



E-Mail Address:
briefs@wilsonepes.com

775 H Street, N.E.
Washington, D.C. 20002

Web Site:
www.wilsonepes.com

Tel (202) 789-0096
Fax (202) 842-4896

No. 17-130

RAYMOND J. LUCIA COMPANIES, INC. AND
RAYMOND J. LUCIA,
Petitioners,

v.

SECURITIES AND EXCHANGE COMMISSION,
Respondent.

AFFIDAVIT OF SERVICE

I HEREBY CERTIFY that on February 26, 2018, three (3) copies of the BRIEF AMICUS CURIAE OF FEDERAL ADMINISTRATIVE LAW JUDGES CONFERENCE IN SUPPORT OF NEITHER PARTY in the above-captioned case were served, as required by U.S. Supreme Court Rule 29.5(c), on the following:

MARK A. PERRY, ESQ.
GIBSON, DUNN & CRUTCHER LLP
1050 Connecticut Avenue, N.W.
Washington, D.C. 20036-5306
(202) 887-3667

ANTON METLITSKY, ESQ.
O'MELVENY & MYERS LLP
Times Square Tower
7 Times Square
New York, New York 10036
(212) 326-2291

NOEL J. FRANCISCO, ESQ.
Solicitor General
U.S. DEPARTMENT OF JUSTICE
950 Pennsylvania Avenue N.W.
Washington, D.C. 20530-0001
(202) 514-2203

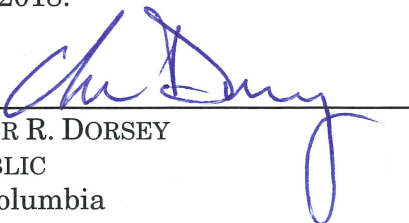
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meritsbriefs@supremecourt.gov
Vittone.John@gmail.com
mperry@gibsondunn.com
SupremeCtBriefs@USDOJ.gov
ametlitsky@omm.com


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Sworn to and signed this 26th day of February 2018.




CHRISTOPHER R. DORSEY
NOTARY PUBLIC
District of Columbia

My commission expires July 31, 2018.

IN THE
Supreme Court of the United States

RAYMOND J. LUCIA COMPANIES, INC. AND
RAYMOND J. LUCIA,

Petitioners,

v.

SECURITIES AND EXCHANGE COMMISSION,

Respondent.

**On Writ of Certiorari to the
United States Court of Appeals
for the District of Columbia Circuit**

**BRIEF AMICUS CURIAE OF
FEDERAL ADMINISTRATIVE LAW
JUDGES CONFERENCE
IN SUPPORT OF NEITHER PARTY**

CERTIFICATE OF COMPLIANCE

As required by Supreme Court Rule 33.1(h), I certify that the document contains 3,678 words, excluding the parts of the document that are exempted by Supreme Court Rule 33.1(d).

I declare under penalty of perjury that the foregoing is true and correct.

Executed on February 26, 2018.



Christopher R. Dorsey
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Washington, D.C. 20530

January 25, 2018

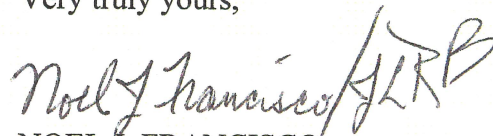
Michael J. Devine
Federal Administrative Law Judges Conference
P.O. Box 1772
Washington, DC 20013

Re: Raymond J. Lucia, et al. v. Securities and Exchange Commission,
S. Ct. No. 17-130

Dear Mr. Devine:

As requested in your letter dated January 19, 2018, I hereby consent to the filing of an amicus curiae brief on behalf of the Federal Administrative Law Judge Conference (FALJC).

Very truly yours,


NOEL J. FRANCISCO
Solicitor General

January 16, 2018

VIA ELECTRONIC FILING AND HAND DELIVERY

The Honorable Scott S. Harris
Clerk of the Court
Supreme Court of the United States
One First Street, N.E.
Washington, D.C. 20543

Re: Raymond J. Lucia, et al. v. SEC, No. 17-130

Dear Mr. Harris:

I am counsel of record for petitioners in the above-referenced case. Petitioners hereby consent to the filing of any amicus curiae brief in this case, in support of either party or of neither party, provided that the amicus curiae brief otherwise complies with the Rules of this Court.

Respectfully submitted,

/s/ Mark A. Perry
Mark A. Perry

MAP

cc: counsel of record