Appendix A

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FILED United States Court of Appeals

PUBLISH

UNITED STATES COURT OF APPEALS

March 7, 2024

Tenth Circuit

FOR THE TENTH CIRCUIT

Christopher M. Wolpert Clerk of Court

		
UNITED STATES OF AMERICA,		
Plaintiff - Appellee,		
v.	No. 21-2101	
QUENTIN VENENO, JR.,		
Defendant - Appellant.		
Appeal from the United States District Court for the District of New Mexico (D.C. No. 1:18-CR-03984-KWR-1)		
Alan S. Mouritsen, Parsons Behle & Latimer, Salt Lake City, Utah, for Defendant-Appellant Quentin Veneno, Jr.		
Emil J. Kiehne, Assistant United States Attorn M.M. Uballez, United States Attorney, with h United States of America	· · · · · · · · · · · · · · · · · · ·	
United States of America		

The COVID-19 pandemic caused an unprecedented disruption to jury trials.

The district courts faced the arduous task of conducting jury trials amid a pandemic

Before CARSON, EBEL, and ROSSMAN, Circuit Judges.

CARSON, Circuit Judge.

while keeping jurors, court staff, and the public safe from transmission of the virus.

Protecting the public's safety conflicts with a defendant's constitutional right to have an open trial. But that right is not absolute.

In this case, the district court conducted two hours of voir dire in a courtroom closed to the public and broadcasted live over an audio feed. After Defendant Quentin Veneno, Jr. objected, the district court concluded that the dangers of the COVID-19 pandemic justified its closure of the courtroom, but also provided a video feed for the rest of trial. Although Defendant objected to the initial audio-only feed after the initial two hours of voir dire, he never requested that the district court restart jury selection or moved for a mistrial.

Defendant also challenges Congress's constitutional authority to criminalize the conduct of Indians on tribal land, whether a previous conviction can be a predicate offense for 18 U.S.C. § 117(a)(1) convictions, and whether admission of other-act evidence met the rigors of Federal Rule of Evidence 404(b). Exercising jurisdiction under 28 U.S.C. § 1291, we affirm.

I.

Defendant Quentin Veneno, Jr. lived with his then-girlfriend—both enrolled members of the Jicarilla Apache Nation—on the Jicarilla Apache Nation reservation. One morning, his girlfriend woke up and decided to check her phone to see the time. Defendant walked into the room, asked who she was talking to, accused her of talking to other men, and knocked the phone out of her hand. Defendant then hit her several times with his closed fist. Defendant's girlfriend slipped by him, ran down a

hallway, jumped out of a kitchen window, and escaped to a neighbor's house. That neighbor called law enforcement.

Defendant reconciled with his girlfriend. Two months later, however, he became jealous and kicked his girlfriend's upper body and arm several times with shoes on his feet. She fled and hid for a few hours in the hills behind her house. When she returned home, Defendant's girlfriend explained her absence, but he did not believe she was hiding. Rather, Defendant accused her of being with another man. She took him to her hiding place. His response: "Should I just kill you now?"

Five days after that incident, Defendant again attacked his former girlfriend in another morning fit of jealous rage. Defendant hit the phone out of her hand, accused her of talking with other men, grabbed her by the hair, threw her on the floor, and kicked her while wearing shoes. Defendant then dragged her outside the bedroom, down a hallway and out the kitchen door. He continued to kick her and grabbed her either by her hair or arms and slammed her head into the cement outside. After the attack, Defendant's girlfriend tried to take ibuprofen. She went to pour some milk. Defendant was behind her, grabbed the milk, dumped the entire gallon on her head, and said "Here's your [f-ing] milk." Despite seeing her in extreme pain, Defendant prevented her from seeking care.

Two days after the last assault, Defendant's girlfriend sought medical attention in Defendant's absence. She stayed in the hospital for five days, suffering from a collapsed lung and nine broken ribs. Medical professionals gave her an epidural to control her pain.

A federal grand jury charged Defendant with two counts of domestic assault by a habitual offender in Indian Country under 18 U.S.C. §§ 117(a)(1), 1153—one count for each of Defendant's jealous rages. A federal grand jury also charged Defendant with assaulting his girlfriend in Indian Country resulting in serious bodily injury in violation of 18 U.S.C. §§ 113(a)(6), 1153.

Prior to trial, the government notified Defendant of its intent to present evidence of prior bad acts. The two counts of domestic assault by a habitual offender contain a prior-conviction element. The government listed three prior assault convictions as predicates: two battery convictions against a household member in the Jicarilla Apache tribal courts and one federal conviction of domestic assault by a habitual offender in Indian Country. The government also requested to introduce evidence that Defendant had assaulted his then-girlfriend shortly before both charged assaults. Defendant opposed admission of the evidence and filed a motion in limine. The district court granted Defendant's motion in limine.

As trial loomed, the government and Defendant weighed the prospect of Defendant stipulating to the prior convictions. The government argued that a potential stipulation would qualify those offenses as predicate offenses under the habitual-offender statute. Defense counsel stated that he was not sure his client would allow him to stipulate. The district court told him that if Defendant did not stipulate, the absence of a stipulation would allow the government to go into prior bad acts. Defense counsel replied, "We would rather not do that, so we'll defer and we'll stipulate to that."

The government also sought to introduce evidence under Federal Rule of Evidence 404(b) that Defendant physically assaulted his then-girlfriend a few days before the second charged assault. The district court agreed with the government that the evidence could come in at trial. It reasoned that the evidence was admissible to prove that his victim had suffered "great bodily harm"—a statutory element of Defendant's charged crime—as well as to demonstrate motive and lack of mistake. After balancing, the district court held that the probative value of the evidence outweighed any prejudice associated with these prior events.

Defendant's trial was the first trial the District of New Mexico held during the COVID-19 pandemic. The District of New Mexico issued an administrative order, 20-MC-4-17, which noted the guidance issued by the Centers for Disease Control and Prevention and the New Mexico Department of Health. The order limited entry to the courthouse to those persons having official court business. The District of New Mexico also developed a "Plan for Resumption of Jury Trials in DNM During the Pandemic," which detailed the procedures that the district court judges were to employ. The plan allowed members of the public and media to attend trial through an audio feed from the court's website. It also read, "Video streaming is being explored by the Court's Information Services Innovations team." And at the pretrial conference, the district court informed the parties that it spent months coming up with a detailed protocol about how it would handle the trial to make sure that all the parties, all of the witnesses, all of the jurors, everyone involved, was safe.

As to the courtroom, the district court's plan only permitted twenty to twenty-five prospective jurors to be in the courtroom at once. So, to comply, the district court planned for two "waves" of venire members—one in the morning and one in the afternoon. Before jury selection, the courtroom deputy sent the parties an internet link from the district court's website that would allow members of the public to listen to the proceedings via an audio feed. Defendant did not object to the administrative order, the plan, or the link to the proceedings.

The first morning of trial, the district court began selecting a jury with the first wave of prospective jurors. At the end of the morning session, the government questioned the constitutionality of providing only an audio feed. The district court agreed to put the administrative order in the record. It also said, "when we talk about a public trial, we're talking about usually we are able to allow members of the public to come in and observe the trial, and because we've had to reconfigure the entire courtroom based on this pandemic and concerns for the safety of everyone, we cannot."

When asked whether he had comment, Defendant's attorney stated that he assumed that the court was providing both an audio and a video feed of the trial. The district court then told counsel that the court did not have the capability of a video feed. Defendant then objected to an audio-only feed. The court recessed for two hours. During the two-hour recess, the district court set up a video feed and stated that, going forward, the public could listen and watch over a video feed.

After returning to the record, Defendant formally objected to the lack of video. In response, the district court first noted that they "had discussed this several times prior to trial" and that the video issue arose after the parties had already completed the first wave of jury selection. The district court then turned to the merits of Defendant's objection and concluded the trial was not totally closed to the public because of the audio feed, which the public could access through the district court's public website. In the alternative, the district court also concluded that even if it totally closed the courtroom, Waller v. Georgia, 467 U.S. 39 (1984), permitted a total closure.

A total closure requires an overriding public interest that is likely to be prejudiced if the court does not close the proceedings. Waller, 467 U.S. at 48. And the "closure must be no broader than necessary to protect that interest, the trial court must consider reasonable alternatives to closing the proceeding, and it must make findings adequate to support the closure." Id. First, the danger posed by the COVID-19 pandemic constituted an overriding interest that justified the closure. The district court found that "[u]nder the specific circumstances, it is not possible to maintain social distancing while granting the public physical access to the courtroom."

Second, the closure was not broader than necessary to protect the public health, because "it is not possible to adequately social distance and put the public in the gallery because the venire panelists and jury will occupy the gallery." Third, the district court said that reasonable alternatives "have been put in place, as the proceeding is available to the public through audio and video." Finally, the district

court said it believed that it had made adequate factual findings to support the closure. Defendant did not object to the district court's findings.

After finishing its <u>Waller</u> analysis, the district court asked the parties whether it needed to take up anything else before resuming jury selection. Defendant said no. He did not object to moving to the afternoon panel rather than redoing the morning panel with video. And after the parties completed the afternoon session, the district court asked the parties if they had any objection to the way they selected their jury. Defendant said he did not.

After a two-day trial, the jury convicted Defendant on all three counts. The district court sentenced Defendant to concurrent prison sentences of sixty months and 115 months on the domestic assault by a habitual offender counts and 115 months on the assault in Indian Country resulting in serious bodily injury count. Defendant appealed.

II.

On appeal, Defendant first asserts that the district court violated his right to a public trial by preventing the public from attending his trial and by failing to provide a video feed of the first two hours of jury selection. Second, Defendant contends that the government unconstitutionally procured his convictions because Congress lacks the constitutional authority to criminalize the conduct of Indians on tribal land. Next, Defendant argues his prior tribal-court conviction for a domestic violence offense is categorically overbroad and thus cannot be a predicate offense for his § 117

convictions. Finally, Defendant posits the district court's admission of other-act evidence did not meet the rigors of Rule 404(b). We address each issue in turn.

A.

We begin with Defendant's argument that the district court denied him his Sixth Amendment right to a public trial. On this issue, we review the district court's factual findings for clear error, but as to the ultimate issue of whether the district court violated Defendant's right to a public trial, we review de novo. <u>United States v. Addison</u>, 708 F.3d 1181, 1186 (10th Cir. 2013) (citing <u>United States v. Al-Smadi</u>, 15 F.3d 153, 154 (10th Cir. 1994)).

The Sixth Amendment provides that "[i]n all criminal prosecutions, the accused shall enjoy the right to a speedy and public trial." U.S. Const. amend. VI. This right extends to jury selection. Presley v. Georgia, 558 U.S. 209, 213-15 (2010) (per curiam). The public trial requirement benefits the accused in that the public may see that the process is fair and that he is not unjustly condemned. Addison, 708 F.3d at 1187 (quoting Waller, 467 U.S. at 46). Moreover, the "presence of interested spectators" keeps the jury "keenly alive" to the importance of its function and to a sense of its responsibility. Id. (quoting Waller, 467 U.S. at 46). We have also noted the strong societal interest in public trials in that openness "may improve the quality of testimony, induce unknown witnesses to come forward with relevant testimony, cause all trial participants to perform their duties more conscientiously, and generally give the public an opportunity to observe the judicial system." Addison, 708 F.3d at 1187 (quoting Gannett Co. v. DePasquale, 443 U.S. 368, 383 (1979)). It also

"discourage[s] perjury," "misconduct of participants," and "decisions based on secret bias or partiality." Richmond Newspapers, Inc. v. Virginia, 448 U.S. 555, 569 (1980).

But a defendant's right to a public trial is not absolute. Addison, 708 F.3d at 1187 (citing Waller, 467 U.S. at 45). We have held that closures can be total or partial. <u>Id.</u> A district court totally closes a courtroom when it excludes all persons besides "witnesses, court personnel, the parties, and the lawyers." Waller, 467 U.S. at 42. As mentioned above, total closure requires an overriding public interest that is likely to be prejudiced if the court does not close the proceedings. <u>Id.</u> at 48. And the "closure must be no broader than necessary to protect that interest, the trial court must consider reasonable alternatives to closing the proceeding, and it must make findings adequate to support the closure." <u>Id.</u> When the closure is partial rather than total, the defendant's right to a public trial "gives way" if a "substantial" reason for the partial closure exists. Addison, 708 F.3d at 1187 (citing United States v. Galloway, 937 F.2d 542, 545 (10th Cir.1991). In either instance, we do not require a defendant to prove specific harm to obtain relief for a violation of the public-trial guarantee. Waller, 467 U.S. at 49.

Defendant makes three separate arguments arising from this right. First,

Defendant contends that the district court erred by closing the courtroom before

performing a <u>Waller</u> analysis. Second, Defendant argues that even if the district

court's <u>Waller</u> analysis was not belated, the district court's analysis did not meet

<u>Waller</u>'s standards. Third, Defendant asserts that the district court failed, without

justification, to provide a video stream of the first two hours of his trial. The parties disagree about the standard of review for Defendant's third argument—the government argues for plain error and Defendant argues for de novo review.

1.

Defendant first argues that we must reverse the district court simply because it did not make its <u>Waller</u> findings before the morning jury selection. He relies on this sentence from <u>Presley</u>: "<u>Waller</u> provided standards for courts to apply before excluding the public from any stage of a criminal trial[.]" <u>Presley</u>, 558 U.S. at 213. But <u>Waller</u> mandates that the district court must do so when the courtroom is closed "over the objections of the accused." <u>Waller</u>, 467 U.S. at 47. Defendant's citation to <u>Presley</u> is inapt. There, defense counsel objected *before* the district court excluded an individual from voir dire proceedings. <u>Presley</u>, 558 U.S. at 210. Here, Defendant objected *after* voir dire proceedings began. The district court never excluded the public from the courtroom "over the objections of the accused" until that point.

<u>Waller</u>, 467 U.S. at 47. And the district court addressed the <u>Waller</u> factors as soon as Defendant objected. Thus, the timing of the <u>Waller</u> analysis here does not require reversal.

2.

Having determined that the district court timely performed its <u>Waller</u> analysis, we consider Defendant's contention that the district court violated his public trial right by failing to consider less restrictive alternatives as <u>Waller</u> requires. Under

Waller's total-closure standard, the district court was justified in ordering a total closure here.¹

The district court determined that limiting the spread of COVID-19 amid a global pandemic qualified as an overriding interest justifying closure. Defendant, on appeal, accepts this for the sake of argument.² Next, the district court believed that its decision was no broader than necessary to protect that interest. The Centers for Disease Control and Prevention and the New Mexico Department of Health recommended social distancing at the time of the trial. The district court determined it had no place for the public to physically be in the courtroom because the jurors would be seated in the gallery during trial and that it could not maintain social distancing while granting the public physical access to the courtroom. As an alternative, the district court provided an audio and video feed on the court's website. And finally, the district court made a record of its findings once Defendant objected, explaining that preventing the spread of COVID-19 compelled the closure, that exclusion of the public was the only way to achieve its goal given social distancing principles and limited space in the courtroom, and that no other reasonable method of proceeding existed.

¹ We need not determine today whether providing an audio/visual feed while excluding live spectators is a total or partial closure. For purposes of our analysis we assume the district court ordered a total closure of the courtroom.

² The Supreme Court agrees too. <u>See Roman Cath. Diocese of Brooklyn v.</u> <u>Cuomo</u>, 141 S. Ct. 63, 67 (2020) (per curiam) ("Stemming the spread of COVID-19 is unquestionably a compelling interest").

After making its findings, the district court asked if either party had anything to say. Defendant said no. He did not object to the district court's findings or suggest that they could not support the closure. Now, on appeal, Defendant seeks reversal based upon Waller's requirement that the closure be no broader than necessary to protect that interest. Now, with the trial concluded, Defendant argues that the district court could have reserved seats for the public, the press, or Defendant's family in the gallery. Anticipating the government's position that Defendant forfeited this argument, Defendant posits that he preserved the argument and because the error would be structural, the law entitles him to automatic reversal of his conviction. On the other hand, the government argues that the district court considered these scenarios and that Defendant's real issue is with the district court's factual findings, which we should review for clear error.

A structural error is one that "affect[s] the framework within which the trial proceeds," rather than being 'simply an error in the trial process itself." Weaver v.

Massachusetts, 137 S. Ct. 1899, 1907 (2017) (quoting Arizona v. Fulminante, 499

U.S. 279, 310 (1991)). It's an error that "infect[s] the entire trial process." Neder v.

United States, 527 U.S. 1, 9 (1999). No doubt exists that "a violation of the right to a public trial is a structural error." Weaver, 137 S. Ct. at 1908. But that still does not excuse Defendant from objecting in the district court.³ Id. at 983–84 (noting that

³ Other circuits have applied plain error to unpreserved Sixth Amendment public trial claims. <u>United States v. Anderson</u>, 881 F.3d 568, 572 (7th Cir. 2018), <u>United States v. Negron–Sostre</u>, 790 F.3d 295, 301 (1st Cir. 2015); <u>United States v.</u>

even if an error is structural, under plain-error review, the defendant must show the error was plain).

Defendant never objected to the district court's conclusion that the closure was no broader than necessary.⁴ If Defendant wanted the district court to reserve seats for the public, the press, or Defendant's family in the gallery, he needed to say so when the district court asked whether he had anything to say on the matter—a time when the district court could have accommodated any of Defendant's requests. A litigant may not hold an objection in his back pocket at trial simply to raise it for the first time on appeal hoping it might ultimately work in his favor. <u>United States v.</u>

<u>Turrietta</u>, 696 F.3d 972, 985 (10th Cir. 2012).

We thus review Defendant's claim for plain error. To establish plain error, Defendant has the burden of showing "(1) error, (2) that is plain, which (3) affects substantial rights, and which (4) seriously affects the fairness, integrity, or public reputation of judicial proceedings." <u>United States v. Gonzalez-Huerta</u>, 403 F.3d 727, 732 (10th Cir. 2005) (en banc). But Defendant failed to address plain error in his briefing—even after the government addressed it in its response brief. The failure to

<u>Cazares</u>, 788 F.3d 956, 966 (9th Cir. 2015); <u>United States v. Gomez</u>, 705 F.3d 68, 74–75 (2d Cir. 2013).

⁴ In fact, defense counsel at one point tacitly suggested he agreed with the manner in which the district court conducted the proceedings. This occurred when, after the parties completed the afternoon session, the district court asked the parties if they had any objection to the way they selected their jury and Defendant said he did not.

argue for plain error and its application on appeal "surely marks the end of the road for an argument for reversal not first presented to the district court." <u>Richison v.</u> Ernest Grp., Inc., 634 F.3d 1123, 1131 (10th Cir. 2011) (citing <u>McKissick v. Yuen</u>, 618 F.3d 1177, 1189 (10th Cir.2010).

But even if Defendant did argue for plain error, the result would be the same. The District of New Mexico's Plan for Resumption of Jury Trials explained that during jury selection, the potential jurors would occupy the jury box and the gallery. During trial, the jurors and alternate jurors would occupy the gallery. The jury box would serve as the witness box. For these reasons, the district court judge, who was present in her courtroom and understood the courtroom's limitations, concluded that the courtroom could not safely hold any more spectators.

Trial courts must take every *reasonable* measure to accommodate public attendance at criminal trials. Presley, 558 U.S. at 215. For plain error to be present, the error must have been clear or obvious at the time of the appeal. United States v. Gonzalez-Huerta, 403 F.3d 727, 732 (10th Cir. 2005). Holding a trial in September 2020 provided an unprecedented challenge to the district court. After objection to the closed courtroom, the district court properly analyzed the closure, correctly found an overriding interest justifying closure, appropriately determined the closure was no broader than necessary, and reasonably concluded no reasonable alternatives existed. We believe the district court made an eminently reasonable determination to seat the jurors in the gallery. And even if we assumed this were error, such error would not be clear or obvious. Although the district court could possibly have made room for a

few members of the public, doing so was not necessarily reasonable at the height of the pandemic. Indeed, reorganizing the entire juror seating arrangement for a few people would be unreasonable given the context. The district court met <u>Waller</u>'s standards.

3.

Defendant also urges us to reverse—even if the district court's <u>Waller</u> analysis satisfied the Constitution—because the district court did not provide an adequate explanation for its failure to provide a video feed of the first two hours of his trial. As mentioned above, after realizing that the district court broadcasted the morning voir dire session via audio only, Defendant objected that the district court compromised his Sixth Amendment right to a public trial. The district court then, over the objection of Defendant, addressed the <u>Waller</u> factors and provided a video feed.

As mentioned in earlier, his failure to object to the closed courtroom at the start of the trial prevented de novo review of this issue.⁵ Ordinarily, we would review Defendant's forfeited claim for plain error. But Defendant fails to argue for

⁵ The dissent claims that we require "clairvoyance" in our preservation determination. But in this case, the district court not only invited the parties to view the courtroom on multiple occasions and to ask any questions, but also provided the parties with an internet link from the district court's website that would allow members of the public to listen to the proceedings via an *audio* feed. Likewise, the District Court's plan for jury trials during the pandemic made clear it was only exploring a video feed. Although the deputy clerk's email did not make it on the docket before trial, an email provides "notice" to counsel—no clairvoyance needed.

plain error in his opening brief. In his reply brief, Defendant footnotes the plain error issue raised by the government but again does not address it. So Defendant loses on his lack of response alone.⁶ Richison, 634 F.3d at 1131.

B.

We next turn to Defendant's contention that the government unconstitutionally procured his convictions because Congress lacks the constitutional authority to criminalize the conduct of Indians on tribal land. Defendant acknowledges that this argument contradicts Supreme Court authority and that he advances it solely to preserve the issue. See United States v. Kagama, 118 U.S. 375, 379–80 (1886) (providing that Congress has plenary power over Indian tribes that allows Congress to give federal courts jurisdiction over the conduct of Indians on tribal land). Because

⁶ Although Defendant did not argue for plain error on appeal, the government presents what might have been his arguments. And although Defendant did not develop that the district court erred with legal propositions, the government noted that the Ninth Circuit held that an audio only feed cannot protect a defendant's right to a public trial when a video feed is also available. United States v. Allen, 34 F.4th 789, 799(9th Cir. 2022). But Defendant could not have argued successfully that the error "is clear or obvious if 'it is contrary to well-settled law." United States v. Finnesy, 953 F.3d 675, 684 (10th Cir. 2020). "In general, for an error to be contrary to well-settled law, either the Supreme Court or this court must have addressed the issue." Id. (quoting United States v. Ruiz-Gea, 340 F.3d 1181, 1187 (10th Cir. 2003). Here, neither we nor the Supreme Court has addressed the error. Lastly, the government contends that Defendant has failed to show that "it seriously affect[ed] the fairness, integrity, or public reputation of judicial proceedings." Id. (quoting United States v. Rivas-Macias, 537 F.3d 1271, 1281 (10th Cir. 2008). He could have easily asked to strike the morning voir dire panel and begin anew. This would have been a simple and painless remedy. We agree. Even if Defendant had argued for plain error, he would fail under that analysis. We express no opinion on the merits of the issue given Defendant's forfeiture.

we must follow Supreme Court precedent, we reject Defendant's argument. <u>Jewell v. United States</u>, 749 F.3d 1295, 1300 (10th Cir. 2014).

C.

Defendant next posits that his prior tribal-law conviction for a domestic violence offense is categorically overbroad and thus cannot be a predicate offense for his § 117 convictions. Section 117(a)(1) provides that a person who commits a "domestic assault within . . . Indian country" is subject to enhanced penalties if he has two prior convictions "in Federal, State, or Indian tribal court proceedings for offenses that would be, if subject to Federal jurisdiction . . . any assault, sexual abuse, or serious violent felony against a spouse or intimate partner." Whether a prior conviction counts as a predicate offense for purposes of a statute that imposes enhanced penalties on recidivists is ordinarily a matter of statutory interpretation that we review de novo. <u>United States v. Mendez</u>, 924 F.3d 1122, 1124 (10th Cir. 2019) (citing United States v. Charles, 576 F.3d 1060, 1066 (10th Cir. 2009)). But Defendant did not make this argument at trial. When a defendant does not object to the district court, we review for plain error. United States v. Wilkins, 30 F.4th 1198, 1203 (10th Cir. 2022) (citing Gonzalez-Huerta, 403 F.3d at 1245).

Defendant does not address plain-error review, which effectively forecloses his appeal on this issue. Richison, 634 F.3d at 1131. Rather, he analyzes the New Mexico assault statute. The government correctly responds that the conviction was under Jicarilla tribal law. Defendant—in his reply brief—contends that the Jicarilla law is also categorically overbroad. But, again, he does not argue for plain error. For an error to be

plain, it must be "clear or obvious under current law." <u>United States v. Rosales-Miranda</u>, 755 F.3d 1253, 1258 (10th Cir. 2014). Defendant has not argued categorical overbreadth for the Jicarilla tribal laws. Defendant has cited no tribal-court decisions or authoritative sources of tribal law that might bear on the meaning of § 3-5-3(A), nor has he cited any decisions in this Court or any other analyzing that tribal statute. Defendant has thus forfeited this argument.

D.

Finally, Defendant asserts the district court's admission of other-act evidence did not meet the rigors of Federal Rule of Evidence 404(b). Rule 404(b)(1) provides that "[e]vidence of any other crime, wrong, or act is not admissible to prove a person's character in order to show that on a particular occasion the person acted in accordance with the character." But such evidence "may be admissible for another purpose, such as proving motive, opportunity, intent, preparation, plan, knowledge, identity, absence of mistake, or lack of accident." Fed. R. Evid. 404(b)(2). "Rule 404(b) is considered to be 'an inclusive rule, admitting all evidence of other crimes or acts except that which tends to prove *only* criminal disposition." <u>United States v.</u> <u>Tan</u>, 254 F.3d 1204, 1208 (10th Cir. 2001) (quoting <u>United States v. Van Metre</u>, 150 F.3d 339, 349 (4th Cir. 1998)). Evidence that a district court properly admits under Rule 404(b) may involve a kind of propensity inference. <u>United States v. Moran</u>, 503 F.3d 1135, 1145 (10th Cir. 2007). We review the court's Rule 404(b) analysis for an abuse of discretion. United States v. Hardwell, 80 F.3d 1471, 1488 (10th Cir. 1996).

Rule 404(b) evidence must meet four admissibility requirements. "The evidence of other crimes, wrongs, or acts (1) must be introduced for a proper purpose, (2) must be relevant, (3) must have probative value that is not substantially outweighed by the potential for unfair prejudice, and (4) on request, the trial court must give a jury instruction limiting the evidence to the proper purpose." <u>Id.</u> (citing <u>Huddleston v. United States</u>, 485 U.S. 681, 691–92 (1988)) (cleaned up). When a court admits other-act evidence for a proper purpose and that evidence is relevant, "it may be admissible even though it has the 'potential impermissible side effect of allowing the jury to infer criminal propensity." <u>Moran</u>, 503 F.3d at 1145 (quoting <u>United States v. Cherry</u>, 433 F.3d 698, 701 n.3 (10th Cir. 2005)).

The government easily meets the first factor—proper purpose. The evidence supported the government's assertion that jealousy motivated Defendant's attacks arising from his suspicion that his girlfriend was cheating on him. The government also offered the evidence to identify Defendant as the perpetrator. Defendant argued that his girlfriend had been drunk during the assaults and her identification was unreliable. The evidence showed he had beaten her several days before in the same place, in the same way, and for the same reason, which makes it more likely that her identification of Defendant was reliable. The evidence also shows that not all his girlfriend's injuries were attributable to the charged assault.

The government also easily meets the second factor—relevance. The uncharged and charged acts are similar. They are relevant to prove Defendant was his girlfriend's attacker and to prove his motive for doing so. Within a span of days,

Defendant assaulted his girlfriend in the same place and in the same manner. The similarity is obvious.

Defendant mainly argues the government violated the third admissibility requirement, which requires us to balance the probative value of the evidence against its prejudicial effect under Federal Rule of Evidence 403. Id. We have recognized the probative value of uncharged acts to show motive, intent, and knowledge whether the acts involved previous conduct or conduct after the charged offense—"as long as the uncharged acts are similar to the charged crime and sufficiently close in time." United States v. Zamora, 222 F.3d 756, 762 (10th Cir. 2000) (citing United States v. Olivo, 80 F.3d 1466, 1468–69 (10th Cir. 1996) and United States v. Bonnett, 877 F.2d 1450, 1461 (10th Cir. 1989)). We do not require the uncharged crime to be identical. <u>Id.</u> (citing <u>United States v. Guiterrez</u>, 696 F2d 753, 755 (10th Cir. 1982)). The government may show the similarity through "physical similarity of the acts or through the defendant's indulging himself in the same state of mind in the perpetration of both the extrinsic offense and charged offenses." Id. (citing United States v. Queen, 132 F.3d 991, 996 (4th Cir. 1997) (internal quotation marks omitted)). "The more similar the act or state of mind is to the charged crime, the more relevant it becomes." Id. Along with temporal proximity, we have also identified these factors in assessing similarity: identified geographical proximity, the sharing of similar physical elements, and whether the acts are part of a common scheme. United States v. Mares, 441 F.3d 1152, 1158 (10th Cir. 2006).

"Our cases make clear that the degree to which factors such as temporal distance and geographical proximity are important to a determination of the probative value of similar acts will necessarily depend on the unique facts of each case's proffered evidence." Id. The evidence is probative. The uncharged conduct and the charged conduct both involve Defendant kicking his girlfriend in her house. And the assaults were mere days apart. The prior act is nearly identical to the later act. As to prejudice, we conclude it is minimal. The jury heard about Defendant's prior domestic violence convictions because of § 117's elements. And those prior convictions were more prejudicial to Defendant and alleviate any unfair prejudice that this act creates. Cf. United States v. Otuonye, 995 F.3d 1191, 1207 (10th Cir. 2021) (stating that where the evidence was more than sufficient to convict the defendant and where wrongly admitted evidence was cumulative of other properly admitted evidence, that evidence was less likely to have injuriously influenced the jury's verdict). Excluding relevant evidence under Rule 403 "is an extraordinary remedy" and we should use it "sparingly." <u>Id.</u> at 1206 (quoting <u>K-B Trucking Co. v.</u> Riss Int'l Corp., 763 F.2d 1148, 1155 (10th Cir. 1985)). We decline to do so today. The district court did not abuse its discretion by admitting the evidence.

Fourth, and finally, the last factor allows the defendant to receive a limiting instruction upon request. The district court offered such an instruction here. As a result, the district court properly found the evidence satisfied the four elements and thus properly admitted the evidence under Rule 404(b).

AFFIRMED.

United States v. Veneno, No. 21-2101

ROSSMAN, J., concurring in part and dissenting in part

I agree with the majority that the timing of the district court's Waller findings does not require reversal, the post-objection findings were adequate as to the portion of the trial streamed with audio and video, and there was no abuse of discretion in the district court's admission of uncharged acts evidence.¹

In the 1880s, the Supreme Court discovered the basis for this authority: Congress's plenary power over American Indians. The Court's precedents describe the relationship between the United States and the Indian nations as "that between a superior and inferior," *Choctaw Nation* v. *United States*, 119 U.S. 1, 28 (1886), and justify the federal government's authority as "special consideration and protection" afforded a people "largely influenced by superstition and fetichism, and chiefly governed according to the crude customs inherited from their ancestors," *United States* v. *Sandoval*, 231 U.S. 28, 39 (1913). In *United States* v. *Kagama*, 118 U.S. 375, 378-84 (1886), the Court expressly disclaimed any support in the Constitution's text for this newfound plenary power.

continued

¹ I concur, too, in the majority's rejection of Mr. Veneno's contention Congress lacks the constitutional authority to criminalize conduct by Indians in Indian Country. I write separately on this point to confess an unease with continued reliance on "plenary power" precedents "baked in the prejudices of the day." *Haaland* v. *Brackeen*, 143 S. Ct. 1609, 1658 (2023) (Gorsuch, J., concurring).

Mr. Veneno is an enrolled member of the Jicarilla Apache Nation. The victim was a member of the same. The domestic assault at issue occurred on Jicarilla Apache land. But the United States convicted Mr. Veneno before a federal judge and a federal jury in a federal district court in Albuquerque, New Mexico.

Like the majority, I also conclude Mr. Veneno failed to preserve his categorical overbreadth argument.

But this leaves the two hours of *voir dire* for which only *audio* streaming was provided. Mr. Veneno argues he preserved this issue for our *de novo* review by timely objection. Mr. Veneno further contends a *de novo* review of this two-hour closure would show a constitutional error requiring a new trial. I agree on both counts. So, I cannot join the majority opinion's contrary conclusion.

The Sixth Amendment guarantees defendants "the right to a . . . public trial." U.S. Const. amend. VI. In this context, "public trial" includes an open jury selection process. *See Press-Enter. Co.* v. *Superior Ct. of Cal.*, 464 U.S. 501, 509-10 (1984). And, "[a]s the expression necessarily implies, a public trial is a trial at which the public is free to attend." *Davis* v. *United States*, 247 F. 394, 395 (8th Cir. 1917). Effecting this right and its common-law heritage, the Supreme Court has repeatedly confirmed a "presumption of openness" attaches to criminal trials. *Press-Enterprise*, 464 U.S. at 510; *see Richmond Newspapers*,

While acknowledging this extraordinary power "is not expressly granted in so many words by the Constitution," the Court has nevertheless insisted "its existence cannot be doubted." *Bd. of Comm'rs of Creek Cnty.* v. *Seber*, 318 U.S. 705, 715 (1943). *Kagama* and its contemporaries should be revisited, if only to explain the origins of a constitutional plenary power and to help lower courts square it with an understanding of the Federal Government as one of enumerated powers. Until then, I agree we are bound to follow these precedents.

Inc. v. Virginia, 448 U.S. 555, 565 (1980) (describing community involvement in English trials before the Norman Conquest); cf. 3 Joseph Story, Commentaries on the Constitution of the United States § 1785 at 662 (1833) ("The trial is always public"); 3 William Blackstone, Commentaries on the Laws of England 373 (1768) ("[O]pen examination of witnesses viva voce, in the presence of all mankind, is much more conducive to the clearing up of truth, than the private and secret examination . . . before an officer, or his clerk").

True, "a defendant's right to a public trial is not absolute," Maj. Op. at 10, and the "presumption of openness" may be "overcome" in certain circumstances, *Press-Enterprise*, 464 U.S. at 510. But the Constitution requires "[s]uch circumstances . . . be rare," and demands a "balance of interests . . . be struck with special care." *Waller* v. *Georgia*, 467 U.S. 39, 45 (1984). To strike that balance, a district court must: find an "overriding interest"; ensure its closure is "no broader than necessary to protect that interest"; consider "reasonable alternatives to closing the proceeding"; and "make findings adequate to support the closure." *Id.* at 48.

We review *de novo* whether a district court's closure complied with the command of the Sixth Amendment. *United States* v. *Addison*, 708 F.3d 1181, 1186 (10th Cir. 2013) (citation omitted). Here, the majority opinion avoids the constitutionality of the morning *voir dire* by finding Mr. Veneno's claim

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forfeited. Maj. Op. at 17. Mr. Veneno failed "to object to the closed courtroom at the start of the trial," the majority opinion reasons, so we cannot review this issue. *Id.* at 16.

Timely objections are required to preserve issues for appellate review. United States v. Starks, 34 F.4th 1142, 1156 (10th Cir. 2022). But a defendant and his counsel must know what they are objecting to. Counsel cannot be required to object to constitutional violations of which they are unaware—our preservation jurisprudence makes many demands, but clairvoyance is not one of them. See United States v. Gupta, 699 F.3d 682, 690 (2d Cir. 2012) (vacating for new trial after closure of *voir dire* and rejecting government's forfeiture argument; "[W]e are loath to impute to a defendant . . . an obligation to raise a legal objection as to which his own defense counsel is ignorant during the throes of trial."). Instead, our law requires only an objection of sufficient specificity and timeliness to "bring the alleged federal error to the attention of the trial court and enable it to take appropriate corrective action." Osborne v. Ohio, 495 U.S. 103, 125 (1990) (internal quotation omitted). I would find Mr. Veneno's counsel fulfilled that responsibility here.

Mr. Veneno objected when he discovered, contrary to the expectations of the parties, the courtroom was closed. The record confirms Mr. Veneno objected to the audio-only feed as soon as he—and, apparently, the governmentlearned of it.² Shortly before the midday recess, the government explained it "ha[d] some concerns about the constitutionality of only providing audio versus video," concerns arising from the "audio feed of the trial this morning." RIV.133. That was when Mr. Veneno's counsel apologized to the district court and explained he had "understood" the morning voir dire session to be broadcast through "an audio/video feed." Id. at 134. It was only after that session, based on the government's identification of the problem, that he "found out that apparently" the feed was audio only. Id. at 135. Because he believed "a proper jury trial should be open to the public, to include not only audio, but video as well," he promptly objected both before and after the midday recess. Id. at 134-35. Based on counsel's objection, the problem was quickly and ably remedied by the district court, albeit only on a prospective basis. Though the district court initially explained the court "d[id] not have the capability of a video feed," id. at 134, an audiovisual feed was set up before the afternoon voir dire session, id. at 136.

² The majority opinion relies on a general administrative order, a reopening plan, and the Zoom link circulated before the morning *voir dire* to establish Mr. Veneno's obligation to object sooner than he did. Maj. Op. at 5-6; *id.* at 16 n.5. Mr. Veneno contends "general administrative orders, a not-yet-approved planning document, and an email from a courtroom deputy—none of which were entered in the docket before trial" did not "suffice[] to put Veneno and his counsel on notice." Reply Br. at 1. On this record, I agree.

Because the issue is adequately preserved, we should review Mr. Veneno's alleged Sixth Amendment violation and apply the *Waller* factors *de novo*. Recall, under *Waller*, the district court may totally close a courtroom only when it identifies an overriding or compelling interest, orders a closure no broader than necessary, considers less restrictive alternatives to total closure, and makes a record of these findings. 467 U.S. at 48.

There is no question the pandemic "qualified as an overriding interest justifying closure." Maj. Op. at 12; see also id. at n.2 ("Stemming the spread of COVID-19 is unquestionably a compelling interest.") (quoting Roman Cath. Diocese of Brooklyn v. Cuomo, 141 S. Ct. 63, 67 (2020) (per curiam)). But the closure of the morning voir dire then veers into unconstitutional territory. A less restrictive alternative was available: an audiovisual stream, rather than audio only.³ Mr. Veneno persuasively explains why an audiovisual stream—like that provided for the rest of his trial—is less restrictive than just audio: "[A]n audio stream [alone] deprives the listener of information regarding the trial participant's demeanor and body language[,] . . . the judge's attitude[,] or the reactions of the jury to a witness's testimony" Reply Br. at 16-17 (quoting United States v. Allen, 34 F.4th 789, 796 (9th Cir. 2022)).

³ The availability of an audiovisual stream would also render the district court's audio-only closure "broader than necessary." *See, e.g., Waller*, 467 U.S. at 48-49 (finding, where less-restrictive methods of closure would still protect compelling interest, "closure was far more extensive than necessary").

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Allen is instructive for the analysis here. In Allen, the Ninth Circuit considered whether a district court's COVID protocols violated a defendant's public trial right. 34 F.4th at 792-93. The public was barred from the courtroom and had "access to the proceedings only by streaming audio over the internet." Id. at 793. The district court specifically rejected defense counsel's request for audiovisual streaming, reasoning "that there was no basis for concluding that there's a constitutional difference between audio and video." Id. at 794.

The court of appeals reversed and ordered a new trial. *Id.* at 801. Analogizing an audio-only stream to a transcript, the Ninth Circuit held "the 'public trial' guaranteed by the Sixth Amendment is impaired by a rule that precludes the public from observing a trial in person, regardless whether the public has access to a transcript or audio stream." *Id.* at 796. *Allen* identified many available alternatives to the total closure effected by the audio-only stream and found, "[i]n light of the availability of these alternatives, the district court could justify its more restrictive order only if it had some unique reason it could not use video-streaming or other alternatives, despite other courts being able to do so." *Id.* at 799.

Here, it is clear from the record that at least one alternative—an audiovisual stream—was readily available, given the district court's admirably swift addition of the visual component during the lunch break in response to Mr. Veneno's objection. On the record before us, an audiovisual stream might

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have been the least restrictive option for the rest of Mr. Veneno's trial. That cannot be said for the audio-only stream during the morning *voir dire*.

It is uniformly recognized our federal district courts diligently "continued to fulfill their constitutional mission of administering justice" during the pandemic. Annual Report 2020, Court Operations and Pandemic Response, Director of the Administrative Office of the U.S. Courts. https://www.uscourts.gov/statistics-reports/court-operations-and-pandemic-This district response-annual-report-2020. court, like many others. commendably handled "the arduous task of conducting jury trials" during the COVID-19 crisis. Maj. Op. at 1. But our law is clear: The total closure of the morning voir dire deprived Mr. Veneno of the Sixth Amendment's full protection. Our law is equally clear the only remedy for that deprivation is a new trial.

Appendix B

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FILED United States Court of Appeals

PUBLISH

UNITED STATES COURT OF APPEALS

September 12, 2023

Tenth Circuit

Christopher M. Wolpert

FOR THE TENTH CIRCUIT Clerk of C	
UNITED STATES OF AMERICA,	
Plaintiff - Appellee,	
v.	No. 21-2101
QUENTIN VENENO, JR.,	
Defendant - Appellant.	
Alan S. Mouritsen, Parsons Behle & Latimer, Appellant Quentin Veneno, Jr.	<u>, </u>
Emil J. Kiehne, Assistant United States Attorn M.M. Uballez, United States Attorney, with h United States of America	• • • • • • • • • • • • • • • • • • • •
Before CARSON, EBEL, and ROSSMAN,	Circuit Judges.
CARSON, Circuit Judge.	

The COVID-19 pandemic caused an unprecedented disruption to jury trials. The district courts faced the arduous task of conducting jury trials amid a pandemic while keeping jurors, court staff, and the public safe from transmission of the virus. Protecting the public's safety conflicts with a defendant's constitutional right to have an open trial. But that right is not absolute.

In this case, the district court conducted two hours of voir dire in a courtroom closed to the public and broadcasted live over an audio feed. After Defendant Quentin Veneno, Jr. objected, the district court concluded that the dangers of the COVID-19 pandemic justified its closure of the courtroom, but also provided a video feed for the rest of trial. Although Defendant objected to the initial audio-only feed after the initial two hours of voir dire, he never requested that the district court restart jury selection or moved for a mistrial.

Defendant also challenges Congress's constitutional authority to criminalize the conduct of Indians on tribal land, whether a previous conviction can be a predicate offense for 18 U.S.C. § 117(a)(1) convictions, and whether admission of other-act evidence met the rigors of Federal Rule of Evidence 404(b). Exercising jurisdiction under 28 U.S.C. § 1291, we affirm.

I.

Defendant Quentin Veneno, Jr. lived with his then-girlfriend—both enrolled members of the Jicarilla Apache Nation—on the Jicarilla Apache Nation reservation. One morning, his girlfriend woke up and decided to check her phone to see the time. Defendant walked into the room, asked who she was talking to, accused her of talking to other men, and knocked the phone out of her hand. Defendant then hit her several times with his closed fist. Defendant's girlfriend slipped by him, ran down a

hallway, jumped out of a kitchen window, and escaped to a neighbor's house. That neighbor called law enforcement.

Defendant reconciled with his girlfriend. Two months later, however, he became jealous and kicked his girlfriend's upper body and arm several times with shoes on his feet. She fled and hid for a few hours in the hills behind her house. When she returned home, Defendant's girlfriend explained her absence, but he did not believe she was hiding. Rather, Defendant accused her of being with another man. She took him to her hiding place. His response: "Should I just kill you now?"

Five days after that incident, Defendant again attacked his former girlfriend in another morning fit of jealous rage. Defendant hit the phone out of her hand, accused her of talking with other men, grabbed her by the hair, threw her on the floor, and kicked her while wearing shoes. Defendant then dragged her outside the bedroom, down a hallway and out the kitchen door. He continued to kick her and grabbed her either by her hair or arms and slammed her head into the cement outside. After the attack, Defendant's girlfriend tried to take ibuprofen. She went to pour some milk. Defendant was behind her, grabbed the milk, dumped the entire gallon on her head, and said "Here's your [f-ing] milk." Despite seeing her in extreme pain, Defendant prevented her from seeking care.

Two days after the last assault, Defendant's girlfriend sought medical attention in Defendant's absence. She stayed in the hospital for five days, suffering from a collapsed lung and nine broken ribs. Medical professionals gave her an epidural to control her pain.

A federal grand jury charged Defendant with two counts of domestic assault by a habitual offender in Indian Country under 18 U.S.C. §§ 117(a)(1), 1153—one count for each of Defendant's jealous rages. A federal grand jury also charged Defendant with assaulting his girlfriend in Indian Country resulting in serious bodily injury in violation of 18 U.S.C. §§ 113(a)(6), 1153.

Prior to trial, the government notified Defendant of its intent to present evidence of prior bad acts. The two counts of domestic assault by a habitual offender contain a prior-conviction element. The government listed three prior assault convictions as predicates: two battery convictions against a household member in the Jicarilla Apache tribal courts and one federal conviction of domestic assault by a habitual offender in Indian Country. The government also requested to introduce evidence that Defendant had assaulted his then-girlfriend shortly before both charged assaults. Defendant opposed admission of the evidence and filed a motion in limine. The district court granted Defendant's motion in limine.

As trial loomed, the government and Defendant weighed the prospect of Defendant stipulating to the prior convictions. The government argued that a potential stipulation would qualify those offenses as predicate offenses under the habitual-offender statute. Defense counsel stated that he was not sure his client would allow him to stipulate. The district court told him that if Defendant did not stipulate, the absence of a stipulation would allow the government to go into prior bad acts. Defense counsel replied, "We would rather not do that, so we'll defer and we'll stipulate to that."

The government also sought to introduce evidence under Federal Rule of Evidence 404(b) that Defendant physically assaulted his then-girlfriend a few days before the second charged assault. The district court agreed with the government that the evidence could come in at trial. It reasoned that the evidence was admissible to prove that his victim had suffered "great bodily harm"—a statutory element of Defendant's charged crime—as well as to demonstrate motive and lack of mistake. After balancing, the district court held that the probative value of the evidence outweighed any prejudice associated with these prior events.

Defendant's trial was the first trial the District of New Mexico held during the COVID-19 pandemic. The District of New Mexico issued an administrative order, 20-MC-4-17, which noted the guidance issued by the Centers for Disease Control and Prevention and the New Mexico Department of Health. The order limited entry to the courthouse to those persons having official court business. The District of New Mexico also developed a "Plan for Resumption of Jury Trials in DNM During the Pandemic," which detailed the procedures that the district court judges were to employ. The plan allowed members of the public and media to attend trial through an audio feed from the court's website. It also read, "Video streaming is being explored by the Court's Information Services Innovations team." And at the pretrial conference, the district court informed the parties that it spent months coming up with a detailed protocol about how it would handle the trial to make sure that all the parties, all of the witnesses, all of the jurors, everyone involved, was safe.

As to the courtroom, the district court's plan only permitted twenty to twenty-five prospective jurors to be in the courtroom at once. So, to comply, the district court planned for two "waves" of venire members—one in the morning and one in the afternoon. Before jury selection, the courtroom deputy sent the parties an internet link from the district court's website that would allow members of the public to listen to the proceedings via an audio feed. Defendant did not object to the administrative order, the plan, or the link to the proceedings.

The first morning of trial, the district court began selecting a jury with the first wave of prospective jurors. At the end of the morning session, the government questioned the constitutionality of providing only an audio feed. The district court agreed to put the administrative order in the record. It also said, "when we talk about a public trial, we're talking about usually we are able to allow members of the public to come in and observe the trial, and because we've had to reconfigure the entire courtroom based on this pandemic and concerns for the safety of everyone, we cannot."

When asked whether he had comment, Defendant's attorney stated that he assumed that the court was providing both an audio and a video feed of the trial. The district court then told counsel that the court did not have the capability of a video feed. Defendant then objected to an audio-only feed. The court recessed for two hours. During the two-hour recess, the district court set up a video feed and stated that, going forward, the public could listen and watch over a video feed.

After returning to the record, Defendant formally objected to the lack of video. In response, the district court first noted that they had discussed the streaming situation several times before trial and that the video issue arose after the parties had already completed the first wave of jury selection. The district court then turned to the merits of Defendant's objection and concluded the trial was not totally closed to the public because of the audio feed, which the public could access through the district court's public website. In the alternative, the district court also concluded that even if it totally closed the courtroom, Waller v. Georgia, 467 U.S. 39 (1984), permitted a total closure.

A total closure requires an overriding public interest that is likely to be prejudiced if the court does not close the proceedings. Waller, 467 U.S. at 48. And the "closure must be no broader than necessary to protect that interest, the trial court must consider reasonable alternatives to closing the proceeding, and it must make findings adequate to support the closure." Id. First, the danger posed by the COVID-19 pandemic constituted an overriding interest that justified the closure. The district court found that "[u]nder the specific circumstances, it is not possible to maintain social distancing while granting the public physical access to the courtroom."

Second, the closure was not broader than necessary to protect the public health, because "it is not possible to adequately social distance and put the public in the gallery because the venire panelists and jury will occupy the gallery." Third, the district court said that reasonable alternatives "have been put in place, as the proceeding is available to the public through audio and video." Finally, the district

court said it believed that it had made adequate factual findings to support the closure. Defendant did not object to the district court's findings.

After finishing its <u>Waller</u> analysis, the district court asked the parties whether it needed to take up anything else before resuming jury selection. Defendant said no. He did not object to moving to the afternoon panel rather than redoing the morning panel with video. And after the parties completed the afternoon session, the district court asked the parties if they had any objection to the way they selected their jury. Defendant said he did not.

After a two-day trial, the jury convicted Defendant on all three counts. The district court sentenced Defendant to concurrent prison sentences of sixty months and 115 months on the domestic assault by a habitual offender counts and 115 months on the assault in Indian Country resulting in serious bodily injury count. Defendant appealed.

II.

On appeal, Defendant first asserts that the district court violated his right to a public trial by preventing the public from attending his trial and by failing to provide a video feed of the first two hours of jury selection. Second, Defendant contends that the government unconstitutionally procured his convictions because Congress lacks the constitutional authority to criminalize the conduct of Indians on tribal land. Next, Defendant argues his prior tribal-court conviction for a domestic violence offense is categorically overbroad and thus cannot be a predicate offense for his § 117

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convictions. Finally, Defendant posits the district court's admission of other-act evidence did not meet the rigors of Rule 404(b). We address each issue in turn.

A.

We begin with Defendant's argument that the district court denied him his Sixth Amendment right to a public trial. On this issue, we review the district court's factual findings for clear error, but as to the ultimate issue of whether the district court violated Defendant's right to a public trial, we review de novo. <u>United States v. Addison</u>, 708 F.3d 1181, 1186 (10th Cir. 2013) (citing <u>United States v. Al-Smadi</u>, 15 F.3d 153, 154 (10th Cir. 1994)).

The Sixth Amendment provides that "[i]n all criminal prosecutions, the accused shall enjoy the right to a speedy and public trial." U.S. Const. amend. VI. This right extends to jury selection. Presley v. Georgia, 558 U.S. 209, 213-15 (2010) (per curiam). The public trial requirement benefits the accused in that the public may see that the process is fair and that he is not unjustly condemned. Addison, 708 F.3d at 1187 (quoting Waller, 467 U.S. at 46). Moreover, the "presence of interested spectators" keeps the jury "keenly alive" to the importance of its function and to a sense of its responsibility. Id. (quoting Waller, 467 U.S. at 46). We have also noted the strong societal interest in public trials in that openness "may improve the quality of testimony, induce unknown witnesses to come forward with relevant testimony, cause all trial participants to perform their duties more conscientiously, and generally give the public an opportunity to observe the judicial system." Addison, 708 F.3d at 1187 (quoting Gannett Co. v. DePasquale, 443 U.S. 368, 383 (1979)). It also

"discourage[s] perjury," "misconduct of participants," and "decisions based on secret bias or partiality." Richmond Newspapers, Inc. v. Virginia, 448 U.S. 555, 569 (1980).

But a defendant's right to a public trial is not absolute. Addison, 708 F.3d at 1187 (citing Waller, 467 U.S. at 45). We have held that closures can be total or partial. <u>Id.</u> A district court totally closes a courtroom when it excludes all persons besides "witnesses, court personnel, the parties, and the lawyers." Waller, 467 U.S. at 42. As mentioned above, total closure requires an overriding public interest that is likely to be prejudiced if the court does not close the proceedings. <u>Id.</u> at 48. And the "closure must be no broader than necessary to protect that interest, the trial court must consider reasonable alternatives to closing the proceeding, and it must make findings adequate to support the closure." <u>Id.</u> When the closure is partial rather than total, the defendant's right to a public trial "gives way" if a "substantial" reason for the partial closure exists. Addison, 708 F.3d at 1187 (citing United States v. Galloway, 937 F.2d 542, 545 (10th Cir.1991). In either instance, we do not require a defendant to prove specific harm to obtain relief for a violation of the public-trial guarantee. Waller, 467 U.S. at 49.

Defendant makes three separate arguments arising from this right. First,

Defendant contends that the district court erred by closing the courtroom before

performing a <u>Waller</u> analysis. Second, Defendant argues that even if the district

court's <u>Waller</u> analysis was not belated, the district court's analysis did not meet

<u>Waller</u>'s standards. Third, Defendant asserts that the district court failed, without

justification, to provide a video stream of the first two hours of his trial. The parties disagree about the standard of review for Defendant's third argument—the government argues for plain error and Defendant argues for de novo review.

1.

Defendant first argues that we must reverse the district court simply because it did not make its <u>Waller</u> findings before the morning jury selection. He relies on this sentence from <u>Presley</u>: "<u>Waller</u> provided standards for courts to apply before excluding the public from any stage of a criminal trial[.]" <u>Presley</u>, 558 U.S. at 213. But <u>Waller</u> mandates that the district court must do so when the courtroom is closed "over the objections of the accused." <u>Waller</u>, 467 U.S. at 47. Defendant's citation to <u>Presley</u> is inapt. There, defense counsel objected *before* the district court excluded an individual from voir dire proceedings. <u>Presley</u>, 558 U.S. at 210. Here, Defendant objected *after* voir dire proceedings began. The district court never excluded the public from the courtroom "over the objections of the accused" until that point.

<u>Waller</u>, 467 U.S. at 47. And the district court addressed the <u>Waller</u> factors as soon as Defendant objected. Thus, the timing of the <u>Waller</u> analysis here does not require reversal.

2.

Having determined that the district court timely performed its <u>Waller</u> analysis, we consider Defendant's contention that the district court violated his public trial right by failing to consider less restrictive alternatives as <u>Waller</u> requires. Under

Waller's total-closure standard, the district court was justified in ordering a total closure here.¹

The district court determined that limiting the spread of COVID-19 amid a global pandemic qualified as an overriding interest justifying closure. Defendant, on appeal, accepts this for the sake of argument.² Next, the district court believed that its decision was no broader than necessary to protect that interest. The Centers for Disease Control and Prevention and the New Mexico Department of Health recommended social distancing at the time of the trial. The district court determined it had no place for the public to physically be in the courtroom because the jurors would be seated in the gallery during trial and that it could not maintain social distancing while granting the public physical access to the courtroom. As an alternative, the district court provided an audio and video feed on the court's website. And finally, the district court made a record of its findings once Defendant objected, explaining that preventing the spread of COVID-19 compelled the closure, that exclusion of the public was the only way to achieve its goal given social distancing principles and limited space in the courtroom, and that no other reasonable method of proceeding existed.

¹ We need not determine today whether providing an audio/visual feed while excluding live spectators is a total or partial closure. For purposes of our analysis we assume the district court ordered a total closure of the courtroom.

² The Supreme Court agrees too. <u>See Roman Cath. Diocese of Brooklyn v.</u> <u>Cuomo</u>, 141 S. Ct. 63, 67 (2020) (per curiam) ("Stemming the spread of COVID-19 is unquestionably a compelling interest").

After making its findings, the district court asked if either party had anything to say. Defendant said no. He did not object to the district court's findings or suggest that they could not support the closure. Now, on appeal, Defendant seeks reversal based upon Waller's requirement that the closure be no broader than necessary to protect that interest. Now, with the trial concluded, Defendant argues that the district court could have reserved seats for the public, the press, or Defendant's family in the gallery. Anticipating the government's position that Defendant forfeited this argument, Defendant posits that he preserved the argument and because the error would be structural, the law entitles him to automatic reversal of his conviction. On the other hand, the government argues that the district court considered these scenarios and that Defendant's real issue is with the district court's factual findings, which we should review for clear error.

A structural error is one that "affect[s] the framework within which the trial proceeds," rather than being 'simply an error in the trial process itself." Weaver v.

Massachusetts, 137 S. Ct. 1899, 1907 (2017) (quoting Arizona v. Fulminante, 499

U.S. 279, 310 (1991)). It's an error that "infect[s] the entire trial process." Neder v.

United States, 527 U.S. 1, 9 (1999). No doubt exists that "a violation of the right to a public trial is a structural error." Weaver, 137 S. Ct. at 1908. But that still does not excuse Defendant from objecting in the district court.³ Id. at 983–84 (noting that

³ Other circuits have applied plain error to unpreserved Sixth Amendment public trial claims. <u>United States v. Anderson</u>, 881 F.3d 568, 572 (7th Cir. 2018), <u>United States v. Negron–Sostre</u>, 790 F.3d 295, 301 (1st Cir. 2015); <u>United States v.</u>

even if an error is structural, under plain-error review, the defendant must show the error was plain).

Defendant never objected to the district court's conclusion that the closure was no broader than necessary.⁴ If Defendant wanted the district court to reserve seats for the public, the press, or Defendant's family in the gallery, he needed to say so when the district court asked whether he had anything to say on the matter—a time when the district court could have accommodated any of Defendant's requests. A litigant may not hold an objection in his back pocket at trial simply to raise it for the first time on appeal hoping it might ultimately work in his favor. <u>United States v.</u>

<u>Turrietta</u>, 696 F.3d 972, 985 (10th Cir. 2012).

We thus review Defendant's claim for plain error. To establish plain error, Defendant has the burden of showing "(1) error, (2) that is plain, which (3) affects substantial rights, and which (4) seriously affects the fairness, integrity, or public reputation of judicial proceedings." <u>United States v. Gonzalez-Huerta</u>, 403 F.3d 727, 732 (10th Cir. 2005) (en banc). But Defendant failed to address plain error in his briefing—even after the government addressed it in its response brief. The failure to

<u>Cazares</u>, 788 F.3d 956, 966 (9th Cir. 2015); <u>United States v. Gomez</u>, 705 F.3d 68, 74–75 (2d Cir. 2013).

⁴ In fact, defense counsel at one point tacitly suggested he agreed with the manner in which the district court conducted the proceedings. This occurred when, after the parties completed the afternoon session, the district court asked the parties if they had any objection to the way they selected their jury and Defendant said he did not.

argue for plain error and its application on appeal "surely marks the end of the road for an argument for reversal not first presented to the district court." <u>Richison v.</u>

<u>Ernest Grp., Inc.</u>, 634 F.3d 1123, 1131 (10th Cir. 2011) (citing <u>McKissick v. Yuen</u>, 618 F.3d 1177, 1189 (10th Cir. 2010)).

But even if Defendant did argue for plain error, the result would be the same. The District of New Mexico's Plan for Resumption of Jury Trials explained that during jury selection, the potential jurors would occupy the jury box and the gallery. During trial, the jurors and alternate jurors would occupy the gallery. The jury box would serve as the witness box. For these reasons, the district court judge, who was present in her courtroom and understood the courtroom's limitations, concluded that the courtroom could not safely hold any more spectators.

Trial courts must take every *reasonable* measure to accommodate public attendance at criminal trials. Presley, 558 U.S. at 215. For plain error to be present, the error must have been clear or obvious at the time of the appeal. United States v. Gonzalez-Huerta, 403 F.3d 727, 732 (10th Cir. 2005). Holding a trial in September 2020 provided an unprecedented challenge to the district court. After objection to the closed courtroom, the district court properly analyzed the closure, correctly found an overriding interest justifying closure, appropriately determined the closure was no broader than necessary, and reasonably concluded no reasonable alternatives existed. We believe the district court made an eminently reasonable determination to seat the jurors in the gallery. And even if we assumed this were error, such error would not be clear or obvious. Although the district court could possibly have made room for a

few members of the public, doing so was not necessarily reasonable at the height of the pandemic. Indeed, reorganizing the entire juror seating arrangement for a few people would be unreasonable given the context. The district court met <u>Waller</u>'s standards.

3.

Defendant also urges us to reverse—even if the district court's <u>Waller</u> analysis satisfied the Constitution—because the district court did not provide an adequate explanation for its failure to provide a video feed of the first two hours of his trial. As mentioned above, after realizing that the district court broadcasted the morning voir dire session via audio only, Defendant objected that the district court compromised his Sixth Amendment right to a public trial. The district court then, over the objection of Defendant, addressed the <u>Waller</u> factors and provided a video feed.

As mentioned in earlier, his failure to object to the closed courtroom at the start of the trial prevented de novo review of this issue.⁵ Ordinarily, we would review Defendant's forfeited claim for plain error. But Defendant fails to argue for

⁵ The dissent claims that we require "clairvoyance" in our preservation determination. But in this case, the district court not only invited the parties to view the courtroom on multiple occasions and to ask any questions, but also provided the parties with an internet link from the district court's website that would allow members of the public to listen to the proceedings via an *audio* feed. Likewise, the District Court's plan for jury trials during the pandemic made clear it was only exploring a video feed. Although the deputy clerk's email did not make it on the docket before trial, an email provides "notice" to counsel—no clairvoyance needed.

plain error in his opening brief. In his reply brief, Defendant footnotes the plain error issue raised by the government but again does not address it. So Defendant loses on his lack of response alone.⁶ Richison, 634 F.3d at 1131.

В.

We next turn to Defendant's contention that the government unconstitutionally procured his convictions because Congress lacks the constitutional authority to criminalize the conduct of Indians on tribal land. Defendant acknowledges that this argument contradicts Supreme Court authority and that he advances it solely to preserve the issue. See United States v. Kagama, 118 U.S. 375, 379–80 (1886) (providing that Congress has plenary power over Indian tribes that allows Congress to give federal courts jurisdiction over the conduct of Indians on tribal land). Because

⁶ Although Defendant did not argue for plain error on appeal, the government presents what might have been his arguments. And although Defendant did not develop that the district court erred with legal propositions, the government noted that the Ninth Circuit held that an audio only feed cannot protect a defendant's right to a public trial when a video feed is also available. United States v. Allen, 34 F.4th 789, 799 (9th Cir. 2022). But Defendant could not have argued successfully that the error "is clear or obvious if 'it is contrary to well-settled law." United States v. Finnesy, 953 F.3d 675, 684 (10th Cir. 2020). "In general, for an error to be contrary to well-settled law, either the Supreme Court or this court must have addressed the issue." Id. (quoting United States v. Ruiz-Gea, 340 F.3d 1181, 1187 (10th Cir. 2003)). Here, neither we nor the Supreme Court has addressed the error. Lastly, the government contends that Defendant has failed to show that "it seriously affect[ed] the fairness, integrity, or public reputation of judicial proceedings." Id. (quoting United States v. Rivas-Macias, 537 F.3d 1271, 1281 (10th Cir. 2008)). He could have easily asked to strike the morning voir dire panel and begin anew. This would have been a simple and painless remedy. We agree. Even if Defendant had argued for plain error, he would fail under that analysis. We express no opinion on the merits of the issue given Defendant's forfeiture.

we must follow Supreme Court precedent, we reject Defendant's argument. <u>Jewell v. United States</u>, 749 F.3d 1295, 1300 (10th Cir. 2014).

C.

Defendant next posits that his prior tribal-law conviction for a domestic violence offense is categorically overbroad and thus cannot be a predicate offense for his § 117 convictions. Section 117(a)(1) provides that a person who commits a "domestic assault within . . . Indian country" is subject to enhanced penalties if he has two prior convictions "in Federal, State, or Indian tribal court proceedings for offenses that would be, if subject to Federal jurisdiction . . . any assault, sexual abuse, or serious violent felony against a spouse or intimate partner." Whether a prior conviction counts as a predicate offense for purposes of a statute that imposes enhanced penalties on recidivists is ordinarily a matter of statutory interpretation that we review de novo. United States v. Mendez, 924 F.3d 1122, 1124 (10th Cir. 2019) (citing United States v. Charles, 576 F.3d 1060, 1066 (10th Cir. 2009)). But Defendant did not make this argument at trial. When a defendant does not object to the district court, we review for plain error. United States v. Wilkins, 30 F.4th 1198, 1203 (10th Cir. 2022) (citing Gonzalez-Huerta, 403 F.3d at 1245).

Defendant does not address plain-error review, which effectively forecloses his appeal on this issue. Richison, 634 F.3d at 1131. Rather, he analyzes the New Mexico assault statute. The government correctly responds that the conviction was under Jicarilla tribal law. Defendant—in his reply brief—contends that the Jicarilla law is also categorically overbroad. But, again, he does not argue for plain error.

States v. Rosales-Miranda, 755 F.3d 1253, 1258 (10th Cir. 2014). Defendant has not argued categorical overbreadth for the Jicarilla tribal laws. Defendant has cited no tribal-court decisions or authoritative sources of tribal law that might bear on the meaning of § 3-5-3(A), nor has he cited any decisions in this Court or any other analyzing that tribal statute. Defendant has thus forfeited this argument.

D.

Finally, Defendant asserts the district court's admission of other-act evidence did not meet the rigors of Federal Rule of Evidence 404(b). Rule 404(b)(1) provides that "[e]vidence of any other crime, wrong, or act is not admissible to prove a person's character in order to show that on a particular occasion the person acted in accordance with the character." But such evidence "may be admissible for another purpose, such as proving motive, opportunity, intent, preparation, plan, knowledge, identity, absence of mistake, or lack of accident." Fed. R. Evid. 404(b)(2). "Rule 404(b) is considered to be 'an inclusive rule, admitting all evidence of other crimes or acts except that which tends to prove *only* criminal disposition." <u>United States v.</u> <u>Tan</u>, 254 F.3d 1204, 1208 (10th Cir. 2001) (quoting <u>United States v. Van Metre</u>, 150 F.3d 339, 349 (4th Cir. 1998)). Evidence that a district court properly admits under Rule 404(b) may involve a kind of propensity inference. <u>United States v. Moran</u>, 503 F.3d 1135, 1145 (10th Cir. 2007). We review the court's Rule 404(b) analysis for an abuse of discretion. United States v. Hardwell, 80 F.3d 1471, 1488 (10th Cir. 1996).

Rule 404(b) evidence must meet four admissibility requirements.

The evidence of other crimes, wrongs, or acts (1) must be introduced for a proper purpose, (2) must be relevant, (3) must have probative value that is not substantially outweighed by the potential for unfair prejudice, and (4) on request, the trial court must give a jury instruction limiting the evidence to the proper purpose.

Id. (citing Huddleston v. United States, 485 U.S. 681, 691–92 (1988)) (cleaned up). When a court admits other-act evidence for a proper purpose and that evidence is relevant, "it may be admissible even though it has the 'potential impermissible side effect of allowing the jury to infer criminal propensity." Moran, 503 F.3d at 1145 (quoting United States v. Cherry, 433 F.3d 698, 701 n.3 (10th Cir. 2005)).

The government easily meets the first factor—proper purpose. The evidence supported the government's assertion that jealousy motivated Defendant's attacks arising from his suspicion that his girlfriend was cheating on him. The government also offered the evidence to identify Defendant as the perpetrator. Defendant argued that his girlfriend had been drunk during the assaults and her identification was unreliable. The evidence showed he had beaten her several days before in the same place, in the same way, and for the same reason, which makes it more likely that her identification of Defendant was reliable. The evidence also shows that not all his girlfriend's injuries were attributable to the charged assault.

The government also easily meets the second factor—relevance. The uncharged and charged acts are similar. They are relevant to prove Defendant was his girlfriend's attacker and to prove his motive for doing so. Within a span of days,

Defendant assaulted his girlfriend in the same place and in the same manner. The similarity is obvious.

Defendant mainly argues the government violated the third admissibility requirement, which requires us to balance the probative value of the evidence against its prejudicial effect under Federal Rule of Evidence 403. Id. We have recognized the probative value of uncharged acts to show motive, intent, and knowledge whether the acts involved previous conduct or conduct after the charged offense—"as long as the uncharged acts are similar to the charged crime and sufficiently close in time." United States v. Zamora, 222 F.3d 756, 762 (10th Cir. 2000) (citing United States v. Olivo, 80 F.3d 1466, 1468–69 (10th Cir. 1996) and <u>United States v.</u> Bonnett, 877 F.2d 1450, 1461 (10th Cir. 1989)). We do not require the uncharged crime to be identical. Id. (citing United States v. Guiterrez, 696 F2d 753, 755 (10th Cir. 1982)). The government may show the similarity through "physical similarity of the acts or through the defendant's indulging himself in the same state of mind in the perpetration of both the extrinsic offense and charged offenses." <u>Id.</u> (citing <u>United</u> States v. Queen, 132 F.3d 991, 996 (4th Cir. 1997) (internal quotation marks omitted)). "The more similar the act or state of mind is to the charged crime, the more relevant it becomes." Id. Along with temporal proximity, we have also identified these factors in assessing similarity: identified geographical proximity, the sharing of similar physical elements, and whether the acts are part of a common scheme. United States v. Mares, 441 F.3d 1152, 1158 (10th Cir. 2006).

"Our cases make clear that the degree to which factors such as temporal distance and geographical proximity are important to a determination of the probative value of similar acts will necessarily depend on the unique facts of each case's proffered evidence." Id. The evidence is probative. The uncharged conduct and the charged conduct both involve Defendant kicking his girlfriend in her house. And the assaults were mere days apart. The prior act is nearly identical to the later act. As to prejudice, we conclude it is minimal. The jury heard about Defendant's prior domestic violence convictions because of § 117's elements. And those prior convictions were more prejudicial to Defendant and alleviate any unfair prejudice that this act creates. Cf. United States v. Otuonye, 995 F.3d 1191, 1207 (10th Cir. 2021) (stating that where the evidence was more than sufficient to convict the defendant and where wrongly admitted evidence was cumulative of other properly admitted evidence, that evidence was less likely to have injuriously influenced the jury's verdict). Excluding relevant evidence under Rule 403 "is an extraordinary remedy" and we should use it "sparingly." <u>Id.</u> at 1206 (quoting <u>K-B Trucking Co. v.</u> Riss Int'l Corp., 763 F.2d 1148, 1155 (10th Cir. 1985)). We decline to do so today. The district court did not abuse its discretion by admitting the evidence.

Fourth, and finally, the last factor allows the defendant to receive a limiting instruction upon request. The district court offered such an instruction here. As a result, the district court properly found the evidence satisfied the four elements and thus properly admitted the evidence under Rule 404(b).

AFFIRMED.

United States v. Veneno, No. 21-2101

ROSSMAN, J., concurring in part and dissenting in part

I agree with the majority that the timing of the district court's Waller findings does not require reversal, the post-objection findings were adequate as to the portion of the trial streamed with audio and video, and there was no abuse of discretion in the district court's admission of uncharged acts evidence.¹

In the 1880s, the Supreme Court discovered the basis for this authority: Congress's plenary power over American Indians. The Court's precedents describe the relationship between the United States and the Indian nations as "that between a superior and inferior," *Choctaw Nation* v. *United States*, 119 U.S. 1, 28 (1886), and justify the federal government's authority as "special consideration and protection" afforded a people "largely influenced by superstition and fetichism, and chiefly governed according to the crude customs inherited from their ancestors," *United States* v. *Sandoval*, 231 U.S. 28, 39 (1913). In *United States* v. *Kagama*, 118 U.S. 375, 378-84 (1886), the Court expressly disclaimed any support in the Constitution's text for this newfound plenary power.

continued

¹ I concur, too, in the majority's rejection of Mr. Veneno's contention Congress lacks the constitutional authority to criminalize conduct by Indians in Indian Country. I write separately on this point to confess an unease with continued reliance on "plenary power" precedents "baked in the prejudices of the day." *Haaland* v. *Brackeen*, 143 S. Ct. 1609, 1658 (2023) (Gorsuch, J., concurring).

Mr. Veneno is an enrolled member of the Jicarilla Apache Nation. The victim was a member of the same. The domestic assault at issue occurred on Jicarilla Apache land. But the United States convicted Mr. Veneno before a federal judge and a federal jury in a federal district court in Albuquerque, New Mexico.

Like the majority, I also conclude Mr. Veneno failed to preserve his categorical overbreadth argument.

But this leaves the two hours of *voir dire* for which only *audio* streaming was provided. Mr. Veneno argues he preserved this issue for our *de novo* review by timely objection. Mr. Veneno further contends a *de novo* review of this two-hour closure would show a constitutional error requiring a new trial. I agree on both counts. So, I cannot join the majority opinion's contrary conclusion.

The Sixth Amendment guarantees defendants "the right to a . . . public trial." U.S. Const. amend. VI. In this context, "public trial" includes an open jury selection process. *See Press-Enter. Co.* v. *Superior Ct. of Cal.*, 464 U.S. 501, 509-10 (1984). And, "[a]s the expression necessarily implies, a public trial is a trial at which the public is free to attend." *Davis* v. *United States*, 247 F. 394, 395 (8th Cir. 1917). Effecting this right and its common-law heritage, the Supreme Court has repeatedly confirmed a "presumption of openness" attaches to criminal trials. *Press-Enterprise*, 464 U.S. at 510; *see Richmond Newspapers*,

While acknowledging this extraordinary power "is not expressly granted in so many words by the Constitution," the Court has nevertheless insisted "its existence cannot be doubted." *Bd. of Comm'rs of Creek Cnty.* v. *Seber*, 318 U.S. 705, 715 (1943). *Kagama* and its contemporaries should be revisited, if only to explain the origins of a constitutional plenary power and to help lower courts square it with an understanding of the Federal Government as one of enumerated powers. Until then, I agree we are bound to follow these precedents.

Inc. v. Virginia, 448 U.S. 555, 565 (1980) (describing community involvement in English trials before the Norman Conquest); cf. 3 Joseph Story, Commentaries on the Constitution of the United States § 1785 at 662 (1833) ("The trial is always public"); 3 William Blackstone, Commentaries on the Laws of England 373 (1768) ("[O]pen examination of witnesses viva voce, in the presence of all mankind, is much more conducive to the clearing up of truth, than the private and secret examination . . . before an officer, or his clerk").

True, "a defendant's right to a public trial is not absolute," Maj. Op. at 10, and the "presumption of openness" may be "overcome" in certain circumstances, *Press-Enterprise*, 464 U.S. at 510. But the Constitution requires "[s]uch circumstances . . . be rare," and demands a "balance of interests . . . be struck with special care." *Waller* v. *Georgia*, 467 U.S. 39, 45 (1984). To strike that balance, a district court must: find an "overriding interest"; ensure its closure is "no broader than necessary to protect that interest"; consider "reasonable alternatives to closing the proceeding"; and "make findings adequate to support the closure." *Id.* at 48.

We review *de novo* whether a district court's closure complied with the command of the Sixth Amendment. *United States* v. *Addison*, 708 F.3d 1181, 1186 (10th Cir. 2013) (citation omitted). Here, the majority opinion avoids the constitutionality of the morning *voir dire* by finding Mr. Veneno's claim

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forfeited. Maj. Op. at 17. Mr. Veneno failed "to object to the closed courtroom at the start of the trial," the majority opinion reasons, so we cannot review this issue. *Id.* at 16.

Timely objections are required to preserve issues for appellate review. United States v. Starks, 34 F.4th 1142, 1156 (10th Cir. 2022). But a defendant and his counsel must know what they are objecting to. Counsel cannot be required to object to constitutional violations of which they are unaware—our preservation jurisprudence makes many demands, but clairvoyance is not one of them. See United States v. Gupta, 699 F.3d 682, 690 (2d Cir. 2012) (vacating for new trial after closure of *voir dire* and rejecting government's forfeiture argument; "[W]e are loath to impute to a defendant . . . an obligation to raise a legal objection as to which his own defense counsel is ignorant during the throes of trial."). Instead, our law requires only an objection of sufficient specificity and timeliness to "bring the alleged federal error to the attention of the trial court and enable it to take appropriate corrective action." *Osborne* v. Ohio, 495 U.S. 103, 125 (1990) (internal quotation omitted). I would find Mr. Veneno's counsel fulfilled that responsibility here.

Mr. Veneno objected when he discovered, contrary to the expectations of the parties, the courtroom was closed. The record confirms Mr. Veneno objected to the audio-only feed as soon as he—and, apparently, the governmentlearned of it.² Shortly before the midday recess, the government explained it "ha[d] some concerns about the constitutionality of only providing audio versus video," concerns arising from the "audio feed of the trial this morning." RIV.133. That was when Mr. Veneno's counsel apologized to the district court and explained he had "understood" the morning voir dire session to be broadcast through "an audio/video feed." Id. at 134. It was only after that session, based on the government's identification of the problem, that he "found out that apparently" the feed was audio only. Id. at 135. Because he believed "a proper jury trial should be open to the public, to include not only audio, but video as well," he promptly objected both before and after the midday recess. Id. at 134-35. Based on counsel's objection, the problem was quickly and ably remedied by the district court, albeit only on a prospective basis. Though the district court initially explained the court "d[id] not have the capability of a video feed," id. at 134, an audiovisual feed was set up before the afternoon voir dire session, id. at 136.

² The majority opinion relies on a general administrative order, a reopening plan, and the Zoom link circulated before the morning *voir dire* to establish Mr. Veneno's obligation to object sooner than he did. Maj. Op. at 5-6; *id.* at 16 n.5. Mr. Veneno contends "general administrative orders, a not-yet-approved planning document, and an email from a courtroom deputy—none of which were entered in the docket before trial" did not "suffice[] to put Veneno and his counsel on notice." Reply Br. at 1. On this record, I agree.

Because the issue is adequately preserved, we should review Mr. Veneno's alleged Sixth Amendment violation and apply the *Waller* factors *de novo*. Recall, under *Waller*, the district court may totally close a courtroom only when it identifies an overriding or compelling interest, orders a closure no broader than necessary, considers less restrictive alternatives to total closure, and makes a record of these findings. 467 U.S. at 48.

There is no question the pandemic "qualified as an overriding interest justifying closure." Maj. Op. at 12; see also id. at n.2 ("Stemming the spread of COVID-19 is unquestionably a compelling interest.") (quoting Roman Cath. Diocese of Brooklyn v. Cuomo, 141 S. Ct. 63, 67 (2020) (per curiam)). But the closure of the morning voir dire then veers into unconstitutional territory. A less restrictive alternative was available: an audiovisual stream, rather than audio only.³ Mr. Veneno persuasively explains why an audiovisual stream—like that provided for the rest of his trial—is less restrictive than just audio: "[A]n audio stream [alone] deprives the listener of information regarding the trial participant's demeanor and body language[,] . . . the judge's attitude[,] or the reactions of the jury to a witness's testimony" Reply Br. at 16-17 (quoting United States v. Allen, 34 F.4th 789, 796 (9th Cir. 2022)).

³ The availability of an audiovisual stream would also render the district court's audio-only closure "broader than necessary." *See, e.g., Waller, 467 U.S.* at 48-49 (finding, where less-restrictive methods of closure would still protect compelling interest, "closure was far more extensive than necessary").

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Allen is instructive for the analysis here. In Allen, the Ninth Circuit considered whether a district court's COVID protocols violated a defendant's public trial right. 34 F.4th at 792-93. The public was barred from the courtroom and had "access to the proceedings only by streaming audio over the internet." Id. at 793. The district court specifically rejected defense counsel's request for audiovisual streaming, reasoning "that there was no basis for concluding that there's a constitutional difference between audio and video." Id. at 794.

The court of appeals reversed and ordered a new trial. *Id.* at 801. Analogizing an audio-only stream to a transcript, the Ninth Circuit held "the 'public trial' guaranteed by the Sixth Amendment is impaired by a rule that precludes the public from observing a trial in person, regardless whether the public has access to a transcript or audio stream." *Id.* at 796. *Allen* identified many available alternatives to the total closure effected by the audio-only stream and found, "[i]n light of the availability of these alternatives, the district court could justify its more restrictive order only if it had some unique reason it could not use video-streaming or other alternatives, despite other courts being able to do so." *Id.* at 799.

Here, it is clear from the record that at least one alternative—an audiovisual stream—was readily available, given the district court's admirably swift addition of the visual component during the lunch break in response to Mr. Veneno's objection. On the record before us, an audiovisual stream might

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have been the least restrictive option for the rest of Mr. Veneno's trial. That cannot be said for the audio-only stream during the morning *voir dire*.

It is uniformly recognized our federal district courts diligently "continued to fulfill their constitutional mission of administering justice" during the pandemic. Annual Report 2020, Court Operations and Pandemic Response, Director of the Administrative Office of the U.S. Courts, https://www.uscourts.gov/statistics-reports/court-operations-and-pandemic-This district response-annual-report-2020. court, like many others. commendably handled "the arduous task of conducting jury trials" during the COVID-19 crisis. Maj. Op. at 1. But our law is clear: The total closure of the morning voir dire deprived Mr. Veneno of the Sixth Amendment's full protection. Our law is equally clear the only remedy for that deprivation is a new trial.

Appendix C

THE COURT: You may be seated. Counsel, is there anything else that we need to take up before we break for lunch?

MR. NAYBACK: Briefly, Your Honor.

THE COURT: You may.

1.3

2.2

MR. NAYBACK: I talked about this with James
Braun, our criminal chief, and we know that Ms. Bevel
sent out an audio feed of the trial this morning. We
have some concerns about the constitutionality of only
providing audio versus video. We would ask the Court,
before the jury is impaneled, outside the presence of
the jury, to put on the record, in part or in toto, the
Court's administrative order talking about the reasons
that we cannot have a public trial at this point. Also,
if the Court does not have video capability, we would
ask that the Court add that to the record, as well, just
so it is clear for any circuit court that might be
looking at this in the future, that they understand that
only an audio feed could be provided in this case.

Thank you.

THE COURT: Thank you, Mr. Nayback. I will certainly do that. And when we talk about a public trial, we're talking about usually we are able to allow members of the public to come in and observe the trial, and because we've had to reconfigure the entire

1 courtroom based on this pandemic and concerns for the 2 safety of everyone, we cannot. So maybe we would want 3 to attach a copy of how we have reconfigured the 4 courtroom for that, for appellate purposes. 5 Mr. Grano, anything from you, sir? MR. GRANO: My apologies, Your Honor. I guess 6 7 I understand that there was an audio/video feed. That's what I understood. I don't know if that's an incorrect 8 9 assumption. 10 THE COURT: We do not have the capability of a video feed. However, we do have a live audio feed that 11 12 is open to the public. 1.3 MR. GRANO: Okay. So I guess for purposes of 14 the record, what I would do in regard to Mr. Veneno, we 15 would object to that. We believe that a proper jury 16 trial should be open to the public, to include not only 17 audio, but video as well. 18 THE COURT: All right. Thank you. And the 19 Court will make a record when we come back this 20 afternoon. Anything further? 21 MR. NAYBACK: Not on behalf of the United 2.2 States, Your Honor. THE COURT: We'll be in recess. If you-all 23 could be back here at 1:00. 24 25 (Recess from 11:08 a.m. until 1:16 p.m.)

1 (Outside the presence of the Venire Jurors.) COURTROOM DEPUTY CAROL BEVEL: All rise. 2 3 THE COURT: Please be seated. 4 We are back on the record in United States v. 5 Quentin Veneno, Junior. Mr. Grano, I understand that you have some 6 7 objections that you'd like to put on the record. 8 MR. GRANO: Yes, Your Honor. For purposes of 9 the record, I would like to -- essentially, the 10 objection is as you stated. And so earlier today I 11 found out, right before the break, I found out that 12 apparently -- it was my misunderstanding, so my wrong 1.3 initially. I understood that the link was not only 14 audio, but also video, and so I found out that that was 15 not the case. 16 So for purposes of the record, I would object. 17 I think that we're already, you know, in our COVID 18 environment, we're already compromised because we do not 19 have the ability to have people present. I think the 20 dynamics of the courtroom -- and I completely understand 21 all the time and effort and money and manpower that has 2.2 gone into this. 23 But ultimately, it's not the same, and I think 24 that's true for everyday life right now. But this is

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definitely not the same. Now, to learn that in fact

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only audio, I think that essentially even further compromises the Sixth Amendment right to a public trial.

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And so for purposes of the record, that is what I'm putting in. I do acknowledge the fact that I walked in after lunch and saw that apparently they have captured some type of video-recording device. I haven't received a link yet, so I'm not sure if it operates kind of in the same fashion as to where we can get it and send it or e-mail it to whoever we need to.

But for purposes of the record, I would make that objection in regard to his Sixth Amendment right to a public trial being compromised.

THE COURT: Thank you, Mr. Grano.

We had discussed this several times prior to trial. However, this issue came up after we had gone through the first jury selection panel, and before the second. We do have a video feed up this afternoon. The audio link was available for anyone, the public, anyone who wanted to listen to the first session this morning. We will have audio and video from here on out. We do have the password and the whatever. We do have the identifiers that you need to log into the Zoom proceeding, and they will be available to anyone who calls, and certainly the public, to access it.

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I would like to make some findings on the record.

For the first time, after the session this morning of voir dire with the first panel, and before the second panel, the government requested that the Court make a record on the steps taken to ensure public access to this trial and the reasons necessitating closing the courtroom. The Court notes that no motion was filed prior to trial beginning, and no objections were made by the defense prior to beginning trial this morning.

Moreover, the government has not specifically argued whether and how any specific constitutional right was violated. Mr. Grano has just put some objections on the record.

The Court notes that this trial, including a portion of jury selection this morning, was not closed to the public. The public has access to this trial by an audio feed this morning, which was accessible through the Court's public website. This will likely reach a broader audience than merely having the courtroom open to the public because we certainly just don't have the room, even though they're not being allowed at this point in person, because of space limitations due to social distancing requirements by the CDC.

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Notably, neither party has cited to any law or principle that a trial is closed to the public when the public can listen to the trial through the Court's website.

Nevertheless, beginning this afternoon, the Court will have a video feed available through Zoom. The public will be able to observe and listen to the trial through this video feed. The Court notes that all participants, including the court staff, venire panel, jurors, counsel, the parties, and the undersigned are present in the courtroom. However, the courtroom is not physically accessible to the public. A partial restriction prohibiting the public from accessing the physical courtroom is necessitated by the COVID-19 pandemic. This limited restriction is necessitated by CDC and State guidelines on the COVID pandemic.

Specifically, the Court finds that social distancing is not possible if the public were allowed in the gallery. In order to adequately social distance the jurors and other court participants, the jurors are currently placed in the gallery. Therefore, there is no reasonable place to put the public. The District of New Mexico has spent hours formulating a plan for resumption of jury trials, some of which were detailed earlier this morning.

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The Court will enter into the record the plan for resumption of jury trials in the District of New Mexico during the pandemic, and also photographs of the courtroom for review purposes. The plan details the precautions taken by this Court for this proceeding.

The Sixth Amendment to the United States

Constitution in part indicates that "In all criminal prosecutions, the accused shall enjoy the right to a speedy and public trial...The Supreme Court of the United States has interpreted the Sixth Amendment and the First Amendment as imposing a presumption that criminal trials, including voir dire, will be open to the public."

entirely apply here because the trial is not closed to the public, although there are certain restrictions. As previously explained, the Court considers the trial only partially closed because the public, including defendant's family and representatives, had access this morning to the audio feed, and hereinafter will have access to both audio and video feed.

If this closure were considered a complete closure, the circumstances must satisfy the test set forth in the Waller v. Georgia case, 467 U.S. 39. In order to justify closure, the party seeking to close the

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proceeding must advance an overriding interest that is likely to be prejudiced. The closure must be no broader than necessary to protect that interest. And the trial court must consider reasonable alternatives to closing the proceeding. And, finally, it must make findings adequate to support that closure.

addressed this specific issue at this time. However, the Eleventh Circuit has recognized a common-sense distinction between total closures of proceedings and situations where the courtroom is only partially closed to spectators. The Eleventh Circuit has held that when access to the courtroom is retained by some spectators, such as representatives of the press or the defendant's family, the impact of the closure is not as great and not as deserving of such a rigorous level of constitutional scrutiny. In the event of a partial closure, a court need merely find a substantial reason for the partial closure, and need not satisfy the elements of the more rigorous Waller test.

There is a substantial reason for partial closure of the trial, and the Court need not analyze the Waller factors herein. Specifically, there is no greater responsibility than ensuring the health, life, and safety of the public. There is no more compelling

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reason to close a courtroom to the physical access of the public than the COVID-19 pandemic. Under the specific circumstances, it is not possible to maintain social distancing while granting the public physical access to the courtroom. The closure is not broader than necessary, and it is the only reasonable response to the COVID-19 pandemic.

The President of the United States has declared a national emergency due to the COVID-19 pandemic. The Governor declared a state of emergency and issued statewide orders restricting the number of people who could gather together inside. The CDC has similarly recommended adopting social distancing to limit the spread of COVID-19. This Court has issued multiple administrative orders. The Court herein adopts 20-mc-00004, Document 17, into the record for purposes of review.

Nevertheless, the previous findings also support a conclusion that the Waller factors have been satisfied. First, the public health is an overriding interest to close the courtroom to the physical access of the public. Second, the closure is not broader than necessary to protect the public health. As explained previously, it is not possible to adequately social distance and put the public in the gallery because the

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        venire panelists and jury will occupy the gallery.
        Third, reasonable alternatives have been put in place,
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        as the proceeding is available to the public through
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        audio and video. Fourth, and finally, the Court has set
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        forth adequate findings for physically closing the
        courtroom, as discussed.
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                  Is there anything else that we need to take up
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        before we bring in the jury panel?
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                  MR. NAYBACK: Not on behalf of the United
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        States, Your Honor. Thank you so much.
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                  MR. GRANO: No, Your Honor. Thank you.
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                  THE COURT: Thank you. Can we have her bring
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        up the venire jury panel?
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                  COURTROOM DEPUTY CAROL BEVEL: Yes, Your
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        Honor.
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                  THE COURT: The venire panel?
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                  COURTROOM DEPUTY CAROL BEVEL: Yes.
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                  THE COURT: I'm going to step down. You may
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        remain seated. Please let me know when they arrive.
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                  COURTROOM DEPUTY CAROL BEVEL: I will, Judge.
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                  (Recess from 1:26 p.m until 1:38 p.m.)
                  COURTROOM DEPUTY CAROL BEVEL: All rise.
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                  THE COURT: Please be seated.
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                  Good afternoon. Thank you for being here this
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        afternoon. I think it's a beautiful day outside.
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Appendix D

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FILED
United States Court of Appeals

PUBLISH

UNITED STATES COURT OF APPEALS

March 7, 2024

Tenth Circuit

FOR THE TENTH CIRCUIT

Christopher M. Wolpert Clerk of Court

UNITED STATES OF AMERICA,

Plaintiff - Appellee,

v.

QUENTIN VENENO, JR.,

Defendant - Appellant.

No. 21-2101 (D.C. No. 1:18-CR-03984-KWR-1) (D. N.M.)

ORDER

Before CARSON, EBEL, and ROSSMAN, Circuit Judges.

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This matter is before the court on the *Petition for Rehearing or Rehearing En*Banc (Petition) filed by Appellant. We also have a response from Appellee. Upon careful consideration, we direct as follows.

Pursuant to Fed. R. App. P. 40, Appellant's request for panel rehearing is GRANTED IN PART to the extent of the modifications in the attached revised opinion. The court's September 12, 2023 opinion is withdrawn and replaced by the attached revised opinion, which shall be filed as of today's date. Because the panel's decision to partially grant panel rehearing resulted in only non-substantive changes to the opinion that do not affect the outcome of this appeal, Appellant may not file a second or successive rehearing petition. *See* 10th Cir. R. 40.3.

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The Petition, response, and revisions to the court's original opinion were transmitted to all non-recused judges of the court who are in regular active service. As no member of the panel and no judge in regular active service requested that the court be polled, Appellant's request for rehearing en banc is DENIED. *See* Fed. R. App. P. 35(f).

Entered for the Court,

CHRISTOPHER M. WOLPERT, Clerk