

No.

IN THE SUPREME COURT OF THE UNITED STATES

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JOSE LUIS GUZMAN, PETITIONER

v.

STATE OF FLORIDA, RESPONDENT.

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On Petition for a Writ of Certiorari to  
the Fourth District Court of Appeal of Florida

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PETITION FOR A WRIT OF CERTIORARI

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CAREY HAUGHWOUT  
*Public Defender*

Paul Edward Petillo  
*Assistant Public Defender*  
*Counsel of Record*

Office of the Public Defender  
Fifteenth Judicial Circuit of Florida  
421 Third Street  
West Palm Beach, FL 33401  
(561) 355-7600  
ppetillo@pd15.state.fl.us  
appeals@pd15.org

## QUESTION PRESENTED

Whether the Sixth and Fourteenth Amendments guarantee the right to a trial by a 12-person jury when the defendant is charged with a felony?

## RELATED PROCEEDINGS

The proceedings listed below are directly related to the above-captioned case in this Court.

*Guzman v. State*, 350 So. 3d 72 (Fla. 4th DCA 2022).

*Guzman v. State*, No. SC2022-1597 (Fla. June 6, 2023).

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PETITION FOR A WRIT OF CERTIORARI

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Jose Luis Guzman respectfully petitions for a writ of certiorari to review the judgment in this case of Fourth District Court of Appeal of Florida.

OPINION BELOW

The opinion of Florida's Fourth District Court of Appeal is reported as *Guzman v. State*, 350 So. 3d 72 (Fla. 4th DCA 2022) and is reprinted in the appendix. A2. The Florida Supreme Court's order denying review is also reprinted in the appendix. A7.

## JURISDICTION

Florida's Fourth District Court of Appeal affirmed Guzman's conviction and sentence on October 26, 2022. A2. The Florida Supreme Court denied review June 6, 2023. A7. This Court has jurisdiction under 28 U.S.C. § 1257(a).

## CONSTITUTIONAL AND STATUTORY PROVISIONS

The Sixth Amendment of the United States Constitution provides: "In all criminal prosecutions, the accused shall enjoy the right to a speedy and public trial, by an impartial jury of the State and district wherein the crime shall have been committed, which district shall have been previously ascertained by law, and to be informed of the nature and cause of the accusation; to be confronted with the witnesses against him; to have compulsory process for obtaining witnesses in his favor, and to have the Assistance of Counsel for his defence."

Section 1 of the Fourteenth Amendment of the United States Constitution provides: "[N]or shall any State deprive any person of life, liberty, or property, without due process of law...."



## STATEMENT OF THE CASE

Petitioner, Jose Luis Guzman, was convicted by a six-person jury of serious crimes and he was sentenced to life imprisonment. He appealed to Fourth District Court of Appeal of Florida. Relying on *Ramos v. Louisiana*, 206 L. Ed. 2d 583 (2020), he argued that he was entitled under the Sixth and Fourteenth Amendments to a twelve-person jury. The District Court rejected his argument and ruled that it was bound by *Williams v. Florida*, 399 U.S. 78 (1970). *Guzman v. State*, 350 So. 3d 72, 73 (Fla. 4th DCA 2022). In his concurring opinion, Judge Gross said that “*Ramos* . . . suggests that *Williams* was wrongly decided,” that “Guzman has a credible argument that the original public meaning of the Sixth Amendment right to a ‘trial by an impartial jury’ included the right to a 12-person jury,” and that “*Williams* hovers in the legal ether, waiting for further examination by the [United States] Supreme Court.” *Id.* at 78 (emphasis and citations omitted)

The Florida Supreme Court denied review June 6, 2023. *Guzman v. State*, No. SC2022-1597 (Fla. June 6, 2023).

## REASONS FOR GRANTING THE PETITION

### THE REASONING OF *WILLIAMS V. FLORIDA* HAS BEEN REJECTED AND THE CASE SHOULD BE OVERRULED

This Court’s decision in *Williams v. Florida*, 399 U.S. 78 (1970), is impossible to square with the ruling in *Ramos v. Louisiana*, 140 S. Ct. 1390 (2020), that the Sixth Amendment’s “trial by an impartial jury” requirement encompasses what the term “meant at the Sixth Amendment’s adoption,” *id.* at 1395. What the term meant was a jury of twelve. As this Court stated in *Ramos*, Blackstone recognized that under the common law, “no person could be found guilty of a serious crime unless ‘the truth of every accusation . . . should . . . be confirmed by the unanimous suffrage of twelve of his equals and neighbors[.]’” 140 S. Ct. at 1395. “A ‘verdict, taken from eleven, was no verdict’ at all.” *Id.*

This Court said in *Thompson v. Utah*, 170 U.S. 343, 349-350 (1898), that since the time of Magna Carta, the word “jury” had been understood to mean a body of twelve people. Given that that understanding had been accepted since 1215, the Court reasoned, “[i]t must” have been “that the word ‘jury’” in the Sixth Amendment was “placed in the constitution of the United States with reference to [that] meaning affixed to [it].” *Id.* at 350.

This Court continued to cite the basic principle that the Sixth Amendment requires a twelve-person jury in criminal cases for seventy more years. In 1900, the Court explained that “there [could] be no doubt” “[t]hat a jury composed, as at common law, of twelve jurors was intended by the Sixth Amendment to the Federal Constitution.” *Maxwell v. Dow*, 176 U.S. 581, 586 (1900). Thirty years later, this

Court reiterated that it was “not open to question” that “the phrase ‘trial by jury’” in the Constitution incorporated juries’ “essential elements” as “they were recognized in this country and England,” including the requirement that they “consist of twelve men, neither more nor less.” *Patton v. United States*, 281 U.S. 276, 288 (1930). And as recently as 1968, the Court remarked that “by the time our Constitution was written, jury trial in criminal cases had been in existence for several centuries and carried impressive credentials traced by many to Magna Carta,” such as the necessary inclusion of twelve members. *Duncan v. Louisiana*, 391 U.S. 145, 151-152 (1968).

In 1970, however, the *Williams* Court overruled this line of precedent in a decision that Justice Harlan described as “stripping off the livery of history from the jury trial” and ignoring both “the intent of the Framers” and the Court’s long held understanding that constitutional “provisions are framed in the language of the English common law [] and ... read in the light of its history.” *Baldwin v. New York*, 399 U.S. 117, 122-123 (1970) (citation omitted) (Harlan, J., concurring in the result in *Williams*). Indeed, *Williams* recognized that the Framers “may well” have had “the usual expectation” in drafting the Sixth Amendment “that the jury would consist of 12” members. *Williams*, 399 U.S. at 98-99. But *Williams* concluded that such “purely historical considerations” were not dispositive. *Id.* at 99. Rather, the Court focused on the “function” that the jury plays in the Constitution, concluding that the “essential feature” of a jury is it leaves justice to the “commonsense judgment of a group of laymen” and thus allows “guilt or innocence” to be

determined via “community participation and [with] shared responsibility.” *Id.* at 100-01. According to the *Williams* Court, both “currently available evidence [and] theory” suggested that function could just as easily be performed with six jurors as with twelve. *Id.* at 101-102 & n.48; *cf. Burch v. Louisiana*, 441 U.S. 130, 137 (1979) (acknowledging that *Williams* and its progeny “departed from the strictly historical requirements of jury trial”).

*Williams’s* ruling that the Sixth Amendment (as incorporated to the States by the Fourteenth) permits a six-person jury cannot stand in light of *Ramos*. There, this Court held that the Sixth Amendment requires a unanimous verdict to convict a defendant of a serious offense. In reaching that conclusion, the *Ramos* Court overturned *Apodaca v. Oregon*, 406 U.S. 404 (1972), a decision that it faulted for “subject[ing] the ancient guarantee of a unanimous jury verdict to its own functionalist assessment.” 140 S. Ct. at 1401-1402.

That reasoning undermines *Williams* as well. *Ramos* rejected the same kind of “cost-benefit analysis” this Court undertook in *Williams*, observing that it is not the Court’s role to “distinguish between the historic features of common law jury trials that (we think) serve ‘important enough functions to migrate silently into the Sixth Amendment and those that don’t.’” 140 S. Ct. at 1400-01. Rather, the *Ramos* Court explained, the question is whether “at the time of the Sixth Amendment’s adoption, the right to trial by jury included” the particular feature at issue. *Id.* at 1402. As the history summarized above establishes, there can be no serious doubt that the common understanding of the jury trial during the Revolutionary War era

was that twelve jurors were required—“a verdict, taken from eleven, was no verdict at all.” *See* 140 S. Ct. at 1395 (quotation marks omitted).

Even setting aside *Williams*’s disfavored functionalist logic, its ruling suffered from another flaw: it was based on research that was out of date shortly after the opinion issued. Specifically, the *Williams* Court “[ou]nd little reason to think” that the goals of the jury guarantee—including, among others, “to provide a fair possibility for obtaining a representative[] cross-section of the community”—“are in any meaningful sense less likely to be achieved when the jury numbers six, than when it numbers 12.” *Id.* at 100. The Court theorized that “in practice the difference between the 12-man and the six-man jury in terms of the cross-section of the community represented seems likely to be negligible.” *Id.* at 102.

In the time since *Williams*, that determination has proven incorrect. This Court acknowledged as much eight years later in *Ballew v. Georgia*, 435 U.S. 223 (1978), when it concluded that the Sixth Amendment barred the use of a five-person jury. Although *Ballew* did not overturn *Williams*, the *Ballew* Court observed that empirical studies conducted in the handful of intervening years highlighted several problems with *Williams*’ assumptions. For example, *Ballew* noted that more recent research showed that (1) “smaller juries are less likely to foster effective group deliberation,” *id.* at 233, (2) smaller juries may be less accurate and cause “increasing inconsistency” in verdict results, *id.* at 234, (3) the chance for hung juries decreases with smaller juries, disproportionately harming the defendant, *id.* at 236; and (4) decreasing jury sizes “foretell[] problems ... for the representation of

minority groups in the community,” undermining a jury’s likelihood of being “truly representative of the community,” *id.* at 236-37. Moreover, the *Ballew* Court “admit[ted]” that it “d[id] not pretend to discern a clear line between six members and five,” effectively acknowledging that the studies it relied on also cast doubt on the effectiveness of the six-member jury. *Id.* at 239; *see also id.* at 245-46 (Powell, J.) (agreeing that five-member juries are unconstitutional, while acknowledging that “the line between five- and six-member juries is difficult to justify”).

Post-*Ballew* research has further undermined *Williams*. Current empirical evidence indicates that “reducing jury size inevitably has a drastic effect on the representation of minority group members on the jury.” Diamond et al., *Achieving Diversity on the Jury: Jury Size and the Peremptory Challenge*, 6 J. of Empirical Legal Stud. 425, 427 (Sept. 2009); *see also* Higginbotham et al., *Better by the Dozen: Bringing Back the Twelve-Person Civil Jury*, 104 *Judicature* 47, 52 (Summer 2020) (“Larger juries are also more inclusive and more representative of the community. ... In reality, cutting the size of the jury dramatically increases the chance of excluding minorities.”). Because “the 12-member jury produces significantly greater heterogeneity than does the six-member jury,” Diamond et al., *Achieving Diversity on the Jury*, *supra*, at 449, it increases “the opportunity for meaningful and appropriate representation” and helps ensure that juries “represent adequately a cross-section of the community.” *Ballew*, 435 U.S. at 237.

Other important considerations also weigh in favor of the twelve-member jury. Studies indicate that twelve-member juries deliberate longer, recall evidence

better, and rely less on irrelevant factors during deliberation. *See* Smith & Saks, *The Case for Overturning Williams v. Florida and the Six-Person Jury*, 60 Fla. L. Rev. 441, 465 (2008). Minority views are also more likely to be thoroughly expressed in a larger jury, as “having a large minority helps make the minority subgroup more influential,” and, unsurprisingly, “the chance of minority members having allies is greater on a twelve-person jury.” *Id.* at 466. Finally, larger juries deliver more predictable results. In the civil context, for example, “[s]ix-person juries are four times more likely to return extremely high or low damage awards compared to the average.” Higginbotham et al., *Better by the Dozen, supra*, at 52.

In rejecting Guzman’s argument, the District Court cited the Arizona case of *State v. Khorrami*, 1 CA-CR 20-0088, 2021 WL 3197499 (Ariz. Ct. App. July 29, 2021). At the time of the District Court’s decision, Khorrami’s petition for writ of certiorari was pending in this Court. Khorrami’s petition was denied, over dissents by Justices Kavanaugh and Gorsuch. *Khorrami v. Arizona*, No, 21-1553, 2022 WL 16726030 (U.S. Nov. 7, 2022).

Although there is no legal significance to the denial of a petition for writ of certiorari,<sup>1</sup> there are important differences between Florida’s and Arizona’s systems. In Arizona, criminal defendants are guaranteed “a twelve-person jury in cases when the sentence authorized by law is death or imprisonment for thirty years or more. . . . Otherwise, a criminal defendant may be tried with an eight-

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<sup>1</sup> *See Ramos*, 140 S. Ct. 1390 at n.56 (“The significance of a denial of a petition for certiorari ought no longer require discussion. This Court has said again and again and again that such a denial has no legal significance whatever bearing on the merits of the claim.”) (cleaned up).

person jury.” *State v. Khorrami*, 2021 WL 3197499, at \*8 (citations omitted). Florida juries are smaller (six versus eight), and those smaller juries are mandated in every case except capital cases. Art. I, § 22, Fla. Const.; § 913.10, Fla. Stat.; Fla. R. Crim. P. 3.270.

More importantly, the history of Florida’s rule can be traced to the Jim Crow era. Justice Gorsuch observed that “[d]uring the Jim Crow era, some States restricted the size of juries and abandoned the demand for a unanimous verdict as part of a deliberate and systematic effort to suppress minority voices in public affairs.” *Khorrami v. Arizona*, 2022 WL 16726030, at \*5 (Gorsuch, J., dissenting) (citations omitted). He noted, however, that Arizona’s law was likely motivated by costs not race. *Id.* But Florida’s jury of six did arise in that Jim Crow era context of a “deliberate and systematic effort to suppress minority voices in public affairs.” *Id.* The historical background is as follows:

In 1875, the Jury Clause of the 1868 constitution was amended to provide that the number of jurors “for the trial of causes in any court may be fixed by law.” *See Florida Fertilizer & Mfg. Co. v. Boswell*, 34 So. 241, 241 (Fla. 1903). The common law rule of a jury of twelve was still kept in Florida while federal troops remained in the state. There was no provision for a jury of less than twelve until the Legislature enacted a provision specifying a jury of six in Chapter 3010, section 6, Laws of Florida (1877). *See Gibson v. State*, 16 Fla. 291, 297-98 (1877); *Florida Fertilizer*, 34 So. at 241.

The Florida Legislature enacted chapter 3010 with the jury-of-six provision



on February 17, 1877. *Gibson*, 16 Fla. 294. This was less than a month after the last federal troops were withdrawn from Florida in January 1877. See Jerrell H. Shofner, *Reconstruction and Renewal, 1865-1877*, in *The History of Florida* 273 (Michael Gannon, ed., first paperback edition 2018) (“there were [no federal troops] in Florida after 23 January 1877”).

The jury-of-six thus first saw light at the birth of the Jim Crow era as former Confederates regained power in southern states and state prosecutors made a concerted effort to prevent blacks from serving on jurors.

On its face the 1868 constitution extended the franchise to black men. But the historical context shows that that it was part of the overall resistance to Reconstruction efforts to protect the rights of black citizens. The constitution was the product of a remarkable series of events including a coup in which leaders of the white southern (or native) faction took possession of the assembly hall in the middle of the night, excluding Radical Republican delegates from the proceedings. See Richard L. Hume, *Membership of the Florida Constitutional Convention of 1868: A Case Study of Republican Factionalism in the Reconstruction South*, 51 Fla. Hist. Q. 1, 5-6 (1972); Shofner at 266. A reconciliation was effected as the “outside” whites “united with the majority of the body’s native whites to frame a constitution designed to continue white dominance.” Hume at 15.

The purpose of the resulting constitution was spelled out by Harrison Reed, a leader of the prevailing faction and the first governor elected under the 1868 constitution, who wrote to Senator Yulee that the new constitution was constructed

to bar blacks from legislative office: “Under our Constitution the Judiciary & State officers will be appointed & the apportionment will prevent a negro legislature.” Hume, 15-16. *See also* Shofner 266.

Smaller juries and non-unanimous verdicts were part of a Jim Crow era effort “to suppress minority voices in public affairs.” *Khorrami v. Arizona*, 2022 WL 16726030, at \*5 (Gorsuch, J., dissenting); *see also Ramos*, 140 S. Ct. at 1417 (Kavanaugh, J., concurring) (non-unanimity was enacted “as one pillar of a comprehensive and brutal program of racist Jim Crow measures against African-Americans, especially in voting and jury service.”). The history of Florida’s jury of six arises from the same historical context.

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“[I]n *Ramos v. Louisiana*, the Court explained why *Apodaca* was wrong; and, by unavoidable implication, why *Williams* must be wrong.” *State v. West*, 30 Fla. L. Weekly Supp. 607a (Fla. 11th Cir. Dec. 2, 2022). This Court should grant the petition to correct this mistake.

CONCLUSION

The petition for a writ of certiorari should be granted.

Respectfully submitted,

CAREY HAUGHWOUT  
*Public Defender*

PAUL EDWARD PETILLO  
*Assistant Public Defender*  
*Counsel of Record*

Office of the Public Defender  
Fifteenth Judicial Circuit of Florida  
421 Third Street  
West Palm Beach, FL 33401  
(561) 355-7600  
ppetillo@pd15.state.fl.us  
appeals@pd15.org

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