

EXHIBIT "A"

**FILED**

UNITED STATES COURT OF APPEALS  
FOR THE NINTH CIRCUIT

MAR 24 2020

MOLLY C. DWYER, CLERK  
U.S. COURT OF APPEALS

SMITH ELLISON, Jr.,

No. 20-55141

Petitioner-Appellant,

D.C. No. 5:19-cv-00634-SVW-JDE  
Central District of California,  
Riverside

v.

ROBERT NEUSCHMID, Warden,

ORDER

Respondent-Appellee.

Before: CLIFTON and NGUYEN, Circuit Judges.

We have received and reviewed appellant's response to this court's February 11, 2020, order to show cause.

The request for a certificate of appealability is denied because the notice of appeal was not filed within 30 days after entry of the district court's judgment. *See* 28 U.S.C. §§ 2107, 2253(c)(2). Appellant is not entitled to the benefit of the prison mailbox rule because his notice of appeal was mailed to the district court by a third party who is not incarcerated. *Cf.* Fed. R. App. P. 4(c).

Any pending motions are denied as moot.

**DENIED.**

UNITED STATES COURT OF APPEALS  
FOR THE NINTH CIRCUIT

FILED

JUN 11 2020

MOLLY C. DWYER, CLERK  
U.S. COURT OF APPEALS

SMITH ELLISON, Jr.,

Petitioner-Appellant,

v.

ROBERT NEUSCHMID, Warden,

Respondent-Appellee.

No. 20-55141

D.C. No. 5:19-cv-00634-SVW-JDE  
Central District of California,  
Riverside

ORDER

Before: Trott and N.R. Smith, Circuit Judges.

Appellant's request for a certificate of appealability (Docket Entry No. 5) is construed as a motion for reconsideration. So construed, the motion for reconsideration is denied. *See* 9th Cir. R. 27-10.

No further filings will be entertained in this closed case.

**EXHIBIT "B"**

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
EASTERN DIVISION

SMITH ELLISON, JR., } No. 5:19-cv-0634-SVW (JDE)  
Petitioner, }  
v. } ORDER DENYING MOTION FOR  
ROBERT NEUSCHMID, } RECONSIDERATION  
Respondent. }

---

## INTRODUCTION

On April 9, 2019, Smith Ellison, Jr. (“Petitioner”) filed a Petition for Writ of Habeas Corpus by a Person in State Custody under 28 U.S.C. § 2254, challenging a conviction suffered in the Riverside County Superior Court. Dkt. 1. On April 24, 2019, Petitioner filed a First Amended Petition. Dkt. 4 (“Petition” or “Pet.”). On July 8, 2019, Respondent filed an Answer to the Petition. Dkt. 12. Petitioner did not file a Reply to the Answer within the time allotted under the Court’s Scheduling Order (Dkt. 7). On October 22, 2019, the assigned magistrate judge issued a Report and Recommendation recommending the Petition be

1 denied and the action dismissed. Dkt. 14. Petitioner did not file a timely objection  
2 to the report. On December 18, 2019, the Court issued: (1) an Order accepting the  
3 report, denying the Petition, and dismissing the action with prejudice (“Dismissal  
4 Order”); (2) a Judgment of Dismissal; and (3) an Order denying the issuance of a  
5 certificate of appealability. See Dkt. 15, 16, 17. The docket reflects that a request  
6 by Petitioner to the United States Court of Appeals for the Ninth Circuit for a  
7 certificate of appealability was denied as untimely on March 24, 2020. Dkt. 20.

8 On April 20, 2020, the Court received from Petitioner a “Motion for  
9 Reconsideration Pursuant to Federal Rules of Civil Procedure Rule 60(b)(3)” that  
10 asserts that the Dismissal Order constituted “fraud” and “a conspiracy with the state  
11 courts” to deny Petitioner relief, asks this Court to reconsider the Dismissal Order,  
12 and thereafter largely reargues the grounds rejected in the Dismissal Order. Dkt. 21  
13 (“Motion for Reconsideration”).

14 For the reasons set forth herein, Petitioner’s Motion for Reconsideration of  
15 the Dismissal Order is DENIED.

16 **II.**

17 **LEGAL STANDARD**

18 Motions to reconsider may be brought under Rule 59(e) or Rule 60(b) of the  
19 Federal Rules of Civil Procedure. Fuller v. M.G. Jewelry, 950 F.2d 1437, 1422 (9th  
20 Cir. 1991). Under Rule 59(e), reconsideration may be appropriate where the movant  
21 demonstrates that there is (1) an intervening change in the controlling law, (2) new  
22 evidence not previously available, or (3) a need to correct a clear error of law or to  
23 prevent manifest injustice. School Dist. No. 1J, Multnomah County, Oregon v.  
24 ACandS, Inc., 5 F.3d 1255, 1263 (9th Cir. 1993). Rule 60(b) provides for  
25 reconsideration only upon a showing of: (1) mistake, inadvertence, surprise or  
26 excusable neglect; (2) newly discovered evidence which by due diligence could not  
27 have been discovered before the court’s decision; (3) fraud by the adverse party; (4) a

1 void judgment; (5) satisfaction of judgment; or (6) any other reason justifying relief.  
2 See Fed. R. Civ. P. 60(b); School Dist. No. 1J, 5 F.3d at 1263. Rule 60(b)(6)  
3 requires a showing that the grounds justifying relief are extraordinary; mere  
4 dissatisfaction with the court's order or belief that the court is wrong in its decision  
5 are not adequate grounds for relief. See Twentieth Century—Fox Film Corp. v.  
6 Dunnahoo, 637 F.2d 1338, 1341 (9th Cir. 1981).

7 In addition, Local Civil Rule 7-18 of this Court provides, in part:

8 A motion for reconsideration of the decision on any motion may be  
9 made only on the grounds of (a) a material difference in fact or law  
10 from that presented to the Court before such decision that in the  
11 exercise of reasonable diligence could not have been known to the  
12 party moving for reconsideration at the time of such decision, or (b)  
13 the emergence of new material facts or a change of law occurring  
14 after the time of such decision, or (c) a manifest showing of a failure  
15 to consider material facts presented to the Court before such decision.

### 17 III.

### 18 DISCUSSION

19 As an initial matter, Petitioner has not shown any basis for reconsideration of  
20 the Dismissal Order under Rules 59(e) or 60(b) or Local Rule 7-18, such as an  
21 intervening change in the controlling law, newly discovered evidence, etc.  
22 Petitioner's baseless assertion of "fraud," apparently directed at this Court, is  
23 unsupported by any evidentiary showing. As noted, dissatisfaction with a judgment  
24 is not a proper basis upon which a reconsideration motion may be brought. As  
25 Petitioner has not shown an appropriate legal basis for reconsideration of the  
26 Dismissal Order, the Motion for Reconsideration is denied.

27 / / /  
28

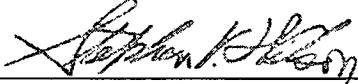
1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

IV.

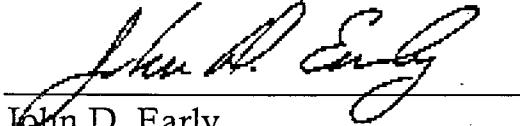
CONCLUSION

For the foregoing reasons, Petitioner's Motion for Reconsideration (Dkt. 21) is DENIED.

DATED: April 27, 2020

  
STEPHEN V. WILSON  
United States District Judge

Presented by:

  
John D. Early  
United States Magistrate Judge

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
EASTERN DIVISION

SMITH ELLISON, JR., } Case No. 5:19-cv-00634-SVW (JDE)

Petitioner, } ORDER DENYING ISSUANCE OF  
v. } CERTIFICATE OF  
ROBERT NEUSCHMID, Warden, } APPEALABILITY

Respondent.

Rule 11 of the Rules Governing Section 2254 Cases in the United States District Courts provides as follows:

(a) Certificate of Appealability. The district court must issue or deny a certificate of appealability when it enters a final order adverse to the applicant. Before entering the final order, the court may direct the parties to submit arguments on whether a certificate should issue. If the court issues a certificate, the court must state the specific issue or issues that satisfy the showing required by 28 U.S.C. § 2253(c)(2). If the court denies a certificate,

1 the parties may not appeal the denial but may seek a certificate from the court  
2 of appeals under Federal Rule of Appellate Procedure 22. A motion to  
3 reconsider a denial does not extend the time to appeal.

4 (b) Time to Appeal. Federal Rule of Appellate Procedure 4(a)  
5 governs the time to appeal an order entered under these rules. A timely notice  
6 of appeal must be filed even if the district court issues a certificate of  
7 appealability.

8 Under 28 U.S.C. § 2253(c)(2), a Certificate of Appealability may issue  
9 "only if the applicant has made a substantial showing of the denial of a  
10 constitutional right." The Supreme Court has held that this standard means a  
11 showing that "reasonable jurists could debate whether (or, for that matter,  
12 agree that) the petition should have been resolved in a different manner or that  
13 the issues presented were "adequate to deserve encouragement to proceed  
14 further.'"'" Slack v. McDaniel, 529 U.S. 473, 483-84 (2000) (citations omitted).  
15

16 Here, the Court, having considered the record in this action, finds and  
17 concludes that Petitioner has not made the requisite showing with respect to  
18 the claims alleged in the operative petition.

19 Accordingly, a Certificate of Appealability is denied.

20  
21 Dated: December 18, 2019

22   
23 STEPHEN V. WILSON  
24 United States District Judge  
25  
26  
27  
28

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
EASTERN DIVISION

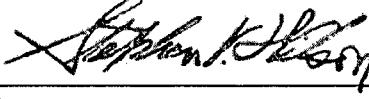
SMITH ELLISON, JR., } Case No. 5:19-cv-00634-SVW (JDE)  
Petitioner, }  
v. } JUDGMENT  
ROBERT NEUSCHMID, Warden, }  
Respondent. }

---

Pursuant to the Order Accepting Findings and Recommendation of the  
United States Magistrate Judge,

IT IS ADJUDGED that the operative Petition is denied and this action  
is dismissed with prejudice.

Dated: December 18, 2019

  
\_\_\_\_\_  
STEPHEN V. WILSON  
United States District Judge

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
EASTERN DIVISION

SMITH ELLISON, JR.,  
Petitioner,  
v.  
ROBERT NEUSCHMID,  
Warden,  
Respondent. } No. 5:19-cv-00634-SVW-JDE  
} REPORT AND RECOMMENDATION  
} OF UNITED STATES MAGISTRATE  
} JUDGE  
}

This Report and Recommendation is submitted to the Honorable Stephen V. Wilson, United States District Judge, under 28 U.S.C. § 636 and General Order 05-07 of the United States District Court for the Central District of California.

# I. PROCEEDINGS

On April 9, 2019, Petitioner Smith Ellison, Jr. (“Petitioner”) filed a pro  
se Petition for Writ of Habeas Corpus by a Person in State Custody. Dkt. 1  
 (“Petition”). On April 12, 2019, the Court advised Petitioner of several  
 pleading deficiencies, and dismissed the Petition with leave to amend. Dkt. 3

1 Petitioner filed the operative First Amended Petition (“FAP”) on April  
2 25, 2019. Dkt. 4. On July 8, 2019, Respondent filed an Answer and supporting  
3 Memorandum (“Ans. Mem.”). Dkt. 11. Despite an opportunity to do so,  
4 Petitioner did not file a Reply within the allotted time and has not requested an  
5 extension of time within which to do so.

6 For the reasons discussed hereafter, the Court recommends that the FAP  
7 be denied and the action be dismissed with prejudice.

8 **II.**

9 **PROCEDURAL HISTORY**

10 On September 28, 2016, a Riverside County Superior Court jury found  
11 Petitioner guilty of second degree murder. The jury also found true the  
12 allegations that Petitioner personally and intentionally discharged a firearm  
13 and proximately caused great bodily injury or death to another person. 2  
14 Clerk’s Transcript on Appeal (“CT”) 353-54. On November 4, 2016, the trial  
15 court sentenced Petitioner to forty years to life in state prison. 2 CT 390-91.

16 Petitioner appealed his conviction and sentence to the California Court  
17 of Appeal. Respondent’s Notice of Lodgment (“Lodgment”) 3. On October 12,  
18 2018, the California Court of Appeal remanded the matter for resentencing to  
19 allow the trial court to decide whether to exercise its discretion to strike the  
20 firearm use enhancement and affirmed the judgment in all other respects.  
21 Lodgment 1, Appendix A. A Petition for Review was denied on January 16,  
22 2019. Lodgments 1-2. On March 22, 2019, the trial court declined to strike the  
23 firearm use enhancement. Lodgment 7.

24 Petitioner also sought to collaterally attack his conviction by filing a  
25 habeas petition in the Riverside County Superior Court. Lodgment 8. That  
26 petition was denied on June 13, 2017. Lodgment 9. Petitioner then filed a  
27 habeas petition in the California Court of Appeal, which was denied on  
28 November 9, 2017 for want of a record. Lodgments 10-11.

III.

## **SUMMARY OF THE EVIDENCE PRESENTED AT TRIAL**

The underlying facts are taken from the California Court of Appeal’s opinion. Petitioner does not contest the California Court of Appeal’s summary of the facts and has not attempted to overcome the presumption of correctness accorded to it. See Tilcock v. Budge, 538 F.3d 1138, 1141 (9th Cir. 2008) (explaining that state court’s factual findings are presumed correct unless petitioner “rebuts that presumption with clear and convincing evidence”).

On the afternoon of April 17, 2015, [Petitioner] fatally shot his 28-year-old son Jason in the chest with a 9 mm handgun. The shooting took place at [Petitioner's] rural home in Mead Valley, near a shed used to store all-terrain vehicles (ATVs).

At the time of the shooting, Jason and [Petitioner] were arguing about an ATV that was on [Petitioner's] property and that Jason often rode. [Petitioner] and Jason were in the process of completing paperwork for [Petitioner] to transfer ownership of the ATV to Jason. [Petitioner] had a confrontational in-person interaction with Jason earlier in the day and knew that Jason would be coming to his property to get the ATV to go riding with friends. Before Jason arrived at the property to get the ATV, [Petitioner] took the key out of the ATV, so Jason could not take it, and he armed himself with a handgun. [Petitioner] claimed at trial that he armed himself because he was planning to feed his animals and wanted to protect himself from snakes, coyotes and wild dogs, not because he was expecting a confrontation with Jason.

When Jason arrived with his friends at [Petitioner's] property, he called [Petitioner] to say he was there to get the ATV, but [Petitioner] did not open the driveway gate for him. Jason

1       jumped the fence and walked to the ATV shed while his friends  
2       waited outside the driveway gate. [Petitioner] and Jason met near  
3       the ATV shed, out of view of Jason's friends.

4       One of Jason's friends testified that he heard Jason repeatedly  
5       stating to [Petitioner], "Take it, take it," presumably referring to  
6       paperwork to transfer ownership of the ATV, and repeatedly calling  
7       [Petitioner] a "son of a bitch." Jason's friends then heard a single  
8       gunshot and shortly thereafter saw Jason walking part of the way  
9       down the driveway toward them before collapsing. According to one  
10      friend, Jason said, "The son of a bitch finally shot me." [Petitioner]  
11      appeared from behind the shed and then walked into the house,  
12      where he called 911 and reported that he shot his son. [Petitioner]  
13      did not open the driveway gate for Jason's friends, who drove away  
14      to a place with better cellphone reception and called 911.

15      When law enforcement officers arrived several minutes later,  
16      [Petitioner] was kneeling in the driveway with his arms wrapped  
17      around Jason. [Petitioner] appeared to be emotionally distraught  
18      and was crying. Jason died shortly thereafter as a result of a  
19      gunshot wound to the left side of his chest, which went through his  
20      lung and perforated his pulmonary artery, causing significant  
21      internal bleeding. Jason was not armed during the confrontation  
22      with [Petitioner].

23      An information charged [Petitioner] with second degree  
24      murder (Pen. Code, § 187, subd. (a)), and alleged that [Petitioner]  
25      personally and intentionally discharged a firearm in committing  
26      the murder (*id.*, §§ 12022.53, subd. (d), 1192.7, subd. (c)(8).)

27      During trial, [Petitioner] testified on his own behalf,  
28      claiming that he shot Jason in self-defense. According to

1 [Petitioner], during the confrontation near the ATV shed, Jason  
2 was “raving” and cussing and told [Petitioner] “I’m gonna kick  
3 your ass.” [Petitioner] testified that he was scared of Jason, and  
4 although he repeatedly told Jason that he was armed, Jason kept  
5 coming toward him and was “totally out of control.” According to  
6 [Petitioner], when Jason raised a fist to hit him, [Petitioner] fired  
7 his gun because he was in fear for his life.

8 At trial, as a result of a series of evidentiary rulings by the trial  
9 court, the jury heard evidence presented by the People of numerous  
10 acts of violence that [Petitioner] perpetrated against Jason, as well  
11 as against other family members, including [Petitioner’s] other  
12 children, his stepchildren and his wife. The incidents spanned a long  
13 period of time and included firing a gun or threatening to fire a gun  
14 at other family members during confrontations.

15 Lodgment 1, Appendix A at 2-5.

#### 16 IV.

#### 17 PETITIONER’S CLAIMS

18 1. The trial court committed prejudicial error when it allowed the  
19 prosecution to introduce “almost unlimited evidence of prior conduct as  
20 propensity evidence” under Cal. Evid. Code § 1109.<sup>1</sup> FAP at 5.

21 2. The trial court abused its discretion by admitting evidence relating  
22 to Petitioner’s “parenting style and [discipline] of his children” because such  
23 evidence was time-barred under Section 1109. FAP at 5.

24 3. The conduct involving Petitioner’s minor children lacked  
25 probative value. FAP at 6.

---

27 <sup>1</sup> Unless otherwise indicated, all further statutory references are to the California  
28 Evidence Code.

4. The trial court violated Petitioner's right to fully present a defense when it precluded him from introducing evidence of his remorse, "which spoke to both [his] mental state (i.e., lack of malice) and which supported [his] defense that Jason [Ellison ("Jason")] was the aggressor and [Petitioner] acted in self-defense." FAP at 6.

5. The trial court wrongfully precluded Petitioner from presenting evidence of Jason's prior act of vandalism, which showed Jason's propensity for violence and relevant to Petitioner's claim of self-defense. FAP at 6

V.

## STANDARD OF REVIEW

The Petition is subject to the provisions of the Antiterrorism and Effective Death Penalty Act (the “AEDPA”) under which federal courts may grant habeas relief to a state prisoner “with respect to any claim that was adjudicated on the merits in State court proceedings” only if that adjudication:

(1) resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established Federal law, as determined by the Supreme Court of the United States; or

(2) resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the State court proceeding.

28 U.S.C. § 2254(d). Under the AEDPA, the “clearly established Federal law” that controls federal habeas review of state court decisions consists of holdings (as opposed to dicta) of Supreme Court decisions “as of the time of the relevant state-court decision.” Williams v. Taylor, 529 U.S. 362, 412 (2000).

Although a particular state court decision may be “contrary to” and “an unreasonable application of” controlling Supreme Court law, the two phrases have distinct meanings. Williams, 529 U.S. at 391, 413. A state court decision is “contrary to” clearly established federal law if it either applies a rule that

1 contradicts the governing Supreme Court law, or reaches a result that differs  
2 from the result the Supreme Court reached on “materially indistinguishable”  
3 facts. Brown v. Payton, 544 U.S. 133, 141 (2005); Williams, 529 U.S. at 405-  
4 06. When a state court decision adjudicating a claim is contrary to controlling  
5 Supreme Court law, the reviewing federal habeas court is “unconstrained by  
6 [Section] 2254(d)(1).” Williams, 529 U.S. at 406. However, the state court  
7 need not cite or even be aware of the controlling Supreme Court cases, “so  
8 long as neither the reasoning nor the result of the state-court decision  
9 contradicts them.” Early v. Packer, 537 U.S. 3, 8 (2002) (per curiam).

10 State court decisions that are not “contrary to” Supreme Court law may  
11 only be set aside on federal habeas review “if they are not merely erroneous,  
12 but ‘an *unreasonable* application’ of clearly established federal law, or based on  
13 ‘an *unreasonable* determination of the facts.’” Packer, 537 U.S. at 11 (quoting  
14 28 U.S.C. § 2254(d)). A state court decision that correctly identified the  
15 governing legal rule may be rejected if it unreasonably applied the rule to the  
16 facts of a particular case. See Williams, 529 U.S. at 406-10, 413; Woodford v.  
17 Visciotti, 537 U.S. 19, 24-27 (2002) (per curiam). However, to obtain federal  
18 habeas relief for such an “unreasonable application,” a petitioner must show  
19 that the state court’s application of Supreme Court law was “objectively  
20 unreasonable.” Visciotti, 537 U.S. at 24-27. An “unreasonable application” is  
21 different from an erroneous or incorrect one. See Williams, 529 U.S. at 409-11;  
22 see also Visciotti, 537 U.S. at 25; Bell v. Cone, 535 U.S. 685, 694 (2002). “To  
23 obtain habeas corpus relief from a federal court, a state prisoner must show  
24 that the challenged state-court ruling rested on ‘an error well understood and  
25 comprehended in existing law beyond any possibility for fairminded  
26 disagreement.’” Metrish v. Lancaster, 569 U.S. 351, 358 (2013) (quoting  
27 Harrington v. Richter, 562 U.S. 86, 103 (2011)). Moreover, as the Supreme  
28 Court held in Cullen v. Pinholster, 563 U.S. 170, 181, 185 n.7 (2011), review

1 of state court decisions under § 2254(d) is limited to the record that was before  
2 the state court that adjudicated the claim on the merits.

3 Here, Petitioner raised his claims in the California Court of Appeal on  
4 direct appeal. The court of appeal rejected his claims in a reasoned decision on  
5 October 12, 2018. Lodgment 1, Appendix A. Thereafter, the California Supreme  
6 Court denied Petitioner's Petition for Review without comment or citation to  
7 authority. Lodgment 2. In such circumstances, the Court will "look through"  
8 the unexplained California Supreme Court decision to the last reasoned decision  
9 as the basis for the state court's judgment, in this case, the court of appeal's  
10 decision. See Wilson v. Sellers, 584 U.S. –, 138 S. Ct. 1188, 1192 (2018) ("[T]he  
11 federal court should 'look through' the unexplained decision to the last related  
12 state-court decision that does provide a relevant rationale. It should then  
13 presume that the unexplained decision adopted the same reasoning."); Ylst v.  
14 Nunnemaker, 501 U.S. 797, 803-04 (1991). In reviewing the state court decision,  
15 the Court has independently reviewed the relevant portions of the record. Nasby  
16 v. McDaniel, 853 F.3d 1049, 1052-53 (9th Cir. 2017).

17 VI.

18 DISCUSSION

19 A. Petitioner's State Law Claims are Not Cognizable on Federal Habeas  
20 Review

21 As an initial matter, to the extent Petitioner's claims are based on a  
22 violation of state law, such claims are not cognizable on federal habeas review.  
23 Federal habeas relief is not available for errors of state law. See 28 U.S.C.  
24 § 2254(a); Estelle v. McGuire, 502 U.S. 62, 67-68 (1991). "In conducting  
25 habeas review, a federal court is limited to deciding whether a conviction  
26 violated the Constitution, laws, or treaties of the United States." McGuire, 502  
27 U.S. at 68; Smith v. Phillips, 455 U.S. 209, 221 (1982) ("A federally issued writ  
28 of habeas corpus, of course, reaches only convictions obtained in violation of

1 some provision of the United States Constitution.”). “[A] state court’s  
2 interpretation of state law, including one announced on direct appeal of the  
3 challenged conviction, binds a federal court sitting in habeas corpus.”  
4 Bradshaw v. Richey, 546 U.S. 74, 76 (2005) (per curiam). A federal habeas  
5 court is not to “second-guess” a state court’s “construction of its own state law  
6 unless ‘it appears that its interpretation is an obvious subterfuge to evade  
7 consideration of a federal issue.’” Hubbart v. Knapp, 379 F.3d 773, 780 (9th  
8 Cir. 2004) (quoting Peltier v. Wright, 15 F.3d 860, 862 (9th Cir. 1994)); see  
9 also Mullaney v. Wilbur, 421 U.S. 684, 691 & n.11 (1975). No such deception  
10 exists here, and Petitioner has not presented any evidence demonstrating  
11 otherwise. Accordingly, Petitioner’s claims alleging evidentiary error based on  
12 state law do not present federal questions and as such, Petitioner is not entitled  
13 to habeas relief on these claims. See McGuire, 502 U.S. at 71-72 (“the fact that  
14 the instruction was allegedly incorrect under state law is not a basis for habeas  
15 relief”); Jammal v. Van de Kamp, 926 F.2d 918, 919 (9th Cir. 1991) (federal  
16 habeas courts “do not review questions of state evidence law”).

17 **B. Petitioner is Not Entitled to Habeas Relief on his Claims Challenging**  
18 **the Admission of Prior Acts Evidence**

19 In Grounds One through Three, Petitioner challenges the trial court’s  
20 admission of prior conduct involving his “minor children,” arguing that: (1)  
21 the trial court erred in allowing the prosecution to introduce “almost unlimited  
22 evidence of prior conduct as propensity evidence” under Section 1109 (Ground  
23 One); (2) such prior conduct evidence was time-barred under Section 1109  
24 (Ground Two); and (3) the evidence lacked probative value (Ground Three).  
25 FAP at 5-6. Liberally construing Petitioner’s allegations, the Court presumes  
26 that Petitioner is challenging the same thirteen instances of prior acts involving  
27 his children and stepchildren as he did on direct review, claiming that such  
28 evidence was inadmissible, in whole or in part, under Sections 1101, 1103, and

1 1109 and violated his right to a fundamentally fair trial.<sup>2</sup> Lodgment 1 at 19-23,  
2 25-26. Petitioner challenged the following evidence:<sup>3</sup>

3 • Incidents Admitted Under Section 1101(b):

4 1. Petitioner hitting his step-daughter, Crystal, who was born in 1972, with  
5 a quirt when she was a minor for stealing (2 Reporter's Transcript on  
6 Appeal ["RT"] 255-56);

7 2. Petitioner physically "beating" his son, DeQuen, who was born in 1971,  
8 during his childhood (4 RT 554-57);

9 3. Petitioner disciplining DeQuen with an extension cord, leaving a five-  
10 inch long scar (4 RT 554-56);

11 4. Petitioner disciplining his son, Smith Ellison, III (or, "Little Smitty"),  
12 with an extension cord when he was a child (2 RT 260-61);

13 5. Petitioner firing a shotgun as DeQuen when he was seventeen years old,  
14 during a confrontation with Petitioner as DeQuen was running away (4  
15 RT 562-71);

16 6. Petitioner slapping his daughter, Cicely (or, "Jennifer"), when she was  
17 sixteen after she got into a physical fight with her brother Jason (3 RT  
18 464-65);

19 7. Petitioner slapping Jason off a horse when he was seventeen (4 RT 577-  
20 78, 684);

21

---

22 <sup>2</sup> Petitioner has not challenged the admission of two additional incidents involving  
23 his wife, and as such, the Court does not address the admission of this evidence. On  
24 direct appeal, the appellate court concluded Petitioner forfeited his challenge to the  
admission of this evidence by failing to object. Lodgment 1, Appendix A at 18-20.

25 <sup>3</sup> The trial court issued preliminary rulings on which provisions of the California  
26 Evidence Code provided the basis for admitting some of the evidence, and later  
27 finalized the rulings when discussing the jury instructions, which, in some instances,  
28 were different from the preliminary rulings. See 1 RT 16-50, 94-138; 9 RT 1680-86;  
12 RT 2237-43; 2 CT 268, 278-81.

- 1     • Incidents Admitted under Section 1101 and 1109:
- 2         8. Petitioner firing a shotgun as DeQuen ran away after an argument in
- 3             2014 (4 RT 600-07);
- 4         9. Petitioner and Jennifer having an argument and Petitioner making
- 5             threats against his children in 2014 (3 RT 489-91);
- 6         10. Petitioner loading a gun in front of Jennifer in 2015, and then
- 7             threatening to kill DeQuen if he did not leave Petitioner's property (3 RT
- 8             489-99, 510);
- 9     • Incidents Admitted Under Section 1103(b):
- 10         11. Petitioner punching Little Smitty in the face at the dinner table,
- 11             causing him to fall out of his chair (12 RT 2133-35);
- 12         12. Petitioner's physical altercation with his step-son, Maurice, when
- 13             he was seventeen years old, scratching the inside of Maurice's mouth (12
- 14             RT 2119-22); and
- 15         13. Petitioner pointing a shotgun at Crystal and hitting her with it after
- 16             her son started pulling himself up on the television, when she was twenty
- 17             years old (12 RT 2135-41).

18 See Lodgment 1 at 19-23. In his Petition for Review, Petitioner argued that the  
19 prior conduct evidence involving his children was inadmissible as propensity  
20 evidence because it had a disciplinary animus and thus, did not amount to  
21 domestic violence, and evidence regarding conduct that occurred when the  
22 children were growing up was time-barred under Section 1109(d). Lodgment 1  
23 at 23-24. Petitioner further claimed that the prior acts evidence was, in whole  
24 or in part, too remote and/or too dissimilar to the charged offense to support  
25 the inference for which it was proffered; and the evidence was cumulative and  
26 resulted in an undue consumption of time. Id. at 24.

1        The three California Evidence Code sections at issue provide for the  
2 admission of evidence regarding a defendant's prior conduct and/or character  
3 for violence. Section 1101(b) provides for the admission of evidence "that a  
4 person committed a crime, civil wrong, or other act when relevant to prove  
5 some fact . . . such as motive, opportunity, intent, preparation, plan,  
6 knowledge, identity, [or] absence of mistake or accident . . . other than his or  
7 her disposition to commit such an act." Section 1109 provides for the  
8 admission of evidence of a defendant's other acts of domestic violence. Section  
9 1103(b) provides for the admission of evidence of a defendant's character for  
10 violence or trait of character for violence "if the evidence is offered by the  
11 prosecution to prove conduct of the defendant in conformity with the character  
12 or trait of character and is offered after evidence that the victim had a character  
13 for violence or a trait of character tending to show violence has been adduced  
14 by the defendant . . . ."

15        1. The California Court of Appeal Decision

16        The California Court of Appeal rejected each of Petitioner's evidentiary  
17 error claims on state law grounds, and did not specifically address the federal  
18 nature of these claims. Lodgment 1, Appendix A. "When a state court rejects a  
19 federal claim without expressly addressing that claim, a federal habeas court  
20 must presume that the federal claim was adjudicated on the merits." Johnson  
21 v. Williams, 568 U.S. 289, 301 (2013). Here, in the absence of contrary  
22 evidence, the Court presumes that the court of appeal adjudicated the federal  
23 nature of Petitioner's claims on the merits.

24        As to the admission of prior conduct evidence, the California Court of  
25 Appeal concluded that the trial court did not abuse its discretion in admitting  
26 this evidence. First, the appellate court concluded that because Petitioner was  
27 charged with "an offense involving domestic violence" within the meaning of  
28 Section 1109, other instances of domestic violence perpetrated by Petitioner

1 were admissible to show his propensity to commit domestic violence.  
2 Lodgment 1, Appendix A at 13-14. The appellate court rejected Petitioner's  
3 contention that some of the prior acts admitted as evidence under Section 1109  
4 should have been excluded because they were not incidents of domestic  
5 violence, but rather, permissible instances of parental discipline, because none  
6 of the instances that Petitioner identified as permissible parental discipline  
7 were admitted under Section 1109. Instead, they were admitted under Section  
8 1101(b) as evidence of Petitioner's intent, motive, or common plan in shooting  
9 Jason. Id. at 15. The appellate court further concluded that all of the incidents  
10 involving Petitioner's children and stepchildren admitted under Section 1109  
11 occurred in 2014 or 2015, and therefore, were within the statutory time limit;  
12 and the trial court did not abuse its discretion in declining to exclude the  
13 evidence pursuant to Section 352<sup>4</sup> as "too dissimilar." Id. at 17-18, 21-22.

14 The court of appeal also found that the trial court did not abuse its  
15 discretion in admitting evidence of the prior acts pursuant to Section 1101(b) to  
16 show intent, motive, or common plan. Lodgment 1, Appendix A at 26. The  
17 appellate court reasoned that the prior incidents all involved instances in which  
18 Petitioner was the aggressor in using violence or threatening to use violence  
19 against his children or stepchildren when he got into an angry confrontation  
20 with them; therefore, the incidents were all sufficiently similar to Petitioner's  
21 shooting of Jason to constitute probative evidence on the issue of whether  
22 Petitioner acted aggressively and violently toward Jason during the  
23 confrontation with the intent to inflict injury on him, or whether, as Petitioner  
24 claimed, he was acting in self-defense. Id. at 24-25. For the same reason, the  
25

---

26 <sup>4</sup> Section 352 provides: "The court in its discretion may exclude evidence if its  
27 probative value is substantially outweighed by the probability that its admission will  
28 (a) necessitate undue consumption of time or (b) create substantial danger of undue  
prejudice, of confusing the issues, or of misleading the jury."

1 appellate court concluded that the evidence was relevant to show Petitioner's  
2 motive, explaining:

3 evidence that he committed numerous violent acts or threatened to  
4 do so when involved in angry confrontations with his children and  
5 stepchildren tended to show that [Petitioner] had a motive other  
6 than self-defense to shoot Jason during the confrontation.

7 Specifically, the incidents admitted into evidence tended to show  
8 that when [Petitioner] did not approve of his children's and  
9 stepchildren's actions or words, his way of reacting was to become  
10 the aggressor in using violence against them or threatening to do  
11 so. The jury could conclude that [Petitioner] acted pursuant to the  
12 same motive in this case.

13 Id. at 25. Likewise, the court of appeal found "at least some of the prior violent  
14 acts were properly admissible to show that [Petitioner] had a common plan in  
15 committing those acts and in shooting Jason" (id. at 25-26 (footnote omitted)):

16 In this case, [Petitioner] contended that he armed himself with a  
17 handgun before Jason arrived at the property to shoot snakes or  
18 other creatures while feeding his animals, not for use in shooting at  
19 Jason during a possible confrontation. However, several of the  
20 prior incidents admitted into evidence . . . showed that on prior  
21 occasions when in confrontations with his children, [Petitioner]  
22 chose to arm himself with a gun and either fire or threaten to fire  
23 the gun at them. As the trial court reasonably observed, these  
24 incidents are relevant to show a common plan because they tend  
25 to prove that "when he gets angry, he gets a gun and he fires it."

26 The California Court of Appeal also rejected Petitioner's contention that  
27 the prior acts admitted pursuant to Section 1101(b) should have been excluded  
28 under Section 352. The court reasoned:

1 the evidence of [Petitioner's] prior violent acts toward his children  
2 had significant probative value to the central disputed issue in this  
3 case, namely whether [Petitioner] acted in reasonable and  
4 justifiable self-defense in shooting Jason or whether he maliciously  
5 shot Jason in the midst of an angry confrontation. All of the  
6 evidence admitted pursuant to section 1101, subdivision (b)  
7 assisted the jury to understand the nature of the relationship that  
8 [Petitioner] had with his children through their lives, and more  
9 specifically to understand [Petitioner's] consistent willingness to  
10 use violence toward his children and stepchildren in  
11 confrontations with them. Even though some of the violent  
12 incidents admitted into evidence were temporally remote, they still  
13 had significant probative value to demonstrate the history and  
14 development of [Petitioner's] relationship with his children.

15 Lodgment 1, Appendix A at 27-28. The appellate court further concluded that  
16 "the trial court acted within its discretion in determining that the prior violent  
17 acts would not unduly inflame the emotions of the jury." Id. at 28.

18 Finally, the California Court of Appeal rejected Petitioner's challenge to  
19 the admission of rebuttal evidence pursuant to Section 1103, explaining:

20 [T]he trial court did not abuse its discretion in determining that the  
21 three instances admitted into evidence under section 1103 were  
22 probative of [Petitioner's] violent character and should not be  
23 excluded under section 352. In the first instance, [Petitioner]  
24 punched one of his sons in the face while the son was sitting at a  
25 table, knocking him to the ground. In the second instance,  
26 [Petitioner] jumped another son from behind and then wrestled  
27 with him, using his fingernails to scratch the inside of the son's  
28 mouth. In the third instance, [Petitioner] struck his stepdaughter

1 with the stock of a shotgun. The trial court could reasonably  
2 conclude that the incidents had probative value and should not be  
3 excluded pursuant to section 352 because they tended to show  
4 [Petitioner's] character for violence and, as specifically relevant  
5 here, to show his propensity to use violence against his children  
6 and stepchildren.

7 Lodgment 1, Appendix A at 30-31 (footnote omitted).

8 **2. Applicable Legal Authority and Analysis**

9 "Habeas relief is available for wrongly admitted evidence only when the  
10 questioned evidence renders the trial so fundamentally unfair as to violate  
11 federal due process." Jeffries v. Blodgett, 5 F.3d 1180, 1192 (9th Cir. 1993) (as  
12 amended); see also McGuire, 502 U.S. at 67-70; Walters v. Maass, 45 F.3d  
13 1355, 1357 (9th Cir. 1995). However, "[t]he Supreme Court has made very few  
14 rulings regarding the admission of evidence as a violation of due process."

15 Holley v. Yarborough, 568 F.3d 1091, 1101 (9th Cir. 2009). "Although the  
16 Court has been clear that a writ should be issued when constitutional errors  
17 have rendered the trial fundamentally unfair, it has not yet made a clear ruling  
18 that admission of irrelevant or overly prejudicial evidence constitutes a due  
19 process violation sufficient to warrant issuance of the writ." Id. (internal  
20 citation omitted). Indeed, the Supreme Court has left open whether a state law  
21 would violate the Due Process Clause if it permitted the use of prior crimes  
22 evidence to show propensity to commit a charged crime. McGuire, 502 U.S. at  
23 75 n.5. Absent clearly established federal law, this Court cannot find the state  
24 court's ruling was an unreasonable application of clearly established Supreme  
25 Court law. Holley, 568 F.3d at 1101; see also Knowles v. Mirzayance, 556  
26 U.S. 111, 122 (2009) (holding "it is not 'an unreasonable application of'  
27 'clearly established Federal law' for a state court to decline to apply a specific  
28 legal rule that has not been squarely established by this Court"); see also Mejia

1     v. Garcia, 534 F.3d 1036, 1046-47 (9th Cir. 2008) (admission of propensity  
2     evidence did not violate clearly established law); Alberni v. McDaniel, 458  
3     F.3d 860, 866 (9th Cir. 2006) (prior violent acts); Garibay v. Lewis, 323 F.  
4     App'x 568, 569 (9th Cir. 2009) (prior acts of domestic violence).

5     Even assuming Petitioner has raised a cognizable due process claim,  
6     habeas relief still would not be warranted as the admission of this evidence did  
7     not render his trial fundamentally unfair. “Only if there are no permissible  
8     inferences the jury may draw from the evidence can its admission violate due  
9     process.” Jammal, 926 F.2d at 920; see also McGuire, 502 U.S. at 70; Noel v.  
10     Lewis, 605 F. App'x 606, 608 (9th Cir. 2015). Here, evidence of Petitioner’s  
11     prior violent conduct toward his children and stepchildren was probative of  
12     Petitioner’s violence character, the nature of his relationship with his children  
13     and willingness to use violence toward them, and refuted his claim that he was  
14     acting in self-defense. As the appellate court concluded, the ten incidents  
15     admitted under Section 1101(b) were probative as to whether Petitioner acted  
16     aggressively and violently toward Jason during the confrontation with the  
17     intent to inflict injury on him, or whether, as Petitioner claimed, he acted in  
18     self-defense. Such evidence also was relevant to motive as such evidence  
19     “tended to show that when [Petitioner] did not approve of his children’s and  
20     stepchildren’s actions or words, his way of reacting was to become the  
21     aggressor in using violence against them or threatening to do so.” See  
22     Lodgment 1, Appendix A at 25. Several of these incidents also were relevant to  
23     showing Petitioner had a common plan in committing those acts and in  
24     shooting Jason. Although Petitioner claimed that he armed himself with a  
25     handgun to shoot snakes or other creatures while feeding the animals (see 10  
26     RT 1850), several of the prior acts involved incidents in which Petitioner  
27     would arm himself with a gun or fire or threaten to fire a gun when he became  
28     angry. Similarly, the rebuttal evidence was probative of Petitioner’s violent

1 character and willingness to use violence against his children and stepchildren.  
2 From this evidence, the jury could reasonably infer that Petitioner intentionally  
3 shot his son with malice aforethought and was not acting in self-defense.

4 The state court's findings were neither contrary to, nor involved an  
5 unreasonable application of, clearly established federal law, as determined by  
6 the United States Supreme Court. Nor was it based on an unreasonable  
7 determination of the facts. Petitioner is not entitled to habeas relief.

8 **C. Petitioner is Not Entitled to Habeas Relief on His Claims Regarding**  
9 **the Exclusion of Evidence Supporting His Defense**

10 In Grounds Four and Five of the Petition, Petitioner argues that the trial  
11 court erred in excluding relevant evidence that supported his defense, resulting  
12 in the denial of his constitutional right to present a complete defense. FAP at 6.

13 1. Applicable Legal Authority

14 “Whether rooted directly in the Due Process Clause of the Fourteenth  
15 Amendment or in the Compulsory Process or Confrontation Clauses of the  
16 Sixth Amendment, the Constitution guarantees criminal defendants ‘a  
17 meaningful opportunity to present a complete defense.’” Holmes v. South  
18 Carolina, 547 U.S. 319, 324 (2006) (citation omitted)). Nevertheless, “[a]  
19 defendant’s right to present relevant evidence is not unlimited, but rather is  
20 subject to reasonable restrictions,’ such as evidentiary and procedural rules.”  
21 Moses v. Payne, 555 F.3d 742, 757 (9th Cir. 2009) (as amended) (citation  
22 omitted). “[W]ell-established rules of evidence permit trial judges to exclude  
23 evidence if its probative value is outweighed by certain other factors such as  
24 unfair prejudice, confusion of the issues, or potential to mislead the jury.”  
25 Holmes, 547 U.S. at 326. The defendant “must comply with established rules  
26 of procedure and evidence designed to assure both fairness and reliability in  
27 the ascertainment of guilt and innocence.” Chambers v. Mississippi, 410 U.S.  
28 284, 302 (1973); see also Montana v. Egelhoff, 518 U.S. 37, 42 (1996) (“The

1 accused does not have an unfettered right to offer [evidence] that is  
2 incompetent, privileged, or otherwise inadmissible under standard rules of  
3 evidence.” (citation omitted) (alteration in original)); Crane v. Kentucky, 476  
4 U.S. 683, 689-90 (1986) (“the Constitution leaves to the judges . . . ‘wide  
5 latitude’ to exclude evidence that is ‘repetitive . . . , only marginally relevant’ or  
6 poses an undue risk of ‘harassment, prejudice, [or] confusion of the issues.’”  
7 (citation omitted)).

8 Finally, if a state trial court is found to have committed a constitutional  
9 error in excluding evidence, that error must still be analyzed for harmlessness  
10 according to the standard set forth in Brecht v. Abrahamson, 507 U.S. 619, 637  
11 (1993). Under Brecht, habeas petitioners are entitled to relief if “the error ‘had  
12 substantial and injurious effect or influence in determining the jury’s verdict.’”  
13 Brecht, 507 U.S. at 637 (citation omitted); see also Moses, 555 F.3d at 760.

14 2. Evidence of Petitioner’s Expressions of Remorse

15 In Ground Four, Petitioner asserts the trial court improperly precluded  
16 him from introducing “various pieces of evidence” of his remorse, “which  
17 spoke to both [his] mental state (i.e., lack of malice) and which supported [his]  
18 defense that Jason was the aggressor and [Petitioner] acted in self-defense.”  
19 FAP at 6. Petitioner does not identify the specific evidence excluded; liberally  
20 construing the allegations, the Court presumes Petitioner is referring to the  
21 same evidence raised on direct appeal, statements he made at the scene shortly  
22 after the shooting: “I’m sorry,” “Come on, Jason,” “Stay with me,” and  
23 “Hang in there.” Lodgment 1 at 32-33. The trial court excluded the evidence  
24 on the grounds that the evidence was irrelevant and constituted inadmissible  
25 hearsay. 7 RT 1321-27; 9 RT 1536-37; 10 RT 1758-1762. Petitioner also sought  
26 to admit evidence regarding how he felt upon learning that Jason died from his  
27 injuries. Lodgment 1 at 32. The trial court excluded this evidence on relevance  
28 grounds. 11 RT 1896-97.

1                   i.     The California Court of Appeal Decision

2                   On direct appeal, the California Court of Appeal affirmed the trial  
3 court's exclusion of evidence regarding Petitioner's expressions of remorse as  
4 follows (Lodgment 1, Appendix A at 42-45 (footnote omitted)):

5                   Although it is a close question, we conclude that given the  
6 extremely deferential standard of review applicable to the trial  
7 court's relevancy rulings, the trial court did not abuse its discretion  
8 in excluding evidence of [Petitioner's] expressions of remorse after  
9 the shooting. Our Supreme Court has explained that “[a]bsence of  
10 remorse . . . may be relevant, because it sheds light on the  
11 defendant's mental state, in determining the degree of the  
12 homicide.” (People v. Michaels (2002) 28 Cal.4th 486, 528,  
13 [emphasis] added.) Further, other case law establishes that “[a]  
14 defendant's lack of concern as to whether the victim lived or died,  
15 expressed or implied, has been found to be substantial evidence of  
16 an ‘abandoned and malignant heart’ by the appellate courts of this  
17 state,” for the purpose of showing implied malice in a murder  
18 prosecution. (People v. Burden (1977) 72 Cal.App.3d 603, 620  
19 [citing cases].) In contrast, addressing a defendant's positive  
20 expressions of remorse after a killing, our Supreme Court  
21 concluded in People v. Pearson (2013) 56 Cal.4th 393, that the rule  
22 of completeness (§ 356) did not require that the jury hear certain  
23 additional excerpts from the defendant's confession shortly after  
24 the killings (id. at p. 458), in which he expressed remorse for  
25 killing the victims because “defendant fail[ed] to demonstrate that  
26 . . . [his] expressions of remorse during the police interview were  
27 relevant to his state of mind at the time of the murders.” (Id. at pp.  
28 460-461, [emphasis] added.) This contrasting case law suggests

1 that although expressions of lack of remorse are relevant to show  
2 implied malice, a defendant's positive expressions of remorse after  
3 committing a murder may not be relevant to that issue.

4 Here, the trial court provided a thorough explanation for its  
5 conclusion that [Petitioner's] expressions of remorse were not  
6 relevant. Specifically, as the trial court explained, the fact that  
7 [Petitioner] was upset after the shooting when he saw that he had  
8 fatally injured Jason does not tend to prove [Petitioner's] state of  
9 mind during the shooting, especially because "one would expect  
10 that when a father is seeing his son dying in front of him, he would  
11 be upset" after the fact. We accordingly conclude that the trial  
12 court was within its discretion to exclude evidence that [Petitioner]  
13 was remorseful after the shooting because it was not relevant  
14 evidence of [Petitioner's] state of mind during the shooting. The  
15 same analysis applies to the trial court's ruling sustaining the  
16 objection to the question about how [Petitioner] felt after learning  
17 his son had died, as the question would have elicited testimony  
18 about [Petitioner's] state of mind after the fact, but not during the  
19 shooting.

20 Further, even if we were to conclude that the trial court  
21 abused its discretion in excluding evidence of [Petitioner's]  
22 remorse, the exclusion of the evidence was not prejudicial in that  
23 there is no reasonable probability of a more favorable outcome for  
24 [Petitioner] had the evidence been admitted. (See People v.  
25 DeHoyos (2013) 57 Cal.4th 79, 131 [applying "reasonable  
26 probability" standard in reviewing prejudice attributable to the  
27 erroneous exclusion of evidence].) Although the jury did not hear  
28 evidence of what [Petitioner] stated to Jason while holding him as

1 he died, and [Petitioner] was not permitted to testify about how he  
2 felt when he learned Jason had died, the jurors did hear testimony  
3 about [Petitioner's] demeanor after the shooting, which could lead  
4 them to conclude that [Petitioner] was upset and remorseful.

5 Specifically, the law enforcement officer who responded to the  
6 scene testified that he saw [Petitioner] holding Jason on the  
7 ground, and [Petitioner] appeared to be emotionally distraught  
8 and was crying. Based on this evidence, there is no reasonable  
9 probability that the jury would have had a different view of  
10 [Petitioner's] emotional reaction to the shooting had the jury heard  
11 [Petitioner's] statement "I'm sorry. Come on, Jason. Stay with  
12 me. Hang in there," or testimony from [Petitioner] explaining that  
13 after learning Jason died he was "distraught and repentant" about  
14 having killed Jason.

15           ii. Analysis

16       The Supreme Court has not yet "squarely address[ed]" the state court's  
17 discretionary exclusion of evidence and the right to present a complete defense  
18 or established a controlling legal standard for evaluating such exclusions. See  
19 Brown v. Horell, 644 F.3d 969, 983 (9th Cir. 2011) (citing Moses, 555 F.3d at  
20 758); Chandler v. Sherman, 2015 WL 3492323, at \*6-7 (S.D. Cal. June 3,  
21 2015) (denying habeas relief for state court's exclusion of evidence of a  
22 witness's employment termination in the absence of Supreme Court  
23 precedent); Ortega v. Ducart, 2015 WL 5013699, at \*4-6 (C.D. Cal. Mar. 2,  
24 2015) (concluding that court of appeal was not objectively unreasonable in  
25 rejecting the petitioner's claim regarding the exclusion of third-party culpability  
26 evidence because the Supreme Court had not squarely addressed whether state  
27 court's discretionary exclusion of exculpatory evidence can ever violate a  
28 defendant's right to present a defense), report and recommendation accepted

1 by, 2015 WL 5020668 (C.D. Cal. Aug. 24, 2015). Nevertheless, as noted, the  
 2 Supreme Court has held that the Constitution affords judges making decisions  
 3 regarding the admissibility of evidence “‘wide latitude’ to exclude evidence”  
 4 that is “only marginally relevant.” Crane, 476 U.S. at 689 (citation omitted);  
 5 see also United States v. Alvarez, 358 F.3d 1194, 1205 (9th Cir. 2004) (“Trial  
 6 judges have ‘wide discretion’ in determining whether evidence is relevant.”  
 7 (quoting United States v. Long, 706 F.2d 1044, 1054 (9th Cir.1983))).

8 Here, the state court reasonably concluded that Petitioner’s expressions  
 9 of remorse were not relevant. To determine whether Petitioner committed  
 10 second-degree murder and to assess his self-defense claim, the relevant inquiry  
 11 was his state of mind when he shot Jason—that is, whether he acted with  
 12 malice aforethought and whether he believed he needed to defend himself. See  
 13 People v. Nieto Benitez, 4 Cal. 4th 91, 102 (1992) (“Second degree murder is  
 14 defined as the unlawful killing of a human being with malice aforethought, but  
 15 without the additional elements—i.e., willfulness, premeditation, and  
 16 deliberation—that would support a conviction of first degree murder.”); accord  
 17 People v. Sotelo-Urena, 4 Cal. App. 5th 732, 744 (2016) (“A homicide is  
 18 considered justified as self-defense where the defendant actually and  
 19 reasonably believed the use of deadly force was necessary to defend himself  
 20 from imminent threat of death or great bodily injury.”).

21 The state court reasonably concluded Petitioner’s expressions of remorse  
 22 after the shooting were not relevant in determining his mental state at the time  
 23 he shot Jason. Cf. United States v. LeVeque, 283 F.3d 1098, 1106 (9th Cir.  
 24 2002) (statements from a voicemail that the defendant “left long after” the  
 25 violating conduct occurred was not probative of the defendant’s state of mind  
 26 at the time of the violation); Diego v. Hill, 2013 WL 6536493, at \*7-8 (C.D.  
 27 Cal. Dec. 13, 2013) (petitioner’s knowledge of his wife’s sexual relations with  
 28 another man from evidence found after the murder was irrelevant in

1 establishing the petitioner's state of mind at the time he killed his wife); Yau v.  
 2 Small, 2010 WL 883855, at \*7-9 (C.D. Cal. Mar. 10, 2010) (evidence that the  
 3 petitioner spoke to others about the shootings after it happened was not  
 4 probative in establishing the petitioner's state of mind at the time of the  
 5 shootings). As the trial court noted, it is not unusual for a father to be upset  
 6 while seeing his son dying in front of him. Lodgment 1, Appendix A at 43; 9  
 7 RT 1537. That a person may be emotionally distraught and remorseful after  
 8 killing another does not mean the person lacked a requisite culpable mental  
 9 state. Petitioner's statement and testimony related to his remorse are the kind  
 10 of "marginally relevant" evidence for which the Constitution grants "wide  
 11 latitude" to trial judges to exclude. Crane 476 U.S. at 689-90. The exclusion of  
 12 this evidence, did not violate Petitioner's right to present a complete defense.  
 13 See Miller v. Stagner, 757 F.2d 988, 994-95 (9th Cir.) (courts "must give due  
 14 weight to the substantial state interest in preserving orderly trials, in judicial  
 15 efficiency, and in excluding unreliable or prejudicial evidence"), amended by  
 16 768 F.2d 1090 (9th Cir. 1985); see also Galvan v. Yates, 587 F. App'x 361, 362  
 17 (9th Cir. 2014) (concluding that state court's decision was neither contrary to,  
 18 nor involved an unreasonable application of, clearly established federal law  
 19 because the evidence proffered was only marginally relevant and its exclusion  
 20 was not disproportionate to the legitimate purposes it served); Daniels v.  
 21 Henry, 281 F. App'x 663, 663-664 (9th Cir. 2008) (finding the state court's  
 22 decision to exclude irrelevant evidence was not contrary to, nor involved an  
 23 unreasonable application of, clearly established federal law); Drew v. Scribner,  
 24 252 F. App'x 815, 818-19 (9th Cir. 2007) (concluding that the petitioner was  
 25 not entitled to habeas relief on claim that the trial court erred in excluding  
 26 evidence regarding mental capacity where evidence was not relevant).

27       Further, even if the trial court erred in excluding this evidence, the error  
 28 did not have "substantial and injurious effect or influence in determining the

1 jury's verdict" in light of other evidence. See Brecht, 507 U.S. at 637. Notably,  
2 the responding law enforcement officer testified Petitioner as to Petitioner's  
3 demeanor after the shooting, describing him as holding Jason, emotionally  
4 distraught, and crying. 10 RT 1739. As the court of appeal noted, the jurors  
5 could have reasonably concluded from this evidence that Petitioner was upset  
6 and remorseful. See Lodgment 1, Appendix A at 44.<sup>5</sup>

7 The state court's rejection of this claim was neither contrary to, nor  
8 involved an unreasonable application of, clearly established federal law, as  
9 determined by the United States Supreme Court. Nor was it based on an  
10 unreasonable determination of the facts. Petitioner is not entitled to habeas  
11 relief on this claim.

12 3. Evidence of Jason's Vandalism

13 In Ground Five, Petitioner argues the trial court violated his right to  
14 present a complete defense by excluding evidence that Jason vandalized his  
15 former girlfriend's car several hours after he physically assaulted her. FAP at 6;  
16 Lodgment 1 at 35-36. Petitioner contends that such evidence showed Jason's  
17 propensity for violence and was relevant to his claim of self-defense. FAP at 6.

18 At trial, Petitioner was permitted to introduce evidence of Jason's violent  
19 character pursuant to Section 1103. Jason's former girlfriend, Tashianna Lewis  
20 ("Lewis"), testified that Jason punched her when she was seventeen years old  
21 and gave her a black eye. 8 RT 1439-40. Lewis also testified that during an  
22 argument in November 2014, Jason was angry and cursing, and he punched

---

23  
24 <sup>5</sup> In concluding that any error in excluding the evidence was not prejudicial, the  
25 California Court of Appeal applied the "reasonable probability" standard articulated  
26 in People v. Watson, 46 Cal. 2d 818, 836 (1956), which asks whether "it is  
27 reasonably probable that a result more favorable to the appealing party would have  
28 been reached in the absence of the error." See Lodgment 1, Appendix A at 44. The  
Ninth Circuit has determined that the Watson standard is equivalent to the federal  
Brecht standard. Bains v. Cambra, 204 F.3d 964, 971 n.2 (9th Cir. 2000).

1 her with a closed fist, which left Lewis with a black eye and caused her to fall  
2 and lose consciousness. 8 RT 1421-25, 1430. When Lewis regained  
3 consciousness about one minute later, she saw Jason retrieve a metal baseball  
4 bat from his car trunk. 8 RT 1425. Jason then raised the bat over Lewis, who  
5 was still on the ground, and said, "I'll knock your ass out." 8 RT 1425-26.  
6 Finally, Lewis testified that a week after the November 2014 argument, Jason  
7 was angry and cursing at her when she was at Petitioner's ranch to pick up her  
8 daughter, of whom Jason is the father. 8 RT 1429, 1431, 1434. Petitioner was  
9 calm. 8 RT 1435. After Petitioner told Lewis that she could take her daughter,  
10 Jason approached Petitioner in an aggressive manner, cursing at Petitioner  
11 because Jason did not want Lewis to take their daughter. 8 RT 1435-37. Jason  
12 then pushed Petitioner and wrestled with him on the ground. 8 RT 1437-38.  
13 Petitioner appeared to have been injured during this confrontation. 8 RT 1438.

14 Petitioner also attempted to introduce evidence that after the 2014  
15 incident involving Lewis, Jason returned to the neighborhood and used a  
16 baseball bat to knock out the windows of Lewis's car. 8 RT 1400. Interpreting  
17 Section 1103 to concern only instances of violence against a person, not  
18 property, the trial court concluded that evidence of Jason's vandalism was  
19 inadmissible. 8 RT 1402-03, 1449. The trial court further concluded that even  
20 if Section 1103(a) included instances of violence against property, this evidence  
21 would be inadmissible under Section 352 because Lewis's testimony that Jason  
22 assaulted her on two separate occasions and was the aggressor in a physical  
23 confrontation involving Petitioner made this evidence cumulative and more  
24 prejudicial than probative. 8 RT 1449-50.

25 i. The California Court of Appeal Decision

26 The California Court of Appeal rejected Petitioner's claim regarding the  
27 exclusion of evidence concerning Jason's vandalism as follows (Lodgment 1,  
28 Appendix A at 47-48 (footnote omitted)):

1           We need not, and do not, decide whether the trial court  
2 properly ruled that evidence of a victim's violence toward an  
3 object, rather than a person, is inadmissible under section 1103,  
4 subdivision (a), as the second ground relied upon by the trial court  
5 to exclude that evidence supports its ruling. Specifically, the trial  
6 court excluded the evidence of the car vandalism pursuant to  
7 section 352, explaining that it had already allowed testimony  
8 about three violent acts by Jason, and a fourth incident would be  
9 unnecessarily cumulative. Further, as the trial court stated, the  
10 other three violent acts by Jason were more probative of whether  
11 he may have violently attacked [Petitioner] in this case because  
12 those three incidents concerned violence against people, whereas  
13 the vandalism of the car concerned only violence against an object.  
14 The trial court was within its discretion to conduct a weighing  
15 analysis under section 352 to conclude that the evidence about the  
16 vandalism of the car was cumulative of the other evidence (People  
17 v. Brown (2003) 31 Cal.4th 518, 576 [§ 352 "permits the exclusion  
18 of evidence on the ground that it is cumulative"]), and to exclude  
19 it on the basis that it had far less probative value with respect to  
20 [Petitioner's] self-defense claim than the other three violent  
21 incidents because it did not involve violence directed at a person.

22           Further, any error in excluding the girlfriend's testimony  
23 that Jason vandalized her car was not prejudicial because the jury  
24 learned of the incident through [Petitioner's] testimony in any  
25 event. During [Petitioner's] testimony, he was asked by defense  
26 counsel what details Jason's girlfriend had given him about being  
27 assaulted by Jason. [Petitioner] testified as follows:

28           "A. She told me that Jason had got a baseball bat at her

1 and, uh, he broke out windows, and she—she was—

2 "Q. Did she mention him punching her in the face?

3 "A. Yeah, she did say that. She said she got punched in  
4 the face the first time, then the baseball bat thing."

5 Based on this testimony, the jury was made aware that Jason was  
6 also involved in a violent incident during which he broke his  
7 girlfriend's windows. As the jury was already aware that Jason  
8 committed vandalism against his girlfriend's property, it is not  
9 reasonably probable [Petitioner] would have obtained a more  
10 favorable result at trial had the jury heard additional testimony  
11 from the girlfriend about that incident.

12 The California Court of Appeal also rejected Petitioner's contention that  
13 this evidence should have been admitted because it demonstrated Jason's  
14 character trait for remaining angry for a sustained period of time. The court of  
15 appeal explained (Lodgment 1, Appendix A at 48 n.29):

16 According to [Petitioner], Jason's character trait for sustained  
17 anger is relevant in this case because Jason had an angry argument  
18 with [Petitioner] earlier in the day of the shooting. Although we  
19 understand the argument, we conclude that it is not reasonably  
20 probable that [Petitioner] would have obtained a more favorable  
21 result at trial had the jury heard evidence suggesting Jason's  
22 capacity for sustained anger. The jury was already aware that there  
23 was some sort of angry confrontation between [Petitioner] and  
24 Jason near the ATV shed immediately before the shooting, during  
25 which, even according to Jason's friend, Jason repeatedly called  
26 [Petitioner] a "son of a bitch." The main disputed issue was  
27 whether [Petitioner] was legally justified in shooting Jason as a  
28 result of that angry confrontation.

1                   ii. Analysis

2                   The trial court excluded the evidence under Section 352, concluding that  
3 it was cumulative and more prejudicial than probative. Section 352 affords the  
4 trial court discretion to “exclude evidence if its probative value is substantially  
5 outweighed by the probability that its admission will (a) necessitate undue  
6 consumption of time or (b) create substantial danger of undue prejudice, of  
7 confusing the issues, or of misleading the jury.”

8                   The Supreme Court has not decided whether an evidentiary rule that  
9 requires a trial court to “balance factors and exercise its discretion” might  
10 violate a defendant’s right to a present a defense. See Moses, 555 F.3d at 758.  
11 The Ninth Circuit recently reiterated the lack of clearly established Supreme  
12 Court precedent on this issue:

13                   We have previously held that a trial court’s exercise of discretion  
14 to exclude evidence under a rule of evidence that requires  
15 balancing probative value against prejudice could not be an  
16 unreasonable application of clearly established Supreme Court  
17 precedent, because the Court has never addressed the question  
18 whether such a rule could violate a defendant’s constitutional  
19 rights. See Moses, 555 F.3d at 758-59. No Supreme Court decision  
20 has established such a rule since we reached this conclusion in  
21 Moses. Therefore, no reasonable jurist could disagree with the  
22 district court’s conclusion that the state court’s rejection of  
23 [petitioner’s] Crane claim was not contrary to, or an unreasonable  
24 application of, clearly established federal law.

25 Robertson v. Pichon, 849 F.3d 1173, 1189 (9th Cir. 2017). In the absence of  
26 clearly established federal law, the Court cannot conclude that the state court’s  
27 rejection of Petitioner’s claim was either contrary to, or involved an  
28 unreasonable application of, clearly established federal law, as determined by

1 the United States Supreme Court. See Knowles, 556 U.S. at 122; Brewer v.  
2 Hall, 378 F.3d 952, 955 (9th Cir. 2004) (“If no Supreme Court precedent  
3 creates clearly established federal law relating to the legal issue the habeas  
4 petitioner raised in state court, the state court’s decision cannot be contrary to  
5 or an unreasonable application of clearly established federal law.”); Berry v.  
6 Davey, 2017 WL 7310097, at \*6-7 (C.D. Cal. Oct. 25, 2017) (denying habeas  
7 relief for state court’s exclusion of impeachment evidence in the absence of  
8 Supreme Court precedent), report and recommendation accepted by, 2018 WL  
9 671153 (C.D. Cal. Jan. 31, 2018), appeal dismissed, 2018 WL 3344913 (9th  
10 Cir. May 25, 2018); cf. Borges v. Gipson, 2013 WL 6240423, at \*15-17 (C.D.  
11 Cal. Dec. 2, 2013) (finding that trial court’s exclusion of proposed cross-  
12 examination pursuant to Section 352 did not warrant habeas relief).

13 In addition, the affirmance of the exclusion of evidence of Jason’s  
14 vandalism was objectively reasonable. Under Section 1103(a), Petitioner was  
15 permitted to introduce evidence of Jason’s violent character to support his self-  
16 defense claim. The trial court allowed Lewis to testify regarding two instances  
17 of Jason’s physical assault against her and an incident where Jason approached  
18 Petitioner in an aggressive manner and then pushed him and wrestled with  
19 him on the ground. As Petitioner introduced evidence of three separate events  
20 to establish Jason’s character for violence, the California Court of Appeal  
21 reasonably determined that evidence of Jason’s vandalism, which was offered  
22 to support the same point, would be cumulative. In addition, the three  
23 instances of Jason’s violent character had greater probative value to  
24 Petitioner’s defense because, unlike the excluded testimony, which related to  
25 Jason’s violence against property, the admitted evidence involved Jason’s  
26 violence towards other individuals. Considering the comparatively lower  
27 probative value of the excluded evidence, the state courts reasonably  
28 concluded that the evidence would be more prejudicial than probative.

1       Further, any error in the exclusion of this evidence did not have  
2       “substantial and injurious effect or influence in determining the jury’s verdict.”  
3       See Brecht, 507 U.S. at 637. As the California Court of Appeal noted, the jury  
4       heard testimony from Petitioner that Jason was involved in a violent incident  
5       during which he broke Lewis’s windows, and the jury was presented with  
6       evidence that there was an angry confrontation between Petitioner and Jason  
7       immediately before the shooting. 5 RT 806-807; 10 RT 1743, 1859, 1868, 1870-  
8       71; 11 RT 2008; 13 RT 2283. In light of the other evidence presented,  
9       Petitioner has failed to demonstrate that any error in the exclusion of this  
10      evidence resulted in “actual prejudice.” See Brecht, 507 U.S. at 637.

11 The state court's rejection of this claim was neither contrary to, nor  
12 involved an unreasonable application of, clearly established federal law, as  
13 determined by the United States Supreme Court. Nor was it based on an  
14 unreasonable determination of the facts. Petitioner is not entitled to habeas  
15 relief on this claim.

VII.

## RECOMMENDATION

18 IT IS THEREFORE RECOMMENDED that the District Judge issue  
19 an Order: (1) approving and accepting this Report and Recommendation; and  
20 (2) directing that Judgment be entered denying the FAP and dismissing this  
21 action with prejudice.

23 | Dated: October 22, 2019

  
John D. Early  
United States Magistrate Judge