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No. 18-1501

CHARLES C. LIU AND XIN WANG A/K/A LISA WANG,
Petitioners,

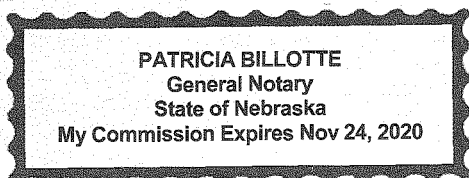
v.

SECURITIES AND EXCHANGE COMMISSION,
Respondent.

CERTIFICATE OF COMPLIANCE

As required by Supreme Court Rule 33.1(h), I certify that the BRIEF OF AMICUS CURIAE SECURITIES INDUSTRY AND FINANCIAL MARKETS ASSOCIATION IN SUPPORT OF PETITIONERS in the above entitled case complies with the typeface requirement of Supreme Court Rule 33.1(b), being prepared in New Century Schoolbook 12 point for the text and 10 point for the footnotes, and this brief contains 7988 words, excluding the parts that are exempted by Supreme Court Rule 33.1(d), as needed.

Subscribed and sworn to before me this 20th day of December, 2019.
I am duly authorized under the laws of the State of Nebraska to administer oaths.



Patricia C. Billette
Notary Public

Andrew H. Cagle
Affiant