

No. 17-130

IN THE
Supreme Court of the United States

RAYMOND J. LUCIA
AND RAYMOND J. LUCIA COMPANIES, INC.,

Petitioners,

v.

SECURITIES AND EXCHANGE COMMISSION,

Respondent.

**On Writ Of Certiorari
To The United States Court Of Appeals
For The District Of Columbia Circuit**

**MOTION FOR LEAVE TO DISPENSE WITH
PREPARATION OF JOINT APPENDIX**

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Pursuant to Rule 26.8 of the Rules of this Court, Petitioners Raymond J. Lucia and Raymond J. Lucia Companies, Inc. respectfully move for leave to dispense with the requirement of a Joint Appendix in this case. The respondent and the Court-appointed *amicus curiae* agree that a Joint Appendix is not necessary.

This case presents the question whether administrative law judges of the Securities and Exchange Commission are Officers of the United States under the Appointments Clause. The pertinent materials—including the *per curiam* order and judgment of the en banc court of appeals; the opinion of the court of appeals; the opinion and order of the Commission; the relevant initial decision of the administrative law judge; and the constitutional, statutory, and regulatory provisions involved—are included as appendices to the petition for a writ of certiorari. In addition, the Joint Appendix filed with the D.C. Circuit panel includes a list of all docket entries before the administrative law judge and the Commission, as well as a complete transcript of the proceedings before the administrative law judge.

In our view, no other portion of the record would assist the Court’s consideration of the question presented.

Respectfully submitted,

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