

APPENDIX - .01

1-19-cv-323
AW/GRJ

ADAM P MCNIECE
10 ORCHARD ST
NANTIC, CT 06357

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NORTHERN DISTRICT OF FLORIDA
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**IN THE UNITED STATES DISTRICT COURT FOR THE
NORTHERN DISTRICT OF FLORIDA
GAINESVILLE DIVISION**

ADAM P McNIECE,

Plaintiff,

v.

CASE No. 1:19-cv-323-AW-GRJ

**TOWN OF YANKEETOWN,
et al.,**

Defendants.

_____ /

ORDER ON REMAND

Based on the mandate of the United States Court of Appeals for the Eleventh Circuit (ECF No. 24), this court's earlier order and judgment (ECF Nos. 13 & 14) are VACATED. The clerk will enter a new judgment that says, "This case is dismissed for failure to state a claim." The clerk will then again close the file.

SO ORDERED on March 3, 2021.

s/ Allen Winsor
United States District Judge

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF FLORIDA
GAINESVILLE DIVISION**

ADAM P MCNIECE

VS

CASE NO. 1:19-cv-00323-AW-GRJ

TOWN OF YANKEETOWN et al

JUDGMENT

This case is dismissed for failure to state a claim.

JESSICA J. LYUBLANOVITS
CLERK OF COURT

March 3, 2021
DATE

Deputy Clerk: Kelli Malu

APPENDIX - .02

IN THE UNITED STATES DISTRICT COURT FOR THE
NORTHERN DISTRICT OF FLORIDA
GAINESVILLE DIVISION

ADAM P McNIECE,

Plaintiff,

v.

CASE NO. 1:19-cv-323-AW-GRJ

TOWN OF YANKEETOWN;
RALF BROOKES; LEVY COUNTY;
STATE OF FLORIDA; U.S. FEDERAL
GOVERNMENT; U.S. ATTORNEY,

Defendants.

REPORT AND RECOMMENDATION

Plaintiff, a resident of Niantic, Connecticut who is proceeding *pro se*, initiated this case by filing a Complaint (ECF No. 1) and paying the civil case filing fee. The Court concluded that Plaintiff's original complaint failed to establish a basis for the exercise of federal subject matter jurisdiction and failed to otherwise state a claim for relief against the named defendants, and the Court ordered Plaintiff to file an amended complaint. ECF No. 4. Plaintiff then filed a First Amended Complaint, which was stricken because Plaintiff failed to use the Court's form for *pro se* complaints and also exceeded the 25-page page limit of N.D. Fla. Local Rule 5.7(B). The Court afforded Plaintiff an additional opportunity to file an amended complaint. ECF No. 6. This case is now before the Court upon

Plaintiff's Second Amended Complaint, ECF No. 7 ("Complaint"). For the following reasons, it is respectfully recommended that the Complaint be dismissed for lack of subject matter jurisdiction and failure to state a claim upon which relief may be granted.

Plaintiff names the following defendants in his Complaint: The Town of Yankeetown, Florida; Levy County, Florida; Attorney Ralf Brookes of Cape Coral, Florida; the State of Florida; and the "U.S. Federal Government/U.S. Attorney". ECF No. 7. According to the allegations of the Complaint, Plaintiff owns property in Yankeetown that was subject to fines for code violations. Plaintiff alleges that Yankeetown brought him to trial without notifying Plaintiff of "any charges or violations that [he] may have committed," and that Plaintiff is now paying fines of \$100 per day for "unknown and unspecified code violations." Plaintiff alleges that Yankeetown has placed a lien on all of his property in Levy County. ECF No. 7 at 5.

Plaintiff asserts that he sought relief in Levy County court by way of a "petition for a redress of grievances," but the court recharacterized his case as a "writ of certiorari" and dismissed it without a hearing, in violation of Plaintiff's right to due process. Plaintiff alleges that the Special Master for his case "acted as both Prosecutor and Judge," and engaged in *ex parte* communications with the local government, denying Plaintiff an impartial

referee. Plaintiff alleges that Yankeetown “provides its citizens with expensive poisonous water,” and that the State of Florida and Governor DeSantis have not adequately addressed the contaminated water issue. *Id.* at 6.

Plaintiff contends that attorney Ralf Brookes, who represents the Town of Yankeetown, claimed in county court that Plaintiff was only entitled to a writ of certiorari instead of a petition for redress, and the court agreed with Brookes’ argument. *Id.* He asserts that Levy County “ignored a County Commissioner appeal, and a Land Classification appeal that [he] requested.” Yankeetown “ignored” Plaintiff’s appeals, and “instead took [him] directly to trial.” *Id.* Plaintiff claims that he has lost revenue due to losing sales contracts on his properties because of the Yankeetown liens. He also claims that Yankeetown has denied him electric service to his property. *Id.*

Plaintiff claims that the foregoing facts establish violations of his rights under the First, Fourth, Fifth, Eighth, and Fourteenth Amendments. Plaintiff seeks injunctive and monetary relief. *Id.* at 7-9.

Since Plaintiff has paid the full filing fee, his Complaint is not subject to the screening provisions of the *in forma pauperis* statute, 28 U.S.C. § 1915. Nevertheless, it is well established that federal courts are obligated to inquire into subject matter jurisdiction *sua sponte* whenever it may be

lacking.¹

Federal courts are courts of limited jurisdiction that possess only that power authorized by the Constitution and federal statutes. See, e.g., *Delaware v. Van Arsdall*, 475 U.S. 673, 692 (1986). A plaintiff invoking the court's jurisdiction must establish the basis for such jurisdiction in the complaint. See *Taylor v. Appleton*, 30 F.3d 1365, 1367 (11th Cir. 1994). Pursuant to 28 U.S.C. § 1332(a)(1), the Court has jurisdiction over cases where there is complete diversity of citizenship among the parties and the amount in controversy exceeds \$75,000. Further, the Court has subject matter jurisdiction over cases involving a federal question.²

Plaintiff contends that the basis for federal jurisdiction over his claims is federal question jurisdiction, and that his claims arise under 42 U.S.C. § 1983 and *Bivens v. Six Unknown Named Agents of Federal Bureau of Narcotics*, 403 U.S. 388 (1971). ECF No. 7 at 3-5. Even liberally construed, the allegations of the Complaint are insufficient to establish the existence of a federal question. The promulgation and enforcement of property and building codes is generally a matter of state and local law, and a barebones allegation that Plaintiff has been denied a federal

¹ See *Cadet v. Bulger*, 377 F.3d 1173, 1179 (11th Cir. Fla. 2004).

² 28 U.S.C. § 1331.

constitutional right is insufficient to establish a basis for the exercise of federal jurisdiction.

To assert a claim for relief under 42 U.S.C. § 1983, a plaintiff must allege that: (1) an act or omission deprived him of a right, privilege, or immunity secured by the Constitution or a statute of the United States; and (2) the deprivation occurred under color of state law. *Richardson v. Johnson*, 598 F.3d 734, 737 (11th Cir. 2010); see 42 U.S.C. § 1983 (providing a cause of action against a “person” who, under color of state law, deprives a citizen of a right, privilege, or immunity secured by the Constitution or laws). The plaintiff must allege facts showing an affirmative causal connection between each individual defendant’s conduct and the alleged deprivation of his constitutional rights. *Zatler v. Wainwright*, 802 F.2d 397, 401 (11th Cir. 1986). If a plaintiff cannot satisfy these requirements or fails to provide factual allegations in support of his claim, the complaint is subject to dismissal. *Id.* at 737-38.

The Town of Yankeetown, Levy County, and the State of Florida are not “persons” who may properly be named as defendants for purposes of liability under § 1983 on the facts alleged in the Complaint. Further, there are no factual allegations suggesting that Yankeetown or Levy County, as governmental entities, are liable to Plaintiff under any other theory of liability cognizable under § 1983, such as municipal liability. See *Monell v.*

Department of Social Servs., 436 U.S. 658 (1978). Defendant Ralf Brookes is an individual, but even assuming that he may be deemed a “state actor,” the allegations of the Complaint are wholly insufficient to establish that Brookes violated any of Plaintiff’s federal constitutional rights.

Moreover, under the Eleventh Amendment, states and state agencies generally cannot be sued in federal court. *Pennhurst State Sch. & Hosp. v. Halderman*, 465 U.S. 89, 100 (1984); *Abusaid v. Hillsborough Cty. Bd. of Cty. Comm’rs*, 405 F.3d 1298, 1302 (11th Cir. 2005). On the facts alleged, Plaintiff’s claims against the “State of Florida” are barred by Eleventh Amendment immunity.

Bivens created a cause of action against federal *officials* who violate a person’s federal constitutional rights. In a *Bivens* action, defendants may be liable to a plaintiff only if they are personally responsible or causally connected to the alleged *constitutional* violation. *Hope v. Bureau of Prisons*, 476 F. App’x 702 (11th Cir. 2012). The “U.S. Federal Government/U.S. Attorney” are not properly named as defendants in a *Bivens* action, and there are no factual allegations suggesting that any federal official violated Plaintiff’s constitutional rights.

In sum, the allegations of the Second Amended Complaint fail to state a cognizable claim under either § 1983 or *Bivens*, and therefore Plaintiff has failed to establish a basis for the exercise of federal subject

matter jurisdiction. It is respectfully **RECOMMENDED** that this case should be **DISMISSED**.

IN CHAMBERS this 16th day of January 2020.

s/ Gary R. Jones

GARY R. JONES

United States Magistrate Judge

NOTICE TO THE PARTIES

Objections to these proposed findings and recommendations must be filed within fourteen (14) days after being served a copy thereof. Any different deadline that may appear on the electronic docket is for the court's internal use only, and does not control. A copy of objections shall be served upon all other parties. If a party fails to object to the magistrate judge's findings or recommendations as to any particular claim or issue contained in a report and recommendation, that party waives the right to challenge on appeal the district court's order based on the unobjected-to factual and legal conclusions. See 11th Cir. Rule 3-1; 28 U.S.C. § 636.

**IN THE UNITED STATES DISTRICT COURT FOR THE
NORTHERN DISTRICT OF FLORIDA
GAINESVILLE DIVISION**

ADAM P McNIECE,

Plaintiff,

v.

CASE NO. 1:19-cv-323-AW-GRJ

**TOWN OF YANKEETOWN,
et al.,**

Defendants.

ORDER OF DISMISSAL

I have considered the magistrate judge's January 16, 2020 Report and Recommendation. ECF No. 8. I have also reviewed de novo the issues raised in Plaintiff's objections. ECF No. 11. I have now determined that the Report and Recommendation should be adopted.

Accordingly, it is now ORDERED as follows:

1. The magistrate judge's Report and Recommendation is adopted and incorporated by reference in this Order.
2. The Clerk will enter a judgment that says "This case is dismissed for lack of subject matter jurisdiction." The Clerk will then close the file.

SO ORDERED on February 3, 2020.

s/ Allen Winsor
United States District Judge

[DO NOT PUBLISH]

IN THE UNITED STATES COURT OF APPEALS
FOR THE ELEVENTH CIRCUIT

No. 20-10716
Non-Argument Calendar

D.C. Docket No. 1:19-cv-00323-AW-GRJ

ADAM P. MCNIECE,

Plaintiff-Appellant,

versus

TOWN OF YANKEETOWN,
RALF BROOKES,
Attorney,
LEVY COUNTY,
STATE OF FLORIDA,

Defendants-Appellees.

Appeal from the United States District Court
for the Northern District of Florida

(June 16, 2020)

Before WILLIAM PRYOR, Chief Judge, WILSON and ROSENBAUM, Circuit Judges.

PER CURIAM:

Adam McNiece appeals the *sua sponte* dismissal of his *pro se* second amended complaint against the State of Florida, Levy County, the Town of Yankeetown, its attorney, Ralf Brookes, and the “U.S. Federal Government/U.S. Attorney.” *See* 42 U.S.C. § 1983. McNiece complained that the defendants violated his constitutional rights when enforcing property codes and moved to submit his future filings electronically. A magistrate judge denied McNiece’s motion based on a local rule that limited *pro se* use of the electronic filing system, N.D. Fla. L.R. 5.4, and twice advised McNiece that his complaint was deficient and that a failure to amend would result in a dismissal. The district court determined that McNiece’s complaint failed to state a claim and dismissed it for lack of subject-matter jurisdiction. We affirm the application of the local rule to McNiece. We vacate the order dismissing McNiece’s complaint for lack of subject-matter jurisdiction and remand with instructions to dismiss with prejudice for failure to state a claim.

McNiece filed a complaint and a motion to use the electronic filing system. He alleged that the state and local governments failed to notify him what property codes he violated before imposing fines, filing liens on his property, and revoking building permits and that the entities summarily denied his appeals and petition for

relief from the enforcement measures. McNiece complained that the actions constituted an unlawful taking under the Fifth Amendment and an excessive fine under the Eighth Amendment, interfered with his right to petition for redress of grievances under the First Amendment, and violated his right to due process under the Fourteenth Amendment.

The magistrate judge determined that McNiece's complaint was "insufficient to establish a basis for the exercise of federal jurisdiction" because "[t]he promulgation and enforcement of property and building codes is generally a matter of state and local law" and he offered only a "barebones allegation that [he] has been denied a federal constitutional right" The magistrate judge stated that McNiece's complaint failed to state a claim under section 1983 because "[t]he Town of Yankeetown, Levy County, and the State of Florida are not properly named as defendants for purposes of liability under § 1983 on the facts alleged in the Complaint"; he failed to "identify [any] individual 'state actors' who allegedly violated his constitutional rights"; and his allegations failed to "establish that [Brookes] is a 'state actor' for purposes of liability under § 1983" or that he violated McNiece's constitutional rights. The magistrate judge "afford[ed] [McNiece] one opportunity to file an Amended Complaint that clearly establishes a basis for . . . his claims" on the form provided to *pro se* litigants. The magistrate judge also denied McNiece's motion "to utilize electronic filing at this stage of the

case” because local rule 5.4(A)(3) limited its use by *pro se* parties and he had “not present[ed] good cause” to be excepted from the rule.

McNiece filed a 177-page amended complaint that repeated the same allegations against the same defendants, and he filed a motion to reconsider his request to use the electronic filing system. The magistrate judge struck McNiece’s amended complaint because he failed to use the form for *pro se* litigants and exceeded the page limitation without “present[ing] any good cause for doing so.” The magistrate judge ordered McNiece to file a second amended complaint and denied McNiece’s motion to reconsider because he had “not presented good cause for allowing electronic filing.”

McNiece’s second amended complaint was similar to his earlier pleadings. He repeated the same claims against the state and local governments and Brookes. But he added the “U.S. Federal Government/U.S. Attorney” as a defendant; a conclusory allegation that Levy County and Yankeetown violated his rights under the Fourth Amendment; and an allegation about *Bivens v. Six Unknown Named Agents of Federal Bureau of Narcotics*, 403 U.S. 388 (1971), as a ground for federal jurisdiction.

The district court adopted the magistrate judge’s recommendation to dismiss McNiece’s second amended complaint for “fail[ure] to state a cognizable claim under either § 1983 or *Bivens*, and therefore . . . to establish a basis for the exercise

of federal subject matter jurisdiction.” The district court ruled that the complaint failed to state a claim under section 1983 because the government entities were “not ‘persons’ who may properly be named as defendants”; McNiece made “no factual allegations suggest[ing] that Yankeetown or Levy County, as governmental entities, are liable . . . under any other theory of liability cognizable under § 1983, such as municipal liability”; McNiece alleged no facts to establish that Brookes was a “state actor” or had violated his constitutional rights; and “[o]n the facts alleged, [McNiece’s] claims against the ‘State of Florida’ [were] barred by Eleventh Amendment immunity.” The district court also ruled that “[t]he ‘U.S. Federal Government/U.S. Attorney’ are not properly named as defendants in a *Bivens* action, and there are no factual allegations suggesting that any federal official violated [McNiece’s] constitutional rights.”

One standard of review governs this appeal. We review the enforcement of local rules for abuse of discretion. *Mann v. Taser Int’l, Inc.*, 588 F.3d 1291, 1302 (11th Cir. 2009). We also review a *sua sponte* dismissal for abuse of discretion. *See Tazoe v. Airbus S.A.S.*, 631 F.3d 1321, 1335–36 (11th Cir. 2011). A district court abuses its discretion when it dismisses an action *sua sponte* without “provid[ing] the plaintiff with notice of its intent to dismiss or an opportunity to respond,” *id.*, unless amendment “would be futile” or “the complaint is patently

frivolous.” *Surtain v. Hamlin Terrace Found.*, 789 F.3d 1239, 1248 (11th Cir. 2015).

McNiece argues that he would have benefited from using the electronic filing system, but we cannot say the district court abused its discretion by denying McNiece’s request to do so. In the Northern District of Florida, “[a] document filed by a party *pro se* . . . may—and if so required by an administrative order or an order in a case must—be filed in hard copy” N.D. Fla. L.R. 5.4(A)(3). The magistrate judge twice told McNiece that Rule 5.4(A)(3) limited the right of *pro se* litigants to submit filings electronically and he “ha[d] not presented good cause” to qualify for an exception to the rule. McNiece does not argue that the magistrate judge’s interpretation of Rule 5.4(A)(3) was unreasonable or that he should have excepted McNiece from the rule. And McNiece does not argue that having to file pleadings in hard copy thwarted his ability to litigate. He had access to the district court through the mail, and he received and responded to its orders to amend his complaint.

The district court erred by dismissing McNiece’s second amended complaint for lack of subject-matter jurisdiction. “Jurisdiction . . . is not defeated . . . by the possibility that the averments might fail to state a cause of action on which petitioners could actually recover” because that determination “calls for a judgment on the merits and not for a dismissal for want of jurisdiction.” *Bell v.*

Hood, 327 U.S. 678, 682 (1946). Federal courts have jurisdiction to entertain actions that concern a federal question, 28 U.S.C. § 1331, like McNiece’s complaint, which sought relief under the Constitution, 42 U.S.C. § 1983, and based on unlawful conduct by a federal official, *Bivens*, 403 U.S. 388. When a complaint, like McNiece’s, alleges a federal question, “dismissal generally must be for failure to state a claim, Fed. R. Civ. P. 12(b), not for want of jurisdiction.” *Marine Coatings of Ala., Inc. v. United States*, 792 F.2d 1565, 1567 (11th Cir. 1986). The district court erred when it conflated McNiece’s “fail[ure] to state a cognizable claim” with a “fail[ure] to establish a basis for the exercise of federal subject matter jurisdiction.” The district court should have dismissed McNiece’s complaint on the ground that it failed to state a claim for which relief can be granted. *See* Fed. R. Civ. P. 12(b)(6).

Although it erred by conflating the merits with subject-matter jurisdiction, the district court was entitled to consider whether McNiece’s complaint stated a claim against any of the defendants. For “[a] pleading . . . [to] state[] a claim for relief[,] it must contain . . . a short and plain statement of the claim showing that the pleader is entitled to relief.” Fed. R. Civ. P. 8(a)(2). That is, the “complaint must contain sufficient factual matter, accepted as true, to ‘state a claim to relief that is plausible on its face.’” *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009). A “claim has facial plausibility when the plaintiff pleads factual content that allows

the court to draw the reasonable inference that the defendant is liable for the misconduct alleged.” *Id.*

McNiece’s second amended complaint failed to state a plausible claim. To state a claim under section 1983, a plaintiff must allege that a person, acting under color of state law, deprived him of a federal civil right. *Club Madonna, Inc. v. City of Miami Beach*, 924 F.3d 1370, 1378 (11th Cir. 2019). McNiece alleged that Levy County and Yankeetown tried him for “unknown and unspecified code violations,” but he failed to allege that the alleged denial of due process was caused by a municipal policy or custom. *See Hoefling v. City of Miami*, 811 F.3d 1271, 1280 (11th Cir. 2016) (“The ‘touchstone of [a] § 1983 action against a government body is an allegation that official policy is responsible for a deprivation of civil rights protected by the Constitution.’”). And McNiece’s allegations that Brookes succeeded in avoiding discovery and in having McNiece’s petition treated as a writ of certiorari instead of as “a redress for grievances” alleged no unlawful conduct by the attorney for Yankeetown. *See Club Madonna*, 924 F.3d at 1378. McNiece’s complaint also failed to state a claim against the State of Florida, which is not a person under section 1983. *See Will v. Mich. Dep’t of State Police*, 491 U.S. 58, 71 (1989). McNiece also failed to allege that a federal official acted unlawfully. *See Bivens*, 403 U.S. at 396–97. And *Bivens* does not apply to a federal officer acting in an official capacity, like the United States Attorney, or to the United States,

which is immune from suit. *See Corr. Servs. Corp. v. Malesko*, 534 U.S. 61, 71–72 (2001).

We held in *Surtain v. Hamlin Terrace Foundation*, 789 F.3d 1239, that a district court may *sua sponte* dismiss a complaint for failure to state a claim so long as it provides notice of its intent to dismiss and an opportunity to respond. *Id.* at 1248–49; *see also Jefferson Fourteenth Assocs. v. Wometco de P.R., Inc.*, 695 F.2d 524, 527 (11th Cir. 1983) (“[C]ourts [can] exercise their inherent power to dismiss a suit that lacks merit only when the party who brought the case has been given notice and an opportunity to respond.”). The magistrate judge notified McNiece of deficiencies in his original complaint and, after he filed an amended complaint containing more errors, gave him a third opportunity to amend before recommending that the district court dismiss the action.

We **AFFIRM** the denial of McNiece’s request to use the electronic filing system. We **VACATE** the order dismissing the complaint for lack of subject-matter jurisdiction and **REMAND WITH INSTRUCTIONS** for the district court to dismiss for failure to state a claim.

APPENDIX - .03

**UNITED STATES COURT OF APPEALS
FOR THE ELEVENTH CIRCUIT**

ELBERT PARR TUTTLE COURT OF APPEALS BUILDING
56 Forsyth Street, N.W.
Atlanta, Georgia 30303

David J. Smith
Clerk of Court

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February 16, 2021

MEMORANDUM TO COUNSEL OR PARTIES

Appeal Number: 20-10716-CC
Case Style: Adam McNiece v. Town of Yankeetown, et al
District Court Docket No: 1:19-cv-00323-AW-GRJ

The enclosed order has been entered on petition(s) for rehearing.

See Rule 41, Federal Rules of Appellate Procedure, and Eleventh Circuit Rule 41-1 for information regarding issuance and stay of mandate.

Sincerely,

DAVID J. SMITH, Clerk of Court

Reply to: Carol R. Lewis, CC/lt
Phone #: (404) 335-6179

REHG-1 Ltr Order Petition Rehearing

IN THE UNITED STATES COURT OF APPEALS
FOR THE ELEVENTH CIRCUIT

No. 20-10716-EE

ADAM P. MCNIECE,

Plaintiff - Appellant,

versus

TOWN OF YANKEETOWN,
RALF BROOKES,
Attorney,
LEVY COUNTY,
STATE OF FLORIDA,

Defendants - Appellees.

Appeal from the United States District Court
for the Northern District of Florida

ON PETITION(S) FOR REHEARING AND PETITION(S) FOR REHEARING EN BANC

BEFORE: WILLIAM PRYOR, Chief Judge, WILSON and ROSENBAUM, Circuit Judges.

PER CURIAM:

The Petition for Rehearing En Banc is DENIED, no judge in regular active service on the Court having requested that the Court be polled on rehearing en banc. (FRAP 35) The Petition for Panel Rehearing is also denied. (FRAP 40)

ORD-46