by defendant Transit on February 28, 2021, as a Bus operator of Transit, and was continuously in defendant's employ in that classification until August 18, 2022, when plaintiff was unlawfully discharged by defendant, as is more specifically alleged in this complaint.

5. On 1999 to 2023 (Ratifications), defendants entered into a collective Bargaining agreement covering the employees, including plaintiff, in the bargaining unit, which agreement was in force during the entire period involved in this complaint. Both defendants have copies of the agreement and for that reason none is attached to this complaint. The agreement was entered into by the defendant for the benefit of the employees in the bargaining unit, and plaintiff, as a member, is accordingly entitled to the benefit of the agreement and to enforce its provisions.



6. Section 2.1 Grievance and Arbitration , Disciplinary Grievance Procedures 1,2,3-a,b,c. One and Two states 1. "It is understood that the right to discharge or discipline employees for cause and to maintain discipline and efficiency of employees is the responsibility of the Authority. The Authority shall be guided by a policy of progressive discipline in the administration of its disciplinary procedures. As such, penalties will be evaluated in accordance with the principle of progressive discipline, and as further elaborated in the January 26, 1995 Daniel Collins arbitration award, attached hereto as Appendix H." 2. "The Disciplinary procedure set forth in this section shall be in lieu of any other disciplinary procedure that may have previously applied to an employee covered by this Agreement including but not limited to the procedure specified in Sections 75 and 76 of the civil Service Law and shall apply to all persons who but for this procedure would be subject to Sections 75 and 76 of the Civil Service Law. This procedure shall not apply to probationary, provisional, part time or temporary employees."

7. As alleged above, the plaintiff was discharged by the defendant company on August 18,2022. There was no notice, Plaintiff was verbally told

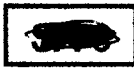


you are terminated by supertendent Boston. Plaintiff was never given a reason. Plaintiff alleges that the true reason for his discharge was that a stipulation of extension was made by the defendants,that made him an improper probationary employee. (stipulation exhibit A)

8. Plaintiff was taken from a civil service list. So Plaintiff was a civil service employee.plaintiff position is regulated by the civil service law of the state of New York and the Civil service Regulations , and also the New york City Personnel Rules.

9. Plaintiff's position as bus operator was for a one year probationary period. Since Plaintiff was appointed on February 28, 2021, plaintiff is scheduled to serve until February 28th, 2022 for Plaintiffs probationary period. Under MTA or any other city agency can extend the probationary period up to a period of six months. Beyond that you either have to fire the probationary employee or if you don't fire the probationary employee during the one year period, the probationary employee automatically becomes a permanent employee. (unemployment determination exhibit B)

10, Personnel Services Bulletin (PSBs) 200-6, Source: Personnel



Rules and Regulations of the City of New York 5.2,6.1.1.6,6.2.2 and 6.6.3, General Examination Regulations E.20; New York Civil Service Law Sections 63 and 81.4; New York Military Law Section 243.9: and Citywide Agreement, Dated December 3, 2021. Rule C. Extension of the Probationary Period , No. 1-b states "For extension of probation pursuant to (PRR Rule 5.2.8(a),at least one month prior to the completion of the original probationary period, the agency must notify the employee in writing that the employee's probationary period will be be extended.Where the probationary period is extended pursuant to Rule 5.2.8 (b), the extension is automatic and does not require notification to the employee". Plaintiff extension was made , on the last day of his probation, in violation of the above rules.

11. Plaintiff protested a stipulation agreement , to extend his probation on March 10, 2022. Plaintiff filed a grievance ,but was contacted by no one who would help him.

12. On September 8, , Plaintiff was informed by Union member Ron Carter(Brooklyn Division Union head) and told that it was official, that Zerega Ron Howard(Probationary head). said I could not return to work.

13. Plaintiff alleges the fact that defendant Union, in breach of statutory

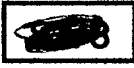


duty of fair representation owing to plaintiff under the provisions of the Act, conspired to permit plaintiff's discharge to stand, although there was no just cause for the discharge; that the negotiation between defendants with respect to plaintiff's stipulation (extension), were spurious, carried on in bad faith, and deliberately designed to give plaintiff the false impression that a sincere effort was being made by defendants to resolve the plaintiff's improper probationary status, after termination.

14. By attempting to elicit help from the Union, Plaintiff exhausted the remedies provided by the CBA. The only other alternative for Plaintiff was to file a civil action.

15. As a result of plaintiff's discharge by defendant Company in violation of Plaintiff's rights under the collective bargaining agreement, and in breach by Union of its duty of fair representation owing to plaintiff, as alleged above, plaintiff has suffered grievous and extensive damages as follows: back pay, front pay, compensatory damages for emotional stress, loss of quality of life and loss of enjoyment of life and damages to plaintiff's professional reputation, punitive damages, nominal damages, prejudgement interest and cost of legal fees.

16. Plaintiff desires to be reinstated to his former job at defendant



Company and alleges that he is entitled to such reinstatement retroactive to the date of his discharge, without any break in his seniority, together with any other relief the Court finds to be just and proper.

Dated: September 14, 2022

Gerald Nelson
Plaintiff
293 Ralph Avenue 2 FL
Brooklyn, Ny 11233
Tel. 347-737-2217



VERIFICATION

Gerald Nelson, being duly sworn, deposes and says:

I am the plaintiff in the above-entitled action. I have read the foregoing complaint and know the contents thereof. The same are to my knowledge, except as to matters therein stated to be alleged on information and belief and as to those matters I believe them to be true.

Gerald Nelson
293 Ralph Avenue
Brooklyn, New York
11233
Tel. 347-737-2217

Sworn to before me this
14 Day of September 2022

Notary Public

CLASSIE DOCKERY
COMMISSIONER OF DEEDS
City of New York - No. 212903
Qualified in Kings County
Commissioner Expires December 1, 2022

SA-3

Carol Hamilton

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

GERALD NELSON

Plaintiff,

AMENDED COMPLAINT

Demand for Jury Trial

22 CV 6112 (RPK)(LB)

(attached magistrate Judge Lois Bloom

-against- Order dated October 27, 2022)

NEW YORK CITY TRANSIT AUTHORITY,
DEPARTMENT OF BUSES (EAST NEW YORK DEPOT),
and TRANSPORTATION WORKERS UNION LOCAL 100
Defendants,

1. Plaintiff brings this action under, 301 (a),(b) of the Labor Management Relations Act (29 U.S.C.A 185; Fed. R. Civ. P. 8 (a)) referred to as the Act, to recover damages for plaintiff's wrongful discharge, , by defendants New York City Transit Authority , Department of Buses ,East New York Depot, (defendants Company)plaintiff's employer, and for breach by defendants Transport Workers Union of America, AFL-CIO, Local 100 (defendant Union) of its duty of fair representation owing to plaintiff, and for reinstatement by plaintiff's employer.

2. Defendants Company New York City Transit Authority ("Transit") is a corporation organized and existing under the laws of the State of New York, within the territorial jurisdiction of this court. This defendant transacts business in the

state of New York, and is an employer within the meaning of Section 2(2) of the ("NLRA"), 29 U.S.C. 152 (2), and , within the meaning of 29 U.S.C.A. 185, 29 U.S. CODE 203.

3. Defendants Local 100 Transport Workers of America AFL-CIO, (" Local 100") is a "labor organization" within the meaning of Section 2(5) of the National Labor Relations Act ("NLRA"), 29 U.S.C. 152 (2).

4. Plaintiff was employed by defendant Transit on February 28, 2021, as a Bus operator of Transit, and was continuously in defendant's employ in that classification until August 18, 2022, when plaintiff was unlawfully discharged by defendant, as is more specifically alleged in this complaint.

5. On 1999 to 2023 (Ratifications), defendants entered into a collective Bargaining agreement covering the employees, including plaintiff, in the bargaining unit, which agreement was in force during the entire period involved in this complaint. Both defendants have copies of the agreement and for that reason part and section 2.1-grievance and arbitration procedures is attached to this complaint. The agreement was entered into by the defendant for the benefit of the employees in the bargaining unit, and plaintiff, as a member, is accordingly entitled to the benefit of the agreement and to enforce its provisions. (EXhibit A section 2.1- Grievance

and Arbitration)

6. Section 2.1 Grievance and Arbitration , Disciplinary Grievance Procedures 1,2,3-a,b,c. One and Two states 1. "It is understood that the right to discharge or discipline employees for cause and to maintain discipline and efficiency of employees is the responsibility of the Authority. The Authority shall be guided by a policy of progressive discipline in the administration of its disciplinary procedures. As such, penalties will be evaluated in accordance with the principle of progressive discipline, and as further elaborated in the January 26, 1995 Daniel Collins arbitration award, attached hereto as Appendix H." 2. "The Disciplinary procedure set forth in this section shall be in lieu of any other disciplinary procedure that may have previously applied to an employee covered by this Agreement including but not limited to the procedure specified in Sections 75 and 76 of the civil Service Law and shall apply to all persons who but for this procedure would be subject to Sections 75 and 76 of the Civil Service Law. This procedure shall not apply to probationary, provisional, part time or temporary employees."

7. As alleged above, the plaintiff was discharged by the defendant company on August 18,2022. There was no notice, Plaintiff was verbally told

you are terminated by superintendent Boston. Plaintiff was never given a reason. Plaintiff alleges that the true reason for his discharge was that a stipulation of extension was made by the defendants, that made him an improper probationary employee. (stipulation Exhibit B)

8. Plaintiff was taken from a civil service list. So Plaintiff was a civil service employee. Plaintiff position is regulated by the civil service law of the state of New York and the Civil service Regulations, and also the New York City Personnel Rules.

9. Plaintiff's position as bus operator was for a one year probationary period. Since Plaintiff was appointed on February 28, 2021, plaintiff is scheduled to serve until February 28th, 2022 for Plaintiff's probationary period. Under MTA or any other city agency can extend the probationary period up to a period of six months. Beyond that you either have to fire the probationary employee or if you don't fire the probationary employee during the one year period, the probationary employee automatically becomes a permanent employee. (Exhibit C Probationary Termination union signature Clark 8/18/2022)

10, Personnel Services Bulletin (PSBs) 200-6, Source: Personnel

Rules and Regulations of the City of New York 5.2,6.1.1.6,6.2.2 and 6.6.3, General Examination Regulations E.20; New York Civil Service Law Sections 63 and 81.4; New York Military Law Section 243.9: and Citywide Agreement, Dated December 3, 2021. Rule C. Extension of the Probationary Period , No. 1-b states "For extension of probation pursuant to (PRR Rule 5.2.8(a),at least one month prior to the completion of the original probationary period, the agency must notify the employee in writing that the employee's probationary period will be extended.Where the probationary period is extended pursuant to Rule 5.2.8 (b), the extension is automatic and does not require notification to the employee". Plaintiff extension was made , on the last day of his probation, in violation of the above rules.

11. Plaintiff protested a stipulation agreement , to extend his probation on March 10, 2022. Plaintiff filed a grievance ,but was contacted by no one who would help him.(see Grievance exhibit D)

12. On September 8,2022 Plaintiff was informed by Union member Ron Carter(Brooklyn Division Union head) and told that it was official, that Zerega Ron Howard(Probationary head). said I could not return to work.

13. Plaintiff alleges the fact that defendant Union, in breach of statutory duty of fair representation owing to plaintiff under the provisions of the Act, conspired to permit plaintiff's discharge to stand, although there was no just cause for the discharge; that the negotiation between defendants with respect to plaintiff's stipulation (extension), were spurious, carried on in bad faith, and deliberately designed to give plaintiff the false impression that a sincere effort was being made by defendants to resolve the plaintiff's improper probationary status, after termination.

14. Plaintiff states that said Defendants (caption) by and through its agents Claude Boston (superintendent), Domingo Henriquez (superintendent that signed the stipulation under the office of labor relations, forged an unknown name John Doe) and Javier Oquedo (chairman), willfully, wantonly, maliciously, and unlawfully agreed and conspired among themselves to cause a breach of contract and wrongful termination of Plaintiff, since on or about March 10, 2022, to abandon his rights under the exciting contract and personnel bulletin rules of New York Transit Authority ('Transit'). Plaintiff states the Union TWU 100 ("TWU") breach its duty of fair representation by ignoring Plaintiff's grievance (arbitrary, discriminatory, bad faith) and Transit breach the

collective bargaining agreement.

15. By attempting to elicit help from the Union, Plaintiff exhausted the remedies provided by the CBA. The only other alternative for Plaintiff was to file a civil action.

16. As a result of plaintiff's discharge by defendant Company in violation of Plaintiff's rights under the collective bargaining agreement, and in breach by Union of its duty of fair representation owing to plaintiff, as alleged above, relief sought is back pay, front pay, compensatory damages in the amount of \$ 500,000, loss of quality of life and loss of enjoyment of life \$100,000, and damages to plaintiff's professional reputation \$100,000, punitive damages \$2,000,000, nominal damages, prejudgement interest and cost of legal fees.

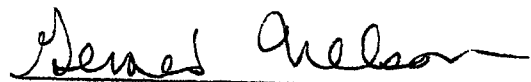
16. Plaintiff desires to be reinstated to his former job at defendant Company and alleges that he is entitled to such reinstatement retroactive to the date of his discharge, without any break in his seniority, together with any other relief the Court finds to be just and proper. Plaintiff request for Jury Trial. (relevant case Vaca v. Sipes 386 U.S. 171 (1967), Hines v. Anchor motor freight, inc. 424 U.S. 554 (1976), Chauffeurs Local 391 v.

Terry 494 U.S. 558 (1990).)

17. Plaintiff request for such other and further relief as the Court may deem appropriate.

18. Plaintiff hereby demands a jury trial on all issues triable as of right to a jury. Fed. R. Civ. P. 38(b). (law and facts)
(attached is verification of complaint)

Dated: November 23, 2022



Gerald Nelson

Plaintiff

293 Ralph Avenue 2 FL.

Brooklyn, Ny 11233

Tel. 347-737-2217

SA-4



UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

-----X
GERALD NELSON,

Plaintiff,

-against-

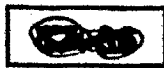
NEW YORK CITY TRANSIT AUTHORITY,
DEPARTMENT OF BUSES (EAST NEW
YORK DEPOT) and
TRANSPORTATION WORKERS
UNION LOCAL 100,

Defendants.

**REPORT AND RECOMMENDATION
22 CV 6112 (RPK)(LB)**

-----X
BLOOM, United States Magistrate Judge:

Plaintiff Gerald Nelson, proceeding *pro se*, brings this hybrid § 301/duty-of-fair-representation claim ("hybrid claim") against defendants New York City Transit Authority, Department Of Buses (East New York Depot) ("NYCTA") and Transportation Workers Union Local 100 ("TWU") under § 301 of the Labor Management Relations Act ("LMRA"), 29 U.S.C. § 185, and the National Labor Relations Act ("NLRA"), 29 U.S.C. § 151 et seq. Defendants move to dismiss plaintiff's amended complaint under Federal Rules of Civil Procedure 12(b)(1) for lack of subject matter jurisdiction and 12(b)(6) for failure to state a claim. The Honorable Rachel P. Kovner referred defendants' motions to me for a Report and Recommendation in accordance with 28 U.S.C. § 636(b). For the reasons stated in this Report, it is respectfully recommended that defendants' motions should be granted in part and denied in part: plaintiff's amended complaint should be dismissed for failure to state a claim.



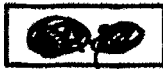
BACKGROUND

Plaintiff worked for defendant NYCTA as a bus operator from February 28, 2021 until August 18, 2022, when he was terminated. ECF No. 18 [“Am. Compl.”] ¶¶ 4, 7. During his employment, plaintiff was represented by defendant TWU, his former union, pursuant to a collective bargaining agreement (“CBA”) “entered into by the defendant for the benefit of the employees in the bargaining unit,” including plaintiff. Id. ¶ 5. Among other things, the CBA establishes a disciplinary procedure and allows employees to bring a “Disciplinary Grievance” when there has been “a violation of the employee’s contractual rights with respect to a disciplinary action” (including termination). Am. Compl., Ex. A § 2.1(A)(2). Under the CBA, however, the disciplinary procedure does not apply to probationary employees. Id.; see also ECF No. 27 [“Opp.”], Ex. E § 2.1(C)(2).

Plaintiff’s probationary period initially ran from February 28, 2021 through February 28, 2022. Am. Compl. ¶ 9. “[O]n the last day of [plaintiff’s] probation,” plaintiff “was made” to sign a stipulation (hereafter “Stipulation”) which provided that “in lieu of termination,” plaintiff’s probationary period would be extended.¹ Id. ¶ 10; Am. Compl., Ex. B. Plaintiff signed the Stipulation on February 28, 2022, and a second time on March 10, 2022.² Id., Ex. B. Plaintiff alleges that the extension of his probation violated New York City personnel rules and regulations because it occurred on the last day of his probationary period and without the required one month written notice. Id. ¶ 10 (citing Personnel Services Bulletin 200-6). He further asserts that “the negotiation between defendants with respect to plaintiff’s stipulation” was “spurious” and in bad

¹ The document was executed in part on February 28, 2022 and on March 10, 2022. The Stipulation states that plaintiff’s probationary period “will be extended . . . from April 25, 2022 to October 25, 2022.” Am. Compl., Ex. B.

² The document is also signed by representatives of defendant TWU, the NYCTA department of buses and the office of labor relations, although plaintiff asserts the last signature was “forged . . .” Am. Compl. ¶ 13; Am. Compl., Ex. B.



faith, “deliberately designed to give plaintiff the false impression that a sincere effort was being made” to resolve his “improper probationary status” Id. ¶ 13.

Believing the Stipulation to be fraudulent and unlawful, plaintiff “protested [the] stipulation agreement . . . on March 10, 2022.” Id. ¶ 11. Plaintiff sought the assistance of a TWU union representative, who told plaintiff that he could not file a grievance. *Opp., Ex. A.* Nevertheless, plaintiff mailed a grievance, postmarked April 7, 2022, seeking the revocation of the Stipulation, id., but “was contacted by no one who would help him.” *Am. Compl.* ¶ 11. On August 18, 2022, defendant NYCTA terminated plaintiff. Id. ¶ 7. Plaintiff had no notice and defendant NYCTA did not provide plaintiff with a reason for his termination. Id. Plaintiff alleges that he was terminated because the Stipulation “made him an improper probationary employee.” Id.

PROCEDURAL HISTORY

Plaintiff commenced this action in Kings County Supreme Court on August 25, 2022. ECF No. 1. Defendant NYCTA—then the only named defendant—removed the case to this Court on October 12, 2022. Id. The Court held an initial conference on October 27, 2022 and granted plaintiff leave to file an amended complaint. Plaintiff filed the amended complaint which added defendant TWU, ECF No. 18, on November 23, 2022. Defendants TWU and NYCTA now move to dismiss plaintiff’s amended complaint. ECF No. 25 (defendant NYCTA); ECF No. 26 (defendant TWU). Plaintiff opposes defendants’ motions, ECF No. 27, and defendants have replied. ECF Nos. 29, 31.

DISCUSSION

I. Standard of Review

A court reviewing a motion to dismiss must accept all factual allegations in the complaint as true and draw all reasonable inferences in the plaintiff’s favor. See Bell Atl. Corp. v. Twombly,



550 U.S. 544, 555–56 (2007); Koppel v. 4987 Corp., 167 F.3d 125, 130 (2d Cir. 1999). However, “the tenet that a court must accept as true all of the allegations contained in a complaint is inapplicable to legal conclusions.” Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009).

Under Rule 12(b)(6), a case is properly dismissed when the complaint fails to “state a claim to relief that is plausible on its face.” Ashcroft, 556 U.S. at 678 (quoting Twombly, 550 U.S. at 570) (“A claim has facial plausibility when the plaintiff pleads factual content that allows the court to draw the reasonable inference that the defendant is liable for the misconduct alleged.”). “Determining whether a complaint states a plausible claim for relief will be a context-specific task that requires the reviewing court to draw on its judicial experience and common sense.” Ashcroft, 556 U.S. at 678.

The standard of review for a motion to dismiss under Rules 12(b)(1) and 12(b)(6) are substantively identical. Moore v. PaineWebber, Inc., 189 F.3d 165, 169 n.3 (2d Cir. 1999). On a motion to dismiss under 12(b)(1), however, the party invoking the Court’s jurisdiction bears the burden of proof to show that subject matter jurisdiction exists, while the movant bears the burden of proof on a motion to dismiss under Rule 12(b)(6). Fountain v. Karim, 838 F.3d 129, 134 (2d Cir. 2016) (citing Makarova v. United States, 201 F.3d 110, 113 (2d Cir. 2000)); McCray v. Lee, No. 16-CV-1730, 2017 WL 2275024, at *2 (S.D.N.Y. May 23, 2017).³

In addition to the complaint, the Court may consider documents attached to the complaint, documents incorporated by reference therein, or documents that the complaint “relies heavily upon” and are “integral” to the complaint, even if not incorporated by reference. Chambers v. Time Warner, Inc., 282 F.3d 147, 153 (2d Cir. 2002). The Court “may also consider ‘factual allegations made by a *pro se* party in his papers opposing the motion’” to dismiss. Antrobus v. City of New

³ The Clerk of Court is respectfully directed to send plaintiff the attached copies of all the unreported cases cited herein.



York, No. 19-CV-6277, 2021 WL 848786, at *3 (E.D.N.Y. Mar. 5, 2021) (quoting Walker v. Schult, 717 F.3d 119, 122 n.1 (2d Cir. 2013)).

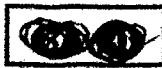
II. Plaintiff's Hybrid Claim

Plaintiff alleges that defendant TWU violated its federal duty of fair representation (“DFR”) by failing to grieve the improper extension of his probation and that defendant NYCTA violated the CBA when it terminated him without notice or cause.⁴ “[A] suit in which an employee alleges that an employer has breached a CBA and that a union has breached its duty of fair representation by failing to enforce the CBA is known as a ‘hybrid § 301/fair representation claim.’” Acosta v. Potter, 410 F. Supp. 2d 298, 308 (S.D.N.Y. 2006) (citing DelCostello v. Int’l Bhd. of Teamsters, 462 U.S. 151, 164–65 (1983)). Section 301 of the LMRA provides that “an individual employee may bring suit against his employer for breach of a collective bargaining agreement.” DelCostello, 462 U.S. at 163 (1983) (citation omitted); see 29 U.S.C. § 185(a). A union’s “duty of fair representation is a ‘statutory obligation’ under the NLRA, requiring a union ‘to serve the interests of all members without hostility or discrimination, to exercise its discretion with complete good faith and honesty, and to avoid arbitrary conduct.’”⁵ Fowlkes v. Ironworkers

⁴ While plaintiff asserts his “discharge by defendant [NYCTA was] in violation of Plaintiff’s rights under the collective bargaining agreement,” am. compl. ¶ 16, he acknowledges his claim hinges on the extension of his probationary period in February 2022. Id. ¶ 7 (“Plaintiff alleges that the true reason for his discharge was that a stipulation of extension was made by the defendants, that made him an improper probationary employee.”) (emphasis added). If plaintiff was a probationary employee when he was terminated, there is no dispute that NYCTA was within the bounds of the CBA to fire plaintiff without notice or cause.

Plaintiff does not allege that the CBA required defendant NYCTA to follow certain procedures prior to extending his probationary period. Rather, plaintiff asserts that the extension was “illegal,” opp. at 8, because it “was made, on the last day of his probation, in violation” of New York City rules. Am. Compl. ¶ 10. Plaintiff cites Personnel Services Bulletin (“PSB”) 200-6, which requires, among other things, that “one month prior to the completion of the original probationary period, the agency must notify the employee in writing that the employee’s probationary period will be be [sic] extended.” See id. (quoting PSB 200-6). However, a violation of this PSB does not give rise to a federal claim. While plaintiff asserts that defendants did not comply with PSB 200-6 when extending his probation, “[s]uch a deviation does not amount to a federal constitutional due process violation[.]” Green v. Dep’t of Educ. of City of New York, 16 F.4th 1070, 1077 (2d Cir. 2021). For the reasons stated in this Report, plaintiff cannot challenge the extension of his probationary period under the LMRA.

⁵ The union’s duty is implied by the structure of the National Labor Relations Act, which allows “a single labor organization to represent collectively the interests of all employees within a unit, thereby depriving individuals in the unit of the ability to bargain individually or to select a minority union as their representative. In such a system, if



Loc. 40, 790 F.3d 378, 387 (2d Cir. 2015) (quoting Vaca v. Sipes, 386 U.S. 171, 177 (1967)) (cleaned up); see 29 U.S.C. § 185(b).

Although a hybrid 301/DFR claim, “as a formal matter, comprises two causes of action[,]” DelCostello, 462 U.S. at 164, “[a]n employee’s duty-of-fair-representation claim against his labor union is derivative of – that is, ‘inextricably interdependent’ with – his claim against his employer under section 301 of the LMRA.” Jusino v. Fed’n of Cath. Tchrs., Inc., 54 F.4th 95, 101 (2d Cir. 2022), *cert. denied*, 143 S. Ct. 1056 (2023) (quoting DelCostello, 462 U.S. at 164). “[T]o prevail the employee must not only show that his discharge was contrary to the contract, but must also carry the burden of demonstrating breach of duty by the [u]nion.” Carrion v. Enter. Ass’n, Metal Trades Branch Loc. Union 638, 227 F.3d 29, 33 (2d Cir. 2000) (quotation and citation omitted).

a. Plaintiff is Not Covered by the LMRA and NLRA

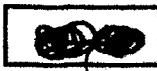
Here, because plaintiff was a public employee, plaintiff is “not covered by the NLRA” and therefore cannot bring a § 301 claim under the LMRA. Green, 16 F.4th at 1075. The NLRA’s definition of “employee”—which the LMRA incorporated—excludes individuals who work for “any State or political subdivision thereof” as such entities do not fall within the statute’s definition of “employer.” 29 U.S.C. § 152(2), (3); see also Cunningham v. Loc. 30, Int’l Union of Operating Engineers, 234 F. Supp. 2d 383, 395 (S.D.N.Y. 2002) (“The National Labor Relations Act’s definitions apply to the LMRA.”) (internal citations omitted). “For this reason, public employees may not bring federal duty-of-fair representation claims . . . or claims under Section 301 of the LMRA. . . .” Malast v. Civ. Serv. Emps. Ass’n, Inc., 474 F. App’x 829, 829 (2d Cir. 2012)

individual employees are not to be deprived of all effective means of protecting their own interests, it must be the duty of the representative organization” to fairly represent all members. DelCostello, 462 U.S. at 164 n.14 (citations omitted).

(summary order).⁶ In other words, to state a hybrid claim, a plaintiff must allege that he (1) “work[ed] for an ‘employer’—that is, an entity that is **not** a ‘political subdivision of the State,’” and (2) “participate[d] in a ‘labor organization’ that deals with that employer.” Baumgart v. Stony Brook Children's Serv., P.C., 249 F. App'x 851, 852 (2d Cir. 2007) (summary order) (emphasis added).

Plaintiff is not considered an “employee” under the LMRA because he worked for the NYCTA, which, as a political subdivision of New York State, is not an “employer” under the Act. “‘Political subdivisions’ within the meaning of the LMRA are entities that are either ‘(1) created directly by the state, so as to constitute a department or administrative arm of the government, or (2) administered by individuals who are responsible to public officials or to the general electorate.’” Kristiansen v. Metropolitan Transit Authority No. 22-CV-5601, 2023 WL 2330380, at *2 (S.D.N.Y. Mar. 2, 2023) (quoting Temple Univ. Hosp., Inc. v. NLRB, 39 F.4th 743, 752 (D.C. Cir. 2022)). Applying this test, the Court in Kristiansen dismissed a § 301 claim against the NYCTA and the Metropolitan Transportation Authority (“MTA”) because “the MTA and the NYCTA have explicitly and repeatedly been held to be ‘political subdivisions’ of the State of New York.” Id. at *2 (collecting cases); see also Sales v. Clark, No. 14-CV-8091, 2017 WL 892609, at *5 (S.D.N.Y. Feb. 3, 2017), report and recommendation adopted, 2017 WL 924239 (S.D.N.Y. Mar. 6, 2017) (“The MTA and the NYCTA have explicitly been held to be political subdivisions of the State of New York for the purpose of the LMRA.”); Rome v. MTA/New York City Transit, No. 97-CV-2945, 1997 WL 1048908, at *6 (E.D.N.Y. Nov. 18, 1997) (“The NYCTA is, clearly, a political subdivision of the State of New York. . .”).

⁶ Some decisions cited in this Report reference a lack of “jurisdiction” when finding that public employees fall outside the scope of the LMRA and NLRA. As discussed in footnote seven, *infra*, the Second Circuit recently clarified that this is not jurisdictional but rather, a failure to state a claim. The Second Circuit did not disturb the analysis in earlier decisions as to why public employees are excluded from the LMRA.



Plaintiff does not dispute that defendant NYCTA is a political subdivision of New York, instead arguing that this Court has *jurisdiction* over his § 301 claim. Opp. at 11 (citing 28 U.S.C. § 1331). And defendants do indeed raise lack of subject matter jurisdiction as an alternative basis for dismissal of plaintiff's amended complaint. ECF No. 26 at 5; ECF No. 25-1 at 7 n.4. But this argument confuses two distinct concepts: "federal-court subject-matter jurisdiction over a controversy; and the essential ingredients of a federal claim for relief."⁷ Green, 16 F.4th at 1076 (quoting Arbaugh v. Y&H Corp., 546 U.S. 500, 503 (2006)). In Green, the Second Circuit clarified that whether a plaintiff is an "employee" as defined by the NLRA is not jurisdictional, but rather one of "the requirements of a cause of action under the NLRA" Id. at 1076. If a plaintiff "cannot allege that he is an employee under the NLRA, his complaint fails to state a claim for a violation of the statute" and should be "dismissed pursuant to Rule 12(b)(6)." Id. at 1075. Therefore, while this Court has jurisdiction over plaintiff's amended complaint, it should nevertheless be dismissed for failure to state a claim.

Plaintiff also argues that his "wrongful termination claim does not exist independent of any rights established by [t]he CBA and is [therefore] preempted by 301 of the LMRA." Opp. at 17, 12 ("Federal law completely preempts state law.")⁸ But preemption is not the issue. The issue is that plaintiff fails to state a claim against defendants under the LMRA.⁹ In any event, as plaintiff

⁷ This confusion is understandable because the Second Circuit has, itself, affirmed dismissal of a suit by a public employee under the LMRA for lack of jurisdiction. Green, 16 F.4th at 1076, n.1 (citing Ford v. D.C. 37 Union Local 1549, 579 F.3d 187 (2d Cir. 2009)). But as Green and Jusino make clear, this did not establish binding precedent. Id. (quotation and citation omitted); Jusino, 54 F.4th at 104-5 (quotation and citation omitted). The proper basis for dismissal of a hybrid claim brought against a state or its political subdivision is for failure to state a claim.

⁸ The Court references the ECF page numbers in plaintiff's opposition.

⁹ Granting defendants' motions does not render them "above the law," as plaintiff claims. Opp. at 10. As was explained at the initial conference, plaintiff may bring his claims under state law, including New York's Taylor Law; New York Civil Service Law § 200 *et seq.* Counsel for defendant NYCTA raised the Taylor Law as a possible avenue for relief with plaintiff at the initial conference, which was held prior to plaintiff filing the amended complaint. ECF No. 33 at 24:16-20; 25:5-9. ("[T]he New York State Taylor Law codifies the union's duty of fair representation to an employee, so there is a statutory claim that [plaintiff] could bring against the union, and the employer would be a statutory party to that claim. . . . So you could bring that state law claim in lieu of the federal claim. So naming the union doesn't change our argument that we're not subject to the federal law. We would instead say we were subject to the state



cannot bring a claim under the LMRA, any state law claims he might raise would not likely be preempted. Malast, 474 F. App'x at 829 (reversing district court's dismissal of public employee's state law claims as preempted by the LMRA because "Congress's explicit intention to exclude public employees like [plaintiff] from the ambit of federal regulation renders the . . . preemption holding untenable"); Beauchine v. City of Syracuse, No. 21-CV-845, 2022 WL 561548, at *17 (N.D.N.Y. Feb. 24, 2022) (finding "no basis on which to conclude that Plaintiff's state law claim of tortious interference is preempted by the LMRA" because she is a public employee "excluded" from the Act). Defendant NYCTA's motion to dismiss should therefore be granted because plaintiff cannot allege an essential element of his § 301 claim: that he was an employee within the meaning of the LMRA.

Plaintiff's inability to bring a § 301 claim against defendant NYCTA precludes his federal duty-of-fair-representation claim against defendant TWU. In a hybrid claim such as plaintiff's, the two causes of action rise and fall together: plaintiff only has a "viable duty-of-fair-representation claim against" his union "if he also has a viable section 301 claim against" his employer. Jusino 54 F.4th at 95. As plaintiff cannot state a § 301 claim against his former employer, he cannot state a federal duty-of-fair-representation claim against his former union. See id. at 103 (dismissing DFR claim because plaintiff's former employer was not "covered by the NLRA as amended by the LMRA[,] a fact which "squarely forecloses [plaintiff's] section 301 claim") (quotation, citation, and alteration omitted); Kristiansen, 2023 WL 2330380, at *3 ("Since plaintiff does not assert a viable claim against the [MTA and NYCTA], her claim against the TWU must also be

law."). After defendant argued that it is not subject to the LMRA, plaintiff stated: "I'm familiar with the Taylor Law, I'm familiar with the Wagner Act, I'm familiar with the 301 Labor Management Act, I'm familiar with Article 75, 78, so I'm familiar with what she's saying. It's not a new language." Id. 27:16-20. However, even though he stated he is familiar with those other laws, plaintiff cites only the LMRA in his amended complaint, and therefore the Court should not construe the amended complaint as raising a claim under the Taylor Law or any other state law. Negron v. City of New York, No. 10-CV-2757, 2011 WL 4737068, at *20 n.11 (E.D.N.Y. Sept. 14, 2011), report and recommendation adopted, 2011 WL 4729754 (E.D.N.Y. Oct. 6, 2011).



dismissed.”). For the reasons explained in this Report, plaintiff is not an employee covered by the NLRA. Plaintiff therefore fails to state a claim against defendant TWU for breach of its duty of fair representation. See Green, 16 F.4th at 1075-76 (dismissing DFR claim because plaintiff’s former employer was a political subdivision of New York State, and therefore plaintiff “cannot allege that he is an employee under the NLRA”); Baumgart v. Stony Brook Children’s Serv., P.C., No. 03-CV-5526, 2005 WL 2179429, at *6 (E.D.N.Y. Sept. 9, 2005), *aff’d*, 249 F. App’x 851 (2d Cir. 2007) (“[H]ybrid Section 301 suits do not lie against a union for breaching its duty of fair representation, if that duty arises out of a collective bargaining agreement with a public employer.”). Defendant TWU’s motion to dismiss plaintiff’s amended complaint for failure to state a claim should be granted.¹⁰

III. Leave to Amend

Although a court should not dismiss a complaint “without granting leave to amend at least once when a liberal reading of the complaint gives any indication that a valid claim might be stated[,]” courts may deny leave to replead where amending the complaint would be futile. Cuoco v. Moritsugu, 222 F.3d 99, 112 (2d Cir. 2000). Here, as plaintiff was indisputably a public employee, he “cannot plead any set of facts that would salvage [his] claims under the LMRA or the NLRA.” Kristiansen, 2023 WL 2330380, at *3 (denying leave to amend under similar circumstances). Therefore, plaintiff should not be granted leave to file a second amended complaint.

¹⁰ Plaintiff asserts for the first time in his opposition papers that he signed the Stipulation “under duress. . . .” Op. at 9. As plaintiff is a public employee excluded from the NLRA/LMRA, the Court does not reach the question of whether this allegation would state a claim that defendant TWU breached its duty of fair representation under state law.



CONCLUSION

Accordingly, defendants' motions to dismiss plaintiff's § 301 and federal duty-of-fair representation claims should be granted for failure to state a claim. Defendants' motions to dismiss for lack of subject matter jurisdiction should be denied.

FILING OF OBJECTIONS TO REPORT AND RECOMMENDATION

Pursuant to 28 U.S.C. § 636(b)(1) and Rule 72(b) of the Federal Rules of Civil Procedure, the parties shall have fourteen days from service of this Report to file written objections. See also Fed. R. Civ. P. 6. Such objections shall be filed with the Clerk of the Court. Any request for an extension of time to file objections must be made within the fourteen-day period. Failure to file a timely objection to this Report generally waives any further judicial review. Marcella v. Capital Dist. Physicians' Health Plan, Inc., 293 F.3d 42 (2d Cir. 2002); Small v. Sec'y of Health and Human Servs., 892 F.2d 15 (2d Cir. 1989); see Thomas v. Arn, 474 U.S. 140 (1985).

SO ORDERED.

/s/
LOIS BLOOM
United States Magistrate Judge

Dated: August 7, 2023
Brooklyn, New York

SA-5

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

-----X
GERALD NELSON,

Plaintiff,

v.

**ORDER ADOPTING REPORT
AND RECOMMENDATION**

22-CV-6112 (RPK) (LB)

NEW YORK CITY TRANSIT AUTHORITY,
DEPARTMENT OF BUSES (EAST NEW
YORK DEPOT); and TRANSPORTATION
WORKERS UNION LOCAL 100,

Defendants.

-----X
RACHEL P. KOVNER, United States District Judge:

Plaintiff Gerald Nelson filed this *pro se* lawsuit against defendants New York City Transit Authority, Department of Buses (East New York Depot) ("NYCTA") and Transportation Workers Union Local 100 ("TWU") under Section 301 of the Labor Management Relations Act ("LMRA"), 29 U.S.C. § 185, and the National Labor Relations Act ("NLRA"), 29 U.S.C. § 151 *et seq.* See Am. Compl. ¶¶ 1–3, 13 (Dkt. #18). Defendants moved to dismiss plaintiff's amended complaint for failure to state a claim and for lack of subject-matter jurisdiction, and the Court referred the motions to Magistrate Judge Bloom for a Report and Recommendation ("R. & R."). See Court Order dated 4/17/2023. On August 7, 2023, Judge Bloom issued her R. & R., recommending that defendants' motions be granted in part and denied in part and that plaintiff's amended complaint be dismissed for failure to state a claim. See R. & R. (Dkt. #34). Plaintiff filed timely objections to the R. & R. See Pl.'s Obj. to R. & R. ("Pl.'s Obj.") (Dkt. #35). For the reasons explained below, plaintiff's objections are overruled, and the R. & R. is adopted in full.

BACKGROUND

The following facts are taken from plaintiff's amended complaint and assumed true for the purposes of this order.

Plaintiff worked as a bus operator for NYCTA from February 2021 until August 2022. Am. Compl. ¶ 4. NYCTA has a collective bargaining agreement with TWU, which covered plaintiff while he was an employee. *Id.* ¶ 5.

NYCTA initially hired plaintiff for a one-year probationary period. *Id.* ¶ 9. In February 2022, at the end of the one-year period, NYCTA required plaintiff to sign a stipulation extending his probationary status. *See id.* ¶ 10. Plaintiff “protested” the stipulation and filed a grievance with TWU but did not receive a response. *Id.* ¶ 11. While TWU’s collective bargaining agreement with NYCTA establishes a disciplinary procedure allowing employees to file grievances, the procedure does not apply to probationary employees. Am. Compl., Ex. A. § 2.1(A)(2). In August 2022, NYCTA terminated plaintiff’s employment without notice or cause. Am. Compl. ¶ 7.

Plaintiff’s amended complaint brings a claim against NYCTA under Section 301 of the LMRA, alleging a violation of the collective bargaining agreement, *see id.* ¶¶ 1, 7, and a claim against TWU under the NLRA, alleging a violation of TWU’s duty of fair representation, *id.* ¶¶ 1, 13. Both defendants move to dismiss for failure to state a claim under Federal Rule of Civil Procedure 12(b)(6), asserting that, because plaintiff is a public employee, he is covered by neither the LMRA nor the NLRA. *See* Def. NYCTA’s Mem. of Law in Supp. of its Mot. to Dismiss (“NYCTA’s Mot. to Dismiss”) 1 (Dkt. #25-1); Mem. of Law in Supp. of Def. TWU’s Mot. to Dismiss the Am. Compl. (“TWU’s Mot. to Dismiss”) 4 (Dkt. #26-1). For the same reason, TWU also moves to dismiss for lack of subject-matter jurisdiction under Federal Rule of Civil Procedure 12(b)(1). TWU’s Mot. to Dismiss 5.

Judge Bloom’s R. & R. recommends granting the motions to dismiss under Rule 12(b)(6). Judge Bloom noted that, because plaintiff alleges both that his employer breached a collective bargaining agreement and that his union breached its duty of fair representation, plaintiff brings a “hybrid” claim under Section 301 of the LMRA and the NLRA. R. & R. 5. But, as Judge Bloom

next explained, neither the LMRA nor the NLRA apply to public employees who, like plaintiff, work for a political subdivision of the state. *Id.* 6. Judge Bloom therefore concluded that plaintiff could not bring a hybrid claim under either the LMRA or NLRA. *Id.* at 7.

Judge Bloom also declined to construe plaintiff's complaint as bringing a claim under New York's Taylor Law, N.Y. CIV. SERV. LAW § 200 *et seq.*, which covers public employees such as plaintiff. R. & R. 9 n.9. Judge Bloom noted that plaintiff was advised of the possibility of such a claim at a conference held before he filed his amended complaint. *Id.* at 8 n.9. Judge Bloom also noted that, at that conference, plaintiff stated he was familiar with the Taylor Law. *Id.* at 9 n.9. But because plaintiff's amended complaint cites only federal law, Judge Bloom concluded that it could not be fairly construed as raising a Taylor Law claim. *Ibid.*; *see generally* Am. Compl.

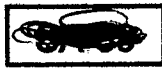
Finally, Judge Bloom explained that the question whether an employee is covered by the LMRA and NLRA is non-jurisdictional and that defendants' motions to dismiss should therefore be granted under Rule 12(b)(6) rather than 12(b)(1). *Id.* at 8. Judge Bloom further recommended that plaintiff be denied leave to amend. *Id.* at 10. Given that plaintiff was indisputably a public employee, Judge Bloom concluded that amendment would be futile. *Ibid.* Plaintiff timely objected to the R. & R. *See generally* Pl.'s Objs.

STANDARD OF REVIEW

The standard of review a district court should use when considering an order or recommendation from a magistrate judge depends on whether the issue "is dispositive of a party's claim or defense." Fed. R. Civ. P. 72(a); *see* 28 U.S.C. § 636(b)(1). If a party timely objects to a magistrate judge's recommendation on a dispositive issue, the district court must "determine de novo" those parts of the ruling that have been "properly objected to." Fed. R. Civ. P. 72(b)(3); *see* 28 U.S.C. § 636(b)(1). Defendants' motions to dismiss are dispositive matters under Rule 72. *See, e.g., Shulman v. Chaitman LLP*, 392 F. Supp. 3d 340, 345 (S.D.N.Y. 2019).

Those parts of an R. & R. that are uncontested or not properly objected to are reviewed, at most, for “clear error.” *Alvarez Sosa v. Barr*, 369 F. Supp. 3d 492, 497 (E.D.N.Y. 2019) (citation omitted); *see Nelson v. Smith*, 618 F. Supp. 1186, 1189 (S.D.N.Y. 1985) (citing Fed. R. Civ. P. 72(b) advisory committee’s note to 1983 addition). Clear error will only be found if, on review of the entire record, the court is “left with the definite and firm conviction that a mistake has been committed.” *United States v. Bershchansky*, 788 F.3d 102, 110 (2d Cir. 2015) (citation omitted).

In considering objections to an R. & R., the district court “will not consider new arguments raised in objections to a magistrate judge’s report and recommendation that could have been raised before the magistrate but were not.” *United States v. Gladden*, 394 F. Supp. 3d 465, 480 (S.D.N.Y. 2019) (citation omitted); *see, e.g., Fischer v. Forrest*, 968 F.3d 216, 221 (2d Cir. 2020); 12 Charles Alan Wright & Arthur R. Miller, Fed. Prac. and Proc. § 3070.2 (3d ed. 2021). “Further, courts generally do not consider new evidence raised in objections to a magistrate judge’s report and recommendation.” *Lesser v. TD Bank, N.A.*, 463 F. Supp. 3d 438, 445 (S.D.N.Y. 2020) (alteration, quotation marks, and citation omitted); *see, e.g., Hynes v. Squillace*, 143 F.3d 653, 656 (2d Cir. 1998); *Paddington Partners v. Bouchard*, 34 F.3d 1132, 1137–38 (2d Cir. 1994); *Pan Am. World Airways, Inc. v. Int’l Bhd. of Teamsters*, 894 F.2d 36, 40 n.3 (2d Cir. 1990). Finally, a court will disregard an objection that “merely restates or rehashes the same arguments that [the] party originally made” if it fails to identify any subsequent errors in the magistrate’s analysis. *CDS Bus. Servs., Inc. v. H.M.C., Inc.*, No. 19-CV-5759 (JMA) (SIL), 2021 WL 4458884, at *1 (E.D.N.Y. Sept. 28, 2021); *see Sunoco, Inc. v. 175-33 Horace Harding Realty Corp.*, No. 11-CV-2319 (JS) (GRB), 2016 WL 5239597, at *2 (E.D.N.Y. Sept. 22, 2016), *aff’d sub nom. Sunoco, Inc. (R&M) v. 175-33 Horace Harding Realty Corp.*, 697 F. App’x 38 (2d Cir. 2017).



York, No. 19-CV-6277, 2021 WL 848786, at *3 (E.D.N.Y. Mar. 5, 2021) (quoting Walker v. Schult, 717 F.3d 119, 122 n.1 (2d Cir. 2013)).

II. Plaintiff's Hybrid Claim

Plaintiff alleges that defendant TWU violated its federal duty of fair representation (“DFR”) by failing to grieve the improper extension of his probation and that defendant NYCTA violated the CBA when it terminated him without notice or cause.⁴ “[A] suit in which an employee alleges that an employer has breached a CBA and that a union has breached its duty of fair representation by failing to enforce the CBA is known as a ‘hybrid § 301/fair representation claim.’” Acosta v. Potter, 410 F. Supp. 2d 298, 308 (S.D.N.Y. 2006) (citing DelCostello v. Int’l Bhd. of Teamsters, 462 U.S. 151, 164–65 (1983)). Section 301 of the LMRA provides that “an individual employee may bring suit against his employer for breach of a collective bargaining agreement.” DelCostello, 462 U.S. at 163 (1983) (citation omitted); see 29 U.S.C. § 185(a). A union’s “duty of fair representation is a ‘statutory obligation’ under the NLRA, requiring a union ‘to serve the interests of all members without hostility or discrimination, to exercise its discretion with complete good faith and honesty, and to avoid arbitrary conduct.’”⁵ Fowlkes v. Ironworkers

⁴ While plaintiff asserts his “discharge by defendant [NYCTA was] in violation of Plaintiff’s rights under the collective bargaining agreement,” am. compl. ¶ 16, he acknowledges his claim hinges on the extension of his probationary period in February 2022. Id. ¶ 7 (“Plaintiff alleges that the **true reason** for his discharge was that a **stipulation of extension** was made by the defendants, that made him an **improper probationary employee**.”) (emphasis added). If plaintiff was a probationary employee when he was terminated, there is no dispute that NYCTA was within the bounds of the CBA to fire plaintiff without notice or cause.

Plaintiff does not allege that the CBA required defendant NYCTA to follow certain procedures prior to extending his probationary period. Rather, plaintiff asserts that the extension was “illegal,” opp. at 8, because it “was made, on the last day of his probation, in violation” of New York City rules. Am. Compl. ¶ 10. Plaintiff cites Personnel Services Bulletin (“PSB”) 200-6, which requires, among other things, that “one month prior to the completion of the original probationary period, the agency must notify the employee in writing that the employee’s probationary period will be [sic] extended.” See id. (quoting PSB 200-6). However, a violation of this PSB does not give rise to a federal claim. While plaintiff asserts that defendants did not comply with PSB 200-6 when extending his probation, “[s]uch a deviation does not amount to a federal constitutional due process violation[.]” Green v. Dep’t of Educ. of City of New York, 16 F.4th 1070, 1077 (2d Cir. 2021). For the reasons stated in this Report, plaintiff cannot challenge the extension of his probationary period under the LMRA.

⁵ The union’s duty is implied by the structure of the National Labor Relations Act, which allows “a single labor organization to represent collectively the interests of all employees within a unit, thereby depriving individuals in the unit of the ability to bargain individually or to select a minority union as their representative. In such a system, if

Plaintiff's argument fails because CPLR 217(2)(a) is a statute of limitations that does not provide its own right of action. *See Williams v. N.Y.C. Housing Auth.*, 458 F.3d 67, 69–70 (2d. Cir. 2006) (identifying CPLR 217(a)(2) as providing the statute of limitations, rather than a cause of action, for state-law claims alleging a violation of the duty of fair representation). Plaintiff therefore cannot state a claim under CPLR 217(2)(a). *See Thompson v. Thompson*, 484 U.S. 174, 178–79 (1988) (affirming dismissal for failure to state claim because the statutory provision at issue did not create a private right of action). Consequently, even if the amended complaint can be fairly construed to invoke CPLR 217(2)(a), plaintiff cannot state a claim under that provision.

CONCLUSION

Plaintiff's objections are overruled, and the R. & R. is adopted in full. Defendants' motions to dismiss are granted in part, and plaintiff's amended complaint is dismissed under Rule 12(b)(6). Because the problems with plaintiff's complaint are "substantive" ones that cannot be cured with "better pleading," the complaint is dismissed with prejudice. *Cuoco v. Moritsugu*, 222 F.3d 99, 112 (2d Cir. 2000); *see Ashmore v. Prus*, 510 F. App'x 47, 49 (2d. Cir. 2013) (explaining that leave to amend is futile where barriers to relief "cannot be surmounted by reframing the complaint"). Accordingly, the Clerk of Court is directed to enter judgment and close the case.

The Court certifies pursuant to 28 U.S.C. § 1915(a)(3) that any appeal from this Order would not be taken in good faith and therefore *in forma pauperis* status is denied for the purpose of any appeal. *See Coppedge v. United States*, 369 U.S. 438, 444–45 (1962).

SO ORDERED.

/s/ Rachel Kovner
RACHEL P. KOVNER
United States District Judge

Dated: September 29, 2023
Brooklyn, New York

SA-6

23-7923-CV

United States Court of Appeals
for the
Second Circuit

GERALD NELSON,

Plaintiff-Appellant.

- v. -

NEW YORK CITY TRANSIT AUTHORITY, DEPARTMENT OF BUSES,
(EAST NEW YORK DEPOT), TRANSPORTATION WORKERS
UNION LOCAL 100,

Defendants-Appellees.

ON APPEAL FROM THE UNITED STATES DISTRICT COURT
- FOR THE EASTERN DISTRICT OF NEW YORK -

BRIEF FOR DEFENDANT-APPELLEE
NEW YORK CITY TRANSIT AUTHORITY,
DEPARTMENT OF BUSES, (EAST NEW YORK DEPOT)

NEIL H. ABRAMSON
PROSKAUER ROSE LLP
Attorneys for Defendant-Appellee New
York City Transit Authority (i/p/a "New
York City Transit Authority, Department
of Buses (East New York Depot)")
11 Times Square
New York, New York 10036
(212) 969-3000

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~~ATTORNEY-CLIENT PRIVILEGE~~
rejected this argument because the Amended Complaint directly cited federal statutes and denied Appellant the requested relief. *Id.*

On November 29, 2023, Appellant filed a notice of appeal of the Dismissal Order and the R. 60(b) Order. A-3.

SUMMARY OF ARGUMENT

Appellant's current challenge to the District Court's exercise of jurisdiction should be rejected as the District Court had federal-question jurisdiction over the action because the operative pleadings at the time of removal (*i.e.*, the Complaint) and under review in the Dismissal Order (*i.e.*, the Amended Complaint) facially established that Appellant was asserting a "hybrid" claim under the *federal* statutes invoked therein. SA-1; SA-14. Moreover, these specific federal statutes have sufficient pre-emptive force to require application of the doctrine of complete pre-emption by the District Court.

Concerning the Dismissal Order, Appellant has waived appellate review of all aspects of that Order by virtue of his failure to (i) object to portions of the R & R and (ii) press on this appeal those portions of the R & R he did object to. Appellant's waiver is further supported by his own statements on appeal conceding the deficiency of his principal claim (the hybrid LMRA/NLRA claim) and admitting that he did not plead the analogous Taylor Law claim. These statements not only support Appellant's waiver of appellate review, but they also underscore

the propriety of the Dismissal Order. Absent a *viable* hybrid LMRA/NLRA claim or *any* state law claim, the District Court correctly dismissed the action in its entirety.

Concerning the R. 60(b) Order, there is no evidence that the District Court abused its discretion in denying the R. 60(b) motion. The record here establishes beyond any reasonable dispute that the District Court decided the jurisdictional and other challenges posed in Appellant's R. 60(b) motion prior to that motion and, further, that Appellant failed to raise any mistakes of law or fact concerning those prior decisions as part of the motion. The rationale for and authority in support of the R. 60(b) Order as articulated therein is sound and there is no basis to reverse.

For the reasons that follow, the Orders must be affirmed.

ARGUMENT

I. THE DISTRICT COURT PROPERLY EXERCISED JURISDICTION OVER THIS ACTION BECAUSE APPELLANT PLED IN THE COMPLAINT AND MAINTAINED IN THE AMENDED COMPLAINT A FEDERAL CLAIM.

The standard of review for a jurisdictional challenge is *de novo*. *Badilla v. Midwest Air Traffic Control Serv., Inc.*, 8 F.4th 105, 120 (2d Cir. 2021) (citing *Plumbing Indus. Bd., Plumbing Loc. Union No. 1 v. E. W. Howell Co.*, 126 F.3d 61, 65 (2d Cir. 1997)). The District Court has federal-question jurisdiction over "all civil actions arising under the Constitution, laws, or treaties of the United States."

28 U.S.C. § 1331. Federal-question jurisdiction is governed by the “well-pleaded complaint rule” or the “independent corollary” to the “well-pleaded complaint rule” known as the “complete pre-emption doctrine.” *Caterpillar Inc. v. Williams*, 482 U.S. 386, 391–93 (1987). As discussed below, under either doctrine, Appellant’s invocation of the LMRA as the source of his breach of contract claim in the Complaint and again in the Amended Complaint gave rise to the District Court’s federal-question jurisdiction in this action.

A. The District Court Had Federal-Question Jurisdiction Under The “Well-Pleaded Complaint Rule.”

“[T]he ‘well-pleaded complaint rule,’ . . . provides that federal jurisdiction exists only when a federal question is presented on the face of the plaintiff’s properly pleaded complaint.” *Caterpillar*, 482 U.S. at 392; *Fax Telecommunicaciones Inc. v. AT & T*, 138 F.3d 479, 486 (2d Cir. 1998) (citing *Caterpillar* to hold “removal is proper only if the federal question appears plainly on the face of a ‘well-pleaded complaint.’”) This rule makes plaintiff, “the master of the claim; he or she may avoid federal jurisdiction by exclusive reliance on state law.” *Caterpillar*, 482 U.S. at 392.

Appellant satisfied the “well-pleaded complaint rule” by expressly invoking in the initial Complaint the LMRA as the source of his breach of contract claim against NYCTA. SA-1 (“Plaintiff brings this action under, [sic] 301 of the Labor

~~State of New York v. [redacted]~~
~~[redacted]~~
Management Relations Act (29 U.S.C. § 185; Fed. R. Civ. P. 8 (a)) referred to as the Act.”). Indeed, on appeal, Appellant admits that the Complaint did not plead a state law claim. Opening Br. at 13 (“Nelson had no state claims in his original complaint, or his amended complaint.”). Consequently, the District Court’s exercise of its federal-question jurisdiction over this action upon NYCTA’s removal of the action was proper. *Caterpillar*, 482 U.S. at 392; *Fax Telecommunicaciones*, 138 F.3d at 486.

In the Amended Complaint, Appellant elected to re-plead an identical claim for breach of contract under the LMRA. SA-14 (“Plaintiff brings this action under, [sic] 301 (a),(b) [sic] of the Labor Management Relations Act (29 U.S.C. § 185; Fed. R. Civ. P. 8 (a)) referred to as the Act[.]”). Consequently, the District Court’s continued exercise of its federal-question jurisdiction over this action was proper. *Caterpillar*, 482 U.S. at 392; *Fax Telecommunicaciones*, 138 F.3d at 486.

B. The District Court Had Federal-Question Jurisdiction Under The “Complete Pre-Emption” Doctrine.

The “complete pre-emption doctrine” recognizes that the “pre-emptive force of a statute” may be “so ‘extraordinary’ that it ‘converts an ordinary state common-law complaint into one stating a federal claim for purposes of the well-pleaded complaint rule.’” *Caterpillar*, 482 U.S. at 393 (citation omitted). The LMRA is the quintessential example of such a statute. *Id.* (“The complete pre-emption

corollary to the well-pleaded complaint rule is applied primarily in cases raising claims pre-empted by § 301 of the LMRA.”); *Nelson v. Loc. 1181-1061, Amalgamated Transit Union, AFL-CIO*, 652 F. App'x 47, 48 (2d Cir. 2016) (“Section 301 confers ‘an especially broad jurisdiction’ on the federal courts in actions involving collective bargaining agreements.”) (citation omitted). On appeal, Appellant has made plain that his pleadings were limited to his claim arising under the LMRA. Opening Br. at 13 (“Nelson had no state claims in his original complaint, or his amended complaint.”), *id.* at 20 (“Nelson only pleaded 301 Labor management and wrongful termination.”). In light of the pre-emptive force of the LMRA, the District Court’s exercise of federal-question jurisdiction over an expressly pled LMRA action was appropriate. *Caterpillar*, 482 U.S. at 393; *Nelson*, 652 F. App’x at 48.

II. THE DISTRICT COURT PROPERLY DISMISSED THE ACTION PURSUANT TO FED. R. CIV. P. 12(B)(6).

The standard of review for a District Court’s dismissal pursuant to Fed. R. Civ. P. 12(b)(6) is *de novo*. *Bacon v. Phelps*, 961 F.3d 533, 540 (2d Cir. 2020) (citing *Chambers v. Time Warner, Inc.*, 282 F.3d 147, 152 (2d Cir. 2002)). As set forth below, Appellant has waived appellate review of all portions of the Dismissal Order by a combination of his failure to object to various portions of the R & R and his failure to press all portions on this appeal. Notwithstanding and without

SA-7

MANDATE

23-7923

*Nelson v. New York City Transit Authority,
Department of Buses*

UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT

SUMMARY ORDER

RULINGS BY SUMMARY ORDER DO NOT HAVE PRECEDENTIAL EFFECT. CITATION TO A SUMMARY ORDER FILED ON OR AFTER JANUARY 1, 2007, IS PERMITTED AND IS GOVERNED BY FEDERAL RULE OF APPELLATE PROCEDURE 32.1 AND THIS COURT'S LOCAL RULE 32.1.1. WHEN CITING A SUMMARY ORDER IN A DOCUMENT FILED WITH THIS COURT, A PARTY MUST CITE EITHER THE FEDERAL APPENDIX OR AN ELECTRONIC DATABASE (WITH THE NOTATION "SUMMARY ORDER"). A PARTY CITING TO A SUMMARY ORDER MUST SERVE A COPY OF IT ON ANY PARTY NOT REPRESENTED BY COUNSEL.

At a stated term of The United States Court of Appeals for the Second Circuit, held at the Thurgood Marshall United States Courthouse, 40 Foley Square, in the City of New York, on the 30th day of September, two thousand twenty-four.

PRESENT:

BARRINGTON D. PARKER,
BETH ROBINSON,
Circuit Judges,
VERNON D. OLIVER,
*District Judge.**

GERALD NELSON,

Plaintiff-Appellant,

v.

No. 23-7923

NEW YORK CITY TRANSIT AUTHORITY,
DEPARTMENT OF BUSES, (EAST NEW YORK

* Judge Vernon Dion Oliver, of the United States District Court for the District of Connecticut, sitting by designation.

MANDATE ISSUED ON 11/25/2024

DEPOT), TRANSPORTATION WORKERS UNION
LOCAL 100,

Defendants-Appellees.

FOR APPELLANT:

GERALD NELSON, *pro se*, Brooklyn,
NY.

FOR APPELLEE NEW YORK CITY
TRANSIT AUTHORITY:

NEIL H. ABRAMSON, Proskauer
Rose LLP, New York, NY.

FOR APPELLEE TRANSPORTATION
WORKERS UNION LOCAL 100:

JADE L. MORRISON, Colleran
O'Hara & Mills L.L.P., Woodbury,
NY.

Appeal from a judgment of the United States District Court for the Eastern
District of New York (Kovner, *Judge*).

**UPON DUE CONSIDERATION, IT IS HEREBY ORDERED,
ADJUDGED, AND DECREED that the judgment of the district court is
AFFIRMED.**

Representing himself, plaintiff Gerald Nelson sued the New York City
Transit Authority, Department of Buses (the "Transit Authority") in state court,
citing violations of § 301 of the Labor Management Relations Act ("LMRA"), 29
U.S.C. § 185. A bus operator hired for a one-year probationary period expiring in
February 2022, Nelson alleged that he was made to sign a stipulation that extended
the probationary period, in violation of various rules and regulations. He

protested, but Transportation Workers Local 100 (the “Union”) did not help him—allegedly in violation of its duty of fair representation—and he was eventually fired without prior notice or cause.

The case was removed to federal court. At an initial conference in anticipation of a motion to dismiss, the magistrate judge explained to Nelson that he appeared to be bringing a “hybrid” § 301/duty of fair representation claim. Counsel for the Transit Authority advanced its view that the Authority could not be sued under the LMRA and commented on Nelson’s ability to bring a New York Taylor Law claim (N.Y. Civ. Serv. Law §§ 200, *et seq.*) instead. Nelson responded that he was familiar with Taylor Law claims and his other options under state and federal law. However, Nelson’s eventual amended complaint did not add a Taylor Law claim.

The Transit Authority and the Union moved to dismiss. The magistrate judge recommended dismissal, reasoning that Nelson failed to state a viable hybrid claim because the MTA was a political subdivision excluded from the reach of the LMRA; his duty of fair representation claim, which was derivative of his LMRA claim, failed as well. *Nelson v. New York City Transit Authority, Department of Buses (East New York Depot)*, No. 22-cv-6112, 2023 WL 5979174, at *4–5 (E.D.N.Y.

Aug. 7, 2023) (Bloom, Mag.). The magistrate judge observed that Nelson had not added a Taylor Law claim to his amended complaint despite being on notice of that option. *Id.* at *5 n.9.

The district court adopted the report and recommendation over Nelson's objections. *Nelson v. New York City Transit Authority, Department of Buses (East New York Depot)*, No. 22-cv-6112, 2023 WL 6370773, at *3 (E.D.N.Y. Sept. 29, 2023). After the court denied a subsequent motion under Fed. R. of Civ. P. 60(b), Nelson appealed. We assume the parties' familiarity with the remaining facts, the issues, and the procedural history.

Our review of an order dismissing a complaint under Fed. R. Civ. P. 12(b)(6) is de novo—that is, without any deference to the district court—and ordinarily assesses whether the facts of the complaint, taken as true and with all reasonable inferences drawn in the plaintiff's favor, states a plausible claim to relief. *Noto v. 22nd Century Group, Inc.*, 35 F.4th 95, 102 (2d Cir. 2022). Since Nelson has represented himself throughout, his filings are liberally construed to raise the strongest arguments they suggest. *Sharikov v. Philips Medical Systems MR, Inc.*, 103 F.4th 159, 166 (2d Cir. 2024).

Despite this liberal construction, however, Nelson does not raise any challenge in his brief to the district court's determination that he could not state a hybrid § 301/duty of fair representation claim because he is a public employee. We generally will not reach issues or argument that a pro se litigant abandons, and we decline to do so here. *See Green v. Department of Education of City of New York*, 16 F.4th 1070, 1074 (2d Cir. 2021).¹

Instead, Nelson challenges the district court's jurisdiction; for instance, he argues that his complaint was fraudulently removed to federal court. But a complaint can be removed to federal court if it could have been brought there in the first place, *see* 28 U.S.C. § 1441(a), and federal question jurisdiction exists for "all civil actions arising under the Constitution, laws, or treaties of the United States," 28 U.S.C. § 1331. In deciding whether to remove a case, a court looks to

¹ If we reached the question, we would reach substantially the same conclusion as the district court and magistrate judge. In particular, we agree that Nelson could not, as a public employee, pursue his hybrid claim under the National Labor Relations Act ("NLRA") as amended by the Labor Management Relations Act ("LMRA"). Employees of political subdivisions of a state are not covered by the NLRA, as amended by the LMRA. *Green v. Department of Education of City of New York*, 16 F.4th 1070, 1075 (2d Cir. 2021). The Metropolitan Transportation Authority ("MTA") is a political subdivision of New York state. *See Rose v. Long Island R.R. Pension Plan*, 828 F.2d 910, 915 (2d Cir. 1987) (concluding, in context of a different federal statute that the MTA is a political subdivision of New York state). And, because Nelson's duty of fair representation claim is derivative of his § 301 claim against the employer, it too fails. *See Jusino v. Federation of Catholic Teachers, Inc.*, 54 F.4th 95, 101 (2d Cir. 2022).


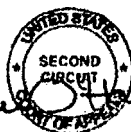
the face of the complaint to see if “plaintiff’s statement of his own cause of action shows that it is based on federal law.” *Romano v. Kazacos*, 609 F.3d 512, 518 (2d Cir. 2010) (internal quotation marks omitted). Nelson’s complaint explicitly relied on § 301—a federal statute. That gave the federal court jurisdiction and made removal proper. The fact that he can’t actually state a hybrid claim under § 301 relates to the merits of his claim, not the district court’s jurisdiction to decide it. *See Green*, 16 F.4th at 1076. Thus, his complaint was removable even before he amended it.

* * *

We have considered Nelson’s remaining arguments and conclude they are without merit. Accordingly, we **AFFIRM** the judgment of the district court.

FOR THE COURT:

Catherine O’Hagan Wolfe, Clerk of Court

A True Copy

Catherine O’Hagan Wolfe, Clerk

United States Court of Appeals, Second Circuit




SA-8

**UNITED STATES COURT OF APPEALS
FOR THE
SECOND CIRCUIT**

At a stated term of the United States Court of Appeals for the Second Circuit, held at the Thurgood Marshall United States Courthouse, 40 Foley Square, in the City of New York, on the 6th day of November, two thousand twenty-four.

Gerald Nelson,

Plaintiff - Appellant,

v.

New York City Transit Authority, Department of Buses,
(East New York Depot), Transportation Workers Union
Local 100,

Defendants - Appellees.

ORDER


Docket No: 23-7923

Appellant, Gerald Nelson, filed a petition for panel rehearing, or, in the alternative, for rehearing *en banc*. The panel that determined the appeal has considered the request for panel rehearing, and the active members of the Court have considered the request for rehearing *en banc*.

IT IS HEREBY ORDERED that the petition is denied.

FOR THE COURT:

Catherine O'Hagan Wolfe, Clerk

A circular official seal of the United States Court of Appeals for the Second Circuit is stamped over the signature. The seal contains the text "UNITED STATES", "SECOND CIRCUIT", and "COURT OF APPEALS".

SA-9

**Supreme Court of the United States
Office of the Clerk
Washington, DC 20543-0001**

Scott S. Harris
Clerk of the Court
(202) 479-3011

May 27, 2025

Mr. Gerald Nelson
293 Ralph Avenue
Floor 2
Brooklyn, NY 11233


Re: Gerald Nelson
v. New York City Transit Authority, et al.
No. 24-7004

Dear Mr. Nelson:

The Court today entered the following order in the above-entitled case:

The petition for a writ of certiorari is denied.

Sincerely,

A handwritten signature in black ink, appearing to read "Scott S. Harris", written in a cursive style.

Scott S. Harris, Clerk

SA-10

**Supreme Court of the United States
Office of the Clerk
Washington, DC 20543-0001**

Scott S. Harris
Clerk of the Court
(202) 479-3011

October 6, 2025

Mr. Gerald Nelson
293 Ralph Avenue
Floor 2
Brooklyn, NY 11233

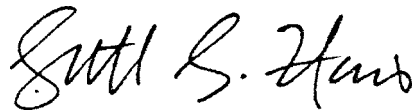
Re: Gerald Nelson
v. New York City Transit Authority, et al.
No. 24-7004

Dear Mr. Nelson:

The Court today entered the following order in the above-entitled case:

The petition for rehearing is denied.

Sincerely,



Scott S. Harris, Clerk