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United States Court of Appeals, Sixth Circuit.

Stephen Michael WEST, Plaintiff-Appellant,

v.

Tony PARKER, Commissioner of Tennessee Department of Correction, and Tony Mays, Warden of Riverbend Maximum Security Institution, Defendants-Appellees.

No. 19-5585

|  
FILED August 06, 2019

ON APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE MIDDLE DISTRICT OF TENNESSEE

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BEFORE: BOGGS, MOORE, and COOK, Circuit Judges.

**Opinion**

BOGGS, Circuit Judge.

\*1 Stephen West, an inmate on death row in the Riverbend Maximum Security Institution in Tennessee, appeals from a district-court order dismissing his 42 U.S.C. § 1983 civil-rights action challenging Tennessee's lethal-injection protocol. We affirm the district court's order.

**FACTUAL AND PROCEDURAL HISTORY**

A Tennessee jury convicted West of first-degree premeditated murder, aggravated kidnapping, and aggravated rape in the deaths of Wanda Romines and her daughter, Sheila Romines. He was sentenced to death for each murder. *See State v. West*, 767 S.W.2d 387, 390 (Tenn. 1989). The Tennessee Supreme Court affirmed his convictions and death sentence. *Id.* at 403. We previously affirmed the district court's denial of West's federal habeas petition, *West v. Bell*, 550 F.3d 542 (6th Cir. 2008), and denied him permission to file a successive habeas petition, *In re West*, 402 F. App'x 77 (6th Cir. 2010).

At the time West was sentenced to death, Tennessee had selected electrocution as its method of execution. *See* Tenn. Code Ann. § 40-23-114 (1982). In 2000, Tennessee adopted lethal injection as its default method of execution. *See* Tenn. Code Ann. § 40-23-114(a). A person sentenced to death for an offense committed before January 1, 1999, "may elect to be executed by electrocution by signing a written waiver waiving the right to be executed by lethal injection." *Id.* (b). In the event that lethal injection is held unconstitutional, or one or more of the essential ingredients for carrying out a death sentence is unavailable

through no fault of the Tennessee Department of Corrections (TDOC), a death sentence shall be carried out by electrocution. *Id.* (e)(1)–(2).

In 2013, West and other capital prisoners filed suit in state court, challenging amendments to Tennessee’s lethal-injection protocol, which at that time provided that inmates would be executed through the injection of a lethal dose of pentobarbital. *West v. Schofield*, 519 S.W.3d 550, 552–53 (Tenn. 2017), *cert. denied sub nom. West v. Parker*, — U.S. —, 138 S. Ct. 476, 199 L.Ed.2d 364 (2017), and *Abdur 'Rahman v. Parker*, — U.S. —, 138 S. Ct. 647, 199 L.Ed.2d 545 (2018). The trial court denied relief, and the Tennessee Supreme Court affirmed. *Id.* at 552.

TDOC revised its lethal-injection protocol in January 2018 to provide for two alternative methods of execution. Protocol A called for a lethal dose of pentobarbital. Protocol B is a three-drug protocol comprised of successive doses of midazolam, vecuronium bromide, and potassium chloride. *See West v. Parker*, No. 3:19-cv-00006, 2019 WL 2341406, at \*4 (M.D. Tenn. June 3, 2019). West and other inmates filed a declaratory-judgment action in the Davidson County Chancery Court asserting facial challenges to the constitutionality of the January 2018 protocol. *Abdur 'Rahman v. Parker*, 558 S.W.3d 606, 612 (Tenn. 2018). In their second amended complaint, filed in July 2018, the plaintiffs identified pentobarbital as an alternative method of execution for the three-drug protocol. *Ibid.* Two days after the plaintiffs amended their complaint, TDOC revised its lethal-injection protocol to eliminate the use of pentobarbital, so that the three-drug protocol was the “exclusive method of execution by lethal injection in Tennessee.” *Ibid.* After a trial, the chancery court dismissed the complaint, ruling that the plaintiffs failed to prove both that an available alternative method of execution existed, and that the three-drug protocol created a demonstrated risk of severe pain. *Id.* at 613. The chancery court also denied the plaintiffs relief on their other constitutional claims “that included substantive due process, procedural due process, and access to the courts.” *Ibid.*

\*2 The Tennessee Supreme Court affirmed the trial court’s dismissal of the action. *Id.* at 625. The Supreme Court denied certiorari in three petitions arising from that decision. *See Abdur 'Rahman v. Parker*, — U.S. —, 139 S. Ct. 1533, — L.Ed.2d — (2019); *Miller v. Parker*, — U.S. —, 139 S. Ct. 626, 202 L.Ed.2d 454 (2018); *Zagorski v. Parker*, — U.S. —, 139 S. Ct. 11, 202 L.Ed.2d 258 (2018).

West and three other inmates then filed suit in federal district court alleging violations of their constitutional rights in connection with their pending executions. They sought a temporary restraining order or preliminary injunction. *See West*, 2019 WL 2341406, at \*6. The district court barred defendants from proceeding with an execution without providing the plaintiff’s attorney-witness access to a telephone, but denied any preliminary relief because the plaintiffs had not shown a likelihood of success on the merits of their various claims. *Ibid.* This court affirmed that ruling. *See Miller v. Parker*, 910 F.3d 259, 260 (6th Cir. 2018), *cert. denied*, — U.S. —, 139 S. Ct. 399, 202 L.Ed.2d 453 (2018). After Earl Miller was executed, the district court severed the claims of the remaining three plaintiffs. *West*, 2019 WL 2341406, at \*6. West’s amended complaint in federal district court asserted seven grounds for relief:

Count One: Death by lethal injection as required by Tennessee’s July 5, 2018 Protocol violates the Ex Post Facto Clause of the United States Constitution, Article II;

Count Two: Tennessee’s Electrocutation Protocol constitutes cruel and unusual punishment in violation of the Eighth and Fourteenth Amendments;

Count Three: Tennessee’s July 5, 2018 lethal injection protocol violates the Eighth and Fourteenth Amendments under *Wilkerson v. Utah*, 99 U.S. 130, 25 L.Ed. 345 (1878), *Baze v. Rees*, 553 U.S. 35, 128 S.Ct. 1520, 170 L.Ed.2d 420 (2008); *Glossip v. Gross*, — U.S. —, 135 S. Ct. 2726, 192 L.Ed.2d 761 (2015);

Count Four: Execution by the July 5th Protocol violates Plaintiff’s due process rights because it will alter the sentence imposed by the court, in violation of the Fourteenth Amendment.

Count Five: Regardless of the constitutionality of Plaintiff West's sentence, the actual infliction of any punishment which causes Plaintiff West's death violates the Eighth and Fourteenth Amendments because it is "cruel and unusual" under the meaning of the term intended by the drafters of the Eighth Amendment;

Count Six: The July 5th Protocol deprives Plaintiff West of the opportunity to challenge the constitutionality of electrocution because he must elect electrocution to avoid the harsher punishment of lethal injection, in violation of the Fourteenth Amendment;

Count Seven: Tennessee law violates Plaintiff West's rights to access courts and counsel by prohibiting the presence of more than one attorney at an execution and denying that attorney access to a telephone during the execution, contrary to the First, Eighth and Fourteenth Amendments.

Am. Compl. at i–ii, *West v. Parker* (No. 3:19-cv-00006 Feb. 7, 2019). West sought preliminary and permanent injunctive relief.

The defendants moved to dismiss under Fed. R. Civ. P. 12(b)(1) and (b)(6) for lack of jurisdiction and failure to state a claim upon which relief can be granted. *West*, 2019 WL 2341406, at \*1. The district court granted the defendants' motion. *Ibid*. It ruled that West's claims under Counts One, Three, and Four were precluded from consideration by the doctrine of res judicata. *See id.* at \*7–13. It also held that West's arguments concerning Counts Five and Six were foreclosed by Supreme Court precedent. *See id.* at \*20–22. The district court concluded that Count Two, concerning the constitutionality of electrocution, was not ripe, *id.* at \*15–17, and that Count Seven was moot because the defendants had agreed to provide telephone access to West's attorney-witness during his execution, and West had not identified any "factual or constitutional basis" why West needed two witnesses. *Id.* at \*22.

\*3 This appeal followed.

## ANALYSIS

### Standard of Review

We review de novo a district court's dismissal of a complaint under Fed. R. Civ. P. 12(b)(1) for lack of subject-matter jurisdiction, *McCormick v. Miami Univ.*, 693 F.3d 654, 658 (6th Cir. 2012), and Fed. R. Civ. P. 12(b)(6) for failure to state a claim upon which relief can be granted, *Girl Scouts of Middle Tenn., Inc. v. Girl Scouts of the U.S.A.*, 770 F.3d 414, 418 (6th Cir. 2014). "We construe the complaint in the light most favorable to the plaintiff, accept all well-pleaded factual allegations as true, and examine whether the complaint contains 'sufficient factual matter, accepted as true, to state a claim to relief that is plausible on its face.'" *Hill v. Snyder*, 878 F.3d 193, 203 (6th Cir. 2017) (quoting *Ashcroft v. Iqbal*, 556 U.S. 662, 678, 129 S.Ct. 1937, 173 L.Ed.2d 868 (2009)).

### The Parties' Arguments

West asserts that the district court improperly determined that the Tennessee Supreme Court's decision in *Abdur 'Rahman v. Parker*, 558 S.W.3d 606 (Tenn. 2018), was entitled to preclusive effect and therefore res judicata bars West's claims for relief. West offers three primary reasons why res judicata is not applicable. First, West argues that he could not obtain the relief he sought from the Tennessee chancery court because it lacked jurisdiction to stay his execution. Second, he contends that res judicata should not apply because the final judgment in *Abdur 'Rahman* "was rendered without due process." Brief of Petitioner-Appellant at 14–15, *West v. Parker*, No. 19-5585 (6th Cir. June 24, 2019). Third, West asserts that the state court lacked

jurisdiction to “enter a judgment against him on a complaint to which he was not a party....” *Id.* at 14. Finally, West argues that the district court erred in its analysis of alternate grounds for dismissing Counts Five, Six, and Seven of his federal complaint.<sup>1</sup>

<sup>1</sup> West does not appear to challenge the district court’s dismissal of Count Two of his complaint, which asserted that Tennessee’s electrocution protocol constituted cruel and unusual punishment in violation of the Eighth Amendment. The district court dismissed this count without prejudice on ripeness grounds because Tennessee provided for lethal injection as a death sentence, and if West elected to be executed by electrocution, he would waive any claim that electrocution is not constitutional. *West v. Parker*, No. 3:19-cv-00006, 2019 WL 2341406, at \*16 (M.D. Tenn. June 3, 2019). Tennessee could only require West be executed by electrocution if lethal injection is held unconstitutional, or the TDOC certified that it was unable to obtain an essential ingredient for lethal injection. *Ibid.* Absent “any allegation that either of those triggering events has happened,” the district court concluded that “any challenge to the constitutionality of electrocution is not ripe for review.” *Ibid.*

Similarly, West has not challenged the district court’s determination that Count Seven, which alleged a violation of West’s right to access courts and counsel by prohibiting the presence of more than one attorney at an execution and denying the attorney access to a telephone, was moot. *Id.* at \*22. West’s opening brief refers to Count Seven, but does not offer any argument on that point. The district court concluded the claim was moot because the Defendants agreed to provide West’s attorney-witness with access to a telephone during the execution, and the telephone is in the room where West’s attorney will observe the execution. *Ibid.* Therefore we shall not address these arguments.

\*4 Defendants argue that we should affirm the district court’s ruling that the majority of West’s claims are barred by res judicata. The Defendants contend that all of West’s claims were or could have been raised in chancery court. Defendants agree that the chancery court could not stay West’s execution, but assert that West could have sought relief from the Tennessee Supreme Court had he prevailed in the declaratory-judgment action. The Defendants also assert that West failed to raise his jurisdictional argument before the district court, and has therefore forfeited it, but even if West had raised it, he is incorrect. The Defendants maintain that, even if res judicata does not apply, all but one of West’s claims may be dismissed on alternative grounds.

#### Res Judicata

Under the Full Faith and Credit Act, 28 U.S.C. § 1738, federal courts must give a prior adjudication by a state court the same preclusive effect that it would have under the law of the state whose court issued the judgment. *See Migra v. Warren City Sch. Dist. Bd. of Educ.*, 465 U.S. 75, 80–81, 104 S.Ct. 892, 79 L.Ed.2d 56 (1984); *Lumbard v. City of Ann Arbor*, 913 F.3d 585, 590 (6th Cir. 2019). “When [28 U.S.C.] § 1738 applies to a state court decision, both issue preclusion [collateral estoppel] and claim preclusion [res judicata] apply.” *Lumbard*, 913 F.3d at 590 (citing *San Remo Hotel, L.P. v. City & Cty. of San Francisco*, 545 U.S. 323, 336, 125 S.Ct. 2491, 162 L.Ed.2d 315 (2005)). Accordingly, a judgment in Tennessee state courts has the same claim preclusive effect in federal court that the judgment would have in Tennessee’s courts. *Migra*, 465 U.S. at 85, 104 S.Ct. 892; *Hutcherson v. Lauderdale Cty., Tenn.*, 326 F.3d 747, 758 (6th Cir. 2003).

Res judicata is “justifiable on the broad grounds of public policy which requires an eventual end to litigation.” *Moulton v. Ford Motor Co.*, 533 S.W.2d 295, 296 (Tenn. 1976). “[R]es judicata bars a second suit between the same parties or their privies on the same cause of action with respect to all issues which were or could have been litigated in the former suit.”<sup>2</sup> *Richardson v. Tenn. Bd. of Dentistry*, 913 S.W.2d 446, 459 (Tenn. 1995) (quoting *Goeke v. Woods*, 777 S.W.2d 347, 349 (Tenn. 1989)). Tennessee law requires that four elements be established to assert res judicata: “(1) that the underlying judgment was rendered by a court of competent jurisdiction, (2) that the same parties or their privies were involved in both suits, (3) that the same claim or cause of action was asserted in both suits, and (4) that the underlying judgment was final and on the merits.” *Jackson v. Smith*, 387 S.W.3d 486, 491 (Tenn. 2012); *see also Hutcherson*, 326 F.3d at 758. West does not really dispute that these elements are met by *Abdur’Rahman*. Instead, he asserts that res judicata should not apply because “the initial forum did not have the power to award the full measure of relief sought in the later litigation.” *Lien v. Couch*, 993 S.W.2d 53, 56 (Tenn. Ct. App. 1998) (citing Restatement (Second) of Judgments § 26(1)(c) cmt. c (1982)); *see also Marrese v. Am. Acad. of Orthopaedic Surgeons*, 470 U.S. 373, 382, 105 S.Ct. 1327, 84 L.Ed.2d 274 (1985) (claim preclusion does not generally apply if the plaintiff could not

seek a certain remedy because of the limitations on a court's subject-matter jurisdiction); *W.J. O'Neil Co. v. Shepley, Bulfinch, Richardson & Abbott, Inc.*, 765 F.3d 625, 634 (6th Cir. 2014) (same).

2 Tennessee courts have used the term “res judicata” to include both claim preclusion (*res judicata*) and issue preclusion (collateral estoppel). See *Regions Fin. Corp. v. Marsh USA, Inc.*, 310 S.W.3d 382, 393 (Tenn. Ct. App. 2009). To prevail on issue preclusion, the party must establish that the issue to be barred is (1) identical to an issue decided in an earlier proceeding that was actually raised, litigated, and decided on the merits; (2) the judgment in the earlier proceeding has become final; (3) the party against whom issue preclusion is asserted was a party or in privity with a party to the earlier proceeding; and (4) the party against whom issue preclusion is asserted had a full and fair opportunity in the earlier proceeding to contest the issue. *Bowen ex rel. Doe v. Arnold*, 502 S.W.3d 102, 107 (Tenn. 2016).

\*5 West and the Defendants agree that Tennessee follows this “full relief” requirement. They also agree that the Tennessee chancery court lacked the jurisdiction to stay West’s execution. See *Coe v. State*, 17 S.W.3d 251, 251 (Tenn. 2000); *West v. Schofield*, 380 S.W.3d 105, 109 (Tenn. Ct. App. 2012). Their dispute centers on whether this limitation barred West from obtaining full relief such that *res judicata* cannot block his proceedings in the district court.

West relies primarily on *Lien v. Couch*, 993 S.W.2d 53 (Tenn. Ct. App. 1998). In that case, the court considered whether a judgment in an Arkansas court was *res judicata* and would bar the Liens from maintaining a suit in Tennessee for claims under the Tennessee Consumer Protection Act (TCPA). *Id.* at 55. The court concluded that the Liens could not have raised the equivalent Arkansas statutory claims because the Arkansas statutes did not afford them a private right of action. *Id.* at 56–57. The court also rejected the argument that the Liens could have raised common-law claims because consumer-protection statutes provide “broader remedies” than those available at common law. *Id.* at 57. Finally, although Arkansas courts would recognize a cause of action based on violations of the TCPA, Arkansas courts would *not* have allowed the Liens to recover TCPA remedies, such as attorney’s fees, punitive damages, or treble damages. *Id.* at 58. Because the Arkansas courts “could not have awarded the Liens the same measure of relief” sought in the Tennessee proceeding, “[i]t would be unfair to preclude them from pursuing claims here that they would have been unable to pursue in the Arkansas proceeding.” *Id.* at 58–59.

Applying *Lien*, West reasons that since the Tennessee chancery court could not grant him “the same measure of relief” he seeks in federal court—a stay of his execution—*res judicata* should not bar his § 1983 suit in federal district court. But the difference in relief sought alone does not always permit further litigation. See *In re Order to Encapsulate Native American Gravesites in Concrete and Pave Over with Asphalt*, 250 S.W.3d 873, 882–83 (Tenn. Ct. App. 2007) (when all four elements of *res judicata* met, the parties may not relitigate an issue because “the primary relief sought in the first case was injunctive relief and no such injunctive relief is sought in the present case”). West is effectively seeking to relitigate the same issues that *Abdur 'Rahman* decided. And while the chancery court could not stay his execution, the Tennessee Supreme Court was more than capable of doing so in *Abdur 'Rahman*. For that reason, we agree with the district court that West cannot avoid *res judicata*.

Had West and the other plaintiffs prevailed in *Abdur 'Rahman*, they would have obtained a declaratory judgment concluding that pentobarbital was an available alternative, and the three-drug lethal-injection protocol posed a demonstrated risk of severe pain and thus constituted cruel and unusual punishment in violation of the Eighth Amendment. See *Abdur 'Rahman*, 558 S.W.3d at 625. The chancery court had jurisdiction to enter such a judgment. Armed with that judgment, West would have been able to obtain a stay of execution from the Tennessee Supreme Court. If the defendants appealed the declaratory judgment to the Tennessee Supreme Court, then West could have sought a stay there if necessary for the litigation to continue, because, as the prevailing party in chancery court, he would have been able to satisfy Tennessee’s standard to stay an execution.<sup>3</sup> See *State v. Irick*, 556 S.W.3d 686, 689 (Tenn. 2018) (Tennessee Supreme Court will stay an execution pending resolution of collateral litigation if the prisoner can prove a likelihood of success on the merits). Alternatively, if the defendants had not appealed, they would have been bound by the declaratory judgment that West and the other plaintiffs had obtained, thus West could have avoided the three-drug protocol as a means of execution.<sup>4</sup>

3 For this reason, we reject West’s argument that, as the Tennessee Supreme Court had not set his execution date, it could not have stayed West’s execution.

4 Presumably West would have had grounds to seek a stay of execution if he had prevailed in chancery court and the defendants indicated they did not intend to comply with the declaratory judgment. *See State v. Irick*, 556 S.W.3d 686, 689 (Tenn. 2018); *Coe v. State*, 17 S.W.3d 251, 251 (Tenn. 2000).

\*6 By contrast, in *Lien*, the Liens could not have obtained the full relief available under the TCPA at any step in the Arkansas litigation. *See* 993 S.W.2d at 57–58. Similarly in other cases applying this rule, the party would have been barred from litigating his claims if res judicata were to apply. *See Marrese*, 470 U.S. at 383, 105 S.Ct. 1327; *W.J. O’Neil Co.*, 765 F.3d at 634; *Nestor v. Pratt & Whitney*, 466 F.3d 65, 73–74 (2d Cir. 2006). Here, while West may have had to take an extra step to obtain a stay of execution had he prevailed in *Abdur’Rahman*, he was not prohibited from litigating his claims in chancery court for a lack of jurisdiction.

West asserts that “full relief is not available where the desired relief does not accrue until after the termination of the prior proceeding.” Brief of Petitioner-Appellant, *supra*, at 25. The cases he relies on do not sufficiently support that proposition, and he appears to be mixing exceptions to the res judicata bar. *See White v. White*, 876 S.W.2d 837, 839–40 (Tenn. 1994) (finding by jury that insured had not died before August 7, 1985 did not bar a finding in a later case that the insured had died before the insurance policy lapsed because the matter arose after the first suit had terminated); *Church v. Brown*, 470 S.W.3d 42, 46–48 (Tenn. Ct. App. 2015) (determining that the plaintiff had a “distinct common law fraud cause of action” that was not barred by claim preclusion—but was blocked by issue preclusion); *Robinson v. Okpor*, No. W2104-00030-COA-R3-CV, 2015 WL 138170, at \*5 (Tenn. Ct. App. Jan 9, 2015) (“A prior judgment or decree does not prohibit the later consideration of rights that had not accrued at the time of the earlier proceeding or the reexamination of the same question between the same parties when the facts have changed or new facts have occurred ....”).

West next argues that res judicata should not apply because *Abdur’Rahman* was rendered without due process and the court lacked jurisdiction over him. West asserts that the district court erred by claiming that “the res judicata doctrine does not require it to examine whether the *Abdur’Rahman* court denied West due process in rendering its judgment, or whether it lacked jurisdiction to enter a judgment against West on a complaint to which West was not a party is also plainly incorrect.” Brief of Petitioner-Appellant, *supra*, at 27–28. These arguments in West’s brief are sparse, and his arguments concerning jurisdiction may not have been raised below. Regardless, West cannot prevail.

In some cases, courts have “relaxed the res judicata doctrine in circumstances in which a party has been deprived of a fair opportunity to litigate its claim.” *Jackson*, 387 S.W.3d at 494. In the district court, West contended that the chancery court proceedings denied him due process because the defendants eliminated the pentobarbital protocol, which he had pleaded as an alternative, from TDOC’s execution protocols a few days before trial, and the chancery court refused to consider evidence of another alternative method submitted at trial. *See West*, 2019 WL 2341406, at \*11. As the district court pointed out, these issues had already been litigated in state court. *See id.* at \*11–12. It agreed with the Tennessee Supreme Court that eliminating the pentobarbital protocol shortly before trial did not deprive West of notice or opportunity to be heard, and that West and the other plaintiffs could have pleaded the alternative method—removal of vecuronium bromide—when they filed their original and amended complaints. *Id.* at \*12 (citing *Abdur’Rahman*, 558 S.W.3d at 617–18, 622–23).

\*7 On the question of due process, we agree with the district court. Although TDOC altered the protocol shortly before trial, the chancery court heard testimony concerning whether TDOC could obtain pentobarbital for executions. West was able to litigate this issue. *See Abdur’Rahman*, 558 S.W.3d at 624–25. Further, as the district court pointed out, whether a proposed alternative method of execution is available does not depend on whether it is listed in a state’s execution protocols. *See, e.g., Bucklew v. Precythe*, — U.S. —, 139 S. Ct. 1112, 1129–30, 203 L.Ed.2d 521 (2019) (discussing the viability of nitrogen hypoxia as a method of execution); *id.* at 1136 (Kavanaugh, J., concurring) (“[T]he alternative method of execution need not be authorized under current state law....”); *West*, 2019 WL 2341406, at \*11 (“[O]mission from a state’s protocol of a particular method of execution does not prevent an inmate from alleging and proving that the method could be readily implemented.”).

As to whether West was denied due process when the trial judge refused to consider a two-drug protocol by eliminating vecuronium bromide from the three-drug protocol, we conclude that this issue was fully litigated in *Abdur 'Rahman*. See 558 S.W.3d at 621–23. The plaintiffs had an opportunity to plead this issue, and did not. The Tennessee Supreme Court also examined the issue and concluded that the chancery court did not abuse its discretion when it denied the plaintiffs' motion to amend the pleadings after the trial concluded. *Id.* at 622–23.

Similarly, West's argument concerning the lack of jurisdiction was already litigated in the Tennessee Supreme Court. See *West*, 2019 WL 2341406, at \*10. Therefore, he is incorrect that the purported jurisdictional issue would bar the application of res judicata. Before the district court, West asserted that he filed suit about the January 2018 protocol and "was not really a party to the litigation of the July 5, 2018 protocol he challenges now." *Id.* at \*8. The chancery court concluded that eliminating the pentobarbital protocol was not a "substantial change" to the lethal-injection protocols, and the plaintiffs' complaint had consistently challenged the three-drug protocol. *Abdur 'Rahman*, 558 S.W.3d at 617. It "clarified that, by express consent of the parties, the pleadings were amended to limit the claims to facial challenges to the constitutionality of the July 5, 2018 revised protocol." *Id.* at 612. The Tennessee Supreme Court rejected the arguments West makes here in *Abdur 'Rahman*. *Id.* at 617. Although West disagrees with this ruling, that does not mean that the chancery court or the Tennessee Supreme Court lacked jurisdiction, and that res judicata cannot apply. Accordingly, we reject West's arguments. See *Durfee v. Duke*, 375 U.S. 106, 111, 84 S.Ct. 242, 11 L.Ed.2d 186 (1963) ("[A] judgment is entitled to full faith and credit—even as to questions of jurisdiction—when the second court's inquiry discloses that those questions have been fully and fairly litigated and finally decided in the court which rendered the original judgment."); see also *Kremer v. Chem. Constr. Corp.*, 456 U.S. 461, 482–83, 102 S.Ct. 1883, 72 L.Ed.2d 262 (1982); *Rainey Bros. Constr. Co., Inc. v. Memphis & Shelby Cty. Bd. of Adjustment*, 178 F.3d 1295, 1999 WL 220128, at \*3 (6th Cir. 1999) (unpublished table decision).

#### Alternate Grounds for Dismissing Counts One, Five, and Six of West's Complaint

Finally, several alternative grounds exist for dismissing counts one, five, and six of West's complaint. In Count One, West posits that execution by the current three-drug protocol violates his rights under the Ex Post Facto Clause by subjecting him to a harsher punishment than execution by electrocution. We, however, recently rejected that argument in *Miller v. Parker*, 910 F.3d 259 (6th Cir. 2018), and decline to depart from our precedent here. *Id.* at 261 (holding that "[a] change in a State's method of execution will not constitute an ex post facto violation if the evidence shows the new method to be more humane" and that the three-drug protocol has not been shown to be less humane than electrocution).

\*8 In Count Six, West contends that the state is violating his rights by compelling him to choose one form of execution over another. However, we also rejected this argument in *Miller*. *Id.* at 261–62. Because we have "concluded that neither of these methods [of execution] violate[ ] the Constitution," West's coercion argument must fail. *Ibid.*

Finally, in Count Five West argues that the death penalty itself violates the Eighth Amendment. The Supreme Court, however, has repeatedly held capital punishment to be constitutional, even as recently as this year. See *Bucklew*, 139 S. Ct. at 1122 ("The Constitution allows capital punishment."). Thus this argument fails, as well.

Because we affirm the district court's order, we deny West's motion for a stay of execution. The judgment of the district court is affirmed.

KAREN NELSON MOORE, concurring in the judgment.

West argues that the doctrine of claim preclusion should not apply to bar his claims regarding the constitutionality of Tennessee's three-drug lethal-injection protocol because he could not obtain full relief in the declaratory-judgment action in *Abdur 'Rahman v. Parker*, 558 S.W.3d 606 (Tenn. 2018), cert. denied, *Abdur 'Rahman v. Parker*, — U.S. —, 139 S. Ct. 1533, — L.Ed.2d

— (2019); *Miller v. Parker*, — U.S. —, 139 S. Ct. 626, 202 L.Ed.2d 454 (2018); *Zagorski v. Parker*, — U.S. —, 139 S. Ct. 11, 202 L.Ed.2d 258 (2018). Although the trial-level chancery court that tried West’s state case challenging Tennessee’s lethal-injection protocol did not have the authority to grant injunctive relief staying West’s execution, the Tennessee Supreme Court would have had that power had it determined that his challenge to the lethal-injection protocol was meritorious. The Tennessee Supreme Court has stated that inferior Tennessee courts may not enjoin execution orders issued by the Tennessee Supreme Court. *West v. Schofield*, 380 S.W.3d 105, 109 (Tenn. Ct. App. 2012) (“The supreme court agreed with the trial court and this Court that the trial court was without authority to stay the supreme court’s order.”); *see also* *Coe v. Sundquist*, No. M2000-00897-SC-R9-CV (Tenn. Apr. 19, 2010) (Order). The Tennessee Supreme Court, however, alters its own execution orders in the context of collateral civil litigation challenging the constitutionality of execution protocols. *See, e.g., Schofield*, 380 S.W.3d at 109 (noting that the Tennessee Supreme Court “reset the date of Mr. West’s execution” in the course of his prior civil challenge to the method of execution). West could have obtained injunctive relief in the form of a stay of execution from the Tennessee Supreme Court. *West v. Ray*, No. M2010-02275-SC-R11-CV, 2010 Tenn. LEXIS 1072, \*3 (Tenn. Nov. 6, 2010) (Tennessee Supreme Court staying execution date of November 9, 2010, and remanding to the chancery court to “tak[e] proof and issu[e] a declaratory judgment” on the constitutionality of the execution protocol so that the supreme court would have a better record upon which to decide the case); *see also* TENN. R. APP. P. 36(a) (“The Supreme Court ... may grant any relief, including giving of any judgment and making of any order...”). I therefore conclude that the application of claim preclusion is appropriate here because full relief would have been available to West had he been successful in his 2018 challenge in state court.

Bound as I am by *Glossip v. Gross*, — U.S. —, 135 S. Ct. 2726, 192 L.Ed.2d 761 (2015), *Baze v. Rees*, 553 U.S. 35, 128 S.Ct. 1520, 170 L.Ed.2d 420 (2008), and our en banc decision in *In re Ohio Execution Protocol*, 860 F.3d 881 (6th Cir. 2017), (in which I filed a dissent), I must concur in the judgment affirming the district court’s judgment here, resolving West’s case on claim preclusion grounds before reaching its troubling merits. West could have initially presented and litigated alternatives to the Tennessee three-drug lethal-injection protocol in the state-court litigation, including any methods that he and the other plaintiffs there thought preferable. When the plaintiffs there sought to amend the pleadings to advocate a two-drug protocol (eliminating the paralytic drug), the trial court and then the Tennessee Supreme Court rejected that effort on grounds of delay. *Abdur 'Rahman*, 558 S.W.3d at 621–23. Unfortunately for West, principles of claim preclusion asserted as a defense by Defendants apply here, and West has not argued on appeal that changed circumstances or new facts give rise to an exception rendering claim preclusion inapplicable. *See West v. Parker*, No. 3:19-cv-00006, 2019 WL 2341406, at \*13 (M.D. Tenn. June 3, 2019) (analyzing West’s argument that new facts prevented the application of claim preclusion); *Creech v. Addington*, 281 S.W.3d 363, 381 (Tenn. 2009) (“The doctrine of res judicata ‘extends only to the facts in issue as they existed at the time the judgment was rendered, and does not prevent a re-examination of the same question between the same parties where in the interval the facts have changed or new facts have occurred which may alter the legal rights or relations of the litigants.’ ” (quoting *Banks v. Banks*, 18 Tenn.App. 347, 77 S.W.2d 74, 76 (1934))).

#### All Citations

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United States District Court, M.D. Tennessee, Nashville Division.

Stephen Michael WEST, Plaintiff,

v.

Tony PARKER, et al., Defendants.

No. 3:19-cv-00006

1  
Filed 06/03/2019

#### Attorneys and Law Firms

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#### CAPITAL CASE

#### MEMORANDUM OPINION

\*1 Stephen Michael West, an inmate on death row in Riverbend Maximum Security Institution, brings this action for injunctive relief challenging his upcoming execution pursuant to 42 U.S.C. § 1983. Defendants Tony Parker, the Commissioner of the Tennessee Department of Correction (TDOC), and Tony Mays, Warden of Riverbend, move under Rule 12 of the Federal Rules of Civil Procedure to dismiss the amended complaint for lack of jurisdiction and failure to state a claim for which relief can be granted. (Doc. No. 12.) For the following reasons, Defendants' motion will be granted, and this action will be dismissed in its entirety.

#### I. Legal Standards

Rule 12(b)(1) governs dismissal for lack of subject matter jurisdiction. "Rule 12(b)(1) motions to dismiss...generally come in two varieties: a facial attack or a factual attack." Gentek Bldg. Prods., Inc. v. Sherwin-Williams Co., 491 F.3d 320, 330 (6th Cir. 2007). "When reviewing a facial attack, a district court takes the allegations in the complaint as true, which is a similar safeguard employed under 12(b)(6) motions to dismiss." Id. "When considering a factual attack upon the court's jurisdiction, the court may weigh the evidence, and no presumption of truth applies to the plaintiff's factual allegations." Hickam v. Segars, 905 F.Supp.2d 835, 838 (M.D. Tenn. 2012) (citing Gentek, 491 F.3d at 330). "In its review, the district court has wide discretion to allow affidavits, documents, and even a limited evidentiary hearing to resolve jurisdictional facts." Gentek, 491 F.3d at 330.

To survive a Rule 12(b)(6) motion, " 'a complaint must contain sufficient factual matter, accepted as true, to state a claim to relief that is plausible on its face.' " Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009) (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007) ). The plausibility standard is not akin to a 'probability requirement,' but it asks for more than a sheer possibility that a defendant has acted unlawfully." Id. (quoting Twombly, 550 U.S. at 557). "If the plaintiffs do not nudge their claims across the line from conceivable to plausible, their complaint must be dismissed." Lutz v. Chesapeake Appalachia, L.L.C., 717 F.3d 459, 464 (6th Cir. 2013) (citation and brackets omitted). Dismissal is likewise appropriate where the complaint, however factually detailed, fails to state a claim as a matter of law. Mitchell v. McNeil, 487 F.3d 374, 379 (6th Cir. 2007). In deciding a motion to dismiss, the Court is not required to accept summary allegations, legal conclusions, or unwarranted factual inferences. Mixon v. Ohio, 193 F.3d 389, 400 (6th Cir. 1999); Lillard v. Shelby Cty. Bd. of Educ., 76 F.3d 716, 726 (6th Cir. 1996).

As a general rule, “matters outside the pleadings may not be considered in ruling on a 12(b)(6) motion to dismiss unless the motion is converted to one for summary judgment under [Federal Rule of Civil Procedure] 56.” Weiner v. Klais & Co., 108 F.3d 86, 88 (6th Cir. 1997). The term “pleadings” encompasses both the complaint and the answer, Fed. R. Civ. P. 7(a), and any exhibit thereto. Fed. R. Civ. P. 10(c). However, the Court of Appeals has held that “[d]ocuments attached to a motion to dismiss are considered part of the pleadings if they are referred to in the plaintiff’s complaint and are central to the plaintiff’s claim.” Jackson v. City of Columbus, 194 F.3d 737, 745 (6th Cir. 1999), abrogated on other grounds by Swierkiewicz v. Sorema N.A., 534 U.S. 506 (2002); see also Commercial Money Ctr., Inc. v. Ill. Union Ins. Co., 508 F.3d 327, 335 (6th Cir. 2007) (holding that a district court may consider documents referenced in the pleadings that are “integral to the claims” in deciding motion to dismiss); Wyser-Pratte Mgmt. Co., Inc. v. Telxon Corp., 413 F.3d 553, 560 (6th Cir. 2005) (noting that in deciding a motion to dismiss “the court may also consider other materials that are integral to the complaint, are public records, or are otherwise appropriate for the taking of judicial notice”).

\*2 Plaintiff sues under 42 U.S.C. § 1983 to vindicate alleged violations of his federal constitutional rights. Section 1983 confers a private federal right of action against any person who, acting under color of state law, deprives an individual of any right, privilege or immunity secured by the Constitution or federal laws. Wurzelbacher v. Jones-Kelley, 675 F.3d 580, 583 (6th Cir. 2012). Thus, to state a § 1983 claim, a plaintiff must allege two elements: (1) a deprivation of rights secured by the Constitution and laws of the United States, and (2) that “the deprivation was caused by a person acting under color of state law.” Tahfs v. Proctor, 316 F. 3d 584, 590 (6th Cir. 2003) (citations omitted); 42 U.S.C. § 1983.

## II. Facts and Procedural Background

In 1986, when Plaintiff committed the crimes for which he was convicted and sentenced to death, see State v. West, 767 S.W.2d 387, 389 (Tenn. 1989), Tennessee law provided, as it had since at least 1932, that inmates sentenced to death would be executed by means of electrocution:

Whenever any person is sentenced to the punishment of death, the court shall direct that the person be put to death by electrocution, and that the body be subjected to shock by a sufficient current of electricity until dead.

Tenn. Code Ann. § 40-23-114 (1982). In keeping with this law, at the conclusion of Plaintiff’s sentencing hearing, the trial judge announced that “[i]n accordance with the verdict of the jury, it is the judgment of the Court that the defendant be sentenced to death by electrocution at a time to be fixed by the Supreme Court of this State.” (Doc. No. 11-46 at 2.)

In 1998, the Tennessee legislature revised the relevant statute to provide that the method of execution for anyone who was sentenced to death for an offense committed on or after January 1, 1999, would be lethal injection, and that inmates sentenced to death for offenses committed before that date could elect to be executed by lethal injection by signing a written waiver of the right to be executed by electrocution:

- (a) For any person who commits an offense prior to January 1, 1999, for which such person is sentenced to the punishment of death, the court shall direct that the person be put to death by electrocution, and that the body be subjected to shock by a sufficient current of electricity until dead.
- (b) For any person who commits an offense on or after January 1, 1999, for which such person is sentenced to the punishment of death, the court shall direct that the person be put to death by lethal injection.
- (c) Any person who commits an offense prior to January 1, 1999, for which such person is sentenced to the punishment of death may elect to be executed by lethal injection by signing a written waiver waiving the right to be executed by the method of execution in effect at the time the offense was committed.

- (d) The department of correction is authorized to promulgate necessary rules and regulations to facilitate the implementation of subsections (b) and (c).
- (e) If the method of execution established by subsections (b) and (c) is for any reason determined by a court of competent jurisdiction to be unconstitutional, the law establishing the method of execution as death by electrocution is revived and electrocution shall be the method of execution in this state. All statutory procedures, rules and departmental policy enacted or promulgated to effectuate a sentence of death by electrocution shall also be revived and shall be in full force and effect.

Tenn. Code Ann. § 40-23-114 (1998); 1998 Tennessee Laws Pub. Ch. 982 (H.B. 2085).

\*3 The legislature amended the statute again in 2000 to reverse the presumptive method of execution for older convictions, providing that the default method of execution for all inmates sentenced to death is lethal injection, but that inmates whose offenses predate January 1, 1999, may elect to be executed by electrocution by signing a written waiver of the right to lethal injection:

- (a) For any person who commits an offense prior to January 1, 1999, for which such person is sentenced to the punishment of death, the method for carrying out this sentence shall be by lethal injection.
- (b) For any person who commits an offense on or after January 1, 1999, for which such person is sentenced to the punishment of death, the method for carrying out this sentence shall be by lethal injection.
- (c) Any person who commits an offense prior to January 1, 1999, for which such person is sentenced to the punishment of death may elect to be executed by electrocution by signing a written waiver waiving the right to be executed by lethal injection.
- (d) The department of correction is authorized to promulgate necessary rules and regulations to facilitate the implementation of this section.
- (e) If lethal injection or electrocution is held to be unconstitutional by the Tennessee supreme court under the Constitution of Tennessee, or held to be unconstitutional by the United States supreme court under the United States Constitution, or if the United States supreme court declines to review any judgment holding lethal injection or electrocution to be unconstitutional under the United States Constitution made by the Tennessee supreme court or the United States court of appeals that has jurisdiction over Tennessee, or if the Tennessee supreme court declines to review any judgment by the Tennessee court of criminal appeals holding lethal injection or electrocution to be unconstitutional under the United States or Tennessee Constitution, all persons sentenced to death for a capital crime shall be executed by any constitutional method of execution. No sentence of death shall be reduced as a result of a determination that a method of execution is declared unconstitutional under the Constitution of Tennessee or the Constitution of the United States. In any case in which an execution method is declared unconstitutional, the death sentence shall remain in force until the sentence can be lawfully executed by any valid method of execution.

Tenn. Code Ann. § 40-23-114 (2000); 2000 Tennessee Laws Pub. Ch. 614 (H.B. 2978).

And finally, in 2014, the legislature added a provision to the statute about defaulting to electrocution if the ingredients to carry out a lethal injection are unavailable:

- (a) For any person who commits an offense for which the person is sentenced to the punishment of death, the method for carrying out this sentence shall be by lethal injection.
- (b) Any person who commits an offense prior to January 1, 1999, for which the person is sentenced to the punishment of death may elect to be executed by electrocution by signing a written waiver waiving the right to be executed by lethal injection.

- (c) The department of correction is authorized to promulgate necessary rules and regulations to facilitate the implementation of this section.
- (d) If lethal injection or electrocution is held to be unconstitutional by the Tennessee supreme court under the Constitution of Tennessee, or held to be unconstitutional by the United States supreme court under the United States Constitution, or if the United States supreme court declines to review any judgment holding lethal injection or electrocution to be unconstitutional under the United States Constitution made by the Tennessee supreme court or the United States court of appeals that has jurisdiction over Tennessee, or if the Tennessee supreme court declines to review any judgment by the Tennessee court of criminal appeals holding lethal injection or electrocution to be unconstitutional under the United States or Tennessee constitutions, all persons sentenced to death for a capital crime shall be executed by any constitutional method of execution. No sentence of death shall be reduced as a result of a determination that a method of execution is declared unconstitutional under the Constitution of Tennessee or the Constitution of the United States. In any case in which an execution method is declared unconstitutional, the death sentence shall remain in force until the sentence can be lawfully executed by any valid method of execution.
- \*4 (e) For any person who commits an offense or has committed an offense for which the person is sentenced to the punishment of death, the method of carrying out the sentence shall be by lethal injection unless subdivision (e)(1) or (e)(2) is applicable. If subdivision (e)(1) or (e)(2) is applicable, the method of carrying out the sentence shall be by electrocution. The alternative method of execution shall be used if:
- (1) Lethal injection is held to be unconstitutional by a court of competent jurisdiction in the manner described in subsection (d); or
  - (2) The commissioner of correction certifies to the governor that one (1) or more of the ingredients essential to carrying out a sentence of death by lethal injection is unavailable through no fault of the department.

Tenn. Code Ann. § 40-23-114 (2014); 2014 Tennessee Laws Pub. Ch. 1014 (S.B. 2580). This version of the statute remains in effect today.

Pursuant to every version of the statute in effect since 1998, the TDOC devised a series of protocols to carry out executions in Tennessee. As relevant to this case, the lethal injection protocols adopted in 2013, 2014, and 2015 all called for execution by a lethal dose of the barbiturate pentobarbital. West v. Schofield, 519 S.W.3d 550, 552 (Tenn. 2017), cert. denied sub nom. West v. Parker, 138 S. Ct. 476 (2017), and cert. denied sub nom. Abdur'Rahman v. Parker, 138 S. Ct. 647 (2018), reh'g denied, 138 S. Ct. 1183 (2018). A group of death row inmates including Plaintiff filed suit in state court alleging, among other things, that the pentobarbital protocol constituted cruel and unusual punishment in violation of the Eighth Amendment of the United States Constitution by exposing them to a substantial risk of serious harm or lingering death. Id., 519 S.W.3d at 563. The state courts concluded after trial that the inmates' Eighth Amendment claims failed on their merits, id., and the United States Supreme Court denied certiorari on January 8, 2018. Abdur'Rahman, 138 S. Ct. 647.

On the same day that the Supreme Court denied certiorari in the challenge to pentobarbital, TDOC revised its lethal injection protocol to provide for two alternative methods of execution: Protocol A, comprised of a lethal dose of pentobarbital; and Protocol B, comprised of a dose of midazolam, followed by vecuronium bromide, and then potassium chloride, in that order. (Doc. No. 11-3 at 34.) Plaintiff and dozens of his fellow death row inmates again filed suit in state court "seeking a declaration that the new, January 8, 2018 Lethal Injection Protocol, Protocol B, violates their constitutional and statutory rights." (Doc. No. 13-1 at 7.) They alleged, among other things, that the three-drug execution method constituted cruel and unusual punishment in violation of the Eighth Amendment. Abdur'Rahman v. Parker, 558 S.W.3d 606, 610 (Tenn. 2018), cert. denied sub nom. Zagorski v. Parker, 139 S. Ct. 11 (2018), and cert. denied sub nom. Miller v. Parker, 139 S. Ct. 626 (2018), petition for cert. filed, (U.S. March 7, 2019) (No. 18-8332). On July 5, 2018, while that lawsuit was still pending in the trial court and two days after the plaintiffs filed their second and final amended complaint, TDOC revised its lethal injection protocol to eliminate the pentobarbital option and leave the three-drug protocol as the sole method of lethal injection. (Doc. No. 11-4 at 34.)

Trial started on the inmates' claims on July 9, 2018. Abdur'Rahman, 558 S.W.3d at 612. During his opening statement, counsel for Plaintiff and several other inmates in the state case asserted that the issue before the court was still the January protocol and that he "intend[ed] to go forward with claims against their new protocol, the newly ripe claims" through "either an amended pleading or a new pleading." Response-Attachment A at 3–9, Miller v. Parker, No. 3:18-781 (M.D. Tenn. filed Oct. 4, 2018) (Miller Doc. No. 18-1 at 3–9). He acknowledged, however, that relevant provisions of the protocols were the same and that the pending causes of action "address[ed] the same issues, but not the same protocol." Id. at 4. He asserted that the pending claims were not moot and that "we can't completely start over." Id. The trial proceeded and lasted ten days. Abdur'Rahman, 558 S.W.3d at 612.

\*5 At the conclusion of the trial, the state court denied the plaintiffs' motion to amend their complaint to conform to the proof with regard to an alternative lethal injection method that was not alleged in the complaint but about which witnesses had testified.<sup>1</sup> Order Applying Tennessee Civil Procedure Rule 15.02, Abdur'Rahman, et al. v. Parker, No. 18-183-II(III) (Davidson Chancery Jul. 19, 2018).<sup>2</sup> In a written order, the state court explained that:

Denial of the Plaintiffs' Rule 15.02 motion to amend on the Glossip alternative, however, is separate from and does not affect that by express consent of the parties ...the pleadings have been amended to conform to the filing on July 5, 2018 and the proof at trial that the protocol in issue and on which declaratory judgment is sought is the Lethal Injection Execution Manual, Execution Procedures For Lethal Injection, Revised July 5, 2018.

Id. at 2–3. Plaintiff and three others filed a motion seeking "reconsideration of that portion of the Court's order which adds consideration of Defendant's July 5, 2018 [protocol] to those matters presently pending before the court." Motion of Plaintiffs, Abdur'Rahman, et al. v. Parker, No. 18-183-II(III) (Davidson Chancery filed Jul. 20, 2018).<sup>3</sup> In their memorandum in support of the motion, the inmates argued that allowing them to bring separate claims against the July protocol would not mean the recently concluded trial was "for naught," because "under principles of res judicata and collateral estoppel/issue preclusion, the results of this Court's judgment as to each issue relevant to its determination of the constitutionality of the January 8th Protocol will bind the parties in a subsequent litigation." Memorandum in Support at 10, Abdur'Rahman, et al. v. Parker, No. 18-183-II(III) (Davidson Chancery Jul. 20, 2018). Specifically, they asserted that:

the following disputed factual issues and/or mixed questions of law and fact will be resolved by this Court's resolution of Plaintiffs' pending challenge of the January 8th Protocol and will become binding on the parties:

- (1) Does Tennessee's midazolam, vecuronium bromide, and potassium chloride lethal injection protocol create an intolerable risk of unnecessary pain and suffering on its face and without considering their individual characteristics?
- (2) If so, is Tennessee's former default method of execution, the one-drug pentobarbital lethal injection protocol first adopted in 2013, a feasible and readily available alternative method of execution and/or are Plaintiffs required to demonstrate such an alternative under the facts of this case?
- (3) Did Defendants' adopting and implementing Tennessee's midazolam, vecuronium bromide, and potassium chloride lethal injection protocol despite actual knowledge it would cause unnecessary and severe pain and suffering shock the conscience, i.e., have Defendants deliberately chosen to inflict severe pain and suffering?

\*6 Upon the conclusion of these proceedings, the parties to this action will never litigate these issues again. The resolution of these issue [sic] raised by the current pleadings and proof may not resolve every claims [sic] as to the July 5th Protocol. However, they will resolve some of those claims. As importantly, they will resolve critical factual issues that

are applicable to both the January 8th and the July 5th Protocol. The vast majority of resources expended to date have been expended on those very issues.

Id. at 11–12 (emphasis added). The state court denied the motion to reconsider and expressly held that the “July 5, 2018 revision to the January 8, 2018 Lethal Injection Execution Manual did not constitute a substantial change to which new causes of action accrued.” Memorandum and Order Denying Motion at 4, Abdur’Rahman, et al. v. Parker, No. 18-183-II(III) (Davidson Chancery Jul. 26, 2018).

1 Federal courts “may properly take judicial notice of court filings and other matters of public record.” CollegeSource, Inc. v. AcademyOne, Inc., 709 F. App’x 440, 442 (9th Cir. 2017); see also New England Health Care Employees Pension Fund v. Ernst & Young, LLP, 336 F.3d 495, 501 (6th Cir. 2003) (holding that court ruling on motion to dismiss “may consider materials in addition to the complaint if such materials are public records or are otherwise appropriate for the taking of judicial notice”). Accordingly, the Court herein considers the existence and text of filings in Plaintiff’s state-court litigation but does not rely on them for the truth or accuracy of their contents.

2 Relevant filings from the state-court case not already in this Court’s record are attached as a Collective Appendix to this opinion in the order in which they are cited herein.

3 The motion was filed on behalf of state-court plaintiffs David Earl Miller, Nicholas Todd Sutton, Stephen Michael West, and Larry McKay. It expressly noted that “[r]econsideration is not sought by any Plaintiff not so joined.” Id. at 2 n.2.

Hours after denying the motion to reconsider, the state court ruled in favor of the defendants on the plaintiffs’ claims. (Doc. No. 13-2). The Tennessee Supreme Court assumed jurisdiction over the inmates’ appeal and affirmed on October 8, 2018. Abdur’Rahman v. Parker, 558 S.W.3d 606, 613 (Tenn. 2018). The United States Supreme Court has denied three petitions for certiorari arising from the state court’s decision. Abdur’rahman v. Parker, No. 18-8332, 2019 WL 2078094 (U.S. May 13, 2019); Miller v. Parker, 139 S. Ct. 626 (2018) (denying certiorari and denying stay of execution); Zagorski v. Parker, 139 S. Ct. 11 (2018) (same).

Plaintiff and three other inmates—the same group who joined in the motion to reconsider in state court discussed above—filed suit in this Court on November 2, 2018, alleging violations of their constitutional rights in connection with their anticipated executions and seeking a temporary restraining order or preliminary injunction. Complaint for Injunctive Relief, Miller, et al. v. Parker, No. 3:18-1234 (M.D. Tenn. Nov. 2, 2018). On November 15, 2018, the Court enjoined Defendants from proceeding with any Plaintiff’s execution without providing telephone access to his attorney-witness, but held that Plaintiffs had insufficient likelihood of success on their Ex Post Facto, Eighth Amendment, and coerced-waiver claims to warrant further preliminary relief. Memorandum and Order, Miller, et al. v. Parker, No. 3:18-1234 (M.D. Tenn. Nov. 15, 2018) (Campbell, J.), (Miller Doc. No. 20). The United States Court of Appeals affirmed that ruling. Miller v. Parker, 910 F.3d 259, 260 (6th Cir. 2018), cert. denied, 139 S. Ct. 399 (2018). After Mr. Miller’s execution on December 6, 2018, the Court severed the claims of the remaining three inmates, Order, Miller, et al. v. Parker, No. 3:18-1234 (M.D. Tenn. Jan. 1, 2019) (Campbell, J.), (Miller Doc. No. 47), and Plaintiff West’s case was assigned to the undersigned for further proceedings. Plaintiff filed an Amended Complaint for Injunctive Relief on February 7, 2019 (Doc. No. 11), which is the subject of Defendants’ pending Motion to Dismiss. (Doc. No. 12.) III. Discussion

\*7 Plaintiff sues Tony Parker, the Commissioner of the TDOC, in his official capacity as the official who seeks to execute Plaintiff pursuant to the current execution protocol and will oversee the execution. He also sues Tony Mays, the Warden of Riverbend Maximum Security Institution, in his official capacity as the official who is “directly in charge” of Plaintiff’s execution. (Doc. No. 11 at 5.) He seeks a preliminary and permanent injunction preventing Defendants from executing him by the current lethal injection protocol, executing him by electrocution, executing him in any manner that violates the Ex Post Facto clause and is contrary to the sentence imposed on him, and executing him in any manner without allowing him to have two attorney witnesses with immediate access to a telephone and each other throughout the execution. (Id. at 146–47.) Defendants move to dismiss all claims on the grounds of lack of jurisdiction and/or failure to state a claim. (Doc. No. 12.)

### A. Res Judicata

Before turning to Plaintiff's individual claims, the Court must resolve a dispute between the parties about whether the doctrine of res judicata applies to this litigation to any extent. Res judicata, in its narrowest sense, is "the preclusion of claims that have once been litigated or could have been litigated" in a previous lawsuit. Hutcherson v. Lauderdale Cty., Tenn., 326 F.3d 747, 758 n.3 (6th Cir. 2003). It "rests at bottom upon the ground that the party to be affected, or some other with whom he is in privity, has litigated or had an opportunity to litigate the same matter in a former action in a court of competent jurisdiction." Richards v. Jefferson Cty., Ala., 517 U.S. 793, 797 n.4 (1996).

Congress has dictated that state court judicial proceedings "shall have the same full faith and credit in every court within the United States...as they have by law or usage in the courts of such State...from which they are taken." 28 U.S.C.A. § 1738. Accordingly, federal courts are required to apply res judicata in a manner "to give the same effect to the Tennessee state court judgment as would another Tennessee state court." Hutcherson, 326 F.3d at 758. "Indeed, though the federal courts may look to the common law or to the policies supporting res judicata and collateral estoppel in assessing the preclusive effect of decisions of other federal courts, Congress has specifically required all federal courts to give preclusive effect to state-court judgments whenever the courts of the State from which the judgments emerged would do so[.]" Allen v. McCurry, 449 U.S. 90, 96 (1980). This policy "not only reduce[s] unnecessary litigation and foster[s] reliance on adjudication, but also promote[s] the comity between state and federal courts that has been recognized as a bulwark of the federal system." Id. at 95–96.

Tennessee courts have "a long-standing tradition in upholding judgments" pursuant to res judicata. Regions Fin. Corp. v. Marsh USA, Inc., 310 S.W.3d 382, 400 (Tenn. Ct. App. 2009). In rejecting a party's arguments for adopting several exceptions to res judicata, the Tennessee Court of Appeals quoted the Tennessee Supreme Court regarding the policy in favor of a strong res judicata doctrine:

The policy rationale in support of Res Judicata is not based upon any presumption that the final judgment was right or just. Rather, it is justifiable on the broad grounds of public policy which requires an eventual end to litigation. Akin to statutes of limitations, the doctrine of Res judicata is a 'rule of rest' and 'private peace'....

...It is not material on this point whether the finding of the jury was Right or not in the former suit. That cannot be questioned any more between the same parties or their privies. Right or wrong the question was finally closed, unless a new trial had been obtained in the same suit. This rule is not alone for the benefit of the parties litigant, to put an end to strife and contention between them, and produce certainty as to individual rights, but it is also intended to give dignity and respect to judicial proceedings, and relieve society from the expense and annoyance of indeterminable litigation about the same matter.

\*8 Id. at 400–01 (quoting Moulton v. Ford Motor Co., 533 S.W.2d 295, 296 (Tenn. 1976) ).

Tennessee courts use the term "res judicata" broadly to include both claim preclusion, as just described, and issue preclusion (also known as collateral estoppel). Regions Fin. Corp., 310 S.W.3d at 393. The Sixth Circuit has summarized the elements of the claim-preclusion type of res judicata defense in Tennessee:

The state of Tennessee bars under res judicata "all claims that were actually litigated or could have been litigated in the first suit between the same parties." Am. Nat'l Bank & Trust Co. of Chattanooga v. Clark, 586 S.W.2d 825, 826 (Tenn. 1979). Four elements must be established before res judicata can be asserted as a defense: (1) the underlying judgment must have been rendered by a court of competent jurisdiction; (2) the same parties were involved in both suits;<sup>4</sup> (3) the same cause of action was involved in both suits; and (4) the underlying judgment was on the merits. Collins v. Greene Cty. Bank, 916 S.W.2d 941, 945 (Tenn. Ct. App. 1995) (citing Lee v. Hall, 790 S.W.2d 293, 294 (Tenn. Ct. App. 1990) ).

Id. The corollary doctrine of issue preclusion dictates that "[w]hen an issue of fact or law is actually litigated and determined by a valid and final judgment...the determination is conclusive in a subsequent action between the parties, whether on the same or a different claim." In re Bridgestone/Firestone, 286 S.W.3d 898, 904 (Tenn. Ct. App. 2008) (quoting Restatement (Second) of Judgments § 27 (1982) ). The elements of the issue-preclusion type of Tennessee's res judicata doctrine are:

(1) that the issue to be precluded is identical to an issue decided in an earlier proceeding, (2) that the issue to be precluded was actually raised, litigated, and decided on the merits in the earlier proceeding, (3) that the judgment in the earlier proceeding has become final, (4) that the party against whom collateral estoppel is asserted was a party or is in privity with a party to the earlier proceeding, and (5) that the party against whom collateral estoppel is asserted had a full and fair opportunity in the earlier proceeding to contest the issue now sought to be precluded.

Bowen ex rel. Doe v. Arnold, 502 S.W.3d 102, 107 (Tenn. 2016).

4 Tennessee appears not to strictly limit res judicata's application to cases involving the same parties. See Bowen ex rel. Doe v. Arnold, 502 S.W.3d 102, 115 n.11 (Tenn. 2016) (discussing privity). That distinction is not material to this case, however, because Plaintiff was a party to the state-court litigation.

Defendants assert that several of Plaintiff's claims are barred by the doctrine of res judicata as a result of the Abdur'Rahman litigation in state court and should be dismissed for lack of subject matter jurisdiction. (Doc. No. 13 at 1, 12–19.) Plaintiff responds that res judicata does not apply to this case at all for four reasons: (1) Plaintiff filed suit in state court about the January 8, 2018 execution protocol and was not really a party to litigation of the July 5, 2018 protocol he challenges now (Doc. No. 17 at 7); (2) the Davidson County Chancery Court lacked authority to stay Plaintiff's execution (id. at 11); (3) Plaintiff was denied the opportunity to be heard in state court (id. at 14); and (4) Plaintiff now relies on new facts that could not have been presented in state court. (id. at 20.) The Court addresses each of Plaintiff's arguments in turn.

#### 1. Whether Plaintiff Has Litigated the Constitutionality of the July 5 Protocol

\*9 The state-court plaintiffs' central claim in Abdur'Rahman was that the TDOC's midazolam-based three-drug lethal injection protocol, originally known as "Protocol B," violated their constitutional and statutory rights in a host of different ways, because the three-drug protocol would cause severe and unnecessary pain and suffering. (Doc. No. 13-1 at 7, 24, 29–51). They alleged pages of facts about midazolam, vecuronium bromide, and potassium chloride to support their chief position that midazolam was "scientifically incapable" of preventing the "constitutionally intolerable pain" that would be caused by the three-drug protocol. (Id. at 29–51, 81). Defendants argue that the July 5 revision to the lethal injection protocol was not a substantial change with regard to the three-drug protocol at issue in both these cases and that Plaintiff's attempt to distinguish the protocols by date is "a red herring." (Doc. No. 13 at 16.)

The Sixth Circuit has repeatedly considered whether a new lethal injection protocol gives rise to a new cause of action in the context of determining how to apply a statute of limitations. In Cooley v. Strickland, 479 F.3d 412 (6th Cir. 2007) ("Cooley II"), the inmate argued that his cause of action did not accrue until Ohio revised its lethal injection protocol with respect to the timing and duration of executions, medical examinations prior to executions, and the procedures for establishing and maintaining intravenous lines. Id. at 424. But the Sixth Circuit concluded that those changes did not give rise to a new cause of action regarding the plaintiff's challenge to his method of execution:

As Defendants assert, none of these changes relates to Cooley's core complaints. Further, none of these areas were implicated as a basis for Cooley's expert's conclusion that the process presents a risk that Cooley will experience pain. Rather, Dr. Heath, Cooley's expert, criticized the use of pancuronium bromide, the use and dosage of sodium thiopental, the failure to provide a continuous dose of an ultra-short-acting



barbiturate, and the lack of information regarding prison personnel's training to prepare and administer the drugs. Coe cannot prevail on this basis either.

Id.

Similarly, in 2009, Kentucky promulgated its preexisting lethal injection protocol as a formal regulation, "making no material changes to the method of execution." Wilson v. Rees, 620 F.3d 699, 701 (6th Cir. 2010). The Sixth Circuit determined that a new cause of action did not accrue upon the promulgation of the new regulation, because that action was inconsequential to the plaintiff's claims:

Wilson's "core complaints" challenge the drugs used and the methods of administering those drugs, issues extraneous to whether the protocol is issued as an internal guidance document or as an administrative regulation. As it relates to Wilson's claims, the new regulation is indistinguishable from the previous lethal injection protocol. Further, Wilson makes no reference to any particular protocol document in his complaint, but rather describes the method of execution that he seeks to challenge. Quite reasonably, Wilson's complaint is not concerned with the form of the protocol, but rather the manner in which the protocol dictates that he will be executed. To the extent that his manner of execution allegations were true at the time he filed his complaint, they are equally as true today.

Id. (internal citations omitted).

Similarly, Tennessee courts deciding whether to apply res judicata to a second suit apply a "transactional test," under which "[t]wo suits...shall be deemed the same 'cause of action' for purposes of res judicata where they arise out of the same transaction or a series of connected transactions." Creech v. Addington, 281 S.W.3d 363, 381 (Tenn. 2009). Plaintiff's state-court complaint referenced the January 8, 2018 Lethal Injection Protocol for identification purposes, but its "core complaint" was clearly the alleged unconstitutionality of Protocol B—the midazolam-based three-drug protocol, and the "transaction" underlying his lawsuit was his impending execution with that protocol. Nothing material to that challenge changed with the July 5 revision to the protocol. The midazolam-based three-drug protocol did not suddenly become riskier or more painful as a result of the deletion of the pentobarbital protocol, and no significantly better alternatives suddenly became available or unavailable. Accordingly, the July 5 revision did not give rise to a newly accrued cause of action with regard to the constitutionality of the three-drug protocol. Plaintiffs' allegations about the three-drug protocol were as true or false when state case concluded as they were when it began. The cause of action in both suits—the alleged unconstitutionality of the three-drug protocol—is the same, as required for res judicata to apply. Even if the Court were persuaded by Plaintiff's argument that the change in the protocol gave rise to a new cause of action that was not litigated in state court (which it is not), the underlying *issue* of the three-drug protocol's constitutionality was absolutely decided in the state-court case.

\*10 Moreover, the *issue* of whether Plaintiff obtained a new cause of action when the protocol was revised on July 5 was also "actually raised, litigated, and decided" in state court. As the Court observed above, Plaintiff's counsel acknowledged that the relevant provisions of the protocols were the same and that the pending claims already addressed the same legal issues raised by the revised protocol. He then proceeded with trial, despite his expressed intention to file another action. After trial he moved the trial court to reconsider its holding about the constructive amendment of the pleadings, and the state trial court explicitly held that the pleadings were amended to conform to the July 5 revision and that the July 5 revision did not constitute a substantial change giving rise to new causes of action.

Plaintiff then complained in both his principal brief and his reply brief to the Tennessee Supreme Court that he had not consented to the *de facto* amendment to the complaint to incorporate the July 5 protocol and that only the January 8 protocol was at issue.

Brief of Plaintiffs-Appellants at 1, 8, Abdur’Rahman v. Parker, No. M2018-01385-SC-RDO-CV (Tenn. filed Sept. 6, 2018); Reply Brief of Plaintiffs-Appellants at 8 and n.10, *id.* (filed Sept. 28, 2018). The Tennessee Supreme Court unambiguously rejected that argument as a preliminary matter in its ruling:

In denying the motion to reconsider, the trial court found that eliminating the alternative one-drug protocol was not a substantial change to the lethal injection protocol for the purposes of this facial challenge. We agree. From the time of the filing of the original complaint on February 20, 2018, the method-of-execution claim: (1) challenged the three-drug protocol and (2) alleged a one-drug protocol using pentobarbital as an alternative method of execution. Availability in theory of pentobarbital, based solely on its status as an option in the lethal injection protocol prior to the revision on July 5, 2018, would not have satisfied the Plaintiffs’ burden of proof.

Abdur’Rahman, 558 S.W.3d at 617. Plaintiff clearly disagrees with the state court’s ruling; but his documenting that disagreement throughout the state proceedings and in his Response to the pending motion does not change that the ruling was made by a court of competent jurisdiction and was affirmed by the Tennessee Supreme Court on appeal. If Plaintiff believed the ruling to be error, his recourse was to seek review of that issue by the United States Supreme Court. 28 U.S.C. § 1257 (providing for jurisdiction of the Supreme Court to review “[f]inal judgments or decrees rendered by the highest court of a State in which a decision could be had”). Plaintiff is not at liberty to relitigate the state court’s ruling in this Court. Plaintiff cannot avoid the bar of res judicata by creating a false distinction between the three-drug method of execution he challenged in state court and the same three-drug method he challenges now.

## 2. Authority to Stay Executions

Plaintiff relies on Lien v. Couch, 993 S.W.2d 53 (Tenn. Ct. App. 1998), for the proposition that res judicata does not apply to this case because the Davidson County Chancery Court could not have granted all of the relief he now seeks—specifically, an injunction against his execution.<sup>5</sup> (Doc. No. 17 at 11–14.) In that case, the Liens were sued in Arkansas for breach of contract and subsequently filed suit in Tennessee against the Arkansas parties for violation of the Tennessee Consumer Protection Act (TCPA). Lien, 993 S.W. 2d at 54. When the Arkansas court entered judgment against the Liens, the Arkansas parties asserted that the TCPA claim in Tennessee was barred by res judicata because the Liens could have filed it as a counterclaim in the Arkansas litigation. *Id.* The Tennessee Court of Appeals rejected that argument because the Arkansas courts would not have awarded the full damages available to the Liens in Tennessee under the TCPA, including treble damages and attorney fees. *Id.* at 57–59.

<sup>5</sup> The Court notes that the Davidson County Chancery Court’s final order stated that “[t]he immediate effect of a ruling in the Inmates’ favor would halt the upcoming and subsequent executions using this three-drug lethal injection.” (Doc. No. 13-2 at 7.) However, Defendants concede that “a chancery court may not itself enjoin an execution date set by the Tennessee Supreme Court,” (Doc. No. 20 at 3), so the Court presumes for the purpose of ruling on Defendants’ motion that the Chancery Court lacked such authority.

\*11 Defendants respond that Lien does not apply to this case because the injunction in question “was available in the state-court system” and that it does not matter “[t]hat the inmates had to seek that relief from the Tennessee Supreme Court, rather than from the chancery court.” (Doc. No. 20 at 3–4.) Specifically, Defendants assert that a favorable ruling by the Chancery Court would have provided the basis for an inmate to seek a stay of execution from the Tennessee Supreme Court. (*Id.* at 3.) Moreover, as a practical matter, the appeal process arising from the Chancery Court’s ruling inevitably involved the Tennessee Supreme Court, which has unquestioned authority to vacate its own orders setting execution dates. And even if the state supreme court had refused to hear an appeal of a ruling favorable to the inmates, the method of the state plaintiffs’ executions would have automatically defaulted to a different constitutional method by virtue of state law. Tenn. Code Ann. § 40-23-114 (d). Accordingly, there is no doubt that Plaintiff’s ultimate goal in the state-court litigation was to avoid execution by the three-drug protocol or that a victory in the state case would have led to that result. By contrast, the Liens could not have obtained full relief at any stage of the Arkansas litigation. Plaintiff cannot avoid preclusion on this basis.

### 3. Denied the Opportunity to Be Hear

Plaintiff argues that he did not receive due process in the state litigation and that it therefore cannot be given preclusive effect. (Doc. No. 17 at 14–20.) Specifically, he asserts that he was denied an opportunity to be heard on his claim in state court because: (1) four days before trial, Defendants eliminated the method of execution that he had pleaded as an alternative; and (2) the state courts refused to consider evidence of another alternative that was admitted at trial. (*Id.* at 16–17.)

Plaintiff’s first argument implicates the standard for constitutional method-of-execution challenges set forth in *Glossip v. Gross*, 135 S. Ct. 2726 (2015). Under *Glossip*, inmates challenging the constitutionality of a method of execution must do two things: (1) “establish that the method presents a risk that is ‘sure or very likely to cause serious illness and needless suffering,’ ” and (2) “identify an alternative that is ‘feasible, readily implemented, and in fact significantly reduce[s] a substantial risk of severe pain.’ ” *Id.* at 2737. Plaintiff’s original state-court complaint, in a section under the heading “Available Alternative,” alleged only that the pentobarbital method of lethal injection, then listed as “Protocol A” in the TDOC’s Lethal Injection Protocol, was “a known and available alternative method of execution that has been upheld by the Tennessee Supreme Court, and the United States Supreme Court declined to review its decision.” Complaint for Declaratory Judgment at 46, *Abdur’Rahman v. Parker*, No. 18-183-II(III) (Davidson Chancery filed February 20, 2018). In his Second Amended Complaint in state court, Plaintiff alleged for the first time that Protocol A was “a known and available alternative method of execution that entails a lesser risk of pain” and that it was “feasible, readily implemented, and in fact significantly reduces a substantial risk of severe pain.” (Doc. No. 13-1 at 51 (punctuation and citations omitted).)

Plaintiff’s position is that as long as the pentobarbital method remained listed as Protocol A, its “feasibility and availability...was established by the face of the protocol,” so Defendants’ elimination of Protocol A days before trial resulted in a denial of due process. (Doc. No. 17 at 18.) But that position is simply illogical. The Tennessee Supreme Court case on which Plaintiff relies, which states simply that a protocol “must be assessed on its face,” does not remotely suggest that the mere existence of a protocol on paper proves that the method described is readily available for use. (*Id.* (quoting *West v. Schofield*, 460 S.W.3d 113, 126 (Tenn. 2015).) To the contrary, Tennessee law expressly allows for the possibility that the TDOC commissioner might certify that lethal injection ingredients are unavailable, despite the existence of a lethal injection protocol. Tenn. Code Ann. § 40-23-114 (e)(2) (providing that executions would then be carried out by electrocution). And, as Plaintiff is obviously aware, the omission from a state’s protocol of a particular method of execution does not prevent an inmate from alleging and proving that the method could be readily implemented. (See Doc. No. 11 at 28–58 (alleging four alternative methods not found in TDOC’s current protocol).) The language of a protocol simply does not amount to proof of a lethal-injection method’s availability one way or another.

\*12 Moreover, Plaintiff raised the issue of whether he was denied notice and “an opportunity to be heard on issues newly-arising from the July 5th Protocol” on appeal to the Tennessee Supreme Court. Reply Brief of Plaintiffs-Appellants at 6, *Abdur’Rahman v. Parker*, No. M2018-01385-SC-RCO-CV (Tenn. filed Sept. 28, 2018). The state court rejected his argument:

[T]he Plaintiffs assert that they were denied notice and an opportunity to be heard on availability of an alternative method of execution because TDOC revised the lethal injection protocol on the eve of trial...

...Availability in theory of pentobarbital, based solely on its status as an option in the lethal injection protocol prior to the revision on July 5, 2018, would not have satisfied the Plaintiffs’ burden of proof. Furthermore, the State’s February 15, 2018 motion, asking this Court to set execution dates in eight capital cases before June 1, 2018, because of ongoing difficulty in obtaining the necessary lethal injection drugs, put the Plaintiffs on notice that the three-drug protocol likely would be used. Additional notice came to the Plaintiffs on May 21, 2018, when the Defendants filed, as an attachment to a Motion for Protective Order, an affidavit of the TDOC commissioner, which stated, “As Commissioner, I approved the January 8, 2018 [lethal injection protocol] because the drug pentobarbital and chemicals to compound pentobarbital, the drug in TDOC’s previous procedures, are unavailable to TDOC for the purpose of carrying out executions by lethal injection.”

Abdur'Rahman v. Parker, 558 S.W.3d 606, 617–18 (Tenn. 2018).

Again, Plaintiff clearly disagrees with that ruling. But he cannot earn the opportunity to relitigate claims ruled on in state court by relitigating underlying issues that were also ruled on in state court. Moreover, for all of the reasons explained above, this Court agrees with the Tennessee Supreme Court that elimination of the pentobarbital protocol shortly before trial did not deprive Plaintiff of notice or an opportunity to be heard on his claims.

Similarly, Plaintiff cannot relitigate here the propriety of the state courts' refusal to consider any alternative execution methods that were not alleged in the state-court complaints. The Tennessee Supreme Court considered that issue at length, Abdur'Rahman, 558 S.W.3d at 620–23, and concluded that

[w]ith the filing of an original complaint, an amended complaint, and then a second amended complaint, the Plaintiffs had repeated opportunities to plead removal of vecuronium bromide from the three-drug protocol as an alternative method of execution. The Plaintiffs have no justifiable excuse for their failure to plead a two-drug protocol as an alternative, given their acknowledged recognition of it during discovery and their second opportunity to amend the complaint just six days before the trial started.

Id. at 622–23. As discussed above, the July 5 revision of the protocol had no bearing on the state-court plaintiffs' need or ability to allege any alternative method they wished to plead. The state courts' refusal to consider alternatives not pleaded by that point did not deprive Plaintiff of the opportunity for a full and fair hearing.

#### 4. Reliance on New Facts

\*13 Finally, Plaintiff argues that his current Count 3 (the three-drug protocol violates the Eighth and Fourteenth Amendments) and Count 6 (unconstitutional coercion) are not barred by res judicata because they rely on new evidence about the application of the three-drug protocol that was not available during the state-court litigation. (Doc. No. 17 at 20–25.) He points to three specific allegations from his Amended Complaint about the intervening August 9, 2018 execution of Billy Ray Irick according to the three-drug protocol: (1) Defendants failed to prepare a contingency dose of midazolam for Irick's execution; (2) “[a]fter (but not in response to) the Warden's ‘consciousness check,’ Billy Ray Irick's body jolted, he gasped for air, he hacked and coughed, his face turned deep purple and he moved his head”; and (3) Defendant Mays gave the order to proceed with the vecuronium bromide injection despite knowing Irick was still sensate, causing Irick to experience terror and excruciating pain. (Id. at 23–24.)

Plaintiff is correct that “[t]he doctrine of res judicata ‘extends only to the facts in issue as they existed at the time the judgment was rendered, and does not prevent a re-examination of the same question between the same parties where in the interval the facts have changed or new facts have occurred which may alter the legal rights or relations of the litigants.’” Creech v. Addington, 281 S.W.3d 363, 381 (Tenn. 2009) (quoting Banks v. Banks, 77 S.W.2d 74, 76 (Tenn. Ct. App. 1934) ). But, as Defendants point out, Plaintiff has already affirmatively acknowledged that “[t]he evidence regarding the pain and suffering created by Tennessee's July 5th Protocol presented by West will not be materially different than the evidence presented in...Abdur'Rahman.” (Doc. No. 11 at 18; Doc. No. 20 at 5.) Indeed, the state-court plaintiffs' Second Amended Complaint alleged “numerous problematic and botched executions” as the result of midazolam-based protocols and detailed the physical responses allegedly indicative of pain by at least seven inmates previously executed with midazolam. (Doc. No. 13-1 at 46–50, 57.) They relied at trial on the testimony of witnesses the Chancery Court characterized as “four well-qualified and imminent experts” who convinced the court that “midazolam does not elicit strong analgesic effects and the inmate being executed may be able to feel pain from the administration of the second and third drugs.” (Doc. No. 13-2 at 23.) They also presented the testimony of twelve eye-witnesses to a number of lethal injections to the effect that the inmates exhibited “grimaces, clenched fists, furrowed brows, and moans indicative that the inmates were feeling pain after the midazolam had been injected and when the vecuronium bromide was

injected.” Abdur’Rahman v. Parker, 558 S.W.3d 606, 612 (Tenn. 2018); (Doc. No. 13-2 at 29–30). Similar evidence about Billy Ray Irick’s execution does not amount to a new material change in the evidence relevant to Plaintiff’s claims.

Nor is the new allegation that TDOC did not prepare a back-up dose of midazolam for Irick’s execution material to Plaintiff’s claims. In his complaints in both state court and this Court, a central allegation of his claims about midazolam is that midazolam has a “ceiling effect,” that “[b]ecause of that ceiling effect, 500 mg of midazolam is no more effective than the minimum dose of midazolam required to bind available GABA receptors,” and that no amount of midazolam would be sufficient to avoid unnecessary pain during execution. (Doc. No. 11 at 113; Doc. No. 13-1 at 34–36.) The Tennessee Supreme Court denied a motion to consider facts about Irick’s execution as moot in light of its ruling. Abdur’Rahman, 558 S.W.3d at 625 and n.24. Accordingly, whether Defendants have additional doses of midazolam on hand during an execution has no bearing on Plaintiff’s claims about midazolam’s effects. Having rejected Plaintiff’s arguments that res judicata is wholly inapplicable to this case, the Court turns to the application of that and Defendants’ other defenses to each of Plaintiff’s claims.

#### B. Count I — Ex Post Facto Violation

\*14 Article I, Section 10 of the United States Constitution prohibits Ex Post Facto punishments. Plaintiff alleges that lethal injection pursuant to the current TDOC protocol violates the Ex Post Facto Clause because it “constitutes harsher punishment than execution by electrocution, the punishment to be inflicted on Plaintiff West at the time of his crimes.” (Doc. No. 11 at 21–27.) Specifically, he alleges that electrocution “involves a significantly shorter duration of pain and suffering than lethal injection” under the current protocol and that “Defendants should be preliminarily and then permanently enjoined from carrying out Plaintiff’s execution under a midazolam-based, three-drug protocol.” (*Id.* at 27.)

Defendants assert that this claim is barred by the doctrine of res judicata. (Doc. No. 13 at 12–15.) They say that “Plaintiff asserted a virtually identical Ex Post Facto claim” in the most recent state court action, where it was dismissed on its merits. (*Id.* at 15.) In the state-court plaintiffs’ first Amended Complaint filed on April 13, 2018, they alleged in Count XIV that the midazolam-based lethal injection protocol is “inhumane” and that its “retrospective adoption...violates the ex post facto clause of the United States and Tennessee constitutions.” Amended Complaint at 81, Abdur’Rahman v. Parker, No. 18-183-II(III) (Davidson Chancery filed April 13, 2018). And they alleged in Count XV that application of the 1998, 2000, and 2014 legislative amendments to the relevant statute violates the rights of several plaintiffs, including Mr. West, under the Ex Post Facto Clause of the United States Constitution by placing an unconstitutional burden on them to participate in their own executions and violating their religious freedom against such participation. *Id.* at 82–86.

The state trial court dismissed both claims prior to trial:

Adopting the analysis and authorities of the Defendants, the Court concludes that as in Malloy v. South Carolina, 237 U.S. 180, 183 (1915), the addition of Protocol B does “not change the penalty—death—for murder, but only the mode of producing this.” Malloy, 237 U.S. at 185. *See also*, In re Lombardi, 741 F.3d 888, 896–97 (8th Cir. 2014) (State’s change of lethal injection protocol to use of compounded pentobarbital does not violate Ex Post Facto Clause), Williams v Hobbs, 658 F.3d 842, 848 (8th Cir. 2011) (Risk from possible changes in lethal injection protocol does not violate Ex Post Facto Clause.) Adding an additional lethal injection protocol to the Lethal Injection Execution Manual does not change the quantum of punishment attached to the crime and is not a violation of the Ex Post Facto Clause of the United States or Tennessee Constitutions. Plaintiffs fail to state a claim as it relates to these provisions.

...

A change in the method of execution does not constitute an ex post facto violation because like in Malloy, the method of lethal injection does “not change the penalty—death—for murder, but only the mode of producing this.” Malloy, 237 U.S. at 185. The Plaintiffs have no vested interest in being executed by electrocution as opposed to lethal injection, and the change does not criminally punish conduct that was lawful when committed or increase the punishment of the convicted. The amendments

to the law adding lethal injection as the primary method of execution in Tennessee do not violate the Ex Post Facto Clauses of the U.S. or Tennessee Constitutions.

(Doc. No. 13-3 at 30–31, 35.) Plaintiff did not appeal that ruling.

Having denounced entirely the application of res judicata to this case, Plaintiff does not address Defendants' res judicata argument specifically in the context of this claim. Nonetheless, the current claim includes a slight twist on the state-court ex post facto claims. Here, Plaintiff is not asserting an ex post facto change from one version of the relevant statute to another, or from one version of the lethal injection protocol to another. Instead, he asserts an ex post facto violation arising from the change between the old electrocution-only statute and the current three-drug lethal injection protocol. While Plaintiff did not present the state court with this precise theory, Tennessee's law of "[c]laim preclusion 'bars a second suit between the same parties or their privies on the same cause of action with respect to all issues which were or *could have been litigated* in the former suit.'" Regions Fin. Corp. v. Marsh USA, Inc., 310 S.W.3d 382, 395 (Tenn. Ct. App. 2009) (emphasis in Regions) (quoting Patton v. Estate of Upchurch, 242 S.W.3d 781, 790 (Tenn. Ct. App. 2007) ). As the Tennessee Supreme Court has explained, "[w]hen a valid and final judgment rendered in an action extinguishes the plaintiff's claim..., the claim extinguished includes all rights of the plaintiff to remedies against the defendant with respect to all or any part of the transaction, or series of connected transactions, out of which the action arose." Creech v. Addington, 281 S.W.3d 363, 379 (Tenn. 2009) (quoting Restatement (Second) of Judgments § 24(1) ).

\*15 In Regions, Regions Financial Corporation ("Regions") unsuccessfully sued several insurers seeking indemnification under an insurance contract, then sued a second time for breach of the same insurance contract. 310 S.W.3d at 386. Regions raised new theories in its second case, but the Tennessee Court of Appeals explained that "[u]nlike issue preclusion, claim preclusion bars relitigation of the same cause of action not only as to matters actually determined, but 'also to other matters which in the exercise of due diligence could have been presented in the prior action.'" Id. at 395 (quoting Gerber v. Holcomb, 219 S.W.3d 914, 918 (Tenn. Ct. App. 2006) ). Accordingly, the court rejected Regions' argument that it could avoid the res judicata bar by suing under different provisions of the contract than it did in its previous suit:

Regions' argument, however, fails because Regions is essentially arguing that it should be permitted to split its claim.

Claim preclusion prohibits parties from splitting their cause of action; it requires parties "to raise in a single lawsuit all the grounds for recovery arising from a single transaction or series of transactions that can be brought together."... Where a plaintiff brings two separate breach of contract actions based upon the same wrong but argues liability pursuant to a different contract in the second case the second action is barred by the doctrine of claim preclusion.

Id. at 396–97 (citations omitted).

Tennessee's res judicata doctrine similarly prevents Plaintiff from splitting his ex post facto theories between two lawsuits here. Plaintiff brought two separate lawsuits asserting ex post facto violations under slightly different theories that were all available at the time of the first litigation. The current claim is thus barred by the claim preclusion type of res judicata.

Because Plaintiff is barred from litigating this claim, it is not necessary for the Court to resolve the parties' disputes about whether the Ex Post Facto Clause applies to changes in methods of execution or whether Plaintiff's statutory right to choose the method in place at the time of his crime obviates any ex post facto claim he would otherwise have.

#### B. Count 2 — Electrocution Violates 8th Amendment

Plaintiff alleges that Tennessee's method of electrocution constitutes cruel and unusual punishment prohibited by the Eighth Amendment.<sup>6</sup> Defendants argue that this claim is not ripe for adjudication because none of the following events has occurred that would trigger Plaintiff's execution via electrocution under state law: "(1) [Plaintiff] elects to be executed by electrocution by signing a written waiver, [Tenn. Code Ann.] § 40-23-114(b); (2) lethal injection is held unconstitutional by the Tennessee

Supreme Court or the U.S. Supreme Court, *id.* § 40-23-114(e); or (3) the TDOC Commissioner certifies to the Governor that he is unable to carry out the sentence because ‘one or more of the ingredients essential to carrying out a sentence of death by lethal injection is unavailable,’ *id.*” (Doc. No. 13 at 9–10.)

6 In each of Plaintiff’s cruel-and-unusual-punishment claims, he alleges violation of both the Eighth and Fourteenth Amendments. But if a constitutional claim is covered by a specific constitutional provision, such as the Fourth or Eighth Amendment, the claim must be analyzed under the standard appropriate to that specific provision, not under the rubric of substantive due process. *United States v. Lanier*, 520 U.S. 259, 272 n.7 (1997). Accordingly, inmates’ claims of cruel and unusual punishment sound solely under the Eighth Amendment. *Walker v. Norris*, 917 F.2d 1449, 1455 (6th Cir. 1990) (“The Supreme Court has indicated that ‘the Eighth Amendment, which is specifically concerned with the unnecessary and wanton infliction of pain in penal institutions, serves as the primary source of substantive protection to convicted prisoners....’ [Whitley v.] Albers, 475 U.S. [312,] 327 [ (1986) ]. Under the circumstances, the plaintiff’s section 1983 claim in a case such as this must be for redress of eighth amendment, not fourteenth amendment substantive due process, rights. Cf. *Graham [v. Connor]*, 490 U.S. 386, — [ (1989) ].”).

\*16 Plaintiff responds that the contingent nature of his claim is not determinative because “ripeness contemplates whether the contingency likely will arise.” (Doc. No. 17 at 37.) He argues that the likelihood of such contingencies’ occurring in this case is a factual question that requires further development. (*Id.*) He further asserts that the facts alleged in connection with Counts 1, 3, and 4 of his amended complaint establish the likelihood that Tennessee’s current lethal injection protocol is unconstitutional and that, therefore, Tennessee will attempt to carry out his execution by electrocution. (*Id.* at 39–40.)

A claim must present a real and present dispute for this Court to have jurisdiction to hear it:

The jurisdiction of federal courts is limited by Article III of the United States Constitution to consideration of actual cases and controversies, therefore federal courts are not permitted to render advisory opinions. Ripeness is more than a mere procedural question; it is determinative of jurisdiction. If a claim is unripe, federal courts lack subject matter jurisdiction and the complaint must be dismissed.

*Bigelow v. Mich. Dep’t of Nat. Res.*, 970 F.2d 154, 157 (6th Cir. 1992) (punctuation and citations omitted). “[A] claim is not ripe for adjudication if it rests upon contingent future events that may not occur as anticipated, or indeed may not occur at all.” *Kiser v. Reitz*, 765 F.3d 601, 606 (6th Cir. 2014) (quoting *Texas v. United States*, 523 U.S. 296, 300 (1998) ). A plaintiff basing a claim on an anticipated future injury must demonstrate that “the threatened injury is certainly impending, or there is a substantial risk that the harm will occur.” *Id.* at 607–08 (quoting *Susan B. Anthony List v. Driehaus*, 573 U.S. 149, 158 (2014) ).

This Court rejected a challenge to the constitutionality of electrocution in Tennessee as unripe under similar circumstances several years ago:

Petitioner does not have a current claim with regard to electrocution, because current Tennessee law provides for execution of the death sentence by lethal injection. Tenn. Code Ann. § 40–23–114(a) (2000). Because he committed his offense prior to January 1, 1999, Petitioner may elect by written waiver to be executed by electrocution instead of lethal injection. Tenn. Code Ann. § 40–23–114(b). Should he choose to make such a waiver, Petitioner would waive any claim that electrocution is unconstitutional. See *Stewart v. LaGrand*, 526 U.S. 115, 119 (1999). A recent amendment to the controlling statute provides that execution by electrocution is otherwise authorized only in the event that lethal injection is held to be unconstitutional by a court of competent jurisdiction or the Commissioner of the Tennessee Department of Correction certifies to the governor that an essential lethal injection ingredient is unavailable. Tenn. Code Ann. § 40–23–114(d). In the absence of any allegation that either of those triggering events has happened, any challenge to the constitutionality of electrocution is not ripe for review.

Moreover, the Supreme Court found execution of the death penalty by electrocution to be constitutional in 1890, see *In re Kemmler*, 136 U.S. 436, 449 (1890), and no federal court since that time has held it to be unconstitutional. But see *State v.*

Mata, 275 Neb. 1, 745 N.W.2d 229 (Neb. 2008) (finding electrocution unconstitutional under Nebraska constitution). The Sixth Circuit has reiterated its rejection of such a claim as recently as 2004, see Williams v. Bagley, 380 F.3d 932, 965 (6th Cir. 2004), and at least one district court in Tennessee has rejected such a challenge within the last three years, see Morris v. Bell, No. 07–1084–JDB, 2011 WL 7758570, at \*68 (W.D. Tenn. Sept. 29, 2011).

\*17 Duncan v. Carpenter, No. 3:88-00992, 2014 WL 3905440, at \*42–43 (M.D. Tenn. Aug. 11, 2014) (Nixon, S.J.); see also Stanford v. Parker, 266 F.3d 442, 462 (6th Cir. 2001) (rejecting Eighth Amendment challenge to electrocution by inmate who had not elected it because the plaintiff’s “argument ignores the fact that he need not be electrocuted” and because by choosing electrocution he would “waive any objection” to it). The following year, the Tennessee Supreme Court ruled, in yet another case in which Plaintiff was a party, that the inmate-plaintiffs’ constitutional challenges to electrocution “depend entirely on future and contingent events that have not occurred and may never occur, and as a result, are unripe and nonjusticiable.”<sup>7</sup> West v. Schofield, 468 S.W.3d 482, 494 (Tenn. 2015).

<sup>7</sup> Defendants have not asserted that this prior adjudication raises any bar to the current claim.

For those same reasons, Plaintiff’s claim is not ripe for review. Plaintiff’s reliance on his claims in Counts 1, 3, and 4 does not change this outcome because, as explained herein, Plaintiff is precluded from relitigating any of those claims in this case. Accordingly, he has not demonstrated a “substantial risk” of any event that would trigger Tennessee’s statutory default to electrocution for his execution.

### C. Count 3 — Lethal Injection Protocol Violates 8th Amendment

Plaintiff alleges that the current three-drug protocol violates the Eighth Amendment and offers multiple alternative methods of execution that he says “substantially reduce the constitutionally-unacceptable risk of inflicting unnecessary and serious pain” created by the protocol. (Doc. No. 11 at 73–136.) He claims that the protocol is unconstitutional both on its face and as applied to him because of the potential complications caused or exacerbated by his individual characteristics, including diabetes, a history of strokes, seizure disorder, peripheral neuropathy, unsteady gait (likely caused by tardive dyskinesia), arthritis, degenerative disk disease, acid reflux, enlarged prostate, high cholesterol, hypothyroidism, obesity, schizo-affective disorder with paranoid psychosis, mania, and depression. (Id. at 117–18.)

Defendants argue that Plaintiff’s facial challenge to the protocol in Count 3 is barred by res judicata because it is the same Eighth Amendment claim that was adjudicated in state court. (Doc. No. 13 at 15.) They argue that Plaintiff’s as-applied challenge in Count 3 is likewise barred because Plaintiff *could have* raised it in his state court case.<sup>8</sup> (Id. at 17.)

<sup>8</sup> The Court observes that, shortly before trial in state court, three other plaintiffs (not including Plaintiff West) moved for leave to file a supplemental complaint asserting as-applied challenges to the protocol on the basis of individual characteristics including diabetes, high cholesterol, depression, and other psychological issues. Motion for Leave at 16–23, Abdur’Rahman v. Parker, No. 18-183-II(III) (filed June 28, 2018). The trial court denied leave on the basis of “undue delay” and specifically observed that the plaintiffs had expressly disavowed any as-applied challenges in response to the court’s question early in the pendency of the case. Memorandum and Order Granting in Part and Denying in Part Plaintiffs’ Motion to Amend at 5–6, 10, id. (Davidson Chancery Court June 29, 2018). This history supports the presumption that Plaintiff could have litigated his as-applied claims in state court if he had included them in the original state-court complaint or a timely motion to amend.

Plaintiff responds that res judicata does not apply to Count 3 because it relies on new facts that could not have been presented in the state-court case. (Doc. No. 17 at 20–25, 41.) He relies primarily on Whole Woman’s Health v. Hellerstedt, 136 S. Ct. 2292 (2016), as revised (June 27, 2016), in which the Supreme Court held that adjudication of abortion providers’ previous lawsuit before a statute’s enforcement did not preclude a second lawsuit after enforcement. The Court reached that decision on the basis that “new evidence presented by petitioners had given rise to a new claim.” Id. at 2306. It concluded that the petitioners’ new claim “rests in significant part upon later, concrete factual developments” and that “[t]hose developments matter.” Id. And it provided an instructive analogy applying its reasoning to the context of a prisoners’ conditions-of-confinement claim:



\*18 Imagine a group of prisoners who claim that they are being forced to drink contaminated water. These prisoners file suit against the facility where they are incarcerated. If at first their suit is dismissed because a court does not believe that the harm would be severe enough to be unconstitutional, it would make no sense to prevent the same prisoners from bringing a later suit if time and experience eventually showed that prisoners were dying from contaminated water. Such circumstances would give rise to a new claim that the prisoners' treatment violates the Constitution. Factual developments may show that constitutional harm, which seemed too remote or speculative to afford relief at the time of an earlier suit, was in fact indisputable. In our view, such changed circumstances will give rise to a new constitutional claim.

Id. at 2305.

Plaintiff asserts that the new material evidence supporting Count 3 is "including, but not limited to" certain facts about Billy Irick's execution, which the Court has already discussed above. (Id. at 21.) As the Court explained, none of those facts constitute the type of materially significant, concrete factual developments necessary to give rise to a new claim.

The Court is not obligated to speculate about what other unspecified facts Plaintiff relies on in connection with this dispute or to craft his response for him. See Thompson v. A.J. Rose Mfg. Co., No. 99-3728, 2000 WL 302998 (6th Cir. Mar. 14, 2000) (explaining that it would be improper for the court to function as "an advocate seeking out the strongest arguments and most successful strategies for a party"); Kirkland v. Cty. Comm'n of Elmore Cty., Alabama, No. 2:08CV86-MEF, 2009 WL 596538, at \*2 (M.D. Ala. Mar. 6, 2009) ("[A] party's decision not to proffer argument or authority in response to a dispositive motion is at his peril."). But even if the Court were so inclined, it has located only two additional pieces of information alleged in the amended complaint that might be relevant here. The first is that Mr. Irick's hands and fingers were taped to the gurney during his lethal injection, which, Plaintiff alleges, increases the inmate's "level of panic and terror," which makes it harder for midazolam to take effect and increases the risk of more pain and suffering and "a spectacle execution." (Doc. No. 11 at 130–31.) But in the context of an already-litigated protocol that calls for execution staff to "place arm supports on the gurney and restrain the condemned inmate's arms securely to the gurney," (Doc. No. 11-4 at 42), the allegedly unexpected use of tape to restrain an inmate's hands is not material.

The second category of newly-alleged information consists of the details of Plaintiff's individual characteristics that he alleges will increase the likelihood or severity of pain and suffering during his execution. But Plaintiff's failure to allege those facts as part of his Eighth Amendment challenge in state court does not make them new facts. He does not maintain, in his amended complaint or his response to the pending motion, that any of the physical or mental conditions on which he relies were developed or discovered after the trial in state court. The doctor on whose Declaration Plaintiff relies did not personally examine him until November 2, 2018, but that examination only served to confirm several diagnoses already indicated by the "medical records and documents" he reviewed in Plaintiff's voluminous TDOC health chart. (Doc. No. 11-48 at 1–2.) Accordingly, those facts did not give rise to a cause of action that only accrued after Plaintiff's state-court case was adjudicated; they do not constitute intervening "factual developments," and he could have asserted them in that case. Tennessee's doctrine of res judicata, therefore, precludes this claim in its entirety.

#### D. Count 4 — Due Process Violation

\*19 Plaintiff alleges that the trial judge who sentenced him specifically announced that "it is the judgment of the Court that the defendant be sentenced to death by electrocution." (Doc. No. 11 at 137.) He claims that the current three-drug lethal injection protocol "constitutes an increase in the punishment imposed" in that sentence and "is, therefore, a violation of the Due Process Clause." (Id.)

This claim is effectively a re-packaged version of Plaintiff's ex post facto claim in Count 1, and Defendants accordingly raise the same defenses to it, including that it is barred by res judicata. (Doc. No. 13 at 10, 17–18.) Indeed, one of the state-court ex post facto claims expressly relied on the fact that several of the inmates, including Plaintiff, had been “sentenced to ‘death by electrocution.’” Amended Complaint at 82, Abdur’Rahman v. Parker, No. 18-183-II(III) (Davidson Chancery filed April 13, 2018). They argued in support of that claim that “electrocution is not severable from the sentence of death” for those plaintiffs. (See Doc. No. 13-3 at 33–34.)

Plaintiff responds that “[w]hile the Ex Post Facto Clause is founded in due process principles, this claim rests on the separate protection afforded by the Fourteenth Amendment; that neither a punishment, nor an additional punishment, may be inflicted without first affording due process of law.” (Doc. No. 17 at 42.) But even if that distinction made a difference, there is no reason that Plaintiff's current theory could not have been raised in state court. Neither Plaintiff's 1987 sentence nor the three-drug lethal injection protocol is a new, intervening fact. Tennessee's doctrine of res judicata precludes Plaintiff from splitting his various theories about how a retroactive method of execution violates his constitutional rights into successive lawsuits.

#### E. Count 5 — Execution Violates the 8th Amendment

Plaintiff alleges that execution by any method constitutes cruel and unusual punishment prohibited by the Eighth Amendment. (Doc. No. 11 at 138–40.) Defendants raise three bases for dismissal of this claim: (1) res judicata (Doc. No. 13 at 18); (2) statute of limitations; and (3) failure on the merits. (Id. at 23.)

Plaintiff does not address res judicata in the specific context of this claim, relying instead on his general opposition to res judicata's application to this case. He does not offer any reason this claim could not have been asserted along with his Eighth Amendment execution challenge in state court. Because this claim concerns the same transaction—Plaintiff's execution—that was the basis for his state-court case and could have been raised in that case, it is barred by res judicata.

Defendant is correct that “it is settled that capital punishment is constitutional.” (Doc. No. 13 at 23 (quoting Glossip v. Gross, 135 S. Ct. 2726, 2732 (2015).) Plaintiff responds, relying on Trop v. Dulles, 356 U.S. 86 (1958), that Supreme Court cases upholding the constitutionality of various methods of execution do not foreclose his claim because “[t]he infliction of any punishment resulting in death violates evolving standards [of decency] that define the Eighth Amendment.” (Doc. No. 17 at 46.) Plaintiff may be correct one day in the future. But standards had not evolved to that point as of two months ago, when a majority of the Supreme Court unequivocally stated that “[t]he Constitution allows capital punishment.” Bucklew v. Precythe, 139 S. Ct. 1112, 1122 (2019). To the contrary, the Court cautioned that “[u]nder our Constitution, the question of capital punishment belongs to the people and their representatives, not the courts, to resolve,” and that “the judiciary bears no license to end a debate reserved for the people and their representatives.” Id. at 1123, 1135. Accordingly, Plaintiff's claim to the contrary fails as a matter of law.

\*20 In light of these findings, it is unnecessary for the Court to address the parties' dispute about the application of the statute of limitations to Plaintiff's claim.

#### F. Count 6 — Unconstitutional Coercion

Plaintiff alleges that the current three-drug lethal injection protocol unconstitutionally coerces him—by its lengthier infliction of severe pain as compared to electrocution—to waive his right to challenge the constitutionality of electrocution by affirmatively choosing electrocution as the method of his execution. (Doc. No. 11 at 143.) He claims that this coerced waiver violates his right to due process under the Fourteenth Amendment. (Id. at 145.)

Some legal background is necessary to understand this claim. In 1999, an Arizona inmate had a similar choice between two methods of execution and elected to be executed with lethal gas—a method that had already been found to be unconstitutional by the Ninth Circuit. When he later filed suit to challenge the constitutionality of execution by lethal gas, the Supreme Court held that “[b]y declaring his method of execution, picking lethal gas over the State's default form of execution—lethal injection

—Walter LaGrand has waived any objection he might have to it.” Stewart v. LaGrand, 526 U.S. 115, 119 (1999). Thus, the waiver on which Plaintiff’s claim is based is not found in Tennessee’s execution statute or its lethal injection protocol, but is purely a function of the law as announced by the Supreme Court.

Defendants assert that this claim is barred by res judicata and fails to state a claim for which relief can be granted. (Doc. No. 13 at 18, 24.) Plaintiff, again, does not address the res judicata issue in the specific context of this claim. The state-court plaintiffs asserted this same theory in support of their ex post facto claim, citing Stewart v. LaGrand and arguing that the three-drug protocol forced them into “an impossible Catch-22” in which “if Plaintiffs elect electrocution—the method of execution for which they were sentenced—the law imposes a waiver of any challenge to that method despite the fact that electrocution will cause Plaintiffs to be burned alive from the inside.” (Doc. No. 13-3 at 34.) Although the state-court plaintiffs did not use the word “coercion” to describe the choice they faced, they alleged that the three-drug protocol increased their punishment by burdening them with the waiver. (*Id.*) Because Plaintiff’s current claim either was or could have been asserted in the state-court case, he is precluded by Tennessee’s doctrine of res judicata from litigating it in this case.

Alternatively, the Court finds that Stewart v. LaGrand itself forecloses the claim. Plaintiff attempts to distinguish his case by asserting that LaGrand chose a method of execution that was “then-thought to be harsher” than the alternative (Doc. No. 17 at 47 n.22), but the Supreme Court found the waiver arose from the choice itself, not the relative merits of the method chosen. Stewart, 526 U.S. at 119 (“By declaring his method of execution, picking lethal gas over the State’s default form of execution—lethal injection—Walter LaGrand has waived any objection he might have to it.”). As this Court previously explained with regard to this same claim:

\*21 Plaintiffs do not allege anything that differentiates their circumstances from LaGrand’s. They allege that Tennessee’s current lethal injection protocol is worse than electrocution, but surely LaGrand and any other inmate who elects a secondary method of execution does so because he believes the other option worse. They lament the consequent loss of the right to challenge the constitutionality of electrocution, but that loss is not as great as LaGrand’s lost opportunity to challenge a method of execution that had already been found unconstitutional. In materially indistinguishable circumstances, this Court is not in a position to hold that a waiver imposed by Supreme Court precedent is unconstitutional.

Memorandum and Order at 9, Miller v. Parker, No. 3:18-1234 (M.D. Tenn. Nov. 15, 2018) (Campbell, J.). On appeal, the United States Court of Appeals for the Sixth Circuit rejected the claim that Defendants “improperly compelled [Appellant] to choose between two unconstitutional methods of execution” because “this court has concluded that neither of these methods violates the Constitution.” Miller v. Parker, 910 F.3d 259, 261 (6th Cir.), *cert. denied*, 139 S. Ct. 399 (2018).

Plaintiff’s claim invokes the doctrine of unconstitutional conditions, which the Sixth Circuit has recently explained at some length:

The United States Constitution does not contain an Unconstitutional Conditions Clause. What it does contain is a series of individual rights guarantees, most prominently those in the first eight provisions of the Bill of Rights and those in the Fourteenth Amendment....What makes a condition unconstitutional turns...on a pre-existing obligation not to violate constitutional rights. The government may not deny an individual a benefit, even one an individual has no entitlement to, on a basis that infringes his constitutional rights. Agency for Int’l Dev. v. All. for Open Soc’y Int’l, Inc., 570 U.S. 205, 214 (2013)....In the words of the Supreme Court, the principle “forbids burdening the Constitution’s enumerated rights by coercively withholding benefits from those who exercise them.” Koontz v. St. Johns River Water Mgmt. Dist., 570 U.S. 595, 606 (2013).

...

[T]he State may not condition a benefit by requiring the recipients to sacrifice their constitutional rights. Regan v. Taxation With Representation of Wash., 461 U.S. 540, 545 (1983). Just as the State may not directly order someone to stop exercising his rights, it may not coerce him into “giving them up” by denying the benefits if he exercises those rights. Koontz, 570 U.S. at 604, 612.

Planned Parenthood of Greater Ohio v. Hodges, 917 F.3d 908, 911–12 (6th Cir. 2019). Viewed in that context, Plaintiff’s claim is that Defendants are conditioning the *benefit* of electrocution on the *waiver* of his right to assert the unconstitutionality of electrocution in court. That claim fails on its merits for several reasons.

First, as discussed above, the Defendants do not extract any waiver of a legal challenge to electrocution from Plaintiff as a condition of choosing electrocution. No such waiver is required by Tennessee’s statute, TDOC’s protocol, or the election form itself. The only waiver expressly included in the election form is the waiver of the right to be executed by lethal injection. (Doc. No. 11–4 at 87.) Accordingly, Defendants are not imposing any unconstitutional condition on Plaintiff.

But more importantly, as the Supreme Court’s decision in Stewart v. LaGrand suggests, it is simply untenable for Plaintiff to argue simultaneously that he has a right to be electrocuted and a right not to be electrocuted or to challenge his electrocution. Even assuming for the sake of argument that each of those rights would state a claim individually under existing law, and that neither was barred by res judicata or unripe, they cannot be asserted simultaneously. Plaintiff alleges that “[t]he jury and judge expressly sentenced Plaintiff West to death by electrocution, therefore, he must be executed by electrocution.... Absent such provisions in the protocol Plaintiff West would be punished in accordance with the punishment imposed at the time of sentencing (electrocution), and, Plaintiff West would have a right to be heard on the constitutionality of that punishment.” (Doc. No. 11 at 144–45.) Plaintiff’s claim ignores the fact that constitutional rights can be mutually exclusive. For example, a criminal defendant has the right under the Fifth Amendment to remain silent and not “be a witness against himself.” Miranda v. Arizona, 384 U.S. 436, 442 (1966) (quoting U.S. Const. amend. V). Conversely, “it cannot be doubted that a defendant in a criminal case has the right to take the witness stand and to testify in his or her own defense.” Rock v. Arkansas, 483 U.S. 44, 49 (1987). But that does not mean that a defendant could effectively bring a halt to his prosecution by claiming that the state conditions the benefit of testifying on waiving the right to remain silent. Instead, the combined effect of both rights enables “a criminal defendant to *choose* between silence and testifying in his own behalf.” Ferguson v. State of Ga., 365 U.S. 570, 602 (1961) (Clark, J., concurring) (emphasis added). Being faced with a similar choice regarding his method of execution does not coerce Plaintiff to do anything or otherwise violate his constitutional rights.

\*22 And finally, the lynchpin of Plaintiff’s claim is that Defendants are “threatening to unlawfully subject him” to the three-drug lethal injection protocol (Doc. No. 11 at 145), and that he may be wrongfully coerced into choosing electrocution by that “credible threat of legally unjustified violence.” (Doc. No. 17 at 48.) But, even aside from the Tennessee court’s decision in Abdur’Rahman and any preclusive effect it has on this assertion, courts have repeatedly found execution with three-drug protocols including midazolam to be constitutionally permissible. See Glossip v. Gross, 135 S. Ct. 2726, 2739–40 (2015) (collecting cases and observing that “numerous courts have concluded that the use of midazolam as the first drug in a three-drug protocol is likely to render an inmate insensate to pain that might result from administration of the paralytic agent and potassium chloride”); Miller, 910 F.3d at 261–62 (6th Cir. 2018) (“[T]his court has rejected a challenge to a similar Ohio lethal-injection protocol that, like the current Tennessee protocol, utilizes a large dose of the sedative midazolam as the first drug to render the prisoner unconscious.”). For all of these reasons, Count 6 must be dismissed.

#### G. Count 7 — Access to Courts and Right to Counsel

Plaintiff claims that his First Amendment right to access the courts guarantees him two attorney-witnesses to his execution, who must have immediate access to a telephone and to each other. (Doc. No. 11 at 146–49.) Defendants offers four bases for dismissing this claim: (1) non-justiciability; (2) res judicata; (3) statute of limitations; and (4) failure on the merits. (Doc. No. 13 at 10–11, 18–19, 24–25.)

First, Defendants assert that there is no case or controversy for the Court to adjudicate with respect to the telephone-access portion of this claim because they have agreed to provide Plaintiff's attorney-witness with access to a telephone throughout his execution. (Doc. No. 13 at 10–11.) Plaintiff responds that his claim is viable because "this concession can be reversed at Defendants' whim," (Doc. No. 17 at 49), but he offers no basis to anticipate such a reversal. There is no statute or regulation preventing an attorney-witness's access to a prison telephone, see Memorandum and Order at 8, Zagorski v. Haslam, No. 3:18-cv-1205 (M.D. Tenn. Oct. 29, 2018) (Trauger, J.), and Defendants "affirmatively represent to the Court," subject to the requirements of Rule 11(b) of the Federal Rules of Civil Procedure, that "they will provide" such access. (Doc. No. 13 at 11.) The Court, therefore, agrees with Defendants that there is no live controversy with regard to telephone access subject to judicial review.

In light of the telephone access Defendants will provide to Plaintiff's attorney-witness, there does not appear to be any factual or constitutional basis for his demand for two such witnesses. Plaintiff affirmatively acknowledges that the telephone provided during previous executions is "on a wall inside the room where counsel viewed the execution," (Doc. No. 11 at 149), and he does not clearly allege any impediment to an attorney's simultaneously observing the execution while using the telephone. Plaintiff's allegations that he "requires the assistance of two defense attorneys during the execution" and that "[t]o provide adequate access to courts, two defense attorney witnesses must be present to view the execution" are wholly conclusory and fail to state a claim for which relief can be granted. See Terry v. Tyson Farms, Inc., 604 F.3d 272, 276 (6th Cir. 2010) ("We need not accept as true legal conclusions or unwarranted factual inferences, and conclusory allegations or legal conclusions masquerading as factual allegations will not suffice. The complaint's factual allegations must be enough to raise a right to relief above the speculative level,' and 'state a claim to relief that is plausible on its face.") (citations and internal quotation marks omitted).

Because Count 7 must be dismissed on these grounds, the Court finds it unnecessary to resolve the parties' dispute about the application of res judicata or the statute of limitations.

#### IV. Conclusion

\*23 For the reasons set forth above, Defendants' motion to dismiss will be granted, and this action will be dismissed.

An appropriate Order will enter.

#### \* All Citations

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