

## EXHIBIT 5



## Huntsville Fire Department

Station: **S62**  
Shifts Or Platoon: **D Shift**

Location: <b>Walls Unit TDCJ</b> <b>815 12th ST</b> <b>Huntsville TX 77340</b>	Incident Type: <b>111 - Building fire</b>
Lat/Long: <b>N 30° 43' 22"</b> <b>W 95° 32' 47.78"</b>	FDID: <b>XB601</b> Incident #: <b>2023-1274</b> Exposure ID: <b>75263854</b> Exposure #: <b>0</b> Incident Date: <b>08/25/2023</b>
Zone: <b>City Limits - City Limits</b> Location Type: <b>1 - Street address</b>	

<b>Report Completed by:</b>	Kolaja , Jon Brandon	<b>ID:</b> 607	<b>Date:</b> 08/25/2023
<b>Report Reviewed by:</b>	<b>Not Reviewed</b>		
<b>Report Printed by:</b>	Winningham, Adam L	<b>ID:</b> 604	<b>Date:</b> 9/14/2023 <b>Time:</b> 13:49

Structure Type: <b>Enclosed building</b>		Property Use: <b>361 - Jail, prison (not juvenile)</b>	
Automatic Extinguishment System Present: <input type="checkbox"/>	Detectors Present: <input type="checkbox"/>	Cause of Ignition: <b>Failure of equipment or heat source</b>	
Aid Given or Received: <b>Mutual aid received</b>		Primary action taken: <b>10 - Fire control or extinguishment, other</b>	
Additional actions: <b>12 - Salvage &amp; overhaul , 50 - Fires, rescues &amp; hazardous conditions, other</b>			
<b>Losses</b>	<b>Pre-Incident Values</b>		
Property: <b>\$5,000,000.00</b>	Property: <b>\$5,000,000.00</b>	Civilian Injuries: <b>0</b>	Fire Service Injuries: <b>0</b>
Contents: <b>\$100,000.00</b>	Contents: <b>\$200,000.00</b>	Civilian Fatalities: <b>0</b>	Fire Service Fatalities: <b>0</b>
Total: <b>\$5,100,000.00</b>	Total: <b>\$5,200,000.00</b>	Total Casualties: <b>0</b>	Total Fire Service Casualties: <b>0</b>
Total # of apparatus on call: <b>7</b>		Total # of personnel on call: <b>18</b>	

<b>Neighboring Agencies</b>
<b>Agency Name:</b> Crabbs Prairie VFD - XB305 <b>Agency ID:</b> XB305 <b>Agency Type:</b> Fire
<b>Agency Name:</b> Huntsville Police Department <b>Agency ID:</b> <b>Agency Type:</b> Law
<b>Agency Name:</b> Huntsville-Walker County EMS <b>Agency ID:</b> <b>Agency Type:</b> EMS Mutual Aid
<b>Agency Name:</b> New Waverly Fire Department - XB302 <b>Agency ID:</b> XB302 <b>Agency Type:</b> Fire
<b>Agency Name:</b> Other Fire <b>Agency ID:</b> <b>Agency Type:</b> Fire
<b>Agency Name:</b> Other Law <b>Agency ID:</b> <b>Agency Type:</b> Law

**NARRATIVE (1)****Narrative Title:** Initial**Narrative Author:** Kolaja, Jon**Narrative Date:** 08/28/2023 11:50:05**Narrative Apparatus ID:** E620**Narrative:**

E 620 dispatched to commercial fire at the Walls Unit. Upon arrival, heavy smoke was outside as E 620 approached the building. This was around 2:30 am and still being dark, we were unable to see where the smoke was coming from. The crew made it in to front door and was met by on site personnel who advised the fire was on the roof. There was very faint smoke on bottom 2 stories, as we were led through the gates and cellblocks to the third story to make access through a roof scuttle hole. (Later in the fire, the location of this access hole was clear. It was an opening in the floor of the west end tower (equipment room). This room was full of hvac equipment.) Upon reaching the location of the roof access, smoke was more noticeable. Cpt. Kolaja climbed the wall mounted ladder to open the door and was immediately hit with heavy smoke. He made a quick look with tic and saw heavy fire behind him. All E 620 crew was told to evacuate the building. The door was closed back and the crew exited the building. TDCJ personnel advised the unit was evacuated.

E 620 crew then went to assist E 617 whom arrived on location to set up water supply at nearby hydrant. L 624 was enroute upon their arrival a 5" line was on the ground to supply the aerial monitor. L 624 raised the ladder and began aerial operations. 602 arrived on location and took command. Multiple other agencies were requested and arrived on scene to include New Waverly, Montgomery County, Walker County Emergency Management.

E 620 was moved to the rec area in the back. There was fire seen on the cellblock roof between the clock and west end towers. Cpt. Kolaja was approached by one of the officers about trying to check on the pharmacy in the admin area. He asked to use a scba and Cpt. Kolaja went with him, as they approached the 3rd floor, the area was about to be overtaken by fire, that was almost completely burned through the door. They quickly evacuated back to the rec area due to unsafe conditions.

E620 crew then met New Waverly crews inside and tried to make access to the area of the fire in the attic. We were being told to access from the 3rd story of the clock tower. Due to building construction and heavy fire, crews had difficult time making access. This was a prison unit with multiple keys needed for access and control to each area. After L624 and L614 flowed water for some time there was some control of the fire. Crews were then able to make access through the roof and from interior areas. Out of town units were released, all other units continued to overhaul until fire was completely extinguished. Major overhaul areas included the 3rd story admin area in the clock tower, and the top of the west end tower.

**NARRATIVE (2)****Narrative Title:** n/a**Narrative Author:** Winningham, Adam**Narrative Date:** 08/30/2023 13:33:39**Narrative Apparatus ID:** L624**Narrative:**

604 responded from his residence to station #2 to retrieve L624, upon the request of 602. At station #2, 604 was met by Will Wheeler, who drove 604 and L624 to the Walls unit. Upon arrival, L624 was directed by 602 to 12th St. We parked just west of the administration building. Wheeler assisted in getting the ladder truck set up and establishing a water supply. 604 went in the platform of the ladder to direct the water stream. Once the platform was in the air, a large amount of fire was noted in the center between the admin building and the West ventilation room (west building) of the cell block. The fire was viewed to have been advancing both toward the admin building and the west building. Water was sprayed along the block, attempting to extinguish the flames. The water was partially successful in limiting the spread, however, the Spanish roofing tiles limited the water to reach all the fire. Also, the distance from the ladder tip to the admin building was farther than an effective water stream from the ladder truck. The ladder flowed water for nearly 4 -5 hours at the rate of approximately 1,500 gallons per minute. The fire is viewed to have a minor extension into the admin building and a moderate extension in the west ventilation room. The ladder truck remained in place throughout the morning. Once all visible fire was extinguished water flow was stopped and the truck remained in place to provide an overwatch.

Ex Parte 1

APPARATUS			
Unit	E620	Unit	B623
Type:	Engine	Type:	Brush truck
Use:	Suppression	Use:	Suppression
Response Mode:	Lights and Sirens	Response Mode:	Lights and Sirens
# of People	3	# of People	2
Alarm	08 /25/2023 02:29:00	Alarm	08 /25/2023 02:29:00
Dispatched	08 /25/2023 02:29:00	Dispatched	08 /25/2023 02:29:00
Enroute	08 /25/2023 02:31:00	Enroute	08 /25/2023 02:31:00
Arrived	08 /25/2023 02:37:00	Arrived	08 /25/2023 02:37:00
Cancelled	-- / -- / -- -- : -- : --	Cancelled	-- / -- / -- -- : -- : --
Cleared Scene	08 /25/2023 11:34:00	Cleared Scene	08 /25/2023 11:34:00
In Quarters	-- / -- / -- -- : -- : --	In Quarters	-- / -- / -- -- : -- : --
In Service	08 /25/2023 12:30:00	In Service	08 /25/2023 12:30:00
Unit	E617	Unit	L624
Type:	Engine	Type:	Truck or aerial
Use:	Suppression	Use:	Suppression
Response Mode:	Lights and Sirens	Response Mode:	Lights and Sirens
# of People	1	# of People	2
Alarm	08 /25/2023 02:29:00	Alarm	08 /25/2023 02:29:00
Dispatched	08 /25/2023 02:29:00	Dispatched	08 /25/2023 02:29:00
Enroute	08 /25/2023 02:41:00	Enroute	08 /25/2023 02:44:00
Arrived	08 /25/2023 02:48:00	Arrived	08 /25/2023 02:53:00
Cancelled	-- / -- / -- -- : -- : --	Cancelled	-- / -- / -- -- : -- : --
Cleared Scene	08 /25/2023 11:34:00	Cleared Scene	08 /25/2023 11:34:00
In Quarters	-- / -- / -- -- : -- : --	In Quarters	-- / -- / -- -- : -- : --
In Service	08 /25/2023 12:30:00	In Service	08 /25/2023 12:30:00
Unit	L614	Unit	T629
Type:	Quint	Type:	Tanker & pumper combination
Use:	Suppression	Use:	Suppression
Response Mode:	Lights and Sirens	Response Mode:	Lights and Sirens
# of People	1	# of People	2
Alarm	08 /25/2023 02:29:00	Alarm	08 /25/2023 02:29:00
Dispatched	08 /25/2023 02:29:00	Dispatched	08 /25/2023 02:29:00
Enroute	08 /25/2023 02:48:00	Enroute	08 /25/2023 02:41:00
Arrived	08 /25/2023 03:03:00	Arrived	08 /25/2023 02:48:00
Cancelled	-- / -- / -- -- : -- : --	Cancelled	-- / -- / -- -- : -- : --
Cleared Scene	08 /25/2023 11:34:00	Cleared Scene	08 /25/2023 11:34:00
In Quarters	-- / -- / -- -- : -- : --	In Quarters	-- / -- / -- -- : -- : --
In Service	08 /25/2023 12:30:00	In Service	08 /25/2023 12:00:00
Unit	E613		
Type:	Engine		
Use:	Suppression		
Response Mode:	Lights and Sirens		
# of People	4		
Alarm	08 /25/2023 02:29:00		
Dispatched	08 /25/2023 02:29:00		
Enroute	08 /25/2023 02:41:00		
Arrived	08 /25/2023 02:48:00		
Cancelled	-- / -- / -- -- : -- : --		
Cleared Scene	08 /25/2023 11:34:00		
In Quarters	-- / -- / -- -- : -- : --		
In Service	08 /25/2023 12:00:00		
Number Of People not on apparatus: 3			

FIRE			
<b>Acres Burned</b>	None or Less Than One	<b>Acres Burn From Wildland Form</b>	False
<b>Area Of Fire Origin</b>	Undetermined	<b>Heat Source</b>	Undetermined
<b>Item First Ignited</b>	Undetermined	<b>Fire Is Confined To Object Of Origin</b>	
<b>Type Of Material</b>	Undetermined	<b>Cause Of Ignition</b>	Failure of equipment or heat source
<b>Factor Contributing To Ignition</b>	Fire spread or control, other		
<b>Human Factors Contributing</b>	None		
<b>Suppression Factors</b>	Building construction or design, other , Roof collapse , Egress/exit problem, other		



STRUCTURE FIRE			
<b>Structure Type</b>	Enclosed building	<b>Building Status</b>	In normal use
<b># Of Stories At Above Grade</b>	4	<b># Of Stories Below Grade</b>	0
<b>Square Feet</b>	1000000	<b>Length</b>	
<b>Width</b>		<b>Floor Of Origin</b>	3
<b>Fire Spread</b>	Beyond building of origin		
<b>Minor Damage</b>	0	<b>Significant Damage</b>	0
<b>Heavy Damage</b>	0	<b>Extreme Damage</b>	0

CUSTOM FIELDS FORM	
<b>Was this a Main Alarm</b>	Yes

## EXHIBIT 6

## **EXPERT DECLARATION OF DR. MICHAELA ALMGREN**

### **I. Background and Qualifications**

1. My name is Michaela Almgren, Pharm.D., M.S. I am over the age of eighteen and competent to testify to the truth of the matters contained herein. The factual statements I make here are true and correct to the best of my knowledge. I hold the opinions expressed in this declaration to reasonable degree of scientific certainty.

2. I am a Clinical Associate Professor in the Department of Clinical Pharmacy and Outcomes Sciences at the University of South Carolina College of Pharmacy. I teach principles of sterile compounding per United States Pharmacopeia (“USP”) Chapters 797 and 800, aseptic technique in drug compounding<sup>1</sup> and pharmacy regulations applicable in a compounding environment run under Section 503B of the Drug Quality and Security Act of 2013, as well as pharmacokinetics and biopharmaceutics courses. I specialize in sterile compounding, medication safety, and pharmacy laws and regulations that relate to pharmacy compounding practices. I also provide continuing education courses for pharmacists in those topics. I received my Doctor of Pharmacy degree from the University of South Carolina College of Pharmacy in 2010. Additionally, I have a Master’s Degree in Pharmaceutical Chemistry from the University of Florida.

3. In conjunction with my academic appointment, I currently maintain a practice site at a 503B<sup>2</sup> outsourcing pharmacy where I perform duties of outsourcing pharmacist, clinical advisor, and pharmacy student preceptor. Previously, I worked in

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<sup>1</sup> Aseptic technique in drug compounding refers to specific practices to avoid physical and microbial contamination when preparing sterile medications that are to be used for parenteral applications, such as IV infusion, injection, etc.

<sup>2</sup> 503B Outsourcing Pharmacy is a compounding pharmacy that produces large batches of sterile products and distributes them directly to health systems pharmacies to address drug shortages, as specified in Section 503B of the FD&C Act.

pharmacy operations in a local large teaching hospital as a pharmacist. I have almost 15 years of experience in sterile compounding and aseptic technique. Prior to joining the faculty at the University of South Carolina, I worked for several years in pharmaceutical manufacturing where I was involved in drug formulation, quality assurance, quality control and analytical method development. A copy of my CV is attached as Exhibit A.

## **II. Referral Questions.**

4. I have been asked by the Federal Community Defender Office for the Eastern District of Pennsylvania (“FCDO”), who represent death-sentenced prisoners in the State of Texas, to submit an expert medical and scientific opinion, based on the information and documentation provided to me, about whether Texas is properly extending the Beyond Use Dates (“BUDs”) on their lethal injection drugs, or if the drugs are in fact expired. The FCDO further asked me to opine on whether there is a risk of harm that can be caused by administration of compounded pentobarbital past its BUD.

## **III. Materials Relied Upon.**

5. I have reviewed the following documents: Texas Department of Criminal Justice (“TDCJ”) Execution Procedure (version published April 2021); order and purchase forms, including DEA Forms 222; and analytical and inventory records reflecting TDCJ’s Pentobarbital ordering and storage from December 2018 to November 2022. I have also reviewed an email that TDCJ sent to the FDCO on November 29<sup>th</sup>, 2022, containing information about the BUDs of pentobarbital currently in the possession of TDCJ.

## **IV. Background Information.**

6. When drugs are commercially manufactured, they undergo extensive quality control testing which assures that they maintain their quality, such as potency and

purity, up to their expiration date. Stability studies are performed to determine if there are any concerns with drug deterioration. Expiration dates are determined using these carefully designed stability studies.

7. Commercially manufactured medications are tested for important quality attributes such as assay, potency, impurities, content uniformity, and other characteristics that are product specific and typically defined for each product in the USP Compendium Monograph for each individual drug. The medications are tested multiple times during the manufacturing process and again when completed and prior to release for sale and distribution using methodologies that are validated according to the USP Compendium Monograph. The manufacturers collect stability data showing that the medications do not degrade before their expiration date. The medication's storage container and the container's closure also undergo extensive integrity testing. Additionally, if these medications are sterile, each batch must undergo extensive sterility testing.

8. When medications are compounded, Active Pharmaceutical Ingredients ("APIs")<sup>3</sup> may be used to prepare them. The compounding is usually done in a pharmacy that specializes in sterile compounding, as specific equipment and personnel training are necessary to prepare the sterile products correctly.

9. Sterile compounding can be performed in a Biological Safety Cabinet or Laminar Airflow Hood and it must follow the strict guidelines of USP Chapter <797>. USP Chapter <797> describes best practices to follow in order to prepare the product aseptically and to keep it sterile, how to sterilize it, how to maintain the compounding environment free

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<sup>3</sup> Active Pharmaceutical Ingredient is typically concentrated drug active ingredients in powder form.

from contamination, how to perform training assessments for the personnel handling the medication preparation, how to determine BUDs of sterile compounded products, etc.

10. BUD refers to Beyond Use Date, or expiry of the compounded product. Because compounded products do not undergo the same extensive quality testing as commercially available products, their expiry or BUD is significantly shorter. While commercially produced medications may have expiry dates measured in months and years, compounded products typically have BUDs specified in days, or even just hours. The APIs used are typically not sterile and the product has to be sterilized at the final stage of compounding. It is important to use APIs from sources that guarantee high quality and offer USP or pharmaceutical grade APIs, as those are most likely to meet all USP quality standards.

11. USP is a compendium of quality requirements, quality specifications, practices, and guidelines to achieve the highest pharmaceutical quality for pharmacy practice as well as to set the standards for the pharmaceutical industry. Chapters 1 through 999 are enforceable by the Food and Drug Administration. Individual states' Boards of Pharmacy may also enforce USP. Texas State Board of Pharmacy has codified language from USP Chapter <797> into its regulations regarding compounded sterile products. A compounding pharmacist should be very familiar with USP Chapter <797> guidelines in order to prepare safe and effective sterile compounded products. If USP Chapter <797> guidance is not followed, it can lead to medication contamination which will cause patient harm and unpredictable drug actions.

**V. The pentobarbital in TDCJ's possession is expired.**

12. It is my understanding that TDCJ intends to execute prisoners by an intravenous ("IV") injection of compounded pentobarbital prepared by an undisclosed compounding pharmacy.

13. According to USP Chapter <797>, sterile medications that are prepared from initially non-sterile components, such as APIs, or using a methodology that potentially causes the preparation to lose sterility, are considered high-risk sterile compounds. The preparation then must be terminally sterilized if it is to be used for parenteral application such as IV bolus injection, or IV infusion.

14. Based on the records I reviewed, it appears that TDCJ is using compounded pentobarbital. Pursuant to USP Chapter <797>, once prepared, this is considered a high-risk sterile compound. All the pentobarbital in TDCJ's possession has already been prepared—meaning that the active ingredient has been compounded and put into a solution for IV injection. If that is the case, all the pentobarbital in TDCJ's possession is expired, as it is far beyond the USP specified BUD.

15. A BUD is defined in USP Chapter <797> as "the date or time after which a compounded sterile product (CSP) shall not be stored or transported." The BUD is determined from the date and time the preparation was compounded. A compounded product's BUD shall be determined as outlined in current USP Chapter <797>, Pharmaceutical Compounding—Sterile Preparations, of the USP/NF 2022 Issue 3. The maximum BUD for high-risk compounded sterile preparations such as the compounded pentobarbital in TDCJ's possession are:

- 24 hours, if stored at room temperature between 20° and 25°C;

- 72 hours, if kept refrigerated at temperature range between 2° and 8°C, or
- 45 days, if kept in a solid, frozen state at temperature range -25° and -10°C.

16. TDCJ receives pentobarbital in two different vials sizes – 50ml and 100ml final volume. It appears that the solution in the vials are of the same concentration – 50mg/ml – regardless of the vial size. Therefore, if prepared as specified, each 50ml vial should contain 2.5 grams of pentobarbital and each 100ml vial should contain 5 grams of pentobarbital.

17. Based on my review of the Huntsville Unit Storage Inventory logs, it appears that TDCJ most recently received 50ml vials of pentobarbital injection solution on March 18, 2021. Those 50ml vials are now (at the time of writing this report, December 2022) more than 630 days old, well over the BUD limit of 24 hours when stored at room temperature as specified in USP Chapter <797> (or 45 days if kept frozen). However, based on the email TDCJ sent on November 29, 2022, those 50ml vials (2.5grams of pentobarbital) have a newly assigned BUD of September 27, 2023.

18. Also, based on my review of the Huntsville Unit Storage Inventory logs, it appears that TDCJ most recently received 100ml vials of pentobarbital injection solution on April 29, 2019. Those 100ml vials are now more than 1,300 days old, well over the BUD limit of 24 hours as specified in USP Chapter <797> (or 45 days if kept frozen). And again, according to the TDCJ email, these 100ml vials (5 grams of pentobarbital) now have a BUD of November 1, 2023. Based on the records I reviewed, the BUDs were extended in contravention of USP.



19. Other preparations in TDCJ's possession may be even older, as the records do not show specific lot numbers, so there is a possibility that some vials in stock could be from previous shipments.

20. A drug that has surpassed its BUD is at risk of stability and sterility failings and may not retain sufficient potency, thus it must not be used. Pharmacological activity of expired medications is unpredictable, but in general the effectiveness will decrease over time. The risk of degradation is even greater if the drug storage conditions are not optimal, as specified in the USP. Some of the drug degradants may have their own pharmacological activity, often times completely different from the original drug action. There is vast evidence in literature pointing to the fact that expired medications should not be used in human patients due to unpredictability of the action, and potential harm, including nausea, vomiting, acute renal failure, and other severe side effects. The FDA also strongly advises against the use of all expired medication. For injectable medications this is even a greater concern, as the pharmacological activity of the drug may decrease significantly when in solution.

**VI. TDCJ improperly extended the expiration date (BUD) of its pentobarbital. The "potency" test results TDCJ obtained cannot be used to extend expiry. The true potency of the drug is not known and needs to be determined.**

21. I have reviewed a set of documents labeled "Laboratory Report" which appear to show that potency testing of the pentobarbital injection was performed in attempt to extend the BUD or drug expiry. However, this approach to extending BUD is completely unscientific and incorrect, and therefore the results are invalid. A stability study should be performed to establish an extended BUD using completely different methodology. It appears that TDCJ's pentobarbital was tested for potency using the High-Performance

Liquid Chromatography (“HPLC”) assay method specified and validated in the USP monograph for pentobarbital injection. This HPLC method is a quantitative test commonly used to determine the amount of the drug in a sample which is freshly prepared/compounded, when there are no concerns about potential degradation. However, this method is not intended to determine stability of pentobarbital, and it may not detect if the drug has deteriorated over time as it is not sufficiently sensitive to detect degradation.

22. The purpose of an assay or potency method listed in the USP Monograph is to verify that the prepared drug contains the correct amount of API, as stated on the drug label, for example 50 milligrams per milliliter. It is appropriate to use this method for quality control purposes, for manufacturing release and product approval when the drug is freshly compounded or manufactured, but not to extend the expiry of the drug. A stability indicating HPLC method needs to be used to determine whether there is any degradation of the drug.

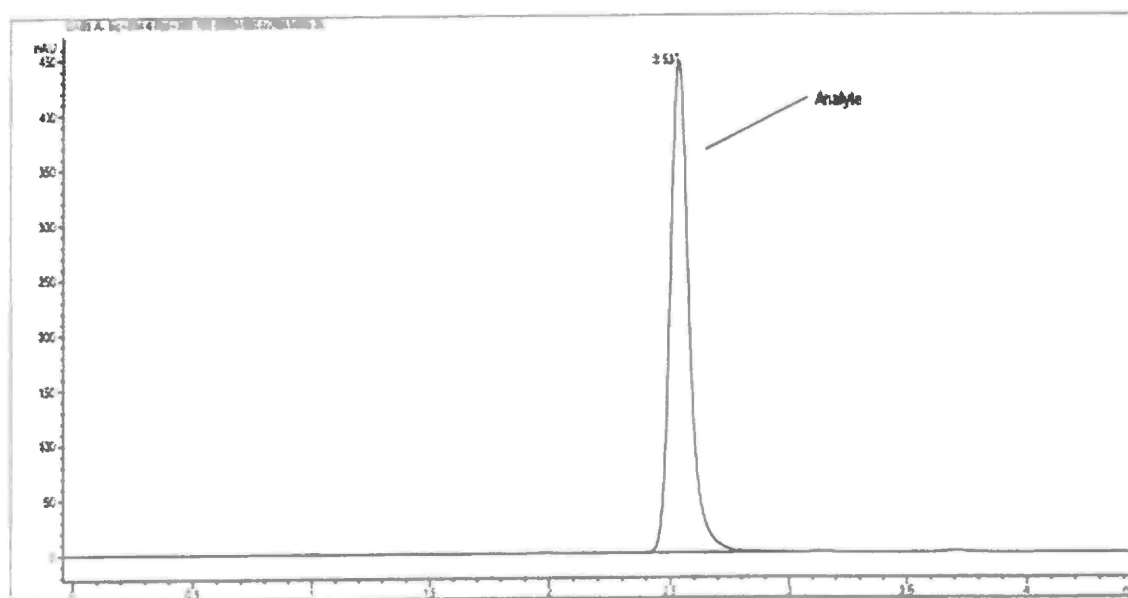
23. The HPLC Assay test method used by the laboratory is not validated for use as a stability-indicating assay. A stability-indicating assay is an analytical method that is capable of separating the API drug peak from degradation residues, which are impurities that form over time. This method is necessary to determine the extent of degradation that happens over time and will show the true potency of the drug. An assay used to release freshly prepared medications does not test for degradation, as there should not be any, thus this type of method is not designed to be sensitive enough to detect any degradants potentially present.

24. Degradation products of the drug can be structurally similar to the drug (API) itself, but their pharmacological action may be completely different. Additionally, the presence of degradants will likely lower the potency of the compounded drug tested (because

some of the drug has degraded), and may change solubility, pH and other parameters of the solution which may also impact the pharmacology and pharmacodynamics of the drug product itself. A change in pH can lead to formation of precipitants and isomers, decrease in drug solubility and other potential changes in the drug's actions.

25. Here is an example of a chromatogram of **non-stability-indicating HPLC** assay method that evaluates the potency of a single API only:

#### Chromatography Example 1:<sup>4</sup>

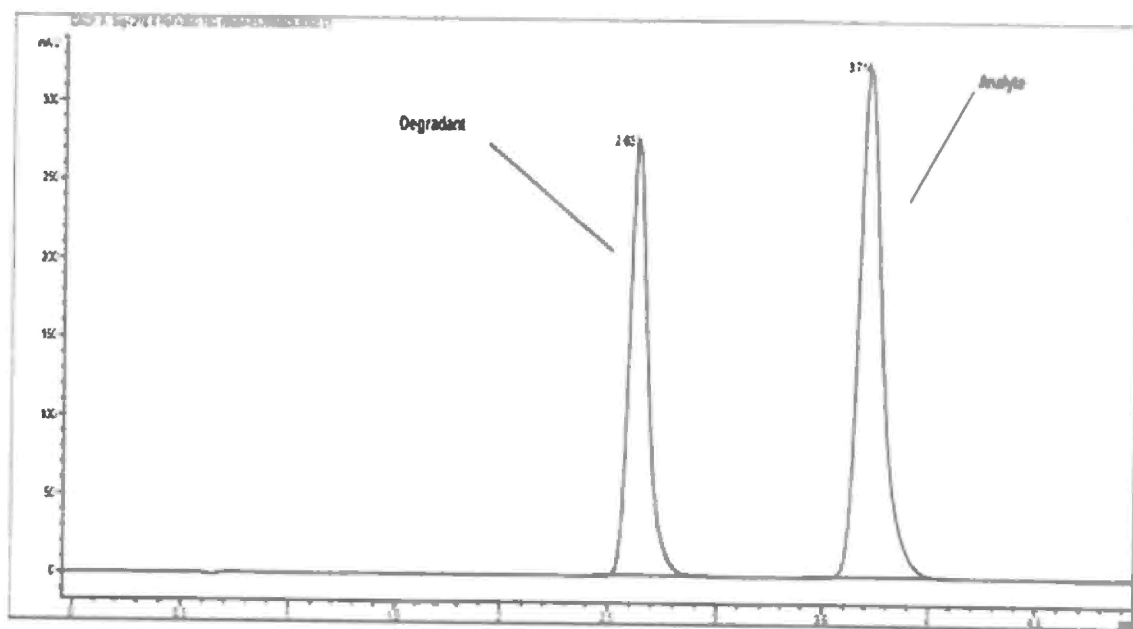


The potency of the drug is calculated based on the area of the peak shown in the chromatography (labeled as Analyte). Due to lower sensitivity of the method only one peak is visible, although other impurities may be present.

26. Here is an example of a chromatogram of the **same drug using stability-indicating method** that shows the presence of degradant in addition to the API (Analyte):

<sup>4</sup> Reference: USP Compounding Expert Committee: Loyd V Allen Jr, PhD, Gus S Bassani, PharmD, Edmund J Elder Jr, PhD, Alan F Parr, PharmD. Strength and Stability Testing for Compounded Preparations.

### Chromatography Example 2:<sup>5</sup>



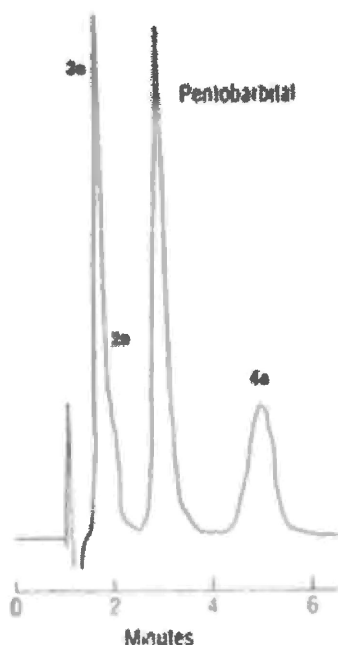
Because the stability-indicating study separated the API peak (labeled Analyte) from the Degradant, the area of the Analyte peak will be smaller and thus the calculated true potency of the drug will be different (lower) in Chromatography Example 2 than the assay result obtained using the less sensitive HPLC assay method in Chromatography Example 1. In other words, the HPLC assay method with lower sensitivity overstates the amount of API present when the sample has degraded over time.

27. Pentobarbital is known to have degradants that form over time. The pentobarbital molecule breaks down into a number of different substances. Pentobarbital's three most commonly identified degradants are: N-(Aminocarbonyl)-2-ethyl-3-methylhexanamide, 2-Ethyl-2-(1-methylbutyl)propanediamide and 2-Allyl-2-(1-methylbutyl)propanediamide. Pharmacology of these structures is poorly understood, but it

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<sup>5</sup> *Id.*

is clear from their chemical structures alone that they do not produce the same pharmacologic effect as pentobarbital. Included below is the chromatography showing stability-indicating assay for pentobarbital:<sup>6</sup>



**Figure 3—Chromatogram of a synthetic mixture of pentobarbital and its degradation products. Compound 2a is N-(aminocarbonyl)-2-carboxy-2-ethyl-3-methylhexanamide; 3a is 2-ethyl-2-(1-methylbutyl)propanediamide; 4a is N-(aminocarbonyl)-2-ethyl-3-methylhexanamide.**

25. Strength and assay testing is designed to determine how much API is in the product, while stability testing is required to extend BUD and to determine the true expiry of the product. The assay test used to assess TDCJ's pentobarbital is unable to detect if degradation of the API has occurred.

26. A stability study is required to properly extend the BUD. Stability studies are used to determine if there are any concerns with drug deterioration over time and are used to establish extended expiry for compounded drugs, beyond the BUD as established in

<sup>6</sup> Reif VD, Kaufmann KL, DeAngelis NJ, Frankhouser MC. Liquid chromatographic assays for barbiturate injections. J Pharm Sci. 1986 Jul;75(7):714-6.

the USP Chapter <797>. Stability studies must be performed to determine if expiry of the drug can be extended beyond the BUD limits specified in USP Chapter <797>. Without performing the adequate stability studies, it is unknown if the compounded drug will perform pharmacologically as expected once it is past its assigned BUD.

27. Stability study requirements are listed and explained in FDA guidance documents and mentioned in USP Chapter <797>. Under the proper FDA protocol, the drug substances are stored in their final containers inside of stability chambers with specified storage conditions (for example 25 degrees C with 60% relative humidity, or 40 degrees C with 75% relative humidity for accelerated studies). Regular testing according to USP specified parameters, which will also include the stability-indicating assay, must be performed to determine if any significant changes in drug quality had occurred. If such changes occur, it signals that the drug is expired, and its pharmacological action cannot be guaranteed. These studies are typically done using multiple samples from multiple batches to assure reproducibility. A stability study determines a proper expiration period. It is completely wrong to test the medication and to predict what the expiry is based on the current data without any stability study results. Additionally, each strength, formulation, and type of container used to store the drug needs to have a separate stability study performed to extend the BUD correctly.

28. TDCJ tests a single vial of pentobarbital for potency and then assumes that the results of that test apply to every vial from a particular batch. Such an assumption is faulty. Even if potency testing were a sufficient basis to extend BUD, which it is not, extending the BUD for all vials based on the test results of a single vial is unscientific and further violates USP requirements.

29. TDCJ also appears to test a single vial of pentobarbital from a 50ml batch and then assume that the results of that test apply to every vial from a different 100ml batch. Such an assumption is also faulty. Extending the BUD for 100ml vials based on testing results from a single 50ml vial of an unrelated batch is likewise unscientific and further violates USP requirements.

30. The process of compounding pentobarbital is rather complex because this drug is not water soluble. Additional ingredients with poor stability profiles such as propylene glycol and alcohol are utilized, and sodium hydroxide and hydrochloric acid are used to adjust pH. The presence of these additional ingredients is a concern as they effect the stability of the solution, play a role in the rate of the API degradation, and thus impact the BUD.

**VII. Not all quality testing as specified by USP Monograph for Pentobarbital Injection was performed.**

31. The USP Monograph, which lists quality attributes for pentobarbital injection, specifies that pH should be tested and be in the range between 9.0 and 10.5. However, none of the analytical reports contain this information. This test result is also important, as the pH can shift over time and impact stability and BUD of the product, as well as solubility of the API. The changes in pH can lead to formation of precipitant. Also, exposure of pentobarbital to pH outside of the acceptable range can lead to quicker breakdown of the pentobarbital molecule itself, producing further degradation, leading to decrease in potency. Additionally, based on the records I reviewed, it does not appear that visual inspection as per USP Chapter <790> has been performed, thus it is not certain that the drug is free and clear of particulate matter.

**VIII. Sterility testing was performed using incorrect methodology and the sterility results are therefore unreliable.**

32. According to the analytical reports I reviewed, ScanRDI technology was used to determine if TDCJ's pentobarbital is contaminated by microorganisms and thus not sterile. But this method for sterility testing is not acceptable by USP as USP <71> is the correct method to be used for sterility testing. Rapid sterility test methods, such as ScanRDI, may not detect all microorganisms that a traditional USP <71> sterility test method would detect. Bacterial contamination of sterile preparations to be used in parenteral applications is an unacceptable practice that can lead to severe harm.

**IX. Questionable recordkeeping and concerning pattern of samples shipping and returning into the stock inventory.**

33. The Huntsville Unit Storage logs raise serious concerns about TDCJ's inventory practices. From the records it appears that the drug vials are occasionally removed from the inventory, shipped out for testing, and then the samples are shipped back from the laboratory and returned to TDCJ's inventory.

34. For example, it appears that a sample (a 100ml vial) was removed from TDCJ's inventory and shipped back to the supplier on September 8, 2020. A lab report indicates that testing was performed on this sample between September 18, 2020, and September 24, 2020. On January 21, 2021, a "Return" is noted in the records showing that a 100ml vial was returned back to the stock. It is not certain where the vial came from and there is a possibility that the vial was used for testing and the remainder of the drug was placed back into the inventory. This practice is completely unacceptable. Once a drug vial is opened to get a sufficient amount of the liquid out for testing, it is considered adulterated and misbranded, as defined in the FD&C Act. When a sterile container is opened in the



laboratory to perform any kind of test, the remainder of the vial must be wasted, as there is a potential for chemical and microbial contamination, decrease in total volume leading to an incomplete dose, and potential for tampering with the drug.

35. A similar situation occurred on November 2, 2020, when a 50ml vial was returned to supplier, followed by the removal of seven additional 50ml vials from the stock. It is not clear why these vials were removed, leaving just one 50ml vial in the inventory. On February 23, 2021, one 50ml vial was received from the supplier and entered onto the inventory.

36. There is also a question about the BUD and how the expired drugs are removed from the inventory. For example, a single 100ml vial was removed from the inventory on May 6, 2020, and labeled as “expired,” but the rest of the 100ml vials were kept in stock. If these vials were all made on the same date, they all should be expired and removed at the same time.

37. The recordkeeping of the pentobarbital inventory lacks fundamental information such as expiry, lot numbers for traceability, explanations for removal and replacement of each vial, and an accounting of who handled the drug vials, in addition to the storage conditions monitoring, which should also be performed on a regular basis.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed on this 12<sup>th</sup> day of December 2022.



Michaela M. Almgren, PharmD, MS



# EXHIBIT A

## **Michaela M. Almgren, PharmD, MS**

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Lexington, SC 29072  
almgren@cop.sc.edu  
(803) 622-5231

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### **EDUCATION**

#### **Doctor of Pharmacy, 2010 *Magna Cum Laude***

South Carolina College of Pharmacy, University of South Carolina, Columbia, SC

#### **Master of Science in Pharmacy, 2010 *Magna Cum Laude***

##### **Pharmaceutical Chemistry (Industrial Pharmacy focus)**

University of Florida, Gainesville, FL

#### **Bachelor of Science, 1997 *Magna Cum Laude, Graduated with Honors***

##### **Major: Biology, Chemistry**

Columbia College of South Carolina, Columbia, SC

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### **EMPLOYMENT HISTORY AND EXPERIENCE**

#### **Clinical Associate Professor**

**University of South Carolina, College of Pharmacy, Columbia, SC**

**August 2013 – present**

- Teach pharmacokinetics and biopharmaceutics lectures.
- Teach pharmacy law and ethics lectures and moderate in-class discussions, including ethics debates .
- Lectures at USC School of Medicine on natural medicine, pain management pharmacology, opioid and non-opioid as well as multimodal analgesia.
- Teach in USC School of Medicine PA program lectures on women's health.
- As a former Institutional Lab Course coordinator taught basic and advanced institutional pharmacy practice focused laboratory courses with focus on sterile compounding and aseptic technique to students in the second year of pharmacy education. Typical class size is 110 students.
- Developed, completely designed and implemented training course content for basic sterile compounding training with focus on USP chapters 797 and 800, and introduction to current institutional pharmacy practice.
- Developed and implemented 6-hour module for student training in 503A versus 503B environment regulations to emphasize critical differences in cGMP (per 21 CFR 210 and 211) versus USP standard requirements.
- Implemented practical assessment criteria for student competency of performing basic sterile compounding procedures according to USP 797 and 800 guidelines to demonstrate and document preparedness for IPPEs and APPEs.

- Revised course content and objectives for the laboratories to meet the ASHP-ACPE Task Force guidelines for entry-level competencies needed for pharmacy practice in hospital and health-systems.
- Enhanced and updated the content of advanced sterile compounding course PHMY 791, including TPN compounding, neonatal TPN formulation and compounding, chemotherapy and hazardous drug compounding, and IV access line introduction and maintenance.
- Introduced hazardous drug handling guidelines and USP 800, with emphasis on student training in utilization of all closed system transfer devices currently available in the U.S.
- Provided competency testing and certification for students to be able to participate in institutional pharmacy practice site sterile compounding activities (media fill testing, fingertip testing).
- Consulting pharmacist, performing duties of a permit holder (Non-dispensing pharmacy permit # 4956) and fully responsible for maintaining the facility, inventory control and daily operations.
- Mentor students in research offering variety of independent study projects.
- Clinical seminar evaluator and student advisor.
- Developed and implemented ACPE accredited course titled *Basic Aseptic Technique* for Kennedy Pharmacy Innovation Center, offering pharmacists and pharmacy technicians 23.5 hours of continuing education credit composed of two-day live hands-on course as well as home study.

**Outsourcing Pharmacist and Clinical Specialist**  
**Preceptor for University of South Carolina College of Pharmacy APPE Program**  
**Nephron Pharmaceuticals Company, West Columbia SC**  
**September 2018 - present**

- Lead number of innovative and research-oriented projects (Yaskawa, Straubli, SteraMist) for manufacturing and outsourcing facility.
- Oversee formulation and filling operations for 503B outsourcing pharmacy.
- Perform product development including scale-ups for product development for outsourcing pharmacy.
- Troubleshoot quality events to develop safe solutions and set clinical limits for quality excursions.
- Develop new standard operating procedures and train staff as needed.
- Provide research information about new products, develop support materials for marketing purposes.
- Answer clinical questions when customers reach out for product guidance.
- Developed and maintain APPE site for 4<sup>th</sup> year pharmacy students, precepting record numbers of students yearly.
- ACTO app (training platform for sales force) management—review of content, provide training information about products.
- Assist with FDA quality inquiry investigations and management.
- Provide information for product development and production planning.
- Provide important information on labeling guidance for new products.
- Provide DocMatter clinician Q and A website support.
- Training of sales force via live lectures, seminars and pre-recorded lectures.

**Hospital Staff Pharmacist**  
**Palmetto Health Richland Hospital Pharmacy, Columbia SC**  
**August 2013 – September 2018**



- Performed duties of staff pharmacist—review orders, medication utilization review, order entry.
- Preparation and checking of sterile and non-sterile medication compounds.
- Medication history pharmacist—collect medication history via patient interviews, perform medication reconciliation, clinical consultations, patient education, medication use evaluation, and medication history consults.
- Maintained USC College of Pharmacy practice site.

**Assistant Professor of Clinical and Pharmaceutical Sciences**  
**South University School of Pharmacy, Columbia, SC**  
**May 2010 -- August 2013**

- Taught lectures in large number of courses in pharmaceutical sciences as well as pharmacy practice in distance education setting, managing two classrooms and collaborating with faculty members located in Savannah, GA. Typical class size was 80 students in the Columbia campus classroom, with 90 additional students at the distant site in Savannah.
- Completely redesigned Pharmaceutical Calculations course structure to flipped classroom model in order to increase effectiveness of teaching, significantly reducing the number of students needing remediation and improving overall test scores in the capstone course.
- Applied several active learning teaching techniques and team-based learning to traditionally taught courses to enhance student learning.
- Developed laboratory exercises to increase student understanding by applying learned material to practice using hands-on experiments.
- Developed and delivered elective course on animal envenomation pharmacology, medicinal chemistry and drug management.
- Taught majority of hospital-related lab coursework including TPN compounding, IV and chemotherapy preparation, and USP<797> training.
- Provided competency testing and certification for students to be able to participate in institutional pharmacy practice site sterile compounding activities (media fill testing, fingertip testing).
- Evaluated student performance of Objectively Structured Clinical Examination (OSCEs).
- Provided APhA certified immunization training for pharmacy students.
- Initiated student chapter of Student Society of Health Systems Pharmacists and guided students to the ASHP national recognition of the chapter.
- Served as faculty advisor for Rho Chi chapter.
- Academic advisor to 30 students per year.
- Faculty advisor to Student Society of Health Systems Pharmacists chapter.
- Research interests: use of complementary medicine in treatment of chronic disease states, smoking cessation and electronic cigarette utilization, new and engaging teaching methods in pharmacy education.
- Precepted Advanced Pharmacy Practice Experience students in elective academia setting.

**Adjunct Faculty, University of Florida Graduate Distance Programs**  
**University of Florida, School of Pharmacy**  
**January 2011-- May 2014**

- Supported distance education learning for UF Masters and Doctorate degree programs.
- Met with students on-line in small group setting as well as large discussion groups.
- Led chat sessions, communicate via email.
- Graded assignments, tests and presentations.

**Consulting/Dispensing Pharmacist PRN****United Healthcare, Lexington, SC****August 2010 - August 2012**

- Performed patient medical chart reviews, clinical monitoring, and managed appropriate drug therapy in accordance with federal and state regulations.
- Evaluated physician medication orders regarding dosage, appropriateness of drug, potential interactions, stability and route of administration.
- Analyzed, retrospectively and prospectively, drug utilization for the institutional drug formulary maintenance.
- Reviewed and checked technician prepared orders for delivery and dispensing.
- Consulted with advanced practitioners, healthcare professionals and managers of pharmaceutical services to develop and implement best working practices.

**Hospital Pharmacy Student Intern****Lexington Medical Center, West Columbia, SC****June 2008 - May 2010**

- Prepared IV compounded medications, interpreted and prepared orders per medications orders in CPOE.
- Ensured proper control and dispensing of narcotics.
- Interacted with clinical pharmacists, physicians, and nurses regarding drug therapy.
- Compounded a wide variety of specialty preparations including chemotherapy and TPN.

**Retail Pharmacy Student Intern****Rite Aid Pharmacy, Columbia, SC****September 2006 – May 2010**

- Accurately interpreted, processed, and filled prescriptions.
- Effectively communicated with physicians' offices and insurance companies regarding patients' pharmacy needs.
- Counseled and answered patients' questions concerning their prescriptions, OTC medications, nutritional supplements, and herbal products.
- Assisted with appropriate recordkeeping to assure compliance with federal and state laws.
- Maintained pharmacy inventory and supplies.
- Provided excellent customer support and follow up.

**Senior Pharmaceutical Formulation Scientist****Pfizer Inc., December 2004 – August 2006**

- Worked with formulation team in determining of yields (actual and theoretical), performed batch production record verification, ingredient review, and conditional quality releases, all per company's SOPs (standard operating procedures) and following guidance of cGMPs.
- Performed OOS (Out-Of-Specifications) investigations and reported process deviations on products not meeting all quality criteria set by QC department (for example, content uniformity, particle size and other quality issues.)
- Collaborated with drug formulation research team in development of new products and their test methods, with focus on natural products, supplements, and vitamins.
- Assisted with development of new medication delivery system of liquid drug products (Licaps), assisting with taking the products through ANDA process.

- Developed and validated methods for analytical testing of raw materials and finished products for QC department to test for identity, purity and strength to meet quality standards set by FDA and USP.
- Assisted with improvements in stability studies, including utilizing USP 71 guidance in new products.
- Supported all activities involving new product transfers, compliance, testing and various manufacturing process validations.
- Authored, updated and edited SOPs for training of new employees, changes in process control as well as laboratory manuals, then trained personnel to assure proper understanding of the methodology and troubleshooting.
- Comfortable with regulatory environment as set by cGMPs per 21CFR 210 and 211, USP, BP, EP, ISO, ICH and FDA regulations.
- Assisted with management of five laboratory technician team.
- Certified emergency responder.

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## OTHER PROFESSIONAL ACTIVITIES

### **Sterile Compounding Committee Volunteer Expert**

#### **SC Board of Pharmacy, Columbia SC**

##### **August 2019-present**

- Provide expertise on sterile compounding practices to the Board of Pharmacy members to help with updating of the assessment forms for inspections of pharmacy facilities.
- Consult members of state legislature on options in regulatory areas of pharmacy practice, specifically in the area of compounding.

### **Expert Witness**

- Area of expertise includes sterile compounding, compounding, pharmacy, pharmacokinetics, USP 797, drug preparation.
- Provide medicolegal consulting for state and federal court cases.
- Analyze evidence provided and consult the legal team with options for further actions.
- Prepare testimony statements, depositions, testify in court.

### **Lexington School District 1 Health Sciences Advisory Committee Member**

- Provide guidance and recommendations on development of health and science related courses in the district's curriculum for high school students.

### **Lexington School District 2 Health Sciences Advisory Committee Member**

- Provide guidance and recommendations on how to initiate and develop health and science related courses in the district's curriculum for high school students.

### **Member of South Carolina Pharmacy Practice Act (SC PPA) Revision taskforce**

- Chair of the committee on compounding section revision: lead a group of professionals to update SC PPA section of sterile and non-sterile compounding
- Member of the group aligning the SC PPA with NABP's Model pharmacy act
- Member of the taskforce working on pharmacy practice expansion



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## **FACULTY APPOINTMENTS AND TEACHING EXPERIENCE**

### **DIDACTIC TEACHING EXPERIENCE**

#### **Clinical Assistant Professor in Department of Clinical Pharmacy and Outcomes Sciences, University of South Carolina, Columbia SC**

##### **August 2013 to present**

- PHMY 885: Pharmacy Law and Ethics (3 credit hours, course coordinator)
- PHMY 790: Pharmacy Skills Laboratory III: Introduction to Health-Systems Pharmacy I (1 credit laboratory course, course coordinator)
- PHMY 791: Pharmacy Skills Laboratory IV: Advanced Health System Pharmacy Practice (1 credit laboratory course, course coordinator)
- PHAR 401: Introduction to Pharmacy as a Profession
- PHMY 710: Biopharmaceutics, Pharmaceutics and Pharmacokinetics (3 credit hours)
- PHMY 999: Clinical Seminar
- PHMY 757: Independent Study

#### **KPIC Master instructor, University of South Carolina, Columbia SC**

##### **August 2014 to March 2015**

- Basic Aseptic Technique course, 23.5 hours of CE, Master instructor
- Advanced Aseptic Technique course 16 live hours of CE, Master instructor

#### **Assistant Professor of Pharmacy**

#### **South University School of Pharmacy, Columbia SC,**

##### **May 2010 to August 2013**

- PHA 4367 Integrated Sequence IV Autonomic Nervous System (Pharmacology and Pharmacotherapy lectures), 8 credit hours
- PHA 3159 Introduction to Integrated Sequence: Basic Pharmacology Modules, Medicinal Chemistry, 6 credit hours
- PHA 3107 Pharmaceutical Calculations (use of pre-recorded lectures and in-class hands-on exercises), 3 credit hours (course coordinator)
- PHA 3113 Pathophysiology I (topics include geriatrics, inflammation, cancer, HIV, immune response), 4 credit hours (course coordinator)
- PHA 3114 Pathophysiology II (topics include autonomic nervous system, wound healing, gout, RA), 4 credit hours
- PHA 3109 Microbiology and Immunology (lectures in immunology, virology), 5 credit hours
- PHA 5335 Animal Venoms and Poisons (developed and implemented this elective), 3 credit hours (course coordinator)
- PHA 5332 Applied Pharmaceutical Care II (topics including, OA, RA, BPH, ED), 4 credit hours
- PHA 4265 Integrated Sequence III Inflammation (Pharmacology and Pharmacotherapy of osteoarthritis, rheumatoid arthritis, gout, wound healing, lupus), 6 credit hours
- PHA 3162 Integrated Sequence I: Introductory Pharmacology and Medicinal Chemistry, 5 credit hours
- PHA 4212 Pharmacokinetics I (Implemented team-based learning), 4 credit hours

- PHA 4228 Pharmacokinetics II (Implemented team-based learning), 4 credit hours
- PHA 3135 Integrated Pharmacy Skills Lab I, 3 credit hours
- PHA 3136 Integrated Pharmacy Skills Lab II, 3 credit hours
- PHA 3137 Integrated Pharmacy Skills Lab III, 3 credit hours
- PHA 4238 Integrated Pharmacy Skills Lab IV, 3 credit hours
- Longitudinal Pharmacy Practice Experiences I – V: PHA 3135, 3163, 4266, 4369, 5330, 1 credit hour, course coordinator

### **Adjunct Faculty, UFL Graduate Distance Programs**

#### **University of Florida, School of Pharmacy, January 2012—April 2016**

- Medicinal Chemistry I
- Fundamentals of Medicinal Chemistry, course coordinator
- Herbal and Dietary Supplements

### **EXPERIENTIALTEACHING EXPERIENCE**

**Advanced Pharmacy Practice Experience (APPE) Elective INDUSTRY—University of South Carolina College of Pharmacy, Preceptor for PharmD students.**

**Advanced Pharmacy Practice Experience (APPE) Academic Rotation—South Carolina College of Pharmacy, Preceptor for PharmD students.**

**Advanced Pharmacy Practice Experience (APPE) Academic Rotation—South University School of Pharmacy, Preceptor for PharmD students.**

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### **COLLEGE OF PHARMACY COMITTEES**

- South University SOP Curriculum Committee, member, chair 2013
- South University SOP Curriculum Subcommittee for Pharmaceutical Calculations course advisory member, 2010-2012
- South University SOP Committee for Professional Outreach, member 2011-2013
- South University SOP Technology Committee, member 2010-2013
- South University SOP ACPE Self-Study and Assessment Committee, member 2012-2013
- South University SOP Admissions Committee, member 2012-2013
- University of South Carolina COP Continuing Education Committee, member 2013-2016
- University of South Carolina COP Search Committee for Lab assistant, chair, 2014-2016
- University of South Carolina COP Curriculum Committee, member 2017-2019
- University of South Carolina COP Admissions Committee, member 2019-present

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### **AWARDS**

2018: SC College of Pharmacy CPOS Department Service Award

2020: SC College of Pharmacy CPOS Department Service Award

2022: University of South Carolina Clinical Teaching Award

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## INVITED LECTURES AND PRESENTATIONS

Almgren M. Mitigation Strategies of COVID-19 in the Workplace. Palmetto Business Forum. Presented Webinar September 13, 2021.

Almgren M. CDB: Exploring Regulations, Trends and a Potential role in Opioid Epidemic. Annual Continuing Education Conference. Presented live April 21st, 2021.

Emelia Beam PharmD, Michaela Almgren, PharmD, MS. Update on COVID19 Vaccines. Nephron Pharmaceuticals, May 3, 2021.

Almgren, M., COVID-19 Prevention Myth vs. Fact: Assessment of Complementary Therapies as Preventative Measures for Safety and Efficacy. SCSHP Fall 2020 Meeting, Columbia, SC, October 2020.

2020 Immunization Update. 1.0 ACPE accredited CE presentation at Nephron Pharmaceuticals, October 2020.

COVID 19 Prevention: Myth versus Fact. 1.0 credit hour ACPE accredited presentation at Nephron Pharmaceuticals Inc., West Columbia, SC June 8<sup>th</sup>, 2020.

Update on COVID19 Vaccines. 1.0 credit hour ACPE accredited presentation at Nephron Pharmaceuticals Inc., West Columbia SC, May 3<sup>rd</sup>, 2021.

M. Almgren. My Path to Pharmacy. CAPPS USC student chapter speaker, February 4th, 2021.

USP Updates in Sterile Compounding. 1.0 credit hour ACPE accredited presentation at Nephron Pharmaceuticals Inc., West Columbia, SC, April 13<sup>th</sup> and 15<sup>th</sup>, 2020.

Multimodal Analgesia Basics. 1.0 credit hour ACPE accredited presentation at Nephron Pharmaceuticals Inc., West Columbia SC, April 1<sup>st</sup> and April 3<sup>rd</sup>, 2020.

COVID19—Separating Facts from Fiction. SC Palmetto Business Forum Quarterly Meeting in Columbia SC, March 9<sup>th</sup>, 2020.

New Approaches to Pain Management: Multimodal Opioid Free Analgesia. 1.0 credit hour ACPE accredited presentation at UofSC COP CE Conference, February 1<sup>st</sup>, 2020.

Medication Safety of Hazardous Drugs: Can We All Be Safe? 1.0 credit hour ACPE accredited CE presentation at SCSHP Fall Meeting in Columbia SC, October 17th 2018.

Review of Sterile Compounding per USP 797. 1.0 credit hour ACPE accredited CE presentation at SCSHP Fall Meeting in Columbia SC, October 17th 2018.

M. Almgren. Current Status and Future Trends in Sterile Compounding as Defined by USP Chapters 797 and 800. 1.0 ACPE Live CE accreditation awarded. SCSHP Annual Meeting March 11-13, 2018, Hilton Head Island, SC.

M. Almgren. Who wants to be a pharmacist? CAPPS USC student chapter speaker, April 11<sup>th</sup>, 2018.

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M. Almgren. Importance of unification of performance protocols for CSTD testing per NIOSH. November 7, 2016, Cincinnati, OH. NIOSH Public Comment meeting, invited speaker.

M. Almgren. Important role of CSTD utilization in compounding of hazardous materials to enhance protection of the compounder. 2016 ASHP Midyear, Las Vegas. Hazardous Drug Task Force speaker for USP 800 implementation.

M. Almgren. Sterile Compounding and Implementation of USP Chapter 797: Where we came from, where we are and where we might be headed. 1.0 ACPE Live CE accreditation awarded. SCSHP Annual Meeting, March 2015, Hilton Head Island, SC.

M. Almgren. Pharmacy school pathways. CAPPS USC student chapter speaker, April 2015.

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## PEER-REVIEWED PUBLICATIONS

**Almgren M.**, Cooper C., Maxwell W., Baker J. Instruction on compounded sterile preparations at U.S. schools of pharmacy—a ten year follow up study. *American Journal of Health-System Pharmacy*, Volume 75, Issue 12, 15 June 2018 Pages 845-847, <https://doi.org/10.2146/ajhp170641>

Textbook chapter: Khazan M., Phillips C., **Almgren M.** "Pharmaceutical Calculations" In: Sutton S. Scott. McGraw Hill's NAPLEX Review Guide. 3rd Edition, McGraw Hill 2018

Textbook chapter: **Almgren M.** "Sterile Compounding Regulations" In: Sutton S. Scott. *McGraw Hill's NAPLEX Review Guide*. 3<sup>rd</sup> Edition.

Karyn I. Cotta, Samit Shah, PhD, RPh, MBA, **Michaela M. Almgren**, PharmD, MS, Lilia Z. Macías-Morality, PhD, MPH, Vicky Mody. Effectiveness of flipped classroom instructional model in teaching pharmaceutical calculations. *Currents in Pharmacy Teaching and Learning*. 2016. Volume 8, Issue 5, Pages 646–653. <https://doi.org/10.1016/j.cptl.2016.06.011>

Braga S, **Almgren M.** Complementary Therapies in Cystic Fibrosis: nutritional supplements and herbal products. *Journal of Pharmacy Practice*. 2013 Feb;26(1):14-7.

Wynn W, **Almgren M**, Stroman R, Clark K. Pharmacist's Toolbox for Smoking Cessation. *Journal of Pharmacy Practice*. 2012 Dec;25(6):591-9.

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## POSTERS WITH ABSTRACTS

Rachel Lehn, BS, PharmD Candidate; Kayla Hutto, BS, PharmD Candidate; Nikki Chen, PharmD Candidate; Lauren Caines, PharmD Candidate; **Michaela Almgren, PharmD, MS.** Comparison of Impact of Facial Coverings Mandate as Mitigation Strategy on Positivity Rates of COVID-19 in a Workplace versus Community Rates Prior to Vaccine Availability. December 2021 ASHP Midyear Virtual Clinical Meeting.

Kara Taylor, PharmD Candidate; Lauren Caines, PharmD Candidate; Cole Colemender, PharmD Candidate; Zach Altenberg, PharmD Candidate; **Michela Almgren, PharmD, MS.**

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Safety Evaluation of a New Container Closure System Design of a Blow Fill Seal Type of IV Bottles. December 2021 ASHP Midyear Virtual Clinical Meeting.

Lauren Caines, PharmD Candidate; Kara Taylor, PharmD Candidate; **Michaela Almgren, PharmD, MS**. Impact of Implementation of Mandatory Facial Coverings as Mitigation Strategy on Rates of Positive Cases of COVID19 in a Workplace Prior to Vaccine Availability. December 2021 ASHP Midyear Virtual Clinical Meeting.

Petscavage Katie, PharmD Candidate; **Almgren Michaela, PharmD, MS**. Assessment of complementary therapies as preventive measures for COVID-19 for safety and efficacy. December 2020 ASHP Midyear Virtual Clinical Meeting. Poster #SP-243.

Aya Ahmed PharmD Candidate; **Michaela Almgren PharmD, MS**; Ryan McCormick PharmD Candidate; Carolyn McNamara PharmD Candidate; Robert Singleton PhD. Establishing a Coronavirus (COVID-19) Testing Lab in 40 Days. December 2020 ASHP Midyear Virtual Clinical Meeting.

Ryan McCormick PharmD Candidate; **Michaela Almgren, PharmD, MS**; Sarah Arnold PharmD Candidate, Madeline Dean PharmD Candidate, Marianna Vinson, PharmD Candidate. Process improvements and validation of a syringe-filling robot through collaboration between pharmacy and engineering student teams. December 2020 ASHP Midyear Virtual Clinical Meeting.

Alexis Caronis, PharmD Candidate 2021; **Michaela Almgren, PharmD, MS**; Samantha Lindeman, PharmD Candidate 2021; Kristen Kilby, PharmD Candidate 2021. Evaluation of medication safety effectiveness training in a workplace environment. 2020 APHA Annual Meeting, Baltimore MD, March 2020.

Caroline Hansen PharmD Candidate; **Michaela Almgren PharmD, MS**; Kristen Kilby PharmD Candidate; Alexis Caronis PharmD Candidate; Ryan McCormick PharmD Candidate; Benjamin Tabor PharmD Candidate. College of Pharmacy and School of Engineering Student Teams' collaboration to design pharmacy compounding system using robotic arm to perform aseptic syringe filling. 2020 SCSHP Annual Meeting, Charleston SC, March 2020.

Alexis Caronis, PharmD Candidate 2021; **Michaela Almgren, PharmD, MS**; Kristen Kilby, PharmD Candidate 2021; Caroline Hansen, PharmD Candidate 2021; Benjamin Tabor, PharmD Candidate 2021; Ryan McCormick, PharmD Candidate 2022. Development of the Masterflex L/S peristaltic pump process validation in a 503B outsourcing pharmacy. 2019 ASHP Midyear Clinical Meeting, Las Vegas, December 2019. Poster #3-445.

Ashton Holley, PharmD Candidate; **Michaela Almgren, PharmD, M.S.**; Normando Sandoval, PharmD Candidate; Priya Patel, PharmD Candidate; Xiaoxia Wang, PharmD Candidate; Lauren Moran, PharmD Candidate. Evaluation of cleaning effectiveness of 7.8% ionized hydrogen peroxide mist versus 7.8% hydrogen peroxide mist in a cleanroom environment. 2019 ASHP Midyear Clinical Meeting, Las Vegas, December 2019. Poster #3-449.

Caroline Hansen PharmD Candidate; **Michaela Almgren PharmD, MS**; Kristen Kilby PharmD Candidate; Alexis Caronis PharmD Candidate; Ryan McCormick PharmD Candidate; Benjamin Tabor PharmD Candidate. College of Pharmacy and School of Engineering Student Teams' collaboration to design pharmacy compounding system using robotic arm to perform aseptic

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syringe filling. 2019 ASHP Midyear Clinical Meeting, Las Vegas, December 2019. Poster #3-432.

Kristen Kilby PharmD Candidate; **Michaela Almgren PharmD, MS**; Alexis Caronis PharmD Candidate; Caroline Hansen PharmD Candidate; Ryan McCormick PharmD Candidate, Benjamin Tabor PharmD Candidate, Noah Smith MBA, PharmD Candidate. Performance comparison of the Baxter repeater pump and the Masterflex peristaltic pump using high flow tubing set L/S 24. 2019 ASHP Midyear Clinical Meeting, Las Vegas, December 2019. Poster #3-446.

Samantha Lindeman, PharmD Candidate 2021; **Michaela Almgren, PharmD, MS**; Alexis Caronis, PharmD Candidate 2021; Kristen Kilby, PharmD Candidate 2021; Noah Smith, PharmD Candidate 2020; Caroline Hansen, PharmD Candidate 2021; Ashton Holley, PharmD Candidate 2021; Priya Patel, PharmD Candidate 2021. Evaluation of naloxone safety effectiveness training in a workplace environment. 2019 ASHP Midyear Clinical Meeting, Las Vegas, December 2019. Poster #3-440.

Tristan Gore, PharmD Candidate 2022. Noah Smith, PharmD Candidate 2020. Dana Nelson, PharmD Candidate 2020. **Michaela Almgren, PharmD, MS**. Incidence and clinical impact of particulate matter in injectable drug products. 2019 ASHP Midyear Clinical Meeting, Las Vegas, December 2019. Poster #3-422.

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Parth Parikh, PharmD. Candidate; Paul Philavong, PharmD Candidate, Sam McCallum, PharmD Candidate, Nhung Nguyen, PharmD Candidate; **Michaela Almgren, PharmD, MS**. Assessing Microbial Growth Rates of Sterile Versus Non-Sterile Gloves Used During Sterile Compounding. 2017 SCSHP Annual Meeting Hilton Head, SC, poster session.

Cotta K, **Almgren M**. "Effectiveness of Blended Teaching Method for Pharmaceutical Calculations." Poster presentation at 2012 AACP Annual meeting in Kissimmee FL.

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## Peer Review/Editorial Boards/Editorships for Journals

Reviewer for AJPE

Reviewed: Prerequisite Courses: Barriers to Pharmacy Admission or the Keys to Student Success?

Reviewer for Currents in Pharmacy Teaching and Learning.

*Curriculum Vitae for Michaela M. Almgren, PharmD, MS*

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Reviewed: Book review of the Handbook on Injectable Drugs

Reviewer for AJHP

Reviewed: Commentary: Impact of revised USP 797 guidance and how we might mitigate risk:  
A real-world example

Reviewer for AJHP

Reviewed: Third Consensus Development Conference on the Safety of Intravenous Drug  
Delivery Systems – 2018

Peer Reviewer for The Joint Commission Journal on Quality and Patient Safety

Reviewer and member of editorial board of Alternative Medicine Studies Journal

Reviewer for Journal of Dietary Supplements

Reviewer for Natural Standard Research Collaboration

Reviewer for Currents in Pharmacy Teaching and Learning

Reviewer for AACP Annual Meeting Research/Education Abstracts for Poster Session

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## PROFESSIONAL AFFILIATIONS

American Pharmacist Association (APhA), 2006-2018

American Society of Consultant Pharmacists (ASCP), 2008-2013

American Society of Health-System Pharmacists (ASHP), 2008-present

- Pain management SIG 2011-2013

SC Pharmacist Association (SCPhA), member 2006-2018

- Professional Affairs committee 2010-2011, 2017-2018
- Legislative Affairs Committee 2011-2012

SC Society of Health Systems Pharmacists member (SCSHP) 2008-present

- Education Committee 2014-2016
- Professional Affairs Committee 2015-2016
- Legislative Committee 2017-2018

American Association of College of Pharmacy (AACP), member 2010-present

- AACP Pharmacy Practice Strategic Plan, Bylaws, and Resolutions Committee member 2018-2020
- Member of the Scholarship Committee of the Curriculum SIG for AACP 2018-2020
- AACP Audit Committee member 2018-present
- House of Delegates representative for USC College of Pharmacy 2017-2018
- AACP Pharmacy Practice Strategic Plan, Bylaws, and Resolutions Committee member 2018-2019
- Lyman Award Committee Member 2012-2013

Parenteral Drug Association Member (PDA) 2019-2022

## EXHIBIT 7



## Research Article

# Stability-Indicating Assay for the Determination of Pentobarbital Sodium in Liquid Formulations

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A stability-indicating assay by reversed-phase high performance liquid chromatography (RP-HPLC) method was developed for the determination of pentobarbital sodium in oral formulations: a drug used for infant sedation in computed tomography (CT) or magnetic resonance imaging (MRI) scan. The chromatographic separation was achieved on a reversed-phase C18 column, using isocratic elution and a detector set at 214 nm. The optimized mobile phase consisted of a 0.01 M potassium buffer pH 3 and methanol (40:60, v/v). The flow rate was 1.0 mL/min and the run time of analysis was 5 min. The linearity of the method was demonstrated in the range of 5 to 250  $\mu\text{g/mL}$  pentobarbital sodium solution ( $r^2 = 0.999$ ). The limit of detection and limit of quantification were 2.10 and 3.97  $\mu\text{g/mL}$ , respectively. The intraday and interday precisions were less than 2.1%. Accuracy of the method ranged from 99.2 to 101.3%. Stability studies indicate that the drug is stable to sunlight and in aqueous solution. Accelerated pentobarbital sodium breakdown by strong alkaline, acidic, or oxidative stress produced noninterfering peaks. This method allows accurate and reliable determination of pentobarbital sodium for drug stability assay in pharmaceutical studies.

## 1. Introduction

Pentobarbital sodium (5-ethyl-5-(1-methylbutyl)-2,4,6(1H, 3H,5H)-pyrimidinetrione, sodium) is a psychoactive drug with short-acting sedative effects in adult and paediatric patients. However, it is not any longer marketed in Europe and in the United States. European drug agencies recently withdraw chloral hydrate, a widely used sedative drug, due to its adverse effects such as mutagenesis [1]. Pentobarbital sodium would be an alternative in paediatric sedative procedures such as in computed tomography or magnetic resonance imaging in infants. Clinical studies reported the effectiveness of pentobarbital sodium sedation and a decreased rate of adverse events as compared to chloral hydrate pre-imaging procedure [2–4]. Both drugs may also produce similar side effects including decreased oxygen saturation, vomiting, and respiratory depression [2–5]. The initial oral dose of sodium pentobarbital in sedation procedure for infants is usually 4–5 mg/kg with a maximum of 8 mg/kg.

If the sedative response is not achieved, one additional 2 mg/kg oral dose can be administered [6]. A literature survey showed that only one liquid chromatography (HPLC) method is reported for the quantitative determination of pentobarbital sodium and some impurities in bulk drug substance and dosage forms with a chromatographic run of 30 min [7]. Drug crystallization could occur in 24 h when the pentobarbital sodium 50 mg/mL in 0.9% sodium chloride solution was further diluted to 10 mg/mL in repackaging polypropylene syringe [8]. More recently, Priest and Geisbuhler reported that injectable pentobarbital sodium was not degraded when stored in dark at room temperature using the HPLC method previously cited [9]. Here, we report a precise, accurate, and robust HPLC stability-indicating assay to assess pentobarbital sodium in oral/liquid compounding formulations which was validated for the first time with oxidative, alkali, and acidic breakdown and a chromatographic run time of 5 min. This assay was validated according to the International Conference on Harmonization [10].

## 2. Material and Method

**2.1. Chemical and Reagents.** Pharmaceutical pentobarbital sodium powder was supplied by Inresa (Bartenheim, France, lot 10026/1111B479). Phenobarbital sodium was used as an internal standard (IS) and was obtained from Sanofi Whin-trop (Maisons-Alfort, France, lot 284). The compounding formulations Inorpha, Ora-Plus, Ora-Sweet, Ora-Sweet SF, Ora-Blend, and Ora-Blend SF were purchased from Inresa (Bartenheim, France, lots 4388549, 4469317, 4378457, 4287617, 4509679, and 4388553, resp.). The analytical grade methanol was obtained from Sigma-Aldrich (Chromasolv, St. Quentin Fallavier, France). Potassium dihydrogen phosphate was obtained from VWR Chemicals (Fontenay sous bois, France). Deionised water was purchased from Fresenius (Verslyene, Sèvres, France).

**2.2. HPLC Instrumentation and Conditions.** The HPLC Dionex Ultimate 3000 system (Thermo Scientific, Villebon sur Yvette, France) contained an integrated solvent and degasser SRD-3200, an analytical pump HPG-3200SD, a thermostated autosampler WPS-3000TSL, a thermostated column compartment TCC-3000SD, and a diode array detector MWD-3000. Data acquisition (e.g., peak time and area) was carried out using in line Chromeleon software (v6.80 SP2) (Thermo Scientific). The eluent was monitored at 214 nm. Chromatographic separation was achieved at 25°C using a reverse phase Nova-Pak C18 column (60 Å, 4 µm, 4.6 mm × 150 mm, Waters, Guyancourt, France). The mobile phase (0.01 M phosphate buffer pH 3: methanol; 40:60 v/v) was pumped at a flow rate of 1.0 mL/min. The injection volume was set at 25 µL.

### 2.3. Preparation of Stock and Standards Solutions

**2.3.1. Pentobarbital Sodium Stock and Working Solutions.** Pentobarbital sodium stock solution (1 mg/mL) was prepared by accurately weighing 100 mg. Volume was made up to the mark with deionised water in 100 mL volumetric flask. A working solution (0.1 mg/mL) was prepared by dilution of the stock solution. The solutions were stored at 2–8°C for 5 days.

**2.3.2. Preparation of the Internal Standard Solution.** Phenobarbital sodium stock solution (1 mg/mL) was prepared by accurately weighing 100 mg. Volume was made up to the mark with deionised water in 100 mL volumetric flask. The stock solution was stored at 2–8°C for 5 days.

**2.3.3. Calibration Standards.** Calibration standards at 5, 10, 20, 50, 100, and 200 µg/mL were freshly prepared using either stock or working solution. These solutions contained IS at 20 µg/mL.

**2.3.4. Quality Control Samples.** Quality control solutions at 8, 15, 30, 80, and 150 µg/mL containing IS (20 µg/mL) were prepared extemporaneously.

### 2.4. Analytical Method Validation

**2.4.1. Linearity.** Appropriate volumes of pentobarbital sodium stock (1 mg/mL) and working (100 µg/mL) standard solutions were diluted with deionised water to yield 5, 10, 20, 50, 100, and 200 µg/mL. Six replicates of each concentration were independently prepared and injected into the chromatograph. The linearity was determined by calculating a regression line from the plot of the peak area ratios of the drug and IS versus concentrations of the drug. Regression analyses were computed for pentobarbital sodium with Chromeleon software. The method was evaluated by determination of the correlation coefficient and intercept values according to the ICH guidelines.

**2.4.2. Limit of Detection and Limit of Quantification.** Limit of detection (LOD) and limit of quantification (LOQ) of pentobarbital sodium assay were determined by calibration curve method. Solutions of pentobarbital sodium were prepared in linearity range and injected in triplicate. Average peak area of three analyses was plotted against concentration. LOD and LOQ were calculated by using the following equations:  $LOD = (3.3 \times S_{yx})/b$ ,  $LOQ = (10.0 \times S_{yx})/b$ , where  $S_{yx}$  is residual variance due to regression;  $b$  is the slope.

**2.4.3. Precision.** The intraday precision was determined by measuring quality control samples of 8, 15, 30, 80, and 150 µg/mL of pentobarbital sodium, injected six times on the same day. The intermediate precision was estimated by injecting quality control samples prepared at the same concentrations on three different days by different operators. The peak area ratios of all injections were taken and standard deviation, % relative standard deviation (RSD), was calculated.

**2.4.4. Accuracy.** Accuracy is tested by the standard addition method at different levels: 25, 50, 80, 100, and 120%. The mean recovery of pentobarbital sodium of the target concentration (50 µg/mL) was calculated and accepted with  $100 \pm 2\%$ .

**2.4.5. Robustness.** HPLC conditions were slightly modified to evaluate the analytical method robustness. These changes (see Table 1) included the flow rate, the detection wavelength, the column temperature, or the methanol proportion in the mobile phase.

**2.4.6. Forced Degradation Study.** Alkaline, acidic, and oxidative stress and direct exposure to sunlight were carried out as reported in Table 2. No internal standard was added in the forced degradation study.

**(1) Alkali Hydrolysis.** Ten mL of pentobarbital stock solution was mixed in a flask with 1 N sodium hydroxide (4 mL) for 1 h at 50°C. Before analysis, the solution was cooled at room temperature and neutralized with hydrochloric acid. The solution was completed with deionised water to reach a targeted concentration of 50 µg/mL in a volumetric flask.

**(2) Acid Hydrolysis.** Ten mL of pentobarbital stock solution was mixed in a flask with 1 N hydrochloric acid (4 mL)



TABLE 1: Robustness.

Parameters	Modification	% recovery	$R_s$	$T_f$ -D	$T_f$ -IS	Plates
Flow rate (mL/min)	1.1	100.3	8.06	1.30	1.34	5503
	1.2	100.2	7.73	1.26	1.27	5155
	1.3	100.2	7.46	1.19	1.38	4779
Wavelength of detection (nm)	218	105.0	8.46	1.27	1.42	6042
	220	103.4	8.43	1.33	1.35	5969
	225	78.5	8.41	1.31	1.42	5848
Column temperature (°C)	25	100.1	8.22	1.30	1.46	5828
	27	100.1	8.02	1.35	1.34	5856
	30	100.0	7.80	1.36	1.32	5944
Methanol in mobile phase	-0.2%	100.0	8.42	1.33	1.38	6002
	+0.2%	100.0	7.33	1.39	1.42	5549

$R_s$ : resolution;  $T_f$ -D: tailing factor of the drug;  $T_f$ -IS: tailing factor of the internal standard.

TABLE 2: Forced degradations studies.

Stress conditions	% remaining	% degradation	Retention time of degraded products
Acidic stress (1 N HCl, 50°C, 1 h)	102.2	—	0.0
High acidic stress (12 N HCl, 50°C, 48 h)	31.8	68.2	0.0
Alkaline stress (1 N NaOH, 50°C, 1 h)	98.4	1.6	0.0
High alkaline stress (10 N NaOH, 50°C, 48 h)	89.8	10.2	1.46, 1.81
Oxidative stress (3%, 50°C, 48 h)	50.9	49.1	1.44
Thermal stress (50°C, 5 days)	89.4	10.6	0.0
High thermal stress (100°C, 1 h)	19.9	80.1	0.0
Direct sunlight (48 h)	95.1	4.9	0.0
Aqueous stability (after 21 days)	99.7	0.3	0.0

for 1 h at 50°C. Before analysis, the solution was cooled at room temperature and neutralized with sodium hydroxide. The solution was completed with deionised water to reach a targeted concentration of 50 µg/mL in a volumetric flask.

(3) *Oxidative Stress*. Ten mL of the pentobarbital stock solution was mixed with 1 mL of 3% hydrogen peroxide and stored at 50°C for 1 h. The solution was cooled and completed with deionised water until the volumetric flask mark to reach a targeted concentration of 50 µg/mL.

(4) *Sunlight Degradation*. Ten mL of the pentobarbital stock solution was transferred into a 200 mL volumetric flask and exposed to direct sunlight for 5 days at room temperature.

The solution was completed to the flask mark with deionised water.

(5) *Thermal Degradation*. Ten mL of stock solution was transferred into volumetric flask (200 mL) and kept in air dry oven at 105°C for 5 h. Then, the solution was cooled and completed to the flask mark with deionised water.

(6) *Hydrolytic Degradation*. Ten mL of pentobarbital stock solution was transferred into a volumetric flask and mixed with 10 mL of deionised water. The solution was heated on water bath for 1 h. Then, the solution was cooled and completed until the 200 mL flask mark with water to reach a hypothetical target concentration of 50 µg/mL.

### 3. Results and Discussion

**3.1. Analytical Development Method.** In order to achieve optimum separation, pentobarbital sodium and IS were injected into different mobile phase solutions mixing phosphate buffer and acetonitrile or phosphate buffer and methanol at different proportions, 70:30, 60:40, 50:50, and 40:60, and pH values, 7, 5, or 3. The retention time and tailing factor along with resolution factor were recorded. As the pKa of pentobarbital is reported to be 8.1, mobile phase with pH 3 was selected. Using the Nova-Pak C18 column, pentobarbital sodium and IS were eluted at 3.5 and 2.2 min, respectively. Column temperature (22–26°C) was found to be not a critical factor of this analysis. The optimum UV absorption of the drug was obtained at 214 nm as there was no interference from excipients present in oral compounding formulations. A typical chromatogram obtained with the present method is depicted in Figure 1.

#### 3.2. Method Validation

**3.2.1. Linearity.** The linearity range of pentobarbital sodium was in the interval of 5–200 µg/mL. These were represented by a mean linear regression equation as follows:  $y = 0.0291x + 0.0378$  with 0.9998 correlation coefficient and regression line was established by least squares method (Table 3).

TABLE 3: Linearity data of the developed method.

Initial conc. ( $\mu\text{g/mL}$ )	Mean peak area $\pm$ S.D. (pentobarbital) ( $n = 6$ )	Mean peak area (IS)	Mean peak ratio	Actual conc. ( $\mu\text{g/mL}$ )	% assay
5	$4.285 \pm 0.020$	$27.805 \pm 0.192$	$0.154 \pm 0.001$	$3.99 \pm 1.29$	79.8
10	$8.637 \pm 0.050$	$27.897 \pm 0.284$	$0.310 \pm 0.001$	$9.33 \pm 1.29$	93.3
20	$17.098 \pm 0.143$	$27.186 \pm 1.093$	$0.630 \pm 0.031$	$20.34 \pm 1.19$	101.7
50	$42.565 \pm 1.147$	$27.983 \pm 0.376$	$1.521 \pm 0.028$	$50.96 \pm 1.20$	101.9
100	$83.084 \pm 1.149$	$27.856 \pm 0.111$	$2.983 \pm 0.047$	$101.19 \pm 1.14$	101.2
200	$164.194 \pm 0.401$	$28.135 \pm 0.253$	$5.836 \pm 0.048$	$199.26 \pm 1.13$	99.6
$y = 0.0291x + 0.0378, r^2 = 0.9998$					

TABLE 4: Precision study of the method.

Nominal conc. ( $\mu\text{g/mL}$ )	Intraday precision			Interday precision		
	Calculated conc. ( $\mu\text{g/mL}$ ), mean $\pm$ SD	Accuracy (%bias)	RSD	Calculated conc. ( $\mu\text{g/mL}$ ), mean $\pm$ SD	Accuracy (%bias)	RSD
8	$8.273 \pm 0.009$	3.42	0.11	$8.181 \pm 0.103$	2.27	1.25
15	$15.280 \pm 0.143$	1.86	0.94	$15.052 \pm 0.208$	0.35	1.38
30	$30.748 \pm 0.632$	2.49	2.06	$30.355 \pm 0.386$	1.18	1.27
80	$81.738 \pm 0.602$	2.17	0.74	$81.257 \pm 1.218$	1.57	1.50
150	$153.569 \pm 0.328$	2.38	0.21	$152.278 \pm 2.139$	1.52	1.41

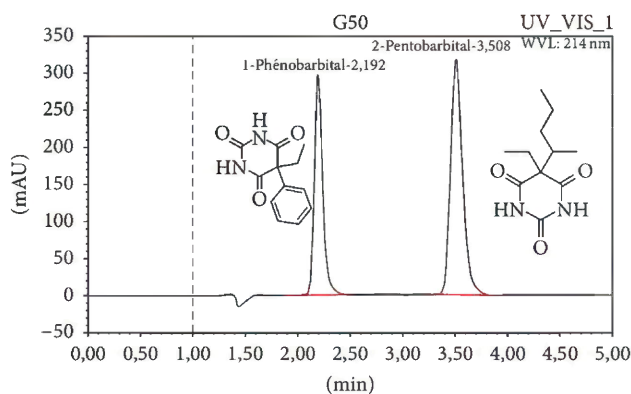


FIGURE 1: Typical chromatogram of pentobarbital sodium and internal standard and their chemical structures.

**3.2.2. Limit of Detection (LOD) and Limit of Quantification (LOQ).** The determined values of LOD and LOQ were 2.103 and  $3.979 \mu\text{g/mL}$  calculated using slope and Y-intercept as per ICH guideline.

**3.2.3. Precision.** The results were obtained for the intraday and interday precision of the method, expressed as RSD values. As shown in the table, the intraday and interday RSD were  $<2.1\%$  for all concentrations tested in different situations studied (Table 4).

**3.2.4. Accuracy.** The percentage recoveries were found to be 99.2 to 101.3% (Table 5). The results of the recovery studies undoubtedly demonstrate accuracy of the proposed method.

TABLE 5: Accuracy of the method.

Standard ( $\mu\text{g/mL}$ )	Added		Found ( $\mu\text{g/mL}$ )	% recovery	RSD
	%	$\mu\text{g/mL}$	Mean $\pm$ SD, $n = 6$	Mean $\pm$ SD	
50	25	62.5	63.29 $\pm$ 0.48	101.27 $\pm$ 0.77	0.77
50	50	75	74.78 $\pm$ 0.56	99.71 $\pm$ 0.75	0.75
50	80	90	89.55 $\pm$ 2.61	99.49 $\pm$ 2.90	2.90
50	100	100	99.23 $\pm$ 1.22	99.23 $\pm$ 1.22	1.22
50	120	110	110.59 $\pm$ 0.28	100.53 $\pm$ 0.25	0.25

**3.2.5. Specificity.** The specificity was estimated by spiking compounding vehicles as Ora-Plus, Ora-Sweet, Ora-Sweet SF, Ora-Blend, Ora-Blend SF, and Inorpha into a preweighed quantity of drug. The specificity study was carried out to check the interference from the excipients used in these vehicles. The chromatogram showed peak for pentobarbital sodium without any interfering peak.

**3.2.6. Robustness.** The robustness of the method was illustrated by getting the resolution ( $R_s$ ), the tailing factor of the drug ( $T_f$ -D), the tailing factor of the internal standard ( $T_f$ -IS), and the number of plates when flow rate, wavelength detection, column temperature, and methanol proportion were slightly changed (Table 1). Table 1 shows that the percent recoveries of pentobarbital sodium were good under most conditions except for the wavelength condition at 225 nm. The deliberate changes in the method do not affect the resolution, tailing factors of drug and IS, and number of plates significantly (Table 1).

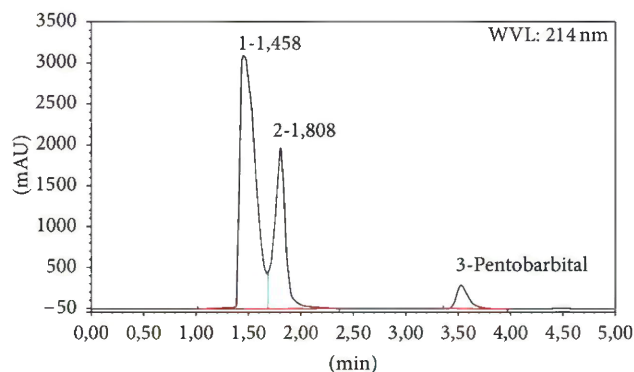


FIGURE 2: Chromatogram of 12 N NaOH treated pentobarbital sodium at 50°C for 48 h.

**3.2.7. System Suitability Parameters.** The system suitability tests were studied before performing the validation and the calculated parameters are within the acceptance criteria. The capacity factor was 1.39, the resolution was 7.65, the selectivity was 1.6, the number of theoretical plates was 5550, the tailing factor ( $T_f$ -D) of drug was 1.30, the tailing factor of internal standard ( $T_f$ -IS) was 1.35, and the RSD of repeatability of injection were <0.3%. Hence, the proposed method was successfully applied to routine analysis.

**3.2.8. Stability of Sample.** Stability of the sample solution was established by storage of the sample solution at refrigerator (2–8°C) for 21 days and at room temperature for 24 h. The results from the solution stability experiments confirmed that the sample solution was stable for up to 21 days at refrigerator and during assay determination.

**3.2.9. Forced Degradation Study.** Forced degradation studies were performed to demonstrate the stability-indicating capability of the proposed HPLC method (Table 2). No degradation of pentobarbital sodium exposed to 1 N HCl, 1 N NaOH, and direct sunlight was observed. Due to this particular stability, high acidic and alkaline stress conditions were performed using 10 N NaOH and 12 N HCl at 50°C for 48 h. A chromatogram of high alkaline hydrolysis performed at 50°C for 48 h showed degradation product peaks at retention times 1.46 and 1.81 min (Figure 2). A chromatogram of oxidative stress performed at 50°C for 48 h showed degradation product peak at retention time 1.44 min (Figure 3). The compound was stable at high temperature (50°C) and in aqueous solution. These statements are in agreement with the 6.5% loss of potency described by Gupta [8] in its pentobarbital preparation boiled for 1.5 h and the complete degradation of pentobarbital in 30 days using combination of high pH and 20% formaldehyde described by Gannet et al. [11].

## 4. Conclusion

This rapid and simple RP-HPLC method was successfully developed for the determination of pentobarbital sodium stability in water solution. The developed analytical method is

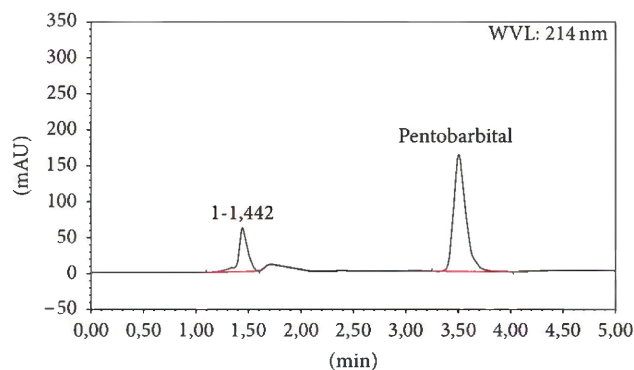


FIGURE 3: Chromatogram of 3% hydrogen peroxide treated pentobarbital sodium at 50°C for 48 h.

precise, accurate, and linear. Forced degradation data proved that the method is specific for the analyte and free from the interference of blank and unknown degradation products. The method is suitable for the analysis of stability samples and the routine analysis of pentobarbital sodium in formulations.

## Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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