

76 F.4th 1007

United States Court of Appeals, Tenth Circuit.

UNITED STATES of America, Plaintiff - Appellee,

v.

Jeriah Scott BUDDER, Defendant - Appellant.

No. 22-7027

|

FILED August 7, 2023

West Headnotes (18)

**[1] Constitutional Law** ↗ Legislative branch**Constitutional Law** ↗ Judicial branch

Ex post facto clause is a limitation upon the powers of the legislature and does not of its own force apply to the judicial branch of government. [U.S. Const. art. 1, § 9, cl. 3.](#)

**[2] Constitutional Law** ↗ Penal laws in general**Constitutional Law** ↗ Certainty and definiteness in general

Principle on which the ex post facto clause is based, the notion that persons have right to fair warning of that conduct which will give rise to criminal penalties, is fundamental to concept of constitutional liberty under the due process clause. [U.S. Const. art. 1, § 9, cl. 3](#); [U.S. Const. Amends. 5, 14](#).

**[3] Constitutional Law** ↗ Retroactive laws and decisions; change in law

Prohibition of the ex post facto application of judicial decisions under the due process clause is less extensive than the prohibition of ex post facto statutes under the ex post facto clause. [U.S. Const. art. 1, § 9, cl. 3](#); [U.S. Const. Amends. 5, 14](#).

**[4] Constitutional Law** ↗ Retroactive laws and decisions; change in law

Due process bars courts from applying a novel construction of a criminal statute to conduct that neither the statute nor any prior judicial decision has fairly disclosed to be within its scope. [U.S. Const. Amends. 5, 14](#).

**[5] Constitutional Law** ↗ Retroactive laws and decisions; change in law

Touchstone for whether retroactive judicial interpretation of a criminal statute violates due

Affirmed.

McHugh, Circuit Judge, filed concurring opinion.

**Procedural Posture(s):** Appellate Review; Pre-Trial Hearing Motion; Post-Trial Hearing Motion; Sentencing or Penalty Phase Motion or Objection.

process is whether the statute, either standing alone or as construed, made it reasonably clear at the relevant time that the defendant's conduct was criminal. [U.S. Const. Amends. 5, 14](#).

[6] **Constitutional Law** ↗ Retroactive laws and decisions; change in law

Under the due process clause, if a judicial construction of a criminal statute is unexpected and indefensible by reference to the law which had been expressed prior to the conduct in issue, the construction must not be given retroactive effect. [U.S. Const. Amends. 5, 14](#).

[7] **Constitutional Law** ↗ Retroactive laws and decisions; change in law

Proper concern for whether retroactive judicial interpretation of a criminal statute violates due process is with unpredictable shifts in the law, not the resolution of uncertainty that marks any evolving legal system. [U.S. Const. Amends. 5, 14](#).

[8] **Criminal Law** ↗ Review De Novo

A due-process challenge to retroactive application of a judicial interpretation of a criminal statute presents a question of law, which the Court of Appeals reviews de novo. [U.S. Const. Amends. 5, 14](#).

[9] **Constitutional Law** ↗ Defenses in general Courts ↗ In general; retroactive or prospective operation

Retroactive application of Supreme Court decision in  [McGirt v. Oklahoma](#), 140 S.Ct. 2452, concerning whether land reserved for the Creek nation was Indian country under the Major Crimes Act (MCA), did not violate due process rights of defendant, an enrolled member of the Cherokee Nation, who shot man on Cherokee reservation a few months before *McGirt*, even though only federal self-defense law and not allegedly broader Oklahoma self-defense law

was available to defendant under *McGirt*, where Court of Appeals, relying on Supreme Court precedent, had come to same conclusion before *McGirt*, Supreme Court itself declared in *McGirt* that its conclusion was compelled by precedent, and the Creek nation shared its relevant history in Oklahoma with the Cherokee nation. [U.S. Const. Amends. 5, 14; 18 U.S.C.A. §§ 1151\(a\), 1153](#).

1 Case that cites this headnote

[10] **Criminal Law** ↗ Sentencing

As a general matter, it is not the role of an appellate court to substitute its judgment for that of the sentencing court as to the appropriateness of a particular sentence.

1 Case that cites this headnote

[11] **Criminal Law** ↗ Sentencing

In reviewing the substantive reasonableness of a sentence, the Court of Appeals gives substantial deference to the district court and will only overturn a sentence that is arbitrary, capricious, whimsical, or manifestly unreasonable.

1 Case that cites this headnote

[12] **Indians** ↗ Sentencing and punishment

**Sentencing and Punishment** ↗ Arrests, charges, or unadjudicated misconduct

**Sentencing and Punishment** ↗ Other deprivations

Dismissal of state manslaughter charges arising from shooting on Indian reservation, following Supreme Court's decision of  [McGirt v. Oklahoma](#), 140 S.Ct. 2452, concerning whether an area of land is Indian country under the Major Crimes Act (MCA), did not warrant a reduction in 96-month prison sentence of defendant, an Indian, for federal conviction for voluntary manslaughter in Indian country arising from the same shooting incident. [18 U.S.C.A. §§ 1112\(a\), 1153, 3553\(a\)](#).

**[13] Homicide** 🔑 Manslaughter**Indians** 📦 Sentencing and punishment

A 96-month prison sentence for voluntary manslaughter in Indian country was substantively reasonable, despite defendant's argument that the "facts elicited at trial" warranted a lesser sentence, where defendant never told the Court of Appeals which specific facts elicited at trial warranted a lesser sentence, and the Court would not conjure up such facts on his behalf. 18 U.S.C.A. §§ 1112(a), 1153, 3553(a).

**[14] Homicide** 🔑 Manslaughter**Indians** 📦 Sentencing and punishment**Sentencing and Punishment** 📦 Other offense-related considerations

A 96-month prison sentence was substantively reasonable for conviction for voluntary manslaughter in Indian country, even though jury stated in answer to a special interrogatory that it would not have found defendant guilty had Oklahoma state law of self-defense applied; jury's response to the interrogatory was irrelevant since federal law, and not state law, was the law of the land when the incident occurred. 18 U.S.C.A. §§ 1112(a), 1153, 3553(a).

**[15] Criminal Law** 📦 Judgment, sentence, and punishment

Court of Appeals presumes, absent any contrary indication in the record, that a district court properly considered the pertinent statutory factors in imposing a sentence. 18 U.S.C.A. § 3553(a).

**[16] Homicide** 🔑 Manslaughter**Indians** 📦 Sentencing and punishment**Sentencing and Punishment** 📦 Determinations based on multiple factors

A 96-month prison sentence for voluntary manslaughter in Indian country was substantively reasonable, despite argument that defendant's age of 18 at time of shooting, his criminal history, his need for education, and victim's conduct warranted a lesser sentence, absent any reason to conclude that district court failed to consider those factors. 18 U.S.C.A. §§ 1112(a), 1153, 3553(a).

**[17] Criminal Law** 📦 Sentencing

A re-weighing of statutory sentencing factors is something that the Court of Appeals cannot and will not do. 18 U.S.C.A. § 3553(a).

**[18] Homicide** 🔑 Manslaughter**Indians** 🔑 Sentencing and punishment**Sentencing and Punishment** 📦 Brutality or cruelty in commission of offense

District court acted within its discretion in adding nine months to upper limit of advisory Sentencing Guidelines range for defendant who was convicted of voluntary manslaughter conviction in Indian country, where defendant fired 12 shots into victim's body including multiple shots while victim was already lying on the ground. 18 U.S.C.A. §§ 1112(a), 1153, 3553(a).

**\*1009 Appeal from the United States District Court for the Eastern District of Oklahoma (D.C. No. 6:21-CR-00099-DCJ-1)**

**Attorneys and Law Firms**

**James Castle**, Castle & Castle, P.C., Denver, Colorado (André Bélanger, Manasseh, Gill, Knipe & Belanger, P.L.C., Baton Rouge, Louisiana, with him on the briefs), for Defendant - Appellant.

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was that it would not have found Defendant guilty had the state self-defense law (as explained in the interrogatory) applied.

The district court calculated the United States Sentencing Guidelines range for Defendant's crime as 70 to 87 months. The government requested an upward variance, and Defendant requested a downward variance. After stating that it had reviewed the parties' sentencing memoranda, the district court imposed a sentence of 96 months. It explained:

Among other things, in varying upwards from the advisory guideline range, the Court considered the nature and circumstances of the offense, namely, the number of shots fired into the body of the victim, Mr. Jumper; the prior opportunity that the defendant had to withdraw from the conflict, and his immediate flight from the scene of the shooting, as well, of course, as the need for just punishment, deterrence, and protection of the public.

R., Vol. III at 608–09.

After sentencing, the court considered Defendant's now ripe argument that retroactively applying [McGirt](#) violated Defendant's right to due process. It concluded that [McGirt](#) "brought about an 'unforeseeable judicial enlargement' of the geographical scope of federal Indian Country jurisdiction in Oklahoma. ... In doing so, the [McGirt](#) decision 'operated precisely like an *ex post facto* law' with respect to" Defendant. *United States v. Budder*, 601 F. Supp. 3d 1105, 1116 (E.D. Okla. 2022) (brackets omitted) (quoting [Bouie v. City of Columbia](#), 378 U.S. 347, 353, 84 S.Ct. 1697, 12 L.Ed.2d 894 (1964)). Despite this statement, the district court (with evident reluctance) denied Defendant's motion to dismiss the indictment because "no analogous Tenth Circuit or Supreme Court precedent" had so held. *Id.* at 1116–17.

## II. DISCUSSION

### A. Retroactive Application of [McGirt](#)

Defendant argues that applying [McGirt](#) to him in this case is a "judicial *ex post facto*" decision that violates his right to due process. Aplt. Br. at 22 (internal quotation marks omitted). He contends that at the time he shot Mr. Jumper, less than three months before [McGirt](#) was decided, he would have believed that he would be tried for his crime in state court, where Oklahoma's self-defense law would have been available to him. Under [McGirt](#), however, the land on which he shot Mr. Jumper was Indian country—so, by virtue of the Major Crimes Act, only federal self-defense law applied. See [United States v. Toledo](#), 739 F.3d 562, 564–69 (10th Cir. 2014) (applying federal law of murder, manslaughter, and self-defense for prosecution under Major Crimes Act in New Mexico federal court). Defendant says he had no fair warning that he was committing a crime properly tried in federal court.

Importantly, Defendant claims prejudice from being tried in federal court, arguing that he was disadvantaged by the retroactive application of [McGirt](#) to his case because Oklahoma's self-defense law is broader than its federal analogue. On Defendant's (and the district court's) account of Oklahoma law, "a person is justified in using deadly force in self-defense if that person reasonably believed that use of deadly force was necessary to: a) prevent death or great bodily harm to himself; or \*1012 b) to terminate or prevent the commission of a forcible felony against himself." Aplt. Br. at 9 (internal quotation marks omitted; emphasis added); *accord* R., Vol. I at 172 (district court's special interrogatory).<sup>1</sup> In contrast, under federal law, "[a] person may use force which is intended or likely to cause death or great bodily harm only if he reasonably believes that force is necessary to prevent death or great bodily harm to himself [or] another." 10th Cir. Criminal Pattern Jury Instruction 1.28 (2021 ed.) (original brackets omitted; emphasis added); *accord* R., Vol. I at 160 (Jury Instruction 16). Indeed, Defendant notes, the jury that convicted him of voluntary manslaughter at his federal trial answered in the negative a special interrogatory asking whether it would have convicted him had Oklahoma's self-defense law, as it was explained to the jury, governed. Defendant contends that applying [McGirt](#) to his case denied him due process because, in the words of the district court's postverdict opinion, it "









to terminate or prevent the commission of a forcible felony[.]

[...]

B. As used in this section, “forcible felony” means any felony which involves the use or threat of physical force or violence against any person.

 [Oklahoma Stat. tit. 21, § 733 \(2014\)](#).

According to Mr. Budder's interpretation, Oklahoma law allows essentially unlimited force to prevent a forcible felony. But review of the Oklahoma Uniform Jury Instructions associated with this statute dispels that assertion.

A person is justified in using deadly force in self-defense if that person reasonably believed that use of deadly force was necessary to prevent death or great bodily harm to himself/herself or to terminate or prevent the commission of a forcible felony against himself/herself. Self-defense is a defense although the danger to life or personal security may not have been real, if a reasonable person, in the circumstances and from the viewpoint of the defendant, would reasonably have believed that he/she was in imminent danger of death or great bodily harm.

Oklahoma Uniform Jury Instructions (“OUJI”), OUJI-CR 8-46. As relevant here, the comments to this instruction provide:

[A] homicide is justifiable when a reasonable person would have used deadly force. A homicide is also justifiable when the use of deadly force is reasonably necessary because the danger appears imminent. Finally, the jury should view the circumstances from the viewpoint of the defendant. [The statute] provides that homicide is justifiable “[w]hen resisting any attempt ... to commit any felony upon him.” Nevertheless, the Court of Criminal Appeals has held that the use of deadly force is not justifiable to prevent commission of any felony. ... *Only those felonies which*

*involve danger of imminent death or great bodily harm may be defended against by the use of deadly force.*

*Id.* cmts. (emphasis added) (citing *Mammano v. State*, 333 P.2d 602 (Okla. Crim. App. 1958)) (other citations omitted). These instructions and comments leave little doubt that the right to use force against one who threatens a forcible felony is not unlimited. Deadly force is justified only when reasonably necessary. Cf. *Mammano*, 333 P.2d at 605 (“The defendant's life was not in danger and he was not about to suffer great bodily injury, at least, not under the conditions presented by this record, at the time and place of the alleged assault. The elements of self defense are lacking herein to justify the taking of the decedent's life.”); *Neill v. State*, 89 Okla.Crim. 272, 207 P.2d 344, 348 (1949) (approving of a jury instruction that a person may use “such force as is reasonably necessary” but “when the necessity for the use of force ceased, the right to use force ceased”). This court recently elaborated on the reasonableness requirement of Oklahoma self-defense law in *United States v. Craine*, as follows:

**\*1019** Under Oklahoma law, a “[h]omicide is ... justifiable”—that is, it is not unlawful—when it is committed in perfect self-defense, defined as “the lawful defense of such person or of another, when the person using force reasonably believes such force is necessary to prevent death or great bodily harm to himself or herself or another or to terminate or prevent the commission of a forcible felony.”  [Oklahoma Stat. tit. 21, § 733\(A\)\(2\)](#). To invoke self-defense, the danger of death or serious bodily injury must be imminent. See *Mammano*, 333 P.2d at 605.

A defendant acts in “imperfect self-defense” if the factfinder concludes the defendant was “criminally negligent” in his “belief that deadly force was necessary to prevent death or great bodily harm.”  [United States v. Toledo](#), 739 F.3d 562, 569 (10th Cir. 2014). If a defendant acts in imperfect self-defense, he is guilty of involuntary manslaughter, rather than murder.

 *Id.* ...

The critical difference “between perfect and imperfect self-defense [is] the reasonableness of the defendant's belief that deadly force was necessary to prevent death or great bodily harm—if reasonable, then he is entitled to a self-defense acquittal; if criminally negligent, then he is guilty of involuntary manslaughter.”  [Toledo](#), 739 F.3d at 569 (footnote omitted). Thus, in both the perfect and imperfect

self-defense contexts, the defendant must possess the subjective belief that deadly force was necessary to prevent death or great bodily harm, but only in the perfect self-defense context must the defendant's subjective belief also be objectively reasonable.

995 F.3d 1139, 1155–56 (10th Cir. 2021), *cert. denied*, — U.S. —, 142 S. Ct. 502, 211 L.Ed.2d 304 (2021) (footnotes omitted); *cf. Hommer v. State*, 657 P.2d 172, 174 (Okla. Crim. App. 1983) (explaining that “[t]he amount of force used may not exceed the amount of force a reasonable person, in the circumstances and from the viewpoint of the defendant, would have used” and “[t]he measurement of force sufficient to repel an attack must be made by the defendant on the scene; he will be judged subsequently by the jury on the reasonableness of his reaction under the circumstances” (internal quotation marks omitted)).

Oklahoma has therefore cabined self-defense similarly to the federal application of that defense. Under federal self-defense law, “[a] person may resort to self-defense if he reasonably believes that he is in imminent danger of death or great bodily harm, thus necessitating an in-kind response.”  *Toledo*, 739 F.3d at 567. The Tenth Circuit's pattern jury instruction on self-defense reads:

The defendant [name the defendant] has offered evidence that he was acting in [self-defense] [defense of another]. A person is entitled to defend [himself] [another person] against the immediate use of unlawful force. But the right to use force in such a defense is limited to using only as much force as reasonably appears to be necessary under the circumstances. *[A person may use force which is intended or likely to cause death or great bodily harm only if he reasonably believes that force is necessary to prevent death or great bodily harm]*

*to [himself] [another]].* To find the defendant guilty of the crime charged in the indictment, you must be convinced that the government has proved beyond a reasonable doubt: *Either*, the defendant did not act in [self-defense] [defense of another], *Or*, it was not reasonable for the defendant to think that the force he used was necessary to defend \*1020 [himself] [another person] against an immediate threat.

10th Cir. Crim. Pattern Jury Instruction No. 1.28 (first emphasis added).

In this case, evidence at trial showed that Mr. Budder shot Mr. Jumper at least twice after Mr. Jumper had fallen to the ground from the initial shots, at which point Mr. Jumper likely could not have posed any further threat to Mr. Budder that would justify deadly force. The special interrogatory did not instruct the jury that the force used to repel an attack may not be excessive; once the threat ceases, the right to self-defense ceases. *Mammano*, 333 P.2d at 605; *Neill*, 207 P.2d at 348. It also did not instruct the jury on imperfect self-defense, *i.e.*, the possibility that a defendant might believe force is reasonable but that such a belief might be unreasonable, reducing the crime from voluntary to involuntary manslaughter. *Craine*, 995 F.3d at 1155–56. As a result, the jury's response to the incomplete special interrogatory is not predictive of the outcome under Oklahoma law.

In sum, I concur in the majority's reasoning for affirming Mr. Budder's conviction. And I also would affirm because Mr. Budder cannot show he was prejudiced by the application of federal self-defense law.

#### All Citations

76 F.4th 1007

#### Footnotes

- 1 We express no view on whether this is a correct statement of Oklahoma law.
- 2 For state courts, the same principles apply by virtue of the Due Process Clause of the Fourteenth Amendment, see, e.g., *Bouie*, 378 U.S. at 363, 84 S.Ct. 1697, whose reach here is coextensive with that of the Due Process Clause of the Fifth Amendment, see, e.g., *Marks*, 430 U.S. at 191–97, 97 S.Ct. 990 (applying *Bouie* in a case involving a federal-court interpretation). For simplicity, this opinion refers to a singular Due Process Clause.
- 3 The application of *Murphy's* (and *McGirt's*) reasoning to reservations other than the Creek Reservation was also foreseeable because “the Creek Nation shares its relevant history in Oklahoma with the other Indian nations that composed the ‘Five Civilized Tribes’—the Cherokees, Chickasaws, Choctaws, and Seminoles.” *Pacheco v. El Habi*, 62 F.4th 1233, 1238 (10th Cir. 2023) (further internal quotation marks omitted). Hence, it was foreseeable that after *McGirt*, courts would “recognize[ ] that what held true for the Creek also held true for the Cherokee: Congress had never disestablished its reservation and, accordingly, the State lacked authority to try offenses by or against tribal members within the Cherokee Reservation.” *Oklahoma v. Castro-Huerta*, — U.S. —, 142 S. Ct. 2486, 2510, 213 L.Ed.2d 847 (2022) (Gorsuch, J., dissenting); see *Spears v. State*, 485 P.3d 873, 875 (Okla. Crim. App. 2021) (“Although the case now before us involves the lands of the Cherokee Nation, we find *McGirt's* reasoning controlling.”).
- 4 *McGirt* also rejected Oklahoma's argument in the alternative that the Major Crimes Act never applied to eastern Oklahoma. See 140 S. Ct. at 2476–78. This holding was eminently foreseeable. As the Court noted, “arguments along these and similar lines have been ‘frequently raised’ but rarely ‘accepted.’” *Id.* at 2476 (quoting *United States v. Sands*, 968 F.2d 1058, 1061 (10th Cir. 1992) (“The government urges us to adopt its frequently raised, but never accepted, argument that the State of Oklahoma retained jurisdiction over criminal offenses in Indian country. We ... find the government's position wanting.”)).
- 5 Relying on his due-process arguments, Defendant further contends that his sentence is unconstitutional because “a prison sentence of any length for [his] conduct—which was not criminal at the time of its commission[ ]—must be considered a cruel and unusual punishment under the Eighth Amendment.” Aplt. Br. at 27. But because we reject Defendant's argument that application of *McGirt* to his offense violated due process, we must also reject his argument that any punishment resulting from his conviction for that crime is for that reason cruel and unusual.