

IN THE SUPREME COURT OF THE UNITED STATES

LUIS OLIVARES,

Petitioner,

vs.

APPENDIX

UNITED STATES OF AMERICA,

Respondent.

Appendix A

(Judgment)

Appendix B

(Eighth Circuit Court of Appeals Opinion)

Appendix C

(Order vacating original Judgment)

Appendix D

(Judgment of Conviction and Sentence)

Appendix E

(18 U.S. Code § 3582 “The Fair Sentencing Act”)

Appendix A
(Judgment)

UNITED STATES COURT OF APPEALS
FOR THE EIGHTH CIRCUIT

No: 22-2034

United States of America

Plaintiff - Appellee

v.

Luis Olivares

Defendant - Appellant

Appeal from U.S. District Court for the District of South Dakota - Western
(5:10-cr-50118-JLV-1)

JUDGMENT

Before SMITH, Chief Judge, MELLOY, and ERICKSON, Circuit Judges.

This appeal from the United States District Court was submitted on the record of the district court and briefs of the parties.

After consideration, it is hereby ordered and adjudged that the judgment of the district court in this cause is affirmed in accordance with the opinion of this Court.

August 16, 2023

Order Entered in Accordance with Opinion:
Clerk, U.S. Court of Appeals, Eighth Circuit.

/s/ Michael E. Gans

Appendix B
(Eighth Circuit Court of Appeals Opinion)

United States Court of Appeals
For the Eighth Circuit

No. 22-2034

United States of America

Plaintiff - Appellee

v.

Luis Olivares

Defendant - Appellant

Appeal from United States District Court
for the District of South Dakota - Western

Submitted: April 10, 2023

Filed: August 16, 2023

[Unpublished]

Before SMITH, Chief Judge, MELLOY and ERICKSON, Circuit Judges.

PER CURIAM.

In 2014, Luis Olivares was convicted of three drug-related crimes and three firearm-related crimes. The district court determined Olivares was subject to a mandatory life sentence based upon two prior drug convictions. See 21 U.S.C. § 841(b)(1)(A) (2002). Olivares appealed and this court affirmed. United States v. Olivares, 843 F.3d 752, 763 (8th Cir. 2016). In 2021, Olivares filed the present

motion for resentencing, arguing changes in law made after his sentence, through the passage of the First Step Act, Pub. L. No. 115-391, § 401, 132 Stat. 5194, 5220 (2018), constitute an “extraordinary and compelling” reason for resentencing. See 18 U.S.C. § 3582(c)(1)(A). The district court¹ denied the motion. Olivares appeals and we affirm.

Olivares’s arguments are clearly precluded by this court’s precedent. See United States v. Crandall, 25 F.4th 582, 586 (8th Cir. 2022) (holding “a non-retroactive change in law, whether offered alone or in combination with other factors, cannot contribute to a finding of ‘extraordinary and compelling reasons’ for a reduction in sentence under § 3582(c)(1)(A)”). See also United States v. Rodriguez-Mendez, 65 F.4th 1000, 1001 (8th Cir. 2023) (finding Concepcion v. United States, 142 S. Ct. 2389 (2022), “did not overrule our prior decision in Crandall”).

Finally, to the extent Olivares argues his prior convictions should have never qualified him for sentencing enhancements, even under prior law, he is attacking his sentence and not providing an “extraordinary and compelling” reason to grant a motion for resentencing. Olivares cites no authority which would allow this court to review the eight-year-old sentence.

As Olivares’s arguments are precluded by this circuit’s precedent, we affirm.

¹The Honorable Jeffrey Lynn Viken, United States District Judge for the District of South Dakota.

Appendix C
(Order vacating original Judgment)

UNITED STATES DISTRICT COURT
DISTRICT OF SOUTH DAKOTA
WESTERN DIVISION

UNITED STATES OF AMERICA, Plaintiff, vs. LUIS OLIVARES, Defendant.	CR. 10-50118-01-JLV ORDER
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INTRODUCTION

Defendant Luis Olivares, appearing *pro se*, filed a motion for compassionate release pursuant to the statutory provisions of the First Step Act,¹ particularly an amendment to 18 U.S.C. § 3582(c)(1)(A).² (Docket 1398). In a supplemental submission, Mr. Olivares seeks First Step Act relief asserting he should no longer be classified as a career offender under 18 U.S.C. § 851 because his prior drug offense convictions should not be considered a “valid basis for enhancement purposes[.]” (Docket 1403 at p. 1). Counsel was subsequently appointed to represent Mr. Olivares and filed a supplement to the defendant’s *pro se* motion. (Docket 1427). The government opposes Mr.

¹On December 21, 2018, the First Step Act of 2018, Pub. L. No. 115-391, 132 Stat. 5194 (“First Step Act”) was signed into law. United States v. McDonald, 944 F.3d 769, 771 (8th Cir. 2019).

²Mr. Olivares, appearing *pro se*, separately filed a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2255 asserting issues unrelated to the present motions. See Olivares v. United States, CIV. 18-5012 (D.S.D. 2018).

Olivares' motions. (Dockets 1402, 1407 & 1430). Mr. Olivares filed a reply brief in support of his motion. (Docket 1436).

For the reasons stated below, Mr. Olivares' motions are granted in part and denied in part.

FACTUAL BACKGROUND

In January 2011, a grand jury charged Mr. Olivares and 15 co-defendants in a multi-count indictment.³ (Docket 22). Count I charged Mr. Olivares with conspiracy to distribute methamphetamine in violation of 21 U.S.C. §§ 841(a)(1), 841(b)(1)(A) & 846. Id. at pp. 1-2. In counts II, III & IV, Mr. Olivares was charged with possession of firearms in furtherance of a drug trafficking crime in violation of 18 U.S.C. § 924(c)(1)(A); being a felon in possession of firearms in violation of 18 U.S.C. §§ 922(g)(1) & 924(a)(2); and being a fugitive from justice in possession of firearms in violation of 18 U.S.C. §§ 922(g)(2) & 924(a)(2), respectively.⁴ Id. at pp. 2-4. In count V, Mr. Olivares and one co-defendant were charged with possession with intent to distribute methamphetamine in violation of 21 U.S.C. §§ 841(a)(1) & 841(b)(1)(A).⁵ Id. at

³The offenses of conviction and sentences imposed on the 15 co-defendants are discussed later in this order.

⁴Counts II-IV involved the same seven firearms. See Dockets 22 at pp. 2-4 & 1086 at pp. 17, 20 & 23.

⁵In counts VI, VII and VIII, co-defendants of Mr. Olivares were charged with possession with intent to distribute methamphetamine in violation of 21 U.S.C. §§ 841(a)(1) & 841(b)(1)(A) or 841(b)(1)(C). (Docket 22 at pp. 4-5).

pp. 4-5. In count IX, Mr. Olivares was charged with distribution of methamphetamine in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(A). Id. at pp. 5-6. In count X, Mr. Olivares was charged with distribution of methamphetamine in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(C).⁶ Id. at p. 6.

Thirty-eight days before trial, the government filed an information pursuant to 21 U.S.C. § 851 (“851 Information”). (Docket 1058). The 851 Information alleged Mr. Olivares had two prior felony drug convictions. Id. Those alleged convictions were identified as:

- (1) A judgment of conviction entered in District Court, Adams County, Colorado, on or about December 3, 2003, for a controlled substance conspiracy, Case No. 2003CR002076. Id.; see also Docket 1058-1 [“Adams County conviction”]; and
- (2) An amended judgment of conviction entered in District Court, Arapahoe County, Colorado, on or about April 19, 2006, for possession of more than one gram of a Schedule II controlled substance, Case No. D0032004CR003021. See Dockets 1058 & 1058-2 [“Arapahoe County conviction”].

During the pretrial conference, the court found the government timely provided notice of its intent to seek an enhanced punishment pursuant to 21 U.S.C. § 851(a). (Docket 1076 ¶ A).

⁶In counts XI and XII, two co-defendants were charged with distribution of methamphetamine in violation of 21 U.S.C. §§ 841(a)(1) & 841(b)(1)(C). (Docket 22 at p. 6). In counts XIII through XX, six co-defendants were charged with the use of a communication facility in causing and facilitating the commission of the acts of conspiracy to distribute a controlled substance in violation of 21 U.S.C. § 843(b). Id. at pp. 6-7.

On December 10, 2014, following an eight-day trial, a jury found Mr. Olivares guilty of the following offenses:

- Count 1: Conspiracy to distribute methamphetamine in violation of 21 U.S.C. §§ 841(a)(1), 841(b)(1)(A) & 846;
- Count 2: Possession of a firearm during a drug trafficking crime in violation of 18 U.S.C. § 924(c)(1)(A);
- Count 3: Felon in possession of a firearm in violation of 18 U.S.C. §§ 922(g)(1) & 924(a)(2);
- Count 4: Fugitive in possession of a firearm in violation of 18 U.S.C. §§ 922(g)(2) & 924(a)(2);
- Count 5: Possession with intent to distribute methamphetamine in violation of 21 U.S.C. §§ 841(a)(1), 841(b)(1)(A) & 846; and
- Count 9: Distribution of methamphetamine in violation of 21 U.S.C. §§ 841(a)(1), 841(b)(1)(B) & 846.

(Dockets 22⁷ & 1118).⁸ The court ordered the preparation of a presentence investigation report (“PSR”) in advance of sentencing. (Docket 1124). A PSR was prepared. (Docket 1157).

At the sentencing hearing on May 27, 2015, the court considered the arguments and the parties’ submissions on the 851 Information. (Docket

⁷Prior to trial, the court granted the government’s motion to dismiss counts VI, VII, VIII and X of the superseding indictment as those counts related to Mr. Olivares and six co-defendants. (Docket 401).

⁸To avoid jury confusion and prejudice to the defendant, count IX of the superseding indictment was identified as count 6 in the court’s jury instructions and the verdict form.

1207 at pp. 9-28). The court found both prior drug offense convictions valid.⁹ Id. at pp. 27:19-28:8. At the time of Mr. Olivares's convictions and sentencing, 21 U.S.C. § 841(b)(1)(A) provided “[i]f any person commits a violation under this subparagraph . . . after two or more prior convictions for a felony drug offense¹⁰ have become final, such person shall be sentenced to a mandatory term of life imprisonment without release[.]”¹¹

At sentencing, the court imposed the following sentences:

Count I	Conspiracy to distribute methamphetamine in violation of 21 U.S.C. §§ 841(a)(1), 841(b)(1)(A), 846 & 851	Life concurrent to counts 3, 4 & 9
Count 2	Possession of a firearm during a drug trafficking crime in violation of 18 U.S.C. § 924(c)(1)(A)	Five years consecutive to all other counts
Count 3	Felon in possession of a firearm in violation of 18 U.S.C. §§ 922(g)(1) & 924(a)(2)	Ten years concurrent to counts 1 & 5
Count 4	Fugitive in possession of a firearm in violation of 18 U.S.C. §§ 922(g)(2) & 924(a)(2)	Ten years concurrent to counts 1 & 5
Count 5	Possession with intent to distribute methamphetamine in violation of 21 U.S.C. §§ 841(a)(1), 841(b)(1)(A), 846 & 851	Life concurrent to counts 3, 4 & 9

⁹The court filed a written order addressing the 851 Information. (Docket 1182).

¹⁰“The term ‘felony drug offense’ means an offense that is punishable by imprisonment for more than one year under any law of the United States or of a State . . . that prohibits or restricts conduct relating to narcotic drugs, marihuana, anabolic steroids, or depressant or stimulant substances.” (Docket 1182 at p. 8) (citing 21 U.S.C. § 802(44); other reference omitted).

¹¹See Federal Criminal Code and Rules, Thomson Reuters West Law (2014 ed.).

Count 9	Distribution of methamphetamine in violation of 21 U.S.C. §§ 841(a)(1), 841(b)(1)(B), 846 & 851	Ten years concurrent to counts 1 & 5
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(Docket 1166 at pp. 1-2). Because of the life sentences, the court imposed no term of supervised release. Id. at p. 3.

On June 5, 2015, Mr. Olivares appealed the convictions and judgment to the United States Court of Appeals for the Eighth Circuit. (Docket 1168). On December 9, 2016, the Eighth Circuit affirmed the decision of the district court. (Docket 1297; see also United States v. Olivares, 843 F.3d 752 (8th Cir. 2016)).

ANALYSIS

While Mr. Olivares' submissions assert several collateral arguments involving a number of conspiracy theories and allegations against nearly everyone who had any involvement in his case, there are two concrete arguments which must be addressed. These arguments relate to §§ 401 and 404 of the First Step Act.

The supplements to Mr. Olivares' *pro se* motion assert that because of the First Step Act, his drug convictions no longer qualify him as a career offender and subject to mandatory life sentences. (Dockets 1403 at p. 1 & 1416 at p. 1). His attorney's supplemental brief more properly addresses the career offender issue and the § 401 changes in enhanced mandatory minimum sentences. (Docket 1427).

First, defendant challenges the factual basis of his prior Colorado convictions as the controlled substance under C.R.S. § 18-18-405, morpholine, “is not included in the federal definition of controlled substances.” Id. at p. 6. Asserting the Colorado statute is “overbroad,” Mr. Olivares argues his two prior Colorado convictions “were not qualifying 21 U.S.C. § 841 priors.” Id. at p. 8.

In support of his argument, defendant points to Johnson v. Barr, 967 F.3d 1103 (10th Cir. 2020).¹² Id. Although brought under the Immigration and Nationality Act, defendant contends the conclusion in Johnson that a Colorado conviction for possession of a Colorado schedule II controlled substance is not a “categorical approach” to the federal controlled substance scheme and should provide for a proper analysis and conclusion in his case. (Docket 1427 at p. 8) (referencing Johnson, 967 F.3d at 1107). Because the Johnson court found the Colorado drug statute was both overly broad and indivisible, Mr. Olivares submits the court held the “state drug conviction ‘cannot qualify as a predicate’ under the applicable federal definitions.” Id. at p. 9 (citing Johnson, 967 F.3d at 1107).

Next, Mr. Olivares argues this court already held that “consideration of extraordinary and compelling reasons under 18 U.S.C. Section 3582(c)(1)(A)(i) is not tied to U.S.S.G. Section 1B.1.13 cmt. N. 1(A)-(C)[.]” Id. (citing United States v. Janis, CR. 16-50126, 2020 WL 7213820 at *7 (D.S.D. Dec. 4, 2020)).

¹²Johnson specifically addressed the inclusion of morpholine in the Colorado controlled substance statute. Johnson, 967 F.3d at 1106.

For this reason, Mr. Olivares asks the court to look to the 18 U.S.C. § 3553(a) factors and modify his sentence to a total combined term of 15 years. Id. Among the § 3553(a) factors, defendant points to the sentences received by his 15 co-defendants who receives sentences ranging from probation to incarceration of up to 120 months. Id. at pp. 9-10.

Mr. Olivares' final argument is that the First Step Act reduced the mandatory life sentence to 25 years for a defendant with two or more prior serious drug felony convictions and reduced the 20-year mandatory sentence to 15 years for a defendant with one serious drug felony conviction. Id. at p. 12. For the same reason he is seeking a 15-year sentence in his first argument, Mr. Olivares submits because he has only one prior serious drug felony, 15 years is the mandatory minimum required under the First Step Act. Id. He asserts these changes in the "statutory framework can, in and of themselves, constitute 'extraordinary and compelling reasons' in the . . . context" of the First Step Act. Id. at 13 (referencing United States v. Cooper, 996 F.3d 283, 289 (5th Cir. 2021) ("We leave for the district court to consider, in the first instance, whether the nonretroactive sentencing changes to his § 924(c) convictions, either alone or in conjunction with any other applicable considerations, constitute extraordinary and compelling reasons for a reduction in sentence.").

The government opposes Mr. Olivares' § 404 motion. (Docket 1407 & 1430). The government argues defendant's claim for relief can only be brought through a 28 U.S.C. § 2255 petition. (Docket 1430 at p. 6). It contends:

Although the Eighth Circuit noted that “[t]he law is unsettled in this circuit about what reasons a court may consider extraordinary and compelling under § 3582(c)(1)(A)(i) [the court] concluded that despite the fact that he is relying on decisions issued after his sentence, “his challenges to the career offender determination was still a challenge to his sentence” . . . and a post-judgment motion that fits the description of a motion to vacate, set aside, or correct a sentence should be treated as a § 2255 motion.

Id. at p. 7 (citing United States v. Rodd, 966 F.3d 740, 747-48 (8th Cir. 2020).

Based on this analysis, the government believes Mr. Olivares' “motion for compassionate release should be denied, and if timely and appropriate[ly] raised in his § 2255 motion, these issues can be addressed there.” Id. at pp. 8-9.

The government recognizes “Section 404 of the First Step Act . . . makes retroactive the portions of the Fair Sentencing Act of 2010 . . . that lowered the threshold quantities triggering different statutory penalties for certain offenses involving cocaine base (crack cocaine).” (Docket 1407 at p. 2) (internal citation omitted). Because Mr. Olivares “was convicted of offenses involving methamphetamine” not crack cocaine, the government argues he “is not eligible for a reduction of his sentence pursuant to the First Step Act.” Id. at p. 3 (references omitted).

As Mr. Olivares was “sentenced prior to December 2018,” the government argues “Section 401 of the First Step Act is, by its express terms, not applicable.” (Docket 1430 at p. 9) (citing United States v. Stitt, Criminal No. 03-259, 2021 WL 826744, at *2 (W.D. Pa. Mar. 4, 2021)). As additional authority for its position, the government refers the court to an opinion from the District of South Dakota, United States v. Chantharath, 4:10-cr-40004-01 (D.S.D. 2010), Docket 1008. In Chantharath, having concluded § 401 is not retroactive, the government points out the district court held it “cannot circumvent the clear directive of Congress by granting a sentencing reduction . . . for ‘extraordinary and compelling reasons’ under 18 U.S.C. § 3852(c)(1)(A)(i).” (Docket 1430 at p. 10). Because the Eighth Circuit summarily affirmed the district court in Chantharath, the government contends that decision is binding authority as to Mr. Olivares’ issues before this court. Id. at p. 11.

Separately, the government points out “[t]he Eighth Circuit Court of Appeals has . . . been clear that, unless expressly provided, the First Step Act amendments are not retroactive and ‘apply only to a conviction entered on or after the date of the enactment of this Act.’” Id. (citing United States v. Massey, 956 F.3d 1076, 1079 (8th Cir. 2020)). Because defendant’s “sentence was properly based on the law at the time he was sentenced,” the government concluded Mr. Olivares’ sentence “does not merit review . . . in the present circumstances.” Id. at p. 13. In the event the court is considering a sentence

modification, the government contends “[t]he § 3553(a) factors strongly disfavor a sentence reduction.” Id. at p. 15.

In reply, Mr. Olivares argues:

While they can be considered in tandem, . . . [his] main arguments are the questionable nature of his prior convictions used to enhance his sentence to mandatory life in prison, the FSA changes . . . that mandatory life sentence, and the proportionality of his sentence compared to his co-conspirators are all “extraordinary and compelling” reasons why the Court should reduce his sentence.

(Docket 1436 at p. 5). Because of the nonretroactive nature of § 401 standing alone, Mr. Olivares argues his “only avenue for relief from a mandatory life sentence, which Congress itself has recognized as unjust and excessive, is the compassionate release process.” Id. at p. 7.

Mr. Olivares cites to several appellate and district court decisions which refused to characterize § 401 challenges as habeas actions but instead consider those claims as requests for compassionate release. Id. at pp. 8-12 (references omitted). Based on those decisions, Mr. Olivares submits “what is clear is those courts granted relief in the compassionate release context.” Id. at p. 12. Defendant asserts:

[T]he new [First Step Act] sentencing regime, along with the questionable prior convictions which were used to enhance his sentence, present strong “extraordinary and compelling” reasons why [his] life sentence should be reduced. . . . [T]he fact of the matter is many courts across the nation have granted compassionate release and reduced sentences for the same reasons Mr. Olivares asks this Court to consider.

Id. at p. 13. Mr. Olivares refers the court to a number of district court decisions which found mandatory life sentences an extraordinary and compelling reason to reduce those defendants' sentences to terms of years. Id. at pp. 13-14 (references omitted).

Addressing the § 3553(a)(6) factor, Mr. Olivares points to the disparity of sentences received by his co-defendants and the fact that as of the date of his reply brief he has served "10 years, 7 months, and 4 days, of his sentence." Id. at p. 17. Finally, Mr. Olivares reminds the court that upon his release from prison he will be deported. Id. at p. 16.

I. SECTION 404

The Fair Sentencing Act of 2010 ("Fair Sentencing Act"), Pub. L. No. 111-220, 124 Stat. 2372, "reduced the sentencing disparity between cocaine base and powder cocaine[.]" McDonald, 944 F.3d at 771 (referencing Dorsey v. United States, 567 U.S. 260, 269 (2012); other internal reference omitted). Those "changes did not apply to defendants sentenced before August 3, 2010." Id. (referencing Dorsey, 567 U.S. at 263).

The First Step Act made "certain provisions of the Fair Sentencing Act retroactive." McDonald, 944 F.3d at 771. "[S]ection 404(b) of the First Step Act allows a district court to 'impose a reduced sentence as if sections 2 and 3 of the Fair Sentencing Act of 2010 . . . were in effect at the time the covered offense was committed.'" Id. (citing First Step Act § 404(b)). "A 'covered offense' is defined as 'a violation of a Federal criminal statute, the statutory

penalties for which were modified by section 2 or 3 of the Fair Sentencing Act . . . that was committed before August 3, 2010.’” Id. (citing First Step Act § 404(a)). “Section 404 is the only First Step Act provision that applies retroactively.” United States v. Amphavannasouk, CR 03-40111-4, 2019 WL 6895946, at *2 (D.S.D. Dec. 18, 2019).

The cases cited by Mr. Olivares in support of his § 404 motion are all cocaine cases. (Docket 1403 at pp. 3-4). Mr. Olivares’ drug convictions are not a “covered offense” under § 404 of the First Step Act because they involve methamphetamine not crack cocaine. Id. See also United States v. Jones, No. 19-5433, 2019 WL 5436199, at *1 (6th Cir. Sept. 12, 2019) (§ 404 only applies to crack cocaine offenses); United States v. Copple, Case No. 17-cr-40011-009, 2019 WL 486440, at *2 (S.D. Ill. Feb. 7, 2019) (First Step Act applies only to crack cocaine offenses); United States v. Gonzalez-Oseguera, Crim. No. 06-00593, 2019 WL 1270916, at *2 (D. Haw. Mar. 19, 2019) (same).

Mr. Olivares’ motion for relief under § 404 of the First Step Act is denied.

II. SECTION 401

Mr. Olivares seeks compassionate release under § 401 of the First Step Act in combination with 18 U.S.C. § 3582(c)(1)(A). (Dockets 1398, 1427 & 1436). The government opposes Mr. Olivares’ motion. (Docket 1430).

A. DEFENDANT'S RIGHT TO SEEK RELIEF

Section 3582(c) permits the district court to consider a prisoner's request for compassionate release after exhausting the administrative remedies mandated by the statute.

[T]he court . . . upon motion of the defendant after the defendant has fully exhausted all administrative rights to appeal a failure of the Bureau of Prisons to bring a motion on the defendant's behalf or the lapse of 30 days from the receipt of such a request by the warden of the defendant's facility, whichever is earlier, may reduce the term of imprisonment (and may impose a term of probation or supervised release with or without conditions that does not exceed the unserved portion of the original term of imprisonment), after considering the factors set forth in section 3553(a) to the extent that they are applicable, if it finds that . . . extraordinary and compelling reasons warrant such a reduction . . . and that such a reduction is consistent with the applicable policy statements issued by the Sentencing Commission

18 U.S.C. § 3582(c)(1)(A)(i).

Mr. Olivares submitted a request for reduction of his sentence on January 31, 2020. (Docket 1427-2 at p. 2). The warden denied Mr. Olivares' request for compassionate release on February 6, 2020. (Docket 1427-3). The court finds Mr. Olivares exhausted the administrative relief provision in § 3582(c)(1)(A). The court will address the merits of Mr. Olivares' request.

B. THE COURT'S ROLE

"Section 3582(c)(1)(A)(i) does not attempt to define the 'extraordinary and compelling reasons' that might merit compassionate release." United States v. McCoy, 981 F.3d 271, 276 (4th Cir. 2020). That task was left to the United States Sentencing Commission. See 28 U.S.C. § 994(t). Prior to the First

Step Act, the Sentencing Commission established four categories for “extraordinary and compelling reasons for sentence reduction, including the criteria to be applied and a list of specific examples.” See id. Those categories generally focus on the defendant’s age, medical condition, family situation and any other reasons the BOP deems to be extraordinary and compelling. U.S.S.G. § 1B1.13 comment. n.1. The four categories have not been updated since December 2018 when the First Step Act became law.¹³ The United States Courts of Appeals for the Second, Third, Fourth, Fifth Sixth, Seventh, Ninth, Tenth and the D.C. Circuits have addressed the court’s authority under the First Step Act.¹⁴ See United States v. Brooker, 976 F.3d 228 (2d. Cir. 2020); United States v. Andrews, 12 F.4th 255 (3d Cir. 2021); McCoy, 981 F.3d 271; United States v. Shkambi, 993 F.3d 388 (5th Cir. 2021); United States v. Jones, 980 F.3d 1098 (6th Cir. 2020); United States v.

¹³The United States Sentencing Commission lacks a quorum and “currently has only two voting members, two short of the four it needs to amend the [U.S.S.G.].” United States v. Marks, 455 F. Supp. 3d 17, 24 (W.D.N.Y. 2020) (references omitted). See also United States v. Maumau, 993 F.3d 821, 836 (10th Cir. 2021) (“Since the First Step Act was enacted, the Commission has had only two voting members. Thus, the Commission has been unable to comply with its statutory duty of promulgating a post-First Step Act policy statement regarding the appropriate use of the sentence reduction provisions of § 3582(c)(1)(A)(i).”).

¹⁴The United States Court of Appeals for the Eighth Circuit had several opportunities to address this issue but declined to do so. United States v. Vangh, 990 F.3d 1138, 1141 n.3 (8th Cir. 2021); United States v. Loggins, Jr., 966 F.3d 891 (8th Cir. 2020) and United States v. Rodd, 966 F.3d 740 (8th Cir. 2020).

Gunn, 980 F.3d 1178 (7th Cir. 2020); United States v. Aruda, 993 F.3d 797, 802 (9th Cir. 2021); United States v. McGee, 992 F.3d 1035, 1050 (10th Cir. 2021); and United States v. Long, 997 F.3d 342, 355 (D.C. Cir. 2021).

The Second Circuit identified the question at the heart of these cases, which is “whether the First Step Act allows courts independently to determine what reasons, for purposes of compassionate release, are ‘extraordinary and compelling,’ or whether that power remains exclusively with the BOP Director as stated in Application Note 1(D).” Brooker, 976 F.3d at 234. The Second Circuit concluded “that, despite Application Note 1(D), the First Step Act freed district courts to exercise their discretion in determining what are extraordinary circumstances.” Id. The court held the language of U.S.S.G. § 1B1.13 “is clearly outdated and cannot be fully applicable.” Id. at 235. “[T]he First Step Act freed district courts to consider the full slate of extraordinary and compelling reasons that an imprisoned person might bring before them in motions for compassionate release. Neither Application Note 1(D), nor anything else in the now-outdated version of Guideline § 1B1.13, limits the district court’s discretion.” Id. at 237; see also Gunn, 980 F.3d at 1180 (agreeing with the Second Circuit that the Guidelines Manual “does not curtail a district judge’s discretion”); Jones, 980 F.3d at 1111 (“In cases where incarcerated persons file motions for compassionate release, federal judges . . . have full discretion to define ‘extraordinary and compelling’ without consulting the policy statement § 1B1.13.”); McCoy, 981 F.3d at 283 (“As of now, there is

no Sentencing Commission policy statement ‘applicable’ to the defendants’ compassionate-release motions, which means that district courts need not conform, under § 3582(c)(1)(A)’s consistency requirement, to § 1B1.13 in determining whether there exist ‘extraordinary and compelling reasons’ for a sentence reduction.”).

C. THE STATUTORY IMPACT OF SECTION 401

The First Step Act significantly changed the interface between 18 U.S.C. § 851 and 21 U.S.C. § 841(b)(1). Section 401 of the First Step Act is entitled “REDUCE AND RESTRICT ENHANCED SENTENCING FOR PRIOR DRUG FELONIES.” (First Step Act § 401) (capitalization in original). Specifically, § 401 amended 21 U.S.C. § 841(b)(1).

[I]n subparagraph (A), in the matter following clause (viii)—

- (i) by striking “If any person commits such a violation after a prior conviction for a felony drug offense has become final, such person shall be sentenced to a term of imprisonment which may not be less than 20 years” and inserting the following: “If any person commits such a violation after a prior conviction for a serious drug felony . . . has become final, such person shall be sentenced to a term of imprisonment of not less than 15 years”; and
- (ii) by striking “after two or more prior convictions for a felony drug offense have become final, such person shall be sentenced to a mandatory term of life imprisonment without release” and inserting the following: “after 2 or more prior convictions for a serious drug felony . . . have become final, such person shall be sentenced to a term of imprisonment of not less than 25 years.”

Id. § 401(a)(2). In other words, a defendant convicted with two prior drug felonies faces not a mandatory life sentence but a mandatory minimum sentence of 25 years. Id. Also, a defendant convicted with one prior drug felony faces a mandatory minimum sentence of 15 years not a mandatory minimum sentence of 20 years. Id.

Section 401 added a new definition in 21 U.S.C. § 802 for “serious drug felony.”

The term ‘serious drug felony’ means an offense described in section 924(e)(2) of title 18, United States Code, for which—

- (A) the offender served a term of imprisonment of more than 12 months; and
- (B) the offender’s release from any term of imprisonment was within 15 years of the commencement of the instant offense.

Id. § 401(a)(1) (adding 21 U.S.C. § 802 ¶ 57).¹⁵ Section 924 of Title 18 now defines a serious drug offense as follows:

[T]he term “serious drug offense” means—

- (i) an offense under the Controlled Substances Act (21 U.S.C. 801 et seq.) . . . for which a maximum term of imprisonment of ten years or more is prescribed by law; or
- (ii) an offense under State law, involving manufacturing, distributing, or possessing with intent to manufacture or distribute, a controlled substance (as defined in section 102 of the

¹⁵Congress mistakenly created a second subparagraph 57 in 21 U.S.C. § 802. See Federal Criminal Code and Rules, Thomson Reuters West Law (2021 ed.).

Controlled Substances Act (21 U.S.C. 802)), for which a maximum term of imprisonment of ten years or more is prescribed by law[.]

18 U.S.C. § 924(e)(2)(A)(i) & (ii).

Section 401(c) provided its amendments “shall apply to any offense that was committed before the date of enactment of this Act, if a sentence for the offense has not been imposed as of such date of enactment.” (First Step Act § 401(c)). The reduced penalties under § 401 are not retroactive. McGee, 992 F.3d at 1046 (“What we do know with certainty . . . is that Congress chose not to make this statutory change retroactive to all defendants who were sentenced under § 841(b)(1)(A) prior to the First Step Act.”).

In McGee, the defendant was serving a life sentence for a drug offense under 21 U.S.C. § 841, which at that time provided for a mandatory life sentence for an individual convicted with two prior felony drug offenses. McGee, 992 F.3d at 1038. “Congress chose not to afford relief to all defendants who, prior to the First Step Act, were sentenced to mandatory life imprisonment under § 841(b)(1)(A), [but there is] nothing in § 401(c) or any other part of the First Step Act [that] indicates that Congress intended to prohibit district courts, on an individualized, case-by-case basis, from granting sentence reductions under § 3582(c)(1)(A)(i) to some of those defendants.” Id. at 1047.

The conclusion reached in McGee is adopted by numerous courts. “[D]efendants sentenced to life under the old version of § 841(b)(1)(A) may qualify for relief based on a gross disparity with the sentence they would face

today.” United States v. Brown, No. 06-CR-327, 2020 WL 7401617, at *5 (E.D. Wis. Dec. 17, 2020) (referencing United States v. Williams, Case No. 5:12-cr-14, 2020 WL 5834673, at *7 (W.D. Va. Sept. 30, 2020) (“[E]ven if Williams did meet the ‘serious drug felony’ requirement . . . he would only face a mandatory minimum of 25 years’ imprisonment, a far cry from the mandatory life imposed.”); United States v. Day, 474 F. Supp. 3d 790, 860 (E.D. Va. 2020) (granting relief where mandatory sentence would now be 15 years rather than life); United States v. Ledezma-Rodriguez, 472 F. Supp. 3d 498, 504 (S.D. Iowa 2020) (granting relief where the defendant’s mandatory sentence on a drug count would be 10 years rather than life); United States v. Vigneau, 473 F. Supp. 3d 31, 36 (D. R.I. 2020) (“Courts . . . have determined that unusually long sentences by today’s standards could support an ‘extraordinary and compelling’ reason to reduce a sentence.”) (references omitted).

The same analysis holds true for § 924(c) convictions impacted by the First Step Act. “Relevant here, § 924(c)(1)(C) was amended to clarify that the consecutive mandatory minimum sentence of 25 years only applies when a defendant commits a subsequent § 924(c) violation after a prior § 924(c) conviction has become final.” Cooper, 996 F.3d at 286. “Although this amendment to § 924(c) did not apply retroactively to Cooper’s sentence, . . . if Cooper were sentenced today, he would be subjected to a significantly lower sentence: a 10-year mandatory consecutive sentence (5 years for each of

Cooper's two § 924(c) convictions), rather than the imposed 30-year sentence (5 years for the first § 924(c) conviction and 25 years for the second)." Id.

"There is a growing consensus among Circuit courts that the severity of a (pre-First Step Act) § 924(c) sentence, combined with the enormous disparity between that sentence and the sentence a defendant would receive today, can constitute an 'extraordinary and compelling' reason for relief in certain cases." United States v. Lee, No. CR 04-11, 2021 WL 3129243, at *3 (E.D. La. July 23, 2021) (referencing Cooper, 996 F.3d at 289; McCoy, 981 F.3d at 285; United States v. Black, 999 F.3d 1071, 1076 (7th Cir. 2021); United States v. Owens, 996 F.3d 755, 760 (6th Cir. 2021)).

"As other courts have reasoned, '[i]t is not unreasonable for Congress to conclude that not all defendants convicted under § 924(c) should receive new sentences, even while expanding the power of the courts to relieve some defendants of those sentences on a case-by-case basis.'" Lee, 2021 WL 3129243, at *4 (citing United States v. Maumau, No. 2:08-CR-00758, 2020 WL 806121, at *7 (D. Utah Feb. 18, 2020); United States v. Bryant, Crim. No. 95-202, 2020 WL 2085471, at *3 (D. Md. Apr. 30, 2020), aff'd sub nom. McCoy, 981 F.3d 271). "Moreover, granting compassionate release on the basis of the amended § 924(c) is not obviously contrary to congressional intent." Lee, 2021 WL 3129243, at *4.

In Maumau, the Tenth Circuit observed:

[T]he district court's decision indicates that its finding of "extraordinary and compelling reasons" was based on its individualized review of all the circumstances of Maumau's case and its conclusion "that a combination of factors' warranted relief, including: "Maumau's young age at the time of" sentencing; the "incredible" length of his stacked mandatory sentences under § 924(c); the First Step Act's elimination of sentence-stacking under § 924(c); and the fact that Maumau, "if sentenced today . . . would not be subject to such a long term of imprisonment."

United States v. Maumau, 993 F.3d 821, 837 (10th Cir. 2021). The court affirmed the district court's decision. Id.

"The First Step Act's clarification of § 924(c) resulted in not just any sentencing change, but an exceptionally dramatic one." McCoy, 981 F.3d at 285. The Fourth Circuit found "that the district courts permissibly treated as 'extraordinary and compelling reasons' for compassionate release the severity of the defendants' § 924(c) sentences and the extent of the disparity between the defendants' sentences and those provided for under the First Step Act." Id. at 286. The McCoy court "emphasiz[ed] . . . these judgments were the product of individualized assessments of each defendant's sentence." Id.

A review of Chatharath, submitted by the government as a precedent setting case in the District of South Dakota, discloses that court was not presented with the extensive arguments made on Mr. Olivares' behalf or the conclusions reached by the circuit courts identified above that § 401, standing alone, may not qualify a defendant for a sentence reduction. Further, the Chantharath court concluded that even if it had the authority, it would not

reduce the defendant's life sentence because the "circumstances do not rise to the level of 'extraordinary and compelling reasons' justifying a sentence reduction." Chantharath, 4:10-cr-40004-01, Docket 1008 at p. 9.

The court finds it has the independent authority to determine whether Mr. Olivares has shown "extraordinary and compelling reasons" to be granted a compassionate reduction in his sentence. 18 U.S.C. § 3582(c)(1)(A)(i). The purpose of the First Step Act was to expand the availability of relief based on judicial findings of extraordinary and compelling reasons.

D. THE IMPACT OF SECTION 401 ON MR. OLIVARES

The 851 Information filed against Mr. Olivares asserted two prior state felony drug convictions: (1) the Adams County, Colorado, conviction for a controlled substance conspiracy;¹⁶ and (2) the Arapahoe County, Colorado, conviction for possession of more than one gram of a controlled substance.¹⁷ (Docket 1058). In the Adams County conviction, Mr. Olivares was sentenced to three years custody in the Colorado Department of Corrections. (Docket 1058-1). In the Arapahoe County conviction, Mr. Olivares was sentenced to

¹⁶"Mr. Olivares pled guilty to and was convicted of a conspiracy to distribute cocaine[.]" (Docket 1182 at p. 10) (referencing Trial Exhibit 1B at pp. 4 & 7). At the 851 Hearing, the exhibits associated with both Colorado convictions were bundled as Exhibit 150. Id. at p. 10 n.7.

¹⁷During the 851 Hearing, the court found Mr. Olivares "was convicted of possession of less than one gram of methamphetamine[.]" (Docket 1182 at p. 11) (referencing Trial Exhibit 1C at pp. 1, 3 & 9). That conviction still constituted a felony drug offense. Id. at p. 12.

18 months custody in the Colorado Department of Corrections. (Docket 1058-2). Both prior convictions were felony convictions for which Mr. Olivares served more than 12 months in custody. 21 U.S.C. § 802(57).

Relevant to Mr. Olivares' case, § 401 of the First Step Act removed the phrase "a prior conviction for a felony drug offense" and mandated instead that the defendant must have had "a prior conviction for a serious drug felony" to be subject to enhanced punishments under 21 U.S.C. § 841(b)(1)(A). First Step Act § 401(b)(1). To qualify as a "serious drug felony," a prior state conviction must have involved the manufacture, distribution or possession with intent to manufacture or distribute a controlled substance. 18 U.S.C. § 924(e)(2)(A)(ii).

The Adams County conviction continues to qualify as a "serious drug offense" under 18 U.S.C. § 924(e)(2)(A)(ii).¹⁸ It involved the distribution of cocaine and was a "class 3 felony" in Colorado which mandated "a minimum of four years imprisonment and a maximum of twelve years imprisonment." (Docket 1182 at p. 10). For the offense Mr. Olivares served more than 12 months in custody. (Docket 1058).

As a result of the First Step Act, the Arapahoe County conviction does not qualify as a prior "serious drug offense." 18 U.S.C. § 924(e)(2)(A)(ii). The Arapahoe County conviction was for the possession of methamphetamine and

¹⁸Defendant's argument he was convicted of possessing with the intent to distribute morpholine, a substance not included in the federal definition of controlled substance (Docket 1427 at pp. 6-9), is barred by *res judicata*. See Docket 1182.

not for the possession with intent to distribute the controlled substance.

(Docket 1058-2; see also Docket 1182 at p. 11 and Trial Exhibit 1B). Striking the Arapahoe County conviction from the 851 Information, Mr. Olivares faces a mandatory minimum of 15 years up to life imprisonment under 21 U.S.C. 841(b)(1)(A) and not a mandatory life sentence.

As indicated at the time of Mr. Olivares' sentencing, the court was very troubled by having to impose a statutory mandatory sentence of life imprisonment. Neither the crimes of conviction nor Mr. Olivares' two prior felony drug offenses warranted a life sentence. “[T]he First Step Act is instructive,” and demonstrates “Congress’s intent to mitigate the harsh and sometimes unjust effects of the sentencing laws.” Marks, 2020 WL 1908911, at *16. “[A] life sentence is objectively inhumane here[.]” United States v. Santamaria, 516 F. Supp. 3d 832, 836 (S.D. Iowa 2021). “[I]t is hard to argue that the unfairness of keeping a man in prison for decades more than if he had committed the same crime today is anything other than compelling.” Id.

Applying the First Step Act, the court finds this factor constitutes a “extraordinary and compelling reason” for granting a compassionate reduction in Mr. Olivares’ sentences for the convictions on counts 1 and 5.¹⁹ 18 U.S.C. § 3582(c)(1)(A)(i). The court believes when considering the sentencing disparity—which would result by not granting Mr. Olivares’ motion when

¹⁹Count 9 is not impacted by the First Step Act as the Act did not change the enhanced punishment provision of 21 U.S.C. § 841(b)(1)(B).

compared to a defendant sentenced after the First Step Act with the same prior serious drug offense—that disparity constitutes an additional, extraordinary and compelling reason supporting a compassionate reduction in Mr. Olivares' sentences. "Section 3582(c)(1)(A) provides a safety valve against what otherwise would be a harsh, unjust, and unfair result stemming from a non-retroactivity clause." United States v. McPherson, 454 F. Supp. 3d 1049, 1053 (W.D. Wash. 2020).

As part of this analysis, the court must consider the sentences received by Mr. Olivares' four co-defendants for their 18 U.S.C. § 841(b)(1)(A) convictions. Three co-defendants received 120 months custody and one co-defendant received 108 months custody. (Dockets 468, 605, 635 & 759). It is acknowledged these co-defendants all entered guilty pleas and received the benefits of their plea agreements. This factor will be discussed in more detail later in this order.

E. THE § 3553(a) FACTORS

1. The nature and circumstances of the offenses and the history and characteristics of the defendant

The court well recalls the trial evidence which resulted in Mr. Olivares' convictions. That evidence is detailed in the PSR. (Docket 1157 ¶¶ 17-29). Mr. Olivares was a medium level methamphetamine drug dealer who either personally or through co-conspirators made almost weekly trips to Denver, Colorado, to obtain drugs. He frequently traded drugs for firearms and used

firearms to intimidate and keep his lower level co-conspirators in line. Based on the trial evidence, the court concluded Mr. Olivares' conspiracy involved at least 5 kilograms but less than 15 kilograms of methamphetamine. Id. ¶ 29. Mr. Olivares possessed the seven firearms identified in the indictment and upon which the firearm counts of conviction are based.

Mr. Olivares was born in Ciudad Juarez, Chihuahua, Mexico. Id. ¶ 75. It is unclear when or how he entered the United States but school records indicate Mr. Olivares attended grade school in El Paso, Texas, and through the eighth grade in the Los Angeles Unified School District in California. Id. Mr. Olivares was ultimately granted legal permanent status in 1990. Id. Following completion of his sentences in this case and completion of any remaining sentences in Colorado, Mr. Olivares will be subject to deportation.

2. The need for the sentence to (A) reflect the seriousness of the offense, promote respect for the law and provide just punishment; (B) afford adequate deterrence to criminal conduct; (C) protect the public from further crimes by the defendant; and (D) provide the defendant with needed educational or vocational training, medical care, or other correctional treatment in the most effective manner

Mr. Olivares was convicted of six felony offenses at trial. Each of those offenses is separately a serious crime for which the court must impose an appropriate and just sentence. But the mandatory life sentences are not appropriate when a lesser term of years can reflect the seriousness of criminal conduct and afford adequate deterrence. Respect for the law is not promoted by the shocking unfairness of Mr. Olivares' life sentences in a case where the

maximum term received by highly culpable co-conspirators was ten years in prison. At the time of Mr. Olivares' sentencing, the court considered the mandatory life sentences unjust and maintains that position today.

The court recognizes the need "to protect the public from further crimes of the defendant." 18 U.S.C. § 3553(a)(2)(C). However, excessive incarceration is not the only kind of sentence available, and there are other ways to achieve the same public protection. Id. § 3553(a)(3). Mr. Olivares will be deported upon release, which is itself a punishment. Padilla v. Kentucky, 559 U.S. 356, 364 (2010) ("[D]eportation is an integral part—indeed, sometimes the most important part—of the penalty that may be imposed on noncitizen defendants[.]") (footnote omitted)). Any lingering public safety concerns are dispelled by the fact of Mr. Olivares' anticipated deportation after he completes a reduced custody term.

3. The United States Sentencing Guidelines range

Based on the application of § 401 of the First Step Act, statutory custody provisions are amended as follows:

Counts 1 and 5 (21 U.S.C. §§ 841(b)(1)(A) and 851): the mandatory minimum term of imprisonment is 15 years to life. (First Step Act § 401(a)(2)(i));

Count 2 (18 U.S.C. § 924(c)(1)(A)): the mandatory minimum term of imprisonment is 5 years to life. The term of imprisonment on Count 2 must be imposed consecutively to any other counts;

Counts 3 and 4 (18 U.S.C. § 924(a)(2)): the maximum term of imprisonment is 10 years on each count; and

Count 9 (21 U.S.C. § 841(b)(1)(B) and 851): the mandatory minimum term of imprisonment is 10 years to life.

The guideline ranges remain as originally calculated:

Group 1: Counts 1, 3, 4, 5 & 9 – Conspiracy to distribute a controlled substance:

Total Offense Level	43
Criminal History Category	VI
Guideline Imprisonment Range	Life

Count 2 carries a mandatory minimum term of imprisonment of 5 years to life, which must be served consecutive to any other sentence imposed.

(Docket 1157 ¶¶ 78 & 79).

4. Any pertinent Sentencing Commission policy statement

The court identifies no policy statement of the United States Sentencing Commission which would be pertinent to this case.

5. The need to avoid unwarranted sentence disparities

Three of Mr. Olivares' co-defendants received statutory mandatory minimum custody sentences and one co-defendant received a 108-month sentence on their 18 U.S.C. § 841(b)(1)(A) convictions. (Dockets 468, 605, 635 & 759). These co-defendants' PSRs reflected the following relevant information:²⁰

²⁰Sentencings in these cases occurred before the District of South Dakota filed under seal each defendant's PSR in CM/ECF. These PSRs are available to government counsel in their own files.

Co-Defendant	Total Offense Level	Criminal History Category	Guideline Range	Sentence Imposed
Michael Dillon	28	III	120-121	120
Matt Allen	33	VI	235-293	120
Robert Gay	33	IV	188-235	120
Gerald Long Soldier	29	I	87-108	108

Even accounting for Mr. Olivares' prior serious felony drug offenses, his life sentences are an unjust and unwarranted disparity.

6. The need to provide restitution to any victims

While distribution of methamphetamine certainly impacts the community and creates victims of drug abuse and addiction, for sentencing purposes there are no recognizable victims for whom restitution is a consideration.

F. RECTIFYING THE INJUSTICE

Mr. Olivares faced mandatory life sentences only because the government chose to file the 851 Information. Neither of the prior convictions marked Mr. Olivares as a career drug distribution offender. Yet under § 851, these convictions triggered mandatory life sentences. This could not be the outcome in light of the justice-based changes Congress advanced through the First Step Act. The court grants Mr. Olivares' motion for compassionate relief because it is supported by extraordinary and compelling reasons as well as the § 3553(a) factors.

G. RESENTENCING

Mr. Olivares has been in pretrial and BOP custody for more than 11 years. He is nearly 50 years old. The court presumes much has changed in his life since the preparation of the original PSR. The court will require the preparation of a new PSR which focuses on Mr. Olivares' character, his danger to the public, his likelihood of recidivism, his need for rehabilitation, the guideline calculation had he been charged and convicted after the First Step Act was passed, and any other relevant considerations. The United States Marshals Service must immediately arrange for Mr. Olivares' transportation to South Dakota so he can meet with his attorney and prepare for the re-sentencing hearing. Upon Mr. Olivares' arrival in South Dakota the court will establish deadlines for completion of the new PSR and a date for re-sentencing.

ORDER

Based on the above analysis, it is

ORDERED that defendant's motions (Dockets 1398, 1403, 1416 & 1427) are granted in part and denied in part.

IT IS FURTHER ORDERED that defendant's motion for relief under § 404 of the First Step Act (Docket 1403) is denied.

IT IS FURTHER ORDERED that defendant's motion for relief under § 401 of the First Step Act (Docket 1427) is granted.

IT IS FURTHER ORDERED that the judgment (Docket 1166) imposed on May 27, 2015, is vacated.

IT IS FURTHER ORDERED that the United States Marshals Service shall arrange for Mr. Olivares' transportation to the District of South Dakota for resentencing.

IT IS FURTHER ORDERED that upon Mr. Olivares' arrival in the District of South Dakota the court will enter a scheduling order for the preparation of a new presentence investigation report and a new sentencing date.

IT IS FURTHER ORDERED that the Clerk of Court shall deliver a copy of this order to the United States Marshals Service.

Dated November 8, 2021.

BY THE COURT:

/s/ *Jeffrey L. Viken*

JEFFREY L. VIKEN
UNITED STATES DISTRICT JUDGE

Appendix D
(Judgment of Conviction and Sentence)

UNITED STATES DISTRICT COURT

District Of South Dakota, Western Division

UNITED STATES OF AMERICA

v.

Luis Olivares

JUDGMENT IN A CRIMINAL CASE

Case Number: 5:10CR50118-1

USM Number: 11157-273

John S. Rusch

Defendant's Attorney

THE DEFENDANT:

pleaded guilty to count(s)

pleaded nolo contendere to count(s)
which was accepted by the Court.

was found guilty on count(s) 1-5 and 9 of the Superseding Indictment**
after a plea of not guilty.

FILED

JUN 02 2015



Clerk

The defendant is adjudicated guilty of these offenses:

Title & Section	Nature of Offense	Offense Ended	Count
21 U.S.C. §§ 841(a)(1), 841(b)(1)(A), 846 and 851	Conspiracy to Distribute a Controlled Substance;	01/19/2011	1s
18 U.S.C. § 924(c)(1)(A)	Possession of Firearm During a Drug Trafficking Crime;	07/13/2010	2s
18 U.S.C. §§ 922(g)(1) and 924(a)(2)	Felon in Possession of a Firearm;	07/13/2010	3s
18 U.S.C. §§ 922(g)(2) and 924(a)(2)	Fugitive in Possession of a Firearm;	07/13/2010	4s
21 U.S.C. §§ 841(a)(1), 841(b)(1)(A) and 851	Possession With Intent to Distribute a Controlled Substance;	11/22/2010	5s
21 U.S.C. §§ 841(a)(1), 841(b)(1)(B) and 851	Distribution of a Controlled Substance	03/22/2010	9s

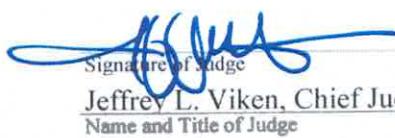
The defendant is sentenced as provided in this Judgment. The sentence is imposed pursuant to the statutory and constitutional authority vested in this Court.

 The defendant has been found not guilty on count(s) Count(s) is are dismissed on the motion of the United States.

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this Judgment are fully paid. If ordered to pay restitution, the defendant must notify the Court and United States attorney of material changes in economic circumstances.

**Count 6 on the verdict form represents Count 9 of the Superseding Indictment.

05/27/2015
Date of Imposition of Judgment



Signature of Judge

Jeffrey L. Viken, Chief Judge
Name and Title of Judge

May 31, 2015
Date

AO 245B (Rev. 09/14) Judgment in Criminal Case
Sheet 2 — Imprisonment

DEFENDANT: Luis Olivares
CASE NUMBER: 5:10CR50118-1

IMPRISONMENT

- The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts 1 and 5: Life on each count, to run concurrent to Counts 3, 4 and 9; Count 2: 5 years, consecutive to all other counts; and Counts 3, 4 and 9: 10 years on each count, to run concurrent to Counts 1 and 5.
- The Court makes the following recommendations to the Bureau of Prisons:
- The defendant is remanded to the custody of the United States Marshal.
- The defendant shall surrender to the United States Marshal for this district:
 - at _____
 - a.m. p.m. on _____
 - as notified by the United States Marshal.
- The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:
 - before 2 p.m. on _____
 - as notified by the United States Marshal.
 - as notified by the Probation or Pretrial Services Office.

RETURN

I have executed this Judgment as follows:

Defendant delivered on _____ to _____
at _____, with a certified copy of this Judgment.

UNITED STATES MARSHAL

By

DEPUTY UNITED STATES MARSHAL

AO 245B (Rev. 09/14) Judgment in a Criminal Case
Sheet 3 — Supervised Release

DEFENDANT: Luis Olivares
CASE NUMBER: 5:10CR50118-1

Mandatory drug testing is suspended based on the Court's determination that the defendant will not be supervised by the U.S. Probation Office.

The defendant shall cooperate in the collection of DNA.

SUPERVISED RELEASE

No term of supervised release is imposed.

DEFENDANT: Luis Olivares
CASE NUMBER: 5:10CR50118-1**CRIMINAL MONETARY PENALTIES**

The defendant must pay the total criminal monetary penalties under the Schedule of Payments on Sheet 6.

<u>TOTALS</u>	<u>Assessment</u>	<u>Fine</u>	<u>Restitution</u>
	\$600	Waived	

The determination of restitution is deferred until .

An *Amended Judgment in a Criminal Case (AO 245C)* will be entered after such determination.

The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

<u>Name of Payee</u>	<u>Total Loss*</u>	<u>Restitution Ordered</u>	<u>Priority or Percentage</u>
----------------------	--------------------	----------------------------	-------------------------------

TOTALS	\$ _____	\$ _____
---------------	----------	----------

Restitution amount ordered pursuant to Plea Agreement \$ _____

The defendant must pay interest on restitution and a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the Judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).

The Court determined that the defendant does not have the ability to pay interest and it is ordered that:

the interest requirement is waived for the fine restitution.

the interest requirement for the fine restitution is modified as follows:

* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

DEFENDANT: Luis Olivares
CASE NUMBER: 5:10CR50118-1**SCHEDULE OF PAYMENTS**

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties is due as follows:

A Lump sum payment of \$ 600 due immediately, balance due
 not later than _____, or
 in accordance with C, D, E, or F below; or

B Payment to begin immediately (may be combined with C, D, or F below); or

C Payment in equal _____ (*e.g., weekly, monthly, quarterly*) installments of \$ _____, to commence _____ (*e.g., 30 or 60 days*) after the date of this Judgment; or

D Payment in equal _____ (*e.g., weekly, monthly, quarterly*) installments of \$ _____, to commence _____ (*e.g., 30 or 60 days*) after release from imprisonment to a term of supervision; or

E Payment of the total restitution and other criminal monetary penalties shall be due in regular quarterly installments of 50% of the deposits in the defendant's inmate trust account while the defendant is in custody, or 10% of the defendant's inmate trust account while serving custody at a Residential Reentry Center. Any portion of the monetary obligation(s) not paid in full prior to the defendant's release from custody shall be due in monthly installments of \$, such payments to begin _____ days following the defendant's release.

F Special instructions regarding the payment of criminal monetary penalties:

Unless the Court has expressly ordered otherwise, if this Judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the Clerk of the Court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

Joint and Several

Defendant and Co-Defendant Names and Case Numbers (*including defendant number*), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

The defendant shall pay the cost of prosecution.

The defendant shall pay the following court cost(s):

The defendant shall forfeit the defendant's interest in the following property to the United States:
A Hi-Point JH .45 pistol, serial #332593; Hi-Point C-9 9mm pistol, serial #P1212361; Hi-Point CF .380 pistol, serial #P890415; Taurus PT-92 9mm pistol, serial #B71601; Ruger P-95 9mm pistol, serial #317-13754; Calwestco J-22 .22 LR pistol, serial #730835; and Smith & Wesson 14-3 .38 Special revolver, serial #1K41827.

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) penalties, and (8) costs, including cost of prosecution and court costs.

Appendix E
(18 U.S. Code § 3582 “The Fair Sentencing Act”)

18 U.S. Code § 3582 - Imposition of a sentence of imprisonment

(a) FACTORS TO BE CONSIDERED IN IMPOSING A SENTENCE.—The court shall impose a sentence sufficient, but not greater than necessary, to comply with the purposes set forth in paragraph (2) of this subsection. The court, in determining the particular sentence to be imposed, shall consider—

(1)

the nature and circumstances of the offense and the history and characteristics of the defendant;

(2) the need for the sentence imposed—

(A)

to reflect the seriousness of the offense, to promote respect for the law, and to provide just punishment for the offense;

(B)

to afford adequate deterrence to criminal conduct;

(C)

to protect the public from further crimes of the defendant; and

(D)

to provide the defendant with needed educational or vocational training, medical care, or other correctional treatment in the most effective manner;

(3)

the kinds of sentences available;

(4) the kinds of sentence and the sentencing range established for—

(A) the applicable category of offense committed by the applicable category of defendant as set forth in the guidelines—

(i)

issued by the Sentencing Commission pursuant to section 994(a)(1) of title 28, United States Code, subject to any amendments made to such guidelines by act of Congress (regardless of whether such amendments have yet to be incorporated by the Sentencing Commission into amendments issued under section 994(p) of title 28); and

(ii)

that, except as provided in section 3742(g), are in effect on the date the defendant is sentenced; or

(B)

in the case of a violation of probation or supervised release, the applicable guidelines or policy statements issued by the Sentencing Commission pursuant to section 994(a)(3) of title 28, United States Code, taking into account any amendments made to such guidelines or policy statements by act of Congress (regardless of whether such amendments have yet to be incorporated by

the Sentencing Commission into amendments issued under section 994(p) of title 28;

(5) any pertinent policy statement—

(A)

issued by the Sentencing Commission pursuant to section 994(a)(2) of title 28, United States Code, subject to any amendments made to such policy statement by act of Congress (regardless of whether such amendments have yet to be incorporated by the Sentencing Commission into amendments issued under section 994(p) of title 28); and

(B)

that, except as provided in section 3742(g), is in effect on the date the defendant is sentenced.[1]

(6)

the need to avoid unwarranted sentence disparities among defendants with similar records who have been found guilty of similar conduct; and

(7)

the need to provide restitution to any victims of the offense.

(b) **APPLICATION OF GUIDELINES IN IMPOSING A SENTENCE.—**

(1) **IN GENERAL.—**

Except as provided in paragraph (2), the court shall impose a sentence of the kind, and within the range, referred to in subsection (a)(4) unless the court finds that there exists an aggravating or mitigating circumstance of a kind, or to a degree, not adequately taken into consideration by the Sentencing Commission in formulating the guidelines that should result in a sentence different from that described. In determining whether a circumstance was adequately taken into consideration, the court shall consider only the sentencing guidelines, policy statements, and official commentary of the Sentencing Commission. In the absence of an applicable sentencing guideline, the court shall impose an appropriate sentence, having due regard for the purposes set forth in subsection (a)(2). In the absence of an applicable sentencing guideline in the case of an offense other than a petty offense, the court shall also have due regard for the relationship of the sentence imposed to sentences prescribed by guidelines applicable to similar offenses and offenders, and to the applicable policy statements of the Sentencing Commission.

(2) **CHILD CRIMES AND SEXUAL OFFENSES.—**

(A) [2] **Sentencing.—** In sentencing a defendant convicted of an offense under section 1201 involving a minor victim, an offense under section 1591, or an offense under chapter 71, 109A, 110, or 117, the court shall impose a sentence of the kind, and within the range, referred to in subsection (a)(4) unless—

(i)

the court finds that there exists an aggravating circumstance of a kind, or to a degree, not adequately taken into consideration by the Sentencing Commission in formulating the guidelines that should result in a sentence greater than that described;

(ii) the court finds that there exists a mitigating circumstance of a kind or to a degree, that—

(I)

has been affirmatively and specifically identified as a permissible ground of downward departure in the sentencing guidelines or policy statements issued under section 994(a) of title 28, taking account of any amendments to such sentencing guidelines or policy statements by Congress;

(II)

has not been taken into consideration by the Sentencing Commission in formulating the guidelines; and

(III)

should result in a sentence different from that described; or

(iii)

the court finds, on motion of the Government, that the defendant has provided substantial assistance in the investigation or prosecution of another person who has committed an offense and that this assistance established a mitigating circumstance of a kind, or to a degree, not adequately taken into consideration by the Sentencing Commission in formulating the guidelines that should result in a sentence lower than that described.

In determining whether a circumstance was adequately taken into consideration, the court shall consider only the sentencing guidelines, policy statements, and official commentary of the Sentencing Commission, together with any amendments thereto by act of Congress. In the absence of an applicable sentencing guideline, the court shall impose an appropriate sentence, having due regard for the purposes set forth in subsection (a)(2). In the absence of an applicable sentencing guideline in the case of an offense other than a petty offense, the court shall also have due regard for the relationship of the sentence imposed to sentences prescribed by guidelines applicable to similar offenses and offenders, and to the applicable policy statements of the Sentencing Commission, together with any amendments to such guidelines or policy statements by act of Congress.

(c) **STATEMENT OF REASONS FOR IMPOSING A SENTENCE.**—The court, at the time of sentencing, shall state in open court the reasons for its imposition of the particular sentence, and, if the sentence—

(1)

is of the kind, and within the range, described in subsection (a)(4), and that range exceeds 24 months, the reason for imposing a sentence at a particular point within the range; or

(2)

is not of the kind, or is outside the range, described in subsection (a)(4), the specific reason for the imposition of a sentence different from that described, which reasons must also be stated with specificity in a statement of reasons form issued under section 994(w)(1)(B) of title 28, except to the extent that the court relies upon statements received in camera in accordance with Federal Rule of Criminal

Procedure 32. In the event that the court relies upon statements received in camera in accordance with Federal Rule of Criminal Procedure 32 the court shall state that such statements were so received and that it relied upon the content of such statements.

If the court does not order restitution, or orders only partial restitution, the court shall include in the statement the reason therefor. The court shall provide a transcription or other appropriate public record of the court's statement of reasons, together with the order of judgment and commitment, to the Probation System and to the Sentencing Commission,³ and, if the sentence includes a term of imprisonment, to the Bureau of Prisons.

(d) PRESENTENCE PROCEDURE FOR AN ORDER OF NOTICE.—Prior to imposing an order of notice pursuant to section 3555, the court shall give notice to the defendant and the Government that it is considering imposing such an order. Upon motion of the defendant or the Government, or on its own motion, the court shall—

(1)

permit the defendant and the Government to submit affidavits and written memoranda addressing matters relevant to the imposition of such an order;

(2)

afford counsel an opportunity in open court to address orally the appropriateness of the imposition of such an order; and

(3)

include in its statement of reasons pursuant to subsection (c) specific reasons underlying its determinations regarding the nature of such an order.

Upon motion of the defendant or the Government, or on its own motion, the court may in its discretion employ any additional procedures that it concludes will not unduly complicate or prolong the sentencing process.

(e) LIMITED AUTHORITY TO IMPOSE A SENTENCE BELOW A STATUTORY MINIMUM.—

Upon motion of the Government, the court shall have the authority to impose a sentence below a level established by statute as a minimum sentence so as to reflect a defendant's substantial assistance in the investigation or prosecution of another person who has committed an offense. Such sentence shall be imposed in accordance with the guidelines and policy statements issued by the Sentencing Commission pursuant to section 994 of title 28, United States Code.

(f) LIMITATION ON APPLICABILITY OF STATUTORY MINIMUMS IN CERTAIN CASES.—

Notwithstanding any other provision of law, in the case of an offense under section 401, 404, or 406 of the Controlled Substances Act (21 U.S.C. 841, 844, 846), section 1010 or 1013 of the Controlled Substances Import and Export Act (21 U.S.C. 960, 963), or section 70503 or 70506 of title 46, the court shall impose a sentence pursuant to guidelines promulgated by the United States Sentencing Commission under section 994 of title 28 without regard to any statutory minimum sentence, if the court finds at sentencing, after the Government has been afforded the opportunity to make a recommendation, that—

(1) the defendant does not have—

(A)

more than 4 criminal history points, excluding any criminal history points resulting from a 1-point offense, as determined under the sentencing guidelines;

(B)

a prior 3-point offense, as determined under the sentencing guidelines; and

(C)

a prior 2-point violent offense, as determined under the sentencing guidelines;

(2)

the defendant did not use violence or credible threats of violence or possess a firearm or other dangerous weapon (or induce another participant to do so) in connection with the offense;

(3)

the offense did not result in death or serious bodily injury to any person;

(4)

the defendant was not an organizer, leader, manager, or supervisor of others in the offense, as determined under the sentencing guidelines and was not engaged in a continuing criminal enterprise, as defined in section 408 of the Controlled Substances Act; and

(5)

not later than the time of the sentencing hearing, the defendant has truthfully provided to the Government all information and evidence the defendant has concerning the offense or offenses that were part of the same course of conduct or of a common scheme or plan, but the fact that the defendant has no relevant or useful other information to provide or that the Government is already aware of the information shall not preclude a determination by the court that the defendant has complied with this requirement.

Information disclosed by a defendant under this subsection may not be used to enhance the sentence of the defendant unless the information relates to a violent offense.

(g) DEFINITION OF VIOLENT OFFENSE.—

As used in this section, the term “violent offense” means a crime of violence, as defined in section 16, that is punishable by imprisonment.