

No. 22-173

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IN THE SUPREME COURT  
OF THE UNITED STATES

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ROGER SWARTZ ON BEHALF OF HIMSELF,  
ROGER SWARTZ ON BEHALF OF HIS SON A.S.,  
ROGER SWARTZ ON BEHALF OF HIS  
DAUGHTER E.A.S. A 5-YEAR-OLD CHILD,

*Plaintiffs-Petitioners*

-v.-

Amy Gutmann, The Board Of Trustees At The  
University Of Pennsylvania, Scott Diamond, Penn  
Professor And Co-Founder Of Reaction Biology  
Corp., The Board Of Trustees At Princeton  
University, Abigail Doyle, Formerly Professor At  
Princeton Univ., Diane Carrera, David Macmillan,  
Professor At Princeton University, Robert Hartman,  
Employee at Reaction Biology Corp., Haiching Ma,  
Conrad Howitz, Kurumi Horiuchi.

*Defendants-Respondents*

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◆  
CERTIFICATE OF SERVICE  
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On Petition for a Writ of Certiorari to the  
U.S. Court of Appeals for the 3<sup>rd</sup> Circuit

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Roger Swartz, on behalf of himself & on behalf of his  
minor son A.S. & 5-year-old daughter E.A.S.  
100 Cambridge Street 14<sup>th</sup> Floor | Boston, MA 02114  
email: [rogerswar373@gmail.com](mailto:rogerswar373@gmail.com) | Tel: 1 617 749 0065

I certify that I served this 1. PETITION FOR REHEARING that was corrected as required by this court to include an additional certification found on the last page of the document that it is "limited to intervening circumstances of a substantial or controlling effect or to other substantial grounds not previously presented." (see Supreme Court Rule 44.2) and 2. THE CERTIFICATE OF COMPLIANCE to the following parties by sending the document to them via USPS Priority Mail on November 29, 2022 to the following:

THE SUPREME COURT OF THE  
UNITED STATES  
1 First Street, NE  
Washington, DC 20543

Who was sent 40 copies of the booklet and 1 copy of the 8 1/2 by 11 unbound paper document.

The United States Court of Appeals  
for the Third Circuit  
21400 U.S. Courthouse  
601 Market Street  
Philadelphia, PA 19106

Who was sent 3 copies of the booklet

To Defendants-Respondents The Board of Trustees at the University of Pennsylvania, Amy Gutmann and Scott Diamond by sending 3 copies of the document to each of Remy Snead and Jeffrey Sturgeon the counsel on record for Board of Trustees at the University of Pennsylvania, Amy Gutmann and Scott Diamond on November 29, 2022 at the addresses below using USPS Priority Mail.

**Remy Snead  
BAKER AND MCKENZIE LLP  
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and

**Jeffrey Sturgeon  
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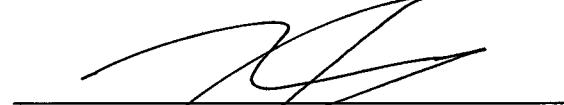
To Defendants-Respondents The Board of Trustees at Princeton University, Abigail Doyle, David MacMillan and Diane Carrera by sending 3 copies of the document to each of Elizabeth Wingfield, Meredith S. Dante and Stephen Kastenberg the counsel on record for The Board of Trustees at Princeton University, Abigail Doyle, David MacMillan and Diane Carrera on November 29, 2022 at the addresses below using USPS Priority Mail.

**Elizabeth Wingfield  
Meredith S. Dante  
Stephen Kastenberg  
BALLARD SPAHR LLP  
1735 Market Street, 51st Floor  
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Tel: +1 215 665-8500**

To Defendants-Respondents Robert Hartman, Conrad Howitz, Kurumi Horiuchi and Haiching Ma by sending 3 copies of the document to each of William Catto and Heather McFeeley the counsel on record for Robert Hartman, Conrad Howitz, Kurumi Horiuchi and Haiching Ma on November 29, 2022 at the addresses below using USPS Priority Mail.

William H. Catto  
Heather McFeeley  
FREEMAN MATHIS & GARY LLP  
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Tel: +1 215 279-8072

Respectfully Submitted on November 29, 2022

  
\_\_\_\_\_  
Roger Swartz On behalf of himself, Roger Swartz on  
behalf of his son A.S., Roger Swartz on behalf of his  
daughter E.A.S. a 5-year-old child