

Appendix A

UNITED STATES COURT OF APPEALS FOR THE SEVENTH CIRCUIT

Everett McKinley Dirksen United States Courthouse
Room 2722 - 219 S. Dearborn Street
Chicago, Illinois 60604



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FINAL JUDGMENT

March 17, 2020

Before:

FRANK H. EASTERBROOK, Circuit Judge
ILANA DIAMOND ROVNER, Circuit Judge
AMY C. BARRETT, Circuit Judge

No. 19-2651	ROGER C. DAY, JR., Petitioner - Appellant v. T. J. WATSON, Warden, Respondent - Appellee
Originating Case Information:	
District Court No: 2:19-cv-00200-JMS-DLP Southern District of Indiana, Terre Haute Division District Judge Jane Magnus-Stinson	

The judgment of the District Court is **AFFIRMED**, with costs, in accordance with the decision of this court entered on this date.

United States Court of Appeals
For the Seventh Circuit
Chicago, Illinois 60604

Submitted March 13, 2020*
Decided March 17, 2020

Before

FRANK H. EASTERBROOK, *Circuit Judge*

ILANA DIAMOND ROVNER, *Circuit Judge*

AMY C. BARRETT, *Circuit Judge*

No. 19-2651

ROGER C. DAY, JR.,
Petitioner-Appellant,

v.

T.J. WATSON,
Respondent-Appellee.

Appeal from the United States District
Court for the Southern District of Indiana,
Terre Haute Division.

No. 2:19-cv-00200-JMS-DLP

Jane Magnus-Stinson,
Chief Judge.

ORDER

This is the latest in a long sequence of cases filed by Roger C. Day, Jr., now a federal prisoner in Indiana, challenging the validity of his criminal convictions related to his multimillion-dollar scheme to defraud the United States Department of Defense. In this suit, Day invokes 18 U.S.C. § 3192, codifying the Rule of Specialty, a principle of

* We have agreed to decide this appeal without oral argument because the briefs and the record adequately present the facts and legal arguments, and oral argument would not significantly aid the court. FED. R. APP. P. 34(a)(2)(C).

treaty law providing that an extradited defendant may be prosecuted "only to the extent expressly authorized by the surrendering nation in the grant of extradition." *United States v. Stokes*, 726 F.3d 880, 887-89 (7th Cir. 2013); *see Fiocconi v. Attorney Gen. of the U.S.*, 462 F.2d 475, 482 (2d Cir. 1972). Recognizing that Day was a federal prisoner challenging the fact or duration of his custody, the district court told Day he would have to proceed under 28 U.S.C. § 2241. When Day refused, the court dismissed his suit for failure to prosecute and failure to follow the court's orders. Because Day's exclusive remedy is a collateral attack under § 2241 or 28 U.S.C. § 2255, there was no abuse of discretion in the district court's dismissal of his action, and we therefore affirm.

Day schemed to defraud the Department of Defense by supplying it with defective parts for military aircraft, vehicles, and weapons systems. *United States v. Day*, 700 F.3d 713, 716-17 (4th Cir. 2012). He directed much of the conspiracy from Mexico, where he was arrested after the conspiracy unraveled. *Id.* at 718. He was later indicted on charges of wire fraud and conspiracy to commit money laundering, among other crimes. *Id.* Two years later, the Mexican government extradited him to the United States on all the charges in the indictment except identity theft and obstruction of justice. *Id.* After a jury in the Eastern District of Virginia found him guilty on all counts, he was sentenced to 1,260 months in prison plus a \$3 million fine, restitution exceeding \$6 million, and the forfeiture of property valued at more than \$2 million. *Id.* at 719.

Day proceeded to file numerous unsuccessful actions challenging his convictions and sentence, including: a direct appeal to the Fourth Circuit, *see Day*, 700 F.3d at 716-17; a § 2255 motion in the Eastern District of Virginia, *see United States v. Day*, No. 3:07cr154-JAG-3, 2016 WL 96161, at *1 (E.D. Va. Jan. 8, 2016); a petition under § 2241 in the District of Columbia, *see Day v. Trump*, 860 F.3d 686, 688 (D.C. Cir. 2017); another § 2241 petition in the Southern District of Indiana, *see Day v. Daniels*, 673 F. App'x 582, 583 (7th Cir. 2017); a suit against the Executive Office of the President, *see Day v. Exec. Office of the President*, 697 F. App'x 17 (7th Cir. 2017); a complaint under 18 U.S.C. § 3192 in the District of Columbia, *see Day v. Trump*, 744 F. App'x 1 (D.C. Cir. 2018); and a second § 2255 motion in the Eastern District of Virginia, *see United States v. Day*, 746 F. App'x 212, 213 (4th Cir. 2018).

In April 2019, Day brought this action against the warden of the United States Penitentiary in Terra Haute, alleging that he had been "tried and punished for offenses NOT specified in the warrant of extradition," in violation of § 3192. He sought a jury trial and "full relief," including release from detention.

Day also applied to proceed in forma pauperis, a request that the district court granted. In that order, the district court noted that, although Day had labeled his lawsuit a "Complaint" under § 3192, the clerk's office had categorized it as a petition for a writ of habeas corpus under § 2241. The court agreed that "Day appears to assert that he is being improperly held." But Day's "complaint" did not comply with the required format for habeas petitions, *see* Rules Governing Section 2254 Cases in the United States District Courts, 28 U.S.C. § 2254, Rule 2(d) (2019) (applied to § 2241 cases through Rule 1(b)), so the court provided Day with the proper form and ordered him to file an amended petition using it. Instead, Day filed a motion to reconsider, insisting that his complaint was not a habeas petition or a suit under 42 U.S.C. § 1983 (more precisely, under *Bivens v. Six Unknown Named Agents of Fed. Bureau of Narcotics*, 403 U.S. 388 (1971)); rather, it fell exclusively under 18 U.S.C. § 3192. The court denied this motion and repeated that Day needed to file a habeas petition because he challenged the validity of his confinement. Day ignored this order. He filed a notice of appeal, which this court promptly dismissed for lack of jurisdiction (because Day had not appealed from a final judgment, *see* 28 U.S.C. § 1291). Shortly afterward, the district court entered an order stating that Day had "demonstrated that he does not wish to pursue this habeas action" and dismissed the action for failure to prosecute and failure to follow court orders. Day appeals, and we review the decision for an abuse of discretion. *See Salata v. Weyerhaeuser*, 757 F.3d 695, 699–700 (7th Cir. 2014).

Day reiterates that the district court erroneously treated his complaint as a habeas petition when his suit is properly conceived as an action for relief under 18 U.S.C. § 3192 for a violation of the Rule of Specialty. He contends that § 3192 creates a private right of action enabling him to pursue a civil case for relief. (Why he should sue his warden, who had nothing to do with his extradition or prosecution, remains a mystery.) Although we have not yet answered this question, we have previously determined that, because "treaties create rules for relations between nations," defendants cannot raise Rule of Specialty arguments in their criminal cases. *See United States v. Burke*, 425 F.3d 400, 408 (7th Cir. 2005); but *see Stokes*, 726 F.3d at 889 (reexamining *Burke* and considering whether, as other circuits have held, criminal defendants have standing to raise Rule of Specialty violations).

But we need not decide whether § 3192 creates a private right of action, because, as the district court recognized, Day challenges his conviction and seeks release, and a collateral attack is the exclusive means of contesting ongoing custody pursuant to a conviction that has not been invalidated. *See Heck v. Humphrey*, 512 U.S. 477, 484–87 (1994); *Clemente v. Allen*, 120 F.3d 703, 705 (7th Cir. 1997) (extending *Heck* to *Bivens*

actions). Day insists otherwise, arguing that he has no remedy through habeas corpus or § 2255 because those actions are subject to a one-year limitations period not found in § 3192. *See* 28 U.S.C. §§ 2244(d)(1), 2255(f). He continues that § 2241 relief is unavailable to him because it is designed for federal prisoners who challenge their “conditions of confinement.” He is wrong—§ 2241 covers challenges to the validity of one’s conviction and sentence. *Id.* § 2241(c)(3); *Collins v. Holinka*, 510 F.3d 666, 667 (7th Cir. 2007); *see also* *Glaus v. Anderson*, 408 F.3d 382, 386–88 (7th Cir. 2005) (petitioner could not use § 2241 petition to challenge inadequate medical care). Further, the one-year limitations period of 28 U.S.C. § 2244(d)(1) does not apply to habeas petitions brought by federal prisoners under § 2241. *Morales v. Bezy*, 499 F.3d 668, 672 (7th Cir. 2007). We do note, however, that if Day chooses to seek § 2241 relief in the future, he would need to show that a remedy under § 2255 would be “inadequate or ineffective to test the legality of his conviction.” § 2255(e); *Brown v. Rios*, 696 F.3d 638, 640 (7th Cir. 2012).

By arguing that his conviction and resulting punishment are invalid because he was tried for offenses outside the grant of extradition, Day collaterally attacks his conviction. *See Okoro v. Callaghan*, 324 F.3d 488, 490 (7th Cir. 2003). But “Heck holds that he may not do that in a civil suit, other than a suit under the habeas corpus statute or its federal-defendant equivalent, 28 U.S.C. § 2255.” *Id.*; *see also Tolliver v. City of Chicago*, 820 F.3d 237, 243–44 (7th Cir. 2016). The district court’s dismissal of this case was premised on largely the same reasoning, and so it did not abuse its discretion by dismissing the case when Day refused to amend his pleading.

A final note. Given Day’s litigiousness, a warning of sanctions is now appropriate. If Day pursues his efforts to undo his conviction through frivolous actions, he may face sanctions in the district court, FED. R. CIV. P. 11(b)(2), (c)(3); *Bell v. Vacuforce, LLC*, 908 F.3d 1075, 1079–81 (7th Cir. 2018), or on appeal, FED. R. APP. P. 38; *Harris N.A. v. Hershey*, 711 F.3d 794, 801–03 (7th Cir. 2013).

AFFIRMED

Appendix B

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF INDIANA
TERRE HAUTE DIVISION

ROGER CHARLES DAY, JR.,)
Petitioner,)
v.) No. 2:19-cv-00200-JMS-DLP
WARDEN,)
Respondent.)

Order Directing Dismissal of Action

Roger Day's submission of April 29, 2019, was treated as a petition for a writ of habeas corpus pursuant to 28 U.S.C. § 2241. Because the submission states that it is a "Complaint" for violation of 18 U.S.C. § 3192, but Day is asserting that he is being improperly held, the Court explained that it did not have enough information to proceed with this case. The Court also stated it was unclear what relief is sought. Dkt. 5.

Mr. Day responded with a motion for reconsideration stating that he intends this action to be a civil action under 18 U.S.C. § 3192, not a habeas petition, and that the relief he seeks is consistent with a finding that "there is no authority . . . to seize, seize, arrest, confine, or detain any person extradited" for an offense other than the offense for which that person was extradited. In other words, Mr. Day is challenging his custody. Because challenges to the validity of confinement must be filed as a petition for a writ of habeas corpus, *not* as a civil rights action, *see Muhammad v. Close*, 540 U.S. 749, 750 (2004) (per curiam) ("Challenges to the validity of any confinement or to particulars affecting its duration are the province of habeas corpus; requests for relief turning on circumstances of confinement may be presented in a § 1983 action."), the Court denied the motion for reconsideration and explained that Mr. Day must bring the claim in a § 2241 habeas

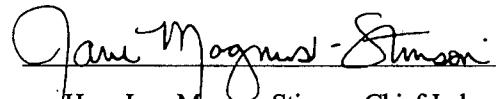
action. Dkt. 7. The Court provided Mr. Day with a form petition for writ of habeas corpus and gave him through June 24, 2019, to file a petition for a writ of habeas corpus.

Instead of filing a petition for a writ of habeas corpus or otherwise explaining how his complaint does not challenge his custody, Mr. Day filed a Notice of Appeal. Mr. Day has therefore demonstrated his that he does not wish to pursue this habeas action and this action is dismissed without prejudice for failure to follow Court orders and failure to prosecute. As the Court previously noted, Mr. Day cannot obtain the relief he seeks – release from custody – in a civil rights case. But this ruling does not preclude Mr. Day from filing a proper civil rights case if he chooses to do so. The **clerk shall** include a form civil rights complaint with Mr. Day's copy of this Order.

Judgment dismissing this action without prejudice shall now issue.

IT IS SO ORDERED.

Date: 8/12/2019



Hon. Jane Magnus-Stinson, Chief Judge
United States District Court
Southern District of Indiana

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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF INDIANA
TERRE HAUTE DIVISION

ROGER CHARLES DAY, JR.,

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Petitioner,

)

v.

)

No. 2:19-cv-00200-JMS-DLP

WARDEN,

)

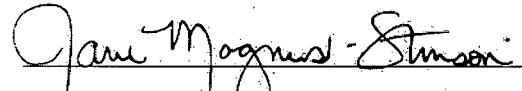
Respondent.

)

FINAL JUDGMENT

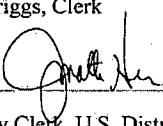
The Court having this day issued its Entry directing the entry of final judgment, now enters
FINAL JUDGMENT and this action is **dismissed without prejudice**.

Date: 8/12/2019



Hon. Jane Magnus-Stinson, Chief Judge
United States District Court
Southern District of Indiana

Laura A. Briggs, Clerk

BY: 

Deputy Clerk, U.S. District Court

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United States Court of Appeals
For the Seventh Circuit
Chicago, Illinois 60604

April 13, 2020

Before

FRANK H. EASTERBROOK, *Circuit Judge*

ILANA DIAMOND ROVNER, *Circuit Judge*

AMY C. BARRETT, *Circuit Judge*

No. 19-2651

ROGER C. DAY, JR.,
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No. 2:19-cv-00200-JMS-DLP
Jane Magnus-Stinson, *Chief Judge.*

Order

Petitioner-Appellant filed a petition for rehearing and rehearing en banc on March 27, 2020. No judge in regular active service has requested a vote on the petition for rehearing en banc, and all of the judges on the panel have voted to deny rehearing. The petition for rehearing is therefore DENIED.

**Additional material
from this filing is
available in the
Clerk's Office.**