# Appendix B to Respondent's Brief in Opposition

Post-Conviction Evidentiary Hearing Transcript

1	IN THE CIRCUIT COURT OF HARRISON COUNTY, MISSISSIPPI	
2	FIRST JUDICIAL DISTRICT	
3		
4	STATE OF MISSISSIPPI	
5		
6	VERSUS CAUSE NO. 25,945	
7		
8	ALAN DALE WALKER DEFENDANT	
9		
10		
11	TRANSCRIPT OF THE PROCEEDINGS HAD AND DONE IN THE	
12	RECORD OF THE ABOVE STYLED AND NUMBERED CAUSE, BEFORE	
13	THE HONORABLE JOHN C. GARGIULO, CIRCUIT COURT JUDGE OF	
14	THE SECOND CIRCUIT COURT DISTRICT OF THE STATE OF	
<b>15</b>	MISSISSIPPI, ON APRIL 7, 2014.	
16		
17		
18	APPEARANCES:	
19	Present and Representing the State:	
20	HONORABLE MARVIN LUTHER WHITE JR Office of the Attorney General	
21	PO Box 220 Jackson MS 39205-0220	
22	Jackson MS JJ20J-0220	
23	Present and Representing the Defendant:	
24	HONORABLE DAVID PAUL VOISIN Attorney_at_Law	
25	PO Box 13984 Jackson MS 39236-3984	
26	HONORABLE JAMES W. CRAIG	
27	The Roderick & Solange MacArthur Justice Center	
28	4400 South Carrollton Ave. New Orleans, LA 70119	
29	Hen of featis, LA 70115	
		ı

# Alan Dale Walker - 2018-TS-01059

1	TABLE OF CONTENTS	<u>PAGE</u>
2	(April 7, 2014)	
3	Style, Number and Appearances	1
4	Record	2
5	Reporter's Certificate	7
6		
7	(September 3, 2015)	
8	Style, Number and Appearances	8
9	Record	9
10	Reporter's Certificate	22
11		
12	(October 30, 2015)	
13	Style, Number and Appearances	23
14	Motion	24
15	Reporter's Certificate	64
16		
17	(February 22, 2016)	
18	Style, Number and Appearances	65
19	Preliminary Matters	66
20	Amanda Frederick:	
21	Direct Examination by Mr. Voisin	80
22	Cross-Examination by Mr. White	95
23	Examination by the Court	99
24		;
25	Anita Frederick:	
26	Direct Examination by Mr. Craig	101
27	Cross-Examination by Mr. White	139
28	Redirect Examination by Mr. Craig	152
29	Examination by the Court	156

Huey L. Bang, RMR, CRR Circuit Court Reporter hueybang@cableone.net

ı		
1	Nellie Richards:	
2	Direct Examination by Mr. Voisin	160
3	Cross-Examination by Mr. White	172
4		
5	Ronald Walker:	
6	Direct Examination by Mr. Craig	179
7	Cross-Examination by Mr. White	195
8	Redirect Examination by Mr. Craig	204
9		
10	Terry Walker:	
11	Direct Examination by Mr. Voisin	205
12	Cross-Examination by Mr. White	219
13	Redirect Examination by Mr. Voisin	222
14	Examination by the Court	223
15	Further Redirect Examination by Mr. Voisin	228
16	Recross-Examination by Mr. White	229
17		
18	Leon Frederick:	
19	Direct Examination by Mr. Craig	230
20	Cross-Examination by Mr. White	246
21		
22	Vera Faye Breland:	
23	Direct Examination by Mr. Craig	248
24	Cross-Examination by Mr. White	2,59
25	Examination by the Court	260
26	Redirect Examination by Mr. Craig	261
27		
28	Argument	261
29	Reporter's Certificate	270

Huey L. Bang, RMR, CRR Circuit Court Reporter hueybang@cableone.net

# Alan Dale Walker - 2018-TS-01059

1	(December 1, 2016)	
2	Style, Number and Appearances	271
3	Earl Stegall:	
4	Direct Examination by Mr. Voisin	272
5	Cross-Examination by Mr. White	286
6	Redirect Examination by Mr. Voisin	290
7	Examination by the Court	294
8	Further Redirect by Mr. Voisin	296
9	Further Cross-Examination by Mr. White	297
10		
11	Matthew Mendel, Ph.D.:	
12	Direct Examination by Mr. Craig	298
13	Voir Dire Examination by Mr. White	302
14	Direct Examination by Mr. Craig	305
15	Cross-Examination by Mr. White	360
16		
17	Robert Shaffer, Ph.D.:	
18	Direct Examination by Mr. Voisin	406
19	Voir Dire Examination by Mr. White	413
20	Direct Examination by Mr. Voisin	416
21	Cross-Examination by Mr. White	443
22	Redirect Examination by Mr. Voisin	472
23	Reporter's Certificate	482
24		
25		
26		
27		
28		
29		

# Alan Dale Walker - 2018-TS-01059

1	<u>Exhibit Index</u>	ID	EVD
1 2	EXHIBIC INGEX	10	EVD
3	(February 22, 2016)		
4	D-1	69	94
5	D-2	212	
6	D-3	265	
7			
8			
9	(December 1, 2016 Hearing)		
10	D-1		278
11	D-2	310	360
12	D-3	310	
13	D-4		410
14	D-5		419
15	D-6	424	443
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			
29			

THE COURT: We're on the record Cause Number 25,945, State of Mississippi versus Alan Dale walker. And I note for the record that Mr. Walker is present in the courtroom with both of his counselors of record, Mr. James Craig and Mr. David Voisin. Did I pronounce that correctly?

MR. VOISIN: Yes, sir, Your Honor.

THE COURT: And the case is set for status. If the defense could please just give a short procedural posture before we begin.

MR. CRAIG: Yes, Your Honor, very simply this is a case that arises from an event in 1990. Mr. Walker was originally tried, convicted of capital murder, and sentenced to death. He had appealed both his direct appeal and his first state post-conviction petition.

During the pendency of federal habeas proceedings some new cases emerged from the United States Supreme Court and the Mississippi Supreme Court. So Mr. Voisin and I filed a successive or second petition for post-conviction relief under those new rulings.

The Mississippi Supreme Court in, I believe, January of this year entered its opinion and order remanding the allegation in the second petition for post-conviction relief to this court for an evidentiary hearing.

As we appreciate the procedural posture, what is now to be done is for Mr. Voisin and myself to file a motion to vacate in this court setting forth the factual legal grounds of the petition that will track what we filed in the Mississippi Supreme Court. The state then has an opportunity to file --

# (BRIEF INTERRUPTION)

THE COURT: Sorry to interrupt you. Go ahead.

MR. CRAIG: Not at all. Thank you. So as I appreciate the posture we're in now, Your Honor, what should be done is for us to set a time for defense to file a motion to vacate which would contain the factual and legal bases for the evidentiary hearing, that the state would be given a number of days to file a answer or reply, and then at that point, there may or may not be other procedural motions to take up.

I would note for the court in this particular case the witnesses who had been contacted on the claims in this petition are almost all outside the State of Mississippi, and so there will ultimately have to be process issued or depositions taken.

R. Michelle Stewart, CCR - Official Court Reporter

So our position is that the court could enter its order directing us to file the motion to vacate two weeks from today, that the state be given 30 days to file a response, and then if the case be set for a further status to see when it could be set for evidentiary hearing, Your Honor.

Mr. Voisin and I also have filed today an entry of appearance reaffirming that we are serving as counsel for Mr. Walker. That is under the aegis of the Mississippi Office of Post-Conviction Counsel, Your Honor. So that be will paid by the state appropriated funds to that agency, not by county funds. Although, from time to time we may have to request the court to approve invoices that will then be sent to the state office for payment.

THE COURT: All right. What says the state?

MR. OWEN: Your Honor, it's my understanding, and I'm standing in momentarily for Mr. White with the attorney general's office. I contacted him Friday. He was unaware of this hearing because I believe the ball, so to speak, was in the defense's court like he said.

He's waiting for them to file their PCR in order for him to properly respond. And he

3
is going to be handling that matter for the
attorney general's office, and I agree with
them. He's just waiting for them to file
theirs, and then he's going to respond
appropriately.
THE COURT: How much time do you think
you need to file your PCR?
MR. CRAIG: We'd ask for two weeks, Your
Honor. It's going to be based very largely
on what we've already filed.
THE COURT: I presume no objection on
behalf of the state?
MR. OWEN: No, Your Honor.
THE COURT: All right. You've got two
weeks to file your PCR, and do we need to
get, for lack of a better word, a scheduling
order?
MR. CRAIG: We have done that in the
past, Your Honor, setting out a time for the
state to respond to the PCR, and then perhaps
setting a deadline if there are any motions
that go to anything beyond the merits of the
case.
THE COURT: Is that something you want
to meet with Mr. White to discuss?
MR. CRAIG: We have no objection to
that, Your Honor. We can present it to the
court through the court's staff attorney.
THE COURT: All right. So at this time

1	I'll order that the defense file its
2	successive PCR within two weeks of today's
3	date. Is that enough time for you?
4	MR. CRAIG: Yes, Your Honor.
5	THE COURT: Within two weeks of today's
6	date, and then also, as officer of court,
7	you're to contact Sonny White and find out an
8	agreeable time in which he's to respond?
9	MR. CRAIG: That's absolutely acceptable
10	to us, Your Honor.
11	THE COURT: I won't unilaterally set
12	that. I'll at least give him a chance to be
13	heard on it.
14	MR. CRAIG: Yes, Your Honor.
15	THE COURT: And then you can propose or
16	present an agreement with that date and any
17	other dates that you want commemorated in
18	that order.
19	MR. CRAIG: Yes, Your Honor.
20	THE COURT: With that being said, is
21	there anything else required on the record on
22	behalf of the defense?
23	MR. CRAIG: No, Your Honor.
24	THE COURT: How about on behalf of the
25	state?
26	MR. OWEN: No, Your Honor.
27	THE COURT: Okay. That will be the
28	order of the court.
29	(END OF PROCEEDINGS)

# STATE OF MISSISSIPPI COUNTY OF HARRISON

2

1

3

4 5

6

7 8

9 10

12

13

11

14 15

16 17

18

19 20

21 22

23

24

25 26

27

28 29

# CERTIFICATE OF COURT REPORTER

I, R. Michelle Stewart, CCR 1305, Official Court Reporter for the Second Circuit Court District of the State of Mississippi, do hereby certify that the foregoing 6 pages constitute, to the best of my skill and ability, a true and correct transcript of the RECORD had on the 7 day of April, 2014, before the Honorable John C. Gargiulo, Circuit Court Judge of the Second Circuit Court District of the State of Mississippi, being a regular day in the April Term of Harrison County Circuit Court at Gulfport.

This is to further certify that I have this date filed the original and one copy of said transcript, along with one CD in PDF language, for inclusion in the record on appeal, with the Clerk of the Circuit Court of Harrison County, Mississippi, and have notified the attorneys of record and the Supreme Court of my actions herein.

I do further certify that my certificate annexed hereto applies only to the original and certified transcript and electronic disks.

WITNESS MY SIGNATURE, July 30, 2018.

R. MICHELLE STEWART, CCR Official Court Reporter

COURT REPORTER'S FEE: \$16.80

1	IN THE CIRCUIT COURT OF HARRISON COUNTY, MISSISSIPPI FIRST JUDICIAL DISTRICT
2	
3	STATE OF MISSISSIPPI
5	NO. 2018-TS-01059
6	VERSUS CAUSE NO. 25,945
7	ALAN DALE WALKER DEFENDANT
8	
9	
10	Transcript of the proceedings had and done in the above
11	styled and numbered cause before the Honorable Christopher L. Schmidt, Circuit Court Judge of the Second Circuit Court
12	District of Mississippi, on September 3, 2015.
13	=======================================
14	APPEARANCES:
15	Representing the State:  MARVIN WHITE, ESQUIRE
16	JASON DAVIS, ESQUIRE Assistant Attorney General
17	P.O. Box 220 Jackson, Mississippi 39205-0220
18	dackson, mississippi syzus uzzu
19	Representing the Defendant: JIM CRAIG, ESQUIRE
20	DAVID PAUL VOISIN, ESQUIRE  MacArthur Justice Center
21	4400 S. Carrollton Avenue New Orleans, Louisiana 70119
22	New Offeans, Hourstaina (Off)
23	
24	
25	Reported By:
26	Huey L. Bang, CSR #1147, RMR, CRR, Official Court Reporter
27	
28	
29	

THE COURT: On the record in Cause Number 25,945, Harrison County Circuit Court, State of Mississippi versus Alan Dale walker. And at the same time, Supreme Court Cause Number 2012-DR-102-SCT, Alan Dale Walker versus State of Mississippi. Counsel, please make your appearance for the record.

MR. CRAIG: Jim Craig, counsel for petitioner Alan walker.

MR. VOISIN: David Voisin, also counsel for Mr. Walker.

MR. WHITE: Marvin White for the attorney general's office, special assistant attorney general.

MR. DAVIS: Jason Davis, also with the attorney general's office.

THE COURT: Good morning, everyone.

MR. WHITE: One preliminary matter I must bring up right at this time is Mr. Voisin cannot participate in this case. He entered an appearance in the original post-conviction case, on 3/27/02 entered an appearance of counsel. So he cannot participate in this case. He was involved in the case where counsel was held ineffective.

MR. CRAIG: Please the court, first, in the first instance, I don't think that's an automatic bar. I think that is -- if that was something the state was going to raise, we would

1	have appreciated knowing it prior to this point.
2	Mr. Walker has the right to waive that conflict
3	under the rule.
4	THE COURT: Let me stop you right there.
5	Mr. Walker is not present at this hearing.
6	MR. CRAIG: That's correct.
7	THE COURT: Please don't interrupt me. As
8	I understand from correspondence with my
9	judicial assistant, is that you have an
10	affidavit or some type of personal waiver you
11	want to present to the court waiving his
12	appearance for this hearing?
13	MR. CRAIG: We do not have the affidavit
14	yet, Your Honor, but we will get it to the
15	court. He has, in personal conversation with
16	me, Jim Craig attorney for the petitioner,
17	waived his appearance at this hearing. That is
18	not a problem.
19	MR. WHITE: I don't believe we can proceed,
20	Your Honor.
21	MR. CRAIG: That's not correct, Your Honor.
22	He can waive his appearance, and
23	THE COURT: He can waive his appearance,
24	but can you waive his appearance on his behalf
25	is what Mr. White, I guess, is suggesting.
26	MR. WHITE: You know, if he hasn't waived
27	it by affidavit or in person, waived his
28	appearance, I don't think we can proceed.
29	MR. CRAIG: I don't know the authority that

requires that, Your Honor. Perhaps I'm mistaken.

MR. WHITE: This seems to be a critical stage of the proceeding. If he -- the motions they filed, he has asked to do a dispositive ruling on part of the claim. Mr. Walker has got to be here or have affirmatively waived it with a notice, or affidavit, or something.

THE COURT: I can't disagree with that, Mr. Craig. We had issued, I think, a transport order for your client to be brought from the penitentiary here. At some juncture, I guess we called the sheriff off because of the announcement that you would be supplying -- or have an affidavit to that effect at the hearing according to an August 21st, 2015 e-mail from you to Ms. Ingram, my law clerk. What's the status of the affidavit?

MR. CRAIG: We have sent it up to the penitentiary. We have not received it back from Mr. Walker yet. But that's only because of the distance and the time, Your Honor. I'm located in New Orleans, and I did not go all the way to the penitentiary to get it myself. I don't know -- I agree that Mr. Walker needs to waive his presence. I do not know the authority for saying that his presence may not be waived by counsel, particularly in a hearing where no evidence is to be taken.

THE COURT: I think that one of the motions you have is a dispositive motion of summary judgment on the claims that post-conviction claim he received ineffective assistance of counsel at his sentencing phase. You know, everything is reviewed with heightened scrutiny on my rulings. I wouldn't want him to later claim against you that he wanted to be here, but for my direction to the sheriff not to pick him up, that's caused some heartache and we have to do this again.

MR. WHITE: And I'm a little more concerned, too, because in a prior instance, Mr. Walker tried to dismiss Mr. Craig as counsel. So I don't --

THE COURT: Y'all have a lot more history with this case, of course, than I do. I came on January 1st.

MR. WHITE: That was in federal court. We had to have a hearing on whether or not he wanted to dismiss him or not, actually. So, know, Mr. Walker seems to be changeable about that. So I don't know -- he is not a dummy. He may be gaming the system. So this is a death penalty case, and it is way down the road. So I don't think we can proceed without affirmative notice that he has -- he has waived his appearance.

MR. CRAIG: I'm deeply apologetic for that,

Your Honor. I feel personally responsible for having not made sure I had the affidavit in hand. I don't agree that we need the affidavit to be able to proceed, but if that's the court's belief, then we adhere to it.

THE COURT: We have gathered for naught.

MR. CRAIG: I'm very sorry about that.

THE COURT: Well, is it his, your client's, insistence not to be present for whatever reason, doesn't want to come, travel to Harrison County, or whether there is some personal reason that he doesn't wish to be physically present in the courtroom? is it just for this hearing or just in any of these post-conviction hearings?

MR. CRAIG: It is for hearings that don't involve the taking of testimony. For his own — for the actual evidentiary hearing, he does want to be present. But it is personal reasons, having to do with being at Parchman and not wanting to be transported unless his presence is necessary.

THE COURT: Short of -- does the state have any objection to a properly executed affidavit by Mr. Walker waiving his right to be present for this non-evidentiary hearing, will that suffice for the state? Will you accept that?

MR. WHITE: An affidavit?

THE COURT: Yes.

MR. WHITE: Yes. As long as he has sworn

to something. But, you know, as it stands right now, we have nothing.

THE COURT: Well, I'm not going to proceed. I think it will be fool hearted at this juncture, given the nature of the case and its long history, that without an affidavit or him present, we're not going to proceed. And, Mr. Craig, only thing I ask you to do is when you receive the affidavit, file it with the clerk, and get with Mr. White and the court and we have to reschedule. Everybody's got to come back.

MR. CRAIG: I'm terribly sorry, Your Honor. And we will tend to that immediately when we get back to the office.

THE COURT: Insofar as Mr. Voisin's participation, this kind of hit me, of course, this morning as it did you, Mr. Craig.

MR. WHITE: The thing is, I didn't know Mr. Voisin was involved in the case, really. I mean, he signed on to something, but it didn't hit me until this morning that he had been with the office of post-conviction counsel. So that's when, as soon as I could get to a computer this morning, that's when I checked and saw that he had entered an appearance of counsel. Which complicates the matter because his office was held ineffective.

THE COURT: Was it the office or the individual attorney?

MR. WHITE: Well, he was counsel on the case. They specifically mention Bob Ryan. But if his name is included on the pleadings, which if memory serves me, his name was on the pleadings, there is a conflict. Now, presumably, because they don't speak to that in the context of a successive petition for post-conviction relief, presumably Mr. Walker can waive that, also. But if he does that, he waives any future claim of ineffective assistance of this post-conviction counsel.

MR. CRAIG: If I may respond.

THE COURT: Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

In the first instance, Your MR. CRAIG: Honor, I think something of this seriousness, we would, especially if we are reconvening, we would appreciate it being done by written motion to which we can file a response. For example, I don't agree with counsel's last statement that waiving a conflict under Tyler versus Schuler, those kinds of cases, would necessarily waive all post-conviction -- all possible ineffective assistance of counsel remedies. But that's something we can research and present to the The -- I would say, Your Honor, that the court. issue of ineffective assistance of counsel of post-conviction counsel has already been -- that issue has already been determined by the Mississippi Supreme Court in its December 12th,

2013 opinion. They specifically found Mr. Ryan, the director of the post-conviction office, to have been ineffective. And the focus of the hearing for which this matter has been remanded to Your Honor is a claim of ineffective assistance against trial counsel.

So I don't know, in order for there to be a conflict of interest, there would have to have been some prior action of Mr. Voisin's that would create a conflict of interest. And since the Supreme Court has already foreclosed that issue by ruling in our favor, I don't think there really is a conflict. I would also point out that, and if given an opportunity to write a counter-motion on this we would, that there is a considerable body of case law about a party allowing a purported conflict to take place for a significant period of time before objecting to it.

In this case, Mr. Voisin filed, with me, the original successive petition for post-conviction relief way back in 2012. And this three years later is the first we're hearing this objection. And there are cases on that. I'm not here to argue it.

THE COURT: Well, let's --

MR. WHITE: If I may, Rule 22(d)(4), about counsel have not previously represented the capital petitioner in the case, either in the

trial court or the direct appeal, unless the petitioner and counsel expressly request continued representation and waive all potential issues that are foreclosed by continued representation. That's Rule 22(d)(4).

THE COURT: That's very familiar to the court in the last couple of days. We had another hearing with another post-conviction matter yesterday under Rule 22. And the state was present.

MR. CRAIG: I understand, Your Honor.

THE COURT: Well, Mr. White, Rule 22 (d)(4) is what you are pointing the court to?

MR. WHITE: Yes, sir.

THE COURT: Did Mr. Voisin represent Mr. Walker in the direct appeal?

MR. WHITE: He represented him on the first post-conviction. But we would, you know, while that is true, that post-conviction is there, this is a second post-conviction and he is entitled to conflict free effective post-conviction counsel under *Grayson versus State*. So if we have this, and then when this gets decided, then we get back into this circle again of somebody coming in and saying, well, they were not effective, raising another successive. And if there was conflict of counsel, because he represented him previously, and was found to be -- the office was found to

be ineffective.

THE COURT: I think it would be prudent that this is a preliminary matter we have to resolve, inasmuch as the state has raised it and, Mr. Craig, you are objecting to it, although it's not been pled and memoranda have not been submitted for the court's consideration. How much time do you all need to file -- I presume, Mr. White, are you going to file a motion to disqualify him?

MR. WHITE: I will. I will file one tomorrow, as far as that goes, when I get back to the office.

THE COURT: So, Mr. Craig, how much time do you need to -- why don't we say a week, in case you get back to Jackson and you find termites in your house or something. Something to give you a little time. On or before the 11th -- or the 4th is tomorrow, so the 11th, Mr. Craig, can you file a response by the 25th? Which is two weeks?

MR. CRAIG: Yes, Your Honor, we can.

THE COURT: And maybe within that time you will receive the affidavit. And if appearing from the pleadings or the submissions, I feel that it would be more appropriate to have Mr. Walker present since we're dealing now with his lawyers as opposed to discovery issues, I will either accept the affidavit or direct that he be

transported for the hearing. And we can reschedule the hearing after the submissions are in.

MR. CRAIG: Yes, Your Honor. And I will inquire of my client whether -- it may be given this issue, that he would prefer to be present in terms of the question of whether he does waive. If the court finds that there is a conflict, he would be in a position of waiving the conflict and the court might want to conduct a colloquy with respect to that.

THE COURT: Exactly. I agree. So we will look for everything to be briefed on or before the 25th of September, and we will get a new date. I'm back in this courthouse throughout the fall, October, November, December. And hopefully we can have something heard relatively soon.

MR. CRAIG: Yes, Your Honor. And I have hearings the second week of October in another case, but other than that, anytime after that I would be available.

THE COURT: All right. Do y'all want to go ahead and get a date then now, Mr. White, Mr. Davis, Mr. Craig? Thursdays are generally allocated to my civil docket, but I don't know what they are in October. Is a Friday more convenient to y'all? I would rather dedicate as much time to this case and not try to plow

1	through while waiting on a bunch of judgment
2	debtor exams following. How about the 16th of
3	October?
4	MR. VOISIN: I believe I have a conflict on
5	that date, Your Honor.
6	MR. CRAIG: I believe we both have the 29th
7	through the 30th.
8	THE COURT: I have a senior in high school.
9	So I don't know if we're on the road that
10	weekend or not. The 30th?
11	MR. CRAIG: Yes, Your Honor, for the
12	petitioner.
13	THE COURT: Mr. White?
14	MR. WHITE: Resuming this hearing we're
15	having today, right?
16	THE COURT: Pardon?
17	MR. WHITE: That's the resumption of this
18	hearing?
19	THE COURT: Correct. The hearing scheduled
20	and we will have to take on the additional
21	preliminary matter of disqualification. Do we
22	need to reduce this to another scheduling order?
23	MR. CRAIG: We don't have to, Your Honor,
24	but we don't object to that. However the court
25	wants to proceed.
26	THE COURT: I will just enter an order
27	saying the dates so we're clear the 11th for the
28	state's motions, and any motions you want to
29	file, Mr. Craig, and then within two weeks the

responses thereto.
MR. DAVIS: Thank you, Your Honor. And we
do anticipate needing a transport order for the
30th for Mr. Walker.
THE COURT: We'll prepare one. We'll be in
recess if there is nothing else.
(Whereupon the proceedings were concluded)

# Reporter's Certificate

# STATE OF MISSISSIPPI COUNTY OF HARRISON

I, HUEY L. BANG, CSR No. 1147, Official Court
Reporter for the Second Circuit Court District of the
State of Mississippi, do hereby certify that the
foregoing 14 pages constitute, to the best of my skill
and ability, a true and correct transcript of my
stenographic notes of the Hearings had on the 3rd day of
September, 2015 before the HONORABLE CHRISTOPHER L.
SCHMIDT, Circuit Court Judge of the Second Circuit Court
District of the State of Mississippi, being a regular day
in the September Term of Harrison County Circuit Court at
Gulfport.

This is to further certify that I have this date filed the original and one copy of said transcript, along with one CD in PDF language, for inclusion in the record on appeal, with the Clerk of the Circuit Court of Harrison County, Mississippi, and have notified the attorneys of record and the Supreme Court of my actions herein.

WITNESS MY SIGNATURE on this, the 24th day of August, 2018. //

HUEY L. BANG, CSR #1147

Official Court Reporter

Court Reporter's Fee: \$36.00

1 2	IN THE CIRCUIT COURT OF HARRISON COUNTY, MISSISSIPPI FIRST JUDICIAL DISTRICT
3	STATE OF MISSISSIPPI
5	NO. 2018-TS-01059
6	VERSUS CAUSE NO. 25,945
7	ALAN DALE WALKER DEFENDANT
8	
9	
10	Transcript of the proceedings had and done in the above styled and numbered cause before the Honorable Christopher
11	L. Schmidt, Circuit Court Judge of the Second Circuit Court District of Mississippi, on October 30, 2015.
12	=======================================
13 14 15	<u>APPEARANCES:</u> Representing the State: MARVIN WHITE, ESQUIRE
16	CAMERON BENTON, ESQUIRE Assistant Attorney General
17	P.O. Box 220 Jackson, Mississippi 39205-0220
18 daekson, Hississippi 3,203 0220	040.15011, 111551551pp1 33203 0220
19	
20	Representing the Defendant: JIM CRAIG, ESQUIRE
	DAVID PAUL VOISIN, ESQUIRE MacArthur Justice Center
21	4400 S. Carrollton Avenue New Orleans, Louisiana 70119
22 23	New Offeans, Louistana /0119
24	Poportod Pur
25	Reported By: Huey L. Bang, CSR #1147, RMR, CRR, Official Court Reporter
26	orricial confi veboriei
27	
28	
29	

THE COURT: This is Alan Dale Walker versus the State of Mississippi in Supreme Court Cause Number 2012-DR00102-SCT, trial court Cause Number 25,945. Counsel for Mr. Walker please make your appearance for the record.

MR. CRAIG: Thank you, Your Honor. I'm James Craig from New Orleans, counsel for Mr. Walker.

MR. VOISIN: I'm David Voisin of Jackson.

MR. CRAIG: And may the record reflect that Mr. Walker is physically present.

THE COURT: I see him this morning. And for the state?

MR. WHITE: Marvin White, special assistant attorney general. And then Cameron Benton, also special assistant attorney general.

THE COURT: Good morning. There are several motions on the docket this morning which was continued over from the hearing we had in August, maybe, September, to allow the petitioner, Mr. Walker, to be present because he wasn't at the last hearing. At that time, the state made an observation that Mr. Voisin had previously appeared in a post-conviction petition which was ruled by the Supreme Court to be -- he was of counsel or had entered his appearance and had later left the office of the indigent appeals, I think it was called.

MR. CRAIG: State post-conviction.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

THE COURT: Which was later ruled by the Supreme Court to be ineffective on behalf of Mr. Walker at that hearing. So Mr. White made that observation that there could be a conflict. And has sense filed a motion to disqualify Mr. Voisin from appearing in this matter. I've read the motion and the response. Is there anything, Mr. White, you want to add beyond what you filed?

MR. WHITE: Other than to say that in his reply he says he is willing to waive it. So why don't we just waive it. Because I still think there is a conflict otherwise. Once -- they make the argument, well, since the office has already been found ineffective and remanded for a hearing here, that whatever the office of post-conviction counsel did, doesn't matter anymore. Well, it does, since the office where Mr. Voisin says in his motion that he was the lead counsel up until two months before the thing was filed, that that was the actions by the post-conviction office were ineffective and we're sticking somebody else, the same person who has already been found ineffective in the case, back in the case. And we think that that is a still a conflict.

THE COURT: Mr. Craig, have you had an opportunity to speak with your client regarding the alleged or potential conflict which may

arise regarding this issue?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

MR. CRAIG: Yes, Your Honor. obviously, for the record, since Mr. White addressed a couple points on the merits, we dispute those points for the reasons set forth in our written pleadings. Mr. Walker is here. Mr. Walker wants Mr. Voisin to remain on the And the issue for us, Your Honor, with respect to waiver, is that the -- any waiver that Mr. Walker gives is as to any conflict pre Mr. Voisin and what was said about Mr. Voisin's prior office with respect to the filing of the prior post-conviction petition. We frankly don't think those issues are in the case anymore, but in any event, in order to move this case along, Mr. Walker does want Mr. Voisin to continue in the case with him, and is here.

THE COURT: Mr. Walker, will you please stand. I need you to raise your right hand, face the clerk, and take the oath to tell the truth.

(Oath administered by the Clerk)

THE COURT: Mr. Walker, have you had an opportunity to discuss with Mr. Craig the issues we're talking about now about the state moving to disqualify Mr. Voisin from your case?

THE DEFENDANT: Yes, sir.

THE COURT: Have you had sufficient time to speak with him about that?

1	THE DEFENDANT: Yes, sir.
2	THE COURT: Have you talked to anyone else
3	about that issue?
4	THE DEFENDANT: No, just these two.
5	THE COURT: Have you had time to reflect on
6	it and make a decision whether or not you want
7	Mr. Voisin to continue to represent you?
8	THE DEFENDANT: Yes, sir.
9	THE COURT: What's that decision?
10	THE DEFENDANT: I would like David to stay
11	on my case.
12	THE COURT: You understand that it could
13	create a conflict of interest in the future? I
14	can't read into the future, but someone could
15	allege in the future that it was a conflict for
16	Mr. Voisin to represent you based upon the prior
17	post-conviction petition which was heard at the
18	Supreme Court.
19	THE DEFENDANT: I understand it all. I
20	don't see where there would be a conflict.
21	THE COURT: You understand that could
22	happen?
23	THE DEFENDANT: Yes.
24	THE COURT: You are willing to waive any
25	future conflict on that?
26	THE DEFENDANT: Yes.
27	THE COURT: Does anyone wish to put
28	anything further on the record about the waiver?
29	MR. CRAIG: No, Your Honor.

THE COURT: I believe that's an adequate waiver on the record.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

MR. CRAIG: We agree with that, Your Honor.

THE COURT: Okay. So the motion will be overruled then, based upon the waiver.

Now, that leaves us with the meat of the matter why we're here, which were the motions which were filed by Mr. Craig on June the 19th, and the state's motion for disclosure, which was filed sometime around there as well, June 19th as well. We'll take them up one at a time. let me just say as a preliminary matter, I'm looking at these motions against the backdrop of the very narrow direction from the Supreme Court that I'm to conduct a hearing to determine whether or not counsel at the trial level was ineffective on the sentencing phase only. Supreme Court gave no further direction or elaborated how any preliminary matters should be So I'm just traveling against that addressed. backdrop.

So against that, Mr. Craig, I will allow you to begin. Let's hear them one at time.

MR. CRAIG: Yes, Your Honor. Does the court have a particular order that the court pleases?

THE COURT: I have them in my mind and from my notes in the manner in which you articulated or itemized them in your cover letter, which is

the first one. And you should have that as well. The first one is your motion for access.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

MR. CRAIG: Mr. Voisin is going to address the motion for access. Thank you.

MR. WHITE: Your Honor, as the Supreme Court has fully instructed us, we don't have a dog in that hunt. So, you know, we can object. But if it goes and if you rule in our favor, the Supreme Court has said too bad, so sad, he has a right to have access.

THE COURT: Let me ask this then, Mr. Voisin, and maybe we should just put all the cards on the table. From what I'm thinking is that as I can appreciate from the directive of the Supreme Court, the matters which were attached and submitted to the Supreme Court are the affidavits, the reports, et cetera. All of those exhibits form the basis of the Supreme Court to make a preliminary decision that a hearing should be had wherein those people can testify, subject to cross-examination, et cetera. But I did not appreciate from that that that record, we'll call it that record, would be expanded to include additional witnesses, additional evaluations, additional information. If I'm appreciating that wrong or if you have a position other than that, I would like to hear But I'm kind of tracking towards thinking we're going to go have this hearing based upon

what is the record now. Mr. Voisin or Mr. Craig?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

MR. VOISIN: Yes, Your Honor. To respond to that, when we filed our petition with the Mississippi Supreme Court, we had a burden to show that the claims were procedurally viable, and also to make a substantial showing of the denial of a state or federal right. And to that end, we attached a number of affidavits, including a report from Dr. Mendel, who did an evaluation of Mr. Walker, I believe in 2008. And the Supreme Court found that we satisfied that showing. But when the Supreme Court conducted that review, as it said in prior cases, it's akin to a -- from a hybrid of a Rule 12 or a rule summary judgment motion to review, it's looking to see that the claims are substantial and that there's record support. But the Supreme Court has never said that you're strictly confined to the evidence presented.

The Supreme Court has said that, you know, the circuit judge is limited to the issue on remand, and as long as our evidence applies to the specific grounds for which there has been a remand, we could continue to develop the proof. And the post-conviction statute also allows for the expansion of the record to include additional material.

So what we wanted to do here is, first,

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

since Dr. Mendel has already seen Mr. Walker back in 2008, we think he needs to see him one more time before the hearing. In part because it's been so long since he's seen him, and also, since when he saw him back then, he was not able to have a contact visit and to explore.

THE COURT: To do evaluation or testing.

MR. VOISIN: Correct. So we think with respect to Dr. Mendel, this is entirely encompassed within what we've pled before, and it would enable him to prepare to give testimony at the evidentiary hearing.

Dr. Mendel is a psychologist who specializes in the effects of childhood abuse. He is not a neuropsychologist, but based on his review and evaluation of Mr. Walker, Dr. Mendel recommended additional neuropsychological testing. And that's a different type of expert, but it's based upon Dr. Mendel's observations that suggest Mr. Walker may have some neurological impairment, and he noted evidence in Mr. Walker's school records, and his early alcoholism, drug abuse, things that could have easily had an influence or adverse impact on his neurological development. And so what we would like to do is have Dr. Shaffer come in and do some neuropsychological testing to screen to see if Mr. Walker has any of those problems. then Dr. Mendel could also then incorporate

those findings to see if that would enable him to develop his report further. But I think all of those claims go to whether Mr. Walker suffered prejudice as a result of trial counsel's deficient performance.

So I think they're both completely encompassed within what the Mississippi Supreme Court has asked this court to do. And that's to determine whether Mr. Walker suffered prejudice at the penalty phase due to trial counsel's performance.

THE COURT: Let me ask Mr. White, not so much as it pertains to the instant motion, but on the overall issue on remand of whether or not this court should proceed to allow petitioner's counsel to expand the evidence, expand the record by way of things such as Mr. Walker being evaluated by a Dr. Shaffer, I believe.

MR. WHITE: Shaker, Shaffer, what?

MR. VOISIN: Shaffer.

THE COURT: Et cetera. So I will hear you on that.

MR. WHITE: Your Honor, he's been examined by this Dr. Mendel, and I think it's, you know, of course discovery in these cases is to the extent that the trial court allows. You know, it's concomitant with our motion for disclosure that we would want everything that they get. If the court grants this, we want --

THE COURT: I guess I'm asking more whether or not you agree that -- with Mr. Voisin's argument that, on remand, I have the authority to open very widely where they -- petitioner's counsel can go with further evaluations, further discovery, versus having a hearing limited to those matters which were pled at the Supreme Court?

MR. WHITE: I think clearly the rulings, especially from that man right there, Judge Grant, when all this started in a case of *Culberson* said that it is strictly limited to what is contained in the remand.

Now, the court was not real clear or not -sometimes they are very specific as to what they
say, but -- right now I don't recall what the
exact words were that they used when they
remanded this.

THE COURT: On this case?

MR. WHITE: It says circuit court of First Judicial District of Harrison County shall conduct A hearing to determine whether Alan Dale Walker's trial counsel was ineffective in searching for and presenting mitigating evidence during the penalty phase of the trial and whether Walker suffered prejudice from such deficient performance, if any, sufficient to undermine the confidence in the outcome. So it is only in this area of presenting and searching

for mitigating evidence.

Now, in this particular case, in our defense, you know, he was examined prior to trial. So -- and, of course, Mendelson or Mendel, whatever it is, you know, he's already examined him. And so what his -- you know, that's got to be compared as to what trial counsel did prior to. They're going to go and say, oh, we can go get this, and this, and this. I think there is a limit to how far it can go. And whether or not that includes going further with, you know, you have to look at it in the light of 25 years ago or whenever this case was. Was that kind of testimony even available at that time? And so if these -- I would say that it's limited.

THE COURT: To the record presented to the petition?

MR. WHITE: Not necessarily to the record.

THE COURT: Maybe that's a poor choice of words. But to say that the matters which were submitted to the Supreme Court in support of their petition?

MR. WHITE: Yes, I would think so. That there -- I think we now, as we now know very clearly from the Supreme Court, the state has not had a right of discovery until now, under the *Carothers* decision that they came down with and said we don't have in a pre-petition, in

2.7

other words, in his pre-petition, we could not have gotten any information, we don't have any way to rebut anything he said other than from what's in the record. So now, I mean, of course, we can get discovery and things like that. You know, I think it has to be pretty succinctly tailored to what was raised in the Mississippi Supreme Court. It's not just a free wheeling everything. If it didn't go into that area of mitigation, it's not before this court.

THE COURT: To that end, if it were unlimited, I think you could -- any case, you could go to the ends of the Earth to look for evidence --

MR. WHITE: Oh, absolutely.

THE COURT: -- which would be presented to show that trial counsel didn't diligently look for mitigating evidence, and argue prejudice as a result thereof.

MR. WHITE: And the thing is, it's, you know, counsel, and of course this is an argument on the merits, so I don't think I need to get into that about that counsel is not charged with knowing everything. That they have a right to rely on the medical and psychological and psychiatric personnel that they had at the time.

THE COURT: All right. Mr. Voisin, Mr. Craig, any reply? I'm thinking more of the global issue that the court brought up for you

than just the limited doctor's evaluation.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

2.0

21

22

23

24

25

26

27

28

29

MR. VOISIN: Sure, Your Honor. A couple of quick points on that. First, I believe with respect to Dr. Mendel, I think he's already before the court, so I'm not really -- I don't know if the court has a concern about him having another opportunity to see Mr. Walker to prepare for the hearing. As I understand it, the bigger concern is with Dr. Shaffer and neuropsychological testing.

THE COURT: Who, as I understand, would do the evaluation as Dr. Mendel said needed to be done.

MR. VOISIN: He would do some that Dr. Mendel could not do because Dr. Mendel does not have that specialty. But one point I would like to point out that we did present to the Mississippi Supreme Court Dr. Mendel's affidavit, and in Dr. Mendel's affidavit, he wrote in paragraph four of his affidavit, I believe, additional psychological testing is warranted. I also believe that Mr. Walker's school performance and lengthy history of substance abuse, neuropsychological testing, and assessment of his intellectual functioning is also warranted. So that express need for additional testing along those lines was presented, you know, to the Mississippi Supreme Court.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

2.4

25

26

27

28

29

Also, Your Honor, I could say from personal experience, and also just from familiarity with post-conviction decisions following evidentiary hearings, that it is very common for petitioners to present additional evidence that supports the grounds that were raised. So although the legal theory is set by the Mississippi Supreme Court when it remands, there is an allowance for additional evidence so long as that evidence supports the legal theory. And I know there are cases that have been decided by the Mississippi Supreme Court, some granting relief and some denying relief, where additional testimony was taken, testimony that went beyond what was initially presented. In the Anthony Doss case, which I believe the Mississippi Supreme Court cited in its remand order here, at the post-conviction hearing, Doss presented evidence that was not in his initial petition.

I believe in the *Jeff Davis* case where the Mississippi Supreme Court granted relief --

THE COURT: Was that perhaps because the trial judge gave that ability to counsel to do that versus was required by precedent or statute?

MR. VOISIN: As far as I'm aware, there was no issue because the evidence went to the claims that were pled. And I know just from -- I did a hearing in Willie Russell's case in Sunflower

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

County, and the evidence we presented went beyond what was in the initial petition, you So it's not know, had different experts. uncommon at all, in fact, as long as the evidence goes to the claims pled, and I think it goes back to the initial thing. The Supreme Court considers that the original submission before it to be there to decide whether we've made a prima facie case. But it's like in a summary judgment case, once it got past the summary judgment stage, the parties in a civil case are not confined to the evidence in their summary judgment papers. They can include additional things, as long as they are -- the evidence goes to the claims in the complaint.

THE COURT: Let me hear Mr. White.

MR. WHITE: The problem with the examples Mr. Voisin gives us is that those were Atkins -- you know, Atkins versus Virginia cases, where the court specifically remanded for them to be tested to see whether they have a hearing to determine whether they were mentally retarded. That's Willie Russell and the Doss case, both of those, that was the situation. And he has given us those where no such testing was -- had been done in a previous time. So yes, they were basically ordered to find out, and the only way they could find out was to have them tested and examined. So this is a different situation.

Dr. Mendel has already seen him. I don't see 1 there is any need for him to see him again. 2 THE COURT: Or to conduct further 3 evaluations by Dr. Shaffer? 4 MR. WHITE: I think that's in the 5 discretion of the court. 6 THE COURT: Let me take that motion -- any 7 further argument? 8 MR. VOISIN: Yeah, Your Honor, just real 9 Anthony Doss, the Supreme Court granted 10 relief on ineffective assistance of counsel 11 claim, the Atkins claim they denied relief on. 12 Jeff Davis, Davis versus State, that was an 13 ineffective assistance of counsel claim. Ιn 14 another case, this Fred Spicer, that was an 15 ineffective assistance claim where the record 16 was substantially expanded. I don't believe 17 that was appealed by the state after the circuit 18 19 court granted relief. I believe that was a 20 Jackson County case. THE COURT: Let me review those cases and I 21 will issue an order on that. In the instance, 22 23 Mr. Voisin, that the court grants the motion, what type of -- because I'm looking calendar 24 wise for the hearing date, when do you -- would 25 you think that evaluation and report to be 26 27 prepared? Your Honor, I would have to 28 MR. VOISIN: 29 check with Dr. Shaffer and Dr. Mendel, but I

would guess within 90 days they could make 1 arrangements to come see him. 2 THE COURT: To evaluate? 3 MR. VOISIN: Evaluate. 4 THE COURT: And prepare a report? 5 Sufficient time for state to have it and I guess 6 they would have the right to have a rebuttal 7 evaluation if they so chose. 8 That's what I was standing up MR. WHITE: 9 We want reserve the right, if for, Your Honor. 10 the court does that, to have him examined by our 11 own experts. 12 THE COURT: I understand. And I'm just 13 calendar thinking here. This case is 26 years 14 old, and it's been remanded since January of 15 2014, and we're just now having a preliminary 16 motion hearing. We'll come back to that. 17 So let's go to the second motion, which is 18 for leave of court to take out of state witness 19 20 depositions. Yes, Your Honor, I'm going to 21 MR. CRAIG: 22 address that. Thank you, Your Honor, 23 THE COURT: Let me just confirm, this is just to preserve the testimony, trial testimony 24 of the witnesses whose affidavits were 25 26 submitted? MR. CRAIG: Or, I think it's the same point 27 28 as with the expert witnesses, if there were 29 other witnesses, but who were going to testify

specifically about mitigating evidence that they 1 could have testified to. 2 THE COURT: Have you identified other 3 people beyond those? 4 MR. CRAIG: Yes, Your Honor, there are 5 other people that could perhaps be selected for 6 We haven't -- we've interviewed testimony. 7 other witnesses. We haven't made a decision as 8 to who to call, that -- I'm just pausing for the 9 court. 10 I think you cited to the out of THE COURT: 11 state witness subpoena statutes for criminal 12 They don't apply here, this is a prosecutions. 13 And they're your witnesses, they civil matter. 14 are the criminal defendant witnesses. So Mr. 15 White, is there any other way to compel the 16 appearance of these out of state witnesses? 17 MR. WHITE: None that I know of. 18 Is the state objecting to --THE COURT: 19 This is just, MR. WHITE: Certainly. 20 again, if we've got how many more? 21 THE COURT: Limited to the witnesses who 22 23 gave affidavits, how else could he present them if live testimony -- if they don't voluntarily 24 25 appear? MR. WHITE: That would have been the same 26 thing back at trial. If they won't voluntarily 27

appear, they wouldn't have come to trial.

so how could counsel, our counsel, be held

28

ineffective for not compelling them to be there.

They couldn't do it.

MR. CRAIG: May I respond, Your Honor?

THE COURT: Sure.

MR. CRAIG: In the first instance, the motion really covers two separate points. I really don't think any of the witnesses we would like to call will fail to voluntarily appear. We said if necessary, in paragraph five of the motion, talking about that statute. Of course, that's statute would have been available to trial counsel. So I think the point that Mr. White just made is not correct.

THE COURT: I don't think that applies to defense witnesses.

MR. CRAIG: In any event, Your Honor, if I may pass on that. The point, I think, is that we think it would be a matter of convenience for the court for us to take what we used to call, when I was first a lawyer, trial depositions, where both sides could question the witness, a transcript could be made, that witness then would not have to attend here, and the hearing before this court would be abbreviated by at least that one witness.

Now, witnesses do travel and Mr. Walker's family, in particular, have been in several different places during their life and still are. So his mother, who is closer to the

jurisdiction, is still around. There are other people who were available at the time, but who aren't living in Mississippi now. We're simply trying to find a way to ease the burden on those witnesses, and to have testimony already prepared which the state would have an opportunity to examine and know who those people were in advance. And then present the depositions at the very beginning of the evidentiary hearing. And the court would take them and then add them to the witnesses the court hears through live testimony.

MR. WHITE: May I respond to that? THE COURT: Yes.

MR. WHITE: Your Honor, as the trier of fact in this case, I want you to see those people and make your own evaluation of them from the stand. I don't want to go to someplace and sit in a room and talk to somebody where he has control over the witnesses. I want them in the courtroom to testify. That's what an evidentiary hearing is all about. This is not a paper hearing. This is a live hearing.

THE COURT: I will admit that in reviewing the motion, this is in my mind, this is not a taking a deposition of a doctor in a medical malpractice case, or a slip and fall, or whatever it is. This is a highly charged very emotional underlying case that the court needs

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

to evaluate the credibility of the witnesses and judge their demeanor on the stand to give whatever effect their testimony is to me. agree with that state here that it's preferred that the testimony be live. But if the petitioner has witnesses, family members, those who voluntarily were to give testimony, I don't know that it would be judicially efficient or required that we allow deposition testimony to take place at everyone's expense, the state's expense, your expense, when it's preferred that they appear voluntarily, but they appear they're going to do that anyway, and present live testimony. I think it adds to the overall evaluation of the claim that they appear live.

Now, if there is absolutely some witness who by age or infirmity cannot travel and must be — their testimony must be preserved, I know that has occurred in the past at the trial court level that some type of videography takes place. So that it's better than a cold read of the record. But I would limit it to just those instances, if any. Can you designate — I'm not asking you to tell me who you are going to call right now, but if you want to reurge the motion as to any particular witness because of infirmity or that they cannot travel, I'll reconsider the motion on that. But right now, the motion for leave to take a deposition of out

of state witnesses will be overruled in that regard.

MR. CRAIG: Yes, Your Honor, we understand.

THE COURT: Number three is a motion to leave to take discovery.

MR. CRAIG: Yes, Your Honor. There is a motion that we filed and a motion that the state filed. And if I may suggest that we take them up at the same time because the truth of the matter is, I believe, with respect to everything but one paragraph of the state's motion that we're basically asking for the same information.

THE COURT: Exchange of witnesses and --

MR. CRAIG: We did, Your Honor, and we grounded it slightly differently. We grounded ours in the civil procedure rules through the post-conviction statute. The state grounded theirs through Rule 22. As Mr. White pointed out, Rule 22, which is really talking about pre-petition discovery, has been found not to apply to the state. But it's really -- that's a distinction without a difference at this point because the statute really gives the court the power to allow discovery, and we don't contest that. In fact, we want discovery, too.

The one paragraph in the state's motion that does not -- that does not appear in ours and that which we do object to, is paragraph seven. I don't see -- it looks to me that this

paragraph seven, which is asking for -- I won't read it, I see the court is looking at it, parties including but not limited to people working for the Office of Capital Post-Conviction Counsel, but it's not limited to that. The people who gather, elicit, obtain, volunteer, or provide evidence, and to the extent that that's asking for work product, in other words, my talking to witnesses, Mr. Voisin, an investigator, that sort of thing, I just don't see where this is permissible under the --

THE COURT: Any rules.

MR. CRAIG: Under any rules. So we do object to that. We do not object, and in fact we have asked for and would agree reciprocally, for the names of witnesses, statements of witnesses if they exist, and information about experts, and that we set a time sufficient prior to whatever hearing date the court designates for that exchange to happen so both sides can reasonably prepare for the evidentiary hearing. We have no problem with that. And Mr. White, I see in his motion, has said no less than 30 days prior to the date set for the evidentiary hearing.

THE COURT: To exchange.

MR. CRAIG: Yes, sir. And that's acceptable to us as well.

THE COURT: Mr. White, any further comment 1 or comment about the objection of paragraph 2 seven in your motion? 3 Other than in the past that we MR. WHITE: 4 have, when we've dealt with these, we have these 5 unnamed people going out and speaking to these 6 witnesses before, and basically schooling them 7 on how to respond when we try to interview them 8 That's the only reason I want to or anything. 9 know who all has contact with, who their 10 investigator is, things like that. I think that 11 that is not unreasonable to know who the 12 13 investigator --THE COURT: To identify the names of those 14 who may have interviewed the witness, but not to 15 get into the --16 The substance of what they MR. WHITE: 17 interview --18 THE COURT: That would be disclosed, but 19 20 the work product, or the impressions, or the investigator's notes or anything of that nature? 21 22 MR. WHITE: Yeah, I mean, the thing is, some of these people are known to us and some of 23 them we don't think are too honest. 24 25 THE COURT: That could be the subject of cross-examination or things of that nature. 26 Well, they will never hit the 27 MR. WHITE: 28 stand, and we won't know that they are the ones

who -- you know.

THE COURT: Mr. Craig, do you object to disclosing names of investigators or personnel who were acting on your behalf or co-counsel's behalf that may have interviewed these witnesses?

MR. CRAIG: As a matter of principle I do,

MR. CRAIG: As a matter of principle I do, Your Honor. I've been doing these kinds of cases for over 20 years, and I have never been accused of having an investigator tell a witness what to say, or that an investigator was less than honest.

THE COURT: Mr. White, I don't need the theatrics.

MR. CRAIG: I mean, in an absence of a showing of good cause for that, I don't really think they're entitled to it. But if the court -- I think the court has discretion to order us to disclose the names of people who interviewed witnesses, and I don't -- as long as it is not asking, you know, the work product of those people because they're extensions of us as the court knows.

THE COURT: Yes?

MR. WHITE: That will be fine.

THE COURT: That's what I'll order.

MR. CRAIG: Thank you, Your Honor.

THE COURT: And we'll be thinking about

another scheduling order as we go through these.

MR. CRAIG: Yes, sir.

THE COURT: Next motion is a motion for partial summary judgment.

MR. CRAIG: Yes, sir. This motion, Your Honor, is as the court knows, the court has the authority under the post-conviction statute to grant whole or part summary judgment in the same manner as it could in a civil case under Rule 56. And I'm not going to belabor the Rule 56 standard. We all know it and I know the court knows it.

The court also knows that the issue that has been remanded to the court, ineffective assistance of counsel, has two basic prongs. The first one being whether trial counsel performed deficiently, and the second being whether the defendant suffered prejudice from the deficient performance. So this motion for partial summary judgment focuses very narrowly on the deficient performance prong.

At the time that we filed the post-conviction petition in the Mississippi Supreme Court, we had attached two affidavits from lead counsel for the defendant, Mr. Stegall. And in the two affidavits, Mr. Stegall says very forthrightly that he did very little —— did little, not very little, sorry. "I did little preparation for the penalty phase prior to trial because I believed that there was a high likelihood of getting an acquittal." He

also said, "I did not interview anyone in Mr. Walker's family until we were in Vicksburg for the trial." That's paragraphs one and two. In paragraph four of Mr. Stegall's 2012 affidavit, Your Honor, Mr. Stegall says, this is on the second page, "I would have wanted to develop the type of information contained in Dr. Mendel's psychological report. I believe he did an excellent job of developing and explaining factors that were important in shaping Mr. Walker's life." Then he gives a statement that goes to prejudice, that's not before the court in this motion.

THE COURT: Isn't Mr. Stegall's second affidavit -- wasn't that prepared or provided after Judge Starrett ruled on the habias and ruled on this very claim, although it was not properly before the federal court?

MR. CRAIG: That's correct, Your Honor. It was after Judge Starrett raised the issue of whether the first affidavit was ambiguous on the question of when family members might have been interviewed and whether Mr. Stegall had considered putting on expert testimony in the sentencing phase. So that's exactly right. So we went back to Mr. Stegall to ask him those questions, and he gave this testimony.

We have attached the other affidavits simply for the purpose of the fact that those

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

lay witnesses confirm Mr. Stegall's statement that he did not interview them. And we attached Dr. Mendel's affidavit strictly for the purposes of the fact that Mr. Stegall says I've read it and this is the kind of report I would have liked to have had. So, again, whether those — that testimony would have made a difference in the sentencing phase goes to the second prong, we're not concerned with that in this motion.

Now, Mr. Stegall did move for a competency evaluation, but he moved for that evaluation on July 26th, '91 and, trial was set and began on August 5th, a week later, '91. And there was a co-counsel, Robin Midcalf, but she was also appointed on July 26th, 1991, just a week before So the fact that Mr. Stegall says he did trial. not prepare for the sentencing phase, he did little to prepare for it, he did not interview these family members, and then he would have sought this expert and used this expert if he had had the opportunity would -- is very highly It is a sworn statement of the type that one would present under Rule 56, and the state has not filed anything in opposition to it that I know of since we filed the motion for summary judgment.

I'm just going to mention a couple of cases, Your Honor. Williams versus Taylor we cited in our motion at paragraph 50, which is a

US Supreme Court case as to deficient performance. It's on all fours with this case because counsel began preparing for the penalty phase in Williams against Taylor a week before trial, presented a couple of witnesses, but the United States Supreme Court said that that was deficient performance.

The point really at the end of the day,
Your Honor, is where there is no response or
rebuttal by state to what Mr. Stegall has
testified to in affidavit, Rule 56 allows this
court to narrow the issues prior to trial. It
will focus us at the hearing so we're starting
right away on the question of whether there was
mitigating evidence that could have been
presented and whether in the court's judgment it
undermines confidence in the outcome. We don't
have to be trying both prongs when there's no
issue of material fact.

The last thing I would like to say is that under the post-conviction statute, there is a little wrinkle because the Mississippi Supreme Court has made clear that because post-conviction comes after a full trial, the court is permitted to look at the whole rest of the record to determine if there are genuine issues of material fact. We submit, however, that with respect to this narrow issue, there are none. And that, certainly, it could have

been incumbent upon the state to have pointed those out under Rule 56 prior to today, at least by yesterday, as I appreciate Rule 56, 24 hours before. This court, though, as the court knows, is not required ever to grant summary judgment. But --

THE COURT: There will be no real prejudice, as I can appreciate it, if I don't, so that the full hearing would be developed as to first prong first, of the *Strickland* kind of standard.

MR. CRAIG: And that's why summary judgment is rarely appealable, Your Honor. It is sometimes appealed in an interlocutory manner. We would simply suggest that it would -- Rule 56 is an available mechanism to this court. We have a witness who has given two affidavits, they're very clear. It lines up perfectly with Williams against Taylor, and this court should enter partial summary judgment, in our view, and narrow the issues so we can start right away on the significant factual issue of prejudice. There is going to be a lot on that. And we think that would be helpful.

THE COURT: Mr. White?

MR. WHITE: We go simply back to what the Mississippi Supreme Court remanded this case for. Was to make a determination of whether trial counsel was ineffective in searching for

1

2

3

Δ

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

In other words, make that and presenting. determination of whether there was deficient And we submit that we have never performance. had an opportunity to cross-examine Mr. Stegall. Two affidavits. You know, that's -- I've been in this, as Mr. Craig says or one of them said, 20 something years, I've been in it for 38 Affidavits are rarely conclusive on a vears. matter once that person, again, I want a live witness, I want to be able to question Mr. Stegall, what did he do, when did he do it, and what his involvement was. I don't think that that is sufficient -- affidavits are sufficient to determine this matter. And, of course, the Supreme Court has said many times, you know, and has ruled many times, if they can determine an issue, and they determined some issues in this case already, if they can determine an issue themselves, they will. So if they could have sent it back for a mere hearing on prejudice. They did not do that. They sent it back for a hearing to determine whether there was deficient performance and prejudice.

So I submit that granting a motion for summary judgment in this case would be contrary to the mandate of the Supreme Court. And also, further, that as this *Carothers* case as I said, there's been no opportunity for discovery on the part of the state whatsoever at this point.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

We've had no entitlement to discovery, and in the Carothers says if the petitioner is able to demonstrate a substantial showing of denial of a state and federal right, it is allowed to proceed to the trial court. The state is then allowed to access the full spectrum of civil discovery, which it may employ to prepare and defend the case. We're not even -- I wasn't even entitled to talk to Mr. Stegall prior to this time, although I've known Mr. Stegall for -- since junior college.

MR. CRAIG: May I make a brief response?

THE COURT: Yes, Mr. Craig.

Thank you, sir. Well, MR. CRAIG: certainly the state did not have an opportunity for discovery before the post-conviction And before That's correct. petition was filed. the remand, that's also correct. But we filed our motion to vacate sentence in this court on April 29th, 2014. And we filed our motion for summary judgment, as the court knows, June of this year, and we interviewed Mr. Stegall. those points are actually indistinguishable from any summary judgment situation that a party can go interview the witness and get a counter-affidavit if they think the witness has something more to say. And that has been done before. But -- so I don't think that's -- I don't think that's a pertinent point here.

post-conviction statute specifically provides summary judgment can be moved for. And so we cited cases in our motion where, indeed, state motions for summary judgment were granted even after a remand. So the remand order itself does not command a hearing if summary judgment is moved for and is otherwise appropriate. And, indeed, the treatise that the chapter of the Mississippi Encyclopedia of Law that counsel opposite authored points out, which we cited, points out the summary judgment is available.

I think the real question is whether in the court's discretion that summary judgment should be granted, or whether in the court's discretion that it should not be. We submit that it should be because it will narrow the issues for the evidentiary hearing, and enable the court to get to the meat of this issue, which is the actual mitigating evidence and whether that would have made a difference or not. I think that's the real issue before the court. And we submit to the court for exercise of its discretion on that issue.

THE COURT: I think that it's incumbent on me to hear and have developed all issues, both prongs of the *Strickland* standard. So at this point I think the motion for summary judgment as to partial summary judgment, I'll deny that and allow you to reserve making that argument,

substantive argument, at the conclusion of the hearing in the form of a judgment as a matter of law, I guess, directed verdict, on that partial directed verdict, however the rule plays out.

But allow you to reurge it then.

MR. CRAIG: Thank you, Your Honor.

THE COURT: I just out of an abundance of caution, I want to hear everything.

MR. CRAIG: Yes, sir, I appreciate that. That's why we said it was within the court's discretion.

THE COURT: The last motion is to preserve the right to file additional motions?

MR. CRAIG: Yes, Your Honor. And the way that we structured that was to say there was a pleading deadline. We filed motions, the state filed motions, but if there is a showing of good cause for why it could not have been filed by that time, and I would also suggest that when we're doing scheduling, which I think we'll probably take up imminently, that we set a time for some kind of prehearing or pretrial conference at which any kind of issues can be aired so that the date the court sets for the hearing is the date that we have the hearing.

THE COURT: Any objection to that, Mr. White?

MR. WHITE: No, Your Honor.

THE COURT: I'll grant that motion.

Thank you, Your Honor. MR. CRAIG: 1 Regarding scheduling, we've had THE COURT: 2 one or two before, but does anybody object to 3 having telephonic conferences to discuss 4 administrative scheduling matters? 5 MR. WHITE: Prefer it. 6 THE COURT: Prefer it. 7 MR. CRAIG: No objection. 8 That leaves Mr. Walker out of THE COURT: 9 the earshot of what's going on, but since it's 10 strictly administrative matters, I don't see any 11 necessity that he be a party to the 12 conversations. 13 MR. CRAIG: Yes, Your Honor. And moreover, 14 Mr. Walker, you know, it can be very difficult 15 to be transported here back and forth. And that 16 was why he didn't want to be transported before. 17 And no evidence will be taken at a telephonic 18 conference. So we don't think his presence is 19 necessary. 20 THE COURT: During this housekeeping 21 22 matters, y'all can remain seated during any I'm thinking it's premature to start 23 responses. talking about dates until I make a decision on 24 the first motion about the doctor. But we can 25 talk about spans of time. You know, you are 26 saying 90 days to have an evaluation and get a 27

Yes, Your Honor.

report to the state. Sounds reasonable?

MR. VOISIN:

28

1	THE COURT: In the instance I grant the
2	motion.
3	MR. VOISIN: Yes, sir.
4	THE COURT: If it's not granted, let's just
5	talk about maybe two tracks here. If it's not
6	granted, when do you all think we could
7	reasonably prepare or have the hearing, and I'll
8	say that the 22nd of February is the date the
9	court is looking at as the date to conduct the
10	hearing.
11	MR. CRAIG: I'm going to look at my phone
12	for the purposes of looking at my calendar, Your
13	Honor.
14	MR. WHITE: Your Honor, the only thing
15	about that is if they are going to have him
16	evaluated and
17	THE COURT: No, this is if I deny that
18	motion.
19	MR. WHITE: Oh, okay.
20	THE COURT: That's the date I'm looking at.
21	If I grant it, then we're going to be further
22	into the spring.
23	MR. CRAIG: We we're both available on the
24	22nd, Your Honor. I'm sorry, I didn't realize
25	the court was looking at us first.
26	THE COURT: Mr. White, is that date
27	available for the state?
28	MR. WHITE: I'm looking right now, trying
29	to get to that point. The only thing I would

say, though, Your Honor, I'm sure I'm clear that week, but if you -- even if you don't grant the motion, since they have already, and we have not had a right to have this done prior to this, since Dr. Mendel has already examined him, we would like our psychologist to examine him, also, to rebut any kind of statement like that.

THE COURT: You want to have Mr. Walker evaluated regardless of whether I sustain their motion?

MR. WHITE: I mean, if further -- for further testing with them, but since they've already had him examined, I think we have a right to have him examined by our psychologist to rebut what Dr. Mendel has already said.

MR. CRAIG: Please the court, we would object to that in that the court has set scheduling deadlines. Dr. Mendel's affidavit has been in the record for some time. There is a June 19th deadline for filing motions, and that would have been a motion for mental examination under, I believe, Rule 35.

Certainly would have been available. But the contours of that is all supposed to be set forth by motion under Rule 35 and no motion has been filed. And I certainly agree with Mr. White, if the court were going to entertain that motion, there is no way that we would be ready by the 22nd of February.

THE COURT: Even just with one more 1 evaluation, no matter who's it is? 2 MR. CRAIG: That would be our view, yes, 3 sir. 4 Well, at this point, I think THE COURT: 5 conducting further conversation about a 6 scheduling order or scheduling a hearing is 7 futile until I rule on your written motion and 8 your ore tenus motion. So I will take that 9 under advisement and get a decision to you 10 hopefully within week. I don't think we're in 11 trial next week. Then we'll schedule a phone 12 conference thereafter. On the whole, how long 13 do you think this hearing, when ultimately it 14 kicks off, will last, two days, three days. 15 16 MR. CRAIG: I would say three days if both issues, given the court's denial on the motion 17 for summary judgment, I think three days. 18 19 don't know if counsel opposite agrees or 20 disagrees. 21 THE COURT: For you to put on your proof? MR. CRAIG: I think so, Your Honor. 22 THE COURT: Just for scheduling purposes, 23 trying to dedicate a whole week to this. 24 Your Honor, if I may inquire, 25 MR. CRAIG: 26 could we reserve that week, the week of the 27 22nd, just in the short term? I know the court's calendar is probably going to fill up. 28

That is a week that I'm

THE COURT:

1	designated as what they call a second chair.
2	I'm not assigned to a courtroom, I have to go
3	reserve one to have the hearing. If we can't
4	have one in Gulfport, we can go to Biloxi, or
5	Bay St. Louis, or Wiggins or somewhere to sit.
6	But I have nothing calendared that week. That's
7	why I reserve those weeks for particular cases.
8	MR. CRAIG: Thank you, Your Honor. We will
9	keep it on our calendar.
10	THE COURT: So let's keep that date right
11	now. Now, who is going to prepare the orders
12	from what we did today?
13	MR. CRAIG: We're happy to do a draft and
14	submit them to Mr. White for his review, and
15	then submit them to the court. Could we have a
16	week for that?
17	THE COURT: That will be fine. Anything
18	further we need to take up?
19	MR. CRAIG: There is only one matter, Your
20	Honor, it's entirely housekeeping in the literal
21	sense of the word. I believe that Mr. Walker is
22	being held on suicide watch in the custody of
23	the sheriff.
24	THE COURT: It's my understanding if we are
25	done before noon, the sheriff is going to
26	transport him back to Parchman this afternoon.
27	MR. CRAIG: That will handle our problem,
28	Your Honor.
29	THE DEFENDANT: That's fine. In the

future, if they can't have the cells cleaned, 1 because when I go down there the cells are 2 3 extremely nasty and I'm sleeping on the floor. THE COURT: That's why they had a sheriff's 4 5 election this year to see if they could change that. 6 7 THE DEFENDANT: I mean, if they gave me something to clean it with, I would clean it. 8 We will get you back to where 9 THE COURT: you need to be in about an hour and a half. 10 MR. CRAIG: Thank you, Your Honor. 11 Anything else on the record? 12 THE COURT: 13 MR. CRAIG: No, Your Honor. THE COURT: We'll be in recess: 14 15 (Whereupon the proceedings were concluded) 16 17 18 19 20 21 22 23 24 25 26 27 28 29

# Reporter's Certificate

# STATE OF MISSISSIPPI COUNTY OF HARRISON

I, HUEY L. BANG, CSR No. 1147, Official Court
Reporter for the Second Circuit Court District of the
State of Mississippi, do hereby certify that the
foregoing 41 pages constitute, to the best of my skill
and ability, a true and correct transcript of my
stenographic notes of the Hearings had on the 30th day of
October, 2015 before the HONORABLE CHRISTOPHER L.
SCHMIDT, Circuit Court Judge of the Second Circuit Court
District of the State of Mississippi, being a regular day
in the October Term of Harrison County Circuit Court at
Gulfport.

This is to further certify that I have this date filed the original and one copy of said transcript, along with one CD in PDF language, for inclusion in the record on appeal, with the Clerk of the Circuit Court of Harrison County, Mississippi, and have notified the attorneys of record and the Supreme Court of my actions herein.

WITNESS MY SIGNATURE on this, the 28th day of August, 2018.

HUEY L. BANG, CSR #114

Official Court Reporter

Court Reporter's Fee: \$100.80

1 2	IN THE CIRCUIT COURT OF HARRISON COUNTY, MISSISSIPPI FIRST JUDICIAL DISTRICT
3	STATE OF MISSISSIPPI
5	NO. 2018-TS-01059
6	versus CAUSE NO. 25,945
7	ALAN DALE WALKER DEFENDANT
9	*************************************
10 11 12	Transcript of the proceedings had and done in the above styled and numbered cause before the Honorable Christopher L. Schmidt, Circuit Court Judge of the Second Circuit Court District of Mississippi, on February 22, 2016.
13	
14	APPEARANCES:
15	Representing the State:  MARVIN WHITE, ESQUIRE
16	CAMERON BENTON, ÉSQUIRE Assistant Attorney General
17	P.O. Box 220 Jackson, Mississippi 39205-0220
18	
19	
20	Representing the Defendant:  JIM CRAIG, ESQUIRE
21	DAVID PAUL VOISIN, ESQUIRE MacArthur Justice Center
22	4400 S. Carrollton Avenue New Orleans, Louisiana 70119
23	
24 25	
26	Reported By: Huey L. Bang, CSR #1147, RMR, CRR,
27	Official Court Reporter
28	
29	

# Preliminary Matters

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

This is Cause Number 25,945, THE COURT: State of Mississippi versus Alan Dale Walker on remand from the Mississippi Supreme Court in Cause Number 2012-DR-00102-SCT. This matter was remanded in January of 2014 after the Mississippi Supreme Court, on a second successive or a successive post-conviction writ found that there was a need for an evidentiary hearing to determine whether or not Mr. Walker received effective assistance of counsel during the sentencing phase after being convicted of capital murder, rape, and kidnapping in August of 1991, and received the death penalty and 65 years.

Counsel for the state please make your appearance for the record.

MR. WHITE: Marvin White special assistant attorney general for the state.

MS. BENTON: I'm Cameron Benton, Your Honor, also special assistant attorney general.

THE COURT: And on behalf of the petitioner defendant?

MR. CRAIG: Yes, Your Honor, I'm Jim Craig. I'm one of the lawyers for Mr. Walker.

MR. VOISIN: David Voisin, V-O-I-S-I-N, also representing Mr. Walker.

THE COURT: Good morning, counsel. Before we begin the evidentiary hearing, is there any housekeeping matters we need to take up before

# Preliminary Matters

we take anything else up? There was the matter of the interlocutory appeal and the order, the motion which was granted by the Supreme Court granting Dr. Shaffer access to your client. I have not yet received an order from counsel in that regard. Do you have one with you today?

MR. VOISIN: Yes, Your Honor, we brought a proposed order. We've given a copy to Mr. White. I can hand a copy to the Court, or to Ms. Ingram.

THE COURT: Mr. Craig, Mr. Voisin, looking through the court file there were four subpoenas issued for witnesses today. Do you anticipate during this first phase, we'll call it the evidentiary hearing, witnesses beyond those four people?

MR. CRAIG: Yes, Your Honor, we believe we will have six witnesses today. We may have seven. We do think, because they're fact witnesses, Your Honor, that we will be able to complete in one day. Obviously, I'm not in a position to promise that, but that's our belief.

THE COURT: And then we will follow at a date which we'll look at later, at the conclusion of these hearings today or tomorrow, when we can relatively look at a new date for the second phase or the second part of this hearing.

MR. CRAIG: Yes, Your Honor, we're prepared

with our calendars for that.

THE COURT: And so we are all on the same page here, the mandate from the Supreme Court is two-fold. One is an inquiry by the Court to determine whether or not counsel was ineffective in searching for and presenting mitigating evidence. And the second part, whether the defendant suffered prejudice from such deficient performance, if any, sufficient to undermine the confidence of the outcome actually at sentencing. Everyone agrees that's the scope of the mandate?

MR. WHITE: Yes, Your Honor.

MR. CRAIG: Yes, Your Honor.

THE COURT: And since this is a PCR hearing, the burden of proof by the petitioner is by a preponderance of the evidence.

MR. CRAIG: Yes, Your Honor.

THE COURT: And what's the standard on review of my decision?

MR. CRAIG: Factual findings, Your Honor, I believe it's manifest error or clearly erroneous factual findings on the application of the Strickland standard, which is the standard Your Honor referenced. It's, I believe, a mixed question, and so the Court looks at it as a mixed question of law.

THE COURT: So the heightened scrutiny does not apply at this hearing as it would apply at

ı	
1	trial. Just going through my notes here. Do
2	you all have any exhibits you want to premark?
3	MR. VOISIN: We have one exhibit, Your
4	Honor, for today.
5	THE COURT: Has Mr. White seen it on behalf
6	of the state?
7	MR. VOISIN: Yes, it's the map.
8	MR. WHITE: I was just shown this this
9	morning. It wasn't furnished in discovery
10	timely.
11	THE COURT: I don't even know what it is.
12	MR. WHITE: We will get to that when they
13	try to offer it.
14	MR. VOISIN: Judge, I have another copy if
15	you would like.
16	(Defense Exhibit 1 marked for identification)
17	THE COURT: We'll reserve talking about it
18	until we get there. Since the first part of the
19	analysis, or maybe it could be considered both
20	parts, the testimony of the witnesses that you
21	present now, that petitioner's argument should
22	have been presented in 1991, or could have been
23	presented, what is the position of the parties
24	as to the application of the rules of evidence
25	to their testimony today versus what to the
26	application of the rules of evidence in 1991?
27	Considering that I'm the trier of fact here and
28	I can consider what I think is relevant and
29	whatnot, but just for the record, I would like

to hear from the petitioner and the state as to -- generally, sentencing hearings the rules are somewhat relaxed. But maybe you can just tell me what your position is, Mr. Voisin.

MR. VOISIN: Yes, Your Honor. Our position is that this should be treated as though it were a sentencing hearing, what should have or could have been presented. And the Mississippi Supreme Court has held that in a couple of cases that the rules of evidence are not as strictly applied as they are in an ordinary trial, for example, rules about hearsay don't apply. And there are a couple of cases that the Mississippi Supreme Court has held that with, including Randall versus State, and I believe it was Wilson versus State.

THE COURT: To be sure, it's not a wide open. There are some parameters of admissibility.

MR. VOISIN: There are, but both the Mississippi Supreme Court and the United States Supreme Court have held that there's a very low threshold to establish relevance, and it's very broad. Anything about the defendant's character or background can be admissible. And it's all been viewed very broadly so that it allows a wide range of information about a defendant's background, family history, and so forth.

THE COURT: I'm not necessarily asking or

thinking in terms of that not being admissible, but the mechanism by which it -- is it admitted by hearsay within hearsay, by speculation, by affidavit, I mean, there has to be some constraints upon which that evidence can be admitted into the record, do you agree?

MR. VOISIN: I'm sure there are.

afield without even having heard the testimony yet, because we may not get there. But I'm just trying to reconcile in my mind right now, I'm going to be sitting as a fact finder and issuing an opinion, but at the same time, I have to look at it from the perspective of what that juror might have heard in 1991.

MR. VOISIN: Yes, Your Honor. I guess it's difficult to give a global answer. Maybe we should take it --

THE COURT: As it arises.

MR. VOISIN: As it arises.

THE COURT: Mr. White?

MR. WHITE: Well, I disagree with that, of course. The Mississippi Supreme Court has clearly held on several instances that hearsay is not admissible. Hearsay evidence regarding a capital defendant's upbringing in mitigation can properly be objected under Mississippi Rules of Evidence 602, as Jordan versus State, which came out of this district, by the way. And the

defendant is not entitled to call family members to testify to the impact of the death sentence. The rules of evidence apply as far as hearsay goes.

Now, he said United States Supreme Court has relaxed that. I presume, since he didn't cite any cases, that he is talking about a case called *Green versus Georgia*, which the Mississippi Supreme Court has held in three cases does not say that. And that is *Turner versus State*, *Ballenger versus State*, and *Connor Versus State*. And it says in *Turner*, it says if hearsay evidence is ruled inadmissible the offering party must make a proffer what that witness said in order to preserve any point for appeal.

So the rules of evidence apply. And, you know, I don't even know that they are relaxed in that regard because I know there is even a case that, you know, one of the rules I think says something about them being — not applying to a sentencing hearing. The Supreme Court, Mississippi Supreme Court has held that doesn't apply in capital sentencing. The rules, especially the hearsay rule, because we anticipate hearing that objection coming up many times today.

THE COURT: I was going to say, you make sure, if you find something to be objectionable,

make your objection contemporaneous with the
question, and --

MR. WHITE: Sure.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

Your Honor, I do have the MR. VOISIN: specific case citation if the Court would like. In Randall versus State, 806 So. 2d 185, at Paragraph 131, the Court noted as the state argues, Rules 101 and 1101(b)(3) state that the rules of evidence do not apply to sentencing Thus, you know, the assignment that hearings. Randall made is without merit. So that was the state's position that the Court accepted in Randall versus State. In Wilson versus State, 21 So. 3rd 572, at Paragraph 42, the Court reaffirmed that holding from Randall and wrote in Randall versus State, this court succinctly stated that Rules 101 and 1101(b)(3) state that the rules of evidence do not apply to sentencing hearings.

So we've got two decisions from the Mississippi Supreme Court accepting the state's position that the rules of evidence do not apply. Additionally, we have in the courtroom, Dr. Mendel, who is going to be observing the witnesses. He has reviewed their affidavits, but this will offer him an opportunity to see the live testimony and the cross-examination. And he is doing just what the state's expert over here is doing. And Dr. Mendel can rely on

a wide range of statements that are necessary for him to reach his expert conclusions, even if they would otherwise be inadmissible.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

So because the Mississippi Supreme Court has found that the hearsay rule does not apply, because it's going to be necessary and important as a basis for our expert opinion, we think that the witnesses should be allowed to give testimony even if it might be hearsay.

MR. WHITE: As far as his argument about Dr. Mendel or Mendel or whatever his name is, he can -- if he can rely on hearsay, which he's evidently done in his -- what they've already furnished us, he -- you know, they can have him talk to these witnesses outside this courtroom. What we hear today from this stand is supposed to be what would have -- the jury would have heard, and the jury would not have heard what Dr. Mendel can rely on or anything like that as far as hearsay and stuff like that. But what we submit that what they hear from there and that the rules of evidence do apply, if he has a conflict, we have a conflict of the thing because these cases that I have here all say the rules of evidence apply. And these are from the book.

THE COURT: I don't know what the book is, but, Mr. White, make your objection timely and I will decide whether or not to allow it into the

record, but even if -- if there is something that may be objectionable and may have been -- the objection may have been sustained as to the -- what a jury would have heard, I think the law recognizes the trier of fact, if it's the Court, can wade through those matters and exclude from its consideration those things which are otherwise inadmissible.

I don't want to exclude anybody from making a record, but I will tell you that I will form my opinion based upon those things that are only admissible as if it were in front of a jury.

MR. WHITE: May I ask this one thing because we anticipate quite few of those objections, do I have to be like a Jack In The Box?

THE COURT: You may remain seated.

MR. WHITE: All right. Thank you.

THE COURT: Mr. Craig or Mr. Voisin, do you have your witnesses in the courtroom?

MR. VOISIN: They are not in the courtroom.

They are in a witness room down the hall.

THE COURT: Why don't you bring them all in, we don't have a clerk, so I would like to swear them all in at one time.

MR. WHITE: And then I would invoke the rule.

THE COURT: And then the rule will be invoked.

1	MR. VOISIN: I will let them know what's
2	going to happen.
3	THE COURT: Otherwise, are y'all ready for
4	testimony?
5	MR. CRAIG: Yes, Your Honor.
6	MR. WHITE: We have six witnesses today,
7	and how many more?
8	MR. CRAIG: I think we actually have more
9	than six people here today, but I think six is
10	what we're calling and then we'll stop at this
11	phase of the testimony. Some of them are
12	duplicative, so I don't see a reason for us to
13	call more than one on different topics, Your
14	Honor.
15	MR. WHITE: How long do we anticipate this
16	going?
17	MR. CRAIG: I think we will be done with
18	this phase today. How long, I don't know.
19	MR. WHITE: What phase today?
20	THE COURT: When I stated phase, I mean our
21	phone conference two or three weeks ago, we
22	decided to split the hearing up.
23	MR. WHITE: Right. I understand that.
24	THE COURT: These lay witnesses will be
25	testifying today and/or tomorrow. And then
26	after we have the report from Dr. Schaeffer and
27	if you have an opportunity to have a rebuttal
28	report prepared, then we will look at a calendar

for those witnesses and any other, Mr. Stegall,

_	where also was supposed to have tootified
1	whoever else was supposed to have testified.
2	MR. WHITE: I understand that, Your Honor.
3	What I'm asking, we've made reservations, not
4	knowing how long this was going to take, for
5	tonight and tomorrow night. If we need to stay
6	tomorrow night, that's what I need to know.
7	THE COURT: I don't think so.
8	MR. WHITE: We will cancel those.
9	MR. CRAIG: You can cancel them, I believe.
10	We will I can't predict your
11	cross-examination, obviously, but the but in
12	terms of what we're prepared to do, we have
13	every reason to think we will be done at the end
14	of the court day today with all of the lay
15	witnesses, excluding the attorneys who
16	participated in the trial, and the expert
17	witnesses from either side, Judge.
18	THE COURT: All right.
19	MR. WHITE: So no witnesses tomorrow?
20	MR. CRAIG: If we get through these six
21	today, that's correct.
22	MR. WHITE: Okay.
23	MR. CRAIG: Judge, the witnesses are going
24	through the screening process to get into the
25	courtroom, so they're on their way.
26	MR. VOISIN: Two of our witnesses went out
27	for a smoke break, but those weren't going to be
28	witnesses we intended to call in the morning.
29	THE COURT: The clerk is stretched thin, so

many dockets going, so I thought it would be
quicker to I will administer the oath to
everyone at one time. Will you all be
referencing any of the exhibits that was part of
the PCR at the Supreme Court?
MR. CRAIG: If we do, Your Honor, we have
them separately designated on our exhibit list
for this hearing, but I'm not sure we will even
be using any of those today.
THE COURT: Line them up to your left, Mr.
Craig.
MR. VOISIN: One of our witnesses
THE COURT: We can take it up. If you all
would please stand.
MR. VOISIN: One of our witnesses, since
she is local, we had told her we would call her
this afternoon.
THE COURT: That's fine. If you would
introduce yourself by name, starting on the
left.
AMANDA FREDERICK: Amanda Frederick.
NELLIE RICHARDS: Nellie Richards.
ANITA FREDERICK: Anita Frederick.
RONALD WALKER: Ronald Walker.
THE COURT: I need each of you to raise
your right hand and take the oath to tell the
truth.
(Oath administered by the Court)
(sasi aamiiibeelea by elle ooule)

affirmed their oath.

MR. WHITE: I would like you to instruct these witnesses not to discuss, because I see that they have people that they've come in with, not to discuss anything that went on or the people out here too not to discuss anything that went on in this courtroom with these witnesses, will talk together about what they've testified to.

THE COURT: I've got it. Ladies and gentlemen, the witnesses, as well as anyone in the courtroom, the rule is being invoked, which means that anyone who is a witness in this hearing has to remain outside of the courtroom until it's your turn to testify, okay. That further means that you are not allowed to talk to each other or anyone else about your testimony or anyone else's testimony, all right. Are we ready?

MR. CRAIG: Your Honor, this is the fifth witness that we have that's not a local witness. He needs to step forward and be sworn as well.

THE COURT: What's your name?

TERRY WALKER: Terry Walker.

THE COURT: MR. Walker, I need you to raise your right hand.

MR. VOISIN: Your Honor, I'm sorry, we have one other.

THE COURT: Bring him up. Mr. Leon

1 Frederick. Mr. Frederick your right hand. (Oath administered by the Court) 2 THE COURT: The same rule, listen to me, 3 the same rule applies to you all as these folks. 4 You will be excused from the courtroom until 5 it's your turn to testify. While you are 6 7 outside the courtroom, you are not to discuss 8 your testimony or anyone else's testimony at 9 And nor is anyone else allowed, who might 10 be in the courtroom, to talk to you about what they heard in the courtroom, understand? 11 all may be excused and petitioner call your 12 first witness. 13 MR. VOISIN: Our first witness will be 14 Amanda Frederick. 15 16 THE COURT: Everyone but Ms. Frederick will 17 be excused. For the benefit of everyone in the 18 19 audience, so it's not to be a distraction to the 20 parties, the lawyers, the Court, staff, or 21 anyone else, please remain seated until there is 22 a break in the testimony. Mr. Voisin. 23 MR. VOISIN: Thank you, Your Honor. 24 AMANDA FREDERICK 25 Having been duly sworn testified as follows: 26 DIRECT EXAMINATION 27 BY MR. VOISIN: Ms. Frederick, would you introduce yourself to 28

29

the Court?

Amanda Frederick. Α. 1 2 0. And. Scoot up close to the THE COURT: 3 microphone, and do this for me, allow the lawyer 4 to finish his question before you answer, so 5 that the court reporter only takes down one 6 person at a time. And you have to answer 7 verbally. You can't say uh-uh or uh-huh or 8 shake your head. You have to say yes or no. 9 And try to relax. 10 BY MR. VOISIN: 11 Amanda Frederick. Amanda, how do you know Alan 0. 12 Walker? 13 He is my brother. Α. 14 Okay. I would like to ask you a few questions Ο. 15 about yourself. Where are you currently living? 16 Α. Colorado. 17 And how long have you lived in Colorado? 18 Ο. 19 Α. Almost ten years. 20 Ο. And where were you from originally? Α. Long Beach, Mississippi. 21 And what's your occupation now? 22 Q. MR. WHITE: That's irrelevant, Your Honor. 23 What -- we need to talk about what she was 20 24 25 something years ago. 26 THE COURT: Overruled.

BY MR. VOISIN:

27

28

- What's your occupation? Q.
- Α. I work with the mentally disabled.

And that's in Colorado? 1 0. 2 Α. Correct. And are you married? 3 Ο. Α. I am. 4 And do you have any children? 5 0. Α. I do. 6 How old are your children? 7 Ο. Nineteen, 16, 14, and 12. Α. 8 Okay. Now, you said you grew up in Long Beach 9 0. and that Alan Walker is your brother? 10 11 Α. Correct. Do you have other siblings? 0. 12 Α. I do. 13 Who are they? 14 0. Terry Walker and Leon Frederick. 15 Α. 16 And where are you in that order of your Ο. 17 siblings? I'm the last one. 18 Α. 19 Q. Okay. Where is Alan? 20 Α. He is the first one. What's the age difference between you and Leon? 21 Q. 22 Α. Seven years. And who is Terry and Alan's father? 23 Ο. Ronnie Walker. 24 Α. 25 Did you know Ronnie Walker? Q. 26 No, I didn't. Α. 27 0. Did he play any part of your life when you were young? 28 29 Α. No, he didn't.

#### Amanda Frederick - Direct Examination And who is Leon's father? 0. 1 Α. Winfred Frederick. 2 And was your mom married to Winfred Frederick? 3 Ο. Α. She was. 4 Were they married when you were born? Q. 5 Α. No. 6 Did Winfred play any part in your life? 7 0. 8 Α. No, sir. How much contact did you have with him? 9 Q. 10 Α. Hardly any that I know of. Okay. And who is your father? Ο. 11 Michael Shavers. Α. 12 Did your mother date him? 13 0. Α. No. 14 What was your mother's relationship with him? 15 Q. Α. One night stand. 16 17 0. Was Michael Shavers involved in your life at all? 18 Not until I turned 18. 19 Α. How far away from you did he live? 20 0. Α. Two streets. 21 22 Q. He was close by? 23 Α. Correct. 24 Q. Did you have contact with his family? 25 Α. Sometimes. 26 0. Do you know a Paula Shavers? 27 Α. I do. And where is she now? 28 Q. She is deceased. 29 Α.

- Do you know when she died? Q.
  - Α. Almost three years ago.
  - When you were young, who grew up in the house Ο. with you?
    - Alan and my brother Leon. Α.
    - Q. Where was Terry?
    - He was with his father in Alaska. Α.
    - About how old were you when Terry went to 0.

#### Alaska?

1

2

3

4

5

6

7

8

9

10

13

14

17

18

19

20

21

22

23

24

25

27

28

- Around two. Α.
- 0. What contact did you have with Terry after he 11 went to Alaska? 12
  - Α. None.
    - Did he visit? Ο.
- I have seen him one time since he left. Α. 15 16 was when my brother Leon got married.
  - So just to be clear, it was you, your mother, Ο. Alan, and Leon in the house?
    - Α. Correct.
  - Was your mother involved with any man at the Ο. time?
  - Α. No.
    - So it was just the four of you? Ο.
  - Α. Correct.
  - Where did your mother work? Q.
- 26 Α. She worked at South Mississippi Regional Center for one job, and she worked, I believe, at a casino for her second job.
  - Was she holding down two jobs during your Q.

#### childhood? 1 That's correct. Α. 2 What shifts did she work? 0. 3 She worked ten to six, so ten at night until six Α. 4 in the morning, and then she came home and rested a few 5 hours, and went to her second job. 6 THE COURT: Mr. Voisin, what time reference are you looking at here? 8 MR. VOISIN: This is Ms. Frederick's 9 childhood from when, I guess, her earliest 10 memories up until the time of the -- Mr. Walker 11 was arrested. 12 Which I've got to have some THE COURT: 13 14 years. MR. VOISIN: Okay. 15 BY MR. VOISIN: 16 You were born in 1980? 0. 17 Correct. Α. 18 MR. VOISIN: So it would be roughly from 19 the early '80s up until 1990. 20 THE COURT: Mr. White? 21 We submit that her childhood is MR. WHITE: 22 not relevant to his background and character. 23 MR. VOISIN: Your Honor, they were being 24 raised in the same household, so a lot of the 25 26 factors --If that's an objection, I will THE COURT: 27 overrule the objection. 28 BY MR. VOISIN: 29

- Q. What kind of physical toll did working two jobs have on your mother?
  - MR. WHITE: Objection, Your Honor. She was less than 11 years old when this took place, and I don't think she is competent to testify what her mother was doing and what physical toll it took on her. She is not a doctor, she is not a medical expert.

MR. VOISIN: I will rephrase the question. BY MR. VOISIN:

- Q. Did your mother help you become involved in various activities outside of school?
  - A. She did.

- Q. And did you observe -- or what signs did you observe from her that indicated that she was having a hard time?
  - A. She was always sleeping.
  - Q. Like where would she sleep?
- A. In her car when I would do cheerleading practice.
- Q. Now, when she was working these jobs, who looked after you?
  - A. Alan and Leon.
  - Q. What did Alan do?
  - A. Cooked, cleaned, took care of me.
  - Q. Was he ever mean to you?
  - A. No.
- Q. Were you familiar, again, this is just based on what you remember at that time, were you familiar with

how much -- whether Alan drank alcoholic beverages? 1 2 Α. He did. 3 0. What did he drink? Busch beer in a blue can. Α. 4 How often did he drink? 5 Q. Α. When he was around his friends. 6 7 Now, did Alan work, also, at this time? 0. I'm not really for sure. 8 Α. 9 0. Okay, that's fine. So going back to the 10 drinking, how often would his friends come around? Α. Ouite often. 11 12 0. And what would they do when they came to your house? 13 14 Α. Drink, played volleyball, bonfires. 15 Q. Did you ever see Alan drunk? 16 Α. Yeah. 17 0. How often? Not for sure. 18 Α. Ouite a few times. 19 0. Okay. And what about his friends? 20 Α. They would drink, too. 21 0. And who were some his friends? 22 Α. The Maloney brothers. 23 Q. Is that Dwayne and Donald? 24 Α. Dwayne and Donald. 25 Q. Okay. And who else? 26 Α. Their father, Duke. Jack Collins, Senior. Billy Davenport. 27 28 0. Thank you. Now, you mentioned Frank Okay. 29 Potter. Can you describe him?

He was an older man, long beard, scruffy hair. 1 Α. When you say older, was he Alan's age or older 2 0. than that? 3 Α. He was older than Alan. 4 5 Q. Can you give a ballpark? Α. Maybe in his 50s. 6 7 And what did he do when he came around the 0. house? 8 Α. He drank. 9 With Alan and his friends? 10 0. Α. Correct. 11 12 Q. Did he do anything inappropriate? 13 Α. Flashed my mother once. 14 0. And what do you mean by flashed? 15 MR. WHITE: Objection, Your Honor. Unless 16 she actually saw that, that's hearsay. 17 THE COURT: Lay a foundation. 18 BY MR. VOISIN: 19 Did you see Mr. Potter do anything Ο. 20 inappropriate? 21 Α. Yes, I did. 22 Q. And what did you see? 23 Α. Him flashing my mother. 24 And when you say flash, what do you mean, Ο. 25 dropped his pants? 26 Α. Yes. 27 Q. How did Alan respond? 28 Α. He got upset about it. 29 Q. What did he do?

- He, I quess, talked to him, kind of got on to 1 Α. him about how it was inappropriate. 3 Q. Now, who is Jack Collins? Α. He was the, I quess, neighborhood -- the older 4 5 man that had them do things that they probably shouldn't 6 have. 7 0. Like what types of things? Α. Steal stuff. 8 Q. For him? 9 Α. 10 Correct. MR. WHITE: 11 Objection. Unless she saw them 12 do that, it's hearsay. 13 THE COURT: Lay a foundation. 14 BY MR. VOISIN: 15 0. Were you familiar -- were you aware of this 16 going on of Alan stealing for Jack Collins at the time? 17 MR. WHITE: Objection of whether she was 18 aware of it. 19 THE COURT: I overrule the objection. 20 BY MR. VOISIN: 21 Q. Can you please answer the question? 22 Α. What was it again? 23 0. Were you aware at the time that Jack Collins was 24 having Alan and his friends steal things for him? 25 Α. Yes. 26 Q. Did Jack Collins drink around Alan and his 27 friends?
  - Q. How often did that happen?

28

29

Α.

Yes.

- A. Every time they were together.
- Q. And based on your recollection, at what point in your life do you remember seeing Jack Collins being around?
  - A. He was there quite often.
  - Q. That was from when you were very young?
  - A. Uh-huh.
  - Q. I want to ask you, did you know Robin Marroy?
  - A. I did.

1

2

3

4

5

6

7

8

9

10

14

15

21

23

24

- Q. What was her involvement with Alan?
- 11 A. That was his girlfriend at the time.
- Q. Did they have a child together?
- 13 A. They did.
  - Q. Who is that?
  - A. Michelle Walker.
- Q. And when was Michelle born?
- 17 A. March the 20th, 1990.
- 18 Q. And did Robin live close to you?
- A. At one point she lived with us, but she did live close to us.
  - Q. When she was -- did you know Leroy Marroy?
- 22 A. Yes.
  - Q. Where did he live?
    - A. Next to her parents.
  - Q. Next to Robin's parents?
- 26 A. Yes.
- Q. And what was Leroy's relationship to Robin before Alan started seeing Robin?
- A. They were together, like husband and wife.

And how old was Leroy? 1 Q. Quite a few years -- he was probably in his 50s, Α. 2 she was in her teens. 3 Ο. And how old was Robin? 4 Α. In her teens. 5 0. And she lived next to his family? 6 Her family's house was on one side, and his 7 Α. place was right next door. 8 9 Q. Okay. Objection, Your Honor, to 10 MR. WHITE: 11 relevancy. THE COURT: Overruled. 12 BY MR. VOISIN: 13 Do you know about how old Robin was when she 14 married? 15 16 Α. In her teens. I'm not exactly sure the exact 17 age. 18 Q. Now, after Michelle was born, who ended up with 19 custody of her? 20 Α. We did, my mother. 21 0. And how was Alan with Michelle? 22 He was fine with her. Really attentive. Α. 23 Ο. Amanda, I would like you to -- may I approach the witness? 24 25 THE COURT: Yes. 26 MR. VOISIN: I would like to show her the 27 map. 28 BY MR. VOISIN:

I would like to show you, Amanda, what has been

- premarked as Exhibit 1 for identification, and I would like to ask you a few questions about that.
  - A. Okay.

3

4

5

6

7

8

9

10

11

14

15

16

17

18

20

23

25

26

27

28

- Q. Are you familiar -- first, can you identify that?
  - A. It's the map that I drew.
  - O. You drew that?
  - A. I did.
  - Q. When did you draw it?
  - A. Yesterday.
  - Q. Okay. What were you doing when you drew it?
- A. Just showing you where everyone lived, proximity in the neighborhood.
  - Q. And what neighborhood was this?
  - A. Off of 28th Street.
  - Q. And that's where your family lived in the 1980s?
    - A. I don't think we lived there in the '80s, but I'm not for sure.
- Q. About how old were you when you moved to --
  - A. Six, five or six.
- Q. You were six when you moved here?
- A. Yeah.
  - Q. And what year were you born in?
- 24 A. I was born in '80.
  - Q. And I want to --
    - MR. VOISIN: At this point, Your Honor, I would like to introduce this, Ms. Frederick's hand-drawn map into evidence to show the area where she lived and the proximity of most of the

people she identified in her testimony, for example, Dwayne and Donald, Frank Collins -- I mean Jack Collins, Frank Potter, the Marroys, and all of that. It shows just what a close insular community this was.

THE COURT: Mr. White?

MR. WHITE: Objection. Wasn't furnished in discovery timely.

THE COURT: Beyond that objection, do you have any further objection?

MR. WHITE: You know, I haven't had a chance to have somebody who is familiar with this area verify that this is even correct.

This woman hadn't lived here -- evidently she lives in Colorado somewhere, and I don't live here so I don't know if this map is anywhere near correct.

THE COURT: Mr. Voisin, why wasn't this map prepared prior to the discovery cutoff?

MR. VOISIN: I did not have a chance to talk to Ms. Frederick face-to-face until Saturday morning. She flew in -- we spoke on the phone, but she flew in Friday night. And just in the course of talking with her, she just offered me -- we were trying to review where everybody was living and just how close by everything was, and she offered to draw a map, and she took my pad of paper and produced this.

THE COURT: Mr. Ladner, let me see that

exhibit. 1 I thought it would be helpful 2 MR. VOISIN: 3 to the Court. THE COURT: I understand. I will overrule 4 the objection, even though it is untimely 5 produced, and for what it's worth, I think her 6 testimony about the proximity of the parties or 7 rather the witnesses and the families living 8 near each others, to me, is more -- has greater 9 10 impact than a hand-drawn map some 20 plus years 11 later. But I will allow you to mark it into evidence at this time. Mr. Ladner give it to 12 13 the court reporter. (Defense Exhibit 1 marked into evidence) 14 BY MR. VOISIN: 15 16 0. Ms. Frederick, did you know a Jason Riser? 17 Α. I did. And how often did he come around your house? 18 Q. 19 Α. As often as everyone else did. 20 What did he do when he was there? 0. 21 Α. He would drink. 22 Now, Ms. Frederick, do you remember in 1991 when Ο. 23 you testified at your brother's trial? 24 Α. Uh-huh. 25 You have to say yes or no. Q. 26 Α. Yes. 27 Q. How old were you at the time?

Did anyone -- any of your brother's lawyers come

I was 11.

Α.

Ο.

28

#### Amanda Frederick - Cross-Examination talk to you at your house? 1 No, they didn't. Α. 2 When was the first time you remember meeting Ο. 3 with them? 4 When we were in Vicksburg. Α. 5 And who was there? Ο. 6 My mother, myself, and my brother, Leon. Α. Did they talk to you one on one? Q. 8 9 Α. No. What did they tell you before trial about your Q. 10 testimony? 11 Nothing. 12 Α. If they had spoken to you, would you have 13 answered their questions? 14 Α. Yes. 15 And would you have been willing to testify as Ο. 16 you've done today? 17 Yes. Α. 18 MR. VOISIN: Indulge. 19 THE COURT: All right. 20 MR. VOISIN: No further questions. 21 THE COURT: All right. Cross-examination. 22 CROSS-EXAMINATION 23 BY MR. WHITE: 24 Ms. Frederick, I'm sorry, I've forgotten your 25 26 married name, your last name? Frederick. 27 Α. It's still Frederick? Ο. 28

29

Α.

Uh-huh.

### Amanda Frederick - Cross-Examination

- Q. So you are not married?
- A. Well, common law married.
- Q. And you were how old when this happened?
- A. When it happened or I went to trial?
- Q. I don't care about Colorado because it has nothing to do with this. I'm talking about when this murder occurred, when your half brother murdered Konya Edwards, how old were you?
  - A. I was ten.
  - Q. And what do you remember about that?
- A. That the police officers came to our house and was looking for my brother.
  - Q. Did you get the black dress?
- A. No.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Tell me, you said you were aware, you used the term "aware," I think was the question. How did you know they were stealing goods?
- A. Because they would bring them to my mother's house.
  - Q. How did you know where they were from?
  - A. They said they were stolen.
- Q. They what?
  - A. They said they were stolen.
- Q. They said they were stolen. So you didn't know, you didn't see them steal anything, did you?
  - A. No, I did not.
  - Q. Okay. Now, and everybody that came there just drank, is that all they did?
    - A. Smoked pot, too.

### Amanda Frederick - Cross-Examination

- Q. I didn't ask you that. You are volunteering things. You answer my questions. They just drank beer?

  THE COURT: Mr. White, you asked them is that all they did, and she said smoked pot, too.

  That's a fair answer to your wide open question.

  BY MR. WHITE:
  - Q. Okay. Smoked pot. So what else did they do? Did they just sit there and smoke pot and drink beer all the time, all of them, everybody that came to your house?
    - A. Pretty much.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

22

23

24

25

26

27

28

- Q. Didn't do anything else, huh, just sat around and smoked and drank pot -- I mean drank beer and smoked pot?
  - A. Played volleyball.
  - Q. Okay. They played volleyball. What else?
  - A. Bonfires.
    - O. Bonfires. What else?
  - A. Hung out at the house.
- Q. Just hung out in the house. And what did you do all this time?
- A. I was there, too.
  - Q. You drank beer and smoked pot, too?
  - A. No.
  - Q. No? Well, reading your declaration, everybody just drinks, everybody in your family drinks all the time; is that correct?
    - A. You could say that.
      - Q. Your mother drink?
    - A. No, my mother does not drink.

### Amanda Frederick - Cross-Examination

- O. Did she then?
  - A. No, she didn't.
  - Q. Did you?
  - A. No.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

- Q. You never had anything to drink, huh?
- A. No, sir.
  - Q. Uh-huh. And now, you said that nobody came to see you and talk to you about your testimony; is that correct?
    - A. That's correct.
    - Q. That's correct. Did they talk to your mother?
    - A. I am not for sure.
  - Q. You don't know whether they talked to your mother without you. Wouldn't it kind of stand to reason that they weren't looking or discussing legal matters with an 11 year old?
    - A. No, no one did.
  - Q. So as far as this -- now, you're not really, other than your mother, you all have different fathers, right?
    - A. Correct.
  - Q. You don't have the same father as any of the rest of them?
    - A. No, I do not.
    - Q. Okay. So you were 11 years old -- 10 years old when this happened and 11 years old when you testified?
      - A. Correct.
- Q. Okay.
- MR. WHITE: I don't have any further

#### Amanda Frederick - Examination by the Court questions of this witness, Your Honor. 1 2 THE COURT: Any redirect? 3 MR. VOISIN: No, Your Honor. 4 THE COURT: Does either party object to the 5 Court asking some follow-up questions? MR. WHITE: No, Your Honor. 6 7 MR. VOISIN: No, Your Honor. No objection. 8 EXAMINATION BY THE COURT: 9 10 Ms. Frederick, this Frank Potter that you talked 0. about, is this a boyfriend of your mother's? 11 Α. 12 No. 13 0. Who was he again? 14 Α. Alan's friend. 15 0. Alan's friend. But he was older than Alan? Α. Correct. 16 17 0. And you said you witnessed Mr. Potter flash your 18 mother? 19 Α. Correct. 20 0. And I think you said that -- or did that upset Alan? 21 22 Α. It did. 23 Q. Such that he had a talk with him? 24 Α. Yes. 25 Ο. Did he get physical with Mr. Potter? 26 Α. No, he didn't. 27 So he was -- is it fair to say that from your 0. 28 perspective, your brother was protective of your mother? 29 Α. Correct.

### Amanda Frederick - Examination by the Court

- And he knew that that type of behavior towards, 0. at least his mother, was inappropriate? Α. Correct. Would you say that your brother knew that that 0. type of behavior would be inappropriate towards any female? Α. I would believe so.
  - 0. All right. Was that type of -- that type of behavior on Alan's part, your brother's part, taught at home, how to treat people right, not how to do people wrong?
    - Α. I believe so.
    - 0. Okav.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

THE COURT: If my questions spurred any questions by either counsel, you may follow up at this time. Mr. Voisin?

> MR. VOISIN: No questions, Your Honor.

THE COURT: Mr. White?

MR. WHITE: No questions, Your Honor.

THE COURT: You may step down. Call your next witness.

MR. CRAIG: Call Anita Frederick, Your Honor.

THE COURT: There used to be some witness room off to the side.

MR. CRAIG: That's where they are, Your Honor.

THE COURT: So they don't have to go through security every time?

I assume so, Your Honor. 1 MR. CRAIG: 2 THE COURT: This is Anita? 3 MR. CRAIG: Yes, Your Honor, Mr. Walker's mother. 4 Ms. Frederick, come forward. 5 THE COURT: Ms. Frederick, sit up close to the microphone, 6 7 try to relax so that your testimony comes out 8 such that everybody can hear you in the 9 courtroom. Allow the lawyers to finish their 10 questions before you answer. And try not to 11 speak when someone else is speaking so that the 12 court reporter only takes down one person at a 13 And make sure that you answer audibly, time. yes or no, he can't take down head shakes or 14 uh-huhs or uh-uhs, you understand? 15 Mr. Craig. 16 ANITA FREDERICK 17 Having been duly sworn testified as follows: 18 DIRECT EXAMINATION 19 BY MR. CRAIG: 20 0. And remember, you've been sworn already, Ms. 21 Frederick. 22 THE COURT: Keep your voice up. 23 Please introduce yourself to the Court. 0. 24 My name is Anita Frederick. Α. 25 Q. And where do you live, Ms. Frederick? 26 Α. I didn't hear you. 27 Ο. Yeah, because I coughed. I apologize. Where do 28 you live? 29 I live in Grand Junction, Colorado. Α.

- Q. And how do you know Alan Walker?

  A. Alan Walker is my son.

  MR. CRAIG: Your Honor, I'm moving just a

  little bit closer so she can hear me, and I will
  - BY MR. CRAIG:

try to speak up.

- Q. Are you married, Ms. Frederick?
- A. No.

5

6

7

9

10

11

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Have you been married before?
- A. Yes.
  - Q. To whom have you been married?
- A. To Alan's dad, Ronnie Walker. And to Leon and them's dad, Winfred Frederick.
  - Q. Do you have children?
  - A. Four.
  - Q. Please tell us the names of your children and their fathers.
  - A. Alan Walker, Terry Walker, Ronnie Walker is the dad. Leon is Winfred Frederick. And Amanda Frederick is Michael Shavers.
    - Q. Where did you grow up?
    - A. Pensacola, Florida.
    - Q. How did you meet Alan's father?
  - A. I used to sell magazines all over the United States, and I met him that way.
  - Q. How old were you when you started selling magazines?
    - A. About 16.
    - Q. And why were you selling magazines for a living?

Just to get away from home. 1 Α. When did you leave home, how old were you? 2 Q. About 16, 17. 3 Α. Why did you leave home at age 16? Ο. 4 MR. WHITE: Objection. Relevancy, Your 5 Honor. Why she left home at 16 has nothing to 6 do with Mr. Walker. 7 THE COURT: Mr. Craig? 8 Yes, Your Honor. Dr. Mendel's 9 MR. CRAIG: report, which has been furnished to all parties 10 11 and was also furnished to the Mississippi Supreme Court, talks about the background of 12 this family. It's commonly recognized as the 13 background of Ms. Frederick, the way she was 14 raised, and the way that she raised her children 15 is directly relevant to the formation of her 16 son, and is admissible, would have been 17 admissible in 1991. 18 19 THE COURT: I will overrule the objection for what it's worth. 20 MR. CRAIG: 21 Thank you, Your Honor. 22 THE COURT: You might need to re-ask your 23 question. 24 MR. CRAIG: Yes, thank you, Your Honor. 25 BY MR. CRAIG: 26 Ο. So tell us again why you left home at age 16? 27 Α. Just to get out and make some money. Did your mom know you were leaving home? 28 Q.

29

Α.

No.

- Q. How long were you gone?
  A. Three months.
  Q. And when you came back, was there any discussion with your mother about where you had been?
  - A. No.

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

- Q. What was your mother's name?
- A. Marie Richards.
- Q. What was -- and you had siblings. Who were your siblings?
- A. My oldest sister was Ruth. My brother was Howard. And then it was me, and then my brother John, and then my sister Nellie.
- Q. And who took care of you all at home during the day?
  - A. We went to school.
  - Q. What about when you weren't in school?
  - A. My sister, Ruth, was in California. She got married. My brother was -- left, and it was just me and my sister and my brother.
    - Q. Where was your mom?
    - A. Out running the roads.
  - Q. For how many days at a time would your mom be gone from the home?
    - MR. WHITE: Objection to relevancy, Your Honor.
- THE COURT: I sustain that objection.
- BY MR. CRAIG:
- Q. Was your mom around when you got injured or when you needed her?

A. No.

2.6

- Q. Do you remember an occasion when you went to the hospital and your mom wasn't around?
- A. Well, I cut my foot open and the neighbors took me to hospital. And I had 48 stitches, two cat guts, and some buttons.
  - O. Did your mom eventually show up at the hospital?
  - A. Yes.
- Q. And were the doctors trying to do something more severe than the stitching?

MR. WHITE: Objection, Your Honor. This is just not relevant.

THE COURT: Sustained.

MR. CRAIG: Please the Court, if I can make a record. This is in her affidavit that was submitted to the Supreme Court. It goes directly to her mother's lack of treatment, lack of being present in the home, which Dr. Mendel is going to talk about in terms of the overall dynamic under which Mr. Walker was raised. We think it is relevant, but --

THE COURT: As to whether or not a physician wanted to do additional type medical procedure on this witness?

MR. CRAIG: Yes, Your Honor, because I'm going to go through what happened after that. I mean, obviously, I'm asking one question at a time. But as the affidavit points out, you know, there then was a later discussion that

related to Ms. Frederick's father.

MR. WHITE: First, it's not an affidavit.

THE COURT: Pardon?

MR. WHITE: First, it's not an affidavit so it's not competent in a post-conviction in this state. You might have a declaration in federal court, but they are not recognized as support of a PCR in the State of Mississippi.

MR. CRAIG: That's not correct, Your Honor. Mr. White was given, and the Court was given, with the motion to vacate, these same statements in affidavit form. They are affidavits for whatever that's worth.

MR. WHITE: Well, I mean, the thing is, you know, the fact --

THE COURT: Let me just say this, Mr. White -- go ahead, finish your objection.

MR. WHITE: The fact that he is relying on what some doctor is going to testify to at some later date, that's not what he can do here. He can have that and get that stuff with the doctor outside the court, but that's not relevant to the character and background of this capital defendant. That might be relevant to her background and character, but it's not relevant to his background and character, which is the core of what we're looking at here.

THE COURT: I understand that. I will just note that I had a preliminary understanding of

what my task was and how I thought I was going
to frame the issues for this hearing, and in
doing so, I overruled Mr. Craig's motion to
allow Dr. Schaeffer access. The Supreme Court
obviously told me I was wrong in an
interlocutory order. So at this point, I'm not
going to sustain your objection to elicit
testimony if it was contained in the affidavit
or the declaration, or whatever it was that was
filed in the successive writ at the Supreme
Court which caused this remand and this
evidentiary hearing. I can wade through it in
reaching a decision, but I will allow a record
to be made. So I will sustain overrule your
objection, I apologize.

MR. WHITE: May I continue to make the objection or will you overrule everything I do?

THE COURT: I want you to make your objection so it's noted so that when I review back on the transcript I see the state has objected. You can continue.

MR. CRAIG: Thank you, Your Honor. BY MR. CRAIG:

- Q. Who was your father, Ms. Frederick?
- A. Ezekiel Richards.

- Q. What do you know about him?
- A. I don't know much about him. He had one leg that was cut off.

MR. WHITE: Objection, relevancy.

Circuit Court Reporter hueybang@cableone.net

#### Anita Frederick - Direct Examination THE COURT: Overruled. 1 BY MR. CRAIG: 2 And how old were you when your mom and dad 3 0. separated? 4 About seven or eight. Α. 5 Do you have any clear recollections of what it Ο. 6 was like to live with your father? 7 Objection, relevancy. MR. WHITE: 8 THE COURT: Overruled. You can answer it. 9 BY MR. CRAIG: 10 Do you have -- tell us what you remember about 11 living with your mother and your father? 12 Well, when I was three years old, I drank Α. 13 They took me to the hospital. kerosene. 14 MR. WHITE: Objection relevancy. 15 THE COURT: Overruled. 16 And I had my foot messed up. My mother always Α. 17 said that the meanest man in the world is a one-legged 18 19 man. Objection, hearsay. MR. WHITE: 20 THE COURT: Overruled. 21 It's not offered for the truth 22 MR. CRAIG: of the purpose asserted. 23 It's overruled. 24 THE COURT: MR. CRAIG: Thank you, Your Honor. 25 BY MR. CRAIG: 26 Did that relate to the operation that you had on 27

Yes. My mother said my leg couldn't be cut off.

28

29

your leq, Ms. Frederick?

Α.

- Q. Okay. Did you have occasion to take care of your siblings later in life?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- Q. And who did you take care of?
- A. Alan and Terry, when they was little.
- Q. Yes, ma'am. I was asking about your brothers or sisters?
  - A. I took care of my sister, Nellie.
  - Q. And how old were you when you took care of her?

    MR. WHITE: Objection.

THE COURT: Overruled.

A. How old was she, about 15.

BY MR. CRAIG:

- Q. Why was it that you were asked to take care of your sister, Nellie?
- A. She went to jail because she got drunk or something in a car wreck and everything. And I took the responsibility for her because my mother said she couldn't -- you make your bed, you sleep in it.
- Q. Was that pretty much her attitude toward raising all of you?
  - A. Yes.
- Q. I want to ask you about Ronnie Walker and your relationship with him, Alan's father. How old were you when you and Alan's father were married?
  - A. About 18, 19.
  - Q. Where did you live?
  - A. Panama City, Florida.
  - Q. When was Alan born in relation to when you were

1	married?	
2	A. '65.	
3	Q. And you were how old were you when Alan was	
4	born?	
5	A. About 20, 21, something like that.	
6	Q. Okay. And then how much later than that was	
7	Terry born?	
8	A. He was born in '67.	
9	Q. So that would be two years?	
10	A. Two years, 14 days.	
11	Q. Did any of Ronnie Walker's family live with you	
12	when you were a young married person with the two	
13	children?	
14	A. His brother Kenneth Walker, he lived with us.	
15	Q. And was that was Kenneth Walker Ronnie	
16	Walker's full brother, they shared both parents?	
17	A. Yes.	
18	Q. Thank you. And did you notice any strange	
19	behavior on the part of Kenneth?	
20	A. Yes.	
21	MR. WHITE: Objection, relevancy.	
22	THE COURT: Overruled.	
23	BY MR. CRAIG:	
24	Q. What kind of behaviors did you notice?	
25	A. We lived in South Carolina, and when I was	
26	pregnant with Terry and he locked himself up in his	
27	bedroom with a dog And didn't want me to come in because	
28	he thought I was going to kill him.	

29

Q.

Did he only lock the door with a lock or did he

	Allica fledelick bildet Examination
1	do anything else?
2	A. No, he put a chest of drawers on it where nobody
3	could get in.
4	Q. Was there any reason why had you done
5	anything to give him reason to think you were going to
6	hurt him?
7	A. No.
8	Q. Do you remember any other incident in public
9	regarding Kenneth when he was living with you?
10	A. He left South Carolina and come down to
11	Pensacola and stayed with my mother. And he was at
12	K-Mart's or Wal-Mart's and acting crazy.
13	MR. WHITE: Objection, relevancy.
14	A. I didn't know what was wrong with him. He had
15	schizophrenia.
16	BY MR. CRAIG:
17	Q. Were you told that he had schizophrenia?
18	A. His dad did, told me.
19	MR. WHITE: Objection, hearsay.
20	A. His brother did.
21	THE COURT: I sustain that objection.
22	BY MR. CRAIG:
23	Q. Where was Mr. Walker, Ronnie Walker, when
24	Kenneth was living with you all?
25	A. In Hawaii.
26	Q. What was he doing in Hawaii?
27	A. He was a meat cutter.

But why was he in Hawaii cutting meat?

His job took him all over the place.

28

29

Q.

- Q. So was he present in the home during the time that Alan and Terry were very small?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

19

20

21

22

23

- Q. What about after Terry was born?
- A. He went back to Hawaii.
- Q. At some point you and Ronnie Walker split up; is that correct?
  - A. Yes.
  - Q. What happened, why did that happen?
- A. Well, he just traveled all over the place, and we didn't, you know, see each other.
- Q. Okay. And at some point, did you and Mr. Walker file for divorce?
- A. Yes.
  - Q. How old was Alan when his dad and you divorced?
  - A. I think he was around four.
  - O. And then how old was Terry?
- 18 A. Two.
  - Q. Where did you go after the divorce?
    - A. I stayed with my mother for a couple of days or a week or something. Then my mother told me to get up off my hmmph and go to work and support my own kids. She didn't have them, so I had to support them.
      - Q. So what did you do?
- A. Well, Ronnie paid child support for one month.
- I didn't see or hear anything else and so I left
- Pensacola and went down to New Orleans. Then I went from New Orleans back up here to Mississippi and got me a job.
- Q. Where in Mississippi did you end up?

- A. Long Beach, Mississippi.
- Q. And --

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- A. Well, Gulfport, really. First I came to Gulfport, and we stayed on the beach.
- Q. When you say stayed on the beach, where exactly did you sleep?
  - A. In the car.
  - Q. What kind of car was it?
  - A. A station wagon.
- Q. So that was you and your four year old son and your two year old son?
  - A. Yes, and two other people.
- Q. The two other people who were with you in New Orleans?
  - A. Yes.
  - Q. Eventually, did you get a more secure place to live on the Gulf Coast?
    - A. Yes.
      - Q. And how did that come about?
  - A. I worked at Moody's Restaurant for a year, and the lady in back of me told me I could move in over there. And the lady that was living with me at the time moved back to Pensacola. And I had a one-room place for me and the two boys.
  - Q. And during that time, after that first month, did you receive any financial support from Alan and Terry's father?
  - A. No.
    - Q. During that time when the boys were very young,

- did you hear at all from Ronnie Walker, Alan and Terry's father?

  A. No. When I left Pensacola, I went on my own.
  - A. No. When I left Pensacola, I went on my own. I did not ask for Alan -- I did not ask for Ronnie to support us because he did not know where I was at.
  - Q. Okay. Now, when Alan was very young, did you have a baby sitter named Ms. Woodcock?
    - A. Yes.

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- Q. And do you remember an incident that occurred when Alan was young involving Ms. Woodcock?
  - A. Yes.
  - Q. And tell us what that incident was.
- A. He was just little, running around, and he -- she pulled his pants off or something like that.
  - Q. Okay. And --
  - MR. WHITE: Objection, hearsay. Unless she actually saw it.

THE COURT: Lay a foundation.

BY MR. CRAIG:

- Q. Were you later told by Alan -- let me ask you this, how did you know that that happened?
  - A. Alan told me.
- Q. And when Alan told you, what was his attitude towards it?
  - A. He was kind of scared.
  - Q. Okay. And about how old was he when that happened?
    - A. I don't remember.
  - Q. Was he in school yet?

A. Yes.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Okay. So older than five?
- A. Well, he was like in kindergarten and things.
- Q. Okay. When was -- I'm sorry, and did you say anything to Ms. Woodcock about that, about that -- did you have any conversation with her about it?
- A. No, I didn't know anything about it until a little later.
- Q. Okay. And ultimately, whenever you did find out about it, you never spoke to Ms. Woodcock?
  - A. No. She didn't watch him anymore.
- Q. Okay. At some point, did Alan and Terry's father make an appearance in their life?
  - A. Yes.
- Q. Tell us the first time that that happened, how old were the boys when their father saw them again?
  - A. They was about seven, six, some years old.
- Q. That would be Alan would have been six or seven years old?
  - A. Yes.
- Q. And what was that occasion, what was the contact with their father then?
- A. I called him up to let him know where I was at. If he wanted to see the boys he could. So he came over from Alaska. He was living in Alaska. He brought them a big box of toys.
- Q. How long did he stay and spend time with his sons that time?
  - A. Not very long.

- Q. Did ultimately he -- tell us about the next time that Alan had contact with his father after that time when he came and gave him the presents.
- A. Well, one time he come and he said he had to stay for about a year over in Alaska because it cost so much money to bring them back and forth like that.

MR. WHITE: I didn't. That didn't make any sense, Your Honor.

MR. CRAIG: I'll rephrase it, Your Honor. BY MR. CRAIG:

- Q. Did there come time when Alan went to live in Alaska with his father?
  - A. Yes.

- O. And was that one time or more than one time?
- A. More than one time.
- Q. So let's talk about the first time. Where was Alan's father living the first time that Alan went to live with him?
  - A. In Alaska.
- Q. Okay. And how did it come about that Alan lived with his father in Alaska?
- A. He said that he had to go up there and stay for at least a year where, you know, bring them up there, bring both of the two boys up there. And then they would send him back.
- Q. And so did Alan stay there in Alaska with his dad for a year?
  - A. Yes.
  - Q. Ultimately, did he come back?

Α. Yes. 1 Was there a second time then, you testified 0. 2 already, I think, that Alan and Terry went to Alaska; is 3 that correct? Α. Yes. 5 And how long was Alan there that second time? 0. 6 A year. Around a year. Α. What about Terry? 8 Ο. He stayed with Alan that year, and then he come 9 Α. back. 10 Terry came back the second time, too? Ο. 11 12 Α. Yes. Did there come a time when Terry did not come Ο. 13 back from Alaska? 14 Yes. The last time, Alan came back and Terry Α. 15 16 stayed. Did you remarry anybody after you divorced 17 0. Ronnie Walker? 18 Yes. Α. 19 And who did you marry? 20 Q. Winfred Frederick. Α. 21 And you already testified you have one son with 22 0. Winfred Frederick named Leon? 23 Α. 24 Leon. Where did you and Winfred live? 25 0. Α. We lived off of Marosa -- LaRosa Road in 26 Gulfport. And then we moved to Longridge Road. We had a 27

Q. And that was in Long Beach?

house built.

28

- Long Beach. Α. 1 Did Winfred play a role in your sons' lives? Ο. 2 In the beginning he did. Α. 3 And what happened after the beginning? 0. 4 He was there as a father, and then later on, he Α. 5 just worked all the time and never did have anything else 6 to do with them. 7 Give me a frame of reference in THE COURT: 8 the petitioner's age at this time. 9 Yes, thank you, Your Honor. MR. CRAIG: 10 BY MR. CRAIG: 11 What year did you marry Mr. Frederick? Q. 12 '72, I think. Α. 13 1972. And Alan was born in 1965? Q. 14 15 Α. Yes. So Alan would have been seven then? 16 Ο. Uh-huh. Α. 17 You have to say yes or no, I'm sorry. 0. 18 Α. Yes. 19 Thank you. And then just to give the Court a 20 0. reference, what year did you and Mr. Frederick divorce? 21 **'**79. 22 Α. So in '79, Alan would have been 14; is that 23 0. correct? 24 25 Α. Yes. 0. So from the time he was seven until time he was 26 14 you were married to Winfred Frederick? 27
  - Q. Did Winfred have a drinking problem, ma'am?

Α.

28

29

Yes.

- <u> Anita Frederick Direct Examination</u> Α. Yes. 1 How much did he drink? 0. 2 A whole case of beer every day. Α. 3 Every day? Ο. 4 Every day. Α. 5 That would be after he came home from work? 0. 6 Α. Yes. 7 And what was he like when he drank that much? 8 Ο. He was okay. He drank. When he got tired he 9 Α. went to sleep, went to bed. 10 Q. Okay. 11 Sometimes -- at one time he hit the wall, put a Α. 12 hole in it. 13 What do you mean by hit the wall? 14 He just hit the wall, you know, put a hole in Α. 15 I put a picture over it where nobody could see it. 16 Okav. How -- did he strike it with his fist or 17 0. some other way? 18 Yes, he hit it with his fist. 19 Okay. And put a hole in the wall. You have to 20 Ο. say yes or no, I'm sorry. 21 Yes. Α. 22 Or something anyway. So when he was drunk, was 23 Ο. he -- and was sleeping, what contact did he have with 24 your sons Alan and Terry? 25
  - A. He didn't bother them.
  - Q. Did he have any influence in terms of the day-to-day discipline of your sons?
    - A. No.

26

27

28

	Anita Frederick - Direct Examination
1	Q. Let me turn to that. Did you how many jobs
2	were you working from the time that Alan at the same
3	time when Alan was younger until you married Mr.
4	Frederick? So from the time you moved here and was
5	working at Moody's until Alan was seven, did you just
6	work at Moody's or did you have more than one job?
7	A. At that time I just had that one job. I worked
8	every day for one year without a day off.
9	Q. And then later on, did you work just one job or
10	more than one job?
11	A. I worked two or three jobs.
12	Q. Okay. Was there a time when you were working at
13	the South Mississippi Regional Center?

MR. WHITE: Your Honor, he is just leading and leading and leading, you know. He would not be allowed to do this at the sentencing hearing. I object on that basis.

THE COURT: Don't lead the witness.

MR. CRAIG: Thank you, Your Honor.

#### BY MR. CRAIG:

- Q. What jobs did you have after you left Moody's?
- A. Well, I worked at McDonald's for five years, off of 49. And I worked at a clothing factory that you make new pants and pull strings and stuff like that out. I also worked at a 7-11 store.
- Q. And did you sometimes work -- did you work those jobs like one at time, or were there times when you worked more than one job at a time?
  - A. Well, when I was working at the retardation

- center, I was also working at the clothing store.
  - Q. What was the retardation center?
- A. The one that's in Long Beach. For mental retarded.
  - Q. Do you remember the name of it?
- A. The -- it used to be call the mental retardation in Long Beach.
- Q. Okay. Did you have -- during the time that Alan -- let's say by the time Alan was six or seven, through the time he was 14, did you have issues with disciplining Alan?
  - A. Yes.

- Q. Tell the Court what kinds of problems you had.
- A. Sometimes he didn't listen, you know. And then sometimes he would do what he wanted to do, basically. But he kept -- stayed at the house a lot. Watched the kids, you know, him and Terry both would watch the kids. Other than that he run the roads.
  - Q. At what age would he start running the roads?
  - A. About 15, 16.
- Q. And when you say running the roads, can you be a little more specific. What do you mean by that exactly?
- A. He stayed out, you know, a lot of nights and come back home.
- Q. What time would he come back home when he was running the roads?
- A. I really don't know. Most of the time I would be asleep.
  - Q. Okay. And did you talk to him to tell him not

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	

28

29

A. Sometimes I asked him where he was at, and he would always say he was at a friend's house, one of his friends.

- Q. Okay. And did you find that it was difficult for him -- to get him to do what you wanted him to do?
  - A. Sometimes.
- Q. Talking about when you moved into the house that you and your husband Winfred Frederick built, am I understanding your previous testimony correctly, y'all built a house on his family land?
  - A. Yes.

to do that?

- Q. And did his family live close by there, his being Winfred's?
  - A. Yes.
  - Q. Did that cause problems for you all?
  - A. A lot.
  - Q. What kind of problems did it cause?
- A. All his family was around us. I'm not originally from here, so I didn't have no family here originally.
- Q. Did -- was there issues between Mr. Frederick's family and your sons Alan and Terry.

MR. WHITE: Objection to leading?

THE COURT: Let him finish the question.

Don't lead the witness. Sustain the objection.

MR. CRAIG: Thank you, Your Honor.

BY MR. CRAIG:

Q. What problems, if any, did your sons Alan and

Terry have?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- A. Well, we lived over there by the Reyers.
- O. Yes, ma'am. Who are the Reyers, please?
- A. His -- Winfred Frederick's sister, Lydia Reyer. She had a son named Alfred Reyer, Tommy Reyer, and Gene Reyer. They used to like to come across the fence and try to beat up the two boys, Alan and Terry. They never bothered Leon.
  - Q. Leon was their cousin through Winfred?
  - A. Yes.
  - Q. Alan and Terry were Walkers?
  - A. Yes.
- Q. What was the reason that you -- you and Winfred ultimately divorced in 1979?
  - A. Yes.
  - Q. Why was that?
- A. Because he drank a lot and he liked to run around with other women, especially his ex-wife.
- Q. Okay. And was there a particular event that happened that caused you to split up with Winfred?
- A. Yes. He used to like to sleep -- hang around and sleep with his niece, Brenda Reyer.
  - Q. And --
- A. In the backseat -- in the camper of the truck that he had.
- Q. Were Alan and Terry and Leon around when that happened?
- A. Well, I was at work, and when I came home, I asked where their dad was at.

## <u> Anita Frederick - Direct Examination</u>

- Q. Let me stop you right there. What time was that, what time of day did you come home from work?
- A. About maybe 11, 10, 11:00 at night. All the lights were on. The air conditioner was on, and I asked where your dad was at. And Alan said he didn't know. Leon said he didn't know. Terry said he was in the back end of the truck blankety blank Brenda Reyer.
  - Q. You are saying blankety blank.

MR. WHITE: Objection, Your Honor, to relevancy again.

THE COURT: Overruled.

BY MR. CRAIG:

- Q. Did he use an obscene word where you said blankety blank?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. What did you do?
- A. I went out there to look where he was at, and he was in the back end of the truck with his whities on, which is his underwear, and that was it.
- Q. Okay. And did he commonly just sleep in the back of the trailer at night?
  - A. No.
  - Q. Y'all had a bedroom?
  - A. Yes.
- Q. So after the divorce, did you live in the same neighborhood for a while?
  - A. Yes.
- Q. And ultimately, you moved and ended up in the neighborhood around 28th Street in Long Beach?

A. No.

- Q. I said ultimately, but go ahead, why don't you tell us the different places you lived after you left Mr. Frederick?
- A. I lived in the house on Longridge road for a little while. And when I came back, I went to Mississippi -- not -- Pensacola for just a visit. Came back. My house was broken into by Winfred Frederick and Sidney Reyer. They broke into my house, took what they wanted out of the house, put the door back onto the house. When I found out, and then I decided I would get me a Section 8 house and move.
  - Q. Okay.
  - A. And I moved to O'Neal Road.
  - Q. How long were you at O'Neal Road?
- A. About a year, because the house that I moved to was not supposed to be sold, but the people that wanted -- had the house, wanted to sell it.
  - Q. Where did you live after O'Neal Road?
- A. I moved to -- I got in a car wreck real bad. A real bad car wreck. Alan and Terry was with their dad at one time. Leon was at the house, and his dad Winfred took him to raise for a little bit while I was hurt real bad. And then he took Amanda and watched her. And then after I got better after a year, I got better, and then I moved to 28th Street, down there by the three-way stop sign off of 28th Street in Long Beach. It was called -- started with a K, I forgot.
  - Q. Okay. And then after you were divorced from Mr.

# <u> Anita Frederick - Direct Examination</u>

Frederick, was there another man in your life living with you in the home with the boys and with your daughter?

A. No.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. So at that point you were raising the family alone?
  - A. Yes.
  - Q. Did you grow up going to church, Ms. Frederick?
  - A. When I was young, yes.
- Q. Okay. When you were raising Alan and Terry, did you all go to church on Sundays?
  - A. Sometimes.
  - Q. Okay. And when Alan was, say, a teenager, 14,
- 15, 16, 17, were you going to church during those years?
  - A. Sometimes.
    - Q. Okay. Did the boys go to church with you?
  - A. Sometimes they did.
  - Q. Okay. Did Alan, I want to focus now on the time when Alan -- after Alan was 14, which would have been after you divorced Mr. Frederick, okay. That's just for you thinking about when I'm asking this next set of questions. Did Alan have guy friends his own age?
    - A. Yes.
  - Q. What were some of the names of the friends that he had?
    - A. Billy Davenport.
    - Q. Okay.
- A. Donald Maloney. Dwayne Maloney. Jason Riser. Aaron Castleberry. And there was about four or five other ones. I don't remember their names.

- Q. Besides the boys his own age, did Alan also have friends who were older men?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Who -- what were some of the older men that were friends of Alan's?
- A. Frank Potter. Duke Maloney. And big Jack Collins.
- Q. Okay. These older men, how much older than Alan were they?
  - A. Old enough to be their dad -- his dad.
- Q. And in the case of Duke Maloney, did he have children Alan's age?
  - A. Dwayne Maloney and Donald Maloney.
- Q. And Mr. Collins, did he have children Alan's age?
- A. Two, a girl and a boy, little Jack and I don't know the girl's name.
- Q. Did these older men, did you come to know them or to have contact with them as friends of Alan's?
  - A. Yes.
- Q. Did you know them or -- and see them drinking in front of your son, Alan, as a teenager?
  - A. Yes.
- Q. And did the boys drink in front of these older men?
  - A. Yes.
- Q. Did you know any of these older men to smoke or grow marijuana?
  - A. Yes.

#### Anita Frederick - Direct Examination During that time period? Ο. 1 Α. Yes. 2 Did you have occasion --Ο. 3 MR. WHITE: Objection to hearsay on that. 4 MR. CRAIG: That's my next question, if I 5 may say. 6 THE COURT: Ask the question, let's see. 7 BY MR. CRAIG: 8 How do you know that -- what do you know of your 9 own knowledge with your own eyes about one or more of 10 these older men and use of marijuana? 11 They was just one that I knew, that I seen, was Α. 12 Duke Malonev. 13 Yes, ma'am. What did you see? 14 In his house, in a closet, he growed his own Α. 15 marijuana. 16

- Q. You saw that with your own eyes?
- A. With my own eyes.
- Q. And how did you come to see that, who showed it to you?
  - A. My son, Alan.
  - Q. Okay. And that was at Mr. Maloney's house?
  - A. Yes, off of Bosarge Road.
- Q. Did any of these men that we're talking about tell you that they were involved in criminal activity with your son?
  - A. Yes.

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Who was that?
- A. Big Jack Collins.

- O. Please tell the Court about that.
- A. He would have all these little boys off of 28th Street to go out and go steal stuff from other people's houses and bring it back.

MR. WHITE: Objection, unless she has personal knowledge of that.

THE COURT: I think he laid that foundation just now.

A. And they have people come into the house one night, I lived on Hardy Avenue in Gulfport off of Railroad Street. And all these cops was out there by my house, but I didn't know what it was for because I was in bed sleeping. And then I woke up and I seen all the cops and I seen Alan coming in one night. He had a broke leg for some reason. And little Dwayne Maloney was in my bathroom in the shower hiding. I did not know he was there. And I had went to the bathroom and was using the bathroom, and that's when I found out Dwayne Maloney was in the bathroom.

#### BY MR. CRAIG:

- Q. Okay. And then you were going to tell us about Mr. Collins. So what happened with Mr. Collins?
- A. Alan went outside and the cops took him and put him in the police car, took him to jail. A few minutes later --

THE COURT: Him who? Him who in the police car?

A. Alan, Alan Walker. They put him in the police car, took him to jail. Big Jack Collins and Linda

Collins was coming down in the truck, and he told me, 1 which is Jack Collins, told me that my son almost got him 2 And I said caught from what? And he said --3 caught. MR. WHITE: Objection to hearsay. 4 MR. CRAIG: That's against penal interest 5 in any event, Your Honor. 6 7 MR. WHITE: Against Jack Collins. MR. CRAIG: And he is the one who said it. 8 THE COURT: I sustain the objection. 9 10 can continue with the next question. 11 MR. CRAIG: Yes, Your Honor. I was just making sure that the proffer had been made and I 12 13 think it has been through her testimony before the Court sustained the objection. 14 BY MR. CRAIG: 15 16 Did Alan become involved with a woman named 17 Robin Marroy? Do you know a woman named Robin Marroy? Α. 18 Yes. 19 Q. How do you know her? 20 Α. I used to live right next door to the Sauciers. Ο. 21 And was she one of the Sauciers? Yes. 22 Α. 23 Okav. And did she later become married before Ο. she knew Alan? 24 25 Α. Yes. 26 0. Who was she married to? 27 Α. She was married to Leroy Marroy, Senior.

was 11 years old when she started living with him.

How old was he?

28

29

Ο.

A. Leroy?

- Q. Yes, ma'am.
- A. He was about 40, 50.
- Q. And they lived in the same neighborhood where you lived?
- A. They had a house built. It was upstairs on Longridge Road, and they lived together. When they seen the cops or the juvenile people coming around, they left and went to Louisiana. They had a place over there, Leroy did.
- Q. And during this time, your son Alan knew about that couple, correct?
  - A. Yes.
- Q. And then ultimately, what relationship did Robin have with you?
  - A. What relationship?
  - Q. Yes, ma'am.
- A. Well, when I had a car wreck, she took care of me some.
- Q. Okay. Do you have -- let me take that back. What relationship did she and Alan have?

MR. WHITE: Objection, Your Honor. There is no context here. We don't know when any of this happened. He is not asking any dates. And she jumps from one thing to the other as where she is living. I can't keep track of where she is talking about. It's just like a stream of consciousness that has no context.

THE COURT: Put it into context as to the

age of the defendant.

MR. CRAIG: Yes, Your Honor.

BY MR. CRAIG:

- Q. How old was Alan when Ms. Robin was living with Mr. Marroy?
  - A. Robin was 11 years old.
- Q. And how old was Alan? How much older is Alan than Robin or younger?
- A. Right. Well, she was born July the 31st. Alan was born August the 31st.
- Q. Of which year, I'm sorry? Is she older than Alan or younger?
  - A. Younger.
  - Q. How much younger, approximately?
- A. I don't really know. I know they're right about the same age. But she didn't really get married to Leroy Marroy until she got 18 years old. She had her marriage license changed from Crystal Marroy to Robin Marroy.
- Q. Yes, ma'am. I'm going to interrupt you right there.

MR. WHITE: Objection, Your Honor.

THE COURT: One at time. Ms. Frederick, only answer the question that's asked of you, okay. You don't need to volunteer additional information. So listen very carefully to question, and answer only that question, you understand?

THE WITNESS: Yes.

THE COURT: Thank you.

MR. CRAIG: Thank you, Your Honor. 1 BY MR. CRAIG: 2 How old was Alan when he and Robin became 3 involved as boyfriend and girlfriend? 4 Around 23 -- about 23. Α. 5 So this is when he was 23. He was born in '65, 6 Ο. so this would have been about 1988; is that correct? 7 Close? 8 Α. Yes. 9 Not a history test, I'm just asking you to put 10 it in some context. 11 MR. WHITE: We object to him constantly 12 leading the witness. 13 MR. CRAIG: I was just trying to establish 14 15 the context. THE COURT: Move along. 16 BY MR. CRAIG: 17 Did they have a child together, Robin and Alan? 18 0. Α. Yes. 19 Leading again. MR. WHITE: 20 THE COURT: Mr. White, how else is he going 21 22 to ask if they have any children. Overruled. 23 BY MR. CRAIG: Did Alan take care of his daughter, Michelle? 24 Ο. 25 Α. Yes. In what ways did he do that? 26 Ο. She was born in March of '90, and from the March 27 Α. of '90 to September he was with her, took care of her. 28 He was arrested then; is that correct? 29 0.

- Anita Frederick Direct Examination Α. Yes. 1 And who took care of her after that? Okay. 2 Q. Α. Robin Marroy. 3 Okay. Ultimately, did a member of Alan's family 0. 4 take care of her? 5 Α. Yes. 6 Who was that? 7 Ο. Myself. Α. 8 We talked about Alan's -- you just now mentioned Q. 9 Alan's arrest in September of 1990. You testified at the 10 trial; is that correct? 11 Α. 12 Yes. And where was the trial held? 0. 13 Vicksburg, Mississippi. Α. 14 Do you remember that Alan had two lawyers, Mr. 0. 15 Stegall and Ms. Midcalf? 16 I knew of them. Α. 17 You remember that they were your son's lawyers? 0. 18 Α. Yes. 19 Did you have any, before Vicksburg, before the 20 Ο. trial in Vicksburg, was there an occasion when you met 21 with Mr. Stegall or Ms. Midcalf to talk about you 22 23 possibly testifying at the trial? 24 Α. No. When did you first talk to Mr. Stegall and Ms. 25 Ο.
  - Q. When did you first talk to Mr. Stegall and Ms. Midcalf about what you might say in testimony at the trial?
  - A. We didn't talk. He told us not to talk to nobody.

26

27

28

Did you not even talk to him? Q. 1 No, I did not talk to him either. Α. 2 THE COURT: Him who? 3 MR. CRAIG: I'm sorry. Thank you, Your 4 Honor. 5 To either one of the lawyers. Α. 6 BY MR. CRAIG: 7 So how did you know what they were -- did you 8 know what they were going to ask you before you 9 testified? 10 Α. No. 11 If you had been asked the questions that you've 0. 12 been answering today, if you had been asked those in 13 1991, would you have testified about those things? 14 Α. Yes. 15 MR. CRAIG: Court's indulgence. 16 THE COURT: All right. 17 Just a few more, Your Honor. 18 MR. CRAIG: 19 THE COURT: All right. BY MR. CRAIG: 20 Ms. Frederick, I want to talk to you about Alan, 21 now again, as a teenager between the years of, say, 14 22 and 18, okay. 23 Α. 24 Did you know him to drink either with his 25 Q. friends or by himself during those years? 26 27 Α. Yes. Did he drink in front of you? 28 Q.

Α.

29

Yes.

- Q. Did you know him to smoke marijuana during those years?
  - A. Yes.

- Q. And did he do that in front of you? Did he smoke marijuana in front of you, I'm sorry?
  - A. I don't remember.
- Q. You don't remember. Are you familiar with the smell of marijuana, Ms. Frederick?
  - A. Yes.
- Q. During the time that Alan was between 14 and 18 years old --

MR. WHITE: Objection, Your Honor, this is just far fetched. She said she never saw him smoke it. It could have been somebody else smoking it in that house. It doesn't have to be him.

THE COURT: And that's proper cross-examination. Ask your question.

BY MR. CRAIG:

- Q. Between the time that Alan was 14 to 18 years old, did you smell the smell of marijuana on his person, in other words, in proximity to him?
  - A. Yes.
- Q. Was there a time that you told him -- did you ever tell him that it was not proper, that you did not want him drinking as a teenager?
- A. When I lived on Hardy Avenue, he was smoking marijuana, him and some of his friends. I don't remember the boy's name. They had them wrapped up in little

packages, and I found them. And he was like selling it or giving it to somebody.

- O. He who?
- A. Alan.

Q. Alan was. Okay. How old was Alan, I'm sorry?

MR. WHITE: Object. This is just --

THE COURT: Overruled. This could cut both ways, Mr. White. You can use it however you choose, but I'm going to allow it in.

#### BY MR. CRAIG:

- Q. Thank you, Your Honor. How old was Alan when you saw the packet of marijuana?
  - A. About 17, 18.
- Q. And then did you talk to him about it? Alan, did you talk to Alan and tell him not to do that?
- A. Well, I was talking to him to find out what he was doing and everything.
- Q. Okay. Did you object -- let me just ask you, putting yourself back when he was 17 and you found this packet of marijuana, did you as his mother want him to do that or did you want him not to do that?
- A. Well, I don't smoke and I didn't like for nobody to be smoking marijuana or anything else.
- Q. Okay. And the fact that it was marijuana mean anything more significant to you than if it had been tobacco that he was smoking?
  - A. Yes.
  - Q. So did you tell him that he was not to -MR. WHITE: Objection, Your Honor. This

	Anita Frederick - Direct Examination	
1	part of the leading is	
2	THE COURT: I sustain the leading.	
3	BY MR. CRAIG:	
4	Q. What conversation did you have with Alan about	
5	him smoking marijuana and you finding marijuana in your	
6	home when he was 17 years old, do you remember any part	
7	of that conversation?	
8	A. No, I don't remember.	
9	Q. Okay. Did he continue using marijuana after	
10	that conversation?	
11	A. Probably outside the house.	
12	MR. WHITE: Objection.	
13	THE COURT: Don't speculate. If you know,	
14	answer. If you don't know, say I don't know.	
15	BY MR. CRAIG:	
16	Q. Did you see him smoke after that?	
17	A. No.	
18	Q. We talked about you smelling marijuana on his	
19	person. Did you smell marijuana on him after that?	
20	A. Yes.	
21	Q. As Alan's mother during those years between 14	
22	and 18, did you feel like you had control of him as the	
23	disciplinarian of your son, Alan?	
24	A. No.	
25	Q. Why was that? Why didn't you have control?	
26	A. Because I worked a lot. And he did what he	
27	wanted to do.	
28	Q. You weren't able to stop it?	

29

Α.

No.

#### Anita Frederick - Cross-Examination

```
MR. CRAIG: Court's indulgence.
                                                  We tender
 1
          the witness, Your Honor. Thank you, Ms.
 2
 3
          Frederick, please answer the prosecutor.
                THE COURT: Mr. White, about how long do
 4
 5
          you think your cross will be?
               MR. WHITE:
                           You know --
 6
 7
                THE COURT:
                            30?
               MR. WHITE:
                           At the most.
 8
 9
                THE COURT: Does anybody want a comfort
10
          break at this time, or do you want to wait until
          her examination is over?
11
12
               MR. WHITE:
                            I don't.
13
                THE COURT: You may continue with cross.
                           CROSS-EXAMINATION
14
          BY MR. WHITE:
15
16
               Now, when did -- you said that the -- that Alan
17
     and Terry went to Alaska and lived, when was that?
          Α.
              The first time?
18
19
          Q.
              What years?
20
          Α.
              What year?
21
              Yeah.
          Q.
22
              Well, Alan was about five -- about six or seven
          Α.
23
     years old.
24
          Q.
              You don't know the years?
25
              What year? He was born in '65. So about '72,
          Α.
     '73.
26
27
          0.
              So it was when he was seven years old or eight
28
     years old?
29
         Α.
              Yeah, somewhere like that.
```

#### Anita Frederick - Cross-Examination And stayed a year? Q. 1 Α. He stayed one year. 2 When did he go back? 3 0. About two or three years later. Α. 4 And stayed a year? 5 0. Α. Stayed a year. 6 And at that time, Terry stayed? 7 0. The third time he stayed. Α. No. 8 When was that? 9 Ο. He -- they came back from Alaska. 10 Α. How long was it between the second time and the 11 Ο. third time? 12 Α. About two, three years. 13 So how old was he then? The answer is not over 0. 14 15 there. 16 MR. CRAIG: I object to that statement, Your Honor. 17 THE COURT: I can't --18 MR. CRAIG: 19 I object to that statement, 20 Your Honor. Mr. White is implying that I'm 21 giving an answer to the witness, and I 22 completely deny that. It's improper, and I ask 23 that that remark be stricken from the record. I've never been excused of suggesting to the 24 25 witness what to say. 26 THE COURT: Gentlemen, one at a time. White. 27 28 MR. WHITE: I was not accusing him at all. 29 Mr. White, for the record, THE COURT:

articulate for me what it is that you saw this witness --

MR. WHITE: She is constantly looking at him. I'm not worried about Mr. Craig. But she is looking over there to get --

THE COURT: Ms. Frederick, you are to direct your observations to counsel, Mr. White. If you know the answer, answer it. If you don't know, say you don't know. But your son, sitting at counsel table, is not allowed to give you any non-verbal communications, understand?

THE WITNESS: Yes.

THE COURT: Move along.

#### BY MR. WHITE:

- O. How old was he the second time he went?
- A. I don't remember.
- O. How old was he the third time he went?
- A. I don't remember.
- Q. So you don't know -- you can't give us any -- was he ten, 12, 14, 16?
- A. The first time he went to Alaska he was about five or six years old.
  - Q. You just told us he was eight.
- A. He might have been eight. He might have been five or six or anything else. He went to Alaska when he was little. And then he came -- he stayed over there one year. He came back and stayed about two or three years. I did not get a piece of paper and write it down what I, you know, how many years it was. Whenever his dad

- contacted me and wanted him to go up there and stay for another year, he did. He went up there another year. And then he came back and stayed at home. Then he went back up there and stayed.
  - O. How old was he then?
  - A. About three years later.
  - O. How old was he then?
- A. Well, if you give me a piece of paper and a pencil I'll figure it all up for you.
- Q. But you don't have any idea just independent of how --
  - A. How old they were, no, I don't.
  - Q. Well, I mean --
  - A. I don't sit here and -- give me a pencil and a piece of paper and I will write it down for you.
  - Q. Did you know you were coming here to testify today?
    - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

- O. You didn't think about this?
- A. No, I did not.
- Q. Although they had gone over with you in your testimony, had they not, about when they were up there and when they --
- A. They didn't ask me exactly what date and year. They just asked me when he went up there and how long he stayed up there.
  - Q. Well, when he went up there?
- A. He went up there when he was about five or six years old.

- Anita Frederick Cross-Examination Ο. The first time. 1 He stayed up there for one whole year. Α. 2 came back and stayed here for three years, then he left. 3 He came back and went up to Alaska again and stayed 4 another year. And then he --5 How long was it between the second and third? 6 MR. CRAIG: Please the Court, she is trying 7 to answer the question. 8 THE COURT: Mr. White, you have to allow 9 her to answer your question. 10 BY MR. WHITE: 11 How long was it between the second and third 12 time? 13 Α. About two or three years. 14 You can't be any more certain than that then, 15 Ο. just two or three years? 16 Well, I don't know the dates. I didn't write 17 down the dates when they left. 18 How old was he when he came back the last time? 19 0. A year older. And then he left and went back up Α. 20 there. 21 22 Ο.
  - How old was he when he came back the last time?
  - The very last time that he came back? Α.
  - That's what I'm asking you. Q.
  - The very last time, he was about 24 years old, Α. about 23 years old.
    - 0. Twenty-three years old?
    - Α. Yes.

23

24

25

26

27

28

29

So how old was he when this crime happened? Ο.

When he was 25. Α.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

22

23

24

27

28

29

- Okay. So he had just gotten back from Alaska, Q. right?
- Α. Yes. He came back August the 31st, on his birthday. Got pregnant with another baby and came back.
- Answer my question, don't volunteer anything. Now, you said that he -- that he smoked marijuana or you think he smoked marijuana, you never saw him smoke marijuana. And he drank. When did he start drinking?
  - I don't remember. Α.
- You remembered when you were talking to the Ο. other side.
- Α. When he started drinking, I don't remember exactly how old he was.
  - What were you doing at the time? Q.
  - Α. I was working.
  - Ο. Where were you working?
  - Α. Which time?
  - Q. When he started drinking?
- I was -- most of the time I worked at 21 McDonald's. I worked there for five years. I went to --
  - Ο. From when to when?
  - Α. What year?
  - 0. Yeah.

Α.

- 25 Α. Okav. I had a car wreck in '82. I was working 26 at McDonald's. Five years.
  - Ο. After the car wreck or before?
  - Α. Before. I was working there at McDonald's.
    - Q. And in '82, so how old was he then?

- A. Well, he was born in '65.
  - Q. So he is what, 17 years old?
  - A. Yes, something like that.
  - Q. And so he was drinking then?
- A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

- Q. And how much before that did he start drinking?
- A. I don't know.
- Q. Now, you said that you found some packet of what you thought to be marijuana joints or something in his room?
- A. No, little buds that they sold or they had in their room.
  - O. Little buds. What kind of little buds?
  - A. Little round marijuana buds in a little package.
    - Q. And you thought they were selling it?
- A. I didn't know if they was or not. They just had about three or four in there in their room.
  - Q. How do they smoke those?
  - A. I don't know. I didn't see them.
  - Q. So how did you know what they were?
- A. Well, I wasn't born yesterday, so I do know what they look like.
  - Q. Well, how do you know?
  - A. How do I know?
  - O. Yes.
- 26 A. I see it on TV.
- 27 Q. Huh?
  - A. I see it on TV all the time.
- Q. If you said he was hanging around with all these

people that were supposedly smoking marijuana and drinking, why did you let him do that?

A. Why?

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Uh-huh.
- A. Because I was working and he would be messing around with these other men, other boys and all that.
  - Q. But you didn't tell him to stop?
  - A. He was a grown person.
  - Q. How old was he?
- A. Most of the time he was about like 17, 18 years old.
- Q. But now, I mean, these are people he -- how long had he been hanging around with these people now?
- A. I lived on 28th Street, it was since 1971. And then 1971 all the boys hung around together, they all did the same thing together. Whatever you want to do, that's what they did.
  - Q. In 1971, right?
  - A. That's when I moved to Longridge Road.
  - Q. And how old was Alan then?
  - A. Alan was born in '65. Subtract '65 from '71.
    - Q. So he was seven years old?
    - A. Yes.
    - Q. So he was drinking then?
- A. No, he wasn't drinking. That's when we moved to Long Beach, Longridge. Then we moved to over there off of Turner Road, and that's where he was drinking at.
  - O. And when was that?
  - A. Exactly what day, I don't know.

Q. What year?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- A. What year, I don't know.
- Q. How long did you live at the -- at this other place on 28th Street?
  - A. Off of 28th Street?
  - O. Yeah.
  - A. I lived there for 25 years.
  - Q. Twenty-five years.
  - A. Uh-huh.
  - Q. From '71 to '96?
  - A. No, I lived -- '71 on Longridge Road until '79.
  - Q. You just said 25 years.
- A. And then I got a divorce and then I moved to the other places and then I moved to Long Beach, back over to Long Beach because I bought some land over there.
- Q. How long did you live -- you said you lived on 28th Street for 25 years. How long did you live there?
  - A. I lived in different places.

MR. WHITE: Your Honor, would you instruct the witness to answer the question.

THE WITNESS: I am telling you exactly like I know. I lived in Long Beach off of 28th Street on Longridge Road in the Jim Walter house. I moved over there in '71 when I got married and everything I lived there. I lived from there -- when I moved from there -- BY MR. WHITE:

- O. When?
- A. When I got my divorce --

O. What year?

1

2

3

4

5

6

7

8

9

10

11

12

1.3

14

15

16

17

18

19

20

21

22

23

2.4

25

26

- A. -- in '79. I got a divorce in '79, and I moved to O'Neal Road. I stayed there for about a year, two years, over there in a house that I rented from Section 8. Then I moved from there, after I got through, I moved from there because I had a car wreck and I could not take care of myself and two kids. I moved from there and then I moved to Long Beach.
  - Q. What year?
  - A. Hardy Avenue. I moved over there.
  - Q. What year?
  - A. I bought a trailer.
  - Q. What year?
- A. What year, I don't know. I didn't keep count of what year I moved from here to here. I moved from Hardy Avenue, I moved back from there. I moved over there on Turner Road, on 327 Road. It's by Turner Road.
- Q. So this -- when you lived at 28th Street for about seven years, I guess, the time you were married, right?
- A. Yes.
  - Q. To Mr. Frederick?
  - A. From Mr. Frederick, yes.
  - Q. And then you moved away from there?
  - A. Yes.
    - Q. Did Alan move with you?
- A. Yes.
- Q. So he wasn't living in that neighborhood anymore?

Not at the time. He left and went to Alaska, I 1 Α. 2 know that. And you said that when you divorced his father, 3 0. Ronald Walker, that you moved away from Pensacola or 4 Panama City, wherever it was you were living at the time? 5 Α. Pensacola. 6 And you didn't leave any way for him to get in 7 0. touch him? 8 Α. No. 9 And you finally some two or three years later 10 0. 11 let him know where you were? Α. Yes. 12 So you were withholding the kids from him, 13 0. right? 14 15 Α. Yes. 16 Ο. Okay. MR. WHITE: Your Honor, I don't have 17 18 anymore. 19 THE COURT: Any redirect? 20 MR. CRAIG: Just very briefly, Your Honor. 21 I'm sorry if he has another question. 22 MR. WHITE: One more thing, before I sit down. 23 BY MR. WHITE: 24 You said you knew about these stolen goods. 25 Did 26 you ever get any of them? 27 Α. No. 28 Were they in your house? Q. 29 As far as I know they wasn't. Α.

How do you know they were stolen? Q. 1 What were they? Α. 2 You are the one that said there were stolen 3 Ο. goods, not me. 4 They wasn't in the house, they was like four Α. 5 wheelers and stuff like that outside the house. 6 7 0. You knew they were stolen and they were sitting in your yard? 8 9 I didn't know they were stolen until the cops came up to the house and got Alan and took him to jail. 10 Did he steal them? 0. 11 As far as I know he did. I did not see him 12 Α. 13 steal them. But they was out there in the yard and the 14 cops took them. When is this relative to the --15 THE COURT: 16 his being arrested for this crime, for the 17 murder? MR. WHITE: I'm not sure. 18 19 THE COURT: Could you ask that? 20 MR. WHITE: She can't tell me. BY MR. WHITE: 21 22 Q. When did that happen? 23 I don't remember what year. Α. I was living on 24 Hardy Avenue when I first found out. 25 THE COURT: Maybe how old was her son at 26 the time? 27 BY MR. WHITE:

How old is Alan when he was supposedly stealing this stuff?

28

Α. He was about 20, 21. 1 Q. So he was grown? 2 3 Α. Yes. 4 0. So that would have been beyond the time you 5 could tell him not to hang around somebody, right? 6 Α. Yes. 7 0. So when he was 11 years old and hanging around these people or 12, 13 years old hanging around these 8 9 people that were drinking all the time and smoking 10 marijuana, you didn't ever tell him to stay away from 11 them? 12 Α. He wasn't 11 years old when he was drinking. 13 0. But you never could tell me just when that 14 started, though, other than when you were living on 28th 15 Street, he was hanging around with these bad people. 16 Α. All of those people on 28th Street is bad. 17 Ο. You --They all do the same thing. 18 Α. 19 Ο. And what is that? 20 Α. They all smoke marijuana and steal. 21 You see them? 0. 22 Α. Do I know? 23 Q. You see them do it? 24 Α. No, I didn't see them steal it. 25 Ο. Okay. So you don't know. 26 Α. I've got ears.

Redirect.

THE COURT:

MR. CRAIG:

27

28

29

REDIRECT EXAMINATION

Yes, Your Honor.

BY MR. CRAIG: 1 Ms. Frederick, where did you live with your Ο. 2 husband Winfred Frederick? 3 Off of Longridge Road. Α. 4 In what city is that in? Ο. 5 Α. Long Beach. 6 What years were you married to Winfred 7 Ο. Frederick? 8 '71 to '79. Α. 9 Okay. Where is Hardy Road? 10 Ο. Hardy Avenue is in Gulfport. Off of Railroad. Α. 11 And did you live at Hardy Road after you Q. 12 divorced Mr. Frederick, maybe not right after, but at 13 anytime, was it after you divorced Mr. Frederick or 14 before? 15 After. 16 Α. Okay. After you lived at Hardy Road, did you 17 live off of Turner Road? 18 Α. 19 Yes. And what city is Turner Road in? 20 Ο. 21 Α. Long Beach. Is the place you lived in -- on Turner Road, how 22 Q. 23 close is it to the place you lived on Longridge Road previously? 2.4 About half a mile. Α. 25 So you lived in Long Beach with Mr. 26 Ο. Okay. Frederick, then Hardy, and then back in Long Beach, but 27 off of Turner Road? 28

29

Α.

Yes.

Okav. And in 1979, when you divorced Mr. Q. 1 Frederick, Alan was 14 years old, because he was born in 2 3 '65? Α. Yes. 4 And how long -- was Alan still a teenager or was 5 Ο. he past being a teenager when you moved back to Turner 6 Road? 7 Α. I don't understand what you are saying. 8 9 0. When was your daughter Amanda born? Α. 180. 10 Where were you living when Amanda was born? 11 Ο. Longridge Road. Α. 12 You were still living in the area with Mr. 13 Ο. Frederick? 14 But Winfred Frederick wasn't living there. Α. 15 16 No, I understand. So the young men that we talked about, the Maloney brothers and Billy Davenport, 17 18 what neighborhood were you living in when Alan met them? 19 Α. Off of Longridge Road. 20 Ο. When you were married to Mr. Frederick? Α. Yes. 21 And I understand Alan was in Alaska some of this 22 Ο. time, but when you were living on Hardy Road in Gulfport, 23 did you have occasion to see any of those young men with 24 25 Alan while you were living on Hardy? 26 Α. Yes. 27 MR. WHITE: I'm going to object. To what 28 young men?

MR. CRAIG:

I thought I said, but I'm

1	sorry.
2	BY MR. CRAIG:
3	Q. Billy Davenport, Dwight Maloney, and the other
4	Maloney?
5	A. Yes.
6	Q. And when you moved back to Long Beach off of
7	Turner Road, was Alan hanging out with those three young
8	men as his friends?
9	A. Yes.
10	Q. Okay.
11	MR. WHITE: Leading, excuse me.
12	THE COURT: Overruled.
13	BY MR. CRAIG:
14	Q. When you were living in what neighborhood
15	do you know where Mr. Collin, big Jack Collins lived?
16	A. Yes.
17	Q. What neighborhood did he live in?
18	A. Same as mine.
19	Q. Well, which one? You've lived a lot of
20	different places, so which one?
21	A. Off of Turner. He lived on the road between
22	Turner Road and Longridge Road well, Longridge Road
23	and Bonanza, that's where he lived at at the end.
24	Q. Okay. And tell the Court whether Alan knew Mr.
25	Collins, big Jack Collins when you were living with Mr.
26	Frederick on Longridge.
27	MR. WHITE: Objection, leading.
28	A. Yes.
29	MR. CRAIG: I thought I said whether.

THE COURT: Overruled. 1 2 MR. CRAIG: Thank you, Your Honor. BY MR. CRAIG: 3 Ο. Yes, he did? 4 5 Α. Yes. 0. Did you have occasion to see Alan with big Jack 6 7 Collins during the years you lived on Hardy in Gulfport? Α. Yes. 8 And did you have occasion to see Alan with Mr. Q. 9 10 Collins when you were back on Turner Road? Α. 11 Yes. 12 Q. What neighborhoods did you all live in when Alan 13 knew Mr. Rollins? Α. Mr. Who? 14 15 Q. Frank Potter, I'm sorry, my bad. Frank Potter? 16 Α. What neighborhood? 17 Yes, ma'am. 0. Α. I lived on Turner Road and he lived on Bosarge 18 Road. 19 20 0. So that was when you moved back to Long Beach? 21 Α. Yes. 22 Did you know Mr. Potter when you lived on Ο. Longridge with Mr. Frederick from '71 to '79? 23 24 Α. No. 25 Okay. And Duke Maloney you referred to. you know Duke Maloney when you were living from '71 to 26 27 '79 in Long Beach? 28 Α. Yes.

Did Alan know Mr. Maloney then?

29

Q.

### Anita Frederick - Examination by the Court

A. Yes.

1

2

3

4

5

6

7

8

9

10

1.1

12

13

1.4

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- Q. So you testified what -- what neighborhoods were you -- or neighborhood or neighborhoods, whether it's one or more than one, were you living at during the time that you've already testified about when Alan was drinking in front of these older men and they were drinking in front of him, do you remember which neighborhood that was in or was it more than one?
  - A. I think it was off of Turner Road on 327.
  - Q. That was when you moved back to Turner Road?
  - A. Yes.
- Q. That was not when he was seven when you were there the first time?
  - A. No.
- Q. But those boys and their dads that he knew, he knew some of them from that first time?
  - A. Yes.

MR. CRAIG: That's all we have.

THE COURT: Any objections to the Court

following up with any questions?

MR. CRAIG: No, Your Honor.

THE COURT: Mr. White?

MR. WHITE: No, Your Honor.

EXAMINATION

# BY THE COURT:

- Q. Going back to Mr. Potter, Ms. Frederick. Was there an occasion where he exposed himself to you?
  - A. Yes.
  - Q. Was it in Alan's presence?

# Anita Frederick - Examination by the Court

A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. And did Alan respond appropriately to that? Let me rephrase that. Did he respond to protect you from that type of behavior?
- A. Well, he didn't like it because he showed his butt. Like mooning you.
  - O. Mr. Potter did?
  - A. Mr. Potter.
  - Q. And were you offended by that?
  - A. Yes.
- Q. And so was Alan's response to that appropriate in your mind? Do you think he did the right thing by telling Potter not to do that?
  - A. Yes.
- Q. Is that the type of behavior you tried to teach Alan when you were raising him?
  - A. Yes.
- Q. I understand from your testimony you -- your testimony at the beginning was that you had a difficult childhood with your mother and father?
  - A. Yes.
  - Q. You left home at the age of 16?
- A. Yes.
  - Q. Not withstanding that, you -- is it your testimony that you tried to do the best for your children?
    - A. Yes.
- Q. Would that include teaching them respect of other people?

# Anita Frederick - Examination by the Court

A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

17

18

19

20

21

22

23

24

25

26

27

28

- O. And other people's property?
- A. Yes.
- Q. I think you said you took your children to church on and off or sometimes?
  - A. Yes.
  - Q. Why would you take someone to church?
  - A. His dad Winfred Frederick took them to church.
- Q. What's the purpose of going to church? I know why I go, but why would you go?
  - A. I was raised in a Catholic church.
  - Q. And myself.
- A. And so I didn't go to regular churches, a Baptist church, so I basically went to a Catholic church.
  - Q. It reinforces right from wrong, right?
- 16 A. Yes.
  - Q. And is that why you wanted Alan, perhaps, to know respect and those values that you wanted to impress upon him because that could be reinforced at church?
    - A. Yes.
  - Q. I know Alan ran around with some guys, your testimony was that he was drinking and smoking marijuana?
    - A. Yes.
  - Q. Did you condone any of that behavior, did you approve of it?
    - A. No.
  - Q. Would there be occasions when you would say,
    Alan, I don't like these kids you are running with, and I
    want you to stop behaving this way?

- Anita Frederick Examination by the Court Α. Yes. 1 And would that be at a time when he was of age, 2 0. late teens, early 20s? 3 Α. Yes. 4 Were you at the trial in Vicksburg to hear the Ο. 5 testimony in the guilt phase? Did you hear the proof 6 that the state put on of what Alan was convicted of doing 7 to Ms. Edwards, did you hear that testimony? 8 No, because I was in a room. Α. 9 Okay. You are familiar with the accusations and 0. 10 the verdict based upon those accusations? 11 Α. Yes. 12 13 0. Is that type of behavior any type of behavior that you would believe was learned in your household when 14 Alan was living under your roof? 15 Α. 16 No. All right. 17 THE COURT: If that generates 18 any further questions by the parties, you are free to ask those questions. 19 20 MR. CRAIG: None for the petitioner, Your 21 Honor. 22 THE COURT: Mr. White? MR. WHITE: 23 No. 24 THE COURT: You can step down. Let's take 25 about a 15 minute recess. 26 (Recess)
  - We call Nellie Richards. MR. VOISIN: THE COURT: Captain Pitts, the courtroom will be closed until this witness' testimony is

27

28

#### Nellie Richards - Direct Examination concluded, according to my rule announced 1 earlier. You may proceed. 2 MR. VOISIN: Thank you. 3 NELLIE RICHARDS 4 Having been duly sworn testified as follows: 5 DIRECT EXAMINATION 6 BY MR. VOISIN: 7 Ms. Richards, please introduce yourself to the 0. 8 court. 9 Hello. My name is Nellie Richards. 10 Α. And, Ms. Richards, how do you know Alan Walker? Q. 11 I am his aunt. I am Anita's sister. Α. 12 Where do you currently live? Okav. Thank you. 0. 13 I live in Florida, The Villages. Α. 14 The Villages? 0. 15 Α. Yes. 16 How long have you lived there? 17 Ο. We moved there in November of 2004. 18 Α. 19 Q. You said we, who is the other person you are 20 referring to? Α. Edmund Loverty. E-D-M-U-N-D. 21 And are you currently employed? Q. 22 Α. I am retired. 23 What did you do before you retired? 24 0. I worked for CBS. 25 Α. 26 Q. In what capacity? Administration. I was the credit manager for 27 Α. WCBS-AM radio. 28 Q. Where is that? 29

A. New York City.

2.0

- Q. Where are you originally from?
- A. Pensacola, Florida.
- Q. Is that where you grew up?
- A. Yes. I was born and raised there, but I lived my adult life in the north.
  - Q. Okay. Who are your parents?
- A. My father was Ezekiel Richards and my mother was Marie.
- Q. And did you -- I know you mentioned Anita. I wonder if you could give us the names of any other siblings and in the order, from like oldest to youngest?
- A. My oldest sister, her name is Ruth Alee, and she is the oldest girl. My mother then had a boy, his name is Howard Ezekiel, and he was the second born. The third born is my sister, Anita, and her name is Anita Louise. The fourth born is my brother, John Dee, and he is the fourth born. The fifth born is myself, and my name is Nellie Jean. That's it.
- Q. Okay. And what's the age difference between you and Anita?
- A. About six years. She was born in '41 and I was born in '50.
  - O. In '41?
  - A. I'm sorry, in '44, I think it is.
- Q. Okay. Now, you are currently -- you've been living in New York and currently in Florida. Throughout your life, did you have -- describe how much contact you had with Anita over years.

- A. Well, we talked on the phone quite a bit. And also -- well, to me quite a bit is -- I guess it's the wrong term because I know a lot of people who talk all the time. But anyway, I would say on my vacations.
  - Q. You would see her on your vacation?
- A. I would go down and spend my vacations, and I would fly in directly to New Orleans and she would come over and pick me up and take me to her home, and I would stay there. Or, I would fly into Pensacola and she would come down and bring the boys with her. And we would all spend time down in Pensacola.
  - Q. Okay. Do you know Ronald Walker?
- 13 A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

14

15

19

20

22

23

24

25

26

27

28

- Q. And who is he?
- A. He is the father of Alan and Terry.
- Q. Okay. Now, we've heard that Alan was born in 1965?
- 18 A. Yes.
  - Q. So Anita then would have been about 20, 21 years old?
- A. Around there, yes.
  - Q. Was there a time that you stayed with Anita and Ronald?
    - A. Yes.
    - Q. About how old were you at the time?
    - A. Let me see, I was about 15.
  - Q. Okay. And why weren't you staying with your mother?
    - A. The man that she was married with, I don't know,

for some reason he slammed a bowl down one day and had a hissy fit and that was it. My mother said I had to leave.

- Q. Okay. But that wasn't your father, that was your mother's -- a second husband?
  - A. Yes.

- Q. About when did your parents divorce?
- A. I was three years old.
- Q. And is there any incident that you recall that stands out just before the divorce?

MR. WHITE: Your Honor, we would object to hearsay. She was three years old?

A. Around three, yes.

MR. VOISIN: Your Honor, I did ask her what she recalls. So if she can recall.

THE COURT: If she can independently recall something that happened when she was, evidently, three years old, I will allow it. But if she is relying on someone else as the basis for her memory, then I will sustain the objection.

A. What I remember.

THE COURT: What you personally remember.

A. What I personally remember is my mother was sick at that time. And a bed, a twin bed had been moved to the front door of the place where we were living, and this way you had -- the children, okay, could play in the front and she could like watch them, even though she was sick, all right. But this particular Sunday, the other four were playing in the front yard, and I was playing --

she asked my father to take care of me. So we went into the back and he had sat down on the back porch and we were playing Indians — cowboys and Indians, and he tied my hands behind me back, and he told me to get them undone. And I went into the door, into the house that is, and I went to my mother, you know, and asked her to untie my hands. She asked me who tied my hands behind my back, and I said daddy. And when I turned around for her to untie my hands, he was standing there at the door. And the next day, she got out of her sick bed and she went down and she filed for divorce. And that's what happened.

# BY MR. VOISIN:

- Q. Was it uncommon for your father -- was it common for your father to tie your hands?
- A. Well, see, that's -- well, talking about memory, okay. That's something I'm not sure on memory because I didn't remember all the other times when he tied us up.

MR. WHITE: Objection.

THE COURT: I can accept that she doesn't remember other times. So that would be hearsay.

A. I know about the times that he would tie my brother John Dee and I up.

THE COURT: Wait just a second. Let him ask the questions.

#### BY MR. VOISIN:

- Q. Do you remember any other times where your father would tie you and your brother John up?
  - A. No, I don't. I was only told that later by my

1	
1	brother.
2	Q. Okay. And what did he tell you?
3	A. He told me.
4	MR. WHITE: Objection.
5	THE COURT: I sustain that.
6	MR. VOISIN: I would like to proffer her
7	answer for the record, Your Honor.
8	THE COURT: All right.
9	BY MR. VOISIN:
10	Q. What did John Dee tell you about that?
11	A. He told me that my father used to tie the two of
12	us up and lock us in the closet and turn the light out.
13	Q. Okay. Thank you. That's my proffer.
14	MR. WHITE: Further objection to relevance.
15	THE COURT: All right.
16	BY MR. VOISIN:
17	Q. Now, after your parents I'm sorry?
18	A. May I say something?
19	MR. WHITE: Objection.
20	MR. VOISIN: I have to ask a question.
21	BY MR. VOISIN:
22	Q. Now, what after your father left, how was
23	your family supported?
24	A. My mother applied for welfare, I believe. And
25	she also went to work cleaning peoples homes and taking
26	care of people who could not take care of themselves.
27	Doing grocery shopping, cooking their meals, cleaning
28	their homes, maybe giving them a bath. I remember Mr.

Foster, she used to have to take care of him that way,

have to bathe him because he couldn't get out of bed. 1 Stuff like that. 2 And when your mother was gone, who took care of 3 Ο. you? 4 My brother, John. 5 Α. Was Anita still in the house? 0. 6 Anita left early. 7 Α. Why did Anita leave? Q. 8 Objection, unless she MR. WHITE: 9 personally knows why she left. 10 THE COURT: Overruled. 11 BY MR. VOISIN: 12 What do you know about Anita leaving? 0. 13 Anita left because she said no one was home. Α. 14 Where was your mother? Q. 15 Α. She was working during the daytime and at 16 nighttime she was with Mr. Nelson. 17 Who is Mr. Nelson? 18 0. 19 Α. Her boyfriend. 20 Q. Was he someone you knew? 21 Α. Yes. Now, did you receive any -- did your family 22 Ο. receive any financial support from your father? 23 24 Α. No. And did he visit you? 25 Q. 26 Α. No. 27 Q. Where was your father? 28 Α. He was in prison. 29 What was he in prison for? Q. Okay.

# Nellie <u>Richards</u> - <u>Direct Examination</u>

- A. He was involved with a black woman.
- O. And that was illegal at the time?
- A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

24

25

26

27

28

- Q. How long did he have to stay in prison?
- A. It took my Uncle Steve Bruce over eight years to get him out of jail.
- Q. So you grew up, for the most part, without a father in the home?
  - A. Correct.
- Q. And for a lot of that time, Anita had no father in the home?
  - A. Correct.
- Q. Did you and Anita discuss the effect on the boys of Ronnie living in Alaska?
  - MR. WHITE: Objection, Your Honor. That's hearsay.
  - A. Yeah.
  - MR. VOISIN: Your Honor, I only asked her if they had a discussion. I didn't ask her any particular statements.
- A. Yes.
  - THE COURT: Overruled.
- BY MR. VOISIN:
  - Q. From your perspective, having interacted with Anita, what were the benefits as you saw it of the boys being able to see Ronnie?
  - A. Well, we talked about not having a relationship with our father growing up. And we thought it would be beneficial to go -- for the boys to go to Alaska and to

- have that relationship with Ronnie because he was the natural father. It wasn't that Ronnie was an abusive father or anything like that. Everything we felt would be good.
  - Q. Okay.
  - A. So.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

- Q. Had Ronnie remarried by this time?
- A. Yes, he had remarried.
  - Q. And who was his wife?
- A. He had married a woman by the name of Sally, I don't know her last name.
  - Q. Did you ever meet Sally?
- A. No, but I did talk with her on the phone many times.
  - Q. Okay. Based on your interaction, did you have any -- did you feel Alan was having a good experience in Alaska?
    - A. To my knowledge, I thought so.
    - Q. Okay.

MR. WHITE: Your Honor, this is just -THE COURT: If there is a foundation. You need to lay a foundation.

MR. VOISIN: Okay, Your Honor.

BY MR. VOISIN:

- Q. You were able to see Alan on occasion?
- A. Yes.
  - Q. And you saw Anita on occasion?
- A. Yes, when I -- I went down to New Orleans and
  Anita would pick me up and bring me over. I mean, Alan

and Terry, they were both happy. They got great gifts, you know, at Christmastime when they were up there because they would stay for a year, it was alternating. And they seemed to do well. The school up there was very good. They enjoyed that. They enjoyed their time with their father. And they seemed to love Alaska from what I could tell. I mean, you know, you talk to the boys. I always liked to do things one on one, you know, with the kids. With all the kids. I loved all my nieces and nephews. I don't have any children.

- Q. Did you ever meet Winfred Frederick?
- A. Yes.

- Q. Did you have much interaction with him?
- A. I only met him once, and he was very nice to me. I stayed a week at his house, and for those seven or eight days, it was really nice.
- Q. After Anita divorced Winfred, how did she support her children?
  - A. Anita always worked. Always.
  - Q. How many jobs would she work?
- A. Yes. She always worked a couple of jobs to -- she always supported her kids. They came number one.
- Q. And what did she do to prepare for Christmas for them?
- A. For Christmas, come September, she always found another job just so she could start saving, putting that money aside so that she could have Christmas gifts to put underneath the tree for the kids. She always made sure that she had something for the kids to make sure they had

1 a good Christmas. 2 Objection unless she knows this MR. WHITE: 3 personally. This is hearsay. THE COURT: 4 Overruled. BY MR. VOISIN: 5 6 You mentioned you got to spend time, even some 7 one on one time with your nieces and nephews. Would that include Alan? 8 9 Α. Of course. 10 0. And how was Alan around you? 11 / A. Pardon? 12 Q. How was Alan around you? 13 Α. Oh, great. We got along very well. 14 Q. Did you have any problems with him? 15 Α. Never, not one. 16 0. Was there anything he did that in particularly impressed you? 17 18 You could ask him to do something for you, and Α. he would always do it. Whereas some of the other nieces 19 20 or nephews, you know, you may ask them to do, go do something, and they might say no. That has happened on 21 22 occasion. 23 Q. Now --24 Α. But Alan always said yes. He was always great. 25 0. Were you aware of Alan and his use of alcohol? 26 I didn't know anything about it until, I would say maybe -- I don't know, it was in the teens, late 27 28 teens.

29

Q.

His late teens?

- A. Yeah. I think around there. I know he was having -- he was drinking around that time. I'm not sure when, or how much, or anything like that. But he was never drinking when I was there or around me. And I was there -- we were there for a good week or so, and he didn't have any alcohol with me.
- Q. Okay. Now, Alan was tried in 1991. I want to ask you a little bit about that. Were you -- did Alan's lawyers at the time make any contact with you?
  - A. No.

- Q. Did anyone call you?
- A. No.
- Q. If one of his attorneys had called you, would you have spoken to them about Alan?
  - A. Yes.
- Q. And would you have been willing to come to Mississippi to testify for him?
  - A. Yes.
  - Q. When did you first learn about his charges?
- A. I didn't learn until after he had already been sentenced and he was in Parchman at the time. He had just arrived at Parchman.
  - Q. You didn't hear anything from Anita about it?
- A. Well, what happened was, in April, Anita had sent me a letter. She told me that she had a big problem and she would solve it herself. And that's the kind of thing my sister would say to me, and that means that she has this problem and for me to just, you know, back off. But she wants me to know. So after a few months, it was

like at the end of August I think it was, or beginning of September, that's when I called. And when I called her number, I found out that -- about these things. I found out that she was not taking her medicine at the time, and she was under tremendous stress. She even ended up in the emergency room because she was not taking her medicine because she was always in court, you know, during this period.

MR. WHITE: Objection. This is hearsay.

THE COURT: I sustain that. That doesn't touch on the issue of the mandate.

MR. VOISIN: Beg the Court's indulgence.

A. All right.

MR. VOISIN: I don't have anymore questions. Mr. White will get a chance to ask you some questions.

A. Oh, okay. Thank you.

#### CROSS-EXAMINATION

#### BY MR. WHITE:

- Q. Good morning, Mrs. Richards, I'm Marvin White with the attorney general's office.
  - A. Good morning.
- Q. You said -- how long did you live in New York? You said you lived there, I believe.
  - A. I lived in New York from -- New York City.
  - O. Yeah.
- A. Okay. Because I did live in New York state, up state. All right. New York City, I lived there from around 1969 up until 1985.

- Q. Where did you go then?
- A. Pardon?

1

2

3

4

5

6

7

8

9

10

11

14

15

19

20

21

22

23

24

25

- Q. Where did you move then?
- A. To New Jersey. Then I lived there for like 20 years -- yeah, about 20 years. And then we moved to Florida.
- Q. Okay. And before you lived in New York City, is that when you lived in up state New York?
  - A. Yes. Yes.
  - Q. How long did you live up there?
  - A. Well, off and on for about a year.
- Q. Okay. So, say from '68, '69, 1968, '69, you've lived in New York or New Jersey?
  - A. Yeah.
    - O. And then later in Florida?
- A. Then I lived about a year in Alabama,
- Montgomery. And then I was in Florida. I mean, I was a teenager.
  - Q. Okay. Right. But I'm talking about --
  - A. You are talking about the '60s.
  - Q. Yes. I was there. 1965 Alan is born, where were you then?
    - A. When Alan was born, I was in California.
    - Q. Okay. And then you came back from there and moved to up state New York?
- A. When I -- from California I returned to Pensacola, Florida.
  - Q. Okay.
- A. And I was here for six months. From Pensacola,

Florida, I moved to Montgomery. From Montgomery I was there for almost -- about a year and a couple of months, something like that. And then I returned to Pensacola. I wanted to live there. But for some reason my mother told me I couldn't live there. And --

- Q. And when was that, do you have any idea what year that was?
- A. Yeah, that was I think '67. I think it was '67 or maybe '68. And I was told that my brother, John, had died. And somebody called and wanted to know if we wanted the body to be shipped home. Well, I loved my brother very much, and since I didn't really have any other place to go, I just bought a bus ticket and went to Syracuse, New York, because he lived in Marcellus, so I would go and find out what's going on.
- Q. Now, so you have basically lived somewhere else since '68, '67, '68, '69, somewhere?
  - A. Uh-huh.

- Q. And your association with Alan has been sporadic at best, right?
- A. Yeah. When I came back to Florida from California, I did live with Alan, he was a baby at the time.
  - O. Yeah.
  - A. Yes.
- Q. But you didn't have anything really -- you weren't there long, were you?
  - A. No, no, I wasn't.
  - Q. And you said that you would come on vacations

- occasionally, fly into New Orleans or Pensacola, and you would see him briefly then?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

24

26

- Q. So you really never had anything to do with his discipline or his rearing or anything like that, other than just from a distance?
  - A. Yeah, I guess in a way you could say that.
- Q. So you didn't have any really hands-on raising of the child, and most everything you knew about Alan was through your sister?
  - A. Yes.
  - Q. What she told you?
  - A. Yes. And also what Alan told me.
- Q. Well, yeah, but --
- A. Because we would sit down and, you know, I like to have --
- Q. That's not what I'm asking. So he told you some things, his mother told you some things, but not much of was firsthand knowledge, in other words, you seeing and being there when it happened?
  - A. Correct.
    - Q. Now, do you have a drinking problem?
- 23 A. No.
  - Q. Why would your niece say that you did?
- A. I don't know.
  - Q. In one of her statements that she gave said you were a heavy drinker?
- A. No, I don't drink. Well, I very seldom drink, that is.

#### Nellie Richards - Cross-Examination

- Q. Okay. So that would not be the case that you would be a heavy drinker and not have all of your faculties about you?
  - A. Well, I think I have them.
  - O. Okay. How often do you talk to your sister?
  - A. Well, lately we've been talking.
- Q. No, let's go back. No, everything we're talking about today is we're talking about prior to 1991.
  - A. Oh, okay.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- Q. So wind your mind back. And how often did you talk to her back then?
- A. Every few months or so. I tried to keep in touch.
  - Q. Yeah.
  - A. I mean, she didn't really have money for long distance phone calls. But on occasion she would, you know, like make that call. But seldom. So it was always up to me, and I didn't call. You know, when I could, I would call.
    - MR. WHITE: Have the Court's indulgence just one minute.

#### BY MR. WHITE:

- Q. Did you ever personally observe Alan drinking or smoking marijuana prior to all of this, prior to 1991?
  - A. I don't think so.
  - Q. Okay.
- A. I would have to say no. I know with marijuana it's no.
  - MR. WHITE: Your Honor.

# Nellie Richards - Cross-Examination

1	THE COURT: If she is explaining her
2	answer, she is allowed to. You can follow up
3	thereafter. If you need to explain your answer,
4	you may.
5	A. Yes, please. Thank you.
6	THE COURT: Reminding you the question is
7	whether you observed it with your own personal
8	eyes.
9	A. That's what I'm trying to say, because it's
LO	two-part. He asked about two things.
11	BY MR. WHITE:
12	Q. Okay, let's take them apart. One at a time.
13	You ever see him smoke marijuana or use marijuana?
14	A. No.
15	Q. What about did you ever see him drink?
16	A. I'm not sure on that.
17	Q. Not sure on that.
18	A. I think he may have had a beer, I'm not sure.
19	MR. WHITE: Thank you, Your Honor. No
20	other questions.
21	THE COURT: Redirect?
22	MR. VOISIN: No further questions, Your
23	Honor.
24	THE COURT: Ms. Richards you may step down.
25	Who do you have next?
26	MR. CRAIG: Ronald Walker. Mr. Walker's
27	father.
28	THE COURT: He will be a while?
29	MR. CRAIG: I would think a little while.

# Nellie Richards - Cross-Examination

1	Not as much as Ms. Frederick probably, but a
2	little while.
3	THE COURT: Y'all want to take the noon
4	recess at this time?
5	MR. CRAIG: That would be appreciated.
6	THE COURT: Let's reconvene about 1:20. We
7	will be in recess until 1:20.
8	(Recess)
9	MR. CRAIG: Judge, could we approach, just
10	very briefly?
11	THE COURT: Sure.
12	MR. CRAIG: It's very simple, actually.
13	Mr. Walker, Alan's father, is the next person to
14	testify in this case. He has a bladder problem,
15	and I worry a little bit. So I told him to let
16	us know. I didn't want anyone
17	THE COURT: We can take a comfort break if
18	we need to.
19	MR. CRAIG: I wanted everyone to know
20	before we got started. They can take him back
21	there, that's up to y'all. But I wanted to let
22	make sure everyone knew before we got
23	started.
24	We call Ronald Walker.
25	THE COURT: Mr. Walker, scoot up close to
26	the microphone so everybody can hear you. Allow

the lawyers to finish their questions before you

respond so that the court reporter takes down

everything being said. And you have to respond

27

28

1	audibly, not with a shake of the head or uh-uh
2	or uh-huh. Try to relax. You may proceed.
3	MR. CRAIG: Thank you, Your Honor.
4	RONALD WALKER
5	Having been duly sworn testified as follows:
6	DIRECT EXAMINATION
7	BY MR. CRAIG:
8	Q. State your name, please, sir.
9	A. Ronald D. Walker.
10	Q. You recall that you are under oath from this
11	morning, correct?
12	A. Yes, I do.
13	Q. Where do you currently live, Mr. Walker?
14	A. I live in Panama City, Florida.
15	Q. Where were you born?
16	A. I was born in Panama City, Florida.
17	Q. Are you currently working?
18	A. I am retired.
19	Q. What did you do before retirement?
20	A. I'm a carpenter, union carpenter.
21	Q. And do you know Mr. Walker, Alan Walker?
22	A. Yes, he is my son.
23	Q. What other children do you have, sir?
24	A. Terry. And I have two stepsons.
25	Q. Okay. Let's talk very briefly, if we can, about
26	how you met Anita Frederick. Was she your wife?
27	A. Yes, she was.
28	Q. How old were you when you met Ms. Frederick?
29	A. Probably about 19, the best of my recollection.

29

I think 19.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

19

20

21

22

23

25

26

- Q. And where were you, how did you come to meet Ms. Frederick?
- A. Well, it was a group of people selling magazines and I got approached by them and everything. They asked me if I wanted a job, and I said sure. It was a travel job, and I thought that would be great, you know. And that's how we met.
  - Q. Okay. She was working the same job?
  - A. Yeah.
- Q. And so you said you were 19 when you met her. How old were you when you married?
  - A. Twenty-one.
    - O. And where was Alan born?
  - A. Panama City, Florida.
  - Q. What work were you doing back in those days?
- A. I was a meat cutter.
- Q. And where were you living?
  - A. I think it was McKenzie Avenue.
  - Q. I'm sorry, what city were you living in?
  - A. Panama City.
  - Q. Okay. Did there come a time when you left Panama City while you were still married to Ms.
- 24 Frederick?
  - A. We did. We moved to Pensacola.
  - Q. How long were you married to Anita Frederick?
  - A. About seven years.
- Q. And what were the circumstances of the two of you separating and ultimately divorcing?

- A. Just incompatible. Incompatibility, that's about it. And I was young, you know, and I really didn't know what I wanted in life, you know. I made a lot of mistakes in my life. And that was one of them.
- Q. How old was -- were Alan and Terry when you and their mom broke up?
- A. I think Alan was around -- I think he was around maybe two, yeah.
  - Q. Was Terry born?

- A. Yes, he was. I guess they both were born, so Alan had to be a little older than that, maybe about three, three and a half. Terry was probably about a year and a half or a year.
- Q. And during that time that you were married and living together, were you also living -- who else was living with you, anybody?
- A. No. My brother stayed with me a little bit, probably for about a month, you know, and that's about it.
  - O. Do you have just the one brother?
- A. No, I have lots of brothers, but the brother I'm speaking of is deceased, my older brother.
  - O. What's his name?
  - A. Kenneth.
    - Q. Is he your full brother, you share both parents?
    - A. He is my full brother, yes.
- Q. And did he have issues with respect to his mental health that you became aware of?
  - A. Yes, he did. He was a paranoid schizophrenia.

- Q. Yes, sir, go ahead, I'm sorry.
- A. But he didn't develop that until later, you know. Once he got out of the service, it was full blown after that. Yeah.
- Q. Was there -- do you remember, you said you and your wife were incompatible. Do you remember an incident while you were married and while, after Alan was born, involving Anita Frederick and -- that caused the law enforcement to call upon you?
  - A. Yes, I do.

- Q. Tell us about that, please.
- A. Well, I was at work one day, and I got approached by some police officers. They called me and they said that your wife was in jail. I said, what? And I asked for what. And she knew this neighbor, I guess she knew this neighbor for years, I didn't know, when she was she used to live there before we were married, and so she would call this woman and just harass her on the phone. And I guess they kind of tapped the phone and found out it was her.
  - Q. Okay. What kind of things did you say?
- A. You know, I have no idea. I questioned her about it, you know. She said it was a foolish thing she did, you know, but I never questioned her about it, you know. Just one of those things, you know. I don't know.
- Q. Yeah. So when you and your wife separated, where were you living at that time, Mr. Walker?
  - A. I was living in Alaska.
  - Q. Okay. And where -- when did you, after you

- moved to Alaska, when was the next you saw or heard from the boys and your former wife?
- A. It was probably -- God, that's a long time ago, but I'm guessing it was probably about three and a half years. It's probably about three and a half years, once I was in Alaska, since I seen the kids again.
- Q. Were you trying to find out where they were during those years?
- A. Most definitely I was. And that really hurt me because I wanted to see my kids and I didn't know where they were. And I found out later that she did get in touch with me, and she moved to Mississippi, and that's how I got in contact with the kids then.
- Q. And did you, after you found out where they were, did you do anything to try to see them again?
  - A. Yes, I did.

- Q. What did you do?
- A. I came down and took them to Alaska with me.
- Q. Okay. Was that the very next time that you saw your son, you came and brought them to Alaska?
- A. That was the first time in three and a half years that I saw them.
  - O. Okav.
  - A. Yeah.
  - Q. Is that when they were in school?
- A. They were out of school at the time, you know, I think, and it was in the summer. And I think I come down in a motor home and got them in my motor home.

Circuit Court Reporter hueybang@cableone.net

THE COURT: Mr. Craig, can you maybe put a

1	context of a year.
2	MR. CRAIG: I was trying, yes. Thank you,
3	Your Honor.
4	MR. WHITE: Thank you.
5	BY MR. CRAIG:
6	Q. Let me just ask it, I might need to kind of back
7	up a little bit if you don't mind, Your Honor. How many
8	times did Alan come to see you in Alaska? And we will
9	try to put a chronology to it.
10	A. Three, maybe four, yeah.
11	Q. And the very first time he came to see you, did
12	he enroll in school in Alaska?
13	A. Yes, we did, we had them enrolled in school.
14	MR. CRAIG: May I approach the witness,
15	Your Honor?
16	THE COURT: Yes.
17	BY MR. CRAIG:
18	Q. I'm looking at what's called what we have
19	listed in our exhibit list as Exhibit 7. I don't know,
20	Your Honor, I can give Your Honor another copy.
21	THE COURT: Is this it?
22	MR. CRAIG: Yes, it is.
23	BY MR. CRAIG:
24	Q. I'm going to show you that document, if you can
25	look at it carefully. Does that document mean anything
26	to you, can you identify that?
27	A. That is Creekside School is a school that the
28	kids were going to. Date of birth, Panama City, Alan was
29	born in Panama City. My name is correct. My address is

- 286 Fern Street. My phone number is correct. 1 Okay. That all sounds good. Does it say there Q. 2 what year that is at Creekmore School -- Creekside 3 School? 4 Yes, it says '75, 1975. Α. 5 Okay. And does that help you remember the first 6 Ο. 7
  - Q. Okay. And does that help you remember the first time that Alan Walker came and stayed with you for a year in Alaska, or do you think there was a time before then?

    MR. WHITE: Objection, unless he has a --
  - A. I think the first time that they came up is when they stayed a year.
    - Q. Yes, sir. And enrolled in school?
    - A. Yeah.

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

- Q. So if this is the earliest record of his school years in Alaska?
  - A. Yeah.
  - Q. Then would 1975 be the year?
  - A. Yeah, I think so.
- Q. So before they stayed the whole year in school, did you have occasion to visit them in Mississippi?
  - A. No, I didn't.
- Q. Okay. Tell me what -- so you hadn't seen Alan and Terry for some time?
  - A. Yes.
  - Q. And Alan was -- 1974 -- was nine years old?
- A. Right.
- Q. Tell us about Alan at nine years old in 1974, what do you remember about him?
  - A. A great kid. Yeah. Very great kid. Had a lot

of compliments of him.

- Q. What kinds of compliments would you get?
- A. You know, sometimes he used to go to my place of work and I would get compliments on him, how well behaved he was. I thought that was great.
- Q. In your household -- I'm sorry, were you living alone at the time or were you married by the time that Alan and Terry came up to see you in Alaska?
  - A. I was remarried.
- Q. Okay. And who all was living in the home with you and your wife and Alan and Terry in Alaska that year, 1974/75 when they were living with you?
- A. My wife and I, my two stepsons, and Alan and Terry.
- Q. Okay. Tell us about how you structured -- how your home life was there. Tell us whether Alan and Terry were required, for example, to do chores?
- A. Yes, they were. They were required to clean their rooms and stuff, and occasionally vacuum, stuff like that. Yep. And, you know, help me with yard work outside, stuff. We had chores for them. But they also got allowances, too, you know.
- Q. And did they comply with the rules of your home during that time period?
  - A. Yes.
- Q. And did you have -- do you remember any incidents from that time period, any serious behavior problems on the part of your son, Alan?
  - A. No.

- Q. Did you all do activities together as father and son or father and your sons?
- A. We did. Of course, I was working quite a bit. We probably didn't do as much as we should have did, but we did have activities. We went to the lake a lot, and stuff like that, you know.
- Q. What do you do at the lake, you are an outdoorsman?
- A. I am. I love to fish. I'm not much of a hunter, but I do love to fish.
  - O. And how about Alan?
  - A. He loves to fish, too.
- Q. Did you teach him to fish or did he already know when he got up there?
  - A. Well, I think he already knew.
- Q. Okay. And they stayed with you for a year that time?
- A. The first time, that was the agreement that I had with his mother, that they could come up for a year. You know.
- Q. Did there come to be a second time then when Alan and Terry came to stay with you?
  - A. Yes.

MR. CRAIG: If I may approach the witness again, Your Honor.

THE COURT: Sure.

BY MR. CRAIG:

Q. This is, again, from the exhibit list. It's marked as Exhibit 7. Do you recognize the names on that

#### document? 1 Α. Yes, I do. 2 This would be Page 4 of 14 on that exhibit. 3 What names do you recognize? 4 My wife and my name. And Alan's name. Α. 5 Okay. So your wife was Marcella Walker? 0. 6 Α. 7 Yes, she was. And she's passed away? 8 Ο. She is deceased. 9 Α. May I ask, how long were you married to her 10 Q. before she passed? 11 Α. 43 years. 12 Okay. And can you see on there what year that 13 14 says? MR. WHITE: Could we know what he is 15 talking -- using from? 16 MR. CRAIG: The document I handed you, the 17 18 Exhibit 7, Page 4. 19 Α. Does that say '83, '82/'83? By MR. CRAIG: 20 Yes, it does. Okay. So looking at that, does 21 that help you remember what year it was that Alan came 22 back to Alaska? 23 24 Α. Definitely. Okay. So in 1982 or '83, if he was born in 25 Q. 1965? 26 27 Α. '65 he was born, yeah. 28 So he would have been 16 turning 17, or 17 29 turning 18 -- 17 turning 18?

A. All right.

- Q. So was the Alan Walker that came to your home in Alaska that second time at 17 the same young man that you said goodbye to at age 11 when he left your home?
  - A. No.
  - Q. In what way was he different, sir?
- A. Let's see how you put this. Just a little bit different in a stronger will. Wouldn't want to -- kind of a little rebellious. I would say a little rebellion.
- Q. But what kinds of things did he rebel about? What did he do that was in rebellion with what you were asking him to do?
- A. Well, like my kids, neat, you know, he had long hair. I asked him to get his hair cut. So we took him down to get his hair cut. He rebelled about that. He didn't like that too well, but he got his hair cut anyway. And, you know, I noticed some changes in him. But subtle changes, you know, that the --
  - Q. Yeah. I'm sorry.
  - A. Yeah.
- Q. Tell us whether it was part of your regimen as a father that your children would be home at night and stay the night at your home?
- A. Yes. Yes. We had curfews. You had to be in at a certain time, yes.
- Q. And were there any times when you discovered that some of your children were not obeying curfew?
  - A. Yes.
  - Q. Please tell the Court about whatever time you

most remember.

- A. Well, they would -- I don't know, I got up to go to work one morning and I'm normally an early riser. I go to work at 6:00 in the morning. And I just so happened to look in the kids bedrooms, there was nobody in the bedrooms and everything. They had snuck out of the house and was out all night, you know. And that didn't approve with me too well.
  - Q. What did you do?
- A. I stayed home from work and waited for them to get home.
  - Q. Then what happened?
  - A. I spanked them.
- Q. And what other kinds of things happened with respect to Alan's behavior issues when -- that second time when he was an older teenager?
- A. I don't know. Just, you know, people that he wanted to hang around with and stuff like that I didn't quite too much care for that, you know.
  - Q. What was wrong with them?
- A. Just didn't like their appearance and stuff.

  You know, you kind of get a good judge of people by -- I
  can judge a person by --
  - Q. By their appearance?
  - A. Yeah.
- Q. Did you try to do something to control who Alan was seeing?
- A. Alan was at an age he just didn't want to take on too much responsibility like that. He wouldn't want

to listen to me.

- Q. Had you, in the meantime, had you been in contact with his mom to keep up with how he was doing between the time he was in fourth grade and the time he came to you in high school?
  - A. A couple of occasions, I think.
- Q. Uh-huh. Did you know whether she was having problems with him during that inbetween time between his first and second visit?
- A. The only time I knew she was having problems with him is when she would want to ship him to Alaska, you know. And that, you know, because he would -- she would probably be a little protective of him, you know, and wouldn't let him bump his nose. And so when he would get into a little bash or something like that, maybe could have been reconciled then, you know, back by the proper authorities, and she would call me and send them up to Alaska, you know.
- Q. What do you mean by protective, if you don't mind me -- it doesn't matter whether you mind, I suppose. What do you mean by protective? What was she protecting him from?
- A. A little trouble he got into here in Mississippi and everything. I don't know, just being a little wild, a wild child, I guess.
- Q. Are you talking about trouble with the law, or just trouble in general?
  - A. Well, pretty much leading up to that, yeah.
  - Q. Okay. So did you become aware that Alan was in

trouble with the law? We're talking about during these teen years now, not this case.

- A. Yeah. You know, like he was doing all the wrong things, and she was wanting to get him away from Mississippi because he was involved in too many wrong things like fighting chickens and stuff like that, you know. And she thought that the best thing for him to do is to come to Alaska where he could have maybe a father figure that could try to straighten him out and set him on the right road.
  - Q. Okay. And did you try to be that person?
  - A. I most certainly did, yeah.
  - Q. How did it go?

2.7

A. Well, he was a little head strong, you know. He wanted to come back to Mississippi and everything. And I think if he would have stayed in Alaska, that things would have been a lot different, you know. But Alan is more like a momma's boy. My son Terry had enough -- was up there at the same time, and he had enough wisdom to stay in Alaska at the time.

THE COURT: Mr. White. Just a second. You're talking too loud to co-counsel.

MR. WHITE: I'm sorry.

THE COURT: Go ahead.

BY MR. CRAIG:

- Q. You were telling us about your son Terry.
- A. Yes. He stayed in Alaska when Alan came back. Alan, he probably didn't like my rules and stuff, so he wanted to come home to momma. But Terry stuck it out

Ronald Walker - Direct Examination with me, and I'm glad he did. 1 Yes, sir. Did you all go to church there in 2 0. Alaska? 3 Yes, we did. I didn't go quite as often as I Α. 4 should have because I worked quite a bit, but my wife and 5 6 the kids, they went quite often. And did Alan seem to know when you were taking 7 0. him, or you and your wife were taking him to church and 8 coming back on Sunday evenings, did he seem to have an 9 understanding from his life in Mississippi about the 10 church and the Bible and things like that? 11 12 MR. WHITE: Your Honor, unless he knows, has personal knowledge of it. 13 THE COURT: Wait just a second. 14 MR. WHITE: I object. 15 16 THE COURT: You may want to try to lay a different foundation. 17 18 MR. CRAIG: Thank you, Your Honor. You don't have to thank the 19 THE COURT: 20 Court for its rulings on evidentiary matters. 21 MR. CRAIG: Okay, I will just respond, 22 thank you. Old habits die hard. 23 THE COURT: I understand. 24 BY MR. CRAIG: 25 When -- you testified that your wife and the

- Q. When -- you testified that your wife and the boys went to church and that sometimes you and your wife and the boys went to church?
  - A. Yeah.

26

27

28

29

Q. Did you gain an understanding from that

experience, from going to church with the boys, of the level of Mr. Walker's spiritual and religious understanding at that age?

- A. I think it was great at the end that time.
- O. At the end of that time?
- A. They were -- both the kids were baptized there. Matter of fact, we were all baptized there. And I noticed a difference in them, you know, and by going to church and stuff like that, you know. They seemed to like it, going to church, too.
  - Q. Even at that age?
  - A. Yeah.

Q. And what about -- so what I was asking originally was the, before then, you know, you said during the year he came to that point. What point was he at at the beginning of that year, the second time he was with you in 1982, in terms of his spiritual and religious understanding?

MR. WHITE: Your Honor that calls for a conclusion. What someone --

A. I don't know.

THE COURT: He doesn't know.

MR. CRAIG: He said he doesn't know.

BY MR. CRAIG:

- Q. Mr. Walker, is there a reason why you were not at the trial, and you understand that Alan had a trial in Vicksburg in 1991 on a very serious homicide charge?
- A. Yes, there is a reason. I was never known of nothing on it. Only way I ever found out what was going

on is by through his mother. I was never contacted by any authority or the court system whatsoever.

- Q. So specifically, tell the Court whether you were or were not contacted by anyone saying that they were Mr. Walker's lawyers prior to his trial in 1991.
- A. I was not contacted by anyone. No lawyer whatsoever.
- Q. And if you had been contacted by your son's lawyers, would you have come to Vicksburg to testify?
  - A. Yes.

- Q. Would you have testified truthfully and answered the kind of questions you are being asked today?
  - A. Yes.

MR. CRAIG: Court's indulgence. We tender the witness. Please answer the prosecutor's questions, sir.

THE COURT: Cross.

### CROSS-EXAMINATION

#### BY MR. WHITE:

- Q. Mr. Walker, I'm Marvin White with the attorney general's office. I need to ask you a few questions here to clear some things up. So Alan came and lived with you two times?
  - A. Three.
  - Q. When was the third time?
- A. The third time -- the third time he come up, I don't remember the exact date. I think it was about maybe a year or so after they went back the second time. Maybe a year or two after. I'm just guessing. It's been

a long time.

Q. Is

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

20

21

22

23

24

25

26

27

28

- Q. Is the only reason you know what the date or have any idea of what the dates are on the other two times the fact that your recollection was refreshed by looking at those school records?
  - A. Yes.
- Q. And so otherwise you would not have known what years they were?
  - A. I would not.
  - Q. Now, how old were you when Alan was born?
  - A. How old was I?
  - Q. Uh-huh.
    - A. Twenty-one.
  - Q. And how old was Anita?
- A. Twenty-one.
  - Q. Y'all are the same age?
- A. Well, she had to be 20, 20.
- Q. So if someone had said that you were -- that she was 17 when y'all got married?
  - A. No, that's not correct.
  - Q. That would not be correct?
  - A. No.
    - Q. And that you were 19. So that would be, y'all were the same age?
    - A. I remember this because, at that time, you had to be 21 to get married and had to have a parent's signature, so I had to wait until I was 21 to get married. I remember that.
      - Q. Now, you said you were about three and a half

when y'all divorced, and y'all were living where when 1 2 y'all got divorced? 3 Α. I was living in Alaska. She was living in Pensacola. 4 5 Ο. I mean, did she -- when did y'all stop living together? 6 7 Stop living together? Α. Uh-huh. 8 Q. 9 Α. Probably back in -- I want to say '67, '68. 10 In other words, shortly after Alan was born, you 0. 11 went on the road in your job and she did what? Α. 12 It had to be -- it had to be later than that. Probably '69 or so, yeah. Yeah, when I went on the road. 13 14 So you don't know where -- you worked as a meat cutter in Pensacola or Panama City? 15 16 I worked for a packing company in Pensacola, 17 yeah. 18 And then you moved somewhere to South Carolina? 0. Α. 19 Yes. 20 0. And how long were you there? 21 Α. Probably about eight months. 22 Q. And then you went to Hawaii? Α. 23 Yes. 24 0. And Anita never went with you there? 25 Α. No. 26 Q. Did she go with you to South Carolina?

And you went to Hawaii, and how long did you

She was with me in South Carolina.

27

28

29

Α.

0.

stay in Hawaii?

- A. Probably about eight months.
- Q. And did you come back to Pensacola or did you go directly to Alaska?
- A. No, I came back from Hawaii, went back to Columbia, South Carolina.
- Q. Back to South Carolina. And how long were you there?
  - A. Probably about four or five months.
  - Q. Did Anita and the boys live with you then?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

14

15

18

21

23

24

- Q. And then you left for Alaska?
- A. No. We left South Carolina. We came back to Florida.
  - Q. In Pensacola or Panama City?
  - A. I think it was Pensacola.
- Q. Okay. Y'all -- Anita was still living with you at that point?
  - A. Yes.
- Q. And then when did you go to Alaska? Do you know what year you went to Alaska?
  - A. I'm trying to think. I went to Alaska in '69.
- 22 Q. Okay.
  - A. '68 or '69.
  - Q. And y'all divorced when?
- A. We divorced in -- shortly after that. Probably about -- I think it was '68. I think we divorced in '68.
  - Q. When Alan was three?
- A. Yeah.
- Q. And then you were -- were you still living here

when you divorced, or had you already moved to Alaska?

- A. I had moved to Alaska.
- Q. Already moved to Alaska. So it was just a long distance divorce then?
  - A. Yes.

- Q. And at that point, how long was it then before you saw the boys again?
- A. Probably about three, maybe three, three and a half years.
- Q. And is that time that you saw them next is when you came down to get them to take them to Alaska?
- A. After three and a half years, I found out where they were. Their mother agreed that I could come and get them and take them -- she had them come up to visit me, yes.
  - Q. That's not my question.
  - A. Okay.
- Q. I said was that -- when you came down and got them and took them back to Alaska, was that the first time you had seen them since y'all got a divorce?
  - A. Yes.
  - Q. Or you moved to Alaska?
  - A. Yes.
- Q. So that's in either '74 or '75, although the school records say -- I think your attorney was saying '74, '75, school records looks like it says maybe '75, '76. So Alan was ten years old, nine years old the next time you saw him after y'all got divorced?
  - A. Uh-huh.

- O. And he lived there for a year with you?
- A. Uh-huh.

- Q. No trouble, good kid?
- A. No trouble at all.
- Q. People patting you on the back saying you got a great kid and everything like that?
  - A. Yes, sir.
  - Q. Then how long was it again before he came back?
  - A. Four or five years.
  - Q. Four or five years.
- A. I'm just guessing because 25 years ago is a long time. I'm just guessing that. I would say about four or five years.
- Q. I'm not casting aspersions at you, I just need to know some things and the dates. I think the dates on that other were what, '82. So no contact with you other than -- any contact between you and Alan between the time he went back and you came back and got him again?
  - A. No.
- Q. Okay. So just basically you brought him back at the end of that year and came down again four or five years later and got him, and that was, I think you said, because his mother wanted to get him out of her hair, basically, right?
  - A. Repeat that, please.
- Q. You said that you came back and got him the second time because his mother was ---wanted to get him out of the way or get him out of her hair and trouble down here?

- Ronald Walker Cross-Examination I think so, yeah. 1 Α. He would have been 15, 16, 17 years old, 2 0. somewhere in '82? 3 Α. Yes. 4 '82 he would be 17 years old. 0. 5 Α. Uh-huh. 6 And so -- and then -- and he came again, right. 7 0. How long did he stay, he stayed a year that time, too? 8 The last time he came he only No, he didn't. Α. 9 stayed about a month. 10 No, I'm talking about the '82, the second trip, Ο. 11 did he stay a year then? 12 Pretty close to a year. I think, yeah. Α. 13 He checked into school and stayed in school the 14 0. whole year? 15 16 Α. I think so. And then he came back down here? 0. 17 Yeah. 18 Α. 19 Q. Okay. And then how long was it again before he 20 came again up there? Did you come get him that time or did he come on his own? 21 No, he came on his own. I don't remember. 22 Α. And you said he was -- at which time are you 23 talking about that he was a little different, a little 24 rebellious? 25 26
  - Α. Well, I noticed some changes in him.
  - Ο. Which time?

27

28

29

When he came back the second time, I noticed Α. little changes in him.

- But he is 17 years old? 0. 1 Well, you are going to have changes in Α. Yeah. 2 kids, I quess, when they're 17. 3 And you made him get his hair cut? Ο. Α. Yes. 5 And that wasn't abusive, though, was it? 0. 6 Α. It was to him. 7 Well, it might have been to him, but it's not Q. 8 considered something that would be considered abuse, 9 would it? 10 I wouldn't think so. Α. 11 Did he ever complain about his mother abusing Ο. 12 him? 13 No. Α. 14 So did he ever complain about there being --15 anything about living down here, to you, that why he 16 wanted to come up there and stay with you or anything? 17 Α. No. 18 So he just came to visit and everything was fine 19 Q. 20 down here as far as he was concerned, right? Α. I quess. 21 He said he wanted to come. Now, you said that 22 Q. you didn't know anything about this -- you knew nothing 23 about him being in trouble? 24 The only way I found out he was in trouble was 25 through his mother. 26 When did you find out? 27 Ο.

You don't know when, was it before the trial, or

When she called me.

Α.

Ο.

28

- after the trial, or during the trial?
  - A. It was just before the trial. I didn't even know when a court date or anything was.
    - Q. You didn't know that he had been charged?
  - A. I didn't know there was a court date until after court was over.
  - Q. You didn't know that he had been charged with capital murder?
    - A. She told me that. Yeah.
  - Q. And do you know if she told the lawyers about you?
    - A. I don't know.
- Q. Do you know -- you didn't talk to her on a regular basis, did you?
  - A. No, I didn't.
- Q. And she didn't bother to call you on a regular basis?
- A. No, she didn't.
- MR. WHITE: Court's indulgence.
- 20 THE COURT: All right.
- 21 BY MR. WHITE:
  - Q. I believe you testified you had two stepsons?
- 23 A. I do.

1

2

3

4

5

6

7

8

9

10

11

12

15

22

25

26

27

28

- Q. Is that from your second wife's children?
  - A. Yes.
    - Q. And did he and Alan and Terry all get along or they and Alan and Terry all get along?
      - A. They got along great, yeah. They sure did.

        MR. WHITE: All right.

#### Ronald Walker - Redirect Examination THE COURT: Redirect. 1 Very brief, Your Honor. MR. CRAIG: 2 THE COURT: All right. 3 REDIRECT EXAMINATION 4 BY MR. CRAIG: 5 Just to be clear, Mr. Walker, when did you first 0. 6 meet -- how old were you when you first met Anita 7 Frederick, best you can remember? 8 I was probably -- I was probably 19. 9 Α. And then how old were you when you married her? Ο. 10 Α. Twenty-one. 11 And how long were you together romantically 12 0. before you were married? 13 We were together probably six months, five or 14 Α. six months. 15 MR. CRAIG: Okay. That's all we have, Your 16 17 Honor. You may step down. Call your THE COURT: 18 next witness. 19 MR. VOISIN: Our next witness, Your Honor, 20 is Terry Walker. 21 Come have a seat, Mr. Walker. THE COURT: 22 Sir, sit up close to the microphone and speak 23 into the microphone so everyone can hear you. 24 Allow the lawyers to finish their questions 25 before you answer. And make sure you respond 26 with a yes or a no and not a uh-huh or uh-uh so 27 the court reporter takes everything down. 28

Whenever you're ready.

		Terry walker - Direct Examination
1		MR. VOISIN: Thank you.
2		TERRY WALKER
3		Having been duly sworn testified as follows:
4		DIRECT EXAMINATION
5	ВУ	MR. VOISIN:
6	Q.	Mr. Walker, please introduce yourself to the
7	Court?	
8	Α.	My name is Terry Walker.
9	Q.	And how do you know Alan Walker?
10	Α.	He is my brother.
11	Q.	And, Mr. Walker, where do you currently live?
12	Α.	Colorado.
13	Q.	And what do you do for a living?
14	Α.	Oil field worker.
15	Q.	How long have you been an oil field worker?
16	Α.	Twelve years.
17	Q.	And what did you do before that?
18	A.	Work for an airline.
19	Q.	Mr. Walker, how old are you?
20	Α.	Forty-eight, 49.
21	Q.	And how much older is Alan than you?
22	Α.	Two years.
23	Q.	And who is your father?
24	A.	Ron Walker.
25	Q.	Where were you born?
26	Α.	Columbia, South Carolina.
27	Q.	Do you have other siblings?
28	Α.	Leon. Amanda.
29	Q.	When did your parents divorce, do you have or

- do you remember them being married at all? 1 2 Α. No. So you were too young to remember them being Ο. 3 together? 4 Α. Yes. 5 Do you remember moving with your mother to 0. 6 Mississippi? 7 Α. No. 8 What was the first contact that you remember 9 Ο. having with your father? 10 I remember going to Florida, visiting. 11 Α. 0. And he was there? 12 Α. Yes. 13 Do you know about how old you may have been at 14 Ο. the time? 15 Α. Maybe six or seven. 16 Now, at some point, you moved to Alaska 17 Q. Okav. 18 to live with your father? 19 Α. Permanently, yes. When I went to school in 20 seventh grade, I'm guessing in '80, '79. Did you go up to spend a year with him before 21 Ο. that time? 22 I think two years prior. 23 Α. Did you go to school in Alaska during that first 24 Ο. 25 visit up there? Α. I went to second grade and fifth grade. 26 And how did you get there to Alaska? 27 Q.

So you had already started school in Mississippi

We flew and drove a Winnebago.

28

29

Α.

Ο.

before you went to Alaska that first time? 1 Α. 2 Yes. Ο. Okay. Now, when you moved, had your father 3 remarried? 4 Α. Yes. 5 And what was his wife's name? Q. 6 Marcella Walker. 7 Α. Did she go by Sally? Q. 8 Α. Yes. 9 Did she have children? 10 0. 11 Α. Yes. 12 Q. How many? Α. Two. 13 Boys or girls? 14 0. 15 Α. Boys. 16 0. And how old were they in relation to you? One is eight years older and the other is ten. 17 Α. 18 Ο. That first time that you went to Alaska, did you 19 notice -- or what were the differences in your day-to-day 20 life of being in Alaska as opposed to being in 21 Mississippi? I don't know how to answer that. 22 Α. 23 Ο. Okav. In terms of, like, chores to do, homework, other responsibilities? 24 25 I had chores to do in Alaska. My dad and 26 stepmother was pretty into school, thorough. 27 0. So you had to do your homework? A little more discipline, yes. 28 Α. 29 And so after -- how long were you there before 0.

```
you came back to Mississippi?
1
          Α.
              One year.
2
          Ο.
              Okay.
3
              A school semester, year.
          Α.
4
              And when you came back, did Alan come back with
5
          0.
     you?
6
          Α.
               Yes.
               And at some time after you were back, did your
8
9
     mom remarry?
               I think she was married to Winfred the first
10
          Α.
     time I went to Mississippi. I don't recall them ever
11
     getting married, but I believe they were married prior to
12
     me going to Alaska for the first time.
13
               Okay. And Winfred, that's your brother Leon's
14
     father?
15
          Α.
               Yes.
16
                      What did Winfred do for a living?
17
          0.
               Okav.
          Α.
               He worked for C.E. Natco.
18
19
          0.
               And at the time, did your mother work?
          Α.
20
               Yes.
               Do you recall where she was working?
21
          Ο.
               She worked at a hotel, McDonald's, and I
22
          Α.
     couldn't --
23
               So she was working two jobs at the same time?
          0.
24
25
          Α.
               Yes.
               So who watched you and Leon and Alan?
26
          Ο.
27
          Α.
               No one.
               Was Winfred home?
28
          Ο.
               Sometimes.
29
          Α.
```

- Q. When he was home, how much supervision did he provide?
  - A. None.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- Q. So how much, you know, freedom did y'all have?
- A. All of it.
- Q. Okay. Is there anything that you would do that made Winfred angry?
  - A. He was always drunk.
  - O. How often did he drink?
  - A. Every day that I've known him.
  - Q. And about how much, do you remember?
  - A. I don't know how much.
- Q. Okay. Mr. Walker, was Winfred ever abusive to you, physically abusive I mean?
- A. I can't remember on that right now. Physically, I was timid as a child, but not -- I don't believe physical that was ever the word. You know, he would complain if we got into his soda or stuff like that, or got into his work stuff. But I don't know for sure he was ever physical abusive.
  - Q. Okay. Did you have any reason to fear him?
- A. I don't -- maybe, yes, because he was drunk, but I can't answer that. I don't recall him ever -- I mean, it was a young childhood time.

MR. VOISIN: May I approach witness, Your Honor?

THE COURT: Sure.

BY MR. VOISIN:

Q. Mr. Walker, I would like to hand you this

#### <u> Terry Walker - Direct Examination</u>

```
document, and I would like you to take a couple of
 1
 2
     minutes to review it to see if you can identify it.
 3
                MR. WHITE:
                            Your Honor, I mean, this is his
 4
          affidavit, what are we doing?
 5
                THE COURT:
                            He is reading it, it appears.
 6
                MR. VOISIN: Have you finished that?
 7
                THE COURT:
                            I don't know what the follow-up
          question is going to be. Is there an objection?
 8
                            Well, ask him a question, let's
 9
                MR. WHITE:
10
          -- he should know what's in it already. It's
11
          his affidavit.
12
                THE COURT: Let's see where it goes.
13
          BY MR. VOISIN:
14
          Ο.
               Mr. Walker, do you recognize that document?
15
          Α.
               Yeah.
16
          Q.
               Is that your signature on the last page?
17
              Yeah.
          Α.
18
          0.
              Okay.
                      For the record, Your Honor -- what is
     that document?
19
20
          Α.
              This is an affidavit.
21
              It's one you signed?
          0.
22
          Α.
              Yeah.
23
          Q.
              Okay.
                      Do you know -- can you go to the last
24
     page and tell us the date?
25
          Α.
              12/15 of 2/12/15.
              Above that, where it says sworn and subscribed,
26
          Q.
27
     is it September 26th, 2011?
28
          Α.
              Yeah, September 26th, 2011.
29
               MR. VOISIN:
                            Okay. Your Honor, I would
```

1	like to have this marked for identification.
2	This is the affidavit that Mr. Walker has
3	identified. We've attached it in the discovery
4	process, and it was attached to our
5	post-conviction petition that we filed with the
6	Mississippi Supreme Court. At the time, it was
7	marked before the Mississippi Supreme Court as
8	Exhibit 14. And in our exhibit list
9	MR. WHITE: That was not what I was given
10	in discovery.
11	MR. VOISIN: I'm sorry, but it was. Mr.
12	Craig
13	MR. WHITE: Here is what you gave me in
14	discovery right here.
15	THE COURT: Counsel, direct your comments
16	to the Court.
17	MR. WHITE: I'm sorry.
18	MR. VOISIN: No, Your Honor, Mr. White is
19	plainly wrong. We provided this affidavit.
20	This affidavit was attached to our petition that
21	we filed with the Mississippi Supreme Court in
22	2012. And it's the same affidavit that we
23	provided during discovery just a few months ago.
24	THE COURT: Give me just a second. Is this
25	exhibit, what you are asking to have marked for
26	ID, is it Exhibit 17 on your petitioner's
27	exhibit list?
28	MR. CRAIG: Yes.
29	THE COURT: Is that the same Exhibit 17

```
which was -- what I can see is Exhibit 14 as an
1
          attachment to the successive writ that was filed
2
         with the Supreme Court?
3
               MR. VOISIN:
                             That's correct.
4
               MR. CRAIG:
                            Yes.
5
                            Which was noticed in your
               THE COURT:
6
          discovery response?
7
               MR. CRAIG:
                            Yes, sir.
8
                            Mr. White?
               THE COURT:
9
                            It wasn't attached to the
               MR. WHITE:
10
          discovery.
11
                            The Court found it.
               THE COURT:
12
                            But it wasn't attached to the
               MR. WHITE:
13
          discovery.
14
               THE COURT: On the basis of that objection,
15
          that basis it's overruled. But let me ask you,
16
          for what purpose is it being offered? Are you
17
          asking to be admitted into evidence at this
18
          hearing or just marking it for ID?
19
               MR. VOISIN: Marking for ID, Your Honor.
20
                            You can mark it for ID.
               THE COURT:
21
          BY MR. VOISIN:
22
              Mr. Walker do you still have a copy of it?
23
      (Defense Exhibit 2 marked for identification)
24
               MR. CRAIG: May we have the Court's
25
          indulgence for just a moment?
26
                THE COURT:
27
                            Sure.
          BY MR. VOISIN:
28
              Mr. Walker, I would like to direct your
29
          0.
```

- attention to Paragraph 8 on the bottom of Page 2. The second sentence that begins, "when he was angry." Now, you've had a chance to review that, and let me back up. You and I spoke about this. When was the first time you and I spoke about this?
  - A. I thought it was two days ago, but --
- Q. What did you point out to me when we were going over this?
- A. I don't ever recall in getting hit by Winfred. I mean, I was young, but -- I mean, I was scared of him, but I don't recall him ever putting his hands on me.
- Q. Okay. So basically, that second sentence there is an error in it, therefore, as far as you can remember today, that one sentence is incorrect?
  - A. Yes.

- Q. What about, did your mother use a strap on you?
- A. Yeah. I mean, I thought all kids got an ass whipping.
- Q. And the first sentence, "Winfred became angry if we got into any of his stuff, such as the soft drinks."
  - A. Yeah.
- Q. So the error came from saying that Winfred was the one who beat you with a strap?
  - A. Yeah.
- Q. Okay. Thank you, that's all. I wanted to just clear that up for the Court.
- When you were living with your mom, and Winfred, and Alan, did you live near some people, last name was the Reyers?

- Α. Yes. 1 R-E-Y-E-R, I believe it is. Do you know whether Ο. 2 they were related to Winfred? 3 Maybe by marriage. Α. 4 Okay. Was there a Brenda Reyer? Ο. 5 Yeah. Α. 6 And was she older than you? 7 Ο. Yeah. Α. 8 And what time --Q. 9 MR. WHITE: This is leading, leading, 10 leading. 11 Don't lead the witness. THE COURT: 12 13 MR. VOISIN: Okay, Your Honor. BY MR. VOISIN: 14 What type of relationship did Winfred have with 15 her, with Brenda Reyer? 16 I would tell you it was sexual. 17 Α. Okay. And how old was she? 18 Ο. I'm quessing around 16, 18, quessing. 19 Α. Are you aware of any other inappropriate 20 Ο. contact, conduct that Brenda had with you or anyone else 21 22 in your family? 23 Α. Man, this is a real -- kind of things right now 24 I'm talking in front of a bunch of people I don't like
  - A. Man, this is a real -- kind of things right now I'm talking in front of a bunch of people I don't like talking about that. But, I mean, now, as you are older, it was childhood rape. So, I mean, if that's what you are asking.

25

26

27

28

29

Q. Yes, I wonder if you -- I know it's a delicate event, but if you could describe what happened.

- A. They would -- well, when I say they, which means all the Reyer sisters, I believe there was three of them, they would all play with you and suck your penis, do things of sexual -- sexual things.
  - Q. How often did that happen?
- A. I don't recall how often it happened. But it happened more than once and more than twice.
- Q. And who else -- was Alan around or present for any of this?
- A. I believe we would go in two different bedrooms, yes.
  - Q. And who would do this to you?
  - A. Marie, Mary, Brenda.
  - Q. Where would -- you mentioned Winfred being involved with Brenda, where would they have sex?
    - A. He had a van.
    - Q. And where would the van be?
    - A. In the parking lot.
    - Q. Where would -- the parking lot where?
    - A. Right outside in front of the house.
- Q. Of your house?
- A. Yeah.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

23

24

25

26

- Q. Where was your mom at the time?
- A. She was working.
- Q. Did you tell any adult at the time about what the Reyer girls had done?
- A. No.
- Q. Did anyone tell your mother about Winfred and Brenda?

Α. No. 1 Not that you recall? 2 0. No. 3 Α. Was this happening before or after you went to 0. 4 Alaska for the second time? 5 I don't think it happened the first time, but I 6 think it was the second. We were older, and I might have 7 been 12. 8 Okay. When you went to Alaska for the second 0. 9 time, did Alan go with you? 10 Α. Yes. 11 Now, you have a sister, Amanda; is that correct? Q. 12 Α. Yes. 13 How old were you when she was born? 14 Ο. I think I'm 12 years older, maybe more. Α. 15 And how old was she when you moved away? 16 0. She was one. Α. 17 And how many times did you see her after you 18 Ο. 19 moved away? Not until Leon got married. 20 Α. When would that be, have been? 21 Q. I don't know the exact date offhand. Α. 22 Ο. More than ten years? 23 Α. Oh, yeah. 24 How would you compare your life in Alaska to 25 Ο. 26 what the situation you had in Mississippi? 27 Α. We had a pretty good life. It was a pretty good childhood. 28

29

Q.

In Alaska?

- I had good schooling. It was pretty good. Α. 1 How about your family situation with your dad? 2 0. It was a pretty good life. My stepmother, my Α. 3 older brothers and -- it was -- I wouldn't pass it up for 4 the world. 5 And when Alan returned after you went up and Ο. 6 stayed there permanently, why didn't you return? 7 I didn't even know Alan was leaving. So I was Α. 8 riding my bike around the neighborhood. 9 Did you know Alan's friends from the 0. 10 neighborhood, like the Maloneys or --11 Α. Yeah. 12 What did you know about them? 13 0. Oh, we got in a fight lots of times. I mean, 14 Α. sun up/sun down. 15 What kind of influence did they have on Alan? 16 0. Childhood friends in the neighborhood. We would 17 Α. be corrupt and do things together. 18 How did they compare to like the friends you 19 were able to find in Alaska? 20 Objection to the relevance MR. WHITE: 21 between his friends and the friends he had in 22 Alaska and the -- these were not Alan's friends 23 24 we're talking about. 25 THE COURT: I sustain that objection. Ιf you want to answer it for your proffer you may. 26 27 BY MR. VOISIN:

28

29

is?

Do you know who Robin Saucier or Robin Marroy

Α. Yeah. 1 How long have you known her? Q. 2 Since they first moved next door. Α. 3 That was in Mississippi? Ο. 4 Yes. 5 Α. About how old were you? Q. 6 I'm quessing around 11 or 12. 7 Α. And about how old was she? 8 0. I think she was maybe a year younger, possibly a 9 Α. year and a half, maybe two. 10 Were you two like boyfriend and girlfriend? 0. 11 I don't know if you would call it boyfriend and Α. 12 girlfriend, but I would like to think so back then, you 13 know. 14 And who was Leroy Marroy? 15 0. He was an older man that lived two houses down Α. 16 from us. 17 And what was his relationship to Robin? 18 0. At the time I was there, I'm going to tell you 19 Α. there was -- I don't think there was a relationship. 20 Now, before -- when Alan was arrested in Okay. 21 1990, where were you living? 22 I was in Alaska. 23 Did Alan's lawyers contact you to talk to you 24 Ο. about Alan? 25 Α. No. 26 27 0. Would you have spoken to them if they had 28 contacted you?

Yeah, they would have contacted me, but yes, I

29

Α.

# Terry Walker - Cross-Examination

would have spoke to them. 1 2 they would have asked you to? 3 4 I would have came. 5 6 7 cross-examine. 8 9 10 11 12 13 14 15 Α. Yeah. 16 Ο. 17

Would you have come to testify on his behalf if

I was in Alaska, he is in Mississippi, and yes,

MR. VOISIN: Beg the Court's indulgence. don't have anymore questions. Mr. White will

THE COURT: Cross-examination.

#### CROSS-EXAMINATION

#### BY MR. WHITE:

- Mr. Walker, I'm Marvin White with the attorney general's office. I just want to ask you a few questions. I think you said you went to Alaska and you started the 7th grade?
  - And you never came back?
- I came back once after that when Leon got Α. married.
  - Yeah, but that was what, 20 years later? Ο.
  - Yeah. Α.

18

19

20

21

22

23

24

25

26

27

28

- So you really didn't -- after you moved up there, I guess you were what, 11 or 12?
  - Yes, sir. Α.
- And you didn't see Alan for any length of time after that, right?
- I was living in Cold Bay, Alaska and I purchased him a place to live in Alaska, and he got a job at Safeway. And I don't know how long he stayed there, but

## Terry Walker - Cross-Examination

I'm guessing it was '89, and I just seen him for a short period of time then.

- Q. Okay. So he came back up there, you got him a place to stay and everything and got him a job, and he came up and then just left, huh?
- A. No, he worked there and he didn't like it. He wanted to live back here, I quess.
  - Q. Just left, just came on back and didn't --
  - A. Left for sure.
  - O. And that was in '89?
- A. I'm guessing '89, '90, before this crime happened.
- Q. Now, I know you didn't want to talk about it much, but that thing about the sisters, were you in the same room with Alan when this happened?
  - A. No.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

- Q. So you don't know what happened to Alan?
- A. No.
- Q. And you said -- how old were you then?
- A. I wasn't old enough to have sex. So, I don't know, guessing around 11, 12, younger.
  - Q. Alan is how much older than you?
  - A. He was 13.
  - Q. Okay.
  - A. Twelve.
  - Q. Did you tell your mother?
- 27 A. No.
- 28 Q. Why not?
- A. I don't know.

## Terry Walker - Cross-Examination

- Q. Alan tell your mother?
- 2 A. No.

3

5

6

7

8

9

10

11

12

13

14

17

18

19

20

21

22

23

- Q. And this happened more than once?
- A. Oh, yeah.
  - Q. Did they threaten you or force you in any way?
  - A. No. I don't recall. Nope.
    - Q. And these girls, how old were they?
    - A. I think every one of them was older than I was.
    - Q. But, I mean, they weren't grownups, were they, they were just older kids?
      - A. Yeah.
    - Q. Okay. Now, you say that -- were you ever beaten by your mother?
      - A. I've been spanked.
- Q. I know what a spanking is. I'm talking about abused by your mother?
  - A. I've been spanked with a leather strap.
  - O. A belt?
  - A. Well, you can call it a belt, but, I mean, I've been spanked by a leather strap and a switch across the street.
    - Q. You call it abuse?
  - A. Yeah.
  - Q. You do. You have children?
- 25 A. No.
- Q. So you've never had to discipline a child?
- 27 A. No.
- Q. Okay. Yet earlier you said it was not abusive,
  yet I guess that was when you were talking about Winfred

was never abusive to you? 1 I just -- he was never physically. Α. True. 2 don't remember Winfred ever hitting me or putting his 3 hands on me. I don't recall that. 4 And you never saw him do anything to Alan? 5 Ο. Not that I remember. Α. 6 Do you know who I'm talking about when I say 7 Jack Collins? 8 I don't know him. 9 Α. MR. WHITE: Court's indulgence. No further 10 questions, Your Honor. 11 THE COURT: Redirect? 12 REDIRECT EXAMINATION 13 BY MR. VOISIN: 14 Just a couple of questions, Mr. Walker. 15 White asked if you had moved back to Alaska the second 16 time for good, whether that was for the seventh grade. 17 I think I moved to Alaska three times. 18 19 to school in the second, I went to school in the fifth, and the next time I came back I was in the seventh. 20 Okay. And do you recall when the incidents with 21 the Reyer sisters would have taken place, would that have 22 been before the 7th grade? 23 I'm going to guess it's between the fifth grade 24 to the -- to the time I left for Alaska. 25 And that would have been for the seventh grade? 26 0. 27 Α. Yeah. MR. VOISIN: Thank you. No further 28 questions, Your Honor. 29

#### Terry Walker - Examination by the Court THE COURT: Do y'all anticipate -- is Leon 1 Frederick going to testify? 2 MR. CRAIG: We do not anticipate calling 3 him. 4 Do the parties object to me THE COURT: 5 asking this witness any questions? 6 MR. CRAIG: Not at all. 7 I just want to get some things THE COURT: 8 right in my mind. Mr. White, do you have any 9 objections? 10 MR. WHITE: (Shakes head negatively). 11 THE COURT: He says no. 12 EXAMINATION 13 BY THE COURT: 14 Mr. Walker, Leon Frederick is your half brother? 15 0. Α. Yes. 16 How much younger is he than you? Ο. 17 Seven years. 18 Α. Was he living -- do you have any relationship 19 Ο. with him now? 20 21 Α. Yeah. 0. Call, talk -- where does he live? 22 Α. He lives --23 In Mississippi? 24 Ο. Well, he did live in Colorado, but I don't know 25 Α. 26 if he is moving here. So when you say you have a relationship, 27 Christmas, Thanksgiving? 28 He works for me. 29 Α.

- Q. He works for you. All right. Is he married?
- A. No, his wife passed away.
- Q. Okay. Does he have children?
- A. Yes, he has two.
- Q. How many times was he married?
- A. He's only been married once.
- Q. His wife died while they were married?
- A. She had a heart attack, yes.
- Q. About how long ago was that?
- A. Three years ago.
  - Q. Okay. In 1990, '91, where was Leon living?
- 12 A. He was in Mississippi.
  - Q. Was he living with y'all's common mother?
- 14 A. Yes.

1

2

3

4

5

6

7

8

9

10

11

13

15

16

17

18

19

20

21

22

23

24

25

- Q. And in the same environment, correct me if I'm wrong, but would that be in the same environment which your brother Alan was living, same neighborhood?
  - A. Yes.
  - Q. Same atmosphere?
  - A. Yes.
- Q. And now, Leon moved out of that atmosphere, married, had children, and sustained work up until now when he is working for you?
  - A. Yeah. I helped him get a job in the oil field.
  - Q. I mean, is that a fair thing for me to say?
- A. Yeah.
- Q. Do you know if he had any run-ins with the law?
- A. Leon?
- 29 O. Yeah.

- A. No. Just DUI.
  Q. Misdemeanor?
  - A. Yeah.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

2.8

29

Q. And the paperwork that's in front of you, which was marked as an exhibit for ID, is that 2?

THE REPORTER: Defense 2.

#### BY THE COURT:

- Q. Defense 2. That was signed by you in 2011, I think we established?
  - A. 2012.
  - Q. September 26th, 2011 is the date on it?
- A. Yeah.
- Q. Where were you when that was prepared, do you know?
  - A. I was in Colorado.
  - O. Did someone send that to you?
  - A. Yeah.
  - Q. Do you know who prepared that?
  - A. I thought the attorney/investigator.
  - Q. Did you read it before you signed it?
- A. Yeah, pretty much everything on here right now. Just after going over to review the case and actually talking to them on the telephone, it's as a child I didn't remember of Winfred ever -- I mean, I was scared of him, but I don't recall him ever touching me. I just -- I was pretty young.
- Q. So part of that Paragraph 8 that Mr. Voisin was talking to you about, that's wrong?
  - A. Yeah.

- Q. Okay. But if you had read that thoroughly in 2011, do you think you would have told whoever sent it to you, said, that's wrong, I can't sign that part, or what?
- A. Yeah. I mean, I was talking to him on the telephone, but then after later, thinking about it, I just -- I don't -- you know what I'm saying, I don't recall that. It's been so long.
  - Q. All right.

THE COURT: If that generates any questions from either party, now is the opportunity you all have to follow up. I have another note here.

#### BY THE COURT:

- Q. In 1989, 1990, I think it was the timeframe you said that your brother came to live with you in Alaska. You had made arrangements for him to live.
- A. I was in a bush town, and he was in Anchorage. I lived in Cold Bay, Alaska, and I worked out of a bush town, which means I had three hots and a cot. I worked and I didn't transfer to Anchorage until 1992. And I didn't live in Anchorage, my father lived in Anchorage, my brothers, but I lived in a bush town. The only way to get there is to fly.
- Q. But in that timeframe, was Alan living -- your brother living in Alaska, in Anchorage?
- A. I purchased him a place, an apartment to stay in. I think I paid one or two months, and he paid the rest.
  - Q. How long was he in Alaska in that third trip of

his lifetime to stay in Alaska?

- A. That third trip now, that's when I was a child, in seventh grade?
- Q. Let me try again. 1989, 1990, Alan was in Alaska; is that true?
- A. Yes. I believe he finished out the lease agreement with the apartment.
  - Q. Which would be about how long?
  - A. I'm guessing six or seven months.
  - O. But y'all were in different cities?
  - A. Yeah.
    - Q. He is in Anchorage, you are in a bush town?
- A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Would y'all have occasion to see each other on weekends?
  - A. No.
    - Q. On occasions, anything like that?
- A. I think I came to town once or twice. Within three months, I'm pretty positive we came in and seen each other. In three months, he was working. I think I stayed with my father once or twice.
- Q. Once or twice within that timeframe he is in Alaska?
  - A. Yeah.
- Q. The only other time you might have seen him before that is earlier in the '80s?
  - A. Yeah.
  - Q. When you stayed and he went back to Mississippi?
  - A. Yeah.

# Terry Walker - Further Redirect Examination

- Q. Is that true?
- A. Yeah.

- Q. When you were around him in Alaska in late '80s, for a little bit of time you got to spend with him, could you tell any differences in his behavior any that gave you suspicion or alarmed you in any way?
  - A. Not in my recollection, no.
- Q. Did he -- I mean, can you -- do you recall his behavior?
  - A. I thought it was the same.

THE COURT: That's all the questions I have. Y'all may follow up.

FURTHER REDIRECT EXAMINATION

#### BY MR. VOISIN:

- Q. Just a couple quick questions, Your Honor. Mr Walker, just to mention that affidavit, you know, we talked about. No one pressured you to sign that?
  - A. No.
  - Q. Do you remember who you had contact with?
- A. I think it was the investigator, and we went over that on the Winfred thing, but as later comes around, I just started thinking about it. I just never recall when that happened. So if you are asking me right now if I've got spanked by Winfred, maybe I have. I would have to ask him, but -- and I thought as a child when we had this conversation back in, which is four years ago, so I changed that. I thought about it. I didn't recall getting spanked, if that's what you are asking.

## Terry Walker - Re-Cross-Examination

MR. VOISIN: That's it. Thank you very much. That's all the questions.

THE COURT: I wasn't trying to imply that someone deliberately created information in the affidavit for him to sign. I just wanted to understand that he's impeached, perhaps, his own affidavit, for what that's worth. Mr. White?

#### RE-CROSS-EXAMINATION

#### BY MR. WHITE:

- Q. So you really had, until this time that he came up and you got him the apartment from all of those developmental years in the time whenever you went to Alaska to stay permanently, until you saw him there, you really didn't see him, you didn't grow up with him, you don't know who his friends were, things like that, right?
  - A. Yep.
- Q. So you really didn't know him, did you, other than just being your brother?
- A. Yeah. I mean, we talked, but yeah, we -- it's not like we grew up together.
  - MR. WHITE: Thank you. No further questions.

THE COURT: You may step down, Mr. Walker.

MR. CRAIG: Your Honor, we do propose, on second reflection, to call Leon Frederick, who I believe is still here. Your Honor had some questions about him, and we just didn't want to be cumulative, but I can be very brief with him. And that would give the Court an opportunity, if

it wanted to. So Leon Frederick, unless the 1 Court wants to take a break now. 2 3 THE COURT: Anybody need a quick break? 4 Mr. Voisin says yes. Let's take about a ten 5 minute recess. 6 (Recess) 7 MR. CRAIG: Your Honor, the petitioner calls Leon Frederick as our next witness. 8 Mr. Frederick, sit up close to 9 THE COURT: 10 the microphone, allow the lawyers to finish their questions before you answer, and make sure 11 12 you respond yes or no or verbally so that the 13 court reporter can understand what you are 14 responding, okay? 15 THE WITNESS: Yes. 16 LEON FREDERICK 17 Having been duly sworn testified as follows: 18 DIRECT EXAMINATION BY MR. CRAIG: 19 20 0. Thank you, Your Honor. What is your name, sir? 21 Α. Leon Frederick. 22 Q. And when were you born? Α. 23 1973. 24 0. Who are your parents? 25 Α. Anita Frederick and Winfred Frederick. 26 Do you have brothers and sisters? 0. 27 Α. Yes. 28 Q. Who are your brothers and sisters? 29 Α. Troy Carpenter, Michael Barton.

1	MR. WHITE: I'm sorry, I can't hear.
2	BY MR. CRAIG:
3	Q. Can you speak up a little bit, please?
4	THE COURT: Move the microphone closer to
5	you.
6	A. Brenda and Brent, and then I've got Alan, Terry,
7	and then I've got Amanda and Brent.
8	Q. Are there two Brents or just one?
9	A. I have brothers and sisters on my dad side and
10	brothers and sisters on my mom's side.
11	Q. I see. So there is I wanted to make sure I
12	have this right. I have Brenda, Brent, Alan, Terry, and
13	Brent?
14	A. Yeah.
15	Q. Okay. I wanted to make sure I wasn't mishearing
16	that. And have you lived with well, let me ask you
17	this, when did you leave your parents' home, how old were
18	you when you left your parents' home?
19	A. When I was 18.
20	Q. So between the time you were born to the time
21	you were 18, which parent were you living with?
22	A. My mom had custody of me, but I would stay at my
23	mom's and my dad's.
24	Q. Okay. And you were born in 1974. Was there a
25	time after you were born that your parents were divorced?
26	A. What is it?
27	Q. When Winfred Frederick and Anita Frederick were
28	divorced, are you old enough to remember that?
29	A. Yes.

- Q. And where were you living with both of your parents, Winfred and Anita?
- A. Off of 28th Street, until my dad moved into his house.
  - Q. 28th Street in what city?
  - A. North of Long Beach. It's just Harrison County.
  - Q. North of Long Beach, it's unincorporated?
  - A. It's not a city.
  - Q. Okay.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

- A. It's city school district, but it's Harrison County.
- Q. And then after living in Long Beach, where did you live?
  - A. After Long Beach?
- Q. Yes, sir. I'm going to call that Long Beach, even though I understand what you just said.
- A. I moved to Gulfport, then I moved to Florida, moved to New York, moved to Alaska. And then I moved to Colorado, and lived in Texas.
- Q. Okay. Did you ever live with your mom back close to Turner Road back in Long Beach after Gulfport?
  - A. Yeah.
- Q. Okay. So it would have been Gulfport, Long Beach, Gulfport, then Florida?
- A. I moved to Florida, or then I left Florida and I went --
  - MR. WHITE: Your Honor, could we have some context of time? I have, you know --
- MR. CRAIG: That's what.

MR. WHITE: Dates.

1

2

3

4

5

6

7

8

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

THE COURT: Go ahead and answer it.

A. I moved about every two years. So we're talking probably 25 years, we moved probably 12 times.

BY MR. CRAIG:

- Q. So if I told you that your mom and dad divorced in 1979, would you have moved away from Long Beach the first time sometime shortly after that?
  - A. No, I moved after I was 18.
- Q. You didn't move out of Long Beach until you were 11 18?
  - A. Yes.
    - Q. And how old were you when you lived in Gulfport?
  - A. When I was living with my mom?
  - Q. Yes, sir.
  - A. Probably around 11, 12. I'm not exactly sure.
  - Q. Okay. So you lived?
  - A. I was in elementary.
  - Q. Yes, sir. So just to make sure I understand you correctly, you lived in Long Beach from the time you were born until you were maybe about 11?
    - A. Yes.
  - Q. Then you lived in Gulfport with your mom for a while. And then back in Long Beach until you were 18?
    - A. Yes.
    - Q. Is that correct?
- 27 A. Yes.
- Q. And do you remember about how old you were when you moved to Gulfport and when you moved back to Long

Beach from Gulfport?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

2.0

21

22

23

2.4

25

26

27

28

29

- A. I would have to think about stuff, I mean, because I moved so much.
- Q. It's hard for you to remember, okay. You were 18 -- you were a senior in high school when you testified in your brother Alan's trial in Vicksburg; is that correct?
  - A. Yes.
- Q. Okay. So in what -- I want to talk to you about -- I want you to focus, please, on the time period before then. The time before Alan was arrested. So do you understand that part?
  - A. Yes.
  - Q. Okay. Great. How much older is Alan than you?
- A. I think it's six years or seven years I'm older than my sister, and Terry I think is six years older, and Alan is -- I'm not --
- Q. I shouldn't have asked you like that. Alan was born in '65, you were born in '74.

THE COURT: Mr. Frederick, keep your voice up and speak clearly.

BY MR. CRAIG:

- Q. First of all, I want to ask you about your brother's friend, your brother Alan's friends.
  - A. Yes.
- Q. I understand you were much younger than him at the time. But what -- do you remember who some of Alan's friends were that were his own age?
  - A. Yes.

- Who were some of the people that were Alan's friends that were his own age in either Long Beach or Gulfport?
- I would say the Maloneys and Davenports and Α. Castleberrys.
  - Okav. Go ahead. 0.
  - The Collins. Α.
- So you are using the plural, which I 0. Okay. There is more than one Maloney? understand.
  - Yeah. Α.

1

2

3

4

5

6

7

8

9

10

11

12

14

15

16

17

18

20

21

22

23

24

25

26

- Who were the Maloneys, what were their names? 0.
- There is a dad and the two sons, Dwayne and Α. Donald. 13
  - Dwayne and Donald were the two sons? 0.
  - Yes. Α.
  - Who was the father? 0.
    - Just a minute. Α.
  - Yeah, take your time. 0.
- I think it might have been Duke. Α. 19
  - Duke, okay. The Davenports, what would be the 0. name of any Davenports that were your brother Alan's age?
    - Α. Billy, Billy Davenport.
  - 0. Okay. And Castleberrys, who would have been the Castleberrys?
    - I would say Aaron Castleberry. Α.
  - That was the one that was your brother's age? Q.
    - Yes, sir. Α.
- Excellent. Thank you. And Collins, what would 28 0. 29 have been the name of the Collins that was your brother's

# <u> Leon Frederick - Direct Examination</u>

1 age? Little Jack was younger than big Jack, he Jack. 2 was a guy he hung out with, too. 3 So was big Jack Collins the father of little Ο. 4 Jack Collins? 5 Α. Yes. Yes? 7 0. Α. Yes. 8 And all of these boys that were your brother's Ο. 9 age were older than you? 10 Α. Yes. 11 They were much older than you? 12 Q. 13 Α. Little Jack might be younger than me. Do you remember anything about them? Ο. Okay. Do 14 you remember what kind of things they did together? I'm 15 talking about the boys that were Alan's age, first. We 16 will talk about the men later. What kinds of things did 17 18 they do together? I would say go fishing, work on cars, and go to 19 the river and stuff. And socialize. 20 21 Q. Okay. 22 Α. Hang out. 23 Q. When they were socializing, did they do any drinking? 24 25 Α. Yes. 26 MR. WHITE: Your Honor, only if he was 27 there and saw them do it. THE COURT: 28 Lay a predicate.

29

BY MR. CRAIG:

- Q. Yes, I'm sorry. Were you ever present for the activities that Alan and the boys that were Alan's age would do together, were you yourself personally present?
- A. If it was at the house, probably so, but other than that, probably not.
- Q. So at the lake, somebody your age wouldn't have gone down while the older boys were there?
- A. I had my friends. We would go down there, too. It wasn't restricted to a certain group of people, so.
- Q. Okay. Would you ever be down at the lake with your friends when Alan and these friends that you mentioned would be down there?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. And when you were down there with your friends and Alan and his friends were at the lake, socializing, tell the Court whether or not you saw Alan and his friends drinking?
  - A. I wouldn't say all the time, but sometimes.
  - Q. Sometimes. What would they drink?
  - A. Probably quarts.
- Q. Quarts?
  - A. You buy case of quarts back in them days.
  - Q. What kind of -- I apologize for my ignorance. What kind of beverage is a quarts?
    - A. Like Budweiser.
    - Q. I see, a quart of Budweiser?
- A. Yeah.
- Q. Did you -- tell the Court whether or not, when you were down at the lake and saw Alan and his friends

# <u> Leon Frederick - Direct Examination</u>

down at the lake, you saw Alan and his friends smoking pot or using any other kinds of illegal drugs?

A. At that time?

- Q. Yes, sir, which was when you were younger.
- A. I know a lot of people did things, but I never actually got to see it because I was still younger. But I've heard of it.
  - Q. Yes, but I asked you what you saw.
- A. I seen people smoke cigarettes and stuff. I know I seen them drink and smoke cigarettes.
  - Q. Is that all that you remember seeing?
  - A. I mean, I didn't smoke weed at that time.
  - Q. I understand that.
- A. But, I mean, there were cigarettes that were rolled up.
- Q. I'm not saying one way or the other. I'm just asking you what you remember seeing?
- A. I couldn't say yes or no that they were smoking weed, but I know they were smoking.
- Q. Okay. Fair enough. Thank you. Now, let me ask you about two of these older men that you talked about, Duke Maloney and big Jack Collins. You said they were the fathers of boys that were your brother Alan's, is that -- am I getting that correct?
  - A. Yes.
- Q. Did you see them socializing, hanging out with the boys that were your brother Alan's age, including Alan?
  - A. Like my friends hanging out?

- Q. Not your friends. Let's take them one at a time. Big Jack Collins, he had a son, Little Jack, who was younger than you I think you said.
  - A. Yes.

- Q. Did you have occasion to see big Jack Collins, the older man, socializing, hanging out with the boys that were Alan's age?
  - A. Yes.
  - Q. And then what about Duke Maloney?
  - A. He was kind of like somebody like Big Jack.
- Q. Okay. Would he also socialize with the younger -- not the younger boys, but the boys your brother's age?
  - A. Yes.
- Q. And I'm only asking you now for what you yourself know or what you saw, or heard what you yourself got out of your five senses, Mr. Frederick, but do you know about any illegal activity that big Jack Collins was involved with, either with or without the boys that were your brother's age?
- A. He was kind of like a group -- like a leader, kind of like. I mean, he was like the leader of the pack kind of. What it seemed like.
  - Q. Big Jack Collins was the leader of the pack?
  - A. Or like the group of people kind of like.
- Q. And do you recall, again, this is out of what you yourself saw, or were around?
  - A. He wasn't like a follower, he was like a leader.
- Q. He was a leader. Would he do any criminal activity that the boys your brother's age would be

#### involved in? 1 There was -- I would say there was stealing and Α. 2 3 stuff going on. Ο. That you knew about? 4 Yes. But I never seen it. Α. 5 Q. That was my question. 6 I'm sorry, I didn't hear what MR. WHITE: 7 And then he said that he never saw it. he said. 8 MR. CRAIG: He said there was stealing, but 9 "I didn't see it." But my question was 10 specifically whether he saw it out of his own 11 knowledge. 12 BY MR. CRAIG: 13 Can I re-ask my question, Mr. Frederick, did you 14 see any activity by big Jack Collins? 15 Α. No. 16 That was involved with stealing or taking? 17 0. No, it was hearsay, I guess that's what you call 18 Α. 19 it. That is what you call it. And that's why I'm 20 Ο. 21 asking it this way. What about Mr. Davenport -- not Mr. Davenport, Mr. Maloney. Did you ever see him involved in 22 23 any criminal activity? Which one, the Maloneys you said? 24 Yes. I'm sorry, I probably misstated. 25 Ο. Duke

- Α. Duke Maloney?
- Yes, sir. Q.

Maloney?

26

27

28

29

I would say probably only criminal. Α.

THE COURT: I'm having trouble understanding you. If I can't understand the witness, then it's falling on deaf ears, so it's your responsibility to make sure the witness is speaking clearly.

BY MR. CRAIG:

- Q. Please speak up as loudly as you can.
- A. I have a bad habit of speaking low.
- Q. You speak low and you mumble a little bit toward the end. So try to keep your tone up as much as you can.
- A. I would say the only thing I would say Duke probably did illegal that I know of was probably smoke weed.
  - Q. Did you actually see him smoking marijuana?
  - A. Yes.

- Q. You did. And so, obviously, he smoked it in front of --
- A. I think he did it for pain. Like where I'm from, in Colorado, it's a pain medication now.
- Q. But aren't we talking about -- I was asking you about the time before 1990, right, and is that when you saw him smoking marijuana?
- A. Yeah. He's -- always seen them smoking weed over there.
- Q. Okay. You used to always see them smoking weed over there?
  - A. Like Duke and them.
  - Q. Duke Maloney specifically?
  - A. Yes.

- O. What about Jack Collins?
- A. Around that time, probably no. After the fact, yes.
- Q. After the fact we're not worried about, but thank you for your testimony. What kind of man -- what kind of father, and by this I mean discipline wise, what kind of disciplinarian was your father, Winfred Frederick?
- A. If he said something, you'd do it. And he was -- I never gotten whipped from him except maybe once in a blue moon. But if you made him mad, he would whoop you.
  - Q. And how would he whoop you? What would he use?
  - A. With his hand.
- Q. What about your mother, was your mother a disciplinarian?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. And what would your mother do?
- A. She would use a belt.
- Q. She would use a belt? That was yes?
- A. Yes.
- Q. You went to the trial, Alan's trial. You were only -- were you in the courtroom during anything except for when you testified?
- A. I never was on the stand or anything. I just sat back here in the back like everybody else.
- Q. You don't remember testifying about being 18 years old?
  - A. I never --
  - Q. Okay. So do you remember any -- did you ever

meet, and I just mean meet to say hello, even, the people who were Alan Walker's lawyers during his trial in 1991?

- A. I wasn't asked no questions from the lawyers or anybody.
- Q. But did you meet them and shake their hand, did they ever say, hi, I'm your brother's lawyer?
- A. My mom met them because we went to Vicksburg and stayed in a hotel, I remember that. And then going and sitting in the bleacher, the little wooden things back there.
- Q. Okay. And you were 18 at the time that this happened?
  - A. Yes.
  - Q. At the time the trial happened?
  - A. Yes.

MR. CRAIG: Court's indulgence. Thank you, Your Honor, for the indulgence.

BY MR. CRAIG:

- Q. So, Mr. Frederick, and just drawing the parties' attention to Page 1,609 and following of the trial transcript. If I were to tell you, Mr. Frederick, that according to the trial transcript, you very briefly did testify in front of the jury, you don't remember that at all?
  - A. I never did testify.
  - Q. Are you sure you never did testify?
  - A. I am now.
  - Q. Yes. Okay. You are now.
- A. Yes.

You're absolutely right. And you said that your 1 mom did meet Alan's attorneys in Vicksburg? 2 Α. Yes. 3 Do you remember your mom meeting Alan's 0. 4 attorneys any time before the trial in Vicksburg? 5 I remember her meeting the judge. The judge 6 paid her \$50 bucks and then meeting and talking to the 7 But I never -- from what I lawyers and stuff. 8 understand, they were kind of crooked. 9 I'm not asking for your opinion of that I'm 10 0. sorry to say. I'm just asking you, so you are talking 11 about travel money to go to Vicksburg, \$50? 12 No, that was just, I quess -- I don't know what 13 Α. it was for. He just gave it to her. That's all I 14 remember. 15 I want to make sure I THE COURT: 16 understand. Are you saying that the judge in 17 Vicksburg paid your mother \$50? 18 I don't know, he just gave it to her. 19 Α. Yeah. 20 Maybe just a courtesy. Or --I don't know where to go with 21 THE COURT: He doesn't remember testifying. The record 22 23 is replete with his testimony. MR. CRAIG: Yes. 24 I was --25 Maybe just being generous as I look at it. Α. I don't know anything about 26 MR. CRAIG: 27 that, Mr. Frederick, but thank you. 28 BY MR. CRAIG:

I asked you about -- let me just come back very

29

Q.

briefly to Duke Maloney and big Jack Collins. I asked about other activities. Did you see either Jack, big Jack Collins, or Duke Maloney drinking alcohol with Alan and the boys Alan's age?

- A. I don't remember seeing it like everybody. I know like when they go to the rivers or go to the lake that they drink. And if they're over at the house at my mom's place I would see them drink.
- Q. Would that include big Jack Collins and Duke Maloney?
- A. I don't really recall big Jack coming over to the house a lot. But I know they would go over to their house.
- Q. They being Alan and his friends would go over to Jack, big Jack Collins' house?
  - A. Yes.
  - Q. But you wouldn't go with him?
  - A. I know -- it's hard to say.
- Q. No, it's just what you remember. We just want you to say what you truthfully remember.
  - A. I honestly don't remember.
  - Q. Okay. That answers the question.

MR. CRAIG: We tender the witness, Your Honor. Please answer the prosecutor's questions if they have any.

THE COURT: Mr. White?

MR. WHITE: May I approach the witness,

Your Honor?

THE COURT: Sure.

## Leon Frederick - Cross-Examination

#### CROSS-EXAMINATION 1 BY MR. WHITE: 2 I'm Marvin White, the attorney general 0. 3 assistant. Would you mind looking at that, please? 4 May I ask what it is, sir? MR. CRAIG: 5 MR. WHITE: It's the transcript of this 6 7 record. MR. CRAIG: Okay. 8 BY MR. WHITE: 9 10 0. Okay. You want me to read it and understand it? Α. 11 Do you recognize this? Q. 12 No. Α. 13 You don't recognize it. You don't recognize 14 0. that as your testimony in Vicksburg in court? 15 Α. Uh-uh. 16 17 Were you -- let me ask it this way, were you 0. 18 around Alan a lot with his friends and everything? 19 Α. Sometimes. 20 0. Did you run with them all the time, though? Α. 21 No. 22 So you really don't know what they did all the Q. time? 23 I know if they came over to the house I would 24 see it, like sometimes we might hang out at the river or 25 26 something. Might have a fire or something, or working on 27 their car. That's the times. 28 Did they drink in your house, in your momma's 29 house?

I mean, I

# Leon Frederick - Cross-Examination

I'm not sure. Α. 1 Was that allowed? 0. 2 My dad's house, I would say no. But my mom's Α. 3 house, if she was gone to work or something. 4 didn't see it. As far as I know, I don't know. 5 You don't know. So they wouldn't be just Ο. 6 drinking there all the time then? 7 Α. No. 8 And did they ever smoke marijuana in the house? 9 Ο. As far as I know they didn't. Α. 10 Your indulgence, Your Honor. MR. WHITE: 11 No further questions, Your Honor. 12 THE COURT: Any redirect? 13 MR. CRAIG: No, Your Honor. 14 Frederick, you may step THE COURT: Mr. 15 Thank you. Call your next witness. down. 16 MR. CRAIG: Yes, Your Honor, we call Vera 17 Faye Breland. Judge, if you will recall, Ms. 18 Breland, who was a local witness, was not here 19 this morning. She will need be to sworn. 20 21 (Oath administered by the Court) THE COURT: You may have a seat. 22 23 24

25

26

27

28

29

Breland, I need you to speak up loudly close to the microphone so that everyone can hear you. Allow the lawyers to finish their questions before you answer, and make sure you answer audibly yes or no, or I don't know, and not a head shake so that the court reporter takes everything down, okay?

#### Vera Faye Breland - Direct Examination THE WITNESS: Yes, sir. 1 THE COURT: All right. You are not doing 2 it so far, you have got to speak up. 3 THE WITNESS: Yes, sir. 4 THE COURT: All right. You may continue. 5 VERA FAYE BRELAND 6 Having been duly sworn testified as follows: 7 DIRECT EXAMINATION 8 BY MR. CRAIG: 9 Thank you, Your Honor. Ms. Breland get that 10 microphone as close to you as it will go because you've 11 got kind of a soft voice there. We need to hear you. 12 Α. Not really. 13 Not all the time? Okay, well, let's see. 14 you shook your head, didn't you? So let's go. Please 15 16 tell the Court your full name. My name is Vera Faye Breland. 17 Α. Where do you live, Ms. Breland? Ο. 18 I live at 3004 Audubon Drive, Gulfport, 19 Α. Mississippi. 20 21 0. How long have you lived at that address? 22 Α. Twelve years. Ο. And how long have you lived in Harrison County? 23 Α. 24 About 40 years. Are you working presently? 25 Q. 26 Α. I work three nights a week from 11 to 7, 27 Monday, Tuesday, and Wednesday. 28 0. What kind of work do you do? 29 Α. I'm at Cross Roads Recovery.

- Q. And previously -- well, let me ask this, do you know a woman named Anita Frederick?
  - A. Yes.

2.4

- Q. How do you know Ms. Frederick?
- A. I worked with her at South Mississippi -- at that time -- Retardation Center in Long Beach.
  - Q. Is it called something else now?
  - A. It's South Mississippi Regional Center.
  - Q. Okay. How long have you known Ms. Frederick?
  - A. For at least ten years or more.
- Q. How long did you and Ms. Frederick work together?
- A. More than ten years because I'm retired from the Regional Center after 28 years.
- Q. I may not have asked my question well. How long a period of time did you and Ms. Frederick both work at the mental retardation center?
- A. For at least 20 years. I'm not sure when Ms. Frederick left the center, actually, and that's why I can't give you a time period of that time because I'm not sure. When she retired I was still there. But I don't remember actually when she left.
- Q. Okay. So what I'm trying to do, and I promise this will be the last time I ask it, but I think -- are you thinking I'm asking you how long ago it was that you worked with Ms. Frederick, and that was 20 years ago?
- A. No, sir. If I understand correctly, you were asking me about how long did Ms. Frederick and I work together at the Regional Center.

- Q. Okay. Yes. And that was for 20 years?
- A. At least.
- Q. Okay. And after she retired and/or you retired, did you keep contact with Ms. Frederick?
  - A. Yes.

- Q. How would you keep contact with her?
- A. Well, at different times she would call me.
- Q. Okay. Would you sometimes call her?
- A. Well, only after she maybe have called me and I missed her call and I would answer her back.
- Q. Okay. When you were -- well, let me ask this, did you have a work relationship with Ms. Frederick, was one of you a supervisor and the other one an employee being supervised?
  - A. I was a supervisor.
- Q. Okay. So as her supervisor, did you know whether Ms. Frederick worked more than one job? Did she work outside of that regional health center?
- A. Yes, at different times. I wasn't sure where she was. I knew she worked another job.
- Q. Okay. And did you know that Ms. Frederick -- did Ms. Frederick have young children or children under the age of 18 during the time she worked at the retardation center under your supervision?
  - A. Yes.
  - Q. What children did she have, do you remember?

    MR. WHITE: Your Honor, that's going to be hearsay, unless she can show that she knew that -- or met them or anything. Her just telling

Vera Faye Breland - Direct Examination her she had children, that's just hearsay. 1 I suppose we could have her MR. CRAIG: 2 bring the birth certificates. 3 THE COURT: Overruled. You can answer. 4 I actually, I knew of Amanda and Leon, and I 5 knew of Alan. But those are the only children that I 6 actually knew of. 7 Okay. Did you meet Amanda? Q. 8 Α. Yes. 9 Did you meet Leon? 10 Q. 11 Α. Yes. Q. Did you meet Alan? 12 Α. Yes. 13 Did you meet them at the place where you and Ms. 14 0. Frederick worked, or did you also see them outside work? 15 I saw Amanda quite often because of her being in 16 Α. beauty pageants. Alan and Leon, I only saw frequently. 17 Okay. And when you saw them frequently, would 18 you see them -- did they come to your place of employment 19 to see their mom, or did you see them outside of the 20 21 workplace? I only saw Alan, maybe once or twice, when he 22 Α. 23 came to visit his mom at work. Okay. Did you see Alan and his mom on those 24 occasions interact with each other? 25 26 Α. Yes, sometimes. It was more or less like they 27 would be talking, and sometimes he would be, you know,

playing with his mom, and -- but it was a short visit.

So it's more or less like, you know, him visiting his mom

28

or picking up something from his mother.

Q. Did you see anything on any of t

- Q. Did you see anything on any of those occasions between Alan and his mom that you considered strange or perhaps inappropriate?
- A. Well, at one time I -- he was playing with his mother, and I don't know whether he pinched her because -- I mean, this is actually hearsay because I didn't actually see him.

MR. WHITE: Objection, Your Honor. Not to her knowledge.

MR. CRAIG: I'm retrying it, Your Honor.

BY MR. CRAIG:

- Q. Did you see any touching between Alan and his mother at your workplace?
- A. I only seen him either tickling her or either he pinched her. At that particular time -- and it was more of a playful type situation. But at this same time, I didn't actually see him actually pinch her inappropriately, other than I thought at the time, and speaking with her, you know, it was like he pinched her on her breast, and I thought was inappropriate.
  - Q. So -- okay.
  - A. That's my opinion.

MR. WHITE: I object, Your Honor.

THE COURT: Basis?

MR. WHITE: This is hearsay. She said she did not see it.

THE COURT: I think it's not hearsay, it's

just no foundation.

MR. WHITE: It's no foundation.

THE COURT: I sustain the objection.

#### BY MR. CRAIG:

- Q. Let me ask this question, can you describe physically what you saw? Don't call it anything, but did you see Alan's hand move towards his mother, you yourself with your own eyes?
  - A. No, I can't say I did.
- Q. Okay. Okay. So what did you mean when you said just a second ago that you saw him pinch her but you didn't see him pinch her? I just don't quite understand what you yourself saw. That's what the judge needs to know. If you -- what you didn't see is not for you to testify to today.
- A. Okay. What I was saying was the fact when he was interacting with his mother, it was more or less -- I don't know whether they were tickling or playing, you know, and at the same time, his hand was around her up in here, and that's why I thought when she mentioned or talking with her afterwards. That was her words, that actually was not my words.
  - O. You saw him touch her?
  - A. Yes.
  - O. In that area?
  - A. Yes.
- Q. But whether it was a pinch or a tickle or some other kind of touch, that's what you can't testify to?
  - A. Yes.
  - Q. Okay. Did you have occasion to know how Ms.

Frederick handled the child care for her children while she was working night shifts under your supervision or any shifts under your supervision?

- A. Actually, I believe, and like I said, to know, I can't say I actually know who was actually keeping them, other than I knew Leon at different times was there as being an older child, and I knew different times that Alan was there being an older person. And I don't know whether -- I think at that time Alan might have been married, and maybe his wife was there. But to say I actually know who was actually keeping them, I don't.
- Q. Okay. Do you recall a conversation with Ms. Frederick about concerns about an older man who lived close to them and his interest in Ms. Frederick's younger child?

MR. WHITE: Objection, Your Honor. He is asking her for a conversation about -- that's hearsay.

MR. CRAIG: Yes, I am, Your Honor, but I will not be asking this for the truth of the matter asserted. I will ask it -- this line of questioning will deal with Ms. Frederick's perception, her sense of what is appropriate and not appropriate, and her naivete when it comes to the raising of her children. That's the purpose of the question.

MR. WHITE: And I say that's irrelevant.

MR. CRAIG: It would be the matter that is contained in her affidavit, Your Honor.

1

THE COURT: Ms. Breland's affidavit?

2 3

4

5

6

7

8

9

10

11

12

13

14 15

16

17

18

19

20 21

22

23 24

25

26

27

28

29 Α. Amanda.

MR. CRAIG: Yes, that's correct, sir. Specifically Paragraph 4. THE COURT: You would state that this

question, this line of questioning would be appropriate in front of a trial jury?

Yes, sir. Yes, Your Honor. To MR. CRAIG: establish the mode of Ms. Frederick, Ms. Anita Frederick's naivete and/or lack of sense of appropriate direction of her children and of the people who were around her children, yes, sir.

THE COURT: All right. For what that's worth, and the Court will make a consideration of that at the appropriate time whether it is impactful or not at the appropriate time. will allow you to answer question. So I will overrule the objection.

#### BY MR. CRAIG:

- Ms. Breland, if I could redirect your attention, you remember I was asking you, do you recall a conversation with Anita Frederick about a person who was taking an -- a potential inappropriate interest in Ms. Frederick's younger daughter, Amanda?
- I don't actually remember the neighbor's name, and she basically was speaking to me as a mother to a mother. And what she was speaking was, was the fact that he had give her different gifts.
  - Okay. He give her, which her? Q.

Q. What kinds of gifts?

- A. One gift in particular was he had given her a pair of bikinis or something like that.
- Q. And do you recall approximately how old Amanda would have been at that time?
  - A. Probably about 12.
- Q. Okay. And you don't remember the man's name, but from the conversation, do you remember generally were we talking about an adult man or about a teenager?
- A. He was an adult man because I remember her -- he dealt with horses or something. I don't know his name.
- Q. That's fine. His name is not what I'm asking you. And in that conversation, what was Ms. Frederick's general -- what was her attitude about this man and his buying bikinis for a 12 year old girl.

MR. WHITE: Same objection, Your Honor.

THE COURT: Noted. It's overruled.

- A. At that particular time, she didn't seem as if it was something that she was worried about. And the reasoning for that was the fact that, like any neighbor, I guess he had been there for a while. And she didn't seem as if she was, you know, she was threatened or felt threatened by it.
- Q. And did you disagree with her in that conversation about that?
  - A. Of course.
  - Q. And you told her so?
  - A. Yes.
  - Q. Did she have any particular response to your

saying that that should be a concern?

- A. No. And that was because we have always been able to say whatever we wanted to say to each other and it was not like I was judging her or she didn't feel like I was judging her.
- Q. Do you feel as though -- well, did she say anything to indicate to you that she had changed her mind and agreed with you that it was a concern?
- A. It was another time that it was either said to her or maybe -- again, that was hearsay -- was the fact that this particular person was guilty of peeping in her window or something like that.
  - Q. And at that point, did Ms. Frederick say -- MR. WHITE: Same objection, Your Honor.

THE COURT: I get it. She says it's hearsay, and the Court can consider that in the vein in which it's offered. She admits she has no firsthand knowledge.

MR. WHITE: You told me to object so that it's on the record.

THE COURT: And I'm making my observations about the testimony. I'm not getting on you, Mr. White, for preserving your record. BY MR. CRAIG:

Q. Can you just start again and tell us about that conversation -- that other conversation. Was there another conversation in which Anita Frederick and you talked about this man where she was responding to the concerns that you had raised?

- A. Other than where I said that I felt that the gifts were inappropriate -- the gift that he had given her was inappropriate?
  - Q. Was there another conversation other than that?
- A. Other than the fact that either she heard it or -- she didn't know that to be so. It was either a neighbor told her or something of that nature that this person was guilty of peeping in her window, but it wasn't the fact that she said it as a fact that she knew.
  - Q. Okay. She said it to you?

MR. WHITE: This is double hearsay and we object.

THE COURT: Overruled.

BY MR. CRAIG:

1

2

3

Δ

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. And my question is, whether that happened or not, when Ms. Frederick was talking to you about it, did she show any awareness that that was a problem?
  - A. At that particular time, she did.
  - Q. At that point she saw it as a problem?
  - A. Yes.
  - Q. But the bikini buying she did not?
  - A. No.
- Q. Tell the Court whether you, in terms of Alan Walker himself, did Alan Walker ever do anything to express thoughtfulness to you?
  - A. Yes.
  - Q. What did he do?
- A. It was one time I believe Alan had went to a crab boil or something of that nature, and he had bought

## Vera Faye Breland - Cross-Examination

- a doll, a wooden doll. And I thought that was thoughtful because I didn't feel that we had a relationship, you know. I didn't know him like I knew his mother. And I thought that was thoughtful of him to do that.
- Q. When you say he bought a wooden doll, he then gave it to you?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

MR. CRAIG: We tender the witness, Your Honor. Please answer the prosecutor's question.

THE COURT: Cross?

#### CROSS-EXAMINATION

BY MR. WHITE:

- Q. Ms. Breland; is that correct?
- A. Yes.
- Q. I'm Marvin White with the attorney general's office. Did you know Alan -- how well did you know Alan?
- A. I knew of him, just like a mother would have a son, I knew of him. I can't say I personally knew Alan.
  - Q. You didn't personally know him?
- A. No.
- Q. So you don't know what he was like around home and growing up and out in the neighborhood, all you know is what somebody told you, right?
  - A. Yes, or like mothers talk. That's it.
- Q. Okay.

MR. WHITE: We have no further questions, Your Honor.

THE COURT: Redirect?

#### Vera Faye Breland - Examination by the Court None, Your Honor. MR. CRAIG: 1 There is something unclear in THE COURT: 2 my mind I would Like to ask if you have no 3 objection. 4 No objection, Your Honor. MR. CRAIG: 5 THE COURT: Mr. White? 6 MR. WHITE: No. 7 EXAMINATION 8 BY THE COURT: 9 It has to do with knowing the defendant, Alan 10 I think you said you saw him frequently, but Walker. 11 then if I understood what you said, you saw him maybe 12 once or twice at the regional center? 13 Α. Uh-huh, yes, sir. 14 Did you see him outside of the regional center? 15 0. Only if -- I went by maybe his mother's house 16 Α. and he was there or something of that nature. 17 All right. So maybe what you mean by frequently 18 and what I think of frequently might be two different 19 I see my children frequently, every day. 20 things. Α. 21 Okay, no. Ο. Nothing like that? 22 Α. No. 23 I see people at work frequently because I go to 24

# ups?

THE COURT: Okay. Thank you. Any follow

work every day. But that's not what you are talking

25

26

27

28

29

about?

Α.

No, sir.

None from the petitioner, Your MR. CRAIG: 1 Honor. 2 MR. WHITE: No, Your Honor. 3 THE COURT: You may step down, Ms. Breland. 4 I'm sorry, can I ask one. MR. CRAIG: 5 was one thing following up directly from you, 6 from the Court's questions. 7 REDIRECT EXAMINATION 8 BY MR. CRAIG: 9 Ms. Breland, do you recall whether Mr. Walker 10 has ever been to your house, Mr. Walker being Alan Walker 11 12 here? I don't remember him ever coming to my house. Α. 13 Okay, that's all. Your Honor. MR. CRAIG: 14 You may step down. THE COURT: 15 Your Honor, one other matter 16 MR. CRAIG: related to testimony. The witness, I believe 17 Amanda Frederick, testified that Paula Shavers, 18 who is Amanda's aunt, her father's sister, 19 remember her father is Michael Shavers, is 20 She had given previously an 21 passed away. affidavit in this matter that was attached to 22 23 the petition, both in the Mississippi Supreme Court and I believe in the motion to vacate. 24 is listed in our exhibit list as 19, affidavit 25 of Paula Shavers September 7th, 2011, 26 27 Mississippi Supreme Court Exhibit 19. This witness is obviously not capable of 28

being here. The post-conviction statutes

specifically says that the Court can take notice of affidavits and other matters in the record. Obviously, we understand Ms. Shavers -- the Court is not able to hear Ms. Shavers' testimony. We think that goes to the weight that the Court might give it, but we would tender this affidavit for the Court's consideration to be given the weight the Court deems appropriate.

THE COURT: Mr. White?

MR. WHITE: Well, if it's attached to the petition, it is already before the court.

I'm thinking in my mind. Is my consideration on the mandate from the Supreme Court limited to just what I hear in open court from these witnesses, or am I to consider all the affidavits, even though some of those people may not have testified?

MR. CRAIG: I think the answer, Your Honor, is the latter. I think the Court does consider it under the statute. And I think, for example, when the Court is doing the prejudice inquiry that's in the mandate, the Court will have to, and I'm sure the state will assert, you know, there's going to be stuff in the record, we don't want to have the whole trial.

THE COURT: Sure, I understand. But as far as the investigation and that testimony that, in

your argument, should have been presented to the trial jury, is that limited just to what I hear from this witness box?

MR. WHITE: Yes, sir.

MR. CRAIG: We say no, Your Honor. We're happy to have a short brief on that. But I would concede that the weight to be given paper testimony is -- I mean, I think the Court does things accordingly, but I do think the Court is empowered to consider matters that are in the record that the parties direct the Court. And I understand we have a disagreement with our opponent about that. We're happy to write a brief about it.

THE COURT: Because the Supreme Court could have ruled dispositively on this issue, on the entire issue, based upon the exhibits, I guess, if they thought the exhibits rang the bell of ineffective assistance of counsel without the necessity of trial testimony.

MR. CRAIG: That is also true, Your Honor. I agree with that. But I don't think those are mutually exclusive points.

THE COURT: Mr. White?

MR. WHITE: I was going to say that that's our point all together. Didn't have to come back down here if they could have ruled at that point on this whole thing and not sent it back. They wanted to hear live testimony. If they

don't care to call those other people that they are relying on, we don't consider that they would have been available to have testified at the time, and, therefore, their testimony is not relevant to this hearing. Because I mean, one of the things about the whole thing is, that they — the people not only have to be present to testify, but they have to be willing to testify. And if they're not here to testify, their testimony couldn't have been heard back then, and certainly in a trial they couldn't have given an affidavit or a deposition. They had to be there in person.

THE COURT: If I were to grant, Mr. Craig, your request to consider the affidavit of Ms. Paula Shavers, and I sustain your application or your motion to vacate the sentence, and part of my rationale for doing that is contained -- hypothetically I'm thinking out loud here -- what Ms. Shavers said in her affidavit, how could that testimony of Ms. Shavers be presented to a trial jury?

MR. CRAIG: Well, it could have been in 1991, Your Honor. Ms. Shavers passed away between the trial and now. So that's exactly the point, is that Ms. Shavers — the reason Ms. Shavers is here is not because she doesn't want to testify, it's because she is deceased. So that's why this is in a different category.

Huey L. Bang, RMR, CRR Circuit Court Reporter hueybang@cableone.net

1 That's why I'm offering it in the context of 2 this hearing. The other witnesses testified live, and I think this -- I think this is a 3 different species of situation. 4 MR. WHITE: Could have deposed her. 5 THE COURT: Do you have any other 6 7 witnesses? MR. CRAIG: No. 8 THE COURT: I'm going to reserve ruling on 9 Paula Shavers' affidavit. It's no secret, it's 10 in the record, and I've read it. To what 11 degree, if I let it in, it will have an impact 12 on the Court's ruling, again, I will reserve 13 ruling until later. Specifically whether or not 14 I will specifically consider it as part of this 15 evidentiary hearing's record. 16 MR. CRAIG: We understand, Your Honor. Ι 17 then marked it 3-ID, and that way we can at 18 least keep up with it in the Court's ruling, it 19 will have a way to identify it specifically. 20 THE COURT: With that, the petitioner rests 21 22 today? MR. CRAIG: On the fact witnesses that are 23 not lawyers or experts. 24 All right. THE COURT: 25 (Defense Exhibit 3 marked for identification) 26 THE COURT: Turning back to the matter of 2.7

Dr. Shaffer, Mr. White, have you had occasion to

see the proposed order?

28

1 MR. WHITE: Yes.

THE COURT: Do you have any objection to the timeliness of Dr. Shaffer having completed his examination within -- or no later than 90 days.

MR. WHITE: Leave that up for the Court.

THE COURT: Mr. Voisin, was Dr. Shaffer -excuse me, was Dr. Shaffer prepared to examine
your client back when the motion was argued,
which was in what, November? October?

MR. VOISIN: Yes, Your Honor. He was. We had told him that we were going to -- that initially we had told him that the discovery deadline was 30 days before today, sometime in January. But then whenever the Court denied access for Dr. Shaffer, so we just informed him about that. I think in the meantime, he has picked up some other cases and I did have some e-mails with him. I think he is going to be -- he has several obligations in March, but there may be an opening in March, but we will shoot for April.

THE COURT: Do we need to consider a scheduling order limited to this examination and any rebuttal examination and report?

MR. VOISIN: I think we could have a schedule for him to issue his report. Perhaps 30 days after.

THE COURT: Because I'm trying to work

towards the next hearing date. 1 Maybe 30 days after his MR. VOISIN: Sure. 2 evaluation. Or 90 days to do everything. 3 THE COURT: 90 days to have the evaluation 4 and report to counsel for the state? 5 I think we can shoot for that, MR. VOISIN: 6 yes, sir. 7 Do you want to amend your order THE COURT: 8 to reflect that then, and you can e-mail it? 9 MR. VOISIN: Sure, that will be no problem. 10 Mr. White? THE COURT: 11 My problem is, that's going to MR. WHITE: 12 put -- I don't know how quickly you want after 13 that for us to respond. 14 That's what I'm asking, how THE COURT: 15 long will you --16 I don't know at this point. 17 MR. WHITE: schedule right now, June is -- latter part of 18 June, which this would fall in, 90 days, 30 days 19 after that would fall in the latter part of 20 June, and I'm out of the country. 21 THE COURT: I don't think we will be 22 reconvening the second portion of this 23 examination, this hearing, until late July, 24 probably, with everyone's schedules. Which will 25 be upon us before you know it. But I'm just 26 saying if you want to reserve the right to call, 27 have the state's experts either review the 28

29

report or --

Yes, we do that. MR. WHITE: 1 Then I think you should have 60 THE COURT: 2 days from the receipt of Dr. Shaffer's report. 3 And then maybe we will have -- that's 150 days 4 from now. 5 MR. CRAIG: Right in the middle of July. 6 THE COURT: So let's look at an August 7 hearing date. And about another day of 8 testimony, day and a behalf? 9 MR. CRAIG: It could be two days. So one 10 of our experts is here, Your Honor. And so we 11 were hoping, after my brief consultation with 12 him, perhaps sometime after Labor Day. It could 13 be shortly after Labor Day, the first or second 14 week of September? 15 MR. WHITE: Our expert -- one of our 16 experts is tied up the last two weeks of August. 17 THE COURT: So you are looking at 18 September. I'm in the second district, Biloxi, 19 in September, but we can hear it over there. 20 MR. CRAIG: Certainly. We would not 21 22 object. 23 THE COURT: So why don't y'all just get together and decide a date in September, and I 24 25 will give this a -- clear the calendar for a Tuesday/Wednesday, Wednesday/Thursday, a 26 Thursday/Friday. And we will have to enter 27 another order transporting your client back down 28

for the hearing. Anything else we need to take

1	up?
2	MR. CRAIG: Not for the petitioner, Your
3	Honor.
4	THE COURT: Mr. White, for the state?
5	MR. WHITE: Not today, Your Honor. Thank
6	you.
7	THE COURT: Mr. Sheriff, Mr. Walker will be
8	transported back today or in the morning?
9	DEPUTY: In the morning.
10	THE COURT: All right. We are off the
11	record.
12	
13	(Whereupon the proceedings were concluded)
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	

## Reporter's Certificate

# STATE OF MISSISSIPPI COUNTY OF HARRISON

I, HUEY L. BANG, CSR No. 1147, Official Court
Reporter for the Second Circuit Court District of the
State of Mississippi, do hereby certify that the
foregoing 205 pages constitute, to the best of my skill
and ability, a true and correct transcript of my
stenographic notes of the Hearings had on the 22nd day of
February, 2016 before the HONORABLE CHRISTOPHER L.
SCHMIDT, Circuit Court Judge of the Second Circuit Court
District of the State of Mississippi, being a regular day
in the February Term of Harrison County Circuit Court at
Gulfport.

This is to further certify that I have this date filed the original and one copy of said transcript, along with one CD in PDF language, for inclusion in the record on appeal, with the Clerk of the Circuit Court of Harrison County, Mississippi, and have notified the attorneys of record and the Supreme Court of my actions herein.

WITNESS MY SIGNATURE on this, the 28th day of August, 2018.

HUEY L. BANG, CSR #1147

26 Official Court Reporter

Court Reporter's Fee: \$494.40

1	IN THE CIRCUIT COURT OF HARRISON COUNTY, MISSISSIPPI FIRST JUDICIAL DISTRICT
2	
3	STATE OF MISSISSIPPI
4	NO. 2018-TS-01059
5	VERSUS CAUSE NO. 25,945
6	ALAN DALE WALKER DEFENDANT
7	
8	
9	Transcript of the proceedings had and done in the above
10	styled and numbered cause before the Honorable Christopher L. Schmidt, Circuit Court Judge of the Second Circuit Court
11	District of Mississippi, on December 1, 2016.
12	
13	
14	APPEARANCES: Representing the State:
15	MARVIN WHITE, ESQUIRE JASON L. DAVIS, ESQUIRE
16	Assistant Attorney General P.O. Box 220
17	Jackson, Mississippi 39205
18	
19	
20	Representing the Defendant:  JIM CRAIG, ESQUIRE
21	DAVID PAUL VOISIN, ESQUIRE MacArthur Justice Center
22	4400 S. Carrollton Avenue New Orleans, Louisiana 70119
23	
24	
25	Reported By:
26	Huey L. Bang, CSR #1147, RMR, CRR, Official Court Reporter
27	
28	
29	

Earl Stegall - Direct Examination Good morning, gentlemen. THE COURT: 1 MR. WHITE: Good morning, Your Honor. 2 THE COURT: Are y'all ready to proceed? 3 MR. VOISIN: Yes, Your Honor. 4 THE COURT: Any housekeeping matters we 5 need to take up, anything left unattended to 6 since March -- when were we here? 7 February, Your Honor, I think, MR. CRAIG: 8 but none for the petitioners. 9 By the state? THE COURT: 10 MR. WHITE: No. 11 THE COURT: Mr. Voisin, your witness is 12 13 next? MR. VOISIN: We call Earl Stegall. 14 Mr. Stegall, raise your right THE COURT: 15 hand. 16 (Oath administered by the Court) 17 THE COURT: Have a seat. You may proceed. 18 EARL STEGALL 19 Having been duly sworn testified as follows: 20 DIRECT EXAMINATION 21 BY MR. VOISIN: 22 Morning, Mr. Stegall. Please identify yourself 23 Q. for the record. 24 My name is Earl Stegall. 25 Α. And how is that spelled? 26 Ο. S-T-E-G-A-L-L. 27 Α.

And where do you currently live?

In Biloxi, Mississippi.

Q.

Α.

28

- Q. Are you currently employed?
- A. No.

- Q. Are you retired?
- A. Yeah, I'm basically, you could say -- I had a bad stroke back in 2005, and haven't done anything too much since then.
- Q. Okay. I wanted to ask you about that. You had a stroke. How has that affected your ability to testify at this hearing?
- A. Worst thing is memory. At first I couldn't remember literally my sons names. And but gradually, you know, I've gotten a lot, lot better. At first I couldn't think very clearly or anything. And they thought that I might actually be feeble minded, and I guess somebody could still say that I am. But I got over it, I think, pretty good. And surprised all the doctors as well.
- Q. Okay. Do you think you have sufficient recollection of the facts of this case?
- A. As best I can. I've reviewed things and tried to remember everything, particularly talking with you in recent times, and that refreshed my memory. Now, that's not to say I will remember everything today because I still have problems with memory. But I will do my best.
- Q. Okay. Mr. Stegall, to remind you that if it would help if I could show you some documents to refresh your memory, we could do that.
  - A. If I get to that point I would appreciate that.
- Q. Thank you. Now, Mr. Stegall, I understand at one time you practiced law?

- Earl Stegall Direct Examination That's correct. Α. 1 Beginning in when, what year did you begin to Ο. 2 practice? 3 About '72 or '3. I can't really remember the Α. 4 That's one of the things I have trouble exact time. 5 with, years, for some reason. 6 And about how long did you practice law? 7 Q. About '92 or '3, something like that. Α. 8 Okay. And when you did practice law, did you Q. 9 handle murder cases? 10 I did a lot of them, yes. Uh-huh. 11 Α. Did you handle death penalty cases? 12 Q.
  - A. Did a lot those.
  - Q. And those cases were tried to a jury?
  - A. Yes.

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Now, you said around '92, '93 you stopped practicing law, what happened?
- A. Well, two things happened. One, I was disbarred, and two, I was arrested and charged with a crime that eventually led to my going to jail.
  - Q. Okay. And why were you disbarred?
- A. I was -- collecting a fee they said and not performing the work for two individuals.
  - Q. What were you convicted of?
  - A. Embezzlement.
  - Q. Embezzlement of?
  - A. Of clients funds out of a trust account.
  - Q. And how much time did you serve for that?
    - A. Actual in time was two years, seven months, and

- 18 days in jail. And then after that I was on house arrest until the end of my sentence.
- Q. Now, Mr. Stegall, did you represent Alan Walker in his capital trial?
  - A. That's correct.

- Q. And some of the problems you were having took place, like if you were disbarred in '92 or '93, some of the problems you were having took place during your representation of Mr. Walker?
  - A. What would be the year he was convicted?
  - Q. If I said 1991?
- A. I don't know that I was having the problems then or not. I truthfully have a little trouble, like I just said, with dates. I should have asked that question, I quess, beforehand.
- Q. I wanted to ask you, for your representation of Mr. Walker, do you have a file?
  - A. Not anymore.
  - Q. What happened to your file?
- A. I kept all the capital cases because they go on forever, okay, if there is a death sentence. And I kept the files that I had on them. And at the time of Hurricane Katrina, I happened to be living in a warehouse that my cousin owned, and we got -- everything was there, everything I had, including where I lived. And got about seven foot of water in it and destroyed, washed out everything, lost everything.
- Q. All right. Now, I wanted to ask you some questions about Mr. Walker's particular case. Do you

recall the name of the person who was indicted with Mr. Walker?

- A. I'm going to need some help with that one.
- Q. Does Jason Riser ring a bell?
- A. I'm sorry?

3

4

5

6

7

8

9

1.0

11

12

1.3

14

15

16

17

18

1.9

20

21

22

23

24

25

26

27

28

- Q. Does the name Jason Riser ring a bell?
- A. That's it, okay. Uh-huh.
- Q. In your representation of Mr. Walker, did you file any motions to have any statements suppressed?
  - A. Yes, I did.
  - O. And what was the result of that?
- A. That was a successful motion -- that was the only capital case I ever had where I won a motion to suppress a confession. I was really proud of that one when it happened.
- Q. Okay. Now, when the -- when that confession was suppressed, how did you assess the strength of your case at that time?
- A. I -- well, my whole defense was going to be he didn't do it, the other kid did, and he just happened to be with him, okay. And so at that point, place in time without the confession, I thought that really strengthened because I knew or believed that that kid was not going to testify. So there would have been nobody there to dispute too much of, you know, what I was going to argue.
  - Q. It was your understanding --
  - A. That he was not going to testify.
  - Q. That Riser was not going to testify?

- A. Because of pending charges. But, of course, right at the last second they made some arrangement for a plea, you know, agreement, and he did, obviously.
- Q. Okay. And you had a change of venue in this case, didn't you?
- A. Yeah. I can't remember, we either went to Vicksburg or to Natchez, one of the two.
- Q. Okay. And where did you -- where were you when you learned that Riser was going to testify against your client?
- A. The trial was to begin on a certain Monday, and I learned of it on a Sunday, I'm pretty sure that that's correct. It may have even been Monday, but more likely Sunday, okay. I think. I can't say exactly. I don't know.
- Q. Okay. And what was your response when you learned that?
- A. Surprised in one sense and, you know, I really, what I thought then what I would do is ask for a continuance, you know, and get it continued. And go a different direction than what I had intended.
- Q. And I would like to show you a document that's -- it's in the record already, Your Honor. It's a handwritten motion. Have it marked for identification -- or see if you -- just look it over and tell me if you recognize that.
  - A. I recognize it and recognize my printing.
  - Q. Okay.

A. Yeah, I recognize it. Of course, I did that --

I couldn't type.

- Q. Okay. Could you identify what this is?
- A. This is a motion for continuance after I learned of the arrangement that the co-defendant had made and was going to -- I knew he was then going to be testifying against my client, Mr. Walker. So because I couldn't type, I had to hand do it. And didn't have any time, you know, to get anybody to do it. So I handwrote it to get it filed and argued.
- Q. Okay. And what's the date stamp on there, can you read that?
  - A. August 5th, 1991.
  - Q. Okay.

MR. VOISIN: Your Honor I would like to ask this be marked for identification. It's already in the record.

THE COURT: Mark it as an exhibit then into evidence.

(Defense Exhibit 1 marked into evidence)
BY MR. VOISIN:

- Q. Mr. Stegall, how did learning about Mr. Riser's deal with the state change your theories about your case going in?
- A. In a sense about the theory, the major thing that it did was this; I thought for sure that once I got that confession suppressed, I thought for sure that I would be offered a plea offer for him so that he would, at worst, receive, you know, a life sentence rather than facing the death penalty situation.

- Q. Okay. Now, you've done capital trials before?
- A. Oh, yes, sure.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. And you've prepared for penalty phases?
- A. Oh, yes. And I thought it truthfully, you know, obviously the guilt phase until, okay, until the confession was suppressed, I thought the guilt phase was a foregone conclusion, but after that it kind of switched right at the last there. And I thought I had a shot at that case, you know.
  - O. Up until the deal you thought you had a shot?
  - A. That's right. Uh-huh.
- Q. Up until that point, had you done any mitigation investigation?
- That -- the thing that I was going to do, I Α. remember I was going to have him address the jury rather than have him testify. I think that's exactly what we did. And I wanted to -- my thing in death penalty cases was to personalize them. Make them a person, you know. And tell their life history as well as you could so the jury could look at them and think of them as a person and not just somebody sitting there charged as a murderer. And I remember, I don't have an independent recollection of this, but I know I must have done it. We had the mother come and testify, that was the plan, and then a sister or a brother was going to testify. And I don't really have a good independent recollection of what they said or anything to be truthful with you.
- Q. Did you ever go to their house before the trial to talk to them?

- A. I would say that very unlikely, okay, that I did. I would have talked to them certainly before they took the stand, but I didn't -- and almost certainly as well talk to them on the phone, that sort of thing.

  Q. But you wouldn't have met them in person until trial?

  A. I don't think I did. But if they said I did, I
- A. I don't think I did. But if they said I did, I would have to take their word, but I don't remember at all.
- Q. Did you have any contact with Alan's father or brother in Alaska?
- A. I don't know. I truthfully can't remember. I don't have any recollection of it.
- Q. What about any contact with family members in Florida?
- A. Again, I may have, but, you know, I can't say one way or the other. I just don't have a memory of it.
- Q. And did you file any motions to get an investigator to help with mitigation evidence?
- A. I don't have any -- I'm sorry to keep saying it, but I just don't remember to be truthful.
- Q. Okay. Now, in this case, you had co-counsel, who was that?
  - A. Robin Midcalf.

- Q. And where was she working at the time?
- A. I'm pretty sure that's while she was still working for me, I think. Again, I'm not 100 percent sure of that, but I think so.
  - Q. How much experience as a lawyer did she have at

the time?

- A. She was a new lawyer.
- O. And what was her role in your office?
- A. She did everything that she -- that I could get her to do, and she did a good job at it in the office. She was a good office lawyer. And I was never dissatisfied with anything like that that she did.
- Q. Do you recall if you had her do any investigation on the case?
- A. Yes, I'm sure. I can't -- I don't have an independent recollection, but I know I would have used her for all of that, okay.
  - Q. For investigation?
  - A. Yes.
- Q. Then you would have sent her to see Alan's family?
- A. And I looked at what she found and that sort of thing, you know.
  - Q. And do you recall what she found?
- A. No. I know that's awful, but I can't remember very well anymore.
- Q. Would that have been her first capital case that you are aware of or --
- A. I can't say for sure. I think so. Okay. I think so. She was an intern for Judge Thomas, as I recall. And she -- that was really where I got to know her best. She worked in the district attorney's office years before that. And I met her, but there was a trial of a capital case, a woman I represented involved in a

- shooting of a highway patrolman. And she was Judge Thomas' intern during that trial. That was really where I got to know her the best.
- Q. And let's see, something I overlooked before, but did you consult with any experts about mitigation evidence in the case?
  - A. I don't think so.
- Q. Now, Mr. Stegall, if your recollection is off, I can refer you to your affidavit. But at the trial, Mr. Walker's mother brought a photograph and a certificate to the trial that was introduced?
  - A. Uh-huh.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- Q. Do you have any recollection of that?
- A. No, I don't, I'm sorry.
- Q. Mr. Stegall, I would like you to take a look at this document and see if you --

MR. WHITE: To which I object.

THE COURT: I don't even know what it is.

You object to him looking at a document?

MR. WHITE: I object to him going back with this affidavit that he did and he has no recollection of now.

THE COURT: Overruled. You can approach the witness and ask him to identify a document.

Only one at a time on the record, please.

BY MR. VOISIN:

- Q. Mr. Stegall, can you identify that document?
- A. Oh, yeah, I just read this again. I didn't know what you were speaking of. I remember this.

1	Q. And what is that document?
2	A. It's an affidavit that you asked me questions
3	prior to preparing, and I think you prepared it or
4	somebody in your office brought this over. And then I
5	read it, agreed with what it said insofar as truthfulness
6	and accuracy. You things I couldn't remember you
7	provided me copies of to look at to refresh my memory
8	that are reflected in it. And then I signed it.
9	Q. I would like you to look at Paragraph 2 and see
10	if that refreshes your recollection about
11	THE COURT: What affidavit is this?
12	There's two of them in the record, in the
13	appellate record.
14	MR. WHITE: Then I don't have it.
15	MR. VOISIN: This is an affidavit dated
16	April 19th, 2012.
17	THE COURT: Does it have an exhibit sticker
18	35 at bottom?
19	MR. VOISIN: My copy doesn't have an
20	exhibit sticker, but yes, it is.
21	THE COURT: It's the second affidavit then.
22	MR. VOISIN: Yes.
23	THE WITNESS: I'm sorry, what was your
24	question?
25	BY MR. VOISIN:
26	Q. Have you had an opportunity to read the second
27	paragraph?
28	A. Yes.
29	Q. Does that refresh your recollection about

A. I'm sure.

- Q. -- the preparation you did?
- A. I'm sure that I wouldn't dispute the correctness of it, but I truly don't have a real good independent today memory of it. That's why I wanted to look at it again because I have real trouble with memory.
  - Q. Okay. But you have no reason to dispute it?
- A. No. I'm sure that that would have been something that, whatever the reason, that would have jogged my memory so they could be entered into the affidavit, and they may not understand this, not having had a stroke, but tomorrow I might remember it exactly. But I just don't as I look at it, okay.
- Q. Okay. Thank you. Now, when I spoke to you recently, do you recall me showing you a report prepared by Dr. Mendel?
  - A. Yes, uh-huh.
  - Q. Did you have an opportunity to review that?
- A. Yeah, but right at the moment, truthfully, I can't remember what it says. That's off my -- I apologize for not being able to remember, but I want to be completely truthful as I can. I just can't remember. I remember reading it, but I can't remember what it said to be truthful. Fairly lengthy if I remember right.
  - Q. Right.
- A. And talked about some past family history, if I remember right. But I can't remember the specifics of it, okay.
  - Q. Okay. Do you have an opinion as to whether

that's the type of investigation that you would have wanted to perform if you had had -- if you had known that this was not -- you were going to need a penalty phase case?

- A. Let me just put it like this, once I got that confession suppressed, I thought I had a lock on the life sentence. At that point, place in time everything changed a little bit. And I wasn't as worried about the penalty phase at all at that point, place in time because of that.
- Q. Now, if you had gotten a continuance, how much additional work would you have done on the penalty phase?
- A. You would have obviously -- you know, if you are going to have that kid testify against him, the fact that you've got the suppression confessed is not so significant. I would have still gone the same way, he did it and not my client.
- Q. So you would have still gone the same way at the quilt phase?
- A. At the guilt phase, that's right. But would have affected the penalty phase obviously if he was convicted and probably certainly would have been convicted.
- Q. And just to be clear, other than having the expectation of a plea offer, did you have any other strategic reason for not doing more of a mitigation investigation?
- A. I can't say that I did. I wish I could remember better and I could answer your question, but I just can't

	Edil Sceddil Closs Examination
1	remember.
2	MR. VOISIN: Beg the Court's indulgence.
3	No further questions, Your Honor.
4	THE COURT: Mr. White.
5	CROSS-EXAMINATION
6	BY MR. WHITE:
7	Q. Long time no see.
8	A. We've both gained weight and gotten older.
9	Q. Haven't we, though. Mr. Stegall and I went to
10	Perk together back when it was Perk. Another day and
11	age.
12	A. Long time ago.
13	Q. So I don't guess I have to introduce myself to
14	you. But now, you talked about this affidavit where you
15	said did you know Dr. Mendel?
16	A. I don't know him. 99 percent certain I didn't
17	recognize him.
18	Q. Did you have any way to contact Dr. Mendel at
19	that time?
20	A. I don't think I knew he existed at the time. I
21	really got to be careful when I answer questions because
22	I can't remember it like I used to since I've had that
23	stroke. It affected my memory pretty bad.
24	Q. And were there did you have him examined?
25	A. I think I did. I think, okay. Now.
26	Q. If there's a motion in the record and a
27	A. I did. For sure. Okay. I remember when I
28	talked to the attorney representing him. I had Dr.

Maggio represent him. Now, I don't have an independent

memory of that, but it was pointed out to me and I'm sure that I would have -- that would have been the guy that did it all the time back then.

- Q. And you don't have any idea -- well, of course we presume that that copy of that report, since it was never put in the record, disappeared in Katrina, right?
- A. That would be gone with the wind, that's correct.

- Q. So we don't know what -- but you did not use it in the trial, so it was not favorable to you, was it?
- A. No. I never got a good report in the sense of a good report, I mean one that was favorable to the defendant, in a capital case from Dr. Maggio, I can tell you that for sure.
- Q. Now, did you write either one of these affidavits yourself?
- A. I gave him the information and he would tell me things to help me remember, and I'm not saying that he put words in my mouth, because if I, like, am told something, and that will help me to remember it. And some days I can remember things really clearly, and I know that sounds ridiculous, but the next day you may not remember the same thing and it's just a weird thing when having a stroke.

THE COURT: For the record, I'm sorry to interrupt you, who were you referring to when you say "he," because we've been using "he" referencing different people in the last few minutes. Who is "he?"

A. Ask me the question again.

BY MR. WHITE:

- Q. Who prepared this?
- A. I say he, I mean the attorney that represents the defendant. He and I, he had questioned me. And he typed it up. Had it typed up. I don't type. And he had it typed up after we talked and I told him the best I could remember it.
  - Q. And do you remember that attorney's name?
  - A. Gosh.
  - Q. Is it the one that was just questioning you?
  - A. Just up here, I'm sorry.
- Q. Mr. Voisin. So he prepared this, you did not, and you say your memory is such that you remember some things some days and some things the others, so --
  - A. Yeah.
- Q. So we don't know about this, if everything in here that you said that you really remember from what you told him, right?
- A. As I'm sitting here right now, I can't say I remember everything exactly. But when I read it it helps me to remember, I can say that. Okay. And certainly I would have not signed anything that we wouldn't have discussed prior to and known that it was true when I was signing it, okay.
- Q. And you said earlier that you had done quite a few capital cases?
  - A. Ouite a few.
  - Q. Won some of them too, didn't you?

- A. Oh, yeah, some good luck.
- Q. At the time you tried this, you were doing capital cases on a regular basis?
- I pretty much did all of them at Harrison Α. Yeah. County at that time because, you know, they always want you to have an experienced attorney do them, so that's not raised as a potential defense. So I done most of them in Harrison County. I did, I can't tell you the number to be truthful, a large number of capital cases. And was successful in getting them, either me or at some further phase, some other attorney taking over when I felt like they needed to allege ineffective assistance. You know, everybody's got to be checked, you know, in a capital case. You get a death sentence, you know, what the judge did, what the jury did, what the attorney that did it, and even eventually appellate attorneys and so So if you can't take that heat you don't go into that kitchen, it's that simple, you know.
  - O. Were you ever held ineffective?
  - A. No.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

MR. WHITE: One moment, Your Honor, please. BY MR. WHITE:

- Q. So as we sit here today, you don't have any real independent recollection of what took place at the time of trial and what your decisions were at the time of trial, do you?
- A. I can't answer that direct yes or no. I can say in part this. I can remember certain parts of it perfectly clear. I can't say that I remember all of it

that way.

- Q. Prior to this hearing, did you go over your testimony with Mr. Voisin?
  - A. Yes, I did, uh-huh.
  - Q. When was that?
- A. Last night, and then once a while back, okay. There was another hearing, and you -- I think somebody or you, I believe, got sick.
  - Q. I got sick.
- A. And we did the same thing then. About a 45 minute thing where, you know, we would review basically what I had said to him at the previous time we met, which was about the case. And he had the same sorts of questions that he asked me just a few minutes ago in examination.
  - O. So had a rehearsal for the --
  - A. Basically, yes.

MR. WHITE: No further questions, Your Honor.

THE COURT: Mr. Voisin, any redirect?

MR. VOISIN: Yes, Your Honor.

REDIRECT EXAMINATION

#### BY MR. VOISIN:

Q. Mr. Stegall, I would -- I understand your memory sometimes comes and goes. I would like to show you again, since Mr. White referred to Dr. Mendel. Take another look at your affidavit dated April 19th, 2012 and review Paragraph 4 to see if that refreshes your recollection.

- A. I did read it, but I still, sitting here, don't have a recollection of it, of having read it. I'm sorry.
- Q. Now, Mr. White asked you some questions about Dr. Maggio.

MR. WHITE: I didn't ask any questions about Dr. Maggio, I just asked him if he examined him.

MR. VOISIN: And he --

THE COURT: Is that an objection?

MR. WHITE: Yes.

THE COURT: Overruled. Continue your

examination.

BY MR. VOISIN:

- Q. Mr. White mentioned your request for an examination. Do you recall the purpose of that examination or the basis for your request for that?
- A. Well, like you would in any capital case, you want to make sure that they're competent to stand trial, assist you in their defense.
- Q. And in your understanding, competency is not the same as mitigation, is it?
  - A. No, not at all.
- Q. So if you requested for competency, you weren't thinking about mitigation at the time?
- A. I'm 99 percent sure it would have just been for competency. I don't really remember. I can't say. But I know that's what I would have asked for.
- Q. Mr. Stegall, I want to show you a page of the trial record to see if it refreshes your recollection.

In particular, it's on the upper right-hand corner marked Page 254 of the trial record.

THE COURT: Of the transcript?

MR. VOISIN: Of the transcript, yes,

pretrial transcript.

MR. WHITE: Do you have copy?

MR. VOISIN: Yes.

#### BY MR. VOISIN:

- Q. Primarily, bottom of 254, and onto 255, lines beginning at Line 22 and going on to the first three lines of the next page.
- A. I read it, but I truthfully don't remember ever saying it. But I don't remember anything about it. I'm sorry.
- Q. That's okay. All right. So this didn't refresh your recollection?
  - A. I truthfully can't remember.
- Q. Okay. We will just stand on the record then. Now, with respect to that examination, I would like to show you the order that Judge Terry signed granting the motion, and I wanted to ask you a couple of questions about that. This is in the record, but the page number didn't reproduce, but it's an order that Judge Terry entered on July 26th of 1991.
  - A. Okay.
  - Q. Can you identify that order for us?
- A. Again, I'm sorry, I don't have an independent recollection of it. But on its face it's obviously an order sustaining our motion for the psychiatric

evaluation.

- Q. And when was that granted?
- A. The date reflected on it is July 26th, 1991.
- Q. Okay. Thank you. And before we were talking about the trial began August 5th of '91?
  - A. No idea.
- Q. Okay. Do you recall whether you provided Dr. Maggio any materials to review?
- A. No, I can't say I did or didn't. I just can't remember. I'm sorry I have to keep saying that, but that's the truth, okay.
- Q. Okay. So you don't remember if you spoke to him about Mr. Walker's case?
- A. Oh, I know I would have, I just can't say that I remember it. There is a difference, okay.
- Q. Okay. It would have been about competency, though?
  - A. That's correct.
  - MR. WHITE: I object to that, his comment about what --

THE COURT: I sustain that. The records will reflect what they will reflect. Was it a competency motion or psychiatric evaluation? Those are two different things.

- A. I don't remember, I'm sorry.
- MR. VOISIN: Right. Just in the record it says he was asking for Mr. Walker to be examined for competency.

THE COURT: In the motion?

1	MR. VOISIN: In the motion. It was an oral
2	motion. There was no written motion. I have no
3	further questions.
4	THE COURT: Hold on one second. To the
5	lawyers, the psychiatric evaluation, competency
6	evaluation, whatever y'all are putting on it,
7	there is no record of that report in any
8	anywhere?
9	MR. VOISIN: I've never seen it, Your
10	Honor.
11	MR. WHITE: Of course, Your Honor, the
12	whole purpose was that the state not be able to
13	use it. And
14	THE COURT: Privilege.
15	MR. WHITE: At that point, unless they used
16	it at trial, they were not going to get a copy
17	of it. And he didn't use it at trial. So the
18	state it's not in the state's record
19	anywhere. We have a motion and we have the
20	order paying Dr. Maggio, but we don't
21	THE COURT: All right. As the petitioner,
22	do you have any objection to me asking Mr.
23	Stegall a few questions?
24	MR. VOISIN: No, Your Honor.
25	THE COURT: Mr. White?
26	MR. WHITE: No, of course.
27	EXAMINATION
28	BY THE COURT:

Mr. Stegall, good morning.

29

Q.

## Earl Stegall - Examination by the Court

- A. Good morning to you, sir.
- Q. The -- I don't have Dr. Mendel's report, the one that you referenced. I think there is a new report, I guess. It's the same one that's in the appellate record?

MR. VOISIN: Yes, Your Honor. Dr. Mendel's initial report. He did file a supplement, but I did not show that to Mr. Stegall.

THE COURT: All right.

#### BY THE COURT:

- Q. I can't recall the context of the entire report.
- A. I can't either, I'm sorry.
- Q. But regardless of Dr. Mendel's report, would you have wanted, as the trial attorney, to put before the jury in sentencing any evidence or testimony of Mr. Walker's other criminal activity or alcohol abuse or drug abuse?
- A. I don't have a recollection, but I know what I would have done differently -- I really expected right at the last to get that continuance when that boy decided -- you know, they worked the arrangement out to testify. I would have then changed course, in a sense, to just do a shotgun approach, you know what I mean, you just try everything in the world you can think of to avoid that death sentence. I would have almost certainly done everything to do that, file that sort of motion.
- Q. If a mitigation psychologist, psychiatrist had presented a report that included criminal behavior on behalf of your client, do you think the state would have --

## Earl Stegall - Further Redirect Examination

- A. No, I don't know. It would have been according to what the crime was. Been a misdemeanor, no problem. If it had been a serious crime, that would have been a totally different circumstance.
- Q. Would it be something, do you think, as the trial attorney you would not want the state to have in their hands --
  - A. I wouldn't.

- Q. Let me finish my question for the simple reason the court reporter can't --
  - A. I understand.
- Q. That you wouldn't want the state to be able to use it against you or against your client in the sentencing phase?
- A. I would not have wanted them to see anything about any violent or really serious crime in any phase involving any other charges.
  - Q. That's across the board in all representations?
  - A. Sure.
  - Q. All right.

THE COURT: If that generates any questions by either side, you are more than welcome to reopen your examination.

MR. VOISIN: One minute, Your Honor. Just a quick question, follow up.

FURTHER REDIRECT EXAMINATION

#### BY MR. VOISIN:

Q. Mr. Stegall, standing here now, or even then since you hadn't done much in the way of mitigation, can

## Earl Stegall - Further Cross-Examination

you really say exactly what you would or would not have done since you don't have the complete picture or the complete investigation?

- A. I would have done everything I could have thought of to do. That's the best answer I can give you.
- Q. And only after you have all the facts can you make a decision?
  - A. That's correct.

MR. VOISIN: Thank you.

THE COURT: Mr. White?

MR. WHITE: Yes.

#### FURTHER CROSS-EXAMINATION

#### BY MR. WHITE:

- Q. Do you think that trial of capital cases and what has -- is used in capital cases has changed in the last 26 years?
- A. Not to my knowledge that much. I still follow them, you know. You don't ever lose the interest.
- Q. But I mean as far as some of the mitigating evidence and the intensity in that?
- A. I don't think it has in Mississippi. I really don't. I may be wrong about that. Maybe I shouldn't say that without following it more. You never know what's going to affect a jury, that's one thing I can say. I learned that early on, that what you think may be so significant for them to consider may, in fact, not be significant at all. And what you think insignificant, may be a big turning point for them, you never know. That's why I said use that shotgun approach.

One other question is that, was there anything 0. 1 about the defendant, Alan Dale Walker, that gave you 2 cause to think you should hire a psychologist? 3 Nothing at all. Α. 4 MR. WHITE: Thank you. 5 THE COURT: You can step down. Who is 6 7 next? MR. CRAIG: Dr. Mendel. 8 He will be a while? 9 THE COURT: MR. DAVIS: Yes, sir. 10 THE COURT: Y'all want to take a quick 11 break? 12 MR. CRAIG: Yes, sir. 13 (Recess) 14 (Oath administered by the Court) 15 THE COURT: Have a seat. 16 MR. CRAIG: Thank you, Your Honor. 17 MATTHEW MENDEL, PH.D. 18 Having been duly sworn testified as follows: 19 DIRECT EXAMINATION 20 BY MR. CRAIG: 21 Please introduce yourself to the court? 22 Ο. 23 Α. I'm Dr. Matt Mendel. Where do you live? 24 Q. 25 Α. In Raleigh, North Carolina. 26 Ο. Please tell the Court about your education. 27 Α. I received my undergraduate degree, my

bachelor's from Princeton, University in 1984 with a

major in psychology. I did my graduate work at the

28

University of Michigan where I obtained my master's degree and then my Ph.D. in clinical psychology. I received my Ph.D. in 1992.

Q. What do you do for a living?

- A. I do both clinical psychology and forensic psychology. I have a private practice in Raleigh where I do my clinical work. And then I also do forensic work all over the country.
- Q. Do you have any specialties within the field of clinical psychology?
- A. Yes, I do. My clinical work is primarily with children and teenagers. And I work with a variety of different types of issues, but a great deal of my work is with people with children and teenagers with high functioning autism. Or what -- they've recently changed the name of the diagnosis, but until what recently was referred to as Asperger's syndrome, so I do a lot of work with kids and teenagers with that. And then I work in terms of adults, I work a lot with adult men who were sexually abused in their childhoods.
- Q. And you've talked about doing forensic evaluations. Is that in -- or forensic psychology work. Is that in civil cases or criminal cases, or both?
- A. Both. But the vast majority is criminal. I've only been involved in six or seven civil cases. The rest have been criminal.
- Q. What percentage of your time or income is devoted to clinical versus forensic work?
  - A. Time wise it's about 50/50. Forensic work pays

a little bit better. So forensic is probably 60, 65 percent of my income. Basically I have a clinical practice that, Monday, Tuesday, Wednesday, I see clients, and as much as possible, I leave Thursday and Friday for whether it's travel for evaluations, or for writing reports and doing reading and so forth.

- Q. In terms of your criminal forensic work, what kind of cases are you involved in?
- A. Well, as I've said, the vast majority are criminal cases, and of those, the -- almost all are capital murder death penalty cases. And without exception, across both the capital murder, the death penalty cases, across the criminal cases more generally, and in the civil cases, it basically always involves childhood trauma, impact of childhood trauma. I get contacted, essentially, to evaluate individuals facing criminal charges, or sometimes as in this case, in appellate work, defendants having been previously convicted of a crime to examine and assess for the presence of childhood trauma, negative or destructive experiences in their life, and to be able to assess the impact upon them.
- Q. How many times have you been offered to the court as an expert in the field of evaluating the impact of childhood trauma or experiences on persons accused of a crime?
- A. I believe this is my 18th time that I've testified in court.
  - Q. Do you testify mostly for the defense, mostly

for the prosecution, or both in criminal cases?

- A. I have exclusively been hired, retained by the defense. I've never been contacted by the prosecution in any case. In civil cases, it's been the plaintiffs attorneys that have contacted me.
- Q. Have you ever been rejected as an expert by any court?
  - A. No, I have not.

- Q. You talked about 18 cases in which you've testified. Have you been consulted or retained for forensic work in cases in which you have not testified?
- A. Yes. That's actually the majority of cases. I've been involved all together in approximately 130 cases, not all of those are capital murder cases, but that is the majority. I would estimate probably -- well, I already said six or seven have been civil cases and there may have been another ten that were murder cases but not death penalty cases. So about 110 capital murder death penalty cases in which I have not been -- either not been called by the defense at the time of trial, or in which a plea agreement was reached prior to trial, so I have not testified.
  - Q. What professional memberships do you have?
- A. I'm a member of the American Psychological Association and its forensic division, which is called the American -- I think of it as the APLS, American Psychology-Law Society. I'm a member of the North Carolina Psychological Association and its division of Independent Professional Practice. I'm also a member of

an organization called the Male Survivor, which is a support -- it's basically a professional network of therapists who work with -- it's a variety of different people involved with it, but they have a professional directory of clinicians who work with adult male survivors of childhood sexual abuse.

- Q. Dr. Mendel, have you published on the topic of the long-term effect of childhood trauma, including sexual abuse, on adult men?
- A. I have. I'm the author of a book entitled The Male Survivor -- because it's an academic book, so it has to have a colon. Male Survivor: Impact of Sexual Abuse. That came out in 1995. I've written a few, three or four articles related to sexual abuse, as well as presentations at conferences on the topic.

MR. CRAIG: Your Honor, we tender Dr. Mendel as an expert in psychology, and more specifically, on the impact of childhood traumatic factors on the psychological development of adults.

THE COURT: Mr. White?

MR. WHITE: I have a question or two about that.

#### VOIR DIRE EXAMINATION

#### BY MR. WHITE:

- Q. Dr. Mendel, I'm Marvin White. Is there a recognized specialty dealing with people, survivors of sexual abuse under psychology, by the APA?
  - A. I'm not sure what you mean by a recognized

specialty. It's a topic.

- Q. Is it a recognized specialty like forensics or clinical?
  - A. No, no, no.
  - Q. So this a special --

MR. CRAIG: Your Honor, if he could be allowed to answer the question.

THE COURT: Mr. White, let the witness answer the questions. You can continue your answer.

A. No, there is not any sort of -- the way I would look at it is that's a much broader topic as in there's the area of clinical psychology, the area of neuropsychology, area of forensic psychology, but people then specialize. Somebody might specialize in working with anxiety disorders. Somebody might specialize in working with children of divorce. Those are not recognized fields of study, but somebody can certainly have -- I think we all tend to have areas in which we specialize.

BY MR. WHITE:

- Q. But it is not a recognized specialty?
- A. Under the terms that you are using, no.
- Q. What's wrong with the terms I'm using?
- A. I think you are talking apples and oranges. I think that the -
- Q. What are the specialties that the American Psychological Association recognizes?
  - A. Well, I think there's actually quite a few. But

clinical psychology, forensic psychology, neuropsychology. American Psychological Association, since it's not exclusively related to clinical, but they would also cover experimental psychology, developmental psychology, but they are not going to be looking at specializations in particular populations in working with They are not going to have a specialization in marital. those who do marital therapy, or those who do group therapy, or those who work with anxiety disorders, or those that work with abuse survivors, or those that work with depression, or any other clinical area. Or for the same -- in the same way, they are not going to have a category of recognition of those who do cognitive therapy, those who do behavioral therapy, those who do psychodynamic therapy. That's just not -- that's why I'm saying I think it's apples and oranges.

- Q. So you are not board certified in this area that you claim to be a specialist in?
  - A. No, I'm not.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

MR. WHITE: Your Honor, we would accept him as a psychologist, but this other expertise we would object to.

THE COURT: I think for the record he was offered by Mr. Craig as more specifically the impact of childhood traumatic factors on the psychological development of adults is what you said?

MR. CRAIG: Yes, that's right, Your Honor.

THE COURT: The court will accept him as an

expert in the field of psychology, and to whatever area he touches on in that specialty, I will give it what weight and credibility I think it deserves. So you will be allowed to give expert witness opinions in this regard.

MR. CRAIG: Yes, sir.

#### DIRECT EXAMINATION

#### BY MR. CRAIG:

- Q. Dr. Mendel, please tell the Court what you were asked to study with respect to Alan walker.
- A. I was asked to address the -- to explore the presence of possibly traumatizing factors in Alan Walker's life, and to address the impact of those factors upon him, how they contributed, if at all, to him, to his childhood development, and to becoming the adult he became.
- Q. Can you define what you mean by traumatizing factor?
- A. I would define it as anything that is beyond the normal range of experiences. So destructive, or painful, or psychologically disturbing factors that are beyond the regular bumps and bruises that we all experience in childhood. It could be a very wide range of things. So it could certainly cover physical abuse, sexual abuse, emotional, verbal abuse. It could cover parental neglect. It could cover severe poverty, family instability, transitions, homelessness, could cover an injury, a severe injury, that if somebody experienced that. A physical disablement. It could cover if

somebody grew up in an environment with very high levels of lead, that could be a traumatizing factor. So a wide -- any destructive disturbing factor in a person's life that's beyond the normal range of experiences.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. How does a psychologist assess the presence or absence of traumatizing factors in the life of the person they're studying?
- I believe that hopefully with by learning from as many sources as possible. I certainly listened closely to the defendant himself. I want to understand the experiences he's had in his life. I want to understand them from his point of view, and how he sees them affecting him. But then I also want to learn them from -- learn the perspective of as many collateral sources as possible. So interviewing family members, parents, siblings, childhood friends, people that knew the defendant, contemporaneously knew him during his School records, if they're available. childhood. Medical records, criminal legal records. Sometimes there's records from department of social services. Whatever sort of background records, and I'm looking there for either corroborating evidence, or in some cases conflicting or contradictory evidence. Anything that can help give me as full and balanced and comprehensive of a sense of this defendant's childhood as possible.
- Q. Turning then to Mr. Walker's case, did you review any documents or speak to any people in preparing to give an opinion about Mr. Walker in this case?
  - A. I spoke to a pretty large number of people.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

2.2

23

24

25

26

27

28

29

This was a good opportunity for me. I was grateful for the chance to speak, actually, to more people than I I spoke obviously with Alan Walker generally do. himself. I think we met on -- initially on two separate days for a total of about eight hours. And that was back in -- I'm not sure if it was 2007 or 2008. 2008 was when I wrote my report. So I don't recall the dates of our --I probably have them. But yes, 2008 I spoke with him on two separate days for a total of about eight hours. then I interviewed face-to-face in person Alan's mother, Anita Frederick. Alan's daughter, Michelle Walker. Alan's former girlfriend and mother of Michelle Walker, that's Robin Saucier Marroy, S-A-U-C-I-E-R and then M-A-R-R-O-Y, I believe.

- Q. You don't need to pay attention. Counsel opposite may consult with each other, but don't -- they will have an opportunity to ask you questions.
- Α. I spoke with three people who grew up in Okay. the same neighborhood as Alan. His -- these were three sisters, Brenda, Marie, and Mary Rever, R-E-Y-E-R. believe that's everybody I spoke with face-to-face, but then I also spoke by telephone with his half sister, Amanda Frederick. His half brother -- I can't remember if I spoke with Leon. I think I spoke with Leon. spoke with his half brother Leon, and then Leon Frederick and his full brother Terry Walker. And I realized seeing here that there is one other person I spoke with, this is his former girlfriend Sherry Schroeder. So those were the individuals I spoke with.

In terms of documents that I reviewed, I read the declarations of numerous individuals, including many of those that I just mentioned, but also in addition, Faye Breland, who was Alan's mother's former employer, who testified here back in February. Earl Stegall that just testified now, his trial attorney. Michael Shavers, who is Amanda's father, his sister Amanda's father. Michael Shaver's sister, Paula Shavers. And all these individuals grew up or lived in that same neighborhood. And then finally, Nellie Richards, who also testified here in February, that's Alan's maternal aunt, Anita's sister.

- Q. You talked about interviewing Mr. Walker in 2008. Did you have an opportunity to further interview and evaluate Mr. Walker?
- A. Yes. I returned in January of this year, January 2016, and spoke with him and also conducted some psychological testing, some more formal assessment with him.
- Q. In any of these interviews, including the one with Mr. Walker, but any of the ones you've spoken about in your testimony, were any of Mr. Walker's attorneys present?
  - A. No, they were not.
- Q. Were you present in February of this year for the evidentiary -- the beginning part of the evidentiary hearing before Judge Schmidt?
  - A. Yes, I was.

Q. After the hearing in February, after the hearing

concluded in February, February 22nd, 2016, did you have an opportunity to meet or talk with any people about Alan Walker?

- A. Yes. I spoke -- I don't recall the exact amount of time, but in pretty -- at length with both Alan's father, Ronald Walker and his brother, Terry Walker, were both down here and testified in the -- in that portion of the evidentiary hearing, and I had a chance to sit down with them and interviewed them at that time.
- Q. And you're familiar that there is another expert in this case on behalf of Mr. Walker, Dr. Robert Shaffer?
  - A. Yes, I am.

- Q. Have you had an opportunity to talk with Dr. Shaffer about your report and his report?
- A. Yes. Both Dr. Shaffer and I were here -- now I'm blanking on the date of when this was initially scheduled. But we were here in --
  - O. September?
- A. September. And so we had a chance to speak then, and I received a copy of his report as well.
- Q. Have you prepared any reports as a result of your studies?
- A. Yes. I prepared a report dated May 15th, 2008. It's a 12 page report. And then I did a brief supplementary report in January, January 27th of 2016 after my visit, visits with him that month.
- Q. Very good. I've handed you a document, Dr. Mendel. Do you recognize it?
  - A. Yes, I do.

Q. What is it?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

A. There is a cover page with an affidavit simply stating that this is my report, and then the remainder is the 12 pages of that report that I wrote in 2008.

MR. CRAIG: Your Honor, I would ask this be marked for identification at this time.

THE COURT: All right.

(Defense Exhibit 2 marked for identification)

BY MR. CRAIG:

- Q. Dr. Mendel, I'm now handing you another document. Do you recognize that document?
  - A. Yes, I do.
  - O. What is that?
- A. That's my supplementary report, two-page report dated January 27th, 2016.

MR. CRAIG: Your Honor, I would like that to be marked for identification as well.

A. Please.

THE COURT: All right.

(Defense Exhibit 3 marked for identification)

BY MR. CRAIG:

- Q. Dr. Mendel, earlier in your testimony, when you were listing the people that you met with and interviewed, you had occasion to look at a document to confirm or to assist you in picking the date. Please tell the Court what document you were looking at to help you in that way.
- A. It's the first of those two documents that you just submitted for identification. It's my -- the first

of the two reports that I wrote, the one dated May 15th, 2008.

- Q. Okay. Thank you. And if you would look at your first report, Page 2 of the 2008 report is what I will call it. I believe in your testimony you referred to Alan's former girlfriend and the mother of his daughter, Michelle, as Robin Marroy. And this document says Robin Martin. Can you just help me out with that, why the discrepancy between what you testified and what's in this document?
- A. I do see that. I know that Saucier is Robin's maiden name. That's the name she was born with. She had been married previously to a man named Leroy Marroy, which is why I recall the name that way. I am presuming that Martin must mean that she is married again after her divorce from Mr. Marroy and after her relationship with Alan to someone of the last name Martin. The only other possibility I can think of is that it was a misrecollection and typo on my part. If that's the case I apologize for that.
- Q. But that is the person, the person listed in the report here on Page 2, is the same person that you've testified that you talked with?
  - A. That's correct.

Q. Okay. Dr. Mendel, do you have an opinion to a reasonable degree of scientific or psychological certainty whether Alan Walker experienced traumatic factors or events in his childhood, or traumatizing factors, I'm sorry, is the way you phrased it previously?

- A. Yes, I do. He experienced a -- I think the word I used in my report was a plethora. So he experienced a wide range of disturbing events that have had a profound impact upon him.
- Q. And do you have an opinion to a reasonable degree of scientific or psychological certainty whether these traumatizing factors had an impact on Alan Walker's psychological development into adulthood?
  - A. Absolutely.

2.4

- Q. And in particular, do you have an opinion to a reasonable degree of psychological scientific certainty whether these traumatizing factors had an impact on Alan Walker's psychological development at the time of the offense in this case, which I believe was September 8th, 1990?
- A. I do. Yes, I do hold that to a very high degree of certainty. It is my opinion, my belief, my conviction that we can only understand Alan's behavior on that date by understanding and taking into account this these multiple factors, the traumas that he experienced in childhood. That those are the direct antecedents of his behavior on that date.
- Q. Are you saying, and we will talk about those further in your testimony, but do you mean by that that the events of September 8th, 1990 were predetermined in some fixed way by Alan's prior traumatizing circumstances?
- A. No. Absolutely not. And I apologize if I in any way, if my answer gave that impression. I don't

I believe in free will. believe in predetermination. also believe that factors in our lives, childhood events, whether those be benign and positive events, or whether those be traumatizing events, have profound impacts upon us, and lead us in certain directions. That people who have experienced abuse of various sorts have higher rates of substance abuse, for example. Higher rates of aggression or violence, for example. I think what -this wasn't the wording of the question to me in the referral, but to a large degree, the question came down to, where does Alan Walker's rage, and rage at women in particular, where did that come from? What are the sources of that? And we can only understand that by looking at this full range of factors in his childhood.

- Q. And are the factors, the traumatizing factors that you are referring to, discussed in your 2008 report?
  - A. Yes, they are.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. How did you organize the findings in your 2008 report? How is it laid out?
- A. What I attempted to do is first to layout, to present the different traumatizing factors in roughly chronological order. It wasn't possible to do that in entirety because, of course, the different factors overlap and cover long ranges of time. But I tried to present them roughly in chronological order, initially, and I did that actually as bullet points with some sub headings to go through. Here is the first one chronologically, here is the next, and so forth. And then I talked about their more immediate impact on him,

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

how it affected him as a child, together, this constellation of events. And then finally, it turned to how they affected him over the course of his life. How it affected him as an adult. How they affected his sexual development, and his anger, his relationships with women, and so forth.

- Q. Okay. Turning then to a discussion of those traumatizing factors, what is the first chronologically, what is the first traumatizing factor that you believe Alan Walker suffered?
- The first one I referred to was extreme poverty Α. and instability. This would have been in the aftermath of his parents' separation, subsequent divorce. But even before they were legally separated, the father was away working, I think in different states. And there was a period of time early in Alan's life when he and his mother and brother, and I believe Alan, would have been three or four at the time, Terry would have been one or two at the time, the three of them for a period of time were actually homeless, living in a vehicle. point in time it was the three of them and another couple, all living in this vehicle. So not only did they not have a home, they also didn't even have a stable city or state where they were living. They were moving from -- back and forth from Florida, to Louisiana, to Mississippi, and I have no idea of the order of those things. But moving about, living in the vehicle. this first factor is just poverty, instability, transience.

Q. And what were your sources of information for that, for assessing that as a traumatizing factor?

- A. Initially, Alan himself. It was then confirmed, though I felt somewhat minimized, but confirmed to a large degree by his mother, Anita. Terry spoke about it, though he doesn't have the direct recollections of those times. So he talked about it as something that he grew up knowing about. Alan has some recollections from that time because of being a couple years older. I'm trying to recall if Ronald Walker, the father, spoke about it here in the -- that wouldn't have been a source, obviously, in my report in 2008, but I believe it may have been corroborated.
  - MR. WHITE: Objection, Your Honor. If it's not part of his report, we haven't had a chance to deal with this. We object to him testifying about that.
  - MR. CRAIG: I believe he is talking about Mr. Walker's testimony, which was in open court before Your Honor.

THE COURT: The brother?

MR. CRAIG: The father.

THE COURT: Excuse me, the father.

MR. WHITE: I think he said in talking with is what he said, not what he heard in court.

THE COURT: You can answer. I will take it for what it's worth.

A. What I was saying is I was trying to recall whether Ronald Walker spoke about that. I actually was

referring to here in court, and I just don't recall from my recollection of that.

#### BY MR. CRAIG:

- Q. Okay. What chronologically was the next, and we will talk about the effects of these in more detail in later questions, Dr. Mendel. But what is the next chronologically set of traumatizing factors in Alan Walker's childhood?
- A. Lack of parental supervision and oversight. That, essentially, there was no one there providing supervision, taking care of these children. Alan was actually placed in a role of looking out, even at age four, five, six, for his younger brother. At an age when he really wasn't prepared to do so. And this was even after they were no longer homeless, that they had a home in which they lived.
- Q. Was there a particular incident that stands out from Alan's early years as a traumatizing circumstance or experience?
- A. Well, there were a number, and I think first regarding that parental supervision that I learned about from Alan, but then perhaps even more powerfully so his testimony here from Terry, his brother, who was asked, I don't recall if it was on direct or on cross-examination, he was asked who provided the -- who looked after the kids when his mother was at work? And he said no one. And he was asked, so, who -- what sort of supervision and care did you all receive? And he said none. And I think he was asked what about your stepfather, Winfred. He

said nope, he would either be at work, or if he was home he was drinking. And essentially, these kids were left free to roam around, do whatever they wanted without supervision.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

2.0

21

22

23

24

25

26

27

28

- Q. Was -- what was the next traumatizing factor or event that you have discovered in your study of Alan Walker's childhood?
- This was a particular incident that Alan Α. recounted to me that was then corroborated by his mother, or I guess to be more precise, his mother corroborated that Alan told her about this event back at the time he was five or six years old. He was taken to the home of a woman who was, I believe, a friend of the mother. And he told me about this event that -- what was really striking about it was how intensely, vividly, and painfully he recalled this event. He said that he was -- went to this woman's house, and that she made him take off all of his clothes. He made clear that she didn't mess with him, that there was nothing sexual that went on, but that he was utterly terrified and that he hid beneath the bed. He said that he came home, told his mother about it, and that she kind of treated it as a joke. When I spoke with the mother about it, she said, yes, I do remember that That woman was kind of a jokester or prankster, and that she believes that this woman made him take off his clothes and was threatening to give him a whipping or spanking, but didn't end up doing so. And again, what was so striking about this is that Alan doesn't -- isn't able to recall a whole lot of things from his childhood.

This came about -- this recollection came about in the context of me asking him for an early childhood happy memory. He couldn't come up with one. For an early memory of being sad in childhood, and he couldn't come up with one. An early memory of being angry, he couldn't come up with one. I asked him for an early memory of being scared, and he promptly described this incident and talked about how terrified he was at the time.

- Q. And is that series of questions about childhood memories the kind of question that you ask subjects in your clinical practice and in other parts of your forensic practice?
- A. I try to do it as much as possible. I find it enormously valuable in getting a sense of what are memories that to these, to each individual is most salient, most important to them. So I usually actually do it in that way, asking earliest memory, next earliest memory, and then I run through earliest memory of this emotion, that emotion, this emotion. Then I will go through earliest memory involving mom, involving dad, involving, you know, brother, sisters, any of the important characters, individuals in their lives.
- Q. And tell the Court whether there was anything, when you ask this question in general in your clinical or forensic practice, do you find that individuals are not able to recall a happy memory, or a sad memory, or an angry memory, but only a memory of something that scared or terrified them?
  - A. This certainly isn't the first time in my

It's fairly unusual in my experience this happened. Usually, they will be able to come up with experience. something, even if it's a little bit later than -- even when I suspect they probably have some recollections earlier on, they will be able to come up with something, maybe it's at age nine or ten, which Alan ultimately was able to do was to come up with a happy memory, though I think he was already in middle school at the time of So I certainly would view as significant that if that. somebody can't recall a time when he felt happy as a young child or scared or -- I'm sorry, scared he felt. Sad or angry. I'm sorry to do this, Your Honor, is it possible for me to get some water? I'm a diabetic, and my blood sugar is kind of high.

THE COURT: Sure.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

A. Having high blood sugar makes your mouth all dry.

THE COURT: He will bring you some in.

MR. DAVIS: Your Honor, may I take a moment to approach. I'm a fellow diabetic and I have some dry mouth lozenges if you would like some.

THE WITNESS: Dry mouth?

MR. DAVIS: Yes, they're very good.

THE WITNESS: They're sugar free?

MR. DAVIS: Yes, for a diabetic.

THE COURT: You are not going to try to poison him, are you?

MR. DAVIS: No. I'm taking them myself.

THE WITNESS: I would greatly appreciate

that. I think I will swig the water down first.

Are you a fellow Type I?

MR. DAVIS:

MR. CRAIG: Here comes your water, too.

THE WITNESS: Thank you both. Much

Type II.

appreciated.

THE COURT: You may proceed.

BY MR. CRAIG:

Q. So taking a step back with respect to this particular incident, Dr. Mendel, with respect to this, the incident of the woman who made Alan take his clothes off at age five or six, are you saying that that's such a traumatic event that that led to, by itself, led to or caused the events that resulted in Ms. Edwards' death, her murder?

A. No. Absolutely not. And I think that would be kind of ridiculous if I were to assert something of that sort. One of the tasks, one of my tasks, I believe, is to try to get a full and comprehensive understanding of someone's development, which involves pulling all of these different factors together and looking at them in context, that is, nothing occurs in a vacuum. Everything is interrelated and is affected by all other aspects of childhood development. So if somebody had a generally benign or supportive life, if they went through and they had a caring, loving parent, if they had a relative degree of stability, if they didn't have any of these other experiences that I will be getting to of sexual abuse, and of then bad influences in their life. In an

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

otherwise good, healthy, normal life, an event like that would be basically no big deal. A, I don't think it would have been experienced as so terrifying. Not to say that it wouldn't have had -- if I would have had that exact experience, I think I would have found it I think I would have found it kind of, what disturbing. the heck? Why did that -- I think I would have been bothered by it. I might have been pretty upset about it. I think I would have come home and told my parents and they would have gotten on the phone to this person and they would have done things to protect me and support me. And I think it wouldn't have had any particular major lasting impact on me. And I think that would be true for most people. In and of itself it's not an enormous event.

In the context of Alan's life, in the context of all of the things he experienced, it was a very painful, terrifying, overwhelming event that remained vivid in his life -- remains vivid in his life to this day.

- Q. So then let's turn to the next. What is the next, in chronological order, traumatizing factor or an experience that you discovered in studying Alan Walker's life?
- A. This -- there is a number of parts connected to it, but the next one that I talk about is fatherlessness. The absence of his father. And this I know obviously. Ronald Walker spoke about here in court in February as did Terry, as did Anita. The -- I'm sorry. I said Terry, but he was mostly with the dad. But the parents

1

2

3

4

5

6

7

8

9

10

1.1

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

separated and divorced when the children were very young, I believe Alan was roughly three, three and a half, somewhere in that range. And for several years there was no contact whatsoever with the father. He didn't even know where they were. And from that point forward, there were, I believe, two separate years that he spent, that Alan spent up in Alaska. But with that exception, with those exceptions, his father was not a part of his life. And so he grew up without a father. So with a single mother who was pretty absent from his life was working two or even three jobs at a time, and connected with the absence of his father, there came, not surprisingly, a great deal of longing for father figures, which left him very vulnerable to the influence of some really unhealthy father -- people of his father's age or perhaps older, the fathers of his friends, who had a very damaging and corrupting influence on Alan.

- Q. And who were those people, in your study of Alan Walker's life, who were these other men who played a role in influencing Alan?
- A. There were three of them. And I'm not -- I don't believe they all had identical influences on him. I think some had a more powerful, more central role in his life. But they were Duke Maloney, who is the father of two of his closest friends at the time, Darryl and Dwayne Maloney. Big Jack Collins, who was the father of Little Jack Collins who, I think was a friend, but I think was a ways younger than Alan. And then the third was Frank Potter. I don't believe he had a son who was a

friend of Alan's. It was those three individuals.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. And what -- how did they come to have contact with Alan or what was the contact they had with Alan, I should say? You already said that they were -- two of them were parents of people his age or age cohort?
- I also think they lived right in that So I'm not sure -- I'm not sure if he neighborhood. initially met through his friends and then met their parents, or if he just met them as people in the neighborhood. But these adult men, men in their, whatever they were at the time, 40s or thereabouts, were apparently coming over and would be drinking with and providing alcohol to Alan and his brother, Terry, and the other boys in the group. Smoking, at least cigarettes, and likely marijuana with them. And in the case of Jack Collins, I don't believe in the case of the other two, to my knowledge, involved him in stealing things for him. Would get him to steal things for -- that Jack Collins could then sell or fence so that he basically incorporated, indoctrinated him into this thieving activity.
- Q. Alan, during part of this time, did have a stepfather. How does that relate to the factors that you were talking about that you've referred to in your testimony as fatherlessness?
- A. Yeah. Well, in a perfect world, a better situation, that could have been a saving grace. That could have been if he were a benign positive figure, a good role model, that could have been a turning point in

`6

Alan's life. But instead, this man, when Frederick was, by all accounts, a very -- furthest thing from a benign figure. Was actually a very malevolent figure. There were numerous stories told about him being -- about him getting food and drinks that would be for him and his son Leon, but Alan and Terry were not allowed to touch them. Alan tells of a time when Winfred saw him and his friend on the road and attempted to hit them with his car. Winfred, I know we will get into this quite a bit, but Winfred was one of several figures that exposed Alan to extremely unhealthy pathological distorted sexual activity, which was what ultimately led to the end of the marriage between Winfred and Anita.

- Q. And when you say pathological and distorted sexual activity, what specifically are you talking about with respect to Winfred Frederick?
- A. Winfred Frederick was carrying on an ongoing sexual relationship with his niece, Brenda Reyer, who was approximately 14 years old at the time. This was something that apparently Anita Frederick learned only somewhat later when she came home and caught them in the act. But this was an activity that, according to Alan, according to Terry, according to Mary and Marie Reyer, Brenda's younger sister, the kids in the neighborhood, at least the four of them, all knew about and they used to watch. They would go, and the sexual activities would happen in Winfred's van, parked right in front of or in back of their house, and the kids would go and push aside the or peak through the curtains in the van, and watch

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

their father/uncle/stepfather, whatever the particular relationship was, have sex with their sister or neighbor, Brenda Reyer. This was going on for quite some time. By Anita's report, also here in open court, when she came home and didn't know where he was and she asked, I think the older kids feigned ignorance, but Terry said he's out in the van, and I think Anita's terms was bleep, bleeping Brenda, or that — she censored herself — indicating his knowledge of what was going on.

- Q. And was this significant to Alan's psychological development in terms of his views of sexuality and sexual boundaries?
- I believe enormously so. And again, like my Α. previous answer, if it were an isolated incident, I think we all as human beings are able to deal with single isolated incidents, even if they're really bad. Even if they're really traumatizing, we can kind of put them in their place. We can kind of say, okay, here is how life is, and this person did this bad thing or that was a really painful awful event, whatever the event may be. The problem here is that that was one among many, many truly grossly pathological distorted boundaryless -- this is an entire neighborhood that in which, I've never seen a neighborhood, a small environment in which there was this degree of crossing of sexual boundary, incestuous with relationship, sexual relationships across generations, and that entire constellation of sexual events had a huge impact on Alan, on his brother Terry. I believe on all of the kids in this neighborhood.

Q. When you use -- I want to make sure in terms of the term "pathological," can you define that, please, what do you mean when you say pathological?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- I'm glad you are asking that because there is actually a couple meanings. I should probably be more precise with it. On the one hand I'm meaning, by pathological, that it's an indication of disturbance that this is a -- this is a -- an unusual, distorted, basically a sick behavior. But I'm also indicating by pathological, probably pathonomic would be a better term, that would mean something likely to produce pathology. So I'm using it in kind of two, two, two senses. believe I can look at a neighborhood and say, huh, if these six year olds and eight year olds are having sexual intercourse with one another, if multiple uncles are having sex with this 14 year old girl, if this girl was -- is the daughter of her oldest sister, very likely by her father, this is a pathological neighborhood. This is an environment that is full of sexual disturbance, distortions, and lack of boundaries. I can go further and say someone growing up in that environment and exposed to those influences is at enormous danger, enormous risk of him or herself growing up with sexual distortions and disturbances.
- Q. Let's talk specifically then about these factors in Alan Walker's childhood. Other than, you know, we've talked about his stepfather, Winfred Frederick, did you discover other issues related to crossing or transgressing of sexual boundaries, or what you are

talking about now as pathological sexual activity in Alan's immediate circle in his childhood and formative years?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

Yes, I discovered an absolutely huge amount. Α. And probably to clarify, I should say that I had to essentially adjust my usual frame of reference in dealing with this situation. I usually basically look at a family, you know. I say, okay, so here is this family, and let's say we know that this individual was sexually abused, and then we will look at was there incest within this family? Let's extend it further and was there -you know, if it's this parent or uncle doing things with this kid, was there sexual abuse in the preceding generation. I basically look at the family system and sexual activity including sexual abuse within that system. Here, I realized that, no, it's not this particular family, it's this whole -- it's 28th Street, as they talked about it. It's this whole neighborhood where there's no boundaries between -- no boundaries within families, no boundaries across generations.

So the examples that I learned of, Winfred and his niece Brenda Reyer, but Mary and Marie Reyer say that Brenda also had sexual relationships with several of her other uncles. Mary, the youngest of the Reyer sisters, who I will be talking about having sexual relations with Alan and Terry, along with her sister, Marie, Mary grew up believing she was simply the youngest of the Reyer girls and learned, this is what -- she told me this directly, she learned at about age 18 that she was

actually the daughter of the woman that she believed was her oldest sister, this is Linda Reyer, and that that wasn't her sister, that was her mother. And Mary doesn't know who her father is, but she believes that quite likely her father is also her grandfather. That she was fathered by Linda's father. This has never been acknowledged or confirmed, and I don't know the truth of that. Both Alan and Terry —

- Q. When you say you don't know the truth of that, you are speaking of the last piece that you talked about? I want to clarify your point.
- A. I'm sorry, I wasn't clear about that. I don't have any way of knowing for sure who Mary Reyer's father was -- father is, for the simple reason that Mary Reyer doesn't know for sure who her father is. She believes it may be the person she grew up with.

MR. WHITE: Objection, Your Honor. If he doesn't know, he doesn't know.

THE COURT: Move on.

#### BY MR. CRAIG:

- Q. That's fine, I wanted to clarify that one.

  That's fine. So we were talking about the Reyers and I think -- let me ask you about the events and the significance of sexual activity between the two younger Reyer sisters and Alan and his brother Terry?
- A. Right. That's Marie and Mary Reyer and Alan and Terry. To put it in a context of ages, Alan and Mary are roughly the same age, I believe they're in the same grade in school. Marie is about two or three years older than

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

I believe three years older. Terry is Alan and Mary. about two years younger than Alan and Mary. And they all four engaged in sexual activity together, which my best estimate, trying to piece together the reports, is that this occurred when Alan and Mary were about eight, which would have made Terry six and Marie about 11. And the sexual activity was quite extreme. We're not talking about kids -- we're not talking about you show me yours, I will show you mine kind of things, which are pretty common and pretty benign. We're talking about actual attempted, possibly actually performed penile/vaginal sexual intercourse, which is extremely unusual at this sort of age. And it's an activity that says to me, okay, if people are doing that, if kids are doing that, at least one, if not more of them, have already had that done to them by an older individual, or at the very least, been exposed to a great deal of graphic sexual material.

So that's the next set of sexual, inappropriate, and premature sexual activity that went on.

- Q. And so those children are within a couple years of each other. Was there a -- did you discover that there were -- was sexual activity between Alan and Terry and an older member of that family?
- A. Yes. This is the same Brenda Reyer that they had seen having sex with their stepfather, Winfred, her uncle. Both Terry and Alan report that Brenda Reyer engaged in oral sex with them, when I believe she is eight years older than Alan. So if these were at around

the same age, Alan would have been about eight, and Terry would have been about six, Brenda would have been about 16.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. At a different place in your report you talk about, but I want to talk about it now because it's relevant in the chronology, you talk about the issue with respect to Brenda being an older female and Alan and Terry being younger males. And whether that is -- is that considered by psychologists in your field to be sexual abuse, the equivalent of the reverse gender, an older male with a younger female?
- I can say that as an unequivocal yes. In Α. contrast to how it tends to be viewed by society at large, including by the young boy victims of that sort of event, Alan did not describe the interactions with Brenda Terry, here on the stand, Reyer as being sexual abuse. referred to what happened to him as child rape. coming to the realization or has come to the realization, wow, I was raped as a child. But for the most part, boys who are victimized by older females very often do not see Society at large tends to kind of laugh that as abuse. at it or even think of it as, oh, those lucky guys. it's a boy, he must have really, really liked it or wanted that. But the research is clear and unequivocal The impact of sexual abuse on kids, regardless That is a -- what I often of gender, are very similar. do in my reports is to ask the readers to simply perform a mental exercise and reverse the genders and say how would we view this if we learned about a 16 year old boy,

16 year old teenage male engaging in oral sex with an eight year old girl. And I think most of us would, without hesitation, say that's sexual abuse. And then say, well, the research says that has comparable effects if the genders are reversed. That is, it's still sexual abuse, and the long term impacts of sexual abuse are still present.

- Q. And did you come to -- have you come to discover, for example, what some of the effects are with Alan's brother, Terry, and for benefit of counsel who may want to lodge an objection, please indicate whether this came about in a discussion with Terry Walker after his testimony on February 22nd of this year?
- A. Okay. Well, like I said, here in court I heard him say, and I think I have this quote.

MR. WHITE: I will lodge an objection because it is irrelevant to what happened, or how Terry processed this is irrelevant to how Alan processed this. So it has no bearing on whether -- on Mr. Walker's condition.

THE COURT: I sustain the objection.

MR. CRAIG: If I may ask a different question that may be helpful to the Court in that regard.

BY MR. CRAIG:

Q. Without talking about what Terry Walker said to you, because the court has ruled on that, would it be important for you to know the differences in how each of those brothers experienced or how they processed that

experience and why?

MR. WHITE: Same objection.

THE COURT: I sustain the objection. I'm more interested to know what your client's reaction to it was.

MR. CRAIG: Thank you, Your Honor. I don't want to belabor the record, but we might want to make a proffer of that at a later time.

THE COURT: Sure.

MR. CRAIG: Mr. Voisin can remind me of that.

BY MR. CRAIG:

- Q. What other events or occasions of pathological sexual activity in Alan's childhood did you discover? We've talked about that the situations with the two Reyer sisters, and then the older Reyer sister, Brenda.
- A. Right. Well, another one involved Robin Saucier, who was, again, the mother of Alan's child. The way basically everyone I spoke to describes it, this includes Robin, she was essentially sold at age 11 to a man in his 40s named Leroy Marroy, sold by her parents in exchange for a sump pump, a washer and dryer, and a refrigerator.

MR. WHITE: Here again, Your Honor. I object to this. This is what happened to somebody else that's not even related that he said sometime later had a relationship with, is not relevant to what he did in this case and his psychological development.

THE COURT: I think it's background to what Ms. Saucier, his interaction, the witness' investigation and discussions with Ms. Saucier has to do with this defendant. So I will overrule that objection. I think it's just foundational into that.

MR. CRAIG: Thank you, Your Honor. BY MR. CRAIG:

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. How would that -- did you do any determination of whether Alan and other members of his age cohort knew about that relationship?
- I heard about it from multiple people, including Alan, Alan's mother, and at least one of the Reyer sisters. And one of the things that was so striking to me about it is this was viewed within the neighborhood with sort of scorn and derision that basically all of those Sauciers, they, you know, Leroy Marroy bought the mom these things, so she let her daughter move in with She moved in with him at age 11, I believe. And it him. struck me that this was actually not that different than what happened within Alan's family with Amanda, who testified here, that Amanda talked about how this man, Merlin Castleberry, who the age difference was not as extreme, Merlin Castleberry was, I believe 21, Amanda was 13, perhaps, and Merlin ingratiated himself with Amanda's mother by buying -- by fixing her car for her and buying her things, and the mother allowed their relationship to develop, despite warnings from multiple people, including Alan. That Amanda said that her brother Alan warned her

about this Merlin Castleberry, to stay away from him. The mother allowed this relationship to continue. And Amanda was in ninth grade when she became pregnant with her first child. She had two children, I believe, by Merlin Castleberry.

So, to me, part of the relevance of this is this is a part of this, I usually say family system, this is part of this neighborhood system and the life in which Alan was raised.

- Q. Let me ask you very specifically to explain to the Court how Alan's knowledge of these different transgressive pathological relationships affected his psychological development, his views on sexuality, his, for lack of another word, matrix, how he developed in terms of thinking about women and sexuality? Did it make a difference, should be my first question, but if it did, please explain that.
- A. Well, yes. I certainly believe it did. We are -- we are creatures that learn from our environment. We learn from our parents, we learn from our teachers, from our peers, from our friends, we learn by the role models that we're given. The role models that Alan had were people who did not have the same sense of appropriate boundaries as I believe most of us have. Did not have a sense that one does not have sex with other members of the family, other than husband and wife. It did not have a sense that it's not appropriate for a 40 year old man to have sex with an 11 year old girl. It's not appropriate for a 21 year old man to have sex with a 13

year old girl. So he grew up in a situation where he was exposed multiple times to role modeling that says, no, there aren't those kind of boundaries. One has sex with whomever one wants to have sex with. So that's, I think, one huge way that it affected him.

I also, and will probably get into this later, Alan has a profoundly distorted view of sex and of relationships, and I think much of it stems back to this, to these situations. So he ends up in a situation where he is torn between the -- these ideals of what he has of these perfect women that he feels like he should be with, and the women that he is drawn to, who are extremely, extremely different.

- Q. Thank you. What, if anything, did you learn about the nature of sexual boundaries or -- sexual boundaries or touching boundaries with respect to Alan and his mother Anita Frederick?
- A. This is a -- this is a question where there unfortunately is a considerable amount of uncertainty. There are some things that I know about for sure. There are other things that are more of suspicions or red flags.
- Q. Let's talk about the things you know about first.
- A. Okay. So things that I know about, this is from Alan, from his mother, there was this behavior that was also observed by Faye Breland, the mother's employer at the time, where Alan, as a teenage boy, came in to his mother's place of employment and grabbed and squeezed her

nipples. His statement and his mother's statement was that she didn't reprimand him or anything of that sort, that she giggled, and I think that's the term in Ms. Breland's affidavit. Alan presents this as something that was not sexual at all. He said, no, you know, I would do that with different friends and it was just this joking sort of thing. And I believed that that was his view and that he didn't recognize that this was something that others would view as strange or odd or inappropriate. That he didn't have a sense of a boundary that, no, one doesn't go up to one's mother at home, or at work, and squeeze and grab her nipples.

It's not surprising that he didn't have a sense of any inappropriateness from that because his mother didn't have any sense that this was inappropriate. She responded by giggling when he did this. So this is the thing that I know for sure is that there was a lack of appropriate boundaries and a sexualization of the interactions between the mother and Alan.

- Q. What do you mean by the term sexualization?
- A. It may not be the best term. Probably better term would be crossing of appropriate boundaries. The reason I hesitate about that is, it's something that seems to me, and I think probably to most people, that this is kind of an obvious sexual thing. That this isn't him going up and squeezing his mother by the ear or something, but that it's by the nipples. But Alan and his mother, at least Alan, didn't view that as sexual. So that's why I'm hesitant about the term. It certainly

is a profound boundary crossing or indication of a lack of appropriate boundaries.

- Q. And in your clinical practice, if you become aware of that kind of public behavior, what kinds of lines of questioning would you pursue further with respect to the mother of the child?
- A. Well, I would immediately become concerned about what that might indicate about what goes on behind closed doors. If that's happening in public, could there be much more going on behind the scene? So I would want to talk to each separately, and obviously it's a very delicate topic, but to try to explore whether there is any more overt physical sexual contact between the two of them, or other ways in which their behavior may be crossing boundaries, whether perhaps they are at risk of it moving into a more overtly sexual relationship.
- Q. And did you take that course of action in this case with respect to Alan or his mother or people who knew him?
- A. Both Alan and his mother deny that there has ever been any they both acknowledge that particular behavior, the grabbing and twisting or squeezing of the nipple, but they say that there was never any sexual activity between them. That they never had a sexual relationship. And that was something that came up because a number of people have strongly suspected or brought to my attention that possibility. So that's something that is emphatically, adamantly denied by both Alan and his mother.

- Q. Did any of the people that you interviewed, other than Alan and his mother, describe any incidents that suggested to them that there could have been something more than the inappropriate touching that you've testified about?
  - A. Yes. Mary Reyer and Robin Saucier Marroy.
  - Q. And what did they say?
- A. Mary put it -- Mary's was very vague. She basically referred to it being -- I don't remember her term, but a sense, a rumor, that she, you know, people thought that there might have been something --

MR. WHITE: Objection if he is making his opinion on rumor or speculation. It's invalid. And I object to it and him further testifying to it.

MR. CRAIG: I will withdraw that part of the question, Your Honor.

#### BY MR. CRAIG:

- Q. Let me do ask you though, you said that about one of the two people you talked with. Did the other person you talked with describe a particular incident or event that she personally witnessed?
  - A. Yes.
  - Q. Please tell us about that. Thank you.
- A. So Robin, who was his girlfriend at the time and was involved in a relationship with Alan, said that she had felt for some time that there was an inappropriate sexual relationship between Alan and his mother, and she went to their home, Alan and his mother's home, and she

said that the door, which as she pointed out, she said the door was never locked. They just opened the door, walked right in. This particular occasion, she said the door was locked, she banged on the door, she banged on the door, waited, an extended period of time passed. She said Alan finally came to the door, shirtless, sweaty, and looking disheveled, and then the mother came out shortly thereafter also looking very disheveled. That was the incident that she described that to her confirmed, I don't know what happened, but to her confirmed that there was, in fact, a sexual relationship between the two.

- Q. Okay. Let's take -- let's step back and assume that there was, in fact, no relationship or sexual activity between Alan and his mother, other than that which has been testified to in this court, the public touching. I believe if you could summarize, even assuming there was no -- nothing further than that, in your opinion, does that have an impact -- did that have an impact on Alan's psychological development and, in particular, his views of sex boundaries and of women?
- A. Well, I think, obviously, yes, in the sense that I think it's obvious in the sense that if we're talking about boundaries, growing up with a relationship with one's mother, in which it's okay to or giggled and laughed at to -- when one grabs her nipples, one is going to have a poor or distorted sense of appropriate sexual boundaries.
  - Q. And are there studies that you're familiar with

with respect to the effect of sexual behavior between parents and their children on the psychological development of their children?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

There is a lot of research, and I Absolutely. Α. do want to give the caveat that I do not make an assumption that there was an overt sexual relationship between them beyond the behavior that we know about, the nipple twisting behavior. I do not make an assumption that there is something beyond that. I think that is a possibility, but I do not assume it. In terms of research about it, the -- what's known, this has been researched for years, the closer the relationship between perpetrator and victim, the more severe the impact. There is a lot of research about the various factors within sexual abuse that are predictive of poor outcome, Those have to do with severity of a negative prognosis. the abuse, duration of the abuse, frequency. One of the biggies is closeness in relationship with the perpetrator.

So basically, a parent or parental figure sexually abusing a child is the worst case scenario that has the most profoundly negative impact upon someone. If there is sexual abuse by somebody considerably more distant from the victim, that has less of an impact.

- Q. But not no impact?
- A. Certainly not no impact. The best scenario is no sexual abuse. If there is sexual abuse, we can kind of look at these factors and say it's better if it happened one time than if it happened a whole bunch of

- times. It's better if it happened, you know, only over a one month period of time, than over a several year period of time. It's better if it happened by a stranger or distant relative than by a parent.
- Q. Okay. Did you study any other issues related to Alan's understanding of love and physical affection that began in his childhood?
- A. Yeah. Basically, he didn't have any physical affection outside of the context of sexual relationships. He bemoans the fact that he says he was never hugged. He said that when he went to jail and said goodbye to his mother, and to his then girlfriend Trina Perry, he hugged her, but he and his mother did not hug.
  - Q. You say he hugged her?

- A. I'm sorry, Alan said that he and his girlfriend Trina Perry, they hugged goodbye. But that he and his mother did not. That just was not something they did.
- Q. That could have been just because his mother was in shock at that particular time, did you consider that?
- A. Well, he described it as a characteristic pervasive aspect of their relationship. That there weren't hugs, there weren't affectionate, you know, messing of the hair. There wasn't that kind of physical non sexual displays of affection and want.
- Q. And how does that or did that relate to Alan's psychological development?
- A. I think he was missing a fundamental human need. We need warmth. We need contact. We need hugs. We need nurturance. I mean, I'm a parent, I assume many people

here are, and I think as a parent, you kind of just know this, that it's helpful to hold one's baby. To cuddle in a completely non-sexual way, but to provide that sort of physical contact and warmth. Here is this very young child, Alan, growing up with -- almost without parents, mom, you know, at four raising his younger brother. And when his mother was around, there just wasn't that kind of -- the hugs, the comfort, nurturance, that sort of physical non-sexual affection. And Alan ended up going up through into his teenage years -- well, actually before his teenage years, only getting physical contact or affection, of whatever sort, in a sexual context.

- Q. And do you have an opinion about how to put those things together, do you have an opinion about how the over sexualization of Alan's childhood experiences and this deficiency of physical love and affection, do you have an opinion about how they worked together to form his understanding or psychological makeup when it comes to matters of sex and a relationship between men and women or people who love or have attachments to a child?
- A. This may just be repeating what I said, but I think it's that his sense of how affection is displayed is through sex, and he developed this sense of longing, I'm sure exacerbated by years in prison and away from healthy physical contact where he talked with me about recurrent fantasies of these non-sexual interactions with females that he fantasizes about taking a bath or a shower with a woman. About there being touch and

cleaning, and as he made clear, but no sex. Nothing sexual. That's the sort of thing that he longs for. And that's the sort of contact that he's never had in his life.

- Q. I would like to then turn to a different area of traumatizing factors, and that is that the question of alcohol and drugs. What is your understanding of Alan's use of drugs and alcohol in childhood and adolescence?
- A. He -- my understanding is that he started drinking, smoking cigarettes, and using -- smoking marijuana at a young age. I don't know precisely, but somewhere between I believe 11 and 14, somewhere in that range.
- Q. And what permissions or role models did Alan have in that regard?
- A. Well, he was given -- provided alcohol by these adults that -- I'm sorry. I think I misspoke about the age because I just realized, these would have come about after the mother's -- the relationships and interactions with Duke Maloney, with Frank Potter, and with Jack Collins began after the mother's divorce from Winfred Frederick, I believe, which is when Alan was 14. So we would totally be talking more like in the ages of 14 and 17. So I was in error when I said 11 to 14.

THE COURT: Mr. Craig, refresh my memory, how old was your client when he was arrested? Refresh your memory, I guess.

MR. VOISIN: Twenty-five.

MR. CRAIG: Twenty-five.

THE COURT: Thank you.

MR. CRAIG: Thank you.

A. So, well -- so in that era when he is an early to mid adolescent, mid teen, he was provided alcohol by Mr. Maloney, Mr. Potter, Mr. Collins, provided cigarettes, and likely provided marijuana. And they all did this together. I say likely because there's been conflicting reports with some saying it was, and some saying it wasn't. So I don't know how to reconcile that.

BY MR. CRAIG:

- Q. Some persons you interviewed -- did some persons you interviewed tell you that those older men did provide marijuana to Alan and his aged cohorts?
  - A. Yes.
- Q. What was the effect of -- well, I don't think you've said how it started. Do you have any sense of the frequency with which Alan in his teen years would drink alcohol or use other kinds of intoxicants, including drugs?
- A. He was drinking very, very heavily. This was something that he and his friends, this group of about four boys that were hanging out together, they were all drinking, I don't know precise amounts, but drinking every day pretty much throughout the day. I think it progressed, so I don't know if he was drinking that much at 15 as opposed to at 17. But over time, he and Duke Maloney and Darryl Maloney and Billy Davenport, the four of them were all spending their spending most of their lives drunk.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Did you receive reports or do you have an opinion about what the effect of being intoxicated was on Alan? In other words, what happened to Alan when he drank?
- I think all reporters, without exception, Α. describe Alan as being very different when he was on the -- he was drunk or he was experiencing the effects of That when he was sober and alcohol than he was sober. before he started drinking, no one describes him as being at all aggressive, violent, belligerent, poorly behaved. He is described as well mannered. He is -- I think Terry described him as being a chicken shit, if you will. Pardon my language, that was his description, not a fighter, not aggressive. And when he started drinking and when he would get drunk he is described by multiple reporters as getting belligerent, getting into fights, being aggressive, and particularly being aggressive towards females. Which is something that's obviously the case in the crime that we're talking about here. reason we're here. But was also reported obviously to much lesser degrees by both Sherry Schroeder and Robin Saucier Marroy, who both describe that when Alan was drunk, he could be confrontational, belligerent, and physically aggressive toward them.
- Q. You talked about these other young men of Alan's age who drank with him and with their fathers and these older men. What came of this age cohort, the other four people I think you named?
  - A. I think I only named three, but two Maloneys and

a Davenport, I think. I know there is Jack Collins, but I didn't have the sense as he was really much a part of it, and I think he was younger. Alan is the only surviving member of the four. And the other three all died of circumstances related to their drinking. Donald and Dwayne Maloney died of diseases directly, I don't know if it was cirrhosis of the liver, but died of diseases directly related to their drinking. Billy Davenport was driving drunk, got in an accident and was institutionalized from that point forward. At the time of my report, he was in an institution, but I understand he has since passed away.

MR. CRAIG: Your Honor, just for housekeeping purposes, we're at something of a stopping point before we have, I'm going to guess, perhaps another hour of testimony.

THE COURT: All right. Be a good time to take the lunch break.

MR. CRAIG: That's what I'm suggesting.

THE COURT: Then you have your other expert following this witness after?

MR. DAVIS: Yes, Your Honor. And he is present.

THE COURT: Mr. White?

MR. WHITE: I would ask that the witness and counsel be instructed not to talk to the witness during the lunch hour.

THE COURT: Let's take a lunch break until about 1:15, come back.

MR. CRAIG: That's fine. And we accept. That's standard procedure.

THE COURT: Dr. Mendel, don't talk about your testimony to anyone else. We will be in recess until 1:15.

(Recess)

THE COURT: Just a little housekeeping matter. The exhibits have been marked starting anew for today. The last hearing they were one through whatever, and this morning, it's not a continuation, so be mindful of that for any future reference.

MR. CRAIG: Thank you.

THE COURT: You may continue.

BY MR. CRAIG:

- Q. Dr. Mendel, towards the beginning of your testimony, you testified that you do have an opinion to a reasonable degree of scientific or psychological certainty whether traumatizing factors had an impact on Alan Walker's psychological development into adulthood, and in particular as of September 8th, 1990. And I want to ask you now, having discussed various traumatizing factors, to turn to the concept of -- turn to the subject of what some of those effects are.
  - A. Okay.
- Q. Can you tell the Court about whether there is -was an impact from the traumatizing experiences and
  factors you've testified about on the issues of power,
  powerlessness, control, and helplessness, as it relates

to Alan Walker?

- A. Absolutely. I think these are very central themes for Alan. I think that probably that begins all the way back with the experiences of poverty and instability and homelessness, which is likely to evoke feelings of lack of safety, and a sense of danger and fear. I think it's that that made that experience with the woman who forcibly undressed him or made him undress and led to him that's what made that experience be so terrifying because there was an experience of ultimate powerlessness where he is rendered naked and helpless and terrified. And those experiences are things that then he carried with him on into his adolescence and adult life.
- Q. And could this have any particular effect on Alan's interactions with females or his views of females or sexuality in general?
- A. Huge impact. These are obviously complicated things where it's not some sort of one to one relationship of this happened and, therefore, here is the outcome. But, in Alan's circumstances, he ended up, as a result of the couple of factors I just cited, but then even more so on the basis of the distorted and damaging sexual interactions in his life. He developed from all of that, he developed an insecure sense of attachment. So I think he was a very needy boy, teenager, young man, wanting affection, not knowing how to get it and not trusting that anybody would provide for him, would give him care, love, attention, affection. And then sex comes into his life at this very early time. We're not

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

designed to be ready for sexual relationships at six, or eight, or ten. He has this introduced into his life, and it's confusing, it's overwhelming, and those themselves were introduced in the context of this dynamic of control and domination.

He and Mary Reyer talk about this game that they had played together, and Alan described this little game as involving prisoners and guards that they, I think, referring to Alan and Terry, were the guards, and Mary and Marie were prisoners. And they alternated those But there is an ultimate portrayal of power, dominance, control, versus helplessness. And that's the way sexual relations began for him. It was always in this context or it was initially in this context of power dynamics, power, lack of power, control and dominance. And I don't think it's any surprise in that light that he ended up with some distortions, with profound distortions in his views of women and of sexual relationships in which things having to do with power and powerlessness played such a central role.

- Q. You asked the question -- let me just come back, ask a clarifying question about the prisoners/guards game. Was that -- was your understanding whether -- pardon me. Was that a sexual game or was it kind of like cops and robbers?
- A. No, he talked about that being the context in which sexual activity among the four of them first occurred. That they would play this game in which two of them would be guards, two of them would be prisoners, and

then they would end up having sex.

- Q. You said that, in your earlier testimony, that a non scientific way of posing the referral question could be where does Alan's anger arise from.
  - A. Correct.
  - Q. What -- do you have an opinion about that?
  - A. I do.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

O. What is it?

Like -- as is the case with virtually all of my Α. answers, I don't believe there is a single source, but I do believe that the childhood sexual activities played a I think there's several crucial pieces of huge role. information, several crucial sources of data here. The first of which is no one describes Alan as being chronically pervasively aggressive. That there are people -- I've certainly met and evaluated people who have just been angry and aggressive and violent throughout most of their -- or much of their lives in multiple situations to multiple different people and types of people. Nobody describes Alan as being that Here is this person who was described as well behaved as a child, not getting in fights. This person whom his mother and his brother describe as being passive and not unlike his brother Terry, not fighting. Terry, the younger brother, spoke of having to protect his older brother, Alan. He is not described by anyone as aggressive across the board. His aggression, his violence has, my understanding, solely come out, virtually solely come out toward women. This is rage and

aggression directed at women.

We know of at least three contexts. The crime for which we're all sitting here, the murder of Konya Edwards, and the relationships with Robin Saucier Marroy and Sherry Schroeder. And I believe that the premature introduction into sexual relationships, the sense of powerlessness and helplessness he experienced, plays a central pivotal role in his anger and rage and in understanding why it's directed solely, or virtually solely at women.

One of the things that happens with sexual abuse is that people, there is a whole range of experiences people have. But we see increased aggression, increased criminal behavior. We see higher likelihood of substance abuse, and we also experience anger at perpetrators, but also at the class of people that perpetrators represent. There are certainly substance abuse -- excuse me, certainly sexual abuse victims who, male or female, who can't stand being around men, who hate men. Don't want anything to do with men. And there are people who hate, fear, and have these intense emotions toward women. Toward the class of people that has perpetrated abuse against them.

- Q. How does that play out, in your opinion, in terms of Alan's view of women and sexual boundaries, and if you feel like you've already adequately answered that in your prior testimony, feel free to say so. But if you I want to make sure the Court gets the full
  - A. Can you ask it again then?

Q. Certainly. So you've been talking about that the effect, in terms of Alan's rage of the -- and anger towards females and helplessness with respect to his upbringing and traumatizing events of childhood. Those are behaviors. So I guess my question is, can you address -- does it also impact his view of sexuality, sexual boundaries, and of women in general?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

Α. Yes. Absolutely. And I think there are, certainly a bunch of that that I've spoken of. But I think there's at least one additional piece that I haven't touched on yet that I talk about in my report, that I have not talked about here. This is not only about rage and anger, and that can't, like the other factors, can't be understood in isolation. A big piece of that is that Alan has a profoundly distorted view of women and of relationships between men and women, which and I'll elaborate on that. But this creates this enormous internal conflict, and as they set up for disappointment, frustration, rage, acting out behaviors, what this core conflict is, this is not something that Alan is alone in experiencing. There is a name for it in the psychological literature. It's referred to, and I want to be real clear about this, this is a term, the term I'm about to use describes a distorted view of women held by, not by Alan alone, but by a number of men and The term is the Madonna-whore complex. What that refers to is, again, a distorted view of women that essentially views them in these two -- in this dichotomist split that women are either Madonnas, they're

either these perfect pure virginal beings, or they're promiscuous, slutty, whorish women. And obviously, it's a distorted view, it's also a very unhealthy and destructive view.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

It's really hard to have a healthy relationship with a woman if you have this view. We know this exists with Alan because of the descriptions, not only given by Alan, but by Alan's mother, and by the Reyer girls, at least Mary Reyer, of his first girlfriend. girl named Mona Bryant, and all of them, all three of the people I just mentioned describe her as being this --Alan's mother said she was very different than the kind of people Alan usually associated with. She was a really nice good girl. She went to church every week with her family. She came from good parents. Mary described her as this very nice good girl. Alan talking about her said, of any woman that I've known, any girl that I've known, there is one. Mona still carries this special place in my heart. There was never any sexual interaction between Alan and Mona. He said that they were friends in elementary school, and in middle school she attempted to kiss him. He said he was like lying on a hammock swing and she tried to lean over and kiss him, and he wouldn't allow it. He was worried, he said, that he would destroy their friendship. And there was never anything further. He said she's always held this special place in my heart. I don't know if she even knows that.

But it was an important enough relationship that Mary Reyer said the reason we were always just friends is

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

that he had a girlfriend. That was this Mona. So here is this girl, this Madonna, this perfect pure church going person, again, in Alan's vision of her, and in others' vision of her. That's the ideal. That's what people with this complex set up as the perfect being. And then we have the women and girls that Alan was actually drawn to. He was drawn to women who were promiscuous, who were heavy drinkers, who were kind of wild and unpredictable, like Robin, like Sherry. And it is a setup for enormous conflict and enormous anger and enormous disappointment because he is in the relationship with these people, and he is jealous, and he is worried, and he is upset, and he is full of rage.

In his mind, there's still the image of this ideal, this Mona, this image of friendships with women with no sex involved. This image of bathing together with washing each other, but in a totally non-sexual way. All of these things fall together. This is the constellation of things. His two utterly conflictual, internally contradictory, and impossible to reconcile The perfect, the Madonna, and the women to whom he is actually drawn. I know I'm saying a lot about I do just want to make clear that in saying this about the Madonna-whore complex, I am not trying to cast aspersions on the women. I am not trying to say that Sherry Schroeder or Robin or Konya Edwards were in any way whorish. That this was about his perception, his distorted dichotomized view of women.

MR. WHITE: Your Honor, would you ask him

to speak up some. He is getting almost to a mumble.

A. I apologize. Thank you. THE COURT: Okay.

BY MR. CRAIG:

- Q. We talked about or you testified some about alcohol and drug abuse. And I believe you have discussed its effects on Alan's rage. Is there anything additional in terms of the effect of the alcohol and drug abuse on Alan's psychological makeup as of September the 8th, 1990?
- A. Probably the only other thing I would want to add about alcohol is not unique to Alan. Alcohol is a disinhibiting agent that is -- we -- for any of us basically, if we drink, if we drink a large quantity of alcohol, it lowers inhibitions and behavior that might not otherwise have come out can be expressed. Whether that's for some people getting up and singing karaoke, for other people, unfortunately, expressions of rage and violence.
- Q. Did you study with respect to the circumstances of Alan's life, his potential for positive relationships and the possibility of controlling those behaviors over time?
  - A. When you say those behaviors?
- Q. I'm sorry. The negative effects of the traumatizing events that you've described in your testimony today?
  - A. Sure. First of all, in terms of positive

aspects of Alan's personality, there's a number of them, and I know those were spoken of here in open court, certainly by Amanda, who talked about how she was looked after and cared for by her older brother, Alan. I think Terry spoke of that as well, perhaps Leon. So there is a caretaking protective aspect of him that I think particularly came out with Amanda where he physically provided care for her, his much younger sister, while their mother was away at work. But also looked out for her in other ways, as we can see by him warning her about this Merlin Castleberry person.

There is -- I think toward people that there is not any sort of sexual aspect of a relationship, generally things are pretty positive. He is described as kind and thoughtful by Faye Breland, the mother's former employer. Described by his father as being very well behaved as a young child, at least when he came up. So there's certainly a lot of those behaviors.

In terms of controlling the negative behaviors, I think that's essentially the same answer as -- I will say this better. In terms of controlling the negative behaviors, the rage and anger, we just need to bear in mind that they are not pervasive. They are apparently solely directed at women. The fact that he's in a gender segregated circumstance in prison, I think essentially eliminates that -- it takes away the primary risk factor for Alan.

Q. And just to close that thought out, what would be the effect of being in an environment where alcohol

and drugs are forbidden beyond Alan's behavior in light of the psychological development you've testified about today?

2.3

- A. That would be another big factor. I mean, alcohol played a big role in his negative spiral through his adolescence and early adulthood culminating in Konya Edwards' death. So being free of alcohol and other drugs is enormous.
- Q. Dr. Mendel, would it have been -- were the basic principles of psychological science that you have applied today, and in your report, been available to a psychologist in 1990 when the offense happened, or 1991 when this case came to trial?
- A. Absolutely. I don't think I'm saying anything that is cutting edge or new at all.
- Q. So would it have been possible in 1990 for a psychologist two have analyzed the facts the way that you have today and testified to those facts to the jury that was asked to sentence Alan Walker for the capital murder of Konya Edwards?
- A. I believe so. I'm trying to do a quick scan if there is anything at all based on research that came out after that fact. My book came out in 1995, but was, while still fairly early in the study of impact of sexual abuse upon males, it was far from the first book. There were numerous articles and other books really starting to come out more like late '70s, early '80s. And all the other areas talking about the importance of physical affection, talking about the importance of role models,

father figures, impact of poverty, those are far older. So yes, all of the things I've said would have been available to a psychologist in 1990.

MR. CRAIG: Your Honor, we're just about done, ready to tender the witness. I wanted to inquire of the Court, I can make the proffer that we --

THE COURT: Go ahead.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

MR. CRAIG: On the testimony. I would suggest that I just make it and then we can just ask Dr. Mendel if it's correct, as opposed to asking him, going with the colloquy that would be just as lengthy as testimony.

So the proffer we would make is this, if allowed to testify about his discussion with Terry Walker in February of 2016, after Terry testified in this court, Dr. Mendel would say that -- would testify that Terry advised that he had never had a successful positive relationship with a female, even up to this day. That he has not had a girlfriend of any length of time. He's never been married. And that the only sexual relationships he has had have been very one-night and even for-pay type situations. that he is coming to understand the effect of the sexual abuse visited upon him by these -the older Reyer girl in childhood as having something to do with his inability to have positive relationships with women.

And we would have proffered that to respond to something that is often asked in these kinds of cases, you know, if something happened to more than one person in someone's immediate family, why is it one sibling seems to do fairly well in the world, and the other sibling, Mr. Walker, is on trial and in prison for capital murder? And Dr. Mendel would testify about that and say, well, Terry still had the support of his father. Terry was taken out of the home before the influence of these other older father figures, and even still, Terry has a very dysfunctional sexual effect on his sexuality from that event.

We would proffer that, and that as being the relevance for it. We respect the Court's ruling, of course, but I just wanted to make sure I had done my job pursuant to case law about making a proffer.

#### BY MR. CRAIG:

- Q. May I just ask, is that what you would have testified to if I had asked those questions?
- A. Yes. I may have said it even slightly -- in a slightly stronger more emphatic way. Terry said he had never, not even never, had a successful, never had a relationship.

MR. WHITE: Your Honor, the proffer has been made.

THE COURT: So you tender the witness?

MR. CRAIG: I was going to offer the first of his reports as Exhibit 1. We do not have any testimony about the second report. But the report that's been identified as Defendant's Exhibit ID-2, we would offer as an exhibit to Dr. Mendel's testimony, and with that we would tender the witness.

THE COURT: Mr. White, any objection to

THE COURT: Mr. White, any objection to those?

MR. WHITE: No.

THE COURT: Mark Number 2 into evidence.

MR. CRAIG: Yes, only the 2008 report, Your

Honor.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

THE COURT: And that's the one that's part of the PCR record?

MR. CRAIG: Yes, sir.

THE COURT: And everything else.

MR. DAVIS: Yes, sir.

(Defense Exhibit 2 marked into evidence)

CROSS-EXAMINATION

#### BY MR. WHITE:

- Q. Dr. Mendel, Marvin White. On your website you hold yourself out to be a forensic psychologist; is that right?
  - A. That's correct.
  - Q. Are you board certified?
  - A. No, I'm not.
- Q. Have you had any training in forensic psychology?

- A. Fair amount, yeah.
- O. What?

A. Through numerous -- I do pretty much all of my continuing education requirements through -- in the area of forensic psychology because my initial training was in clinical psychology, pretty much all of my continuing education is in forensic psychology.

THE COURT: Doctor, for me, would you keep your voice up.

THE WITNESS: I do apologize.

THE COURT: It's easy to trail off. That's okay. Go ahead.

BY MR. WHITE:

- Q. Have you ever applied to become board certified in forensic?
  - A. No, I have not.
  - Q. Why not?
- A. A couple reasons. One is that I've never really understood the point and benefit of it. I go around, I do these evaluations. I write these reports. They are well received by courts, DAs, defense attorneys. I've never been challenged or rejected as an expert. So it doesn't affect me in that way. The second reason is when I went, this is probably eight years ago, roughly, I went to a four-day conference on -- specifically on capital murder death penalty, forensic psychology in the world of death penalty. And they talked there about the board and -- the board for professional psychology in forensics and getting board certified. And one of the things they

talked about was needing to have a minimum of two areas within forensic psychology that you can demonstrate expertise in. My role is pretty narrow. It's pretty circumscribed. I talk about trauma, impact of trauma. That's what I do. I haven't ever seen any purpose or benefit simply for the purpose of getting a certificate to say, okay, I'm going to master giving competency evaluations, which is the one that tends to get recommended. That's easy. That's really straight forward. Master that, get tested on both, and you will be board certified.

There's tons of people who do competency evaluations. I would be learning it and doing it solely to have a certificate, which I haven't seen a benefit of.

- Q. Do you know what the -- are you familiar with the specialty guidelines for forensic psychology?
  - A. Absolutely.

- Q. Did you follow them in this case?
- A. Absolutely.
- Q. You did. How?
- A. I have no idea what you are asking.
- Q. Isn't your report supposed to be objective?
- A. Absolutely.
- Q. And you don't put any contrary evidence in here that doesn't -- or you do not rely on anything that does not support your theory, do you?
  - A. I think I cite things where --
  - Q. What?
  - A. I think I talk about the fact that Alan and his

mother deny the presence of any sexual aspect, whereas others are saying it did happen. That would be an example.

- Q. Let me, while you are on that -
  MR. CRAIG: Please the Court, Your Honor,

  I'm not sure if Dr. Mendel was complete with his
  answer.
- A. I was not.

  THE COURT: Finish your answer.
- A. I would think I need to look through the report, but I believe there is a number of places where I will say that here is what has been alleged, and I don't know whether this is the case because there's inconsistent reporting of it.

Another example might be Alan and Terry reporting that the sexual activity with Mary Reyer was something that occurred multiple times, and Mary saying it occurred only once. I reported that. I report what I hear and what I learn.

Q. Are you finished?

- A. Now I am finished with my answer, yes.
- Q. But you like to speculate, don't you?
- A. I wouldn't say I enjoy it. I certainly prefer to say things with certainty. But I am comfortable with when there is uncertainty, stating here is what I believe, and I do not know this thing with any certainty. I think I try to make that clear.
  - Q. Are you finished?
  - A. With that, yes, I am.

Q. So based on what I've heard here today and in your report, you believe there was a sexual relationship between Alan Dale and his mother, right?

- A. I think I've said very clearly and repeatedly in the report that I don't know if there was.
- Q. So if you cannot verify it, how can you rely on it in making your diagnosis?
- A. I did not in any way rely upon it in making my diagnosis.
- Q. You don't go into a great deal of the sexual stuff?
- A. I go into a great deal of the sexual stuff, and I say that the particular aspects, I think I can probably quote this part from memory, this will be paraphrasing, but I quote, I talk about all the premature sexual activities that existed. I talk with regard to his mother that we know about one thing and only one thing with a high degree of certainty, and that's the behavior of him grabbing or pinching her nipples at work, which has been testified to or spoken about by Alan, by Anita, and in the affidavit of Faye Breland. So I rely upon that with a high degree of certainty.
- Q. Let me ask you this, did they bother to show you the testimony of Faye Breland at the first hearing?
  - A. I was actually sitting here for that.
  - Q. No, you weren't. What did she testify to?
  - A. She backtracked enormously.
  - Q. So she said she didn't even say it, didn't she?
  - A. She said sometimes she didn't see it, sometimes

she couldn't quite see where his hands were, it wasn't on her breast, or just in that area. So I know -- I had read her affidavit before, which was very consistent with what Alan had said and his mother had said.

- Q. And do you know who wrote that affidavit?
- A. My understanding of how affidavits typically work is there is an interview between the attorney and the individual, the actual writing of it is done by the attorney or somebody within the attorney's firm, sometimes perhaps a paralegal. And then it is reviewed and signed, sometimes with initials and corrections made by the individual.
- Q. But she testified in open court, right, sitting right there where you are?
  - A. Exactly.

- Q. That she did not see it, didn't she?
- A. I think her report or descriptions were inconsistent. At some point she said she didn't see it. At some point she said she saw, but she couldn't tell where the hand was. That's my recollection of her testimony.
- Q. Okay. The record speaks for itself, what she said?
  - A. Absolutely.
  - Q. And not your recollection?
- A. Yes, absolutely. But actually, I wasn't finished in responding to your question which was about my reliance upon him being sexually abused by his mother, which as I stated, as I believe a severe distortion of

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

what I wrote in my report. It said here we know about that one incident, and I cited that there were suspicions or an observation by one person of this door locked thing, that I said -- I don't remember the term I used, a red flag or concerns about it. And I said that is adamantly denied by both Alan and his mother. And we simply do not know, I used that phrase, we simply do not know if anything further happened with his mother. What we do know is that regardless of whether anything further happened, Alan was sexually abused, and I'm referring to the interactions with Brenda Reyer.

- Q. You also -- but you don't accept any other explanation. Of course, you said his girlfriend came to the door, knocked, and he came to the door without a shirt on. I mean, there could not be any other explanation other than some sort of sexual involvement?
- I'm obviously not saying it clearly. I come to Α. no conclusion about whether or not there was any sexual involvement between Alan and his mother Anita. I state Ι that clearly. I've said it clearly here on the stand. believe though, apparently not as clearly as I thought because there is still confusion. I don't know. It doesn't said she saw this and that convinced her. convince me. I am not convinced of Alan and Anita's version which is that nothing ever happened. convinced that something did happen. I do not know whether anything happened sexually between Alan and his mother beyond the single incident of inappropriate touching at work.

- Q. It's inappropriate touching now, not pinching or twisting, right?
- A. Pinching and squeezing I think were the terms that I used.
- Q. You used twisting, also. I mean, each time it got a little more, you know, sensational. Now, as far as the -- you say you don't give any credit to this, but how many times do you mention that in your report?
  - A. Mention what?

- Q. How many times do you mention and bring up the idea of him having a relationship with his mother in that report? You've got 12 pages, how many times did you bring it up?
  - A. I don't know. Would you like me to check?
  - Q. Well, you wrote the report.
- A. And you are suggesting that because I wrote the report -- if you ask me how many times I used the word "the" in here, I would say I have no idea. I do not know the number, but I could pretty readily find it out.
- Q. But it was numerous times, wasn't it? If you could not verify that, other than by your suspicion or speculation --
  - A. It's not my suspicion or speculation.
  - Q. Well, then why did you mention it at all?
- A. Because it has been brought up by other individuals and we know of this one thing that is an inappropriate behavior, and others have said, hey, I saw this thing that certainly looked like that. And I presented it in that way. Here is a concern that was

- brought up. It's denied. I don't know whether it happened or not. And that is the state -- you can ask it as many times as you would like, and I will continue to say that.
  - Q. Are you licensed in Mississippi?
  - A. I'm sorry?

- Q. Are you licensed in Mississippi?
- A. Yes, I am.
- Q. When did you become licensed?
- A. The way it works here is they do one-year temporary licenses.
- Q. I just asked the question of when did you become licensed?
- A. Initially, I think in 2008 or something. This time, in December, end of December of last year. So I have a license that expires in about four weeks.
- Q. Did you ever receive any formal training, experience in the specialty of forensic psychology during your predoctoral internship, such as rotation on inpatient forensic or correctional settings such as jails or prisons?
  - A. No, I did not.
- Q. Have you attended workshops sponsored by the American Academy of Forensic Psychology?
- A. Yes, I have. That was that four-day one that I mentioned was from them.
  - Q. Is that the only one?
- A. I believe I've been to two by the American
  Academy of Forensic Psychology and several by the

American College of Forensic Psychology.

Q. What is that?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

22

23

24

25

26

27

- A. It's just two organizations that are for the purpose of training and providing --
  - Q. American College -THE COURT: One at a time.
- A. They're both organizations that are geared toward educating and providing training and continuing education to people in the area of forensic psychology.

BY MR. WHITE:

- Q. I believe you said with Mr. Craig that you only do death penalty cases for the defense?
- A. Oh, yeah, I'm sorry, I didn't let you finish the question this time. Why don't you go ahead and ask that question again.
- Q. I said you said to Mr. Craig that you don't do anything -- your forensic work is all for the defense, right?
  - A. That is correct.
- Q. You've had never been requested to do anything --
  - A. No, I haven't.
  - Q. -- by the state?
  - A. No, I haven't. I've actually offered.
  - Q. Have you ever presented any papers or anything to the prosecutors association?
    - A. No, I have not.
- Q. Have you ever presented papers to death penalty organizations?

- A. Not to a death penalty organization. I've presented at a couple of -- I'm sorry --
  - Q. Conferences, yeah?

- A. I'm differentiating between, like I've presented at -- the Arkansas Law Review had a special symposium on the death penalty. The law review is not a death penalty organization, it's a law school, law journal. They did a symposium on death penalty, and I presented. But I have presented -- I'm trying to think of the name of the organization. It's an organization in California that I presented about the impact of sexual abuse on males. And that was called the -- I'm blanking on the name. That's one, and then also The Center for Death Penalty Litigation in North Carolina puts on an annual conference, and I presented twice at that.
- Q. Now, you said that you had worked in a hundred capital cases?
- A. Something like that. I think maybe 110 is my estimate.
  - Q. How many of those did you testify in?
  - A. This is the 18th, I believe.
  - Q. The 18th?
- A. I'm sorry. One of those -- one time that I've testified was not a death penalty case. So this is the 18th time I've testified. Seventeen in the death penalty cases.
  - O. Where were those cases?
- A. I believe I've testified six times in the state of North Carolina. Twice in Arizona. Six times, also,

in the state of Texas. Twice in the state of California.

- Q. But you don't list those cases, do you?
- A. I think that with the exception of the most recent one in Arizona, which was just the week before last, they are all, including case number, on my forensic website.
  - Q. But not in your CV?
  - A. Nope. They're not on my CV.
  - Q. They're supposed to be.
  - A. Thank you.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- Q. The -- without overlooking, did the defendant get acquitted in all of those cases except one?
  - A. Did the defendant get acquitted?
  - O. Uh-huh.
- I've never counted how many were Α. No, no. Some of them were, as in this case, a person acquittals. who was already on death row. So it was in an appellate I had two of those in Texas. One he received a second sentencing hearing, and was sentenced a second time to death. So he returned to death row. The other one did not end up going to trial and I did not end up testifying in it. Rather than going back to trial, they reached an agreement of life in prison without parole. believe those are the only -- I've had some other I think the one Texas was the only appellate ones. appellate one in which I've testified prior to this.

In Texas, I believe only one case in which I've been involved has the defendant been acquitted. I believe that in all other cases they were sentenced to

- death. In Arizona, one case, the only one that's completed, he was acquitted, and the second one is not going, I don't know the results yet. And in California, I think I said six, I think it's actually seven. And I want to say it's about down the middle. I think a slight majority have been acquitted. I'm sorry, I'm saying acquitted and that is utterly inaccurate. Nobody has been acquitted. Have received a life -- a sentence of life without parole as opposed to a death penalty. I have never been involved in guilt phases of cases. So nobody's been acquitted in cases I've been involved with.
- Q. The reason I ask, I can only find four reported cases where your name was mentioned in the Westlaw or Lexis or whatever. One of those is a civil case, Cox versus Cox is a custody case. One is a capital murder out of California. And there is another capital case in federal court that was the district court granted him relief and then the 4th Circuit reversed it, and this case.
- A. Would you like the names and case numbers of the other cases?
  - Q. Not this late, no.

- A. They are available on my website. Again, with the exception of the Arizona case, which is still ongoing. All the others are in there.
- Q. Now, you said that you thought your work in this case comports with the forensic specialty guidelines?
  - A. That's correct.
  - Q. Would you agree that the guidelines specifically

cover issues such as the importance of forensic practitioners to obtain, maintain competence in the field of forensic?

A. Yes.

- Q. And you do that?
- A. I maintain competence within the areas in which I work, yes. It's part of why I haven't broadened my area. I know more about trauma and its impact than just about anyone else. There are lots of people who know lots more than I do about competence and other areas like that.
- Q. Okay. There is one thing, what is the APA's definition of trauma?
  - A. I'm not sure.
  - Q. You are not sure?
  - A. I'm not sure offhand.
- Q. And you are the expert on trauma in this area and you don't know what the APA's definition of trauma is?
- A. I would say so. I don't find that at all contradictory.
- Q. So what you might be classing as trauma would not be considered trauma under the APA's guidelines, would it?
- A. There's going to be multiple definitions depending on whether you are looking at trauma as in post-traumatic stress disorder and the precursor conditions for PTSD, as opposed to simply looking at life events that may be traumatizing.

- Q. We're not talking about PTSD. You said that the absence of his father was traumatic?
  - A. I believe so.

- Q. Under the APA definition.

  THE COURT: Keep your voice up.
- A. I'm sorry.

BY MR. WHITE:

- Q. I said under the APA definition, that is not trauma.
  - A. Okay.
- Q. So how does that trauma, if it's not in a psychological -- if you are holding yourself out to be an expert in psychology, how is that, if it's not under the APA definition of trauma, how does that comport with your finding?
- A. Again, I don't find those contradictory. And the reason for that is that I, just as I don't believe that the DSM-V is a -- somehow an absolute infallible source of information about mental disorders. I don't believe that the APA is some sort of gospel.
  - Q. So you make up your own?
  - A. No.
- Q. Well, you have, evidently, if your association that you say you are a member of says this is the definition of a trauma, and you said, oh, but I don't agree with that.
- A. Well, actually, what I said is I'm not familiar with their precise definition of trauma. My definition of trauma is things that are out of the -- beyond the

pale. Things that are highly unusual and painful or devastating or destructive on the upbringing of an individual.

- Q. The APA, if you want to know, defines it as, defines traumatic event as a potentially fatal event to self or others.
- A. And I think that is within the discussion of PTSD. I don't think that that's a general definition of trauma. It simply wouldn't make sense as a general definition of trauma. Even within the world of PTSD, they've expanded that to include, for example, sexual abuse, even if it's not life threatening or felt to be life threatening. The people that I would consider the top experts on trauma, Bessel van der Kolk in particular, has a much broader more expansive view of trauma, one which I share. People can be traumatized. I can be traumatized, you can be traumatized by things that are in no way life threatening.
- Q. Now, of course, forensic evaluations cover multiple data sources. What multiple sources -- what are the multiple sources that he experienced trauma other than your conclusions that it was traumatic?
- A. What are the multiple sources from which I know about the traumatic events?
- Q. Well, multiple sources, other than your classifying them as traumatic, what are they, what are you relying on?
- A. I'm not sure how to answer that question. I would say I'm relying on clinical experience, forensic

experience, hundreds of articles and books about trauma and its impact. Particularly -- I've read everything there is -- that's probably not true. But I've read virtually everything there is about sexual abuse, and I know what there is to be known about the impact of sexual abuse. As a trauma. Sexual abuse does not have to be, and generally is not experienced as life threatening, and there is no doubt that it's traumatic.

Q. In your opinion?

- A. Well, in the opinion of -- yes, in my opinion, but also in the opinion of the NIH, the makers of the National Institutes of Health, the makers of the clinician administered post-traumatic scale, which is considered the gold standard in diagnosing PTSD.
- Q. I thought we weren't talking about PTSD? You found he didn't have PTSD at the time of this event. In your supplemental report, you say he doesn't have -- he did not have PTSD at the time that he murdered Konya Edwards?
  - A. That's correct.
- Q. And you have gone into this about this Madonna-whore thing that you talked about, complex. What did he think about Konya Edwards?
  - A. What do I think?
  - Q. No, what did he think about that?
  - A. Oh, I'm sorry, I misheard the question.
  - Q. He didn't know her.
  - A. No, I think he had just met her that night.
  - Q. Yeah, just met her that night. Going to give

her a ride home, supposedly. So where is the basis for this anger that he has on somebody he doesn't even know? Now, I could see it with, you know, you talking about Robin and Sherry and their sexual promiscuity. He had nothing to go on with this girl.

A. That's correct.

- Q. Yet he takes her out and rapes and drowns her. Was he trying to wash her, to fulfill his cleansing thing when he drug her out in the water and drowned her, or tried to drown her?
- A. Well, if I were to say something like that you would be very accurate in characterizing me as speculating. I have no idea why he did that. The answer about why Konya Edwards was that he was -- she was a girl, a female that he picked up in a bar. And I think that's the entire sum total of it. He has rage --
  - O. Well --

MR. CRAIG: Your Honor, he has not finished the answer.

THE COURT: Let the witness finish his answer. If it's non-responsive, make an objection.

MR. WHITE: I object. It's non responsive.
THE COURT: Overruled.

A. Now I've lost my place. He is full of anger and rage at the class of women. I would not feel that he would be safe, maybe at this point, but throughout most of his life, I would not have felt that he would be safe around women -- that women would not have been safe

around him. That's why Konya Edwards died. And I don't think there is anything inconsistent in anything I've said regarding that.

BY MR. WHITE:

- Q. Finished?
- A. Yes, I am.
- Q. He didn't pick her up in a bar, she was asking for a ride home. She got left there and needed a ride home.
- A. I thought from Jason Riser's testimony that they met at the Fiesta Club.
- Q. It was a bar, yes. But I don't think the record shows that they had any reaction or interaction before they were leaving and she and the people she rode with had left her there.
  - A. Okay.
  - Q. And she needed a ride home.
- A. And my understanding from Jason Riser's testimony was that the two of them, Jason and she, had spoken and then they all left together. He didn't mention, as far as I saw, about her being left and needing a ride. But I don't know that that changes anything.
- Q. And so he didn't go out and kill her just because she wanted a ride home, it was all because he supposedly had some sexual interaction during his early years?
- A. No. As I've really tried to make clear, it's a very complex constellation of events, but yes, centrally

- including the sexual interactions, plural, in his early years.
- Q. Okay. And you were talking about the games, supposedly, that they played. Now, the girl says just one time?
  - A. That's correct.

- Q. And yet you want to talk about numerous times of this game being played?
- A. Both Alan and Terry -- well, Mary said there was only one sexual interaction, both Alan and Terry said it happened on numerous occasions. I think I stated it just that way. That Mary said it was a one-time thing, Alan and his brother both stated it was a multiple occurring thing.
  - Q. And you rely on Terry?
  - A. In part, yes.
  - Q. You were here the last time we had a hearing?
  - A. Yes.
  - Q. And what did Terry testify to?
- A. He testified to a lot of things. He spoke about -- I recall him using the word "child rape" when he talked about the experiences with Brenda Reyer. He talked about the experiences, the sexual interactions between -- you've asked me what he's testified to.
- Q. That's not the point of my question. My point is what did he say about remembering about testifying at his brother's first trial?
- A. That's Leon. Leon -- to clarify. I heard both of them testify. Leon, for some reason, was utterly

oblivious to the fact that he had testified in Vicksburg in 1990. Terry was not contacted, I believe. But at any rate, Terry had no such issues with memory. And I don't believe that I relied particularly on Leon at all.

- Q. Did -- Terry went and lived in Alaska, right?
- A. That's correct.

- Q. So he was not around after -- at a fairly young age he left, right?
- A. I know that you all spent a lot of time on this in the first part of the hearing about the years when this happened. And I'm confused about it as well. But Terry went there to Alaska for a year, I believe that was around about age seven. Came back for a couple of years. He said second grade he was in Alaska. Came back a couple of years, then spent fifth grade in Alaska. Came back, and then went back a third time, I believe seventh grade, and stayed from then on. I believe that's the chronology, though it's certainly possible I'm off somewhere by a year or so.
  - Q. And didn't Alan go up there, too?
- A. Alan went up -- the first time I believe was both of them. So if it was, in fact, second grade for Terry, it would have been -- I'm not sure if he is one or two grades ahead. So I'm not sure if that was third or fourth grade for Alan. I believe fourth grade. I think it's two grades. If, in fact, it was fifth grade for Terry when he was there, that would have been seventh grade for Alan. That was the time I believe that he did not stay as long, that he returned sooner to Mississippi.

And then he did not go back with Terry that third time. Terry was in seventh grade, Alan would have been in ninth grade. Alan did come down -- did go up to Alaska one further occasion when he was about 17, I believe, and was there for a matter of a few months. And then returned to Mississippi for good. Just -- oh, no, he would have been older than 17, I'm sorry, because he was returned to Mississippi shortly before the murder. Within a year, I think.

- O. Do you consider yourself an advocate for Mr. --
- A. No.
- Q. You don't?
- A. No.

1

2

3

4

5

6

7

8

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

Q. What do you consider yourself?

I consider myself a forensic evaluator who Α. evaluates defendants. You know, the -- I think maybe this will make it more clear. That disparity between the fact that I've been in 110 or 120 criminal cases, but only testified 17 times, 18 times, comes a lot from the fact that I will do an evaluation and I will say to the defense attorneys, I can't help you. Here is my Here is what I would say to you. Sure, call findings. me if you want. I will write a report if you want. this is not going to be, in my opinion, helpful to your case. Or I will just tell them what my findings are, and they will -- they won't use me, because I pride myself on the objectivity. If there is no trauma, I'm not going to say there is trauma. If the trauma has had minimal impact, I'm not going to say it's had a greater impact

- than is, in fact, the case. So I'm not an advocate.
- Q. With that kind of track record, 17 cases you said that you've testified in?
  - A. Correct.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- Q. And a hundred more that you have not testified in?
  - A. Something like that, yes.
- Q. And all of those were you couldn't be of help to them, all the rest of those?
- No, no, no. I'm sorry if I gave that Α. That is one category. I want to be clear impression. that that exists because I think it argues against the point that you are trying to make that I'm an advocate, that I am not somehow not impartial. The biggest category of all among those 110 or so criminal cases, or murder cases, are ones where they will say yes, we would like you to write the report. I will write the report with the idea that possibly I will go and testify as I'm doing now. And they get that report to the DA, to the -that's what it's called in other states, to the prosecutor, to the AG, to the DA. And sometimes I've ended up talking, they set up the interviews with me and the DA, telephone interviews, and a settlement is reached. And I don't go to court for that reason. Ιt never goes to trial. That's the biggest of the categories.

Category two are the 17 that I've testified. But there is another category, and I want to say that has somewhere between 15 to 20 cases, about equal to the

number that I've testified in where the defense has not wanted to use me because, A, I didn't find abuse. They thought there may be abuse. I've looked and I've said, maybe, but not that I can see. Or I've felt that there is maybe something traumatic in their life, but it's not had a huge impact and it's not going to be particularly -- yeah, that it hasn't been hugely impactable.

- Q. So we don't really know how many cases that you have actually said, just like 15, you've said that nothing could be found?
  - A. That would be an estimate.
- Q. So most of the time you find some reason to help the defendant?
- A. Well, the cases that I'm called in on are cases in which there's already a strong reason to believe that there is abuse or trauma. Despite that, in 15 or so of them I've said, you know, I don't see it. That's not what I see. Because I go in with an open mind wanting to hear what's there and get a sense of it. It's why I threw out my report. There over and over again are statements about who backs, or in cases where somebody contradicts the defendant's version of experiences.
- Q. Are you still a member of the Association for Sentencing Advocacy and Mitigation Specialists?
  - A. No, no.

- Q. Why is it still on your resume?
- A. What?
- Q. Why is it still on your resume?
- A. You don't have the most recent copy of it, I

don't think. Did you get that from my website?

Q. Yeah, I did.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- This has been -- okay. You have what's called Α. -- this has been a recurring frustration for me. what's called a C-A-C-H-E-D, cached version of my website. It's been off my website for about a year and a half I want to say. I've been -- well, actually I can say this more simply. I was a dues paying member of the National Association of Sentencing Advocates and Mitigation Specialists from approximately 2011 to 2014. -- no, 2013. I was in it because of their listserve, which was wonderful, and I would learn lots from it. And then it just kind of disappeared and stopped having almost anything on it. I thought, yeah, no reason to keep paying for this. And I haven't been a member since. Again, I believe it was 20 -- I'm not sure if it was 2013 or 2014. But I believe 2013 was my last year of membership.
  - Q. Now, you talk about him being homeless?
  - A. Yes.
  - Q. How long was that?
- A. I think that may have been just a matter of weeks.
- Q. Yes, that's what you say in your report. He was homeless for a few weeks at the age of two. How does that --
- A. Two wouldn't make sense. I apologize if I say that.
  - Q. Well, then your report says two?

A. Okay. Then that would have to be in error because it was after the dad -- because the dad spoke about this being after he had gone up there.

THE COURT: I can't hear you.

- A. I'm sorry. My understanding is that the time when mom and -- I mean, Terry was born, and they are two years apart. So he had to be at least somewhat over two. And I would think now that he would have been closer to three or four and Terry would have been two. So I apologize.
  - Q. Well, your report reads --

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- A. I trust that you say that.
- Q. Your report reads Terry was -- I mean, Alan was two and Terry was an infant.

MR. CRAIG: May he read the rest of the sentence?

Actually, I will correct this here. Α. I can see how you would have misread that. When he was about two years of age and his brother, Terry, an infant, their father left them. That's what happened when he was two, about, and Terry was an infant. There was no contact with their father until Alan was about seven years old. Alan's mother Anita moved haphazardly with her young children traveling by car, along with another couple, from her family's home in Florida to Louisiana and then quickly back to Mississippi. The five of them, the Walker family and the other couple, lived in the other couple's car for a few weeks. While homeless, Alan recalls being responsible for his younger brother at this

early age while their mother worked. In other words, I apologize if my writing was not clear and obviously led to a confusion here. What happened at two years of age, approximately, or about, as I wrote it here, was the parental divorce. Sometime between two and seven is when the period of homelessness, and again, I've estimated that it.

- Q. Age of what now?
- A. Well, it says there was no contact with their father until Alan was about seven years old. So that's the time range. I believe he was about four. That's, I think, based on the mother's report and Alan's report that that's how old he was during this relatively brief period of homelessness. But not two, and I didn't say that. And I would appreciate you, at least, representing what I write accurately.
  - Q. And you not being clear.
  - A. What?

THE COURT: Let's stop the argument between witness and counsel. Mr. White, you know better than that, and Mr. -- Dr. Mendel, you just answer the questions.

A. Okay.

BY MR. WHITE:

- Q. So a few weeks of homelessness like that, living in a car, caused all this trauma, as you call it, trauma, that does not meet the APA's definition, that added to all of this stuff about why he is sexually dysfunctional?
  - A. Well, I think I've tried to be clear about this.

That no, absolutely not. Actually, I can just answer that simply no. A few weeks of homelessness definitely did not lead to all of this trauma and all of this sexual dysfunction.

- Q. Now, extreme poverty, you seem to associate that with causing his condition?
- A. It is one of the numerous factors that I list, yes.
- Q. So anybody in extreme poverty is subject to this, right?
  - A. What do you mean by this?

- Q. Well, what you diagnosed Alan with, supposedly?
- A. I don't believe I made a diagnosis in this. Can you reask your question? I have no idea what you are asking.
  - Q. You did not make a diagnosis of Alan?
  - A. Not in the first report.
- Q. So we don't -- so what is your conclusion about Alan, if you've not made a diagnosis, then this is just words on a paper?
- A. Of course this is words on a paper. But no, I don't focus on diagnosis. I focus on human beings. I focus on, I think the way I say in my summation page, is that the verdict in my introduction and summation, is that my purpose is to be able to explain this human being to help anybody involved with this case, whether it be DAs, defense attorneys, judge, jury, to understand the individual who committed this crime, who is convicted of this crime, understand how he became the adult that he

became.

- Q. So there is --
- A. I was not finished at that point. No diagnosis can capture that as well as a psychodynamic statement, a description of an individual. A diagnosis is a label. If I'm asked to do a diagnosis, I can do a diagnosis. I was asked to assess the presence of trauma and the impact of trauma if any.
- Q. But you don't use -- what is your definition of trauma then, if you do not follow APA's definition of trauma?

MR. CRAIG: Object to that. It's been asked and answered at least twice just in the cross-examination.

THE COURT: Sustained.

BY MR. WHITE:

- Q. What kind of studies find a link between childhood trauma and adult behavior?
  - A. What kind of studies?
  - O. Yeah, do you have some?
- A. Gosh, hundreds of them. I would say probably the pinnacle of this is what's called the ACE, the -- I'm blanking out what the A is. The adverse childhood experiences. This is a study done jointly by the National Association -- National Institutes of Health and Kaiser Permanente, the very large hospital and medical chain in California. As a result of which, they have, I believe, over 30,000 people in this study. And what they've done in this longitudinal study is every single

person for the last 20, 30 years now, in California, who is involved with the Kaiser Permanente system, fills out questionnaires, and they're related to adverse childhood experiences, which is actually fairly close to what I define as trauma. And then they're followed longitudinally. So that's the biggest, the biggest study of this sort. But there have been, I would say a conservative estimate would be a thousand articles in the last 15 years about relationship between childhood trauma and adult outcome. There are certainly books about it. That's what my book is about.

- Q. You also go into about him smoking marijuana, but yet you don't have any concrete proof of that, do you?
  - A. That's why I kept saying likely.
- Q. Likely. So that can't form any part in your opinion, right?
- A. Well, when Alan Walker talks about smoking marijuana as a child, I haven't heard anything that would contradict that statement. His brothers and sisters talk about believing that he was smoking marijuana. When his mother talked about believing that he was smoking marijuana, do I know it to a 100 percent degree of certainty? Pretty close. Maybe not 100 percent. But the alternative is that, for some reason, Alan, his mother, and all of his siblings decided we are going to concoct a story that Alan was smoking marijuana as a teenager. And I think that is probably, obviously, sounds rather preposterous and way less likely than the

alternative, which is that he and the rest of them were telling the truth in statements that he was smoking marijuana.

- Q. Now, you draw the conclusion, you said, that when they are playing this game, that clearly somebody had to have been abused by an adult to know what to do in that situation, right?
- Nothing to do with the game. When there is --Α. among children in this six to eight year old range, this very young age, when there is actual full penile/vaginal sexual intercourse, it is an extremely different thing than touching, fondling, which can be sui generis, that can come of its own. I don't believe that six to eight year olds spontaneously with no previous sexual experience decide I will insert my penis in your vagina, or I will perform oral sex upon you, or we will have anal sex, or oral sex, or whatever. That that level of severity is at least an extremely strong indicator of likely previous sexual abuse or, as I wrote in my report, at the very least, exposure, significant exposure to graphic sexual materials. It can be learned through that.
  - Q. Are you finished?
  - A. Yes.

1

2

3

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Well, now, you talk in your report about them spying on the man and the girl in the van, all four of these same people. So it doesn't mean anybody was abused, they just saw somebody doing this?
  - A. That's an excellent point. That would be an

alternative way.

- Q. You can go in the barnyard and see what's going on, too, can't you? Can't you?
- A. I know the research, you know. And the research says that when you see sexual there is a man named Bill Freidrick, William Freidrick who has this whole research is about normative childhood sexual behavior. His conclusion is basically you have a five or six year old and you catch them touching each other and getting naked with each other, is that an indication of sexual abuse, absolutely not. Don't be concerned about it. That's normal. You get those same two doing some touching, still don't be concerned about it. But you get actual intercourse, be very, very, very concerned.
- Q. And you don't have that proof of actual intercourse in this case, do you?
- A. I have -- well, I don't know how one would have proof. I have Alan and Terry's statements versus Mary's statements that she does not recall there being actual full on intercourse, but thinks it's possible. So that's the --
  - Q. Six and eight year olds, right?
- A. That's correct. I think both Alan and Mary were.
  - Q. That's possible?
  - A. Is what possible?
  - Q. Full on pelvic, as you say, intercourse?
- A. Yes. Yes. It is, actually. It's not possible certainly to ejaculate until one has reached puberty.

But infants obtain erections, and certainly six year olds or eight year olds do. And it is possible to have sexual intercourse. It is possible for a penis to be inserted in an anus, or a mouth, or a vagina.

- Q. Is there any indication of there being sodomy here?
  - A. No, I'm just --
- Q. Then why do you bring it up, to sensationalize this?
- A. Are you asking that as a genuine serious question?
  - Q. Yes.

- A. Absolutely not to sensationalize it. To attempt to be able to explain to you in a way that you will understand.
- Q. But your report mentions nothing about that. So why would you bring that up?
- A. Because I'm attempting to explain things to you in a way that you will comprehend.
- Q. You also cite this about the hugging or touching or him not being -- you don't talk anything about him -- when is the most important time for that touching and hugging?
- A. Early in life. To some degree, I would say it's important throughout life. But I would say certainly infancy. I would say most important time would be infancy. And then it would be a progression beyond that. So most important in infancy, still tremendously important when one is a toddler. Still important, but

- marginally less so at five, six, still, and then progressively less important, though I believe still quite important, throughout life.
- Q. But you don't go into anything about or have no questions about how he was handled as an infant or an early child, do you?
  - A. No, I don't believe I do.
  - Q. So you don't know whether he was hugged or held?
- A. I'm trying to recall if the mother said anything. Obviously, Alan wouldn't have any recollection of that.
  - Q. Did you put it in your report?
  - A. I'm sorry?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Wouldn't that have been, if it had been, would that have been important to put in your report?
  - A. Yeah, I would think so.
  - Q. So if it's not there?
- A. Then probably I didn't inquire of the mother about that and her treatment of him as an infant.
- Q. And you kind of go into this monkey study, Rhesus monkey study?
  - A. Yeah.
- Q. Is it Hans or whatever it is, the Hans study, is it Hans?
  - A. No, Harlow. Harry Harlow.
- Q. Okay. Now, you cite that and you said it basically is an example of Alan. But how did that study operate?
  - A. He worked with these -- Harry Harlow and his

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

colleagues worked with these Rhesus monkeys for years in all different studies. But the really central seminal study was one in which he would separate infant Rhesus monkeys from their mothers, and divide them, I think, I assume randomly, I'm sure randomly, divide them into two conditions, one in which they were fed milk from a bottle coming from a wire mesh in the shape of a mother Rhesus monkey. And the other which they were also fed from a wire mesh with the bottle, but in the second condition, the wire -- the second group of monkeys, the mesh was covered by a soft plush furry fabric. And what he found in both cases they got adequate nutrition. There was no difference in the amount of milk provided or intake, but the monkeys who never had soft, warm, nurturant physical contact, that is the monkeys that were fed from the bottle attached to the wire mesh, were profoundly disturbed. They had problems mating. They had problems Their peer interactions were very distorted, parenting. very different than those of normal Rhesus monkeys raised by their mothers, and quite different from those fed through the furry soft plush attachment.

They were -- the monkeys fed by the wire, no physical softness and contact were aggressive. So it's all these differences. That's why it's viewed as such an important study.

- Q. And but that's not Allen, though, is it?
- A. No, that was with Rhesus monkeys, that's correct.
  - Q. He was not fed and nurtured by a wire frame, was

he?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- A. No. No. Certainly not.
- Q. So like the second group, which I think they said that turned out fairly normal?
- A. I don't know if I would say that he was like the second group. I'm talking about a gap or relative lack or absence of physical contact.
- Q. Did that second wire monkey, even though covered with the fur, did it reach out and touch it? It didn't, did it?
  - A. No.
- Q. So that kind of defeats that purpose, doesn't it?
- No, no, because the infants are capable, from a Α. very young age, human infants, monkey infants can control movement enough that they will cuddle up against that. They will run against the fur. They won't rub against a This is something I included as an example to wire mesh. show how fundamental the need for physical contact. There are further examples that, you know, perhaps it's more directly impactful, or more directly applies, the orphanage studies. These are with human beings, obviously, and these are human beings that were raised in orphanages where until about the 1960s, or '70s even, it was thought, oh, if you have a baby in an orphanage, as long as you give him or her enough food and you, you know, move his little arms and legs around enough that they don't get bed sores and they don't atrophy, they're going to be fine. And it wasn't until around then that

people said, this is a psychologist named Rene Spitz, there were several others involved, that looked at that and said, wait a minute. Let's take some of these out. Give them the same amount of food, give them the same amount of physical stimuli, actually moving their limbs, but let's also hold them. Let's see what difference it makes when we hold them. When we hold this infant, this toddler in our arms. And it makes a huge difference.

- Q. But you didn't -- did his mother hold him? Is there any evidence that you can find in there that his mother didn't hold him and nurture him as a child? You keep going this way, you did it during your direct testimony, you do it now -- holding that child?
- A. I don't know about the earliest year of his life.
  - O. You don't know?

- A. No, I don't. Specifically, I don't know. And I do regret not just inquiring into this more carefully. More fully. But I didn't ask the mother about how, to my recollection, I didn't ask how she treated him in the first year of his life. From shortly thereafter, at least, there was not much of that. That's by her report and his. I do regret, and I thank you for bringing it to my attention so that in future cases I will make sure to inquire about that first year.
- Q. Now, you -- I've gotten different ages twice, two times when you testified, about when this prison game or guard/prisoner game was played. How old were they?
  - A. I hadn't realized I had given different ages.

My understanding of the sexual interactions among Mary, Marie, Alan, and Terry are that Mary and Alan are approximately the same age, and this was when they were about eight years old. Terry is two years younger, which would have made him six, and Marie is three years older, which would have made her 11. I believe that's the ages that each has reported that this occurred. Is it possible that instead of six, eight, and 11 it's 5, 7 and Is it possible it's instead of six, eight and 10? Sure. 11, it's 7, 9 and 12? Yes, that is possible. I don't think it fundamentally changes anything. I don't believe I've also said any ages other than six, eight, and 11.

- Q. Now, you went into some detail about Alan and his being aggressive only when he is drinking, or I don't know, you never mentioned whether he did the same thing when he was smoking marijuana as you say he did. Do you know if he was drinking the night he killed Konya Edwards?
  - A. I believe so.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- O. Are you sure?
- A. No, I'm not sure.
- Q. Is there evidence of that?
- A. I'm trying to recall in Jason's testimony if he had said that they had been drinking either as they spent the day working on the car at Alan's house or at the -- yes, actually, Jason talks about him drinking. He talks about him drinking at -- I do have more. Jason talked about himself, Jason, drinking at Fiesta. I don't recall whether he speaks about Alan drinking at the Fiesta.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

In my second interview with Alan, the one in earlier this year, when I spoke with him about the crime itself, he talked about being surprised that Jason would say that he was unable to obtain an erection at the time. And he said because I have always been able to have an erection after I've been drinking, even if I've been drinking heavily. And, in fact, I like it better that That was his statement. way because I can last forever. So that's certainly a statement from him that he was We had a statement from Jason that Jason was drinking. Is it possible that Jason was lying and he, in drinking. fact, had not been drinking, or that Alan was lying and telling me that he wasn't drinking when he had been? suppose, but I think it's really unlikely. I don't see the purpose of saying we went to the Fiesta club, we spent the time there, we drank, but when in fact they hadn't touched alcohol, which would also sound, I think you would probably agree, very consistent with Alan who has been described by everybody as drinking pretty much all day every day from his -- at least his late teens, mid teens.

- Q. I know you put several times in your report that he was an alcoholic. Was he ever had diagnosed as an alcoholic?
  - A. I don't believe so.
  - Q. But you used that term anyway?
  - A. Yeah, yeah, I did.
  - Q. Sensationalism again, right?
  - A. I don't believe that calling a group of four

teenagers who are drinking --

MR. WHITE: Your Honor --

THE WITNESS: He just referred to what I said as sensationalizing, and I'm explaining --

THE COURT: One at a time.

MR. WHITE: Your Honor, I'm asking him about Alan Walker. Not four teenagers. I'm asking about Alan Walker.

THE COURT: You said sensationalism again, right, that's your question. And you can answer that question.

- A. Okay. Great. I spoke about a group of four friends, four teenage boys, all of whom from multiple peoples reports were drinking throughout the day, heavy, heavy amounts of alcohol. I don't think it is in any way sensationalizing to refer to that as to refer to them as being alcoholic, when we know that two of them died of diseases related to alcoholism. A third was ultimately killed, first incapacitated, but ultimately died of injuries suffered from driving while intoxicated. And a fourth has this pattern of drinking heavily to the extreme detriment of his relationships and ultimately contributing to criminal behavior. I don't think it's sensationalizing that in any way to refer to that as alcoholism.
  - Q. Now, when did these deaths occur?
  - A. Which?
- Q. These three you just mentioned, when did they occur?

- A. Billy Davenport was alive when I did the evaluation in 2008. I don't know how long before that his accident was. I think he died relatively recently, like in the last two or three years. Duke and Dwayne Maloney, certainly well after Alan's initial trial and incarceration. I don't know if it was during their late 20s or their 30s or even it couldn't have been later than their 30s because they had already been deceased when I interviewed Alan in 2008.
  - Q. So you don't know what they died of?
  - A. I -- the dates?

- Q. You say alcohol related, but you don't know what they died of?
  - A. I do not know the specifics.
  - Q. So how would you know that it's alcohol related?
  - A. That's a good question. Yeah. I'm not sure.
- Q. So by just labeling it all because they drank a lot that they were all alcoholics is a misnomer; is it not?
- A. I don't know. I don't know if it's a misnomer. It's what I was told by a number of individuals who knew them. But is it possible they told me in error? It is possible, yes.
- Q. Who are these people that told you they were alcoholics?
  - A. Certainly Alan.
  - Q. He used that term?
  - A. Yes.
  - Q. Who else used that term?

- A. I believe Anita, Alan's mother.
- Q. Did she call Alan an alcoholic?
- A. Yes, I believe so.
- Q. His mother did?

- A. I believe so. And I want to say that the Reyers referred to them as alcoholics. But I actually agree with your main point, I think, with this, that I made a frayed statement about alcoholism and about dying of alcohol related diseases. And I would agree that that was actually rather careless on my part without getting further medical data about the causes of their death.
  - Q. Do you know how defense counsel found you?
- A. I do not recall. I may have been told back at the beginning, but I don't recall now.
  - Q. Have you ever worked for Dr. Shaffer before?
  - A. I'm sorry?
  - Q. Have you ever worked with Dr. Shaffer before?
  - A. No, I have not. I just met him on this case.
- Q. So a lot of what you base yours on is the self-reporting of Alan, right?
  - A. That's certainly a portion of it, yes.
  - Q. How reliable is that in a forensic setting?
- A. We both, Dr. Shaffer and I, actually did some testing of his -- of the validity of his responding, and he passed with flying colors. Dr. Shaffer administered the test of memory malingering, I administered the SIRS, the Structured Interview of Reported Symptoms, as well as the detailed assessment of post-traumatic distress, which has validly measures and contains statements about

whether the person's response profile is suggestive either of exaggerating, feigning things, malingering, or conversely of minimizing problems. No indications of that.

- Q. But those are for psychiatric conditions, are they not?
  - A. The?

- Q. Malingering psychiatric conditions, they're not for somebody just telling you a bald-faced lie?
- A. Well, the test of memory malingering is just straight about memory and pretending that there is cognitive impairment.
- Q. But cognitive impairment is different than telling you something that happened that did not happen or fashioning a story for you so that you can put in your report that he is, you know, whatever situation you want to extrapolate from it?
- A. Sure. And that is why I go to as great an effort as I do in speaking with collateral reporters to learn whether they support or corroborate what I've heard from Alan, or conversely, if they tell me conflicting or contradictory information. And I am careful to cite in here in my reports when there is something that is conflicting or contradictory. That's why I state, "Alan says this happened bunch of times." I don't think I said in here that Terry said this happened a bunch of times, the sexual interactions with the Reyers, because I didn't know that he said that yet. There is an additional piece of corroborating evidence that I didn't have access to at

the time of my report. But I did have access to Mary Reyer saying it happened once, And I reported that.

The other big statement that is different by reporters is Alan and his mother say there was no sexual interactions between them. Others believe that there was, and I said each of those views. And I did not reach a conclusion about it.

- Q. Did they agree that there was or did they speculate that there was?
- A. I would say that Mary Reyer's was a suspicion, speculation. Robin's was a -- was also a suspicion or speculation that she felt, okay, look. Why would they be in there with the door locked, this door that's never locked, and she reached the conclusion that it had happened. I don't reach that conclusion, and I state clearly in there that we do not know what, if anything, beyond the incident with the grabbing or touching of the nipples, we do not know anything else.
- Q. And that's not what the lady testified to on this very stand.

THE COURT: Move on, we've traveled this ground many times.

A. Thank you.

THE COURT: You don't need to thank me.

A. Sorry.

BY MR. WHITE:

- Q. Do you think Mr. Walker has ever told a lie?
- A. I would assume so.
- Q. Do you?

- A. I'm sorry?
- Q. Do you?

1.0

- A. The reason I'm pausing as long as I am, I would say the most likely example, and again, I don't reach this as a conclusion, if, in fact, there was a sexual relationship between him and his mother, I would strongly suspect that he remembers it and is aware of it, and was untruthful to me in denying it. But I think it is equally possible that nothing of the sort ever happened and he is telling the complete truth. That's the one area where I would suspect he could have been, out of embarrassment, shame, protection of his mother, that he may have been lying to me.
  - Q. So nothing else he would have lied to you about?
- A. Nothing comes to my mind. He certainly could have been mistaken about ages and things, but I don't consider that a lie.
  - Q. But you don't know, do you?
  - A. Of course not.
- Q. But you assume that everything that you were told is the absolute truth and you put it in there?
- A. No, I'm going to try to answer that again. I don't know how to say this in a more clear way.

MR. CRAIG: May I object to asked and answered about the sources and whether he confirmed what --

THE COURT: Sustained.

MR. WHITE: One moment, please. No further questions at this time, Your Honor.

	Matthew Mendel, Ph.D Cross-Examination
1	THE COURT: Mr. Craig, how much redirect do
2	you have?
3	MR. CRAIG: None.
4	THE COURT: Let's take about a ten minute
5	recess.
6	MR. CRAIG: Is the witness excused?
7	THE COURT: Yes. Mr. Craig, Mr. White, the
8	witness has stopped me going out of the
9	courtroom, wanted to ask me a question. Out of
10	an abundance of caution, I don't know what it's
11	about, so I want to make sure it's on the
12	record.
13	MR. CRAIG: I would rather my witness not
14	ask the Court a question, if you please.
15	THE COURT: All right. Y'all talk.
16	MR. CRAIG: Don't ask a question of the
17	Judge, Dr. Mendel.
18	(Recess)
19	THE COURT: Y'all have your next witness?
20	MR. VOISIN: We call Robert Shaffer.
21	(Oath administered by the Court)
22	THE COURT: Please allow the lawyers to
23	finish their questions before you answer so only
24	one person is talking at a time and the court
25	reporter can take everything down.
26	ROBERT SHAFFER, PH.D.
27	Having been duly sworn testified as follows:
28	DIRECT EXAMINATION
29	BY MR. VOISIN:

- O. Please identify yourself?
- A. Robert Daniel Shaffer.

- Q. And please describe your educational background or review your educational background for us?
- A. I have a bachelor's degree in psychology from Guilford College in Greensboro, North Carolina. Subsequently, I went to Georgia State University in Atlanta, and obtained a psychology degree -- excuse me, a master's degree in the field of psychology. And then I continued through the doctoral program in clinical psychology at Georgia State University.
  - Q. And when did you get your doctoral degree?
  - A. That's Georgia State University.
  - Q. When, I'm sorry?
  - A. Excuse me, 1984.
- Q. Okay. And do you have any specialized training in the field of psychology?
- A. Yes. I learned administration of the Halstead-Reitan Neuropsychological Test Battery from actually the originator and author of that battery, Ralph Reitan. And I had some supervised training experience from the chief of neuropsychology at the Atlanta Veterans Administration Hospital. And also at the -- I had supervised experience in practicing at the Atlanta Federal Penitentiary.
- Q. Okay. And where are you currently employed or how are you currently employed?
- A. I have a clinical and forensic practice that is based out of my office in the northern suburbs of

Atlanta.

- Q. Okay. And what does your clinical practice entail?
- A. I provide psychotherapy services and family related services to a variety of counseling recipients, and forensic services in the field of family psychology advising the court as to questions of custody and parental fitness. And also, a variety of valuations for criminal defendants.
- Q. Okay. And do you have a forensic consulting practice as well?
  - A. Yes.
- Q. And have you -- about how many cases have you worked on in the forensic capacity?
- A. I'm estimating between 800 and a thousand cases. Beginning with my employment at the justice department.
- Q. Okay. What did you do at justice department, what was your role there?
- A. Well, I did some work there prior to obtaining my doctorate, but most of my work was as a staff psychologist for the Bureau of Prisons at Atlanta Federal Penitentiary.
  - Q. And what type of evaluations did you do there?
- A. I was asked to conduct competency and criminal responsibility assessments for various judicial proceedings. Occasionally I testified in federal court for that purpose.
- Q. Were you working then on behalf of the prosecution?

- A. At that time, I wasn't really that cognizant of who was calling me in the court case. I think I consulted with both prosecution and defense prior to offering testimony in federal court. I also did witness protection evaluations and various of those services at the request of various justice department officials.
- Q. About how long were you there or what was the timeframe?
  - A. That was a six-year period.
  - Q. When did you end?
  - A. 1990.

- Q. And in your independent consulting practice, are you retained generally by the defense or prosecution?
- A. In my independent practice I'm retained almost exclusively by defense.
- Q. And are these exclusively death penalty cases or what types of cases are we talking about?
- A. The vast majority are murder cases. And a pretty high number of death penalty cases.
- Q. And have you been accepted as an expert in courts?
  - A. Yes, I have.
- Q. And in what courts have you been accepted as an expert?
- A. That would include about 25 counties in the State of Georgia, and in I think about six other states or five other states.
- Q. And have you ever been denied being accepted as an expert when you tried to testify?

A. Not to my awareness.

- Q. And in how many cases have you actually testified, ballpark?
  - A. Somewhere between 80 and 100.
- Q. Have you ever received any honors or awards in your employment, during your history of employment?
- A. Yes, I received a Bureau of Prisons employee of the year award during the year that I was actually assigned to a hostage negotiation team prior to that, a year or two prior to that, a three-member hostage negotiation team, some of which the training I received at the Federal Law Enforcement Training Academy. While commissioned for that purpose, we had a hostage takeover in Atlanta where over 100 staff members were taken hostage for a period of about a week. Fortunately for me, they had national hostage negotiation teams that came in and took over the responsibilities that I had been trained for. But I did participate in the initial phase of that.

Subsequently I did counseling of staff members for post-traumatic stress that they had experienced during the hostage takeover. So that was the purpose of getting the employee of the year award.

- Q. And have you had additional teaching or advisory board activity?
- A. Yes. I've had a few seminars I've done here and there. I don't do a lot of teaching, but I have provided instruction to organizations about various aspects of mental illness, schizophrenia, to law enforcement

training, first responders. I also taught about post-traumatic stress disorder to a group of military related attorneys. And I'm on a board right now for the local National Alliance of Mental Illness in my area.

- Q. Dr. Shaffer, I would like to ask you to identify this. Look it over and see if you can identify it.
- A. This is a copy of my curriculum vitae, which I think was refreshed as of sometime early this year.
  - Q. Okay.

MR. VOISIN: Your Honor, we ask that be entered as an exhibit.

THE COURT: Any objection?

MR. WHITE: Not to the exhibit, other than the fact it doesn't list his cases on there.

THE COURT: Mark it as an exhibit.

(Defense Exhibit 4 marked into evidence)

BY MR. VOISIN:

- Q. Dr. Shaffer, you mentioned that you underwent additional training in the field of neuropsychology, and I would like you to define briefly or explain what neuropsychology is.
- A. Neuropsychology is a form of assessment and treatment for individuals that have brain compromise. So with the assessment of brain compromise, very specific tests are used that have been developed for their sensitivity to various types of brain injury. The tests are able to reveal some information about, first of all, the presence or absence of brain impairment. Secondly, some reference to the level of severity about that. And

thirdly, some inference about the location of the brain, which structure of the brain might have some impairment.

- Q. Okay. And how does that differ from clinical psychology or other branches of psychology?
- A. Well, typically, other tests for psychologists include things like intelligence tests or personality assessment tests. Those are separately developed and have different types of standardization processes. And in order to do neuropsychological tests, they do have different types of validity studies and different types of administration techniques.
- Q. And you mentioned that it helps to understand compromises and brain function. But how does the testing you give differ from, like, CT scans or other types of neuroimaging?
- A. The tests that I administer are all conducted on a table-top surface in the presence of the examinee. They all involve some type of performance on the part of the examinee. Whereas the types of brain scans you are referring to, such as CT scans, require laboratory instruments to take pictures of various layers of the brain.
- Q. Okay. You mentioned you have had forensic training?
  - A. Oh, yes.

- Q. And can you describe the training?
- A. Well, the training essentially has been participation in continuing education seminars that are offered by the American Academy of Forensic

Psychologists, the Association of Family and Conciliation Courts, and other various organizations that provide this type of training.

- Q. Now, in this case, did you perform a neuropsychological assessment on Alan Walker?
  - A. Yes.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

- Q. And did you administer the types of tests that are generally undertaken by neuropsychologists?
- A. Yes, all the tests that I used are well researched, well validated, and commonly used in the course of this type of evaluation.
  - Q. And did you consider other materials?
  - A. Yes.
  - Q. And what were they?
- A. I also reviewed educational records, and the opinion and written report of Dr. Matt Mendel.
  - Q. Were you in court when he testified today?
  - A. Yes.
  - Q. And did you review any witness statements?
- A. No.
  - Q. Are these the types of materials that are used in reaching conclusions in the field of neuropsychology?
    - A. Yes.
  - Q. And as a forensic expert, are you familiar with the concept of malingering?
    - A. Yes, I am.
    - O. And what is that?
- A. The concept of malingering refers to the falsification of symptoms, specifically in the mental

health field we are talking about symptoms of mental illness that may be falsely portrayed or the exaggeration of existing symptoms.

- Q. And without going into any details about this particular case just yet, did you test for malingering in this case?
- A. Yes, I tested for malingering specifically related to neuropsychological tests.
  - Q. Okay.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

MR. VOISIN: Your Honor, at this point we move that Dr. Shaffer be accepted as an expert in the field of neuropsychology and forensic neuropsychology.

THE COURT: Any voir dire?

MR. WHITE: Yes.

VOIR DIRE EXAMINATION

#### BY MR. WHITE:

- Q. Dr. Shaffer, have you ever -- are you board certified in neuropsychology?
  - A. I am not.
  - Q. Are you board certified in forensic psychology?
  - A. I am not.
- Q. Have you ever applied to be admitted or to become board certified in either one of those fields?
  - A. No.
  - Q. Why not?
- A. I didn't view it as an advantage. There are plenty of avenues to obtain training and experience and expertise that I've availed myself to. At the beginning,

when I worked at the justice department, I was told by a supervisory individual there, another psychologist over me, that I could elect to be grandfathered in as a board certified forensic psychologist, that was at the time when they actually started the certification. But I simply elected not to do it. I really saw no advantage in that. There are also a number of certificates that one could obtain. I know psychologists that have 30 or 40 of them on their walls, but none of them in particular seemed that useful for me. And I haven't pursued any.

- Q. But the two that I'm asking about, not the other offered by other groups, are the ones that are by the American Professional -- American Psychological Association of Professional Psychology?
- A. To my knowledge, the APA does not actually endorse or they don't administer any particular certifications.
- Q. No, I'm not talking about the APA, it's the, what is it, something.
- A. The American Board of Professional Psychologists.
  - Q. Yeah.

- A. As I mentioned, I had an opportunity to opt in to that in the beginning and chose not to. Not having seen a need to pursue it since then, either.
- Q. You are familiar with the specialty guidelines of the -- for forensic psychologists?
  - A. Yes.
  - Q. Do you follow those?

Α. Yes. 1 Can you tell me what they are? 2 Q. No. Not familiar. Α. 3 How do you follow them? Ο. 4 I became familiar enough with them to understand Α. 5 that they were highly consistent with my practice of 6 forensic psychology. 7 MR. WHITE: No further questions. 8 THE COURT: Any objection? 9 MR. WHITE: Yes, we object to him as 10 anything other than a psychologist. He is not 11 board certified in any one or the other, two 12 specialties he says that he is an expert in. 13 THE COURT: Mr. Voisin, you are offering 14 him in forensic and neurological --15 Neuropsychology and forensic MR. VOISIN: 16 neuropsychology. 17 THE COURT: Forensic neuropsychology. 18 There is no such specialty, MR. WHITE: 19 Your Honor. 20 Dr. Shaffer, is there a THE COURT: 21 specialty known as forensic neuropsychology? 22 23 THE WITNESS: Not precisely, no, I've not heard that term used before. I've been 24 25

qualified as an expert in those fields independently, as a forensic psychologist and as a neuropsychologist, or in the same court hearing, both of those. But not under the same term of forensic neuropsychology.

26

27

28

That's a blended term it sounds THE COURT: 1 like. 2 3

MR. VOISIN: Right.

So I will overrule the THE COURT: objection and allow Dr. Shaffer to give testimony as an expert witness in forensic and neuropsychology.

> MR. VOISIN: Thank you.

> > DIRECT EXAMINATION

#### BY MR. VOISIN:

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

Dr. Shaffer, before jumping into the specifics of your evaluation of Mr. Walker, I would like to touch on a few questions regarding the brain, in particular, the portions of the brain that you study.

THE COURT: Can I call a timeout for just a second on a housekeeping thing. It's 20 to 4. You anticipate going -- in other words, we can stay late if it accommodates travel of the witnesses, in particular. Is that going to work that we will have enough time to finish this witness past 5:00, or is it going to be well past 5:00?

> MR. WHITE: Depending on how long they are.

MR. VOISIN: I think ours will take about an hour.

THE COURT: All right. Well, let's just play it by ear. Just let y'all know, I'm certainly able to stay past five.

BY MR. VOISIN:

Q. Okay. Let's talk a little bit about the brain itself. The brain, as I understand, is divided into two hemispheres. I was wondering if you could describe, in brief terms, what each hemisphere does?

A. Yes. That goes back to the 1960s where a real large amount of research was done with individuals that had the two hemispheres of the brain surgically severed for seizure or treatment. So they were able to do experimental studies of how each side of the brain operates, the left hemisphere and the right hemisphere.

So we know certainly that the left hemisphere normally specializes in language functions and sequential kind of tasks. Whereas the right hemisphere of the brain operates more simultaneously, and it is instrumental in spatial relationships, and also in the processing of negative emotional states, and the expression of those emotions.

- Q. And do the two sides of the brain communicate with each other?
- A. Yes. That is through the corpus callosum, which is a band of fibers that connect the two hemispheres of the brain. Very essential body that is known to be used in creativity, where information of two different fields is synthesized in a creative product. Anytime you have a sequential logical orderly type of technique along with some intuitive, more global impressionistic information, the corpus callosum is active to combine those two fields into a product that is created. It's also very important for the ability to manage and govern emotional responses,

because as the right hemisphere of the brain tends to be instrumental in that process, the left hemisphere provides information that must coordinate with the right hemisphere.

- Q. Okay. And I understand that the brain also has like a frontal lobe or --
- A. Right. The frontal lobe is the most recent evolutionarily, if you want to consider it that way, or the most specialized portion of the brain in terms of what makes us uniquely human. And also some of the higher mammal, the primates have the corpus callosum. The frontal lobes are the most highly specialized and unique to man, particularly in the prefrontal cortex. This body is overlaying the limbic system, which is the emotional core of the brain sometimes referred to as the mammalian brain because it has to do with drives for hunger or sexuality, but also emotions that are important in the socialization process, such as love, appreciation, as well as anger and hostility.

And all of that sits on the brain stem, which is considered to be roughly the reptilian model of functioning, which has to do with hunger, and sleeping and wakefulness, arousal, pain reactivity, and the basic drives that you would attribute to reptiles. But not so much involved with the higher functions of emotional bonding or reasoning.

Q. If I may approach the witness. Dr. Shaffer, I would like to show you this diagram. Can you tell me if you recognize that?

A. Yes.

- O. And what is it?
- A. This is a diagram of a cross-section of brain. It reveals the structures that I've been discussing. The brain stem is in the lower portion in the center. The limbic system is a curvature, just seated on top of that. And then it's all surrounded by this convoluted set of four different lobes that we call the cortex.
- Q. And does that diagram accurately reflect the brain structure, the human brain structure?
  - A. Yes.

MR. VOISIN: Your Honor, I would like to introduce this as an exhibit, more of a demonstrative exhibit to go along with Dr. Shaffer's testimony.

THE COURT: All right.

(Defense Exhibit 5 marked into evidence)
BY MR. VOISIN:

- Q. Dr. Shaffer, on this diagram, there is a reference to the ventromedial prefrontal cortex. Can you explain why that is significant?
- A. Yes. We've observed scientifically that the prefrontal cortex develops last in life. You go through different stages of development through age 25 where the prefrontal cortex does its final specialization, if you will. This part of the brain has been shown to have important features in the inhibitory role of expression of emotions, the timing of that kind of communication versus behavioral expression of those emotions. It's

involved in the extinction of a condition to emotional response, which means that if you are familiar with Pavlov's dogs or various paradigms where we learn things by pain and pleasure, those form very deep patterns. But the prefrontal cortex can unlearn those patterns when it's no longer appropriate to react automatically in situations like that. So when it's appropriate not to react in rage or fear automatically, but to think more logically about the action that you want to take of this prefrontal cortex is very much involved with that.

- Q. And we've discussed several areas of the brain. Are those the areas of the brain that neuropsychologists generally focus their testing on?
  - A. Yes. Primarily, the structures of the cortex.
- Q. Now, Dr. Shaffer, you said you've reviewed Dr. Mendel's report and heard his testimony. Did you hear his testimony about the various traumatic events that Mr. Walker suffered in his life?
  - A. Yes, I did.

- Q. And just as a -- from your review of the research in these areas, is there a relationship between childhood trauma and trauma through life and brain functioning?
- A. Yes, there is. There's been a lot of research into children who have experienced various kinds of deprivation and trauma, and of looking at brain correlations of that, the results of that. A lot of the work has been done at Harvard University by Martin Teicher out of McLean Hospital in Boston where they've

studied children, they've looked at patterns of abuse, and electroencephalographic readings looking at both sides of the hemispheres. And they've also studied various structures like the cerebellum, the amygdala, the hippocampus, and the corpus callosum and so forth in adults that are survivors of various forms of neglect or abusive traumatic types of situations in childhood. And they vary in these studies, they vary the types of exposure. Some have been exposed to sexual abuse. Some have been exposed to psychological abuse. And then, of course, physical abuse as well.

- Q. And more specifically, what types of effects are generally found with frontal lobe functioning for individuals who have suffered from some traumatic events in their life?
- A. Well, not specific to the frontal lobes, but to overall cortical functioning, we find that in children that have received various kinds of traumas, that the left hemisphere of the brain does not develop and specialize at the rate that it does in normal people.
  - Q. And what kind of consequences would that have?
- A. One of the consequences is a difficulty with processing verbal information. Specifically, verbal memory. That's one of the features, cortical features that does get affected by this. In addition to that, the hippocampus is known to be smaller among people that have had childhood exposure to trauma. And the hippocampus is essentially a memory structure in the brain that provides

memories and hooks them up with various contexts. So we know that's a problem. And then also, the corpus callosum that communicates information or data from the left to the right and back and forth, is smaller among individuals with childhood traumas.

Q. And what about executive functioning?

- A. So, this can impair executive functioning. It can interfere with the appreciation of consequences after a series of actions. It can interfere with a regulation of emotions. We know that hostility and anger are much more prevalent in these adult individuals. We know that depression and anxiety are also more prevalent.
- Q. Okay. And what about effects on the limbic system, the regulation of the limbic system?
- A. Right. The hippocampus is part of the limbic system and also the amygdala. And the signals from the amygdala have to be combined with information from the computing center of the brain in order to make a good judgment. And this seems to be disrupted in people that have experienced childhood traumas.
- Q. And we spoke about some of the traumas, but more specifically, what traumas that Dr. Mendel discussed are known to have these type of adverse affects on brain functioning?
- A. Well, the presence of maternal neglect in terms of affection is one of the factors, one feature that I've heard discussed in the testimony today. The other would be psychological abuse in terms of disrespectful treatment of the body, and boundaries around nakedness

and so forth. And then to the extent there was sexual abuse as a category, sexual abuse has been researched in this regard as well.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. And what type of effects does the chronic use of alcohol have on the brain?
- A. Alcohol is known to effect various decision processes and various aspects of behavioral control.
- Q. Okay. And what about, you know, sustained prolonged use of alcohol for someone who begins ingesting alcohol at an early age, like in the teenage years?
- One of the features is that when someone is Α. intoxicated during the developmental phase, that essentially we observe developmental arrest such that during that window of opportunity in development, things just don't happen like they're supposed to because the brain is under sedation of some kind. Development happens in stages and in sequence. And successful development of future stages depends on successful development of the previous stage. We know, for example, that between say three and ten, the left hemisphere undergoes rapid specialization for various functions like language and so forth. And during that time, if there's experienced some trauma, then that individual is going to have difficulty with those functions. interpersonally in terms of social development, your question was about alcohol. We see that during the middle school years, during the high school years, when there's a lot of importance on relationship building, the developmental challenges have to do with finding a place

among people and finding a role among peers. Very often we have arrested development for that type of skill if somebody is drinking during that time period.

- Q. Now, turning to Mr. Walker, when did you evaluate him?
  - A. That was May the 4th of this year.
  - Q. Where did you conduct your evaluation?
- A. This evaluation was conducted in Mississippi at the correctional institution where he is housed currently.
- Q. And based on your evaluation, have you reached any conclusion about Mr. Walker's neuropsychological functioning to a reasonable degree of scientific certainty?
- A. Yes. It's my opinion that the neuropsychological profile of Mr. Walker is consistent with that of individuals that have experienced various traumas during their developmental period.
- Q. And, Dr. Shaffer, I would like to show you this document and like you to review it and see if you can identify it for us.
- A. This is a copy of the report that I generated after conducting my testing on that date in May.

MR. VOISIN: Your Honor, we would like to have this marked for identification at this time.

THE COURT: Okay.

(Defense Exhibit 6 marked for identification) BY MR. VOISIN:

Q. Dr. Shaffer, talk a little bit about your evaluation. How did you begin your evaluation of Mr. Walker, or if you could describe the circumstances when you got there?

- A. The day that I met with him there had been a power outage at the institution. Our time was a little bit shorter than originally expected. I had some difficulties getting there as well. So we -- but we sat down and began the process and I did a very brief introduction, and then commenced with the test of malingering that I typically administer to individuals to assure myself that they're actually performing to the best of their ability.
  - Q. And what test did you administer?
- A. This is called the Test Of Memory Malingering, T-O-M-M, and it's used routinely and has been for decades, is very well researched in the field of forensic psychology as a good way to determine whether someone is attempting to perform at the best of their abilities or if they're attempting to appear to have deficits.
  - Q. And how did Mr. Walker perform on this test?
- A. He, on the first trial, performed with some errors on the test. And on the second trial he performed a perfect score. Then a third trial is administered after a brief delay. And at that trial, he correctly remembered all of the items, so he received a perfect score on that trial.
- Q. Did you reach any conclusions about whether he was malingering?

- A. My conclusion was that there was no attempt to appear falsely impaired, and that he was performing to the best of his ability.
- Q. Now, after you test for malingering, I'm just going through your report, you mention you undertook Structured Interview of Symptoms?
  - A. Yes.

- Q. If you could describe some of those structured interviews?
- A. Okay. Yes. The symptom structured interviews that I administered are based on a history of research with individuals with brain impairment and have been elaborated by Martin Teicher in the research that he's done with adult survivors of various kinds of childhood abuse and neglect. And these symptoms are a variety of symptoms that we know to occur in people that have brain compromise.
- Q. And with respect to Alan Walker, what did you learn about him from these structured interviews?
- A. It was evident from those structured interviews that he had difficulties in the area of speech articulation, confusional spells, memory gaps, and unrecalled behaviors. That each of those occurred with the approximate occurrence or frequency of about once a month in his experience. We also were aware of numbing and tingling sensations, irregular heartbeat, flushing or hot sensations, and frequent headaches. All of those statistically are correlated with known cases of brain impairment.

Q. Okay. And you also tested, gave a series of tests regarding frontal lobe executive functioning, and I want to go through some of those, beginning with the Wisconsin Card Sorting Test. If you could tell us what that test measures and describe how it's administered.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

A. This test is a test of frontal lobe integrity. It has been validated in many research studies to show that with difficulty on the test, that it's likely that there's some impairment of the frontal lobes of the brain. The way that the test functions is that there are four different types of categories that the stimulus cards match by. They differ in terms of color, the cards differ in terms of the number of items on the card, and they differ in terms of the shape of the card. So that's actually three different types of variation.

So four key cards are placed on the table in front of the examinee, and then he is asked to select a card off the top of the deck and place it below the one that it matches. And so it could go under any of the four cards that's in front of him. The subject is not told what a correct match will be, and they are only given feedback about whether their answer is correct or incorrect. If they are incorrect, they leave the card where it is and pick up the next card and place it below the one that it matches. So during this process, most people catch on very quickly. That I say correct. time the color is -- a color is the same as the key card. So matching is the color principle. Typically it just takes a handful of tries before they catch on to this.

Then, after saying correct for a series of ten placements in a row, without telling them, I change the strategy of what is correct and what's incorrect. So it's a new matching category. It's no longer color. Now it's the shape of the object that has to be matching in order to be correct. So this challenges the examinee to recognize the color is no longer working. They have to use some other strategy to get a correct answer and discover their shape is now correct.

So the reason that this is a good frontal lobe test is that the frontal lobe is very important in inhibiting a pattern of behavior that's been loaded up and primed and ready to deliver. So stopping an ongoing course of action, and then shifting to an alternative course, is the hallmark of the prefrontal cortex. So this is very difficult for some people who have different kinds of brain compromise. And in this case, you know, normally, people make six successful runs of changing categories by the time the test is about half over. That was not the case with Mr. Walker.

- Q. How far did he get in the test?
- A. We went through all 128 cards, and he had only achieved four categories by that point.
  - Q. And how does that rank him in terms of scoring?
- A. Typically, you see that in between 11 percent and 16 percent of the population. So, above that people are more likely to identify more categories than four at a rate of about 84 percent.

MR. WHITE: I'm sorry, I didn't hear. How

many did he make, four?

THE WITNESS: Yes.

MR. WHITE: Out of 128?

THE WITNESS: Four correct sorting sequences of ten cards each out of 128. That means successively placed them correctly, four times.

BY MR. VOISIN:

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- O. So he scored in the below average range on that?
- A. Yes. That number of sorts is considered to represent a pathological indicator.
- Q. I would like to now move on to THE -- I guess you gave something called the Stroop test?
- The Stroop test is another test of frontal Α. Yes. lobe functions. It assesses, again, the ability to inhibit an automatic response and give a more correct The way it works is this, there are lists of response. words that are presented that is comprised of only four The four words are the names of four different colors, it's red, green, blue, and tan. Those are the The words are actually printed in a competing words. So the word red might appear in blue ink the color ink. word tan would appear in green ink and so forth.

So for trial number one, the examinee is asked to simply read through the list of all the words. Something they can do quickly and spontaneously. At the end of that trial, they're asked to now specify the color of the ink that each word is printed in regardless of the name of the word itself. And as I said, the word is

always a competing color. So there a tendency to say the word. We're all -- reading is so over learned and well rehearsed and practiced in our experience, that we almost automatically will get the name of a word when it's on the page in front of us. So it requires some inhibition to stop the tendency to speak the word, and instead, look at the color of the ink. And that makes this really an effective test of frontal lobe injury. We know that people with frontal lobe impairment have a lot of difficulty with the Stroop. They take a lot longer with the test, and they create errors in the process.

- Q. And how did Mr. Walker score on this one?
- A. On the Stroop, he was below the sixth percentile.
  - O. Below the sixth?

- A. Below the sixth, actually. Yes. So he would be exceeded by 94 out of 100 people on that test.
- Q. And I believe the category test, also assesses, problems --
- A. Let me comment, too, on that test I noticed that he seemed to be perplexed when he was making mistakes. He made mistakes on this test and it bothered him. Incidentally, perplexity is often used as a clinical indicator that somebody feels like they ought to be doing better than they are. And it suggests that there is some neuropsychological conflict going on. It also indicates to me that he cared about how he was performing. That he was frustrated when he was making mistakes.
  - Q. And now, how did he score on the category test,

which is the next one you mention?

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Mr. Walker committed 74 errors on the category test. At the original developmental phase in the category test, Halstead and Ralph Reitan, who I worked with, or taught me, who taught me the test, established a That more than 50 errors was indicated cutoff of 50. pathological. Now, since then, we've used the test with large groups of people with various ages. Knowing the performance changes over age span. We also know that people with different educational levels perform differently on the test. So all of the scoring and norming that I did was from tables of samples of people with identical age range and education level with Mr. Walker. So this, the category test is substantially significantly below average. I think it was actually one standard deviation below average.
- Q. Okay. And again, the category test, how did that assess frontal lobe function?
- A. Because it's very sensitive to frontal lobe injury. Anytime there is frontal lobe impairment or compromise, individuals have a tendency to struggle with this test and make a lot of errors.
- Q. Okay. I would like you to talk a little bit about his performance on the Iowa Gambling Task. First maybe if you could describe what that is?
- A. The Iowa Gambling Task was developed as a means of assessing functioning of the prefrontal cortex using a very specific paradigm which has to do with making choices in a gambling situation, and the way it works is

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

that four decks of cards appear, and the examinee picks from any deck they want to. Every time they pick a card, the computer tells them that they've won some money. Also, with many of the cards, it tells them right afterwards that they've lost some money. There is no planning ahead of time, or information about how much will be won or lost with any of the decks that are in front of them. But the decks are actually structured so that half of the decks, two of the specific decks, have high rewards. So that when they select that deck, they see that they've won a large amount of money. Larger than the other two decks. The other two decks show that they've won a smaller amount of money. The difference is that with the decks that give a higher reward, they also periodically have a catastrophic loss. They could lose ten times the amount of money that they just won on those two decks only.

On the two decks that reward with smaller amounts, occasionally there will be losses, but they're not catastrophic, they're more moderate losses. So it's a test to see if somebody can register the threat of a catastrophic loss and consider that in their selections. For example -- or another analogy is sort of like the tortoise and the hare. Small simple gains that are safe in the long run, ends up with more money at the end. Whereas going for the hot decks every time looks good in the instant of the impulse, but it has catastrophic consequences long term.

O. And how did Mr. Walker score on that?

- A. Mr. Walker had a score in the impaired range on the Iowa Gambling Task, which indicates that he has a tendency -- he has some difficulty appreciating the consequence of a sequence of actions when it might involve significant losses.
- Q. Okay. And you mention in your report that the Iowa Gambling Task is associated with impairments of the ventromedial prefrontal cortex?
  - A. Right.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

Q. And I believe that was on the diagram which I believe is Exhibit 5 for today?

Α. Yes. Right. The prefrontal cortex is displayed It also has an arrow to the ventromedial The ventromedial prefrontal cortex is prefrontal cortex. a fairly small body that plays an absolutely essential role in socialization, civilization, the ability to conduct behavior appropriately and lawfully and so forth. It's been known to become activated during moral decision making. It's known very specifically that there are components to this body that are specialized. example, roughly you can consider it as having a dorsal aspect and a ventral aspect. Dorsal is the back most. Ventral is the belly most portion of that structure. dorsal back most portion is connected up or innervated with the computing system of the rest of the brain. There are association links, axons that go to other important areas that process information, take in perceptions and make predictions about what's about to So this computing aspect of the brain is matched happen.

up and paired up with the ventral portion. The ventral portion or the stomach -- or belly most portion of this structure has direct connections with the limbic system, which is the emotional core of the brain that we talked about as the mammalian brain where feelings like love, anger, rage, dread, fear, all of that is instrumented, particularly with the amygdala and the hippocampus that I mentioned earlier.

So the amygdala has nerve fibers that go to the ventral portion of this structure. And what this structure does, we know from elaborate experiments, is that when a decision is made, the information part is connected to the emotional part, and it tones a decision. It tones a person's judgment about what's going to happen. How is it going to feel if I make Behavior A or if I commit Behavior B.

- Q. Okay. So maybe building on that, what's the effect of impairment to that region on behavioral control and moral decision making?
- A. Well, we know from brain injury studies that samples of people that have, from CT scans and MRI scans, identified damage to the ventromedial prefrontal cortex, that they have trouble making moral decisions. In fact, this was first demonstrated in the 1800s in a railroad worker named Phineas Gage. There was an explosion and a tamping iron shot directly through his prefrontal cortex. He was able to successfully remove it, got to a doctor right away, and they were able to stop the bleeding. And nowadays, they relieve pressure by drilling a hole in the

He

## Robert Shaffer, Ph.D. - Direct Examination

cranium. He had a hole in the cranium, so somehow he survived this injury, and actually, returned to work. looked like he was okay. He could talk fine. He did seem to make a recovery. But what the townspeople noticed was that he became notorious for bad social judgments. He was considered to be a moral reprobate afterwards.

MR. WHITE: Your Honor.

THE COURT: Hold on one second.

MR. WHITE: It's nice to hear about this man. But when are we going to get to how this goes to Mr. Walker?

THE COURT: Move along.

BY MR. VOISIN:

- Q. So let's talk -- do that. What happens in the processing of this decision making when someone is under extreme stress or they're faced with a novel escalating situation?
- A. Well, that's when the structure is most relevant because with choices that are well rehearsed and practiced, the -- we don't see under brain imaging this structure lighting up, firing up. But we do see this structure firing up in electromagnetic resonance imaging whenever there is moral or emotional conflict in a decision, then this body gets activated. And if it's something that hasn't been seen before and already decided about. So it's a novel situation.
- Q. Okay. And what kind of emotional triggers, like from Alan Walker, would lead to this impairment thing

being more evident?

- A. You used the word "trigger." Trigger is a term of art in our field that refers to when a person sees something in the environment that reminds them of something from the past. That is associated with a negative situation. It might be associated with abuse. In the case of Mr. Walker, a sexual stimulation, sexual encounter or encounter with a woman could trigger an experience of conflict, emotional conflict. Some of which would involve feelings of rage and hostility.
- Q. And would it impair his ability to put the brakes on under the charged circumstances?
- A. Specifically if there is impairment to the ventromedial prefrontal cortex, that's where you've got particular problems. We know from research with lots of vets and so forth with PTSD that there are many vets that don't respond negatively to the traumatic stimulus. They don't have these flashbacks or they may experience them internally, but not act on them. But people with difficulties in the frontal lobes are much more likely to act out their reactions.
- Q. Now, even though they act out, does that mean they cannot experience regret or remorse for actions?
- A. Well, to the contrary, independent, you know, there are certainly sociopaths and psychopaths that have no feelings and everything is strategic. However, typically, even people with injury to this part of the brain, after the experience, reflecting back, have a full range of emotion. Full range of regret, remorse,

feelings of sorrow about what has happened. But it's leading up to the incident, the ability to predict those feelings is absent.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Okay. And moving to the next set of tests you discussed in your report, you had discussed some tests requiring left hemispheric and temporal lobe verbal functions. Did you find impairments in the left hemisphere in your testing of Alan Walker?
- In one test very specifically related to Α. Yes. Martin Teicher's discoveries that with people exposed to childhood traumatic events, that there is a tendency for difficulty with verbal memory. There's difficulty because we know from looking at the size of the hippocampus, the left hippocampus is actually smaller in these individuals. And, therefore, there can be communication between the hippocampus and the left temporal lobe seems to be disturbed. So with the Rev Auditory Verbal Learning Test, we're able to assess the extent to which verbal memory is intact or has difficulty. Impairment scores on this test is often associated with left temporal lobe difficulties. that's one of the tests. And then confrontation naming tests, actually the Boston test in my case, is another example of a verbal test that I used in this study.
- Q. And what was Mr. Walker's score on the Rey Auditory Verbal Learning Test?
- A. It was at approximately the third percentile. Meaning that 97 out of 100 people would score better than he did, having his similar age range.

- Q. You mentioned in your report a particular -- recurring type of error that Mr. Walker made in the test. I was wondering if you could discuss that?
- A. Well, you know, on this particular test, there were what we call intrusions. The test is simply that I read a list of words, 15 words, one at a time. And then the examinee is asked to repeat back as many of the words that he can remember. Intrusions are when somebody says a word during their response that was never on the list. In his case, he spoke the word "family" on several trials on the test. Even though every time I said the list, family was not ever on the test.
- Q. Okay. Did you find anything significant in that?
- A. Well, that's noted to be an indicator that there is some reality processing and impairment of some kind. There is a lack of control between some of the more subjective aspects of memory, like emotional memory, that's not effectively isolated from the literal memory of the words that I speak.
- Q. And you also gave tests times requiring the transfer and integration of brain functioning; is that correct?
  - A. Yes.

- Q. And that has to do with the two hemispheres communicating with each other?
- A. Right. Or disparate structures of the brain combining information together. Left and right is an obvious way to assess that because we can identify tasks

that have both a verbal component and a spatial reasoning component to the task. And if it has to be executed in harmony, in tandem, and it's not something they are familiar with, this is a real good test of inner hemispheric cooperation.

Q. And you gave the KAIT Fluid Intelligence Subtest. I wondered if you could describe that?

A. Yes, there's two of those. The logical steps subtest is one of them. In this task, the subject is presented with a diagram of a staircase, and then individuals are located on the stairs in different positions with certain rules. So they're informed from the beginning that, for example, Ann is always three steps above Bob. That's presented as a rule that stays visible to them throughout performing the task. So then you place Bob on the staircase on the next frame, and ask them where is Ann.

Well, basically it's pretty simple with just two people, but then you add additional people. The verbal component is a description of the rules that govern the position of the people and then identification of where the people are. So the person has to combine this sequential information, which is verbal, so and so is four steps above so and so. And they have to combine that with a visual component, which is a spatial component. So it's a complex task that's what we call fluid intelligence. It's not something that's practiced ahead of time. They have to learn it as they go.

Q. Could you just define what fluid intelligence

is?

A. Fluid intelligence is contrasted to crystallized intelligence. These are two actual factors of intelligence that occur naturally in human functioning. Crystallized are those tests — are those skills that are very well learned, they're well—rehearsed and practiced and used many, many times over the course of life. Vocabulary is an example of a crystallized test of intelligence. Fluid tasks involved thinking on your feet, responding to situations that are novel that you haven't seen before, and providing information with strategies that you come up on the run. So there are two different types of IQs, essentially. And that's the distinction.

Now, fluid tests, fluid functions are much more susceptible to environmental disturbance. In the form of toxicity, brain injury, we know that fluid subtests get impaired quite readily, whereas a crystallized tests like intelligence -- or excuse me, like vocabulary tend to be very robust no matter what the insult of the brain might be. So they stay with more intact abilities. But the fluid functions are much more easily disrupted.

- Q. How did Mr. Walker score on the KAIT Fluid Intelligence Subtest?
- A. On the two tests that I administered to him, one was the Rebus task, and that was in the impaired range.

  And the other was Logical Steps, which I described in some detail. His score on that was below average.
  - Q. So based on your testing, so we can summarize

this, you found impairment in frontal lobe executive functionings with Mr. Walker, you found impairment in the left hemisphere, and you found impairment in the transfer of information between the hemispheres of his brain?

- A. Yes, that's all accurate.
- Q. Okay. And those results, are they consistent with someone who has experienced trauma in his lifetime?
  - A. Yes.

- Q. We were talking before about different triggers causing problems with the functioning of the prefrontal cortex. What effect does alcohol have on that, if you throw alcohol on top of -- when someone with these types of impairments ingest alcohol, how does that affect the brain function?
- A. We know that alcohol is an inhibitor, and we know that it operates on the frontal lobes of the brain. We also know from behavioral studies that it's -- well, it's a disinhibitor, actually. Meaning that impulsive behaviors are committed more readily when somebody is under the influence of alcohol. We also know that over time that, for example, individuals score much more poorly on the Iowa Gambling Task if they have chronic alcoholism. So we know that it affects the ventromedial prefrontal cortex over time.
- Q. And if someone with impaired functioning uses alcohol on top of that, would there be like a multiplier effect or a cumulative effect?
- A. Yes. It's hard to quantify that, but it definitely does. We have independent contributions to

disinhibition.

- Q. Now, you mentioned triggers can cause this disinhibition. But if the triggers aren't present, can someone like Mr. Walker function normally, for example, could he hold a job?
- A. Yes. Most of daily activities and tasks would be operated within normal limits. There shouldn't be any problem with conducting most of the usual routines of daily living.
- Q. So he could baby sit his younger sister, the impairments wouldn't affect that, his ability to care for siblings?
- A. Well, again, it depends on what kinds of circumstances come up. An individual with his profile would not be a good person to trust with emergency type situations or unusual circumstances, where he has to think on his feet. But with the normal process of knowing who to call if he has questions or if something is going wrong, there shouldn't be any trouble with that.
- Q. Okay. Would it impair his ability to adapt to prison?
- A. Only if he is subjected to a variety of novel circumstances and unpredictable events. But actually, people with his profile perform best in prison because they are very consistent routines. No decisions have to be made. And the routines are established by someone else. And so it's generally a pretty congenial environment.
  - Q. Is the problems Mr. Walker have, are they

treatable in any way if you were not in prison, are they treatable?

A. Typically this is treated with different kinds of medications and psychotherapy that's aimed at how — the management of affect, the management of different kinds of emotions, particularly negative emotions like hostility and anger.

MR. VOISIN: Beg the Court's indulgence. I don't have any questions, Your Honor, but I would like to have Dr. Shaffer's report entered as an exhibit in the case.

MR. WHITE: No objection.

THE COURT: All right. Mark it into evidence.

(Defense Exhibit 6 marked into evidence)

MR. VOISIN: That's all the questions.

THE COURT: Mr. White.

MR. WHITE: Thank you.

CROSS-EXAMINATION

#### BY MR. WHITE:

- Q. Dr. Shaffer, why was neuropsychological testing necessary in this case?
  - A. Excuse me?
- Q. Well, what purpose was neuro -- you know, I mean, Dr. Mendel said that there should be neuropsychological testing. Why?
- A. He may be aware of the extensive literature now that indicates that adults who have experienced various traumas in childhood have some characteristic deficits in

neuropsychological functions. I'm not sure his exact motive. The other reason is that it's generally good practice to at least do a screening of brain integrity with any individual that's facing a death penalty.

- Q. Was he not qualified to give the screening test?
- A. I don't know if he is qualified as a neuropsychologist or not.
- Q. Evidently, you don't have to be qualified to be as a neuropsychologist by the board, certified to do that, do you?
- A. Yes, you have to equip yourself with the tools and the training that is necessary to conduct activities in that field of practice. That's specified in the ethical guidelines. So I would assume that if he referred to someone else for that purpose, that he might not have felt that he was adequately prepared to administer those tests or interpret those tests.
- Q. You said you follow the forensic specialty guidelines?
  - A. Yes.

- Q. Then why didn't you put the performances below average in his age, how far below on the Stroop test, what percentile ranking, you didn't put that in your report, did you?
  - A. Correct.
  - Q. Why not?
- A. My report was intended to give global information, not a specific numeric detail.
  - Q. So your report couldn't be reviewed by some

- other psychologist and they know what you are talking about? Just by below average doesn't give us any indication of how far below average or what else, so don't the specialty guidelines call for precise reporting of the findings?
- A. My reports were precise. Well, they were accurate. In terms of detail, that's not included in the report. It's certainly available here today for discussion.
- Q. Well, it certainly would have been nice to have it in the report so that our psychologist could look at it and tell what you are talking about, would that not be true?
  - A. Sure.

1

2

3

4

5

6

7

8

9

1.0

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. Don't the specialty guidelines for forensic say that that is exactly what you are supposed to do?
  - A. Not to my interpretation.
  - Q. Not to your interpretation?
  - A. Correct.
- Q. But the words might say different then, that you are supposed to --
- A. According to my interpretation, I was following the guidelines.
  - Q. When was the last time you looked at them?
  - A. It's been a while.
  - Q. Since the new ones have come out?
- A. Yes, I think.
  - Q. When did the new ones come out?
  - A. I don't recall when I looked at them last.

- Q. When did the new guidelines come out?
- A. I don't recall.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. So you don't know when you saw them last, you could be looking at the ones from 2002, right?
- A. I think I've looked at them within the last two or three years.
- Q. Again, category test, how low is significantly low below average percentile, didn't put that in there, did you?
  - A. Correct.
- Q. Boston Naming Test. Impaired range. No percentile?
  - A. Correct.
- Q. Logical Steps subtest, how low is below average? No percentiles given. Rebus Learning Subtest. How low is impaired range, no percentiles, right?
  - A. That's right.
- Q. So this is not a report that was prepared for court, it was -- was it, under the forensics guidelines, specialty guidelines?
- A. In terms of court, I was anticipating that I would be able to talk about all of the raw data as a part of giving testimony, if it was desired.
  - Q. Did you offer the raw data to anybody?
  - A. Yes. I told the attorneys it's available.
- Q. Nice to know now. Do you recall a case that you were involved in in Mashburn versus State in Alabama?
  - A. Vaguely. The name is familiar.
  - 0. 2013?

A. Yes.

- Q. What happened in that case?
- A. I don't recall.
- Q. Didn't the Court find that you had -- I think the Court said Dr. Shaffer based his opinion on facts that Mr. Mashburn was breach birth and thus had suffered anoxia during delivery and that Mr. Mashburn had gotten a concussion in a past fight. Are those the facts of the case?
  - A. I don't recall.
- Q. So if the Court -- you are saying the Court is wrong when it says that the medical records documenting one of Mr. Mashburn's fights did not state that Mashburn suffered a concussion during that, and that the medical records do not show that he suffered anoxia from birth -- at birth?
  - A. I didn't make that statement.
- Q. You didn't make the statement that he had anoxia?
- A. No, I didn't make the statement that you quoted me as saying about what the Court said or didn't say. You will have to repeat the question or have it read back.
  - Q. You've never read the opinion then?
  - A. No.
- Q. Where they said that you came to conclusions without any basis in fact?
  - A. I've not read the opinion.
  - Q. Maybe -- I won't say it, never mind. So in

- other words, based on this, you have a history of making diagnostic conclusions about violent criminals basing your conclusion on forensic opinions and forensic opinion on information you say is factual, but actually you never bothered to confirm the validity of that information before using it and forming your opinion, right?
- A. I had that information which was provided to me and I was able to describe the source. But no way of proving or perfectly corroborating the accuracy of the information.
  - Q. So you went with it anyway?
  - A. Yes.

- Q. Just like in this case?
- A. Just like in every psychological or scientific evaluation. It's very often necessary, in fact, every time this kind of inquiry is conducted, hypotheses are presented and conclusions are reached with relative degrees of certainty. But never with perfect certainty.
- Q. So -- let's not confuse scientific and psychological because there is a big difference, right?
  - A. I don't know how to answer that.
- Q. Well, psychology there is a lot of -- it is not an exact science, is it?
- A. Within the realms of the scientific portion of psychology, it's as exact and in many cases more exact than some practicing fields of study. So it all depends on what specific information is being analyzed and the techniques that are being used to analyze that information.

- Q. But it still comes down to your opinion, right?
- A. An opinion based on hypothesis and support for those hypotheses.
  - Q. Do you believe in the death penalty?
  - A. Yes.

- Q. You do. How many cases have you testified for the state in?
- A. I believe the death penalty has been enacted into law in most states.
- Q. That's not what I asked. Do you believe in it personally?
- A. You will have to explain what you mean by believe in it.
- Q. Do you agree that it should be carried out in certain crimes?
- A. It's not relevant to what I do. So I don't think about it.

THE COURT: I get to make the decision on what's relevant in this courtroom. So unless there is an objection, you can answer the question.

A. The question is whether I believe in something. Believing in something to me means can I attest to the reality.

MR. WHITE: Objection, not relevant.

THE COURT: Rephrase the question. I know what you are trying to ask and I know where his answer is going.

BY MR. WHITE:

- Q. Do you believe the death penalty should be a punishment for certain crimes such as the one in this case?
- A. When you say should, you are asking me if I were to design the law, would I design it this way? The answer to that is probably not.
- Q. So you're dancing all around this. Can you answer the question yes or no?
  - A. No.

- Q. No. You can't answer the question yes or no?
- A. I honestly cannot answer the question yes or no.
- Q. So I'm going to take --
- A. Religious faith has some guidelines in terms of how to approach that. And my personal feelings have something to bear with that. My respect for elected officials and statutory laws is a huge factor in that. But all of that is a lot of information to process and a lot of feelings involved as well.
- Q. Do you have religious objections against the death penalty?
  - A. No.
- Q. You have personal objections against the death penalty?
  - A. I do not, sir.
  - Q. Then why can't you answer the question?
- A. Because I really don't know how I would decide --
  - MR. VOISIN: I would object at this point to these questions. He is trying to get the

witness to answer about the death penalty in this case, and that's not really relevant for what his testimony is. He's testified about his general beliefs, but I think anything beyond that has to bring in the consideration of so many other factors that it's just not appropriate.

THE COURT: You said the death penalty in the State of Mississippi versus Allen Dale Walker, whether the death penalty --

MR. VOISIN: Right. I don't think it's appropriate for defense expert --

THE COURT: To that portion I would sustain it. Mr. White, why don't you ask the question that prosecutors ask as to whether or not they would be qualified or disqualified.

#### BY MR. WHITE:

- Q. Do you have conscientious scruples against the imposition of the death penalty?
  - A. No. sir.

THE COURT: Move along.

#### BY MR. WHITE:

- Q. Do your feelings, whatever they may be, could they have any influence on the conclusions you draw in a forensic case?
- A. No, sir. I separate my -- any personal emotional feelings from my clinical judgments.
  - Q. How do you do that?
  - A. Same way that any other investigator does that.

- I have a functioning prefrontal cortex. I implement that process and try to make the best decisions I can.
- Q. Are there any other areas of expertise that you claim to have, which actually have no formal supervised training?
  - A. I'm not thinking of anything at the moment.
- Q. What's your standard hourly rate for a neuropsychological evaluation and expert testimony in a death penalty case?
  - A. 250 dollars an hour.
  - Q. You can remember that.

THE COURT: Mr. White, it's not necessary that you quip and comment to the witness' testimony. That's a violation of the rules.

MR. WHITE: I'm sorry.

BY MR. WHITE:

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. What is the total number of hours you've worked on Mr. Walker's case?
  - A. I don't know.
  - Q. You don't know?
- A. Correct. Typically I don't tally the totals or submit a bill until the conclusion of the case.
  - Q. How many hours did you spend at Parchman?
  - A. I don't recall.
  - Q. You didn't put it -- excuse me.
- A. It was the better part of the day. I do have that information someplace. I think it was four to six hours, somewhere in there. With the actual face-to-face evaluation time?

- Q. And in a forensic opinion, according to the specialty guidelines for forensic psychologists, would it not be proper to put that in there, in your report?
  - A. It would be useful. And I didn't put it in.
- Q. Now, you did not list in your six-page report that you had reviewed any records in this case; is that true?
  - A. That's true.

2.4

- Q. Since -- why would a clinical neuropsychologist and forensic psychologist go making or conducting evaluations in a capital case without reviewing a single record?
  - A. I did actually review the educational records.
- Q. Would it not have been prudent to have reviewed his medical records of some 20 something years in the penitentiary? They were clearly available.
- A. Occasionally that can be useful, but not necessary.
- Q. And you met him for a period of four to six hours and you gave him a few tests. And didn't look at any other records other than his school records. Did you even read about this case, the facts of this case?
- A. I was informed about the facts of the case from the defense attorneys, but I did not read original sources of police interviews or investigations.
- Q. Didn't go to pull up the opinion and read the opinion?
  - A. That's correct.
  - Q. So all you know is what you know from six hours,

being generous, and --

- A. That's correct.
- Q. And what you obtained from these tests?
- A. Yes.

Q. Which -- now, other than your interpretations about Walker's performance on the neuropsychological tests that you administered him, what other information did you find to corroborate his poor performance on these many test items in doing your evaluation?

MR. VOISIN: I would object. The question is vague. He is talking about these items, it's not clear what he is referring to.

MR. WHITE: Test items, isn't that what they're called?

MR. VOISIN: This were a number of tests that were given. I think he should be more specific.

THE COURT: Can you answer the question?

A. If you repeat it, I will probably be able to answer it.

#### BY MR. WHITE:

- Q. Other than your interpretation about Mr. Walker's performance on the neuropsychological tests you administered to him, what other information did you find to corroborate his poor performance on many of those tests during your evaluation?
- A. Other information I used in assessing these results included extensive data tables from the standardization samples used for the tests. And also

extensive background research, validation research of how each test has been demonstrated to be representative of certain brain activities or certain deficits of brain.

And all that information gets combined into making an interpretation.

- Q. But nothing so specific to Alan Dale Walker, nothing beyond the tests with Alan Dale Walker, right?
  - A. Ask me that again.

- Q. But nothing specific to Alan Dale walker, nothing beyond the tests?
- A. That's correct. I had the advantage of Dr. Mendel's report and extensive history that he prepared for this, as I testified to in direct. That certainly was an important part of this.
  - Q. And you accepted that report without question?
  - A. Yes, I did.
- Q. Is that common, you don't question certain things if they don't seem logical?
- A. Well, I will retract that. I actually did question Dr. Mendel about a few finer points that I considered to be very important in this process. And I feel like I got a fairly elaborate response back. You know, there are some areas, once again, many areas in a scientific inquiry that you cannot be 100 percent certain about. But an example of some of the converging information included the extent of sexual abuse. I needed to know was this documented, was it proven, what were the collateral sources, what was the information, and what degree of certainty then that I might personally

apply to that judgment myself, not just Dr. Mendel's degree of certainty, but my own.

- Q. But you did not, yourself, talk to or interview any of these witnesses yourself, did you?
  - A. No, I did not.

- Q. So you don't know -- you didn't have the ability to look them in the eye and make your own determination whether or not they were being truthful to you or not?
- A. That's correct. I was not here during the initial trials, either.
- Q. Isn't it true that even, you know, that the literature in your field states that it's common anytime you administer all of those tests of neuropsychological tests, even normal healthy people with no brain impairment whatsoever, typically obtain some scores in the abnormal range?
  - A. Yes.
- Q. Are you familiar with the literature in your field addressing the reliability and validity requirements for a psychological testing in forensic cases?
  - A. Yes.
- Q. Are you familiar with the recommended guidelines published in Heilbrun in 1992 and the general law of human behavior addressing the reliability and validity of psychological testing in forensic cases?
- A. I've not read Heilbrun's article. I've been present at some of his presentations and I'm well versed in the issues.

- Q. Okay. And in that Heilbrun's article states that psychological tests that are used in forensic cases should have a reliability and validity coefficient that exceeds 80 percent; is that correct?
  - A. I don't know because I haven't read his work.
- Q. You said you have been to some of his seminars, did he talk about that there?
  - A. Yes, but I don't remember that detail.
- Q. Did you administer any type of standardized personality test as part of your evaluation?
  - A. No.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Q. In other words, you didn't give him the MMPI-2 or the MMPI-2-RF?
  - A. That's correct.
- Q. And isn't it quite common under neuropsychological batteries to contain a personality testing?
- A. It's done frequently. Particularly in patient context in clinical offices, but not necessary.
  - Q. Not necessary?
  - A. Yes.
- Q. But you are talking about in this case, someone who you are saying has all these problems because of alleged sexual abuse, and you don't give him an MMPI, which is a personality development test?
  - A. Correct, I did not.
  - Q. Would that have been helpful?
  - A. I don't think so.
  - Q. Why not?

- A. I don't see how it would have. I was assessing performance on neuropsychological tests, not self-reporting personality traits.
- Q. It would not have helped. Isn't the goal of the forensic psychologist to get as much information as possible before drawing conclusions?
- A. Well, I could have spent three days doing this process as well, and go on to interview the same people that Matt Mendel did, but I performed what was asked of me to perform with the tools that I felt was necessary to do that.
- Q. Did the attorney tell you what tests to give him?
  - A. No.

- Q. Did you discuss what tests to give him with the attorneys?
- A. No. Just generally, describing the procedures that I standardly do in this situation.
- Q. Was the battery you gave him a fixed battery or flexible battery?
  - A. Flexible.
- Q. And what does the literature say about fixed batteries versus flexible batteries?
  - A. I have no idea what you are talking about.
- Q. In 1994, a federal case -- court case of Chappel versus Granger, the federal courts held that the fixed battery was much more reliable than the flexible battery.

MR. VOISIN: I object. There is no question as to -- it's Mr. White testifying.

#### Robert Shaffer, Ph.D. - Cross-Examination Sustained. THE COURT: 1 I'm sorry, I didn't get the MR. WHITE: 2 objection. 3 THE COURT: You just made a statement, you 4 didn't ask a question. 5 BY MR. WHITE: 6 Do some respected neuropsychologists advocate 7 using only fixed batteries in the forensic setting? 8 I'm not aware of who you are talking about, so I 9 can't answer that. 10 Do you know of anybody that does? 11 Not personally. Α. 12 You keep up with the literature on forensic 13 Q. psychology? 14 15 Α. Yes. Now, which of the tests you reported for Mr. 16 Walker indicated to you that he is so brain impaired that 17 he would be unable to know the difference between what is 18 legal and illegal? 19 20 That's not my opinion. Therefore, I did not apply a test that would measure that. 21 Okay. So you don't have any idea what -- about 22 0. that? 23 My opinion is that he does understand the 24 difference between what is legal and what is illegal. 25 Now, other than the test of memory malingering, 26 the TOMM, you gave no other malingering measure, right? 27 Α. Correct. 28 And isn't it standard in the forensic psychology 29 Q.

that you --a neuropsychology, that you give more than one test?

- A. I also relied on the results of the administration of a Structured Interview of Reported Symptoms that was conducted by Dr. Mendel.
- Q. But that wasn't when you gave the test though, right?
  - A. Correct.
  - Q. That was at a different time?
  - A. Different time.
    - Q. Some eight years earlier?
- A. No.

1

2

3

4

5

6

7

8

9

10

11

12

13

16

17

18

19

20

21

22

23

24

25

26

27

28

29

Q. Is that correct?

14 THE COURT: Dr. Mendel -- just a second.

For the record, the Court observed you

disagreeing or shaking your head to the witness.

That is inappropriate.

MR. WHITE: Who me?

THE COURT: No, Dr. Mendel.

MR. WHITE: Okav.

THE COURT: Can you start that question and answer again so the Court can hear it without the Court being distracted.

BY MR. WHITE:

- Q. What test are you relying on by Dr. Mendel?
- A. Dr. Mendel administered the Structured Interview of Reported Symptoms, I believe within the same calendar year that I did.
  - Q. In other words, that was one of those that he

was using to try to search for PTSD, right?

- A. No, it's pretty much the gold standard to assess whether a person is claiming to have symptoms of mental illness. Self-reporting symptoms of mental illness.
  - Q. What is the name of the test?
  - A. I beg your pardon?
  - O. What is the test?
  - A. The Structured Interview of Reported Symptoms.
  - Q. Okay.
- A. It's in the second version, second edition, and has probably the best reliability of any test of its type. It's standardly used in the profession.
- Q. You've never used the Victoria Symptom Validity
  Test?
  - A. Not personally.
  - Q. The Green Word Memory Test?
  - A. I've read results of that word memory test.

    Those have far lesser effectiveness and reliability than
- 19 the SIRS.

1

2

3

4

5

6

7

8

9

10

11

12

15

16

17

- Q. Do you give it?
- 21 A. Sorry?
- 22 | Q. Do you give the SIRS?
- 23 A. I do.
- Q. But you didn't give it at the time --
- 25 A. That's correct.
- 26 Q. -- that you were examining him?
- 27 A. That's correct.
- Q. Now, are you familiar with the term
- 29 | "psychopathy?"

A. Psychopathy, yes, sir.

- Q. And isn't it true that the neuro managing studies -- neuroimaging studies, excuse me, of psychopaths, also suggest that those individuals with psychopathic traits also show deficient functioning in the same areas of the brain that you've pinpointed in Mr. Walker's case?
- A. I will have to say I don't know the answer to that question without looking at the research directly and personally.
- Q. So you would not say that just simply, a psychopath, instead of --
- A. I do have an opinion about that, whether he is a psychopath. And my opinion is based on the fact that to diagnose psychopathy, it has to be evident that there was a conduct disorder that began in early life, in the early years. And that there was be an absence of acts of caring and kindness. Both of those, there's evidence to the contrary. So my opinion is that this is not a case of psychopathy.
- Q. So if there is any miniscule evidence of that, you can't or won't diagnose that then?
  - A. I missed the last part of the question.
- Q. I said so if there is any, some miniscule evidence of those things that you listed, then you wouldn't diagnose him that way?
  - A. No.
- Q. What evidence do you have of those things that you listed that keep you from doing that?

- A. As I've been informed from his history, there was not substantial evidence of cruelty, aggression toward children or animals. There was no evidence of -- there's positive evidence of care giving to a sister. Those are things that mitigate against the possibility of psychopathy.
- Q. Did you not hear Dr. Mendel testify about him being aggressively violent to girls?
  - A. Yes.

- O. So that's, you know, that doesn't matter?
- A. But in other contexts he demonstrated caring behaviors. Typically, a psychopath would not demonstrate those behaviors and would not demonstrate remorse and sadness and those kinds of emotions, which I believe are present in the record.
- Q. Remorse, what kind of remorse? Where do you find remorse in the record?
- A. When he talks about the -- when Dr. Matt Mendel talked about him having feelings for his sister and sadness about the events that had happened when they were children.
- Q. So if he was a psychopath, he wouldn't have that, right?
  - A. Right.
- Q. Just that one little thing that would keep him from being a psychopath, correct? You said the most significant finding on the test was the -- in the gambling test, but doesn't the research show that people can improve over time so you can say his functioning in

this area was impaired at the time -- can you say he was impaired at the time of the events?

- A. I'm basing that on the fact that his performance was impaired this year.
  - Q. This year?

- A. It was likely that it was impaired at that time as well. He is in a controlled environment. No access to alcohol or drugs. Consistent routines with healthcare. This should have been an optimal setting to assess his functioning on the Iowa Gambling Task.
- Q. Let me ask you this question, this is really the key to the whole thing. Can you say that he had these deficits you find now at the time that Mr. Walker killed Konya Edwards?
- A. That's by inference. I believe -- it's my opinion by inference that that's the case. I cannot be 100 percent -- I can't make that statement with 100 percent certainty. Similar to the other statement.
- Q. Twenty-six years later, you can say, looking back to that time, that he had all these problems, even though you did not, you know, do this retroactive analysis of this, right?
- A. I was not there. So all my information is going to be based on 2016 evidence.
  - Q. And the testing done now?
  - A. And testing done now.
- Q. Because you really didn't look at any other evidence, did you?
  - A. I was not aware that there was any evidence from

neuropsychological tests that had been done prior to this. It was my understanding that none have.

- Q. I'm not saying about that. You didn't bother to read the record in the case, you didn't bother to look at his medical records to see if something occurred while he's been in the penitentiary that might have accorded for some of these scores on the test, you are just saying, oh, well, because now I think it happened -- it happened 26 years ago; is that correct?
- A. I honestly, no, I don't know that it happened 26 years ago. I don't know when something happened to him in the past. What I've offered an opinion on is that his brain functioning had specific deficits at that time that are accurately reflected in the tests I've done in the tests this year.
  - O. At what time?
  - A. At the time of the crime. 1991.
  - Q. So in other words -- are you finished?
- A. Yeah.

- Q. So the testing you did in May gave you the snapshot of what happened in May or the situation, and now you are extrapolating that back to September the 9th, 1990?
  - A. Exactly.
  - Q. And you can do that?
- A. Yes, sir.
  - O. You can?
  - A. I am. As I stated before, this is an optimal setting in order to assess these functions with adequate

medical care, freedom from distraction, and so forth. He would be giving his optimal performance at this point.

- Q. And you can say that to a reasonable degree of psychological certainty that the test you gave in May reflect exactly the way he was on September the 9th, 1990?
- A. I didn't say exactly. I said they reflect the same profile of brain deficits, and yes, to a reasonable --
  - O. You did not examine him then --
- A. -- to a reasonable degree of scientific certainty, I can make that assertion.
- Q. So you said that the -- you are relying heavily on SIRS. Assesses validity and reliability of response -- of reported psychiatric symptoms, not effort, right?
- A. If I understand your question, I will paraphrase the question and then I will answer it if this is accurate. You are asking me if assessing whether he was malingering or whether he was offering a valid performance, whether I relied only on the SIRS test, is that the question?
  - Q. The only what?

1.8

- A. On the SIRS test?
- Q. I'm asking you, the validity -- the SIRS only assesses the validity and reliability of reported psychiatric symptoms and not the effort that is put forth, right?
  - A. That's correct.
  - Q. So the only test you gave to assess effort or

only test given was the TOMM, correct?

- A. Yes. That's considered to be one of the flagship tests that's used in forensic evaluation sessions to determine the amount of effort that's put out and whether a person is malingering.
- Q. And you were testing his brain functioning, correct?
  - A. Yes.

- Q. And which depends on effort, right?
- A. Correct.
- Q. So we don't have anything other than TOMM that does that?
- A. I also have my observations, which was that he demonstrated perplexity when he was getting feedback that he made an error and he appeared to be frustrated. And at times he would say something about himself in that process that indicated he was upset when he couldn't do it better. So that indicates to me, by behavioral observation, that he is also performing to the best of his ability.
- Q. Now, would you agree that the problem with neuropsychological assessments is it requires a doctor to make inferences on educated guesses about what is happening in the brain and the mind?
- A. Inferences. I don't like the word "guesses."
  The term "hypothesis" is scientific. It's the scientific word for perhaps what you are asking in the question. We present hypotheses based on information which is an example of what might be the situation going on in the

- brain. Then we conduct the tests that provide evidence either to support the hypothesis or disconfirm it. So that's the procedure that we follow.
- Q. So what was your hypothesis in this case, that you were going to find brain damage?
- A. Initially I went in without a hypothesis. After I had administered some of the structured interview, I began to develop a hypothesis that related to the possibility that he might have some impairment of some brain functions.
- Q. Did you give any screening tests at that point to try to confirm your hypothesis?
- A. Yes. The category test is a screening test.

  And he performed a standard deviation below average on the category test.
- Q. Does the fact that somebody's been in the penitentiary for 26 years have any effect on that?
- A. As I stated before, it should actually enhance or optimize his concentration ability and his ability to focus on the test because he's been taken care of medically, he was well fed, should have been a good representative sample.
  - Q. Where did you give the test?
  - A. Sorry?

- Q. Where did you give the test in the penitentiary?
- A. When?
- Q. Where?
- A. Where. It was -- I couldn't describe -- I don't know what they call the room. It was -- there was no one

else in the room. There was a clear table space between us. And no distractions -- well, there was a minor distraction at the beginning which was the concern over the power. But when the power was on, beyond that, there were no distractions.

O. No noise?

- A. There was some ambient noise in the background, but not enough to be significantly distracting.
- Q. What's your basis to make this retroactive analysis? What's the basis that you can make this retroactive analysis 26 years ago?
- A. There is a long history of documented results from neuropsychological tests in individuals that have had brain injuries and impairments that were identified to have happened years prior to that. That's standard practice.
- Q. So that means that no matter who it is, you can, you know, 50 years ago, if --
- A. What that shows is that the procedure is reliable to detect events that happened years ago because those events are still evident in the performance that the person does on the test.
- Q. And so you just can look back and say all of this was present 26 years ago, you are making that opinion right now, 26 years ago, he suffered from all these things you say he does now?
  - A. No.
  - Q. What are you saying?
  - A. I'm saying that at the time of this incident,

that he was functioning with some deficits in neuropsychological functions.

- Q. What were those then, if you can look back in the mirror and tell us, what were those functions he was suffering with?
- A. Well, some of that included deficits in his executive function, his ability to anticipate the consequences of a series of actions, and especially to attach relevant emotional significance to the consequence of his actions.
  - O. So --

- A. There are other issues, too, pertaining to impulsivity and the regulation of emotions. The ability to calm and regulate hostility and anger.
- Q. So picking up a girl and saying you are going to give her a ride home because she doesn't have a ride, taking her out in the woods and raping her and drowning her and brutalizing her, setting her on fire, that's all justified by what you found?
  - A. No, sir.
  - Q. Well, what did you just tell me?
- A. Your question was about justification. I made no reference to justification.
- Q. You said he had all of these things and that he, you know, couldn't deal with situations and things, all that. Why he did this.
- A. You are in a realm that is not part of my province which is to talk about justification. That's --
  - Q. Okay. Let's move on to your realm then. Did

- those -- did the deficits that you say you have found in Mr. Walker, did they cause him to kill Konya Edwards in the manner in which he did?
- A. I'm unable to determine causality with that degree of certainty.
  - O. So you don't know?

- A. I don't use the word "cause," correct.
- Q. Well, I am. So you can't tell us whether his condition as it -- as you say it exists, because I still don't accept that you can do it retroactively 25 years with any accuracy. You are saying that his condition did not cause him, that's not a causation factor for him to kill this girl?
- A. It is a causation factor. But that's different than saying that it caused it.
  - O. What is the causation factor?
- A. The factor is diminished capacity in the functioning of his brain. That's a factor. But you asked about justification and you asked about a single cause, and that's beyond what I can answer. I don't know.
- Q. Causation. So he's got brain dysfunction so it's all right for him to kill?
  - A. Is that a question?
  - Q. Yes. I asked you a question.
- A. You are asking me if it's all right for him to kill? Absolutely not.
  - Q. Well, what are you saying?
  - A. I'm saying that the actions that he committed on

that day were influenced by a factor that involved compromised brain functioning.

- Q. But not caused?
- A. I can't say it caused.
- Q. Influenced by?
- A. Correct.

1

2

3

4

5

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- O. But not caused?
- A. That's correct.
- Q. So if there was a lightning storm, that might influence which way I drive on the street?
- A. Yes. Or if the lightning strikes the car, it might influence how far you get on the road.

MR. WHITE: No further question, Your Honor.

THE COURT: Redirect?

MR. VOISIN: Yes.

#### REDIRECT EXAMINATION

#### BY MR. VOISIN:

- Q. Dr. Shaffer, you -- Mr. White asked you whether you had read the opinion of the facts in this case. Does the actual opinion have any bearing on the tests you conducted and your interpretation of those test results?
- A. The opinions are formed by the results of the tests, not prior to the selection of the tests.
- Q. I was referring to like is the court opinion in this case, not your personal opinion, but is the court opinion, is that relevant to the way you administer and interpret test results?
  - A. Now, when you say the court opinion, could you

be more specific?

2.7

- Q. The written decision of the Mississippi Supreme Court discussing the facts of this case?
- A. It's relevant in laying out the circumstances, yes.
  - O. But in terms of administering the tests?
- A. No, no, the tests are standardized procedures that are administered every time and in the same manner. That's how we know that we get reliable results.
- Q. And these tests, would you describe them as objective tests?
  - A. Yes.
- Q. And how is the scoring done, is the scoring objectively done?
- A. Yes. It looks at samples of individuals that are matched by age and education. To see relatively how he compares to the normal population.
- Q. And so since you are referring to tables, your personal opinion about the death penalty or anything else, like that, would have no bearing on how you derived your results?
  - A. That's correct.
- Q. Mr. White asked you about your reliance on Dr. Mendel's report. Is it acceptable in your field, the field of neuropsychology, to rely on expert reports prepared by other experts?
  - A. Yes, it is.
  - Q. And have you done that in the past?
  - A. Very commonly.

- Q. You refer to a malingering test that Dr. Mendel gave, the SIRS it's called?
  - A. That's correct.

- Q. And the SIRS is best used for what type of -- to assess what type of malingering?
- A. This is best for assessment of malingering where someone wants to claim certain symptoms of mental illness, and that is self-reported symptoms. Could be mental confusion, could be depression, could be psychotic symptoms. It's very effective in distinguishing somebody who wants to appear out of touch with reality.
- Q. That would be appropriate for the type of evaluation Mendel was giving at the time, correct?
- A. Very much because Dr. Mendel's assessment was very heavily involved with the narrative, the description of events, the self-reporting that was given by Mr. Walker. My -- the core of my tests were more about performance on brain related testing.
- Q. And for that, the TOMM is a more appropriate instrument?
  - A. Exactly.
- Q. And if I may check the -- Dr. Shaffer, I'm going to show you what's marked for identification as defendant's Exhibit 3. It's Dr. Mendel's supplementary report.
  - A. Yes.
  - Q. You were able to review that?
  - A. Yes, I did review this.
    - Q. And what's the date on that?

- A. This was January 27th, 2016.
- Q. And you also evaluated Mr. Walker in 2016; is that correct?
  - A. Yes.

- Q. So on both tests of malingering given within the calendar year of Mr. Walker?
- A. They were. The SIRS was given on January 8th or 9th, and the TOMM was given on May the 4th. So within five months.
- Q. And is there anything else that you've reviewed or that you are familiar with that would indicate Mr. Walker malingered at any point?
- A. No, I've never seen anything that might indicate that. In fact, his tendencies were to be very conservative in admitting to symptoms, as far as I could observe.
- Q. Now, Mr. White, when he was asking you about psychopathy referred to Mr. Walker's aggression toward other women he was involved with, Sherry Schroeder and Robin. Were those prior to the age of 15 as best you recall?
  - A. No.
- Q. To be considered psychopath, you had mentioned you need to have a presence of a conduct disorder?
  - A. That's correct.
- Q. How is a conduct disorder -- what's the cutoff point for a conduct disorder?
- A. Below age -- younger than age 15. You know, I would have to qualify that I'm referring to the

diagnostic qualifications for antisocial personality disorder that are presented in the DSM-V. That it does very clearly specify that there must be evidence of a conduct disorder prior to age 15. And that includes a variety of symptoms like cruelty, as I mentioned, cruelty to animals or other children, lying, stealing, cheating, those kinds of behaviors.

- Q. Okay. And Mr. White was questioning the ability to do a retrospective, I guess, analysis of brain dysfunction. And you testified that -- well, let me start again. Is there any reason to believe that there are any other factors from 1990 to the present that would have had the type of impact that you saw in Mr. Walker through your testing?
- A. Not to my knowledge. And with my questioning and structured interviewing, there was no indication that he had head trauma, for example. Brain injuries from auto accidents, that kind of thing.
  - O. Okav.

MR. VOISIN: The Court's indulgence. No further questions, Your Honor.

THE COURT: You may step down, Dr. Shaffer. You are excused.

THE WITNESS: Thank you, Your Honor. I appreciate the opportunity.

THE COURT: Counsel for the petitioner, do you want to make an announcement in the morning as to do you want to rest or --

MR. CRAIG: No, we don't need to do that,

Your Honor. We do rest. I don't think we have any other witnesses. We rest, Your Honor.

THE COURT: Let me go back to a note I saw from my notes from February, and I had a note that there was an affidavit still marked for ID by Paula Shavers who is now deceased, as I recall.

MR. CRAIG: Yes, Your Honor.

THE COURT: I think I took that under advisement as to whether or not that would be admitted into evidence and given whatever weight and credibility I thought it deserved, absence of her live testimony, which you could not procure because she had died. You reurge that, moving that into evidence at this time?

MR. CRAIG: Yes, Your Honor.

THE COURT: I will allow it to be received into evidence, and the court reporter at another time can go back to that exhibit from February and properly mark it as an exhibit into evidence.

MR. WHITE: We reurge our objection, too.

THE COURT: So noted. Mr. White, does the state have an announcement?

MR. WHITE: We rest.

THE COURT: You rest. All right. Do y'all want to make comments or arguments?

MR. CRAIG: Our preference, Your Honor, would be to set a time period after the

transcript is prepared for perhaps simultaneous briefs on the two transcripts. I don't know how fast that could be done.

THE COURT: And make a proposed findings and conclusions?

MR. CRAIG: I'm not wild about proposed findings and conclusions these days. I've had a couple of bad experiences. But briefing that applies the law to the testimony, we think that would -- it would be more in that nature. And there certainly could be a page limit and simultaneous briefs. Whatever the Court pleases in that regard.

THE COURT: Mr. White?

MR. WHITE: Fine with me.

THE COURT: All right. My initial, without having read what you propose to brief is that the first prong of Strickland, I'm not ruling from the bench here, but my first inclination is that that has been established by the petitioner by a preponderance of the evidence.

The second prong, the prejudice that would attend to that is where I'm looking to give y'all some idea. Weighing that versus what the record evidence is and aggravation, which I think is the correct application of the law I have to attend, correct?

MR. CRAIG: Whether at least one juror might have been swayed by the presentation that

we've made.

THE COURT: And that would necessarily allow the Court to review the entire transcript of the trial, yes?

MR. CRAIG: I would think so, yes, sir.

THE COURT: As well as all of the exhibits which were received into evidence that the jury saw.

MR. CRAIG: I suppose so.

THE COURT: Those are here, I presume, at the clerk's office. Any photographs, any diagrams, anything that could be persuasive to the court as evidence of aggravation. I mean, I just don't see how I can look at a cold record and make a decision, but I have to weigh this testimony, the mitigation testimony here, and the mitigation testimony admitted at trial against evidence of the case without looking at all of the evidence. Mr. White, do you agree or disagree?

MR. WHITE: I absolutely agree. You've got to look at all of it.

MR. CRAIG: Yes, I don't know if the original exhibits are here or at the Supreme Court, I just don't know those kind of things.

THE COURT: The exhibits are here. This clerk's office. The former clerk received an award from the Innocence Project because of her diligence in maintaining, and that's been

carried on to this current clerk. So I know -MR. CRAIG: Yes, sir, I was at that
presentation.

THE COURT: I know if something was received into evidence 30 years ago, plus, it's in this courthouse. So while y'all are briefing that, after the transcript is prepared, I will, in context of the transcript, look at any physical evidence. There may not be any, or very little. I don't know. But I want to make sure the parties don't want to hold the Court to just reading the opinion of the Supreme Court and setting up the facts or the habeas by Judge Bramlette?

MR. WHITE: Starrett.

MR. CRAIG: Starrett.

THE COURT: But I'm entitled to review all record evidence that the jury saw.

MR. CRAIG: Yes, sir.

THE COURT: Or to consider only what the jury considered.

MR. CRAIG: Yes, I should think so under the post-conviction act. Yes, sir.

THE COURT: So I think the transcript could be available -- do y'all have the first set?

MR. CRAIG: Yes, sir.

THE COURT: So this transcript should be available by mid January, based upon the workload of the court reporter. So after

#### Robert Shaffer, Ph.D. - Redirect Examination receipt of that transcript, y'all receive that, 1 60 days thereafter? 2 MR. CRAIG: That's acceptable to us. 3 THE COURT: Mr. Davis must be the one 4 that's writing it because he's shaking his head. 5 I will look for y'all to have that, mid March, 6 7 let's say. I will enter an order saying within 8 60 days of receipt of the court reporter's 9 transcript. MR. CRAIG: Yes, Your Honor. From there, 10 if you could send it in Word Perfect or Word, 11 Microsoft Word. 12 13 MR. CRAIG: Yes, please. 14 MR. WHITE: Y'all are Word Perfect. 15 MR. DAVIS: Whatever. We can make Word work. 16 17 THE COURT: Whatever it is, just send it electronically so we can use it as we need it. 18 19 Anything else on the record before we recess? 20 MR. CRAIG: No, Your Honor. 21 THE COURT: Thank you, gentlemen. We will 22 be in recess. 23 24 (Whereupon the proceedings were concluded) 25 26 27 28 29

# Reporter's Certificate

# STATE OF MISSISSIPPI COUNTY OF HARRISON

I, HUEY L. BANG, CSR No. 1147, Official Court
Reporter for the Second Circuit Court District of the
State of Mississippi, do hereby certify that the
foregoing 211 pages constitute, to the best of my skill
and ability, a true and correct transcript of my
stenographic notes of the Hearings had on the 1st day of
December, 2016 before the HONORABLE CHRISTOPHER L.
SCHMIDT, Circuit Court Judge of the Second Circuit Court
District of the State of Mississippi, being a regular day
in the December Term of Harrison County Circuit Court at
Gulfport.

This is to further certify that I have this date filed the original and one copy of said transcript, along with one CD in PDF language, for inclusion in the record on appeal, with the Clerk of the Circuit Court of Harrison County, Mississippi, and have notified the attorneys of record and the Supreme Court of my actions herein.

WITNESS MY SIGNATURE on this, the 29th day of August, 2018.

HUEY L. BANG, CSR #1147

Official Court Reporter

Court Reporter's Fee: \$508.80