

CERTIFICATE OF COMPLIANCE

Case No.

Caption: Smith v. United States Securities and
Exchange Commission

As required by Supreme Court Rule 33.1(h),
I certify that the document contains 7,365 words,
excluding the parts of the document that are
exempted by Supreme Court Rule 33.1(d).

I declare under penalty of perjury that the
foregoing is true and correct.

Executed on September 11, 2023.

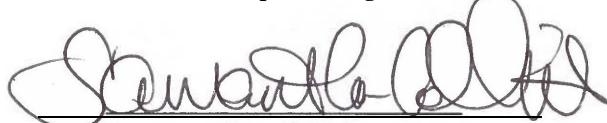


Carolyn Adkins
Record Press, Inc.

Sworn to before me on

September 11, 2023

Samantha Collins
Notary Public, State of New York
No. 01CO6437788
Qualified in Kings County
Commission Expires August 1, 2026



Notary Public