

**APPENDIX**

**DECISION OF THE NINTH CIRCUIT COURT OF APPEALS**

**ATTACHED**

**FILED**

**NOT FOR PUBLICATION**

JUL 7 2022

**UNITED STATES COURT OF APPEALS**

MOLLY C. DWYER, CLERK  
U.S. COURT OF APPEALS

**FOR THE NINTH CIRCUIT**

**S. PATRICK MENDEL,**

Plaintiff-Appellant,

v.

LIANE RANDOLPH, in her individual and official capacity as Commissioner, California Public Utilities Commission; CLIFFORD RECHTSCHFFEN, in his individual and official capacity as Commissioner, California Public Utilities Commission; MARTHA GUZMAN ACEVES, in her individual and official capacity as Commissioner, California Public Utilities Commission; MARITZA PEREZ, in her individual and official capacity as Section Supervisor Badge #11 Transportationb License Section California Public Utilities Commission; CALIFORNIA PUBLIC UTILITIES COMMISSION; UBER TECHNOLOGIES, INC.; RAISER-CA LLC; UBER USA, LLC; TRAVIS KALANICK, Board Member, former CEO; CITY AND COUNTY OF SAN FRANCISCO; LICHTEN & LISS-RIORDAN P.C., a law firm; SHANNON

**No. 21-15910**

**D.C. No. 4:19-cv-03244-JST**

**MEMORANDUM\***

---

\* This disposition is not appropriate for publication and is not precedent except as provided by Ninth Circuit Rule 36-3.

LISS-RIORDAN, Attorney of the law firm  
Lichten & Liss-Riordan, PC; ADELAIDE  
PAGANO, Attorney of the law form  
Lichten & Liss-Riordan, P.C.; ANNE  
KRAMER, Attorney of the law firm  
Lichten & Liss-Riordan, P.C.,

Defendants-Appellees,  
and

ELAINE L. CHAO, in her official capacity  
as U.S. Secretary of Transportation;  
XAVIER BECERRA, in his official  
capacity as Attorney General of the State  
of California; RAYMOND MARTINEZ,  
in his official capacity as Adminisrator,  
Federal Motor Carrier Safety  
Administration; LORETTA BITNER, in  
her official capacity as Chief, Office of  
Enforcement and Compliance, Federal  
Motor Carrier Safety Administration;  
MICHAEL PICKER, in his individual and  
official capacity as President,  
Commissioner, California Public Utilities  
Commission; CARLA J. PETERMAN, in  
her individual and Official Capacity as  
Commissioner, California Public Utilities  
Commission; GARRETT CAMP, Board  
Member and Founder; RYAN GRAVES,  
Board Member, former CEO; LYFT, INC.;  
LOGAN GREEN, CEO of Lyft and Board  
Member; JOHN ZIMMER, President of  
Lyft and Board Member; WILLIAM P.  
BARR, Attorney General; SIDNEY R.  
THOMAS, Chief United States Circuit  
Judge of the United States Court of  
Appeals for the Ninth Circuit, in his

individual and official Administrative capacity; PHYLLIS J. HAMILTON, Chief District Judge, in her individual and official Administrative Capacity of the United States District Court of the Northern District of California; JOSEPH J. SIMONS, in his official capacity as Chairman, Federal Trade Commission,

Defendants.

Appeal from the United States District Court  
for the Northern District of California  
Jon S. Tigar, District Judge, Presiding

Submitted July 6, 2022 \*\*  
San Francisco, California

Before: WALLACE, FERNANDEZ, and SILVERMAN, Circuit Judges.

Plaintiff S. Patrick Mendel appeals pro se from the district court's order dismissing with prejudice his civil action against the Uber Defendants,<sup>1</sup> the

---

\*\* The panel unanimously concludes this case is suitable for decision without oral argument. *See Fed. R. App. P. 34(a)(2).*

<sup>1</sup> Uber Technologies, Inc. (Uber); Rasier-CA, LLC; Uber USA, LLC; Travis Kalanick; Garrett Camp; Ryan Graves; Derek Anthony West; Scott Schools.

California Public Utilities Commission (CPUC) Defendants,<sup>2</sup> the City Defendants,<sup>3</sup> and the LLR Defendants.<sup>4</sup> Mendel alleged a variety of federal claims arising from the purported unlawfulness of the Uber Defendants' ridesharing business model and certain taxes, permits, and fees required by local authorities. We review de novo,<sup>5</sup> and we affirm.

The district court properly dismissed Mendel's federal claims against the Uber Defendants because they were barred by res judicata arising from the judgment in *Overton v. Uber Technologies, Inc.*, 333 F. Supp. 3d 927, 952 (N.D. Cal. 2018). See *Tahoe-Sierra Pres. Council, Inc. v. Tahoe Reg'l Plan. Agency*, 322 F.3d 1064, 1077–78 (9th Cir. 2003). Mendel's claims that Uber was violating federal motor carrier and antitrust laws were "based on the same nucleus of facts" as the claims adjudicated in *Overton*. *Tahoe-Sierra*, 322 F.3d at 1078.

---

<sup>2</sup> Marybel Batjer; Martha Guzman Aceves; Liane M. Randolph; Clifford Rechtscheffen; Genevieve Shiroma; Michael Picker; Carla Peterman; Maritza Perez.

<sup>3</sup> City and County of San Francisco and Ivar C. Satero.

<sup>4</sup> Shannon Liss-Riordan; Adelaide Pagano; Anne Kramer; Lichten & Liss-Riordan P.C.

<sup>5</sup> *Stewart v. U.S. Bancorp*, 297 F.3d 953, 956 (9th Cir. 2002) (res judicata); *Gingery v. City of Glendale*, 831 F.3d 1222, 1226 (9th Cir. 2016) (failure to state a claim); *Kendall v. Visa U.S.A., Inc.*, 518 F.3d 1042, 1050 (9th Cir. 2008) (issue preclusion).

The district court also properly dismissed Mendel's claims against the CPUC Defendants as barred by res judicata arising from the *Overton* judgment. Both suits alleged that certain CPUC programs and fees violated federal law, and those claims were already rejected by *Overton*. 333 F. Supp. 3d at 935–36, 938–42; *see Tahoe-Sierra*, 322 F.3d at 1077–78. That Mendel's permit was suspended for non-payment of fees after the *Overton* judgment was rendered is simply a new alleged damage from the supposedly-unlawful earlier conduct and does not defeat the application of res judicata. *See Int'l Techs. Consultants, Inc. v. Pilkington PLC*, 137 F.3d 1382, 1388–89 (9th Cir. 1998); *cf. Lawlor v. Nat'l Screen Serv. Corp.*, 349 U.S. 322, 327–28, 75 S. Ct. 865, 868–69, 99 L. Ed. 1122 (1955) (new antitrust violations were committed after prior judgment).

The district court properly dismissed Mendel's claims against the City Defendants for failing to state cognizable claims. *See* Fed. R. Civ. P. 12(b)(6). Mendel did not adequately plead that federal laws regulating interstate commerce or transportation between states apply to the transportation services he provides. *See* U.S. Const. art. I, § 8, cl. 3; 49 U.S.C. §§ 14501(d)(1), 14505; *United States v. Yellow Cab Co.*, 332 U.S. 218, 230–32, 67 S. Ct. 1560, 1566–67, 91 L. Ed. 2010 (1947), *overruled on other grounds by Copperweld Corp. v. Indep. Tube Corp.*, 467 U.S. 752, 759–60, 104 S. Ct. 2731, 2735–36, 81 L. Ed. 2d 628 (1984);

*Capriole v. Uber Techs., Inc.*, 7 F.4th 854, 863–64 (9th Cir. 2021); *see also Altria Grp., Inc. v. Good*, 555 U.S. 70, 76–77, 129 S. Ct. 538, 543, 172 L. Ed. 2d 398 (2008) (preemptive scope of federal law); *Rocky Mountain Farmers Union v. Corey*, 730 F.3d 1070, 1087–88 (9th Cir. 2013) (dormant Commerce Clause); *Alamo Rent-A-Car, Inc. v. City of Palm Springs*, 955 F.2d 30, 30–31 (9th Cir. 1991) (per curiam) (airport access fee schedule did not discriminate against interstate commerce).

The district court also properly dismissed Mendel’s California breach of fiduciary duty claim against the LLR Defendants. *See Stanley v. Richmond*, 41 Cal. Rptr. 2d 768, 776 (Ct. App. 1995). Mendel’s claim premised on the LLR Defendants’ conduct in *O’Connor v. Uber Technologies, Inc.*, No. 13-cv-03826-EMC, 2019 WL 4394401, at \*4–6 (N.D. Cal. Sept. 13, 2019), was barred by issue preclusion because the district court there had overruled Mendel’s objections to that settlement and determined that counsel’s representation of the class had been adequate. *See Kendall*, 518 F.3d at 1050; *Golden v. Pac. Mar. Ass’n*, 786 F.2d 1425, 1427–28, 1429 (9th Cir. 1986). The allegations of Mendel’s complaint were wholly insufficient to state any claim premised on the LLR Defendants’ purported violations of their duties to unspecified class action claimants in other unspecified cases.

We do not consider arguments raised for the first time on appeal or matters not specifically and distinctly raised and argued in the opening brief. *See Padgett v. Wright*, 587 F.3d 983, 985 & n.2 (9th Cir. 2009) (per curiam); *Greenwood v. FAA*, 28 F.3d 971, 977 (9th Cir. 1994).

**AFFIRMED.** All pending motions are **DENIED**.

## **PROOF OF SERVICE BY MAIL**

---

### **S. PATRICK MENDEL v. LIANE RANDOLPH et al**

I, Belinda Kirk declare:

I am a citizen of the United States, a resident of Alameda County, California, and am over 18 years of age. I am not a party to the within entitled action.

My business/residence address is: 1986 Washington Ave, Apt A, San Leandro, CA 94577

On October 4, 2022, I served a copy of the:

1. Petition for Writ of Certiorari, US Supreme Court

In this action, in The Supreme Court of the United States by placing a true copy thereof, enclosed in a sealed envelope with postage thereon fully prepaid, in the United States mail at San Leandro, California, 94577, addressed as follows:

<p>Christofer Charles Nolan INNA VINOGRADOV California Public Utilities Commission 505 Van Ness Avenue San Francisco, CA 94102</p> <p>Direct: 415-696-7303 Email: christofer.nolan@cpuc.ca.gov</p>	<p>Raymond Rollan San Francisco City Attorneys Office Fox Plaza 1390 Market Street, 6<sup>th</sup> Floor San Francisco, CA 94102-5408</p> <p>Direct: 415-554-3888 Email: raymond.rollan@sfcityatty.org</p>
<p>Brian Gunn Wolfe &amp; Wyman LLP 2033 N. Main Street # 365 Walnut Creek, CA 94596</p> <p>Direct: 925-708-7000 Email: bhgunn@wolfewyman.com</p>	<p>Brian C. Rocca Morgan Lewis &amp; Bockius LLP One Market, Spear Street Tower San Francisco, CA 94105</p> <p>(415) 442-1000 Email: brian.rocca@morganlewis.com</p>

Pete Buttigieg U. S. Secretary of Transportation C/O Office of the General Counsel John Putnam 1200 New Jersey Avenue S. E. Washington, D.C. 20590  (202) 366-4702	Rob Bonta California Attorney General C/O Jay Craig Russell Office of the Attorney General 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004  (415) 510-3517 Email: jay.russell@doj.ca.gov
---	--

I declare, under penalty of perjury, under the laws of the State of California that the foregoing is true and correct.

Dated: October 4, 2022

Belinda Kirk  
BELINDA KIRK

1  
2  
3  
4 S. PATRICK MENDEL, et al.,  
5 Plaintiffs,  
6 v.  
7  
8 LIANE M. RANDOLPH, et al.,  
9 Defendants.  
10  
11

12 Case No. 19-cv-03244-JST  
13  
14

**CLERK'S JUDGEMENT**

15 Re: Dkt. No. 209  
16  
17  
18  
19

20 Pursuant to the Order Granting Motions to Dismiss signed April 21, 2021, judgment is  
21 hereby entered.

**IT IS SO ORDERED AND ADJUDGED.**

22  
23  
24  
25  
26  
27  
28 Dated: April 21, 2021

Susan Y. Soong  
Clerk, United States District Court

Susan Y. Soong  
By:   
Mauriona Lee, Deputy Clerk to the  
Honorable JON S. TIGAR