

No. \_\_\_\_\_

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In the  
**Supreme Court of the United States**

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JAMES DAVID O'BRIEN,  
Petitioner,

VS.

UNITED STATES SECURITIES AND  
EXCHANGE COMMISSION,  
Respondent.

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On Petition for Writ of Certiorari to  
the United States Court of Appeals for the  
Second Circuit

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**CERTIFICATE OF SERVICE**

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I hereby certify that I am a member in good standing of the bar of this Court and that on this 10<sup>th</sup> day of June, 2021, I caused three (3) copies of the Petition for Writ of Certiorari to be served by third-party commercial carrier on the counsel identified below, and caused an electronic version to be transmitted to the counsel identified below, pursuant to Rule 29.5 of the Rules of this Court. All parties required to be served have been served.

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Executed on June 10, 2021.



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