

CERTIFICATE OF COMPLIANCE

Case No. _____

Caption: *John M. Fife v. Financial Industry
Regulatory Authority, Inc.*

As required by Supreme Court Rule 33.1(h), I certify that the document contains 8,015 words, excluding the parts of the document that are exempted by Supreme Court Rule 33.1(d).

I declare under penalty of perjury that the foregoing is true and correct.

Executed on March 20, 2023.

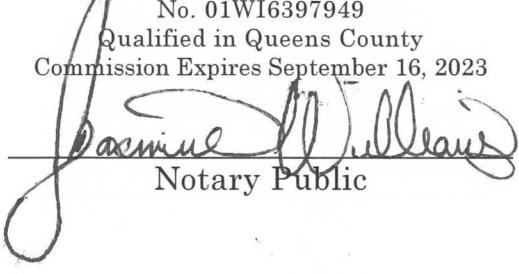


Nadia Oswald-Hamid
Record Press, Inc.

Sworn to before me on

March 20, 2023

JASMINE WILLIAMS
Notary Public, State of New York
No. 01WI6397949
Qualified in Queens County
Commission Expires September 16, 2023



Notary Public