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DEPARTMENT OF VETERANS AFFAIRS HEARING AIDS

| 14 w 1 | [| 1. Audiology | / Clinic, Station 662 | | 2 | He | aring | Aid | Services | | | |
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| | | VA Medical Center(662/126) 4150 CLEMENT ST SAN FRANCISCO, CA 94121 Telephone 415-750-2124 (Audiology Clinic) Register to order batteries on-line @ www.ebenefits.va.gov | | | VA Denver Acquisition & Logistics Center PO BOX 25166 DENVER, CO 80225-0166 Telephone 303-273-6200 E-Mail:dalc.css@va.gov | | | | res | | | |
| | | | horized Hearing Aids | | | | | | | | | |
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| | | Users are res | ponsible for the mai ring aid is made by | | | | | | | | | |
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SETTLEMENT AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE SANTA CLARA COUNTY SUPERIOR COURT

Department of Justice Complaint Number 204-11-90

This Settlement Agreement ("Agreement") fully resolves the issues raised in a complaint filed under Title II of the Americans with Disabilities Act of 1990 (ADA), 42 U.S.C. SS 12131 - 12134, with the United States Department of Justice ("Department") against the Santa Clara County Superior Court ("Court"). The complaint alleges that the Court's policies and procedures for providing assistive listening systems and other auxiliary aids and services do not ensure effective communication with hard of hearing persons. This limits the participation of hard of hearing individuals in the Court's programs, services and activities. The parties have engaged in extensive discussion concerning the issues and determined that the agreements made herein will resolve this complaint.

The parties hereby agree as follows:

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1. The subject of this Settlement Agreement is the provision of appropriate auxiliary aids and services to ensure effective communication and an equal opportunity for hard of hearing persons to participate in the programs, services and activities conducted by the Court.

2. The Court will furnish appropriate auxiliary aids and services when necessary to afford hard of hearing individuals the opportunity to participate in Court activities in accordance with California State Rule of Court 989.3, Requests for Accommodations by Persons With Disabilities. Auxiliary aids and services may include qualified sign or oral interpreters, assistive listening devices or systems, real time transcription, written materials, note pads and other effective methods of making aurally delivered materials available to hard of hearing individuals.

3. The Court will provide an opportunity for hard of hearing individuals to request the auxiliary aid or service of their choice, and will give primary consideration to the choice expressed. "Primary consideration" means that the Court will honor the choice, unless it can be shown that another equally effective means of communication is available, or that the use of the means chosen would result in a fundamental alteration in the nature of the program, service or activity, or in an undue financial or administrative burden.

4. The Santa Clara County Court system consists of 54 courtrooms, located in six buildings throughout the county. The Court will provide appropriate assistive listening technology for any courtroom in the system to meet the needs of hard of hearing persons for effective communication.

5. The Court will develop a written policy for provision of auxiliary aids or services when necessary to ensure effective communication in the Court system's programs, services and activities. The policy and its implementing procedures will include the following:

- A) the name, office address and telephone number of the individual(s) responsible for providing appropriate auxiliary aids and services;
- B) procedures delineating how one requests an aid or service, including:
 - the name, office address and telephone number of the individual(s) directly responsible for responding to the request;
 - * where one calls or writes to make a request;
 - * the date by which the requester will be informed of the outcome of his or her request;
- C) specific procedures regarding maintenance of auxiliary aids and services, including assistive listening systems. These procedures must detail when, where and how the equipment will be maintained in good operating order and who is responsible for such maintenance.

In each Court Clerk's office, the Court will post a notice in a conspicuous location advising individuals with disabilities of the procedures to make a request for an auxiliary aid or service.

6. The Court will ensure that availability of auxiliary aids and services will be well posted in all Superior Court buildings. Appropriate written notices, signage, and other communications with the public will include information regarding the policy for providing special accommodations. Written notices will identify responsible staff and explain how to acquire services. - 3 -

7. The Court will distribute the policy and procedures outlined in paragraph 5 to all judges and staff. Within 90 days of the effective date of this Agreement, the Court will provide training to appropriate staff concerning implementation of these policies and procedures for ensuring effective communication with hard of hearing individuals. The training will emphasize the differences in the communication needs of hard of hearing individuals as compared with deaf persons, and the different methods of communicating with hard of hearing persons via telephone and in the courtroom. The training will also cover operation, use and maintenance of auxiliary aids and services. including a plan of scheduled maintenance.

8. The Court will monitor the implementation of the policy and procedures outlined in paragraph 5 for two years. The Court will continue to compile statistics on all required information and report monthly to the Judicial Council. The Court will update its assistive listening technology and/or obtain additional equipment when deemed appropriate by the Court, and consistent with the ADA, to meet the needs of individuals with hearing disabilities.

9. Within 60 days of the effective date of this Agreement, the Court will submit a report to the Department of Justice describing the actions taken to implement the provisions of this Agreement.

10. The Department of Justice may review compliance with this Agreement at any time. If it believes that this Agreement or any requirement thereof has been violated, the Department of Justice may institute civil action seeking specific performance of the provisions of this Agreement in an appropriate United States District Court.

11. The effective date of this Agreement is the date of the last signature below.

12. This Agreement constitutes the entire agreement between the parties on the matters addressed herein. No other statement, promise or agreement, either written or oral, made by either party or agents of either party, that is not contained in the written Agreement, will be enforceable.

-4-For the Santa Clara County For the United States of Superior Court: America: Judge Biafore Deval L. Patrick Presiding Judge Santa Clara County Superior Court (Signature) By:(Signature)

Stephen V. Love Court Executive Officer (Signature)

Joan Magagna, Deputy Chief Sheila Foran, Attorney Thomas Esbrook, Equal Opportunity Specialist Civil Rights Division U.S. Department of Justice P.O. Box 66738 Washington, D.C. 20035-6738 (202) 307-0663

Melanie R. Conroy Facilíties Manager (Signature)

John Longabaugh Equal Opportunity Division (Signature)

Dated: 8-30-96

Dated: 10-9-96

County of Santa Clara

Jail Reforms

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Consent Decrees Status

Consent Decrees Status

In 2019, the County entered into two consent decrees to resolve litigation over conditions in the County jails: *Chavez v. County of Santa Clara, et. al* (U.S. District Court, Northern District of California, Case No. 15-CV-05277-NJV) and *Cole v. County of Santa Clara, et. al.* (U.S. District Court, Northern District of California, Case No. 16-CV-06594-LHK). On August 17, 2021, the Board of Supervisors asked County Counsel to prepare a public report on the status of the County's compliance with these consent decrees.

Public Report - County's Compliance with the Jail Remedial Plans

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PUBLIC REPORT COUNTY'S COMPLIANCE WITH THE JAIL REMEDIAL PLANS

At the direction of the County of Santa Clara Board of Supervisors, from the August 17, 2021 Board Meeting (Item No. 12(d)), the Office of the County Counsel provides this public report regarding the status of the County's compliance with two federal consent decrees relating to the County jails: *Chavez v. County of Santa Clara, et. al* (U.S. District Court, Northern District of California, Case No. 15-CV-05277-NJV) and *Cole v. County of Santa Clara, et. al.* (U.S. District Court, Northern District of California, Case No. 16-CV-06594-LHK).

OVERVIEW

In March 2019, the federal courts approved the *Chavez* and *Cole* consent decrees, thereby settling these two class action lawsuits. (<u>Chavez</u> remedial plan; <u>Cole remedial plan</u>.) Each consent decree has a remedial plan. The remedial plans generally cover the following topics related to the County's jails:

- Cole ADA Mobility Disability ADA Construction and Renovation
- Chavez Medical Care Mental Health Care Dental Care Suicide Prevention Administrative/Disciplinary Management Use of Force Cognitive Disabilities Vision, Hearing, Speech Disabilities

The <u>Chavez remedial plan</u> contains 243 separate items that the County must complete. The <u>Cole remedial plan</u> contains an additional 220 separate items that the County must complete. The parties to the litigation use unique numbers to refer to the items in each remedial plan to assist with tracking and compliance—1-243 for the items in the *Chavez* remedial plan and 500-720 for the items in the *Cole* remedial plan. The Office of the County Counsel uses the same numbering system here to report on the progress of implementation efforts.

The items in the remedial plans are monitored by jointly retained experts or the plaintiffs' counsel, depending on the item. The monitors evaluate the County's progress on the remedial plan items and assign one of the following ratings:

Substantial Compliance: The County is in compliance with the essential elements of the Remedial Plan that satisfy the overall purposes and objectives and adherence to the provisions of the Remedial Plan in all material respects, recognizing that perfection is not required.

Public Report on County's Compliance with the Jail Remedial Plans - November 2, 2021

Partial Compliance (Chavez Only): The County is in substantial compliance with portions of the remedial plan item but noncompliant with other portions.

Unrateable-In Progress (Cole Only): The County has identified, and the applicable monitors agrees, that remediation effort concerning certain material provisions in the Remedial Plan are not yet complete.

Non-Compliance: The County has not met most of the material components of the relevant provision of the remedial plan.

Not Rated: The applicable monitor has not yet had the opportunity to monitor the item, due to COVID-19 or other reasons. This rating is utilized primarily when on-site monitoring is required to evaluate the item.

PLEASE NOTE:

- The information provided below is based on the ratings provided by the applicable monitor(s) as of their last rating. The County and/or plaintiffs' counsel may dispute the compliance rating.
- The monitors are relatively early in their monitoring process and COVID-19 has significantly impacted their ability to fully assess County progress. Some monitors were able to visit the jail before the pandemic began or during less severe periods of the pandemic, while others completed only remote monitoring visits. The ratings below capture a snapshot in time based on the monitors' assessment based on the information they have seen to date. As the monitors conduct further onsite visits to the jail and become fully oriented to the County system, they may change their ratings either up or down to reflect additional information they learn even though the County's underlying progress remains unchanged.
- The topics used throughout this report are summaries of the remedial plan items drafted for ease of reference by the Office of the County Counsel. Please refer to the underlying remedial plan linked above for the full description of each remedial plan item.
- The remedial plans are expected to take several years to fully implement and so the existence of areas of partial or noncompliance at this stage is expected.

The information provided below has been provided to plaintiffs' class counsel in Chavez and Cole in advance of this publication.

Public Report on County's Compliance with the Jail Remedial Plans - November 2, 2021

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KEY ACCOMPLISHMENTS AND AREAS FOR IMPROVEMENT

Key Accomplishments

- The County electronic medical records system contains an inmate's mental health, dental, and medical information in one system and allows for timely ordering of medications, labs, and clinical monitoring.
- Intake screening has been redesigned and is comprehensive and thorough.
- Inmates' reported medications at intake verified within 72-hours.
- Individuals requiring mental health assessment after intake are seen within appropriate times.
- Quality assurance and quality improvement for mental health issues are sophisticated and comprehensive.

Key Areas for Improvement

- Improve access to medical care, including more timely medical appointments.
- Restore multidisciplinary custody deputies who assist with healthcare access and addressing needs of inmates who are seriously mentally ill.
- Improve ability to evaluate staffing productivity.
- Improve privacy during clinical encounters, including by providing better physical spaces for delivery of medical and mental health care and changing culture around privacy.
- Develop clinical practice guidelines for chronic disease management.
- Implement use of Penal Code section 2603 for treating inmates who require involuntary antipsychotic medication and meet criteria, such as grave disability.
- Shift breakfast time later (currently commencing at 4:00 a.m.) so that diabetic pill call does not need to occur in early a.m.

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CHAVEZ REMEDIAL PLAN

| MEDICAL AND MENTAL HEAD | | | | |
|---|--|--------------|--------------------|--------------|
| Ratings as of March 2011 for Medical Health and A _j Topics | pril 2011 for Men Substantial Compliance | Partial | Non- Compliance | Not Rated |
| Staffing and Resources (RP 1-3): Provide adequate staff and resources to comply with the remedial plan, including 24-hour access to medical and mental health providers. | 2 | 1, 3 | | |
| Medical and Mental Health Records and Confidentiality (RP 4-6): Use one health record, track requests for outside records, and only use healthcare staff for translation purposes. | 4 | 5-6 | | |
| Intake Process (RP 7-14): Provide reasonable sound privacy; revise intake screening questions; and triage and refer patients for mental health screening. | 10, 13-14 | 7, 9, 11-12 | 8 | |
| Medication Verification and Administration (RP 15-21): Verify medications within 72 hours; reliably continue medications; redesign the sick call process; and update the withdrawal policies to reflect community standards. ¹ | 16, 18 | 15, 20-21 | 19 | |
| Classification and Housing of Mentally III (RP 22-31): Allow mental health to designate mentally ill patients for appropriate classification and provide minimum required programming and out-of-cell time. | 22-23, 26-27 | 24-25, 30-31 | 28-29 | |
| Access to Care (RP 32-43): Redesign referral system and sick call process; use an aging report; and process the sick call requests within set timeframes. | 33 | 37 | 32, 34, 38-43 | - 35-36 |
| System of Care (RP 44-53): Provide optometry care; revise nursing protocols; improve chronic care tracking; implement diabetic specific improvements; and utilize regular treatment plans. | 49 | 45-48, 51-53 | 50 | 44 |
| Discharge (RP 54-55): Provide a supply of medication and discharge summary to qualifying individuals at discharge. | | 54-55 | | ······ |
| Training (RP 56-59): Provide specified mental health training to custody and mental health staff. | 56, 58 | 57, 59 | | ······ |
| Quality Assurance and Improvement (RP 60-65): Implement a variety of topic-specific quality improvement measures. | | 60-65 | | |

¹ Item 17 was removed from the remedial plan.

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| SUICIDE PREVENTIO Ratings as of April | | | | <u>-</u> | |
|---|---|--|--|---|-------------|
| TOPICS | Substantial Compliance | Partial Compliance | Non- Compliance | Not Rated | |
| Staff Training (RP 66-70): | 66-70 | | | · · · · · · · · · · · · · · · · · · · | |
| Provide suicide prevention specific training to custody and Custody Health staff. | | | | | • . |
| Intake Screening (RP 71-75): Screen and appropriately triage patients at risk of suicide. | 71-75 | | | | |
| Housing (RP 76-84): Renovate cells for suicide prevention and revise policy to appropriately house patients at risk for suicide. | 78-79, 80-84 | | 76-77 | | |
| Supervision and Management (RP 85-90): Watch patients at risk; utilize a suicide prevention tool for assessing risk; and conduct re-assessment at appropriate intervals. | 85-90 | | | | |
| Quality Improvement and Monitoring (RP 91-93): Implement a multi-disciplinary quality review of deaths or significant incidents and monitoring. | 91-93 | ÷. | | | <u>.</u> |
| KEY ACCOMPLISHMENTS AND AREAS FOR IMPROVEMENT | | | | | |
| Key Accomplishments Implemented an appropriate suicide prevention policy and an improv Developed effective trainings for staff on assessing suicide risk using the new suicide prevention policy. Incorporated all elements suggested by expert monitor on suicide pre Mental health staff appropriately complete suicide risk assessments for discontinue suicide precautions. Mental health staff perform follow-up assessments for inmates remov 72 hours, and again within one week. The County conducts multidisciplinary reviews for in-custody and set Custody staff and in which staff analyze the incident and provide recorpractices. | the new suicid vention in corre or patients refer ed from suicide tious suicide att | e risk assessme ectional settings red for suicide e precautions w tempts that invo | nt tool and on th into intake scre behavior and ag ithin 24 hours, a olve Custody He | enings. ain when staf gain within alth and | |

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Key Areas for Improvement

• Cells designated for suicide risk need greater conversion to become suicide resistant cells.

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| DENTAL REMEDIAL PLAN (ITEMS 94-116) Ratings as of February 2021 | | | | |
|--|---------------------------|-----------------------|--------------------|--------------|
| Topics | Substantial Compliance | Partial Compliance | Non- Compliance | Nót Rated |
| Timeliness of Care (RP 94-102): Conduct an oral screening; triage dental complaints; and see patients at appropriate timelines. | 97-98 | 94, 96, 101 | 102 | 95, 99-100 |
| Other Care (RP 103-106): Offer yearly dental examinations and dentures to qualifying patients. | 106 | | 103-105 | |
| Staffing and Resources (RP 107, 111-113): Provide sufficient clinical staff and resources to meet the remedial plan requirements. | 111-112 | | | 107, 113 |
| Policies, Record Keeping, and Quality Improvement (RP 108-111): Draft new policies; utilize appropriate charting and electronic medical record. | 108-111 | | | |
| Quality Improvement (RP 114-116): Create quality assurance program and study and correct dental refusals. | | 116 | 114-115 | |
| KEY ACCOMPLISHMENTS AND AREAS FOR IMPROVEMENT Key Accomplishments Dental team is equipped with and using the necessary equipment. Dental screening tool has been redesigned and is appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and to be appropriate for screening tool has been redesigned and too | mina | | | |

- Emergency dental conditions are timely addressed.
- Revised dental policies adequately describe scope of services and other dental services.
- Dentist record-keeping practices are substantially compliant.

Key Areas for Improvement

- Study dental staffing utilization and access to dental care barriers so monitors can better evaluate sufficiency of dental staffing.
- Paper system of tracking dental sick call requests does not allow monitors to easily determine if patients' dental needs are triaged timely.
- Nursing assessments of the patient's dental need are insufficient and nursing documentation insufficient. Educate and train nurses on assessment and documentation of dental pain and determining the acuity of dental problems.
- Develop informatics reports that detail the percentage of patients who are seen within the dental acuity requirements.
- Due to the Centers for Disease Control and Prevention guidelines for COVID-19, the expansion of services to include routine dental examinations and dentures was delayed past the planned implementation of March 2020.
- Draft a corrective action plan studying the reasons for dental refusals by inmates.

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| COGNITIVE DISABILITIES (RP 117-138) Ratings as of September 2021 | | | | |
|--|-------------|-----------------------|--------------------|----|
| TOPICS | Cubstantiar | Partial Compliance | Non- Compliance | ж. |
| Identification and Tracking (RP 117-122): Screen and assess individuals for cognitive disabilities. | | 117-122 | | |
| Personal Safety (RP 123-125): Screen and protect cognitively disabled individuals from others. | | 123-125 | | |
| Provide Accommodations for Programs and Services | | 126-135 | | ÷ |

 Jail Rules/Discipline (RP 136-138):
 136-138

 Implement protections from discipline.
 136-138

 KEY ACCOMPLISHMENTS AND AREAS FOR IMPROVEMENT
 136-138

 It is estimated that approximately 4-10 percent of inmates in the U.S. prison and jail population have a cognitive disability. Yet, there is no validated tool in the nation to screen for these disabilities, and individuals with cognitive disabilities can be difficult to identify because these individuals often work hard to mask their disabilities; they have very specific disabilities (e.g., can read a novel but forget to brush their teeth); and can, at times, manage better in the highly structured jail setting. But these same individuals may struggle to understand complex tasks related to jail rules; struggle to communicate with self-advocacy; and often have poor self-care practices subjecting them to exploitation and abuse by other inmates.

Because of the unique challenges presented by this part of the Remedial Plan, the County and Prison Law Office have a shared understanding that the development of a successful ADA program for individuals with cognitive disabilities will take time to develop and deploy. The Parties are closely collaborating on developing all aspects of this part of the Remedial Plan. A key accomplishment for the County is that, after significant research by the psychologist team, the County has designed an innovative screening tool as well as a testing protocol to identify individuals with a cognitive disability. The County has also developed a cognitive support plan that tailors the individuals' accommodations to their cognitive needs. A key area for improvement is the expansion of these services to more individuals. The Custody Bureau classification unit is aware of the unique safety and security risks for this population and evaluates those risks for individuals who are identified. As described below, the Custody Bureau is currently revising its inmate disciplinary policy; however, the current practice is not to discipline individuals with known cognitive disabilities. Lastly, the County has developed training on cognitive disabilities that was approved by the Prison Law Office and will be provided to all staff in the next year.

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Accommodate individuals with reading, writing, self-advocacy, and activities of

(RP 126-135):

daily living needs.

Not Rated

| VISION, HEARING, SPEECH DISABILITY (RP 139-182) Ratings as of September 2021 | | | | |
|---|---------------------------|-----------------------|--------------------|---------------------------------------|
| TOPICS | Substantial Compliance | Partial Compliance | Non- Compliance | Not Rated |
| Intake, Orientation, & Screening (RP 139-145): | 139 | 140-145 | | |
| Screen individuals for vision, hearing, and speech disabilities and provide an orientation during intake using effective communication. | | | | |
| Verification (RP 146-148): | | 146-148 | | |
| Timely verify disability and accommodation needs. | | | | |
| Issuance and Retention of Devices (RP 149-156): | | 149-156 | 1 N | |
| Timely issue, permit retention, and limit removal of assistive devices and document these actions. | | · · · · · | | |
| Housing (RP 157-158): Provide accessible housing. | | 157-158 | | |
| Effective Communication (RP 159-176): | 168-170 171 | 159-167, 172- | | · · · · · · · · · · · · · · · · · · · |
| Provide auxiliary aids and devices to accommodate disabilities. | | 176 | | |
| Grievance System (RP 177-179): | 177-178 | 179 | | |
| Provide a prompt and equitable grievance system that allows for effective communication. | | •. | | |
| Training and Management (RP 180-182): Provide annual ADA training. | 180, 182 | | 181 | ····· |
| KEY ACCOMPLISHMENTS AND AREAS FOR IMPROVEMENT | | | | |

Key Accomplishments

- Knowledge, experience, and responsiveness of the Custody Bureau's ADA Compliance Unit has improved.
- The intake screening to identify individuals with vision, hearing, and speech disabilities has been appropriately re-designed.
- Availability of range of assistive devices and auxiliary aides has improved.

Key Areas for Improvement

- Implement revised policies and conduct update training to all staff to ensure that all individuals with vision, speech, and/or hearing disabilities are identified and accommodated.
- Expand effective communication practices for programming and services.

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| ADMINISTRATIVE AND DISCIPLINAR Ratings as of Septem | | MENT (RP 18 | 3-230) | |
|--|---------------------------|-----------------------|--------------------|--------------|
| TOPICS | Substantial Compliance | Partial Compliance | Non- Compliance | Not Rated |
| Use of Administrative Management (RP 183-190): Only place individuals who engage in certain behaviors in restrictive housing setting. | | | | 183-190 |
| Conditions of Confinement (RP 191-192): Individuals are provided privileges and access to programming and staff supervises this population with regular welfare checks. | | | | 191-192 |
| Notice, Documentation, and Review (RP 193-199): Staff review and document the use of administrative management. | | | | 193-199 |
| Time Limits on Use of Administrative Management for Non- Seriously Mentally III (SMI) Individuals (RP 200-210): Individuals must be released from administrative management within set time frames. | | | | 200-210 |
| Disciplinary Management (RP 211-218): Redesign the use of restrictive housing for disciplinary purposes. | | | | 211-218 |
| Healthcare for Individuals in Administrative Management (RP 219-228): Screen patients for mental illness, provide daily health contact and weekly mental health check-ins. | 221-223, 225-228 | 219-220 | | 224 |
| Mental Health Care for SMI Individuals in Administrative Management (RP 229-235): Collaborate with Custody Bureau on a plan to get SMI individuals out of this setting and offer required treatment, programming, and 14 hours of out of cell time. | 229, 231-235 | 230 | | |
| Data and Training (RP 236-237): Keep data about use of Administrative Management and train staff on certain topics. | | | | 236-237 |

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KEY ACCOMPLISHMENTS AND AREAS FOR IMPROVEMENT

Key Accomplishments

• The County has implemented an innovative approach to dramatically reduce the use of a restrictive housing setting (previously known as solitary confinement) through its administrative management techniques, which are viewed as a model for implementation in other correctional facilities. This highly successful approach has reduced the number of inmates held in a restrictive housing setting from over 400 inmates to approximately 40 inmates.

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- Inmates held in administrative management are offered a meaningful way to down-class to a less restrictive setting through good behavior resulting in the use of short-term restrictive housing.
- Coordination between Custody Bureau staff and Custody Health Services staff on the violence risk presented by down-classing seriously mentally ill inmates held in administrative management is robust and collaborative.

Key Areas of Improvement

- Improve data collection and analysis of use of administrative management.
- Although use of restrictive housing for disciplinary purposes is infrequently used, the County needs to develop its policies and procedures and collaborate with the Prison Law Office on the disciplinary matrix.
- Improve availability and variety of out-of-cell activities for inmates held in restrictive housing.
- Improve facility cleanliness.

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| USE OF FORCE (238-243) Ratings as of March 2021 | | | | | |
|---|--|---------|--|--|--|
| | | | | | |
| Implement Use of Force Policy (RP 238): Implement the August 29, 2017 use of force policy that was developed in consultation with plaintiffs' counsel. | | 238 | | | |
| Training (RP 239-243): Conduct trainings on use of force principles at the Academy; conduct de-escalation training; and conduct training on the new policies. | | 239-243 | | | |

Key Accomplishments

- Inmates report better use of force conditions and more positive interactions with staff.
- Sustained positive trend in reduction in the rate of use of force.
- Noticeable improvements in de-escalation efforts and the use of reasonable and necessary force.
- Appropriate use of restraints.
- Ample video footage of use of force events, but some inconsistent activation of body worn camera footage by some participating staff.
- Improved data gathering by Use of Force Review Committee.
- Transparency and openness by current jail administration in addressing changes.

Key Areas for Improvement

- Focus on the inter-relationship between failed de-escalation efforts that led to unreasonable and unnecessary force options.
- Re-examine appropriate intervals in repeated use of chemical agents.
- Retrain those supervisors who may be lacking in scene management during a force event.
- Documentation of force events by staff is deficient particularly as it relates to reasons that body worn camera activation failed or was delayed/deficient and appropriate intervention by first line supervisors is not sufficient.
- Finalize the use of force policy revisions and train staff with particular emphasis on de-escalation, supervisory scene command, and documentation of use of force events.
- Implement and prioritize training to staff on the new policies.
- Implement jail management system to improve data collection and analysis; but in meantime, continue to improve data collection efforts on use of force trends.
- Continue improvement of the quality of information provided to the Use of Force Review Committee and improved discussion regarding appropriateness of use of force by staff.
- Address challenges with force on the mentally ill by re-instituting the use of multidisciplinary custody deputies with greater trainings and participation by mental health staff in de-escalation.

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APP 16

COLE REMEDIAL PLAN

| MOBILITY DISABILITIE Ratings as of March 2021 | CS | | |
|---|--|-----------------------|--|
| TOPIC AREAS | Substantial Compliance | Non- Compliance | Unrateable- In Progress |
| Intake Process (RP 500-510): Screen individuals for mobility disabilities; provide immediate accommodations; orient newly booked individuals. | 501, 509 | 504 | 500, 502- 503, 505-508, 510 |
| Verification and Accommodation (RP 511-528): Promptly arrange for verification and accommodation evaluation by the ADA Compliance Unit and medical providers. | 513- 514, 516, 519, 521-522 524, 528 | 520, 523, 525- 526 | 511-512, 515, 517-518, 527 |
| Issuance, Retention, and Maintenance of Devices (RP 529-545): Timely issue, properly maintain, and replace assistive devices; restrict removal of devices. | 534, 537, 539, 545 | 533, 540-544 | 529-532, 535- 536, 538, |
| Classification and Housing (RP 546-556): Do not use disability as part of classification and house individuals with mobility impairments to accommodate disability. | 548, 550-554 556 | 549, 555 | 546-547 |
| Track Individuals (RP 557-566): Designated Custody Bureau and Custody Health staff use one system to track individuals with mobility disabilities. | | | 557-566 |
| Programs and Services (RP 567-581): Provide programmatic access and accommodations for jail services. | 574-581 | 568-569 | 567, 570-573 |
| Policy and Review (RP 582-586): Revise policies consistent with remedial plan. | 583-584 | 585 | 582, 586 |
| ADA Coordinator (RP 587-592): Assign a coordinator to oversee compliance, meet with individuals, and liaison between staff. | 587, 589, 591- 592 | 588 | 590 |
| Training and Monitoring (RP 593-599, 711): Provide training to all new staff and existing staff; provide updated training on policies; self- monitor progress; keep a construction schedule. | 593, 596 | 711 | 594-595, 597- 599 |
| Structural Access Through Policy (RP 601, 602, 613, 616, 626, 633, 651, 652, 657, 658, 661, 664, 684, 685): As ADA renovations are completed, ensure structural access through implementation of specific space-based practices to accommodate individuals with mobility disabilities. | 601-602 613, 616, 661 | 664 | 626, 633, 651- 652, 657-658, 684-685 |
| Grievance and Request System (RP 713-720): Provide a readily available mechanism for filing grievances and ADA requests. | 713, 716 | 714-715, 717- 720 | |

Public Report on County's Compliance with the Jail Remedial Plans - November 2, 2021

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KEY ACCOMPLISHMENTS AND KEY AREAS OF IMPROVEMENT

Key Areas of Accomplishment

- Design of new intake screening for mobility disabilities is robust.
- Improved Custody staff awareness of individuals' specific disabilities and accommodation needs through use of the inmate accommodation list is a positive development.
- ADA Compliance Unit is knowledgeable about the remedial plan; a valuable resource for staff; able to immediately address ADA concerns raised by individuals; and valued by individuals in custody for their proactive approach of addressing ADA concerns.

ă.

CONTRACTOR STREET

1 A .

Key Areas for Improvement

- Lack of jail management system to document individual's ADA disabilities and accommodations as well as actions by Custody Bureau staff related to ADA compliance activities makes it challenging to verify compliance with key provisions of the remedial plan.
- Insufficient documentation that certain mobility devices are distributed within four hours.
- Insufficient documentation to demonstrate that the ADA Compliance Unit and medical unit are communicating about interim ADA accommodations.
- Implement agreed-upon policies and train staff on those policies.
- Ensure issuance of medical authorization to inmates with approved disability and/or accommodation.
- Ensure ADA Unit is provided with ADA Request within seven days of receipt of the request and, where appropriate, provide the requested reasonable accommodation or begin the verification process.
- Implement tracking and inventory of devices and regularly check on availability of devices.
- Ensure that ADA-related grievances are forwarded to the ADA Unit.

| FACILITY MODIFICATIO Ratings as of September 2021 | DNS ² | | |
|--|---|--------------------|----------------------------|
| Construction Areas | Compliance | Non- Compliance | Unrateable- In Progress |
| New Jail (600, 603-604): Construct a new jail with 3% ADA capacity or, if the County elects not to build a new jail, meet and confer with plaintiffs' counsel about additional construction needs in the existing facilities to achieve ADA compliance. | • | , ; t | 600, 603-604 |
| Address Structural Barriers in the Following | Areas of the Jails | ····· | |
| Main Jail: Booking Area (605-612) | 605-607, 610-611 | 608-609, 612 | 613 |
| Main Jail: Property Release Area (614-615) | | | 614-615 |
| Main Jail: Second Floor – General Use Areas (617-621) | 617 | 618 | 619-621 |
| Main Jail: Second Floor – Special Housing (2B) (622-625) | • | | 622, 623-625 |
| Main Jail: Second Floor – Infirmary (2C) (627-632) | | | 627-632 |
| Main Jail: Fourth and Fifth Floor (634-643) | 634-640 | 641-642 | 643 |
| Main Jail: Eighth Floor (644-650) | 644, 646- 649 | 645 | 650 |
| Elmwood: General Areas, Paths of Travel, Processing Area, Information Center (653-656, 659-660) | 653 | | 654-656, 659- 660 |
| Elmwood: Medical Facility (662-663) | 662 | | .663 |
| Elmwood: Men's Operations (665-669) | 665-669 | | |
| Elmwood: Men's Minimum Security Housing (670-673) | | | 670-673 |
| Elmwood: Men's Minimum Security Dining and Recreation (674-678) | 674, 676-677 | 675 | 678 |
| Elmwood: Men's Medium Housing (679-684, 686-693) | 686, 688-690 | 687 | 679-684, 691- 693 |
| Elmwood: Women's Minimum and Medium Housing (694-699) | . ' 694, 69 8 · · · | 695-697 | 699 - |
| Elmwood: Women's Medium and Maximum Security (700-708) | 700-707 | | ,708 . |
| Elmwood: Women's Classrooms (710) | ي بل الم الم الم الم الم الم الم الم الم ال | 710 | · · · |

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 ² Because facility modifications involve construction, there are no areas for improvement because the work either passes ADA review or it does not pass.
 Public Report on County's Compliance with the Jail Remedial Plans – November 2, 2021
 Page 14 of 14

OFFICE OF THE CLERK CALIFORNIA COURT OF APPEAL SIXTH APPELLATE DISTRICT 333 West Santa Clara Street, Suite 1060 San Jose, CA 95113 www.courts.ca.gov Phone: (408) 277-1004 February 7, 2020

If you have been served electronically, this will be your only notice. You will not receive a paper notice in the mail.

Howard Herships CDC #: DVW719 701 S. Abel St. Milpitas, CA 95035

- • ~

Office of the Attorney General 455 Golden Gate Avenue Suite 11000 San Francisco, CA 94102 FAGDocketing@doj.ca.gov

Å. T H047816 Herships v. Superior Court Santa Clara County Super, Ct. No. BB519233

Gentlepersons:

¢,

The court requests that real party in interest serve and file on or before February 2: 2020, points and authorities in preliminary opposition to the petition. Petitioner may serve and file a reply within 15 days after the preliminary opposition is filed in this court.

Very truly yours,

Baltazar Vazquez, Assistant CEO

APP 20

Santa Clara County Superior Court Santa Clara County District Attorney Sania Clara County Public Defender

Appellate Courts Case Information

6th Appellate District

Change court V

Parties and Attorneys

Herships v. Superior Court Case Number H047816

Party Attorney Howard Herships : Petitioner Pro Per 701 S. Abel St. Milpitas, CA 95035 The Superior Court of Santa Clara County : Respondent The People : Real Party in Interest Office of the Attorney General 455 Golden Gate Avenue Suite 11000 San Francisco, CA 94102 Office of the District Attorney 70 West Hedding Street, West Wing San Jose, CA 95110 Kyle J. Neddenriep Santa Clara County Public Defender 120 W Mission St San Jose, CA 95110 Kaci Rebecca Lopez Office of District Attorney 70 West Hedding Street, West Wing San Jose, CA 95110

Click here to request automatic e-mail notifications about this case.

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TO BE FILED IN THE COURT OF APPEAL

| | GR-12 |
|--|--|
| COURT OF APPEAL SIXTH APPELLATE DISTRICT, D | COURT OF ARREAL CASE MUMORER |
| ATTORNEY OR PARTY WITHOUT ATTORNEY: STATE BAR NO.: 173,659 NAME: Kaci R. Lopez FIRM NAME: Santa Clara County Office of the District Attorney STREET ADDRESS: 70 West Hedding Street; West Wing CITY: San Jose STATE: ČA ZIP. TELEPHONÉ NO.: (408) 792-2805 FAX NO.: E-MAIL ADDRESS: Klopez@dao.sccgov.org ATTORNEY FOR (neme); The People | SUPERIOR COURT CASE NUMBER: BB517233 CODE: 95110 |
| APPELLANT: HOWARD HERSHIPS RESPONDENT: THE PEOPLE OF THE STATE OF CALIFORNIA | À |
| APPLICATION FOR EXTENSION OF TIME TO FIL (CRIMINAL CASE) | LE BRIEF |
| 1. I (name): Kaci R. Lopez request that appellant's opening brief (AOB) | t the time to file (check one) |
| respondent's brief (RB) combined respondent's brief (RB) and appellant's opening combined appellant's reply brief (ARB) and respondent's b appellant's reply brief (ARB) | |
| now due on (date): February 21, 2020 be extended to | to <i>(date):</i> April 21, 2020 |
| 2. I have is have not received a rule 8.360(c)(5) noti | ice. |
| I have received no previous extensions to file this brief. the following previous extensions: (number of extensions): extensions from the court Did the court mark any previous extension "no further?" | t totaling <i>(total number of days):</i> |
| 4. The last brief filed by any party was: X AOB RB filed on (date): January 29, 2020 | RB and AOB ARB and RB |
| 5. The record in this case is: Clerk's Transcript: Reporter's Transcript: Augmentation/Other: | Date filed TBD TBD |
| Defendant was convicted of (specify): A misdemeanor count of violating Penal Code section 594(a)/(b)(| (1) on December 17, 2008 |
| 7. The conviction is based on a (check one): x jury verdict plea of guilty or no contest | APP 22 |

Page 1 of 2

CR-126

| | CR |
|---|--|
| APPELLANT: HOWARD HERSHIPS RESPONDENT THE PEOPLE OF THE STATE OF CALIF | COURT OF APPEAL CASE NUMBER: FORNIA H047816 |
| | |
| The court imposed the following punishment: 1 year county jail (335 days suspended), 3 years formal p | probation fines fees and restitution to the victim |
| | |
| 9. The defendant is is not on bail pendin | |
| | |
| 10. The reasons that I need an extension to file this brief are s | stated |
| below. on a separate declaration. You may use Attached D | Declaration (Court of Appeal) (form APP-031) for this purpose. |
| | |
| (Please specify; see rule 8.63 for factors used in determin The People need additional time to obtain the transcrint/re | ning whether to grant extensions): ecording of the most recent proceeding held in the Santa Clara County |
| Superior Court on January 17, 2020. The People submitte | ed a request for the record on 2/13/20 and received an automatic reni |
| that we would be contacted "within two weeks about the c | cost and how long it will take to complete the transcript." We requested that the court. Since the record is necessary to evaluate Mr. |
| Hersnips claims, the People are requesting an additional (| 60 days - to April 21, 2020 - to receive and review the record and sub |
| a preliminary opposition to this Court. | |
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| | |
| Appeal) (form APP-009E) for this purpose. I declare under penalty of perjury under the laws of the State of | of California that the information above is true and correct. |
| Date:2/19/2020 | |
| Kaci R. Lopez | HARLING HAPIZ |
| (TYPE OR PRINT NAME) | (SIGNATURE OF PARTY OR ATTORNEY) |
| | |
| Order on Application is 🔀 below | /on a separate document |
| | |
| | |
| | ORDER |
| EXITENSION OF TIME IS: | ` |
| Granted to (date): | |
| Denied to (dete): | |
| | |
| Date: | |
| | APP 23 |
| | |
| κ. | (SIGNATURE OF PRESIDING JUSTICE) |
| | |
| CR-126 [Rev January 1, 2017] APPLICATION FOR EXTENSION | OF TIME TO FILE BRIEF (CRIMINAL CASE) Page |
| (4 | Appellate) |

| Court of Appeal. Sixth Appellate District | Court of Appeal, Sixth Appellate District |
|--|---|
| Baltazar Vazquez, Assistant Clerk/Executive Officer | Baltazar Vazquez, Assistant Clerk/Executive Officer |
| Electronically RECEIVED on 3/23/2020 on 4.13.30 PM Electr | lectronically FILED on 3/23/2020 by J. Segura, Deputy C COURT OF APPEAL CASE NUMBER H047816 |
| ATTORNEY OR PARTY WITHOUT ATTORNEY: STATE BAR NO. 173 650 | |
| ATTORNEY OR PARTY WITHOUT ATTORNEY: STATE BAR NO.: 173,659 NAME: Kaci R. Lopez FIRM NAME: Santa Clara County Office of the District Attorney STREET ADDRESS: 70 West Hedding Street, West wing | SUPERIOR COURT CASE NUMBER BB517233 |
| City Con loss | |
| TELEPHONE NO.: (408) 792-2805 FAX NO.: | |
| E-MAIL ADDRESS klopez@dao.sccgov.org | |
| ATTORNEY FOR (name): The People | |
| APPELLANT HOWARD HERSHIPS | |
| RESPONDENT: THE PEOPLE OF THE STATE OF CALIFORNIA | |
| APPLICATION FOR EXTENSION OF TIME TO FILE BRIEF (CRIMINAL CASE) | |
| 1. I (name): Kaci R. Lopez | |
| request that the time to me (the | eck one) |
| appellant's opening brief (AOB) | |
| x respondent's brief (RB) | |
| combined respondent's brief (RB) and appellant's opening brief (AOB) (see ru | le 8.216) |
| combined appellant's reply brief (ARB) and respondent's brief (RB) (see rule 8 | 3.216) |
| appellant's reply brief (ARB) | |
| now due on (date): 3/20/2020 be extended to (date): 4/21/2020 | |
| | |
| 2. I have have not received a rule 8.360(c)(5) notice. | |
| 3. I have received | |
| no previous extensions to file this brief. | |
| x the following previous extensions: | |
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| Did the court mark any previous extension "no further?" | erofdays): 28 days X No |
| 4. The last brief filed by any party was: X AOB RB RB and AOE filed on (<i>date</i>): January 29, 2020 | ARB and RB |
| 5. The record in this case is: | |
| Volumes (#) Pages (#) Date filed | |
| Clerk's Trapporint: | BD |
| Poportada Transactata | BD |
| Augmentation/Other: | |
| Defendant was convicted of (specify): A misdemeanor count of violating Penal Code section 594(a)/(b)(1) on December 17, | 2008. |
| The conviction is based on a (check one): iury verdict | |
| plea of guilty or no contest | |
| | APP 24 |
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| Form Approved for Colional Use a DDL LO A THOLE TO A | Page 1 of |

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|---|--|--|---|
| APPELLANT: HOWARD HERSHIPS RESPONDENT THE PEOPLE OF THE STATE | | COURT OF APPEA H047816 | AL CASE NUMBER |
| | | | |
| The court imposed the following punishment: One year county jail (335 days suspended), 3 year | years formal probation, fine: | s, fees and restitution to th | e victim |
| 9. The defendant is is not on | bail pending appeal. | | |
| 10. The reasons that I need an extension to file this $\begin{bmatrix} x \end{bmatrix}$ below. | s brief are stated | | |
| on a separate declaration. You may use | Attached Declaration (Coun | of Appeal) (form APP-031 | 1) for this purpose. |
| (Please specify; see rule 8.63 for factors used if The People are still awaiting the electronic reco Clara County Superior Court on 1/17/2020. As received an automatic response from the court to complete the transcript." To date, I have not 3/20/2020 via email indicating that the record w today, I have not received a response. I did no extending time by 30 days for any deadlines that this afternoon that a request for extension of tim proceedings is necessary for a proper evaluation extension of time to April 21, 2020 for the Super | proving/transcript of the proce previously noted, the Peop that I would be "contacted y received any response fror ras needed for the preparati t previously submit this requ at occurred between 3/18 at ne would need to be submit on of and response to Mr. H | eedings that are the subject le submitted a request for within two weeks about the n the court. I attempted to on of a response to an app lest because I saw the ord and 4/17/2020. I was advise ted. The People submit the erships' claims and theref | the record on 2/13/2020 are cost and how long it will the follow up with the court or pellate court order and, as ler on this Court's website ed by the Clerk of this Court at the record of the underly ore request an additional |
| | | | |
| 11. A proof of service of this application on all those (see rule 8.360(d)). You may use <i>Proof of Servi Appeal</i>) (form APP-009E) for this purpose. I declare under penalty of perjury under the laws of Date: <u>3/23/2020</u> | ce (Court of Appeal) (form) | APP-009) or <i>Proof of Elect</i> | ronic Service (Court of |
| (see rule 6.350(d)). You may use Proof of Servi Appeal) (form APP-009E) for this purpose. I declare under penalty of perjury under the laws of | ce (Court of Appeal) (form) the State of California that t | APP-009) or <i>Proof of Elect</i> he information above is tru <i>Lacing Roberts</i> | ronic Service (Court of ue and correct. |
| (see rule 8.300(d)). You may use Proof of Servi Appeal) (form APP-009E) for this purpose. I declare under penalty of perjury under the laws of Date: <u>3/23/2020</u> | ce (Court of Appeal) (form) the State of California that t | APP-009) or <i>Proof of Elect</i> he information above is tru <i>Lacing Roberts</i> | ronic Service (Court of |
| (see rule 8.300(d)). You may use Proof of Servi Appeal) (form APP-009E) for this purpose. I declare under penalty of perjury under the laws of Date: <u>3/23/2020</u> Kaci R. Lopez | ce (Court of Appeal) (form / | APP-009) or <i>Proof of Elect</i> he information above is tru <i>Lacing Roberts</i> | ronic Service (Court of ue and correct. |
| (see rule 6.300(d)). You may use Proof of Servi Appeal) (form APP-009E) for this purpose. I declare under penalty of perjury under the laws of Date: <u>3/23/2020</u> <u>Kaci R. Lopez</u> (TYPE OR PRINT NAME) | ce (Court of Appeal) (form / | APP-009) or <i>Proof of Elect</i> he information above is tru <i>Lacuer 3: Some 3</i> (SIGNATURE OF PAR | ronic Service (Court of ue and correct. |
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County of Santa Clara

Office of the District Attorney 70 West Hedding Street, West Wing, 5th Floor San Jose, California 95110 Public: (408) 299-7400 Telephone: (408) 792-2805 E-Mail: <u>klopez@dao.sccgov.org</u> * SANTA

Jeffrey F. Rosen District Attorney

April 21, 2020

Baltazar Vazquez Assistant CEO Court of Appeal of the State of California Sixth Appellate District 333 W. Santa Clara Street, Suite 1060 San Jose, CA 95113

RE: Herships v. Superior Court Sixth Appellate District, Case No. H047816 Santa Clara County Superior Court, Case No. BB517233

Dear Mr. Vazquez:

This letter serves as the response of the Real Party in Interest to the court's request for opposition to the above-entitled petition for writ of mandate. Petitioner contends that the trial court improperly imposed a six-month county jail sentence without conducting a hearing to determine Petitioner's ability to pay outstanding restitution; that the trial court lacked hearing devices and was, therefore, not compliant with the Americans with Disabilities Act (ADA) at the time of the proceedings on January 17; and that the Public Defender "refused" to file a Notice of Appeal, allegedly to conceal their conflict of interest in this matter. The petition has been rendered moot by Petitioner's release from custody as a result of the County's COVID-19 response. It is also wholly without merit.

A. The Petition Must Be Denied as Moot

In his petition, Petitioner seeks his "immediate release" from custody. On March 26, 2020, in response to the County of Santa Clara's "shelter in place" orders that went into effect on Tuesday, March 17, 2020, at 12:01 a.m. and the recommendation of the Adult Custody Health Medical Director to reduce the county jail population by twenty per cent, the superior court issued an order for the remaining custodial sentences to be stayed for several inmates that the parties deemed appropriate for release. The petitioner was among the inmates who were the subject of this order. (Attachment A, without court

April 21, 2020 Page | 2

order exhibit listing all affected inmates.) Pursuant to this order, Petitioner was released from custody.

B. The Petition Lacks Merit

Additionally, to the extent that Petitioner asserts that the Public Defender "refused" to file a Notice of Appeal on Petitioner's behalf, Petitioner's claim is simply untrue. On February 14, 2020, Deputy Public Defender Kyle Neddenriep, who also assisted Petitioner at the hearing on January 17, 2020, filed a Notice of Appeal on Petitioner's behalf. (Attachment B.) That appeal is currently pending before the appellate division of the Santa Clara County Superior Court under docket number 2020-AP-002650.

Finally, Petitioner's claim regarding the trial court's failure to comply with the ADA "due to the lack of ADA hearing devices" is without merit. In the electronic recording of the proceedings held on January 17, 2020, at the beginning of the hearing, the court specifically noted on the record that Petitioner was "being assisted by the amplification audio system of the court." (Attachment C; see Order for the Preparation of the Record on Appeal.)¹ At no time during the hearing, which lasted for approximately ten minutes, did Petitioner assert any difficulty communicating with his attorney or the court.

Petitioner's release from custody renders this petition moot. The petition also fails on the merits. Therefore, the petition for writ of mandate should be summarily denied.

Sincerely,

/s/ Kaci R. Lopez

Kaci R. Lopez Supervising Deputy District Attorney State Bar No. 173659

For JEFFREY F. ROSEN District Attorney, Santa Clara County

¹Real Party in Interest received the Electronic Recording (ER) of the January 17, 2020 proceedings on April 20, 2020. The citation to that electronic record for the trial court's statement is ER 1/17/20: 13-21. Currently, the electronic record is the only record of those proceedings available, but it is believed that a written transcript of those proceedings will be prepared in conjunction with Petitioner's misdemeanor appeal. If requested by this Court, the electronic recording will be made available to this Court upon request.



Howard Herships <hherships@gmail.com>

Your filing in Sixth Appellate District Court of Appeal case No. H047816 5 messages

Howard Herships <hherships@gmail.com> To: "Lopez, Kaci" <klopez@dao.sccgov.org>

Sun, Jul 19, 2020 at 5:53 AM

Ms. Lopez,

Having received copies of your request for extension of time to file a responsive request by the Court in the above-entitled case did not include a proof of service.

I must assume that you never made any attempt to serve me with those documents, which have effectively denied me access to Court.

Clearly, I must assume that you have not complied with California Rules of Court, Rule 8.817. requiring a Proof of Service by mail.

This would, therefore, be a willful violation and done to deny my rights of access to Court a serious violation of Constitutional Rights.

--Howard Herships

Lopez, Kaci <klopez@dao.sccgov.org>

To: Howard Herships <hherships@gmail.com>

c: "Neddenriep, Kyle" <kyle.neddenriep@pdo.sccgov.org>

Mon, Jul 20, 2020 at 7:55 AM

Mr. Herships –

All of the People's filings in the Sixth District Court of Appeal were e-served on Deputy Public Defender Kyle Neddenriep who appeared with you at the hearing that was the subject of the writ.

Kaci Lopez

Kaci R. Lopez

Supervising Deputy District Attorney

Santa Clara County D.A.'s Office

Law & Motion Unit

70 West Hedding Street, West Wing

San Jose, CA 95110

_ T: (408) 792-2805; F: (408) 279-3547

[Quoted text hidden]

APP 28

Mon, Jul 20, 2020 at 8:29 AM

Howard Herships <hherships@gmail.com>

To: "Lopez, Kaci" <klopez@dao.sccgov.org>, kyle.neddenriep@pdo.sccgov.org, saffordlegal@gmail.com

https://mail.google.com/mail/u/0/?ik=f94605e747&view=pt&search=all&permthid=thread-a%3Ar1155440167095392394&simpl=msg-a%3Ar116370259... 1/3

However, according to a letter I received from the Deputy Public Defender Kyle Neddenrip, as of Feb. 4, 2020, he (The Public Defender's Officer), was no longer representing me in my criminal case.

As such, I was in Pro Se and you failed to serve me with copies and done to deny me access to court on the issues addressed in my Writ.

The Writ was filed because the Santa Clara Public Defender failed to and never communicate with me and refused to represent me in the criminal case. This was finally confirmed by the Feb 4, 2020 letter to me which I have attached.

More importantly, the Public Defender Kyle Neddenrip never informed me to even informed of the Court of Appeal, Sixth Appellate District request for a response, which blocked my rights to respond and never took any action to protect my rights under the ADA violations as well as the right to legal representation in my criminal case.

[Quoted text hidden]

Howard Herships

File.PDF 449K

Lopez, Kaci <klopez@dao.sccgov.org> To: Howard Herships <hherships@gmail.com> Cc: "Neddenriep, Kyle" <kyle.neddenriep@pdo.sccgov.org>

Mon, Jul 20, 2020 at 5:53 PM

Since I was unaware of the contents of the attached letter, addressed to you, from the Public Defender's Office until I received your email this morning, I served my Sixth District filings on that office. To have served you directly when I believed you were represented would have been improper.

[Quoted text hidden]

.oward Herships <hherships@gmail.com>
To: "Lopez, Kaci" <klopez@dao.sccgov.org>

Tue, Jul 21, 2020 at 5:28 AM

Your contention is totally illogical as I filed the very subject matter of the Writ In Pro Se was that the sheriff's dept refused to release my federally issued hearing aids from my property which violated 28 CFR section 35.160, as well as the Superior Court, denied me any assistance listening device also in violation of 28 CFR section 35.160.

Additionally, both the Superior Court and the Sheriff's Department had both entered into a consent decree agreeing not to violate 28 CFR section 35.160.

Moreover, the basis of the writ was because Deputy Public Defender Kyle Neddenriep refused to communicate with me prior to the court appearances or during the court appearances as well as my having no ability to communicate due solely to being denied my federally issued hearing aids in direct violation of 28 CFR section 160 et seq.

These violations are now the very subject matter of an ADA complaint pending against both the State entities (Superior Court and the Sheriff's Dept. and the Public Defender's Office as well as the Sheriff's Dept by the United States Department of Justice Civil Rights Division ADA enforcement team.

More importantly, this conduct mirrors the Ninth Circuit decision Updike vs Multnomah County 870 Fed 3rd 939, (9th Cir 2017), by placing a criminal defendant in court with no ability to communicate. Furthermore, what is equally outrageous here both the Court and the Sheriff's department had already where aware of these serious violations of the ADA and had entered into settlements and still decided to take these actions here.

I have been in contact with the United States Department of Justice and they are investigating these violations and I will be filing my ADA complaint as well as a Civil Rights Complaint against Santa Clara County entities for violating my right of access to Court, as these acts were and are willful as stated in Simkins vs Bruce 406 Fed 3rd 1239 (10 Cir 2005) to deny a defendant his federally protected rights of access to court as here I was denied any all opportunity to respond.

Naturally, the Deputy District Attorney did not what to notify me of the pending writ because of his malpractice.

[Quoted text hidden]

APP 29

County of Santa Clara Law Offices of the Public Defender 120 West Mission Street San Jose, California 95110 (408) 299-7700 FAX (408) 938-1106



Molly O'Neal Public Defender

February 4, 2020

Santa Clara County Department of Correction Elmwood Complex Facility Howard Herships BB51723 DVW719 701 South Abel Street Milpitas, CA 95035

RE: Your Case

Dear Mr. Herships,

During our in-custody meeting you informed me that you have filed a writ to the Sixth Appellate District on your own behalf. You indicated that you intended to proceed pro per in that matter or secure private counsel.

At your direction I have contacted your wife, Karen Fletcher, who has informed me of the following: 1) your primary interest is litigating the validity of the underlying order for restitution (this is not something I can assist you with), 2) you are no longer interested in me filing any motions on your behalf (she indicated that after speaking with you on 2/3/2020, you no longer want me to represent you), 3) the two of you were trying to secure private counsel.

Based on your requests, I will not file a notice of appeal in your matter. Please be advised that should you elect to appeal your sentence, your notice of appeal must be filed within 30 days of the sentence.

Sincerely

Kyle Weddenriep Deputy Public Defender

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Superior Court of California County of Santa Clara

191 North First Street San José, California 95113 (408) 882-2700

REBECCA J FLEMING Chief Executive Officer

ADMINISTRATION

April 8, 2020

CONFIDENTIAL

Howard Herships 701 S. Able Street Milpitas, CA 95035 Email: hherships@gmail.com

Re: Correspondence regarding Case Number BB517233

Dear Mr. Herships,

This is in response to the correspondence the Court received on February 24, 2020 in case #BB517233. Due to recent events, it has taken some time to look into this matter. I apologize for the delayed response.

Pursuant to California Rules of Court 1.100(c)(3), an ADA Request (MC-410) must be made as far in advance as possible, and in any event must be made no fewer than five court days before the requested implementation date.

Court records indicate no ADA Request (MC-410) was made with the Court's ADA Coordinator prior to the scheduled hearing of January 17, 2020. Likewise, there is no record of a request made, in court, with the Judge for a listening device on the day of the hearing. Assistive listening devices are available in all courtrooms at the Hall of Justice.

In the future, you may contact the ADA Coordinator directly for assistance of your ADA Request by e-mail at <u>adacoordinator@sescourt.org</u> or by phone at (408) 882-2755. If you have any questions regarding the Court's response, please let me know.

Sincerely,

Georgía Ku

Georgia Ku ADA Coordinator Superior Court of California, County of Santa Clara





IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA 1 3 IN AND FOR THE COUNTY OF SANTA CLARA 3 BEFORE THE HONORABLE DREW C. TAKAICHI, JUDGE 4 191 THE PEOPLE OF THE STATE OF CALIFORNIA, ć 73 PLAINTIFF, Ś VS. Case No.: BB517233 -) HOWARD RALPH HERSHIPS, 9 20 DEFENDANT 22 12 13 14 45 TRANSCRIPT OF PROCEEDINGS 16 5 17 18 JANUARY 17, 2020 19 20 Volume 3, Pages 601-607 21 22 23 24 25 26 **APP 38** 27 UBIQUE REPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009 38 - 691

[START BB517233 People vs. Herships 1-17-20 D42.mp3] MALE VOICE 1: -calendar, [unintelligible], the matter of Mr. Herships.

JUDGE DREW TAKAICHI: Just one moment.

MALE VOICE 1: [unintelligible] 9:15 or nine o'clock?

THE CLERK: 9:15.

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MALE VOICE 1: Okay, [unintelligible]?

JUDGE TAKAICHI: Yes. And the Defendant is present, in custody, being assisted by the amplification audio system of the court.

MALE VOICE 1: Hi, I'm [unintelligible] on behalf of the person who is presently in custody. Your Honor, this was not a previously Public Defender matter, but the Public Defender is happy to receive or - or be appointed to represent Mr. Herships since he is in custody and is not presently [unintelligible].

This matter is quite old. Probation would have terminated years ago, but it has been sitting in revoked status. Mr. Herships indicates to me he (unintelligible) [crosstalk]

MS. STORTON: [Interposing] Your Honor, I'm sorry, I couldn't hear counsel, Your Honor.

MALE VOICE 1: Sure. He was being transported from Plasser (phonetic) County on January 2nd, so he has been sitting in custody on this 2005 case, I believe originally, for a number of days. He is not in a ADD

APP 39

BIQUE REPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009

position to pay the restitution today. He continues to receive disability benefits. I believe that the People's request is that he be sentenced to a year in custody. Our request is that Mr. Herships be released as he has been in custody, as he represents, since the 2nd of this month.

JUDGE TAKAICHI: Ms. Storton?

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MS. STORTON: So, the Defendant owes \$5,615.13 to Victim's [unintelligible]. He has never made a payment. Throughout the probationary pre - before he disappeared, Your Honor, for over eight years, he falsely claimed, on numerous occasions that payments had been stayed pending appeal. That was never proven. He played games for years on this case until being convicted, and then played games even after being convicted. He was at large for eight and a half years.

Even while he was at large, he had the ability this man has the ability to work, Your Honor, and do something productive, but chooses not to. While he was at large, he filed a document in 2018 complaining about a couple of our judges in this county, which was sort of consistent with his behavior throughout the pendency of this case.

We know that he committed, in July of 2019, out of county, a vehicular violation of 23.23.5, driving while using a cellphone. So, he is paying money for a cellphone. He is filing documents. He is on the lam. APP 40

UBIQUS REPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009

But he is not paying restitution. So, we are asking the Court, either sentence him to the maximum term of one year in county jail, or if the Defendant wants to start getting serious about paying restitution, the Court should impose a new three year grant requiring him to make payments and keep him on a monthly court review schedule. That is the only thing that might - might bring forth some money. But to date, he has done nothing on this case.

JUDGE TAKAICHI: And counsel?

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MALE VOICE 1: Your Honor, it is the Defense's position that Mr. Herships' conduct in alleging that certain judges are biased against him, and claiming that [unintelligible] pending appeal has a lot more to do with a like a 1368 type status rather than any malice by Mr. Herships. He continues to have sort of beliefs that I don't necessarily argue the reality with respect to some federal lawsuits that he has pending against both his victim and various government agencies.

Based on my brief discussion with him here, and [unintelligible] he receives disability benefits, I don't think he is in a position to pay. I don't think he has been fleeing the jurisdiction. I think, quite frankly, he just had no idea that this matter was pending, and he is sort of moved to a different county and living [unintelligible].

JUDGE TARAICHI: So, let me ask, do we need to UBIQUS REPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009

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formally set a hearing for a BOP and or sentencing? SO, I can hear all the circumstances? It doesn't sound like there is going to be agreement plan proposed. So,-

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MS. STORTON: [Interposing] Well, is the - is the Defendant going to tell the Court that he is now going to be in agreement to follow the Court's orders, which are to pay restitution?

JUDGE TAKAICHI: Well, I'm hearing that, that doesn't sound like it is going to be the case, because the - the inference from the offer of proof is he is claiming he may not have ability to pay.

MS. STORTON: Well, if he is rejecting probation, and probation conditions, Your Honor, the Court should simply sentence him. Which the Court has the right to do, whether he is in violation or not.

JUDGE TARAICHI: That is true. So, why don't we go ahead then with sentencing on this matter. Anything further to add to your offer of proof, regarding the Court's consideration for sentencing?

MS. STORTON: No, Your Honor, I will submit the matter.

JUDGE TARAICHI: Anything further, counsel, on the _ssue of sentencing?

MALE VOICE 1: No, Your Honor, submitted.

JUDGE TARAICHI: All right. So, probation, by its terms - well, first of all, was in revoke status. It has tince expired. So, probation is terminated. Based on

APP 42

RIQUS REPORTING, INC., 2202 MARTIN STREET, SUITE INC. DEVINE, NA SPELZ (800)979-5019

the circumstances presented, to the Court, relatively larger sum of restitution owed, has nothing paid since 2005 on restitution.

I am hearing that the Defendant was receiving disability benefits. He had some limited ability to make payments on restitution, but chose not to. . I did not hear any information that would contradict the People's argument that the Defendant was at large for eight and a half years, I didn't hear anything that would controvert that he also indurred another violation of the law.

Under these circumstances, the Court will impose a six month county jail sentence. Probation is, of course, terminated. Defendant will get his credits for his recent in custody status. I think I heard January 2nd-

MS. STORTON: [Interposing] 16 plus 16, for 32, Your Honor.

JUDGE TAKAICHI: I will credit, for time served, 16 plus 16. And that will conclude the matter.

[END BB517233 People vs. Herships 1-17-20 D42.mp3]

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UBIQUS RÉPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009

Péople v. Howard Ralph Herships Case# B8517233 Hearing Date: January 17, 2020

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CERT IFICA T

I, Joyce A. Waser, a transcriber for Ubiqus do hereby certify: That said proceeding were listened to and transcribed by me and were prepared using standard electronic transcription equipment under my direction and supervision; and I hereby certify that the foregoing transcript of the proceedings is a full, true, and accurate transcript to the best of my ability.

I further certify that I am neither counsel for nor related to any party to said action, not in any way interested in the outcomes thereof.

In witness whereof, I have hereunto subscribed my name this 23rd day of June 2020.

Jawa ser-

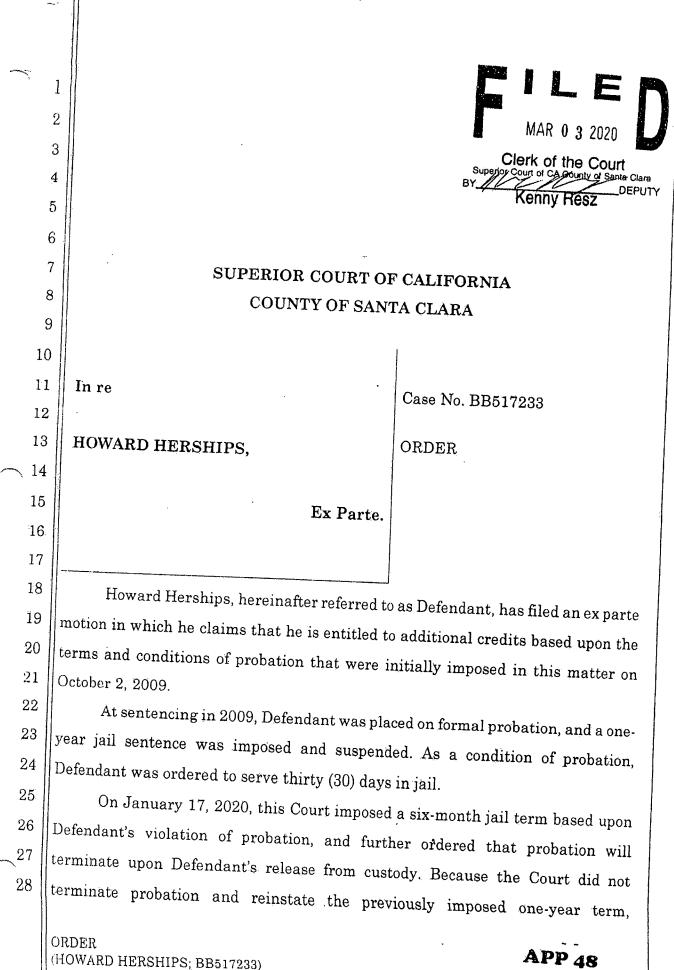
Signature of Transcriber



UBIQUS REPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009

SGANNËD HOWARD HERSHERS CANWING Spend cound ch count d court 701 SABLE ST. BLAINDOR CUMMFORM DAY DEFNS, CA. 95035 DEPUTY INPRO PER SUPERIOR COURT OF CALIFORNEA FOR THE COUNTY OF SAUTA CLARA PEOPLE OF THE STATE CASE NO. BB 517233 OF CALSFORNER, NOTSEE OF MOTION TO PLAEATSPE CORRECT ERROR IL PRESENTENCE CREDITS VS OATE: FEB. 29, 2020 HOWARD HERSHENS, TIME! 4:15 Am DEPT: 42 DEFENDANT, THE DISTRECT ATTORNEY OF SAMIR CLARA 701 PLEASE TAKE NOTICE, THAT ON FEB 28, 2020 DR AS SOON THERE AFTER AS DEFENDANT MAY BE HEARD IN COURT ROOM OF THE ABOVE FUTTILED COURT, DEFENDANT WELL MOVE COURT FOR AN ORDER CORRECTIONS THE ERRON FOUS COMPUTION OF PRESENTANE CRENIT'S GIVEN DEFENDANT ON JAN 17, 2020, AND TO ORDER APPROARSATE CREDER FOR PRESENTENCE CUSTODY TIME APp -1-

Page 29





Defendant is not entitled to his prior credits against his present sentence, which is a term of his probation and a consequence of his violation of that probation.

Based upon the orders that this Court issued on January 17, 2020, the sentence of one-year in the county jail, imposed on October 2, 2009, is hereby

Dated: 03.03 ., 2020.

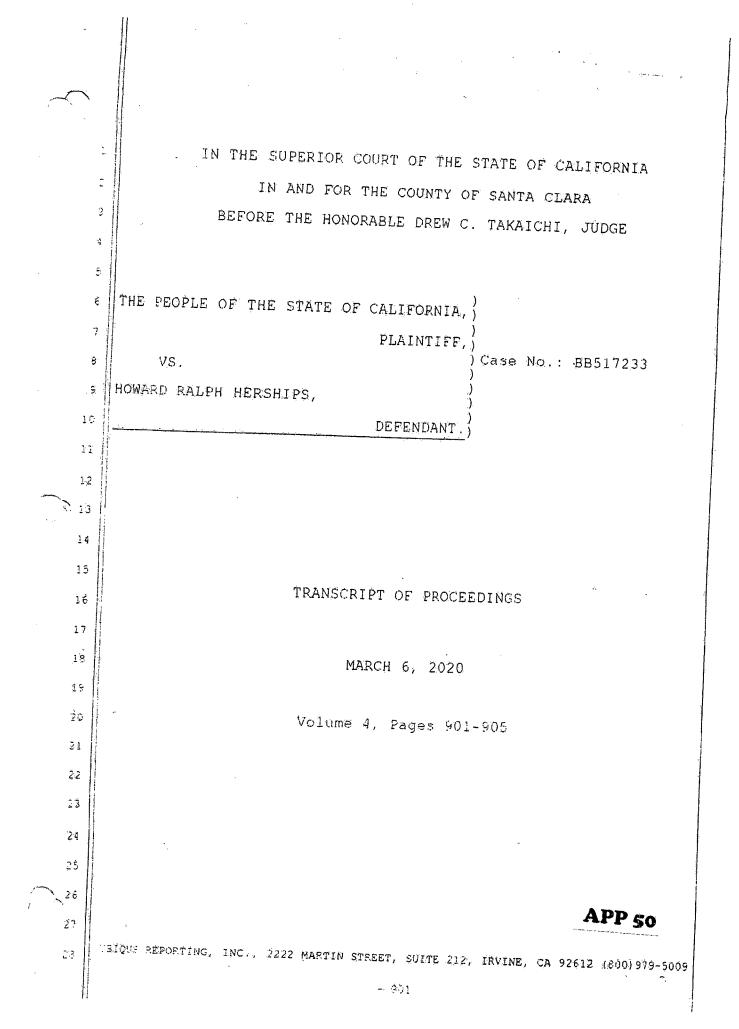
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cc

Petitioner District Attorney

HON. DREW TAKAICHI JUDGE OF THE SUPERIOR COURT

ORDER (HOWARD HERSHIPS; BB517233)



(START BB517233 People vs. Herships 3-06-20 D42.mp3) MALE VOICE 1: This is Mr. Herships. Line 19: Your Honor, Judge Takaichi had sentenced Mr. Herships to PTOR six months, from the restitution calendar, I believe. Mr. Herships filed a motion directly to the Judge, asking for certain credits that he had gotten from the original sentence, to be added to this sentence. Judge Takaichi filed an Order, which I have given to Mr. Herships, denying that request. I believe this motion + I believe that precludes this Court from doing - taking any action on it today. But Mr. Herships would like to address the Court.

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MR. HOWARD HERSHIPS: I (unintelligible) well, this is an area is regarding a [unintelligible] by another Judge, I can't overrule [unintelligible]. The problem we have here, Your Honor, is the fact that I wasn't really represented in - in the original proceedings, because I didn't have my hearing aids. And the Court couldn't give me my - any [unintelligible] rights.

JUDGE DREW TAKAICHI: Did you ask for one? MR. HERSHIPS: Yes, I did.

JUDGE TARAICHI: And what proof of that do you have? MR. HERSHIPS: Excuse me?

JUDGE TARAICHI: What is your proof that you asked for a hearing aid device?

MR. HERSHIPS: I asked for the bell. I told him I couldn't hear anything.

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UBIQUS REPORTING, INC., 2222 MARTÍN STREET, SUITÉ 212, IRVINE, CA 92612 (800)979-5009

MALE VOICE 1: So, if you'd like, (unintelligible) we can pull the recording from that day. And [unintelligible]. If you would like to do that. that's not-But

JUDGE TARAICHI: [Interposing] Do you want to put it over-

MALE VOICE 1: -rule on it today.

MR. HERSHIPS: Well, you know, -

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JUDGE TAKAICHÍ: [Interposing] He is basically asking for a reconsideration.

MR. HERSHIPS: Yeah, I was asking for a reconsideration.

JUDGE TARAICHI: All right. Then, we are going to put it over, so your attorney can investigate your obligations.

MR. HERSHIPS: First of all, I never had a hearing-JUDGE TARAICHI: [Interposing] Okay. I don't think you are hearing me at all. So, I am going to say it again. We are putting it over so your attorney can investigate your allegation. And also make a motion for reconsideration. What date would you like?

MALE VOICE 1: How much longer do we have-

MR. HERSHIPS: {Interposing} As soon as possible, because if I get my [unintelligible] I'm being released on Monday.

MALE VOICE 1: Let's try next week.

JUDGE TARAICHI: Say it again.

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TBIQUS RÉPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009

MALE VOICE 1: Let's try next Friday.

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JUDGE TARAICHI: Okay. All right. We will put the matter over until March 13 at nine o'clock for further proceedings.

MALE VOICE 1: Thank you, Your Honor. THE CLERR: [unintelligible]? JUDGE TARAICHI: PD is appointed.

[END BB517233 People vs. Herships 3-06-20 D42.mp3]

APP 53

People y. Howard Ralph Herships Case# BB517233 Hearing Date: March 6, 2020

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CERTIFICATE

I, Joyce A. Waser, a transcriber for Ubiqus do hereby certify: That said proceeding were listened to and transcribed by me and were prepared using standard electronic transcription equipment under my direction and supervision; and I hereby certify that the foregoing transcript of the proceedings is a full, true, and accurate transcript to the best of my ability.

I further certify that I am neither counsel for nor related to any party to said action, not in any way interested in the outcomes thereof.

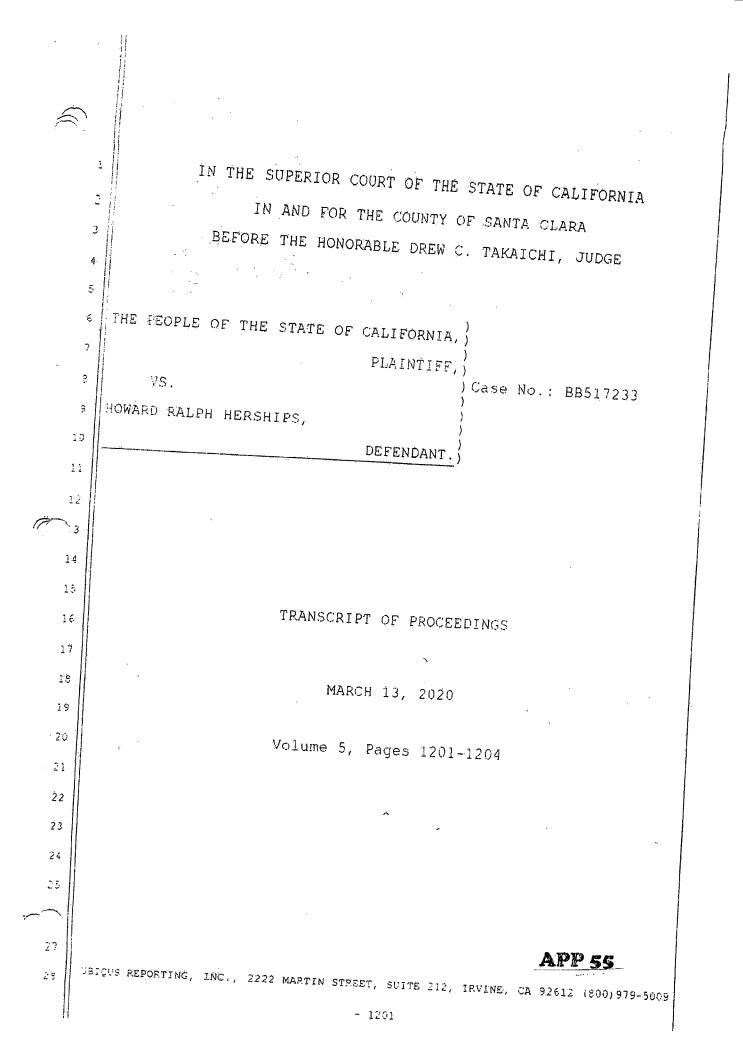
In witness whereof, I have hereunto subscribed my name this 23rd day of June 2020.

Squamo-

Signature of Transcriber

APP 5

UBIQUS REPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009



[START BB517233 People vs. Herships 3-13-20 D42.mp3] JUDGE DREW TARAICHI: All right. That is Howard Herships, Line 12.

MR. STEWART: Your Honor, this is a matter where there was a credits issue. Judge Takaichi issued an exparte ruling in this matter last week. This continued it a week for the - for the Public Defender to look into it. JUDGE TAKAICHI:

Okay.

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MR. STEWART: We believe that a court date in front of Judge Takaichi would be the best way to resolve this. JUDGE TARAICHI: Okay.

MR. STEWART: May we have one next week?

JUDGE TAKAICHI: I don't know where he is now.

MR. STEWART: I don't either.

MS. STORTON: Your Honor, we will object to that. Judge Takaichi currently already made a ruling. the one who sentenced the Defendant in the case. There He was is nothing wrong with the credits. The Defendant currently has an appeal. All issues are going to be addressed through the appeal.

[CROSSTALK]

JUDGE TAKAICHI: When did - when did Judge Takaichi make a ruling?

MR. STEWART: So, Judge Takaichi made a ruling to remand my client originally, without a hearing + without a finding of BOP. Then issued a ruling on client's exparte contact regarding reconsideration. We believe that

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APP 56

both of those required a hearing. And we would like to see Judge Takaichi to at least have the reconsideration be done in open court rather than ex-parte.

MS. STORTON: The People disagree with Mr. Stewart's characterization of what has happened, Your Honor. Defendant was sentenced. The Judge ordered probation to The terminate upon release. He filed an appeal. His request for additional credits has been denied. We believe the case should go off calendar.

JUDGE TARAICHI: The matter is off calendar. Request is denied.

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MR. HERSHIPS: Can I address the issue, Your Honor? JUDGE TAKAICHI: No, we are done. All right, next matter.

[END BB517233 People vs. Herships 3-13-20 D42.mp3]

APP 57

USIQUS REPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009

1 Péople v. Howard Ralph Herships

Case | 88517233

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Hearing Date: March 13, 2020

CERTIFICATE

I, Joyce A. Waser, a transcriber for Ubique do hereby certify: That said proceeding were listened to and transcribed by me and were prepared using standard electronic transcription equipment under my direction and supervision; and I hereby certify that the foregoing transcript of the proceedings is a full, true, and accurate transcript to the best of my ability.

I further certify that I am neither counsel for nor related to any party to said action, not in any way interested in the outcomes thereof.

In witness whereof, I have hereunto subscribed my name this 23^{ra} day of June 2020.

Hadasa-

Signature of Transcriber

ÂPP 58

UBIQUS RÉPORTING, INC., 2222 MARTIN STREET, SUITE 212, IRVINE, CA 92612 (800)979-5009

| SANTA CLARA COUNTY DEPARTMENT OF CORRECTION | |
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APP 59

Contraction of the

County of Santa Clara Law Offices of the Public Defender 120 West Mission Street San Jose, California 95110 (408) 299-7700 FAX (408) 938-1106



Molly O'Neal Public Defender

July 1, 2022

Howard Herships Email: hherships@gmail.com Sent via email

> Re: June 5, 2022 CPRA request

Dear Mr. Herships:

The Santa Clara County Office of the Public Defender is in receipt of your 6/5/2022 email to Aryn Harris with County Counsel requesting certain records from the Public Defender's Office. As I previously communicated to you, my office first became aware of your request on

Ms. Harris,

I am requesting pursuant to 28 CFR section 35.105 (c) (1) (2) (3) of the Self-evaluations done under the Americans with Disability Act for both the Public Defender's Office as well as the County Jail.

The relevant sections of the Federal Regulations 28 CFR section 35.105 (c) (1) (2) (3) requires a description of areas examined and any problems identified and the description of any modification made.

Howard Herships

The Public Defender's Office has no records that are responsive to your request.

Kind regards,

Charlie Hendrickson Assistant Public Defender Santa Clara County

APP 60

Chief Assistant Public Defender: Jose G. Guzman Assistant Public Defenders: Damon Silver, Charlie Hendrickson, Sarah McCarthy



Howard Herships <hherships@gmail.com>

nan santana sa matana Submitted Filing Notification for Case No. 20AP002650 (People vs Howard Herships)

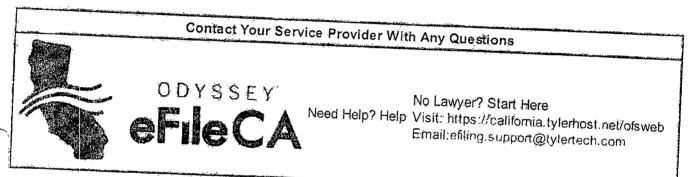
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Filing Submitted

Envelope Number: 9043722

The filing below has been submitted to the clerks office for review. Please allow up to 24 business hours for clerk



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| Court | Santa Clara Superior Court | |
| Date/Time Submitted: | 5/23/2022 7:51 AM PST | |
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| Activity Requested: | EFile | |
| Filed By: | Howard Herships | |
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APP 62

| | Carmichael, Ca 95609-1501 Ph. 415 933-5190 <u>hherships@gmail.com</u> In Pro Se | |
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| 6 | | OR COURT OF CALIFORNIA |
| 7 | | OF SANTA CLARA |
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| 9 10 | | LLATE DIVISION |
| 11 | Poople of the State of Control of | |
| 12 | People of the State of California, Plaintiff, | Case No.: 1-20-AP-002650 |
| 13 | VS. | DEFENDANT'S APPLICATION AND MOTION PURSUANT TO RULES 8.806 & |
| 14 | | 8.808 0TO DISQUALIFY THE APPELLATE DIVISION OF THE SANTA |
| 15 | Howard Ralph Herships, Defendant | CLARA COUNTY SUPERIOR COURT TO HEAR THIS APPEAL OF RIGHT AS |
| 16 | Duronuant | THE COURT HAS A "DIRECT, PERSONAL ,SUBSTANTIAL, [AND], |
| 17 18 | | PECUNIARY" INTEREST IN THE CASE |
| 19 | | CONSTITUTIONALLY PROHIBITING ANY MEMBER OF THE SANTA CLARA |
| 20 | | COUNTY SUPERIOR COURT FROM HEARING AN APPEAL OF RIGHT |
| 21 | To the Presiding Judge of the Appellate I | Division of the Superior Court of Santa Clara |
| 22 | County, the Clerk of the above entitled C | ourt, and all involved counsel: |
| 23 | Defendant moves this Court for an | n order disqualifying the Appellate Division of this |
| 24 25 | | ssues on the face of the record shows and proves |
| 25 26 | that the Santa Clara County Superior Co | |
| 27 | | l times the Santa Clara County Superior Court |
| 28 | MOTION TO DISQUALIFY THE SANTA CLARA | SUPERIOR COURT APPELLATE PANEL PAGE I |
| | | APP 63 |
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was already under a "Settlement" with the United States Department of Justice see Exhibit

Defendant asked for assisted listening devices and the Court never made any inquiries and proceeded in its untoward ways, which violated the conditions of the settlement.

The records of the January 17, 2020, court transcript show these violations of Title 28 CFR § 35.160 (b) (2) by the Superior Court of both the Settlement Agreement with the United States Department of Justice and 28 CFR § 35.160 (b) (2).and attached as Exhibit 1. The record in this case presented more than mere allegations of violations of ADA but by actual denial to disabled defendant the use of his hearing aids which denied legal representation in a criminal case. Appellant also presents proof that Santa Clara County never implemented a Federal Consent Decree requiring the implementation since March 20, 2019 see Exhibit 2.

The Santa Clara County Jail who at all times was under a Federal Consent Decree in Chavez vs. Santa Clara County requiring that the County comply with 28 CFR § 35.160 (b) (2) for prisoner in custody who posses their own hearing aids.

These acts done by the Santa Clara County Superior Court who was already on notice of the "Settlement with the United States of America" shows a "Discriminator Animus" by the Santa Clara County Superior Court.

These violations after the United States Department of Justice Settlement put the Court on record of their obligations and did nothing to protect the disabled defendant. In fact, the Judge Drew Takaichi further retaliated against the disabled defendant

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when the Judge refused to hold a hearing mandated by both a California Supreme Court decision in People vs. Lara 54 Cal 4th 896 at 903, (2012) that required a hearing with legal representation as the United States Supreme Court in Wolff vs. Mc Donnell 418 U.S. 539 at 566-567 (1974) held that prisoner has a liberty interest in receive prisoner's conduct credits. Defendant filed in Pro Se motion for conduct credits with the Superior Court Santa Clara County requesting a hearing on Feb. 26, 2020.

On March 3, 2020, the trial court denied those credits Ex Parte which was in excess of the Court's jurisdiction under All Auto Equity Sales vs. Superior Court 57 Cal 2nd 450 (1962) as the California Supreme Court in People vs. Lara 54 Cal 4th 896 at 903, held that 2900.5 credit vest with the prisoner and that the Court has no discretion to deny those credits as California Rules of Court, Rule 4.130 requires the Sheriff to calculate those days a prisoner in being held in custody. See Exhibit 3

Additionally, the California Supreme Court held that the controlling Federal Law 16 requires that a "liberty interest" applies under Wolff vs. Mc Donnell 418 U.S, 539 at 566-567 and that a Superior Court is required to hold a hearing.

However, here the Superior Court Judge decided to retaliate against Defendant knowing that Defendant being hearing impaired would have no ability to contest the Court's retaliation, which the Court proceeded to further punish Defendant due solely because of defendant's disability without any means of contesting the Judge's ruling.¹

¹ Petitioner had filed a Writ of Mandate on Jan. 29, 2020 with the Sixth Appellate District addressing Petitioner's hearing impairments and the denial of any ability to communicate with either the Court or appointed legal counsel. The Court was served electronically so the Judge knew at all times that defendant was

MOTION TO DISQUALIFY THE SANTA CLARA SUPERIOR COURT APPELLATE PANEL

PAGE 3

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These acts shows actual retaliation as defined by Title 42 U.S.C. sections 12203, 12132 and 12133

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Moreover, Defendant filed a Writ of Mandate in to the Sixth Appellate District and the Court order responsive pleading on Feb. 7, 2020, and gave Defendant 15 days to file any reply.

In fact, the Deputy District Attorney Kaci Lopez refused to serve any responsive pleading on defendants and then falsely represented to the Sixth Appellate Court (1) that the violations under the ADA were "moot" because Defendant was released and (2) that by proving a direct feed from the Judge's bench complied with 28 CFR § 35.160 (b) (2) both contentions were actually beyond frivolous but were actually done to retaliate against Defendant in violation of Title 42 U.S.C. sections 12203, 12132, and 12133.

Moreover, Deputy District Attorney Kaci Lopez actually concealed from the Sixth Appellate District that the Santa Clara County Superior Court was in violation of a Settlement Agreement with the United States of America under the ADA which requires the Court to inquire the best assisted hearing deceives so that the party can communicate, whereas here all Defendant was requiring was Defendants hearing aids specially set for Defendants hearing impairment, which was denied.

These acts were also done in retaliation by the Santa Clara Public entity as (1) there is no "mootness" from violations such as these as acts of retaliations are a cause of action under Title 42 U.S.C. section 12133 pursuant to Title 29 U.S.C. § 794 (a) for damages as

hearing impaired and decided to retaliated against Defendant in violation of 42 U.S.C. sections (2203, 12132 and 12133.

MOTION TO DISQUALIFY THE SANTA CLARA SUPERIOR COURT APPELLATE PANEL

| | both the County and State have no immunity and (2) it is Defendant's choice of just what |
|------------------------|--|
| a a | assisted hearing device that a party can request and at all that was necessary was to release |
| 3 | Defendants, hearing olds hald have a |
| 4 | · · · |
| 5 | Defendant herein moves this Court to disqualify itself in this appeal of rights and |
| 6 | transfer this case to the California Supreme Court as here the issue of disgualification is a |
| 7 | Constitutional issue as clearly the Santa Clara County Superior Court has a "direct stake |
| 8 | in the outcome of this case, because the Court can be held liable for violations of under |
| 9 | Title 29 U.S.C. section 794 (a) as the Ninth Circuit put it in Updike vs. Multnomah County |
| 10 | 870 fed 3rd 939 /0 th Cir 2017 Court Put it in Updike vs. Multhomah County |
| 11 | 870 fed 3rd 939 (9th Cir 2017 Cert Denied) stated the law as follows: |
| 12 | "Nor could the County or State really dispute this: The State and County's alleged failure to provide Undike with on ASI |
| 13 | opulate will all ASL interpreter on the way of |
| \frown ¹⁴ | auxiliary services constitute concrete and particularized injuries sufficient to satisfy |
| 15 | Al ucie 111. Further, Indikole inobility to |
| 1.6 | enectively communicate with corrections at se |
| 17 | or cycli communicate at all with his lowness as |
| | family was caused by the Defendants' failure to provide him with accommodation and meaningful |
| 18 | autos, Millally, a decision favorable to Li-Jil |
| 19 | route recress fils infuries. See I plan |
| 17 | 504 U.S. at 560-61, 112 S.Ct. 2130." |
| 20 | |
| 21 | Here a criminal defendant had no ability to communicate to either the Court of |
| 22 | appointed legal counsel and when the defendant requested accommodations Court made no |
| 23 | inquiry to defendant's hearing impairment, which would be |
| 24 | inquiry to defendant's hearing impairment, which resulted in no legal representation and |
| 25 | centence to the county jail in violation of Defendants Federal Constitutional Rights. |
| 26 | Moreover, at no time did Defendant have any ability to communicate with legal |
| 27 C | ounsel during any of the court proceedings and in fact when Petitioner filed a Writ of |
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| | IOTION TO DISQUALIFY THE SANTA CLARA SUPERIOR COURT APPELLATE PANEL PAGE 5 APP 67 |
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| 11 | |

Mandate on Jan. 29, 2020, into the Sixth Appellate, the Public Defender's Office withdrew from legal representation for filing a writ of Mandate against the Public Defender see Exhibit 4.

The United States Supreme Court in Tumey vs. Ohio 273 U.S. at 523 "it certainly violates the Fourteenth Amendment ... to subject [a person's] liberty or property to the judgment of a court the judge of which has a direct, personal substantial, pecuniary interest in reaching a conclusion against him in a case."

This places the Appellate Division of the Santa Clara County Superior Court interest in this case turns these violations of the ADA the Santa Clara County Court can be held liable under Title 29 U.S.C. section 794 (a) as it places this very Court must rule on its own liability which is prohibited under In re Murchison 349 U.S. 133 at 136 (1955) ruling on its own liability prohibited by the United States Constitution.

These undisputed facts places this very court in having to decide if this Court violated ADA the "settlement" with the United States of America and if these violations under the ADA resulted a "discriminatory animus' against a qualified individual imposing liability on this very court.

Clearly, this Court cannot hear an Appeal of Right where this court must rule on its own violations of the Americans with Disability Act in which this very Court was under a "Settlement Agreement with the United States of America" and was on notice at all times of continuing course of conduct, which resulted in a cause of action under Title 29 U.S.C. SECTION 794 (a) for damages against the Santa Clara County Superior Court was required to affirmatively to effectively implement 28 CFR § 35.160 (b) (2).

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MOTION TO DISQUALIFY THE SANTA CLARA SUPERIOR COURT APPELLATE PANEL

APP 68

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| 2 .3 | WHEREFORE, Defendant requests that this Court disqualify itself as the wind with | - |
| 4 | of the Americans with Disability Act, which proves retaliatory conduct by three public | ons |
| 5 | entities as well as the Santa Clara County Superior Court imposing liability under Title 2 | |
| 6 | U.S.C. section 794 (a) for this very conduct. | 29 |
| 7 | | |
| 8 | Dated May 22, 2022 | |
| 9 | anningan annan an annan an annan an annan an a | |
| 10 | Howard Herships | |
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| 27 | APP 69 | |
| 28 MOT | TION TO DISQUALIFY THE SANTA CLARA SUPERIOR COUPT ADDRESS | |
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POINTS AND AUTHORITIES

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THE RECORD IN THIS CASE PRESENTS MORE MERE ALLEGATIONS OF BIAS AND PREJUDICE IT SHOWS ACTUAL PROOF OF ACTS OF RETALIATIONS AGAINST A QUALIFIED HEARING IMPAIRED CRIMINAL DEFENDANT WHO WAS RETALIATED AGAINST BECAUSE OF BEING HEARING IMPAIRED.

The controlling case law is Aetna Life Ins. Co. vs. Lavoie 475 U.S. 813 (1986) in which a judge in a 5 to 4 decision require recusal of the court as the Judge had a pecuniary interest in the case.

In this case the three judges of the Appellate Panel are all members of the Santa Clara 12 County Superior Court who must address issues on a direct appeal of right in which must decide if fact the Santa Clara Superior Court violated the Settlement with the United States of America for violations of the Americans with Disability Act based upon the failures to inquire into defendant's denial of the use of defendants hearing aids which denied defendant any and all ability to communicate with court appointed legal counsel.

Moreover here at all times defendant was not only blocked from gaining relief from the Court but here the Santa Clara County Public Defender's Office withdrew from legal representation abandoning a criminal defendant and the Santa Clara County District Attorney's Office refused to provide their opposition to Petitioner's Writ of Mandate.

If those above acts are not enough to show retaliation in violation of 42 U.S C. Sections 12203, 12132 and 12133 then clearly, the retaliation by the Santa Clara County Superior Court Judge denied Defendant's right to a hearing for the custody credits served on these very charges

APP 70

MOTION TO DISQUALIFY THE SANTA CLARA SUPERIOR COURT APPELLATE PANEL

PAGE 8

| | And did so in full retaliation in the Judges March 3, 2020. Ex Parte Order which was prohibited |
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| | by the California Supreme Court decision in People vs. Lara 54 Cal 4 th 896 at 902 (2012) |
| ें य | While the decision in Actual life Ins. Co. was Local and the standard |
| 5 | the other institution more production to the |
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| 8 | in this case all members being judges of the Santa Clara County Superior Court have a |
| 9 | direct pecuniary interest in the outcome of this appeal as the above violations of the ADA created |
| 10 | a cause of action under Title 29 U.S.C. § 794 (a) imposing damages against the Superior Court as |
| 11 | the ADA accepts Federal Funds therefore waived the 11 th Amendment. |
| 12 | This Court cannot hear an Appeal of Right in which the very Court can be sued for |
| 13 | damages under Federal Law as it places the Court to hear their own case prohibited upon see In |
| 14 | re Murchison 349 U.S. 133 at 136 (1955). |
| 15 | (130(1955). |
| 16 | Dated May 22, 2022 |
| 1.7 | Dated May 22, 2022 Record Hersley |
| 18 | Howard Herships |
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| | AOTION TO DISQUALIFY THE SANTA CLARA SUPERIOR COURT APPELLATE PANEL PAGE 9 |
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| \frown | _ | Proof of Service |
| | 2 | I declare I am a citizen of the United States, over 18 years and on May 23, 2022, |
| | 3 | I cause to be served Defendant's Motion to Disqualify the Appellate Panel of the Superior |
| | 4 | Court |
| | - 11 | Santa Clara County Appellate Division in this Appeal of Right |
| | | |
| ; | 8 | I certified that served the Santa Clara County District Attorney's Office at |
| ç | 1 11 | Allyson Bragg, at <u>abragg@dao.sccgoy.org</u> |
| 10 | | Danielle Rich, at <u>drich1@dao.secgov.org</u> |
| 11 | | Motions dropbox@dao.sacgov.org |
| 12 | <u>s</u> | affordlegal@gmail.com |
| 13 | d | lepartment12@scscourt.org |
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| 15 16 | B | v Henred Healept |
| 10 | | Howard Herships |
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| 27 | | APP 72 |
| 28 | MO | TION TO DISQUALIFY THE SANTA CLARA SUPERIOR COUNT AND CHARACTER |
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Proof of Service

I declare I am a citizen of the United States, over 18 years and on May 23, 2022,

I cause to be served Defendant's Motion to Disgualify the Appellate Panel of the Superior Court

6 Santa Clara County Appellate Division in this Appeal of Right

I certified that served the Santa Clara County District Attorney's Office at

Allyson Bragg, at <u>abragg@dao.sccgov.org</u>

Danielle Rich, at drich1@dao.sccgov.org

11 Motions dropbox@dao.sacgov.org

12 saffordlegal@gmail.com

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department12@scscourt.org

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Howard Herships

APP 73

PAGE 10

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| 1 2 3 4 | | Filed May 25, 2022 Clerk of the Court Superior Court of CA County of Santa Clara 20AP002650 By: afloresca |
| 5 | | Signed. 5/25/2022 10:33 AM |
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| 8 | SUPERIOR COL | JRT OF CALIFORNIA |
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| 13 | PEOPLE OF THE STATE OF | No. 204 Doogero |
| 14 | CALIFORNIA, | No. 20AP002650 |
| 15 | Plaintiff and Respondent, | Trial Ct No. BB517233 |
| 16 | | ORDER STRIKING "PRO SE" |
| 17 | v. | FILING |
| 18 | HOWARD RALPH HERSHIPS, | |
| 19 | Defendant and Appellant. | |
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| | | APP 74 |
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1 Appellant Howard Ralph Herships filed a notice of appeal from his misdemeanor conviction on February 14, 2020. This was followed on February 18, 2 2020, with a request for appointment of counsel, as Herships had been represented 3 by appointed counsel from the Office of the Public Defender in the trial court. 4 William Safford was appointed as counsel on appeal in this case by order filed April 5 13, 2020. The record was initially prepared but counsel filed a notice of omission in 6 August of 2020. That was followed by a motion to augment the record in November 7 2021, which also noted additional omissions from the normal record on appeal. That 8 motion to augment was granted by order filed on November 9, 2021. The 9 augmented record was completed on April 28, 2022, and the appellant's opening brief is now due on May 31, 2022.

12 On May 23, 2022, Herships himself "Pro Se" filed what he captions "Defendant's Application and Motion Pursuant to Rules 8.806 & 8.808 to Disqualify the Appellate Division of the Santa Clara County Superior Court to Hear This Appeal of Right as the Court Has a 'Direct, Personal, Substantial, [And], Pecuniary' Interest in the Case Constitutionally Prohibiting Any Member of the Santa Clara County Superior Court From Hearing An Appeal of Right."

18 Although a criminal defendant has a right to represent himself or herself in the trial court, this right derives from the Sixth Amendment and does not apply on 19 appeal. (In re Barnett (2003) 31 Cal.4th 466,472-473 (Barnett); People v. Scott 20 (1998) 64 Cal.App.4th 550, 554, 560-579.) Further, a criminal defendant represented on appeal by appointed counsel has no right to submit filings pro se, 22 and such filings may be rejected by the clerk or stricken if filed mistakenly. (Barnett, at pp. 473-474; People v. Kellet (1969) 1 Cal.App.3d 704, 714 [where defendant is represented by counsel on appeal, court need not consider contentions made by defendant on his or her own behalf and such documents may be stricken from the court files].)

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As a criminal defendant represented by appointed counsel on appeal has no right to separate filings or submissions on his or her own behalf (except in the case of briefs filed under *People v. Wende* (1979) 25 Cal.3d 436), we strike the document filed by Herships on May 23, 2022, and direct the clerk of the appellate division to permanently delete it from the court's docket in this case. The clerk is also directed to serve a copy of this order on counsel of record and on Herships at the address listed on his filing of May 23, 2022.

IT IS SO ORDERED.

Date: May 24, 2022 Date: May <u>25</u>, 2022 Date: May <u>25,</u> 2022

Williams, P.J.

Zepeda, J.

G. Jino

Emede, J.



SUPERIOR COURT OF CALIFORNIA COUNTY OF SANTA CLARA DOWNTOWN COURTHOUSE 191 NORTH FIRST STREET SAN JOSÉ, CALIFORNIA 95113

CIVIL DIVISION

Filed May 25, 2022 Clerk of the Court Superior Court of CA County of Santa Clara 20AP002650 By: afloresca

Signed: 5/25/2022 10:39 AM

RE: Case Number:

People vs Howard Herships 20AP002650 / / BB517233

PROOF OF SERVICE

Order Striking Pro Se Filing was delivered to the parties listed below the above entitled case as set forth in the

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line (408) 882-2690 or the

DECLARATION OF SERVICE BY MAIL: I declare that I served this notice by enclosing a true copy in a sealed envelope, addressed to each person whose name is shown below, and by depositing the envelope with postage fully prepaid, in the United States Mail at San Jose CA on May 25, 2022. CLERK OF THE COURT, by Arthur Floresca, Deputy.

HOWARD HERSHIPS PO Box 1501 Carmichael CA 95609-1501 CC: San Jose Facility - Criminal Santa Clara County Superior Court 191 N First Street San Jose CA 95113 Kaci R Lopez District Attorneys Office 70 W Hedding St West Wing 6th Floor San Jose CA 95110 William H Safford Safford Legal PO Box 13241 Chicago IL 60613



Safford LEGAL Social Justice Law Firm P.O. Box 13241 Chicago, Illinois 60613 Electronically Filed by Superior Court of CA, Counting Santagonata.om on 6/3/2022 11:33 AM Reviewed By: A. Floresca Case #20AP002650 Envelope: 9135051

June 3, 2022

Presiding Judge Helen Williams, Appellate Division SANTA CLARA COUNTY SUPERIOR COURT 191 North First Street San Jose, California 95113 [submitted via electronic filing]

Re: Request for Marsden Inquiry - People v. Herships, 1-20-AP-002650 [BB517233]

Presiding Judge Williams:

As you know, I represent Appellant Howard Herships in the matter of *People v. Herships*, 1-20-AP-001650, an appeal taken from the January 17, 2020, revocation and sentencing hearing in Criminal Division docket BB517233. I write to convey a <u>request that you conduct an inquiry</u> into the quality of my representation in this case, to determine whether Mr. Herships has been afforded the effective assistance of counsel.

I do not have access to the documents Mr. Herships has filed in the past week. I have not seen a copy of the writ petition which he filed in the Sixth District Court of Appeal (docketed as H050077), and if he has submitted any motions to the Appellate Division this week. I am unaware of them. However, Mr. Herships and I have corresponded extensively.

I cannot reveal the content of my communications with Mr. Herships. (Rules Prof. Conduct. rule 1.6(a); Bus. & Prof. Code, § 6068, subd. (e)(1).) However, based on those communications. I am compelled to conclude that Mr. Herships believes I have, through "inexcusable neglect". "excluded a crucial defense from the appeal." (*In re Greenfield* (1970) 11 Cal.App.3d 536, 543; accord *People v. Lang* (1974) 11 Cal.3d 134, 142 [reversing where "appellate counsel failed to raise crucial assignments of error which arguably might have resulted in reversal, thereby depriving defendant of the effective assistance of counsel to which he was constitutionally entitled"].) More broadly, I conclude that Mr. Herships believes the quality of my representation fails to meet "an objective standard of reasonableness under prevailing professional norms." (*People v. Harris* (1993) 19 Cal.App.4th 709, 714.)

Safford LEGAL

A start and the start

If Mr. Herships is correct about any of this, then this Court has an obligation to take action to protect his right to effective assistance of court-appointed counsel, including, if warranted, striking a brief and removing counsel. (*People v. Freeman* (2013) 220 Cal.App.4th 607, 610 ["court has the obligation to ensure adequate representation of counsel, even to the extent of removing retained counsel"], citing *People v. Daniels* (1991) 52 Cal.3d 815, 846, and *Alexander v. Super. Ct.* (1994) 22 Cal.App.4th 901, 911-912.) Mr. Herships has "a right not only to counsel on appeal ..., but to competent counsel on appeal." (*Harris, supra*, 19 Cal.App.4th 709, 713-714, citing *Douglas v. California* (1963) 372 U.S. 353, 356-357, and *Evitts v. Lucey* (1985) 469 U.S. 387.)

The law governing the question of when and how these issues should be handled in an appeal is not spelled out as clearly as it is for trial courts, so I fall back on the *Marsden* framework as a model. (*People v. Marsden* (1970) 2 Cal.3d 118, 123-126.) Given what has been articulated by Mr. Herships, I believe I have an obligation to treat his comments as: (1) an assertion that he has been deprived of his right to effective counsel; and (2) a *de facto* request for my removal. By conveying that assertion now, I enable the Court to provide Mr. Herships with "ample opportunity to explain and if possible to document the basis of his contention." (*Id.* at p. 125.)

To be clear, I am <u>not</u> asking to be removed as counsel. I stand by the work I have done in this case, and unless this Court removes me, I intend to continue representing Mr. Herships to the best of my ability. However, after consulting with colleagues and carefully considering my ethical obligations, I believe I have a duty to make the Court aware of Mr. Herships's concerns, so the Court can ensure he has been provided with effective assistance. (*Freeman, supra*, 220 Cal.App.4th 607, 611 [court which proceeds despite inadequate briefing by appellant's counsel does "a disservice to appellant and the appellate process"].) Mr. Herships may make his own explicit request at some point, but my obligation exists whether he does so or not.¹

¹ I also note that, on May 25, 2022, this Court struck a motion filed independently by Mr. Herships. The Court cited *Barnett*, *inter alia*, as holding that "a criminal defendant represented on appeal by appointed counsel has no right to submit filings *pro se*". (See *In re Barnett* (2003) 31 Cal.4th 466, 473-474.) The filing which this Court struck had nothing to do with the question of whether Mr. Herships is adequately represented in this matter.

Mr. Herships may file papers independently, asserting a denial of his right to effective assistance of counsel on appeal. If so, I believe this Court will receive and consider such papers. (Barnett, supra, 31 Cal.4th 466, 469 [appellate court will "file and consider pro se motions limited to matters concerning the inmate's representation"], citing Marsden, supra.)

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If you have any questions, please do not hesitate to contact me. I do not intend to file any formal papers on this subject unless directed by the Court to do so. And, if the Court conducts an inquiry which requires a response from me, my duty to protect confidential communications will most likely require that I provide such response through an *in camera* hearing or a sealed filing. (Rules Prof. Conduct, rule 1.6(a); Bus. & Prof. Code, § 6068, subd. (e)(1); see, also, *People v. Crandall* (1988) 46 Cal.3d 833, 894 fn. 6 ["in camera hearing provided an opportunity to inquire into the specific interactions between client and counsel pertinent to defendant's complaints"], and Cal. Rules of Court, rule 8.46(d).)

Thank you,

William Safford Counsel for Appellant

| ~ 1 | CERTIFICATE OF SERVICE | | |
|-----|--|--|--|
| 2 | I, the undersigned, am a citizen of the United States, over 18 years of age, and not a party to the | | |
| 3 | above-entitled action. I hereby certify that, on this 3rd day of June, 2022, I caused a true and accurate | | |
| 4 | copy of the foregoing letter, titled "Request for Marsden Inquiry", to be served upon each of the | | |
| 5 | following, either by electronic service with the consent of the party served, or placement with the United | | |
| 6 | States Postal Service in a sealed envelope with postage prepaid, as indicated below: | | |
| 7 | postuge prepard, as indicated below: | | |
| 8 | Kaci Lopez, Supervising Deputy | | |
| 9 | Cheri Hawkins, Deputy | | |
| 10 | Pablo Wudka-Robles, Deputy Santa Clara County District Attorney | | |
| 14 | klopez@dao.sccgov.org | | |
| 12 | chawkins@dao.sccgov.org | | |
| 13 | pwudka-robles@dao.sccgov.org | | |
| 14 | motions_dropbox@dao.sccgov.org | | |
| 15 | Howard Herships, Appellant | | |
| 16 | hherships@gmail.com | | |
| 17 | 101 | | |
| 18 | By: William Safford 286948 | | |
| 19 | Counsel for Appellant | | |
| 20 | | | |
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| 24 | APP 81 | | |
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| R | REQUEST FOR MARSDEN INQUIRY Page 4 | | |

| ~ | | | June 8, 2022 Clerk of the Court Superior Court of (County of Santa C |
|-----|---|-----------------------|--|
| , | | | 20AP002650 |
| | | | By: afloresca |
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| . 8 | SUPERIOR COL | RT OF CALIFORNIA | |
| 9 | 11 | F SANTA CLARA | |
| 10 | | TE DIVISION | |
| 11 | | TE DIVISION | |
| 12 | | | |
| 13 | PEOPLE OF THE STATE OF | No. 204 Decoast | - |
| 14 | CALIFORNIA, | No. 20AP002650 | |
| 15 | Plaintiff and Respondent, | Trial Ct No. BB517233 | |
| 16 | | ORDER | |
| 17 | v. | | |
| 18 | HOWARD RALPH HERSHIPS, | | |
| 19 | Defendant and Appellant. | | |
| 20 | 1 1 | | |
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| 22 | The court acknowledges the letter | dated June 2 0000 m | |
| 23 | The court acknowledges the letter dated June 3, 2022, filed by appointed counsel for appellant Howard Ralph Herships, William Safford. The court also | | |
| 24 | acknowledges (and did not intend to super side of the court also | | |

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acknowledges (and did not intend to convey otherwise in its order of May 25, 2022,
striking appellant's "Pro Se" filing) that the law allows for pro se filings in a
reviewing court that address the adequacy of representation by appointed counsel
on appeal. (*In re Barnett* (2003) 31 Cal.4th 466, 469 [appellate court will accept and

APP 82

consider pro se filings from criminal defendants represented by appointed counsel limited to matters concerning the defendant's representation].)

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The court also acknowledges both the emailed letter dated June 4, 2022, 3 received from appellant Howard Ralph Herships in response to Mr. Safford's letter, 4 which response does not appear to have been filed to date, and an email to the court 5 of June 7, 2022, enumerating claimed deficiencies in the opening brief filed by 6 counsel. Mr. Herships also filed a letter dated June 7, 2022, in the court file, which 7 the clerk has designated as confidential and which has been sealed from public 8 9 view. Notwithstanding that both the June 4, 2022 letter from Mr. Herships and his email of June 7, 2022, were also emailed to counsel for respondent, the People, the 10 court directs the appellate division clerk to file that letter and email in the court file 11 in this case but to likewise do so under seal, as the letter and email contain 12 challenges to the adequacy of the appellate representation Mr. Herships has 13 received in this case from appointed counsel, which assertions may be considered by the court in a confidential setting. Counsel for the People may not use these communications in any way and is directed not to publish any statements made by Mr. Herships in these communications.

The court further requests Mr. Safford to respond to Mr. Hership's letters of June 4 and 7, 2022, and his email of June 7, 2022, and to do so concurrently with the filing of the appellant's reply brief. Mr. Safford's response will likewise be filed under seal. The court will then allow Mr. Herships the opportunity to reply in writing and will issue an order at a later date to that effect with a deadline.

23 In the meantime, Mr. Herships is ordered to cease sending letters and emails to the court as we have his aforementioned communications and are thus well 24 25 apprised of his complaints about his representation on appeal. And Mr. Herships will be given a later opportunity to fully reply in writing to his counsel's response, 26 as noted above. Any further emails to the court sent directly by Mr. Herships while 27 he is represented by counsel are inappropriate and will be deleted. Mr. Herships 28

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must wait to present any remaining concerns about his representation in his filed reply.

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Date: June 7, 2022

Date: June <u>7</u>, 2022

Date: June <u>7</u>, 2022

3 At this juncture at which the appellant's opening brief has just been filed, the court is not in a position to analyze or ascertain whether counsel's 4 representation has been inadequate or whether "through 'inexcusable neglect,' " 5 counsel has "'excluded a crucial defense from the appeal.'" (Safford Letter of June 6 3, 2022.) Should the court require further briefing on this topic after reviewing and 7 assessing Mr. Herships' claims concerning his representation on appeal in 8 conjunction with our review of full briefing and the record, the court will request 9 the same and may defer submission of the cause to fully address this issue at that 10 11 time.

IT IS SO ORDERED.

Williams, P.J.

Zepeda, J.

Eméde, J.



SUPERIOR COURT OF CALIFORNIA COUNTY OF SANTA CLARA DOWNTOWN COURTHOUSE 191 NORTH FIRST STREET SAN JOSE, CALIFORNIA 95113 CIVIL DIVISION

June 8, 2022 Clerk of the Court Superior Court of C County of Santa CI 20AP002650 By: afloresca

Signed: 6/8/2022 01:18 PM

. 1. .

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RE: People vs Howard Herships Case Number: 20AP002650 / BB517233

PROOF OF SERVICE

Order re: Pro Se filing was delivered to the parties listed below the above entitled case as set forth in the sworn declaration below.

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line (408) 882-2690 or the Voice/TDD California Relay Service (800) 735-2922.

DECLARATION OF SERVICE BY MAIL: I declare that I served this notice by enclosing a true copy in a sealed envelope, addressed to each person whose name is shown below, and by depositing the envelope with postage fully prepaid, in the United States Mail at San Jose, CA on June 08, 2022. CLERK OF THE COURT, by Arthur Floresca, Deputy.

cc: HOWARD HERSHIPS PO Box 1501 Carmichael CA 95609-1501 San Jose Facility - Criminal Santa Clara County Superior Court 191 N First Street San Jose CA 95113 Kaci R Lopez District Attorneys Office 70 W Hedding St West Wing 6th Floor San Jose CA 95110 William H Safford Safford Legal PO Box 13241 Chicago IL 60613

| | 1 | | |
|----------|---|--|--|
| 22 | 4 | Filed July 26, 2022 Clerk of the Court Superior Court of CA County of Santa Clara 20AP002650 By: afloresca | |
| 6 | | Signed: 7/26/2022 DB:52 AM | |
| 7 8 | | Signed: 7/26/2022 08:52 AM | |
| 9 | SUPERIOR COURT OF CALIFORNIA | | |
| 10 | COUNTY OF SANTA CLARA | | |
| 1.1 | APPELLATE DIVISION | | |
| 1.2 | | | |
| 13 | PEOPLE OF THE STATE OF | No. 20AP002650 | |
| 14 | CALIFORNIA, | Trial Ct No. BB517233 | |
| 15 | Plaintiff and Respondent, | 111al Ct No. BB517233 | |
| 16 | | ORDER PERMITTING SEALED | |
| 17 | v. | REPLY BY MR. HERSHIPS TO HIS COUNSEL'S LETTER RE | |
| 18 | HOWARD RALPH HERSHIPS, | CLAIMED IAC | |
| 19 | Defendant and Appellant. | | |
| 20 | | | |
| 21 | | | |
| 22 | In its Order filed on June 8, 2022, the court directed Mr. Safford as | | |
| 23 | appellant's appointed appellate counsel to re | spond to Mr. Hership's prior | |
| 24 | communications to the court about claims of | ineffective assistance of counsel and to | |
| 25 | do so under seal concurrently with the reply brief. Mr. Safford has complied by | | |
| 26 27 | letter response dated July 20, 2022, and that letter has been filed under seal (and | | |

mailed via U.S. mail to Mr. Herships). The court's Order of June 8, 2022, further indicated that the court would allow Mr. Herships to reply to Mr. Safford's response

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APP 86[']

by a date certain, and that the reply would be likewise filed under seal. The court now directs Mr. Herships to file any reply to Mr. Safford's letter that he wishes, and that he do so by August 29, 2022. The court will refrain from setting the appeal for oral argument until this deadline has passed. The clerk of the appellate division is directed to send a copy of this Order directly to Mr. Herships through the U.S. mail at the address for him listed on the proof of service of Mr. Safford's letter of July 20, 2022. IT IS SO ORDERED. Date: July 25, 2022 Williams, P.J. Date: July 25 2022 Zepeda, J. Date: July <u>25,</u> 2022 Emede, J. APP 87



SUPERIOR COURT OF CALIFORNIA **COUNTY OF SANTA CLARA** DOWNTOWN COURTHOUSE 191 NORTH FIRST STREET

SAN JOSÉ, CALIFORNIA 95113 CIVIL DIVISION

Filed July 26, 2022 Clerk of the Court Superior Court of CA County of Santa Clara 20AP002650 By: afloresca

RE: People vs Howard Herships Case Number: 20AP002650 / BB517233

Signed: 7/26/2022 08:58 AM

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PROOF OF SERVICE

Order directing Appellant to file Reply was delivered to the parties listed below the above entitled case as set

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line (408) 882-2690 or the

DECLARATION OF SERVICE BY MAIL: I declare that I served this notice by enclosing a true copy in a sealed envelope, addressed to each person whose name is shown below, and by depositing the envelope with postage fully prepaid, in the United States Mail at San Jose, CA on July 26, 2022. CLERK OF THE COURT, by Arthur Floresca, Deputy.

HOWARD HERSHIPS PO Box 1501 Carmichael CA 95609-1501 cc: San Jose Facility - Criminal Santa Clara County Superior Court 191 N First Street San Jose CA 95113 Kaci R Lopez District Attorneys Office 70 W Hedding St West Wing 6th Floor San Jose CA 95110 William H Safford Safford Legal PO Box 13241 Chicago IL 60613

PROOF OF SERVICE

IN THE COURT OF APPEAL OF THE STATE OF CALIFORNIA SIXTH APPELLATE DISTRICT

HOWARD R. HERSHIPS, Petitioner, v. THE SUPERIOR COURT OF SANTA CLARA COUNTY, Respondent; THE PEOPLE, -Real Party in Interest.

H050077 Santa Clara County Super. Ct. No. 20-AP-002650

BY THE COURT:

The petition for writ of mandate and requests for judicial notice are denied.

(Grover, Acting P.J., Danner, J., and Wilson, J. participated in this decision.)

Date: 07/29/2022

Acting P.J.

Appellate Courts Case Information

Supreme Court

Change court

Docket (Register of Actions)

HERSHIPS v. S.C. (PEOPLE) Division SF Case Number S275898

| | Date | Description | Notes |
|--|--|---|--|
| | 08/09/2022 Petition for review with request for stay filed | | Petitioner: Howard R. Herships |
| | 08/09/2022 Record requested | | Pro Per Filed per CRC rule 8.25(b) |
| | | | Court of Appeal record imported and available electronically. |
| | | Motion for judicial notice filed | Petitioner: Howard R. Herships Pro Per |
| | 08/24/2022 | Petition for review & application for stay denied | The request for judicial notice is denied. |
| | 08/2 5/2022 | Returned record | The petition for review and application for stay are denied. petition for review |

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SUPREME COURT

AUG 2 4 2022

Court of Appeal, Sixth Appellate District - No. H050077

S275898

Jorge Navarrete Clerk

IN THE SUPREME COURT OF CALIFORNIA Deputy

En Banc

HOWARD R. HERSHIPS, Petitioner,

v.

SUPERIOR COURT OF SANTA CLARA COUNTY, Respondent;

THE PEOPLE, Real Party in Interest.

The request for judicial notice is denied. The petition for review and application for stay are denied.

CANTIL-SAKAUYE

Chief Justice



SUPERIOR COURT OF CALIFORNIA Filed **COUNTY OF SANTA CLARA**

DOWNTOWN COURTHOUSE 191 NORTH FIRST STREET SAN JOSE, CALIFORNIA 95113 CIVIL DIVISION

September 7, 2022 Clerk of the Court Superior Court of CA County of Santa Clara 20AP002650 By: raragon

File Copy

RE; People vs Howard Herships CASE NUMBER: 20AP002650 / BB517233

NOTICE TO APPEAR IN COURT

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD IN THE ABOVE ENTITLED CASE: YOU ARE HEREBY DIRECTED TO APPEAR IN THIS COURT FOR A HEARING:

Date: November 18, 2022 Time: 2:00 PM Dept.: Department 14

For: Oral Argument / Misdemeanor Appeal

Location:

191 N. First Street

San Jose California 95113

Please note that this is by remote appearance only. Please see the MS Teams link for the PM Session in Dept. 14 on the date of the hearing at 2:00 PM: https://www.scscourt.org/general info/ra teams/video hearings.shtml/

Date: September 07, 2022

Julie A Emede, Judge of the Superior Court

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line, (408) 882-2690 or the Voice/TDD California Relay Service, (800) 735-2922.

DECLARATION OF SERVICE BY MAIL: I declare that I served this notice by enclosing a true copy in a sealed envelope, addressed to each person whose name is shown below, and by depositing the envelope with postage fully prepaid, in the United States Mail at San Jose, CA on September 07, 2022. CLERK OF THE COURT, by Rachel Aragon, Deputy

cc: HOWARD HERSHIPS PO Box 1501 Carmichael CA 95609-1501 William H Safford Safford Legal PO Box 3486 Barrington IL 60011 Kaci R Lopez District Attorneys Office 70 W Hedding St West Wing 6th Floor San Jose CA 95110

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