

MANDATE

23-6087-cr
United States v. Capers

UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT

SUMMARY ORDER

RULINGS BY SUMMARY ORDER DO NOT HAVE PRECEDENTIAL EFFECT. CITATION TO A SUMMARY ORDER FILED ON OR AFTER JANUARY 1, 2007, IS PERMITTED AND IS GOVERNED BY FEDERAL RULE OF APPELLATE PROCEDURE 32.1 AND THIS COURT'S LOCAL RULE 32.1.1. WHEN CITING A SUMMARY ORDER IN A DOCUMENT FILED WITH THIS COURT, A PARTY MUST CITE EITHER THE FEDERAL APPENDIX OR AN ELECTRONIC DATABASE (WITH THE NOTATION "SUMMARY ORDER"). A PARTY CITING A SUMMARY ORDER MUST SERVE A COPY OF IT ON ANY PARTY NOT REPRESENTED BY COUNSEL.

1 At a stated term of the United States Court of Appeals for the Second Circuit, held
2 at the Thurgood Marshall United States Courthouse, 40 Foley Square, in the City of New York,
3 on the 10th day of December, two thousand twenty-four.

12/10/2024

4 Present:

5
6
7 GERARD E. LYNCH,
8 EUNICE C. LEE,
9 MYRNA PÉREZ,
10 *Circuit Judges.*

11
12 UNITED STATES OF AMERICA,

13
14 *Appellee,*

15
16 v.

17 No. 23-6087-cr

18 JAMES CAPERS, AKA, MITCH,

19
20 *Defendant-Appellant.**

21
22 For Appellee:

23
24 Scott Hartman, James Ligtenberg,
25 Assistant United States Attorneys,
26 for Damian Williams, United States
27 Attorney for the Southern District of
28 New York, New York, NY.

* The Clerk of the Court is respectfully directed to amend the caption accordingly.

1 For Defendant-Appellant:

Jamesa J. Drake, Drake Law, LLC,
Auburn, ME.

James Capers, *pro se*,
Pine Knot, KY.

7 Appeal from a January 20, 2023 judgment of the United States District Court for the
8 Southern District of New York (Cronan, J.).

9 **UPON DUE CONSIDERATION, IT IS HEREBY ORDERED, ADJUDGED, AND**
10 **DECREED** that the judgment of the district court is **AFFIRMED**.

11 Defendant-Appellant James Capers appeals from the district court's amended judgment of
12 conviction, following this Court's remand for resentencing after vacatur of his conviction on one
13 of multiple counts. *See United States v. Capers*, 20 F.4th 105 (2d Cir. 2021). Capers primarily
14 argues that his resentencing to 504 months of imprisonment was procedurally erroneous because
15 he was improperly designated as a career offender under the U.S. Sentencing Guidelines
16 ("Sentencing Guidelines" or "U.S.S.G.").

17 In 2015, Capers, a member of a Bronx street gang, Leland Avenue Crew, shot and killed
18 Allen McQueen, a member of a rival gang, Taylor Avenue Crew, in retaliation for the Taylor
19 Avenue Crew's shooting of Leland's leader, Pablo Beard. Following a jury trial in 2016, Capers
20 was convicted of one count of racketeering conspiracy, in violation of 18 U.S.C. § 1962(d) ("Count
21 1"); one count of participating in a narcotics distribution conspiracy, in violation of 21 U.S.C. §
22 846 ("Count 3"); and one count of murder through the use of a firearm in connection with the
23 racketeering conspiracy in Count 1 and the narcotics distribution conspiracy in Count 3, in
24 violation of 18 U.S.C. §§ 924(j) and 2 ("Count 5"). At sentencing in 2017, the district court
25 (Pauley, J.) adopted the Probation Office's calculation of an offense level of 43 and criminal

1 history category of VI, corresponding to life imprisonment under the advisory Sentencing
2 Guidelines. Capers was sentenced to 444 months of imprisonment on Counts 1 and 3, to run
3 concurrently, and 60 months of imprisonment on Count 5, to run consecutively, for a total of 504
4 months of imprisonment.

5 On direct appeal, Capers argued, *inter alia*, that there was insufficient evidence to support
6 the jury's finding that McQueen's murder was in furtherance of the narcotics conspiracy or the
7 racketeering enterprise, and that the district court erred in instructing the jury that the racketeering
8 conspiracy count was a crime of violence that could serve as a predicate for Count 5. This Court
9 rejected Capers's sufficiency challenges. However, in light of the Supreme Court's decision in
10 *United States v. Davis*, 588 U.S. 445 (2019), we vacated Capers's conviction for Count 5, holding
11 that the racketeering conspiracy charge was not categorically a crime-of-violence predicate for the
12 purposes of 18 U.S.C. § 924(j), and so the jury charge stating otherwise was erroneous. On
13 remand, the district court was authorized "in its discretion to vacate the sentences and resentence
14 the defendant on all counts." *Capers*, 20 F.4th at 130.

15 Before resentencing, the Probation Office prepared an updated Presentence Report to
16 account for the vacatur of the Count 5 conviction. The update did not alter Count 1's offense
17 level of 43, Capers's criminal history score, or the corresponding Guidelines recommendation of
18 life imprisonment. As before the first sentencing, Capers was classified as a career offender due
19 to two predicate controlled substance offenses under New York law. At the January 12, 2023
20 resentencing hearing, the district court adopted the Presentence Report's factual recitation, agreed
21 with the unobjection-to career-offender designation, and found an offense level of 43 to be
22 applicable with a recommended advisory sentence of lifetime imprisonment. The district court

1 ultimately reimposed a sentence of 504 months of imprisonment, to be followed by ten years of
2 supervised release.

3 On appeal, through counsel, Capers claims the district court procedurally erred by
4 determining he was a career offender. In a supplemental *pro se* brief, Capers raises a litany of
5 arguments that challenge the clarity of the jury's verdict and its implications on the Sentencing
6 Guidelines calculations. We assume the parties' familiarity with the remaining underlying facts,
7 the procedural history, and the issues on appeal.

8 * * *

9 This Court reviews a sentence's procedural and substantive reasonableness "under a
10 deferential abuse-of-discretion standard." *United States v. Yilmaz*, 910 F.3d 686, 688 (2d Cir.
11 2018). We review how a district court applies the Sentencing Guidelines *de novo*, "but factual
12 determinations are reviewed for clear error." *United States v. Rainford*, 110 F.4th 455, 475 (2d
13 Cir. 2024). If a defendant did not object to a procedural error in the district court's Sentencing
14 Guidelines calculation, "we review for plain error." *Id.* "To demonstrate plain error, a
15 defendant must show (1) error, (2) that is plain at the time of appellate review, and (3) that affects
16 substantial rights. Where these conditions are met, we have the discretion to notice a forfeited
17 error if (4) it seriously affects the fairness, integrity, or public reputation of judicial proceedings."
18 *United States v. Quinones*, 511 F.3d 289, 316 (2d Cir. 2007). "For an error to be plain, it must,
19 at a minimum, be clear under current law." *United States v. Weintraub*, 273 F.3d 139, 152 (2d
20 Cir. 2001) (internal quotation marks omitted).

21 On appeal and through counsel, Capers argues—and the government now agrees—that the

1 district court erred in concluding that Capers was a career offender under U.S.S.G. § 4B1.1. The
2 district court had reached its conclusion, in part, by finding that Capers's prior conviction for
3 attempted criminal sale of a controlled substance in the third degree under New York Penal Law
4 § 220.39 constituted a "controlled substance offense" for the purposes of U.S.S.G. § 4B1.1(a).
5 However, intervening precedent between resentencing and the present appeal has clarified that a
6 conviction under New York Penal Law § 220.39 is not a predicate for "the career offender
7 enhancement under our Circuit's categorical approach." *United States v. Chaires*, 88 F.4th 172,
8 179 (2d Cir. 2023); *see also United States v. Minter*, 80 F.4th 406, 412–13 (2d Cir. 2023).
9 Because an error is deemed plain "by reference to the law as of the time of appeal," it is clear that
10 Capers does not presently qualify as a career offender. *United States v. Garcia*, 587 F.3d 509,
11 520 (2d Cir. 2009).

12 Nonetheless, for the purposes of plain-error review, Capers has not met his burden of
13 showing that his substantial rights were affected since the error "did not affect the outcome of the
14 sentencing proceeding." *United States v. Alvarado*, 720 F.3d 153, 160 (2d Cir. 2013) (per
15 curiam). Because Capers had an offense level of 43 for the murder of McQueen, the
16 recommended Guidelines sentence is life imprisonment regardless of Capers's criminal history
17 category. *See* U.S.S.G. Ch. 5, Pt. A (U.S. Sent'g Comm'n 2021). "[A]ny error would
18 necessarily be harmless" since Capers's "Guidelines range would have been identical even absent"
19 the erroneous career offender designation. *United States v. Brown*, 843 F.3d 74, 82 (2d Cir.
20 2016). Capers concedes that the career offender enhancement did not impact the advisory
21 Sentencing Guidelines range. Instead, Capers speculates that the enhancement may have altered
22 the district court's assessment of the 18 U.S.C. § 3553(a) factors, and that the career offender status

1 may preclude him from benefitting from future changes in law or policy. However, the district
2 court did not rely on the career offender designation in evaluating the sentencing factors.
3 Additionally, Capers's assertion that "unknown future consequences" may manifest is insufficient.
4 Appellant's Counsel's Br. at 3. "[W]here the effect of an error on the result in the district court
5 is uncertain . . . indeterminate or only speculative, we cannot conclude that appellant's substantial
6 rights have been affected." *United States v. Lombardozzi*, 491 F.3d 61, 74 (2d Cir. 2007)
7 (internal quotation marks omitted).

8 In his *pro se* supplemental brief, Capers raises numerous forfeited arguments. Capers
9 contends that his sentence must be vacated because: the district erred in considering his prior
10 conviction for attempted criminal sale of a controlled substance to be a "serious drug felony" that
11 triggers the enhanced mandatory minimum under 21 U.S.C. § 841(b)(1)(A); the jury did not make
12 a finding that Capers had committed first-degree murder to justify imposing life imprisonment
13 under Count 1; the jury did not attribute a specific drug quantity to the racketeering conspiracy in
14 Count 1; there was no finding of drug quantity that Capers was personally responsible for; the
15 Probation Office erroneously considered a juvenile offense in its criminal history calculation; and
16 the jury instructions and verdict sheet were unconstitutionally vague. As Capers acknowledges,
17 these claims are being raised for the first time on appeal and are thus subject to plain-error review.

1 Capers's arguments fail at the outset since he has not provided binding precedent to show
2 that any of his positions are clear under Supreme Court or Second Circuit law. *United States v.*
3 *Bastian*, 770 F.3d 212, 220 (2d Cir. 2014). Moreover, to the extent Capers raises challenges to
4 his convictions—including the constitutionality of the jury verdict and jury instructions—under
5 the guise of “attacking his sentence,” Pro Se Reply Br. at 1, such claims are outside the scope of
6 this Court’s prior decision in this case, which limited remand to resentencing. The law of the
7 case doctrine’s “mandate rule” prevents a party from raising an argument in a limited remand for
8 resentencing that the party “had an incentive and an opportunity to raise previously but did not
9 raise.” *United States v. Quintieri*, 306 F.3d 1217, 1225 (2d Cir. 2002).

10 * * *

11 We have considered Capers’s remaining arguments and find them to be without merit.
12 For the reasons set forth above, the judgment of the district court is **AFFIRMED**.

13
14 FOR THE COURT:
15 Catherine O’Hagan Wolfe, Clerk of Court




A True Copy

Catherine O’Hagan Wolfe, Clerk

United States Court of Appeals, Second Circuit




UNITED STATES COURT OF APPEALS
FOR THE
SECOND CIRCUIT

At a stated term of the United States Court of Appeals for the Second Circuit, held at the Thurgood Marshall United States Courthouse, 40 Foley Square, in the City of New York, on the 1st day of July, two thousand twenty-five.

United States of America,

Appellee,

v.

ORDER

Docket No: 23-6087

James Capers, AKA MITCH,

Defendant - Appellant.

Appellant, James Capers, has filed a petition for rehearing *en banc*. The active members of the Court have considered the request for rehearing *en banc*.

IT IS HEREBY ORDERED that the petition is denied.

FOR THE COURT:

Catherine O'Hagan Wolfe, Clerk


Catherine O'Hagan Wolfe

**Additional material
from this filing is
available in the
Clerk's Office.**