

APPENDIX

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NOT RECOMMENDED FOR FULL-TEXT PUBLICATION

File Name: 19a0581n.06

No. 18-3919

**UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT**

UNITED STATES OF AMERICA,)	
)	
Plaintiff-Appellee,)	
)	
v.)	ON APPEAL FROM THE
)	UNITED STATES DISTRICT
)	COURT FOR THE
ADAM CARSON,)	NORTHERN DISTRICT OF
)	OHIO
Defendant-Appellant.)	
)	

Before: COLE, Chief Judge; MERRITT and LARSEN, Circuit Judges.

LARSEN, Circuit Judge. A jury found Adam Carson guilty of bank robbery and witness tampering. He was sentenced to 240 months' imprisonment. On appeal, Carson raises a litany of claims—ten in total—challenging his convictions and sentence. Because all lack merit, we AFFIRM.

I.

A federal grand jury charged Carson with one count of bank robbery, in violation of 18 U.S.C. § 2113(a), for robbing a Chemical Bank in Ohio. After the indictment was returned, the government learned that a key grand jury witness, Carson's ex-girlfriend Karin Deeb, had lied during her grand jury testimony by minimizing her own participation in the robbery. Deeb also later admitted that she had been under the influence of drugs during her testimony. The

Appendix A

government, accordingly, sought and received a superseding indictment against Carson; Deeb did not testify during the second grand jury proceedings.¹

The superseding indictment again charged Carson with one count of bank robbery but added one count of witness tampering, in violation of 18 U.S.C. § 1512(b)(1). The witness tampering count reflected the government's discovery that, after the initial indictment, Carson had written Deeb a letter in an attempt to influence her upcoming trial testimony against him.

After a five-day trial, a jury convicted Carson on both counts. He was sentenced to 240 months' imprisonment on both counts to run concurrently. He appeals his convictions and sentence.

II.

Carson's appeal raises ten claims of error. We discuss the facts relevant to each claim in conjunction with our analysis thereof.

A. Constructive Amendment of the Indictment

With respect to the bank robbery charge, Carson argues that a variation between the language of the indictment and the jury instructions amounted to a constructive amendment of the indictment, in violation of the Fifth Amendment.²

¹ Deeb later pleaded guilty to one count of aiding and abetting bank robbery and one count of perjury before the grand jury.

² Carson also alludes to "prosecutorial misconduct" and to a due process violation related to the indictment. These claims are not sufficiently developed on appeal to have preserved them for our review. See *United States v. Johnson*, 440 F.3d 832, 846 (6th Cir. 2006). Nonetheless, to the extent that Carson is claiming that the district court erred by failing to dismiss the indictment because it was procured based on Deeb's perjured testimony, the claim fails. A superseding indictment in a criminal case replaces the earlier indictment and "becomes the only indictment in force." *United States v. Goff*, 187 F. App'x 486, 491 (6th Cir. 2006). The superseding indictment here was obtained without Deeb's testimony, so there was no error in proceeding on the superseding indictment. To the extent that Carson is claiming that the government knowingly

“A constructive amendment results when the terms of an indictment are in effect altered by the presentation of evidence and jury instructions which so modify essential elements of the offense charged that there is a substantial likelihood that the defendant may have been convicted of an offense other than the one charged in the indictment.” *United States v. Pritchett*, 749 F.3d 417, 428 (6th Cir. 2014) (quoting *United States v. Budd*, 496 F.3d 517, 521 (6th Cir. 2007)). We have held that such amendments are “considered per se prejudicial and warrant[] reversal of a conviction” because they directly infringe upon the Fifth Amendment grand jury guarantee. *United States v. Ford*, 872 F.2d 1231, 1235 (6th Cir. 1989). “We review de novo the legal question of whether an indictment has been constructively amended by . . . jury instructions.” *Pritchett*, 749 F.3d at 428.

The superseding indictment charged that Carson took the property of Chemical Bank “by force, violence, *and* intimidation.” (Emphasis added). But, over Carson’s objection, the district court instructed the jury that it could convict upon proof that Carson took the money by “force and violence *or* by intimidation.” (Emphasis added). The difference, Carson asserts, amounted to a constructive amendment of the indictment and improperly reduced the number of elements required to convict him. We disagree.

When a statute defines elements of an offense in disjunctive terms, the jury may be instructed in those terms, even if the indictment charged the elements conjunctively. *United States v. McAuliffe*, 490 F.3d 526, 534 (6th Cir. 2007). We have explained that phrasing indictments in the conjunctive permits “confiden[ce] that the grand jury has found probable cause for all of the alternative theories that go forward.” *United States v. LaPointe*, 690 F.3d 434, 440 (6th Cir. 2012).

procured the perjurious testimony supporting the first indictment, there is no evidence of that in the record, and, again, no such testimony supported the superseding indictment.

But petit juries “may convict a defendant on any theory contained in the indictment. As a result, judges read jury instructions in the disjunctive.” *Id.* Here, Carson rightly admits that “[t]he requirement of a taking by ‘force and violence or intimidation’ under 18 U.S.C. [§] 2113(a) is *disjunctive*.” Appellant’s Br. at 10 (emphasis in original). Therefore, no constructive amendment occurred; the superseding indictment charged Carson in the conjunctive, but the statute and the jury instructions were in the disjunctive. Carson’s argument fails.

B. Career Offender Enhancement

Carson next argues that the district court erred when it calculated his sentence using a career-offender enhancement. *See* U.S.S.G. § 4B1.1. Specifically, he argues that his prior convictions for robbery in Ohio do not qualify as crimes of violence under the Sentencing Guidelines. *See* U.S.S.G. § 4B1.2(a) (2016). We review *de novo* whether an offense constitutes a crime of violence under the Guidelines. *United States v. Cooper*, 739 F.3d 873, 877 (6th Cir. 2014).

In 2006, Carson was convicted of robbery in the second degree, in violation of the “Post-Senate Bill 2”³ version of Ohio Revised Code (ORC) § 2911.02(A)(2), which states that “[n]o person, in attempting or committing a theft offense or in fleeing immediately after the attempt or offense, shall . . . [i]nflict, attempt to inflict, or threaten to inflict physical harm on another.” In 2009, he was convicted of two counts of the same offense. Carson argues that, under *Gates v. United States*, No. 17-3156, 2018 U.S. App. LEXIS 4075 (6th Cir. Feb. 20, 2018), and *United*

³ “In 1996, Ohio Senate Bill 2 significantly modified Ohio’s criminal code.” *See Greer v. United States*, 938 F.3d 766, 771 (6th Cir. 2019) (internal quotation marks omitted). We commonly refer to the old version of the code as “Pre-Senate Bill 2” and the current version as “Post-Senate Bill 2.” *Id.* Carson’s convictions occurred in 2006 and 2009, under the Post-Senate Bill 2 version of the code.

States v. Yates, 866 F.3d 723 (6th Cir. 2017), his Ohio robbery convictions do not qualify as crimes of violence.

Our precedent squarely forecloses this argument. See *United States v. Johnson*, 933 F.3d 540, 546 (6th Cir. 2019). In *Johnson*, we declined to extend our holding in *Yates*, which *Gates* relied upon, to Carson’s offense of conviction—§ 2911.02(A)(2) (Post-Senate Bill 2). *Id.* at 545–46. *Yates* held that a robbery conviction under a different statutory provision—ORC § 2911.02(A)(3) (Post-Senate Bill 2)—was not a crime of violence under the Guidelines. 866 F.3d at 728–31. But in *Johnson*, we concluded that *Yates*’s logic did not apply to ORC § 2911.02(A)(2) (Post-Senate Bill 2). 933 F.3d at 545–46. We explained that a conviction under (A)(3) requires only that “force” be attempted, threatened, or deployed, whereas a conviction under (A)(2) requires that a person inflict, attempt to inflict, or threaten to inflict “physical harm.” *Id.* Drawing a distinction between attempted, threatened, or deployed “force” and “physical harm,” we held that a conviction for Ohio robbery under § 2911.02(A)(2) (Post-Senate Bill 2) qualifies as a crime of violence. *Id.* at 543–46. Our precedent therefore forecloses Carson’s claim. The district court correctly sentenced Carson as a career offender under the Guidelines because Carson had three prior convictions for attempted robbery in violation of ORC § 2911.02(A)(2) (Post-Senate Bill 2). See *Gaddis ex rel. Gaddis v. Redford Township*, 364 F.3d 763, 770 (6th Cir. 2004).

C. Ineffective Assistance of Trial Counsel

Carson next claims that his trial counsel, Donald Butler, provided him with constitutionally ineffective assistance. We do not ordinarily review claims of ineffective assistance on direct appeal. *United States v. Lopez-Medina*, 461 F.3d 724, 737 (6th Cir. 2006). Ineffective assistance claims are more properly raised in post-conviction proceedings where the record may be developed

in more detail. *Id.* Thus, any review on direct appeal is limited to “rare cases where the error is apparent from the existing record.” *Id.* This is not one of those rare cases.

The alleged ineffectiveness of Carson’s trial counsel is not apparent from the record. Indeed, the appellate briefing does not define with any precision just what Carson thinks Butler did wrong; nor does it make any attempt to explain how Butler’s alleged errors caused him harm. Both showings are required to prevail under *Strickland v. Washington*, 466 U.S. 668, 688, 694 (1984). Carson argues, in conclusory terms, that Butler “ignored Appellant’s requests and strategies regarding his case”; failed to object to mentions of Carson’s criminal record and prior bad acts during trial; conceded, in his opening statement, that Carson took a car that he did not own; and failed to accept a plea deal that Carson had instructed him to accept. But he offers little elaboration and does not explain how any of these actions, in the context of the trial, fell below the standard of care of a reasonably prudent attorney under the circumstances. *Id.* at 688. And Carson makes no mention at all of how, but for these errors, the result of the trial would have been different. *Id.* at 694–96. We decline to entertain these poorly developed claims on direct appeal.

D. Motion to Substitute Counsel

Carson next argues that the district court violated his Sixth Amendment rights by denying his motion to substitute counsel. We disagree. While the Sixth Amendment guarantees criminal defendants the right to counsel, an “indigent defendant has no right to have a particular attorney represent him and therefore must demonstrate ‘good cause’ to warrant substitution of counsel.” *United States v. Iles*, 906 F.2d 1122, 1130–31 (6th Cir. 1990). We review the district court’s “good cause” determination for abuse of discretion. *United States v. Marrero*, 651 F.3d 453, 464 (6th Cir. 2011).

A week after Carson was indicted, the court appointed Butler to represent him. Approximately six months later, Carson moved for substitute counsel, alleging that Butler was unresponsive and had failed to file motions, issue subpoenas, and contact witnesses. The district court denied his motion, noting that Butler was “an outstanding trial [counsel] w[ith] over 40 years [of] experience.” In its order, the court informed Carson that if he wanted new counsel, he could retain his own.

At a competency hearing about six months later, Carson expressed his continued dissatisfaction with Butler on the same grounds. The district judge explained that Carson could hire whomever he wanted and again stated that he had known Butler for over thirty-five years and found him to be one of the best, if not the best, criminal defense lawyers in Northern Ohio. The district court judge was not aware of any similar complaints being lodged against Butler. Accordingly, the court advised Carson to work with Butler on any outstanding legal issues.

Carson persisted, though, expressing his concerns about Butler through the remainder of the competency hearing. In response, Butler addressed Carson’s allegations, calling them erroneous. Butler explained that he had communicated with Carson several times while Carson was in Florida being evaluated for competency to stand trial. Butler also explained that Carson seemed to have an unrealistic view of how quickly his trial would proceed. Carson had sent Butler his own drafts of motions that Carson wished Butler to file right away. Butler explained that he had told Carson, “there is a time-based issue here, and that when he return[ed] from Florida [they would] go over all these motions and determine which ones have value and which ones do not, and [they would] proceed accordingly.” Carson responded by asking the judge if he could “go pro se”; the judge responded that Carson could file a motion. Carson then added, “I need an attorney, but I don’t need him, because he hasn’t done a thing.”

Days later, Carson filed a pro se motion to dismiss counsel. Carson presented the same reasons set forth in his first motion to substitute counsel but added the charge that “Butler’s mental capacity seems to be diminishing,” alleging that Butler “may be in the early stages of Dementia or Alzheimer’s Disease.” The district court denied his motion.

Less than one month before trial was originally scheduled to begin, Carson filed yet another pro se motion, this time requesting to represent himself at trial and asking the court to hold a hearing on his motion “as soon as possible.” One week before trial, the court addressed Carson’s motion at a pre-trial hearing. When asked about the merits of his motion to proceed pro se, Carson instead began discussing Deeb’s false grand jury testimony. The district court explained that the hearing on the self-representation motion was not the time to raise issues concerning a trial witness’s testimony; and Butler explained that he “ha[d] informed Mr. Carson that all of this will come out on cross-examination.” The district court then redirected the conversation to the question of self-representation, explaining that Carson needed to decide whether he wanted to represent himself or whether he wanted Butler to do so. Carson responded that he did not “have the resources . . . to even attempt to make an adequate defense” and that it would be better to have Butler because he knows the rules of evidence. The government asked the district court to clarify whether Carson wanted to represent himself or not. The district court said it was clear that Carson wished to have Butler represent him. Carson did not object.

Carson filed his last motion to dismiss counsel one month before his new trial date. This time, he cited medical reasons for wanting to dismiss Butler, who was ill and had to be hospitalized. The court held a hearing and determined that Butler’s health would not prevent him from continuing his representation, so long as a continuance was granted. The court then granted a continuance and denied Carson’s motion to dismiss counsel.

To determine whether the district court abused its discretion in denying the motion to substitute counsel, we consider the following factors:

[1] the timeliness of the motion; [2] the adequacy of the court's inquiry into the defendant's complaint; . . . [3] whether the conflict between the attorney and client was so great that it resulted in a total lack of communication preventing an adequate defense[; and] [4] a balancing of the accused's right to counsel of his choice and the public's interest in the prompt and efficient administration of justice.

Marerro, 651 F.3d at 464 (alterations in original) (quoting *United States v. Jennings*, 83 F.3d 145, 148 (6th Cir. 1996)).

We need not linger long on timeliness. The government concedes that two of Carson's motions to substitute counsel—filed two months before the August 14, 2017 trial date and two months before the March 5, 2018 trial date—were timely. Although his last motion, filed twenty-eight days before the June trial date, was untimely, *see United States v. Chambers*, 441 F.3d 438, 447 (6th Cir. 2006) (finding a motion to substitute counsel filed a month and a half before trial untimely); *see also United States v. Fonville*, 422 F. App'x 473, 480 (6th Cir. 2011) (finding a motion to substitute counsel filed twenty-two days before trial untimely), we find that timeliness cuts in favor of Carson. This is not a case in which the defendant brought last-minute motions to substitute counsel after awaiting trial for months without any complaints about his counsel's representation. *See Marrero*, 651 F.3d at 465.

The second factor is the adequacy of the district court's inquiry. *Id.* at 464–66. We have deemed this requirement met when the district court allows a defendant the opportunity to explain the attorney-client conflict as he perceives it. *See, e.g., United States v. Vasquez*, 560 F.3d 461, 467 (6th Cir. 2009) (“The record demonstrates that the district court engaged in multiple lengthy discussions with both [the defendant] and [his counsel] that span many transcript pages regarding their alleged conflicts. During these exchanges, [the defendant] had ample opportunity to discuss

in detail his complaints regarding [his counsel] and respond to [his counsel]’s representations regarding their relationship.”).

Here, Carson had an opportunity to address the court about his representation concerns at the competency hearing on December 27, 2017, and during the final pre-trial hearing on April 3, 2018. As detailed above, Carson explained his concerns with Butler’s lack of communication and his failure to file the motions that Carson had sent him. The court also heard Butler’s response, which explained Carson’s unrealistic expectations regarding the speed of litigation and his mistaken impression that any issue could be raised at any time. Butler explained that he had told Carson he would review Carson’s draft motions and that the two would discuss their merits and proceed accordingly at the appropriate time. The district court explained that Butler had a good reputation as a defense attorney and that the court believed him to be able, had heard no similar complaints against Butler, and found Carson to be “a little bit impulsive.” In the end, the court instructed the two to discuss Carson’s motions and continue working together. Because Carson had opportunities to explain the attorney-client conflict as he perceived it and to engage in a discussion with the court and his counsel about the alleged conflict, we find that this factor weighs against Carson.

The third factor is the extent of the conflict between Carson and Butler. *Marrero*, 651 F.3d at 464, 466–67. Carson cites various reasons for the conflict, including a lack of communication, irreconcilable differences, Butler’s refusal to subpoena witnesses or file Carson’s motions, and Butler’s failure to obtain experts. Carson also cites Butler’s alleged “diminish[ed]” “mental capacity” as a conflict. But his main complaint appears to be that Butler had not yet done enough to point out to the court that Deeb had lied in her grand jury testimony. Carson, for example, expressed concern about Butler’s failure to file Carson’s pro se motion to dismiss the indictment,

which Carson apparently, but erroneously, believed had been procured with Deeb's false testimony. Butler, however, had advised Carson that he would have an opportunity to cross-examine Deeb at trial and that pre-trial hearings were not the appropriate time to challenge her testimony. He further assured Carson that he would review Carson's motions and determine which ones had value and proceed accordingly.

We cannot say that the district court abused its discretion in determining that substitute counsel was not warranted. We have emphasized that "a defendant's differences of opinions with his attorney do not create a complete breakdown of communication that compromises his defense." *Marerro*, 651 F.3d at 466. Rather, any conflict must be "so great that it resulted in a total lack of communication preventing an adequate defense." *Id.* We see no such breakdown of communication here. This factor weighs against Carson.

Lastly, we balance these factors with the public's interest in the prompt and efficient administration of justice. *Id.* at 464, 467–68. After reviewing Butler's background, experience, and work on the case to date, and giving due regard to Carson's concerns, the district court found no grounds to substitute counsel. Despite Carson's disagreements with Butler's approach to the case, there is nothing in the record to suggest that either Carson or his lawyer was unprepared to go forward with the trial; they simply disagreed about how it should be conducted. Butler, who was up-to-date with the nuances of Carson's case, sought multiple continuances to allow proper time to complete discovery and prepare for trial; although Carson's motions were timely, substitution of counsel two months before trial would have necessitated additional continuances. By that time, Butler and the prosecutor had invested substantial time and effort into trial preparation. The public's interest in the prompt and efficient administration of justice weighs in favor of proceeding with the trial.

While the timeliness of Carson's motions to substitute counsel weighs in his favor, the three remaining factors support the district court's ruling. The district court did not abuse its discretion in denying Carson's requests for substitute counsel.

E. Right to Self-Representation

Carson next argues that the district court violated his Sixth Amendment right to self-representation.⁴ "Decisions denying a defendant's request for self-representation have been reviewed *de novo* and for abuse of discretion." *United States v. Powell*, 847 F.3d 760, 774 (6th Cir. 2017). We need not decide the proper standard here because Carson's claim fails under either one.

The Sixth Amendment guarantees a criminal defendant the right to counsel. In *Faretta v. California*, the Supreme Court recognized a corollary right to waive counsel and proceed *pro se*. 422 U.S. 806, 833–34 (1977). But, as in *Faretta*, the defendant's assertion of the right to self-represent must be clear, unequivocal, and timely. *See id.* at 835; *see also United States v. Cromer*, 389 F.3d 662, 682 (6th Cir. 2004) ("*Faretta* procedures are only required when a defendant has clearly and unequivocally asserted his right to proceed *pro se*"). Carson's request was neither clear nor unequivocal, and so we need not decide whether it was timely.

⁴ Carson also argues that the district court erred by failing to inform him that he could "represent himself and be appointed advisory counsel." It is unclear what Carson is claiming. "[H]e has no constitutional right to demand 'hybrid representation,' such as conducting his own defense with the occasional assistance of counsel." *Wilson v. Hurt*, 29 F. App'x 324, 327 (6th Cir. 2002); *see also United States v. Green*, 388 F.3d 918, 922 (6th Cir. 2004). If instead Carson is objecting that the court did not offer him standby counsel, should he elect to represent himself, he cites no authority suggesting that such an offer is constitutionally required, while ample authority suggests the opposite. *See, e.g., United States v. Bova*, 350 F.3d 224, 226 (1st Cir. 2003); *United States v. Moya-Gomez*, 860 F.2d 706, 740 (7th Cir. 1988); *McQueen v. Blackburn*, 755 F.2d 1174, 178 (5th Cir. 1985). And, in any event, the district court did inform Carson that if he wished to represent himself, then Butler would still be present.

Carson requested to proceed pro se at a competency hearing on December 27, 2017, and was instructed by the district court to file a motion. Carson responded, “I need an attorney, but I don’t need [Butler], because he hasn’t done a thing.” Carson later filed a motion to proceed pro se, which recited that his “waiver of counsel [wa]s clear, unequivocal, knowing and voluntary.” But, at a hearing on the motion, when asked whether he would like to proceed pro se, Carson said it would be better to have Butler. When the prosecution asked for clarification, the court said it was clear that Carson wanted Butler to represent him. Carson did not object.

After the hearing, Carson continued to express his desire for representation by counsel, stating that if Butler could not proceed due to medical issues, Carson would waive his speedy trial rights to allow appointment of new counsel. Carson’s assertion of his *Faretta* rights was anything but clear and unequivocal. His argument, therefore, fails.

F. Right to be Present

Carson next argues that he was denied his Fifth Amendment right to be present at a critical stage of the proceeding. “[A] defendant is guaranteed the right to be present at any stage of the criminal proceeding that is critical to its outcome if his presence would contribute to the fairness of the procedure.” *Kentucky v. Stincer*, 482 U.S. 730, 745 (1987). This means that a defendant’s right to be present exists only when “his presence has a relation, reasonably substantial, to the fullness of his opportunity to defend against the charge.” *United States v. Henderson*, 626 F.3d 326, 343 (6th Cir. 2010) (quoting *United States v. Brika*, 416 F.3d 514, 526 (6th Cir. 2005)). Rule 43 of the Federal Rules of Criminal Procedure states that a defendant ordinarily must be present during the initial appearance, arraignment, and plea; at each stage of the trial, including the jury impanelment and the return of the verdict; and during sentencing. Fed. R. Crim. P. 43(a). By

contrast, a defendant need not be present at a “conference or hearing on a question of law.” *Id.* 43(b)(3).

Carson claims he had a right to be present on the morning of trial when his counsel moved to exclude bank teller Mylissa Johnson’s identification of Carson. Though not present when Butler first placed the issue on the record, Carson was brought into the courtroom less than five minutes later, during arguments on the motion. Following these arguments, the court denied the motion, admitted the testimony, and made clear that Butler would have the opportunity to cross-examine Johnson about her identification. The trial transcripts reveal that Carson was present for this ruling. When the jury returned, the prosecution called Johnson to testify. Butler then cross-examined her about the identification. Carson was present for this, as well.

Because Carson did not object below, we review his claim for plain error. *United States v. Burke*, 345 F.3d 416, 420 (6th Cir. 2003). Plain error review involves four steps:

First, there must be an error or defect—some sort of [d]eviation from a legal rule—that has not been intentionally relinquished or abandoned, *i.e.*, affirmatively waived, by the appellant. Second, the legal error must be clear or obvious, rather than subject to reasonable dispute. Third, the error must have affected the appellant’s substantial rights, which in the ordinary case means he must demonstrate that it affected the outcome of the district court proceedings. Fourth and finally, if the above three prongs are satisfied, the court of appeals has the *discretion* to remedy the error—discretion which ought to be exercised only if the error seriously affect[s] the fairness, integrity or public reputation of judicial proceedings.

Puckett v. United States, 556 U.S. 129, 135 (2009) (alterations in original) (internal citations and quotation marks omitted). Carson has not shown that his partial absence from the evidentiary discussion affected his substantial rights. *See Bourne v. Curtin*, 666 F.3d 411, 413 (6th Cir. 2012) (stating that the “right to personal presence at all critical stages of the trial” is “generally subject to harmless-error analysis”) (internal quotation marks omitted) (quoting *Rushen v. Spain*, 464 U.S.

114, 119 n.2 (1983) (per curiam)). Therefore, we need not examine the other prongs of the plain error test.

Carson claims he was harmed by missing five minutes of the arguments on the motion to exclude the bank teller's identification because his attorney "did not tell [him] about this alleged identification," and he only learned of his attorney's "attempt[] to cover it up" when he received the transcripts of the trial. Carson claims that if he had been "in the courtroom when Mr. Butler addressed the court about the bank teller's identification, he could have let the court know that his [a]ttorney did not share this information with him and a mistrial could have been declared."

Leaving aside the question whether a mistrial would have been proper in such circumstances, the record belies Carson's claim of harm. Carson was present for a portion of the arguments on the motion to exclude Johnson's identification testimony, for the court's ruling on that motion, and for the entirety of Johnson's testimony and cross-examination. It cannot be true, therefore, that Carson was unaware of the teller's identification (or his attorney's alleged failure to inform him of it) until he received the trial transcripts. Carson has not, therefore, shown any harm to his substantial rights from his brief absence from the courtroom.

G. Admission of Identification Testimony

Carson next argues that the trial court's admission of Johnson's identification testimony violated his right to due process. Johnson testified that she recognized Carson as the robber when, "a few weeks after the robbery," one of the other bank tellers showed her Carson's Facebook photo.

As Carson acknowledges, "[t]he Constitution . . . protects a defendant against a conviction based on evidence of questionable reliability, not by prohibiting introduction of the evidence, but by affording the defendant means to persuade the jury that the evidence should be discounted as

unworthy of credit.” *Perry v. New Hampshire*, 565 U.S. 228, 237 (2012). No one disputes that Carson was allowed to cross-examine Johnson regarding her identification testimony during trial.

Yet, the preference for cross-examination notwithstanding, the Supreme Court has held that due process may “require a preliminary judicial inquiry into the reliability of an eyewitness identification when the identification was . . . procured under unnecessarily suggestive circumstances arranged by law enforcement.” *Id.* at 248. The difficulty for Carson is identifying any way in which law enforcement influenced Johnson’s testimony. For example, it is undisputed that the police never showed Johnson a photograph of Carson. Carson tries to find police involvement by speculating that the police must have told Johnson, or other bank employees, that Carson was a suspect prior to his indictment. That information, Carson further surmises, prompted Johnson’s co-worker to find Carson’s Facebook photo and show it to Johnson. We are skeptical that, even if true, providing such information would amount to the kind of “unnecessarily suggestive circumstances arranged by law enforcement” that would violate due process, *id.*, but we need not decide because there is no record support for such a claim.

Carson claims that the “only way” Johnson could have truthfully testified that she had learned a few weeks after the robbery that Carson was a suspect “is if law enforcement disclosed this information to bank employees.” This is true, he says, because the U.S. Attorney’s Office did not issue a press release about Carson’s arrest until more than seven weeks after the robbery. But a manager at Chemical Bank testified that a local news website had released an article naming Carson as a suspect a week or so after the robbery. And there is simply no other evidence in the

record that suggests any law enforcement role in Johnson's identification of Carson. Accordingly, the district court did not deny Carson due process by admitting Johnson's identification testimony.⁵

H. Fourth Amendment Claims

Carson briefly asserts that his Fourth Amendment rights were violated when authorities searched his jail cell and his mother's house and seized Jencks material found there.⁶ A protective order issued by the district court demanded the return of Jencks material Butler had left with Carson in his jail cell in advance of trial. The government sought this protective order when it learned that Carson had unlawfully mailed the Jencks material to his mother and had asked her to copy it for dissemination to the media and various civil rights organizations. The Jencks material contained personal identifying information of third parties as well as a report of an unrelated incident about Deeb.

To the extent Carson complains about the legality of the searches, we note that the search of the jail cell was authorized by the protective order; moreover, Carson makes no claim contesting probable cause to conduct either search. Indeed, with respect to the search of his mother's house, Carson admits that "[t]he government knew that Appellant's mother had copies of the incriminating documents from a phone call he made to her from the jail." More fundamentally, however, Carson has not established his standing to challenge the search of his mother's home.

⁵ Carson also claims that his trial counsel performed inadequately when he failed to rebut Johnson's testimony with evidence that there are many Facebook profiles listed for "Adam Carson" and when he failed to present evidence showing the security settings on Carson's Facebook account, which Carson claims would not have let a stranger view his photo. Those claims, like his other claims of ineffective assistance, are not fit for our resolution on direct appeal, but are better suited to a petition filed pursuant to 28 U.S.C. § 2255. *See Lopez-Medina*, 461 F.3d at 737.

⁶ Pursuant to the Jencks Act, 18 U.S.C. § 3500, the prosecution must supply the defense with previous statements or reports made by government witnesses, but only after the witness has testified at trial.

He has not for example, attempted to show that he also lived there, *see Bumper v. North Carolina*, 391 U.S. 543 (1968), and he can claim no reasonable expectation of privacy in the Jencks material he mailed to her, *see United States v. King*, 55 F.3d 1193, 1196 (6th Cir. 1995) (“[I]f a letter is sent to another, the sender’s expectation of privacy ordinarily terminates upon delivery.”).

Similarly, Carson has no reasonable expectation of privacy in his jail cell. *See Hudson v. Palmer*, 468 U.S. 517, 530 (1984) (“[P]risoners have no legitimate expectation of privacy and . . . the Fourth Amendment’s prohibition on unreasonable searches does not apply in prison cells.”); *see also United States v. Smith*, 526 F.3d 306, 309 (6th Cir. 2008) (“[I]nmates . . . have no legitimate expectation of privacy from searches of their prison cells.”). To the extent that Carson argues he was deprived of materials taken from his cell that were not Jencks material, the record reflects otherwise. Discussing the seized material, the government informed the court, in Carson’s presence, that “[a]ll of the material that was reviewed, if it had nothing to do with Jencks, it was returned to Mr. Carson. Anything that was actual Jencks material turned over to him prior to the previous trial date was placed in an envelope and returned to Mr. Carson before [the court] started trial in this matter.” Carson did not object then and presents no evidence suggesting otherwise now. Carson’s Fourth Amendment claims fail.

I. Federal Rule of Evidence 404(b)

Carson next asserts that the district court violated Federal Rule of Evidence 404(b) by allowing his parole officer to testify, which, by definition, “insinuate[d] prior conviction.” But the parole officer never identified himself as such, instead testifying that he worked at “[a] state agency.” And his testimony made no mention of Carson’s prior convictions. Carson also alludes to 404(b) violations in the form of “mention of auto theft, drug paraphernalia, and booking photos.” But he provides no meaningful argument to support this claim. It is well settled that “issues

adverted to in a perfunctory manner, unaccompanied by some effort at developed argumentation, are deemed [forfeited].” *United States v. Johnson*, 440 F.3d 832, 846 (6th Cir. 2006) (quoting *United States v. Elder*, 90 F.3d 1110, 1118 (6th Cir. 1996)). Our inquiry into these Rule 404(b) challenges, accordingly, ends here.

J. Sufficiency of the Evidence

Carson’s final argument is that the jury lacked sufficient evidence to support a conviction for witness tampering. We review the district court’s denial of a motion for judgment of acquittal de novo. *United States v. Vichitvongsa*, 819 F.3d 260, 270 (6th Cir. 2016). We are to draw “all reasonable inferences in support of the jury’s verdict and will reverse a judgment for insufficient evidence only if the judgment is not supported by substantial and competent evidence upon the record as a whole.” *Id.* (quoting *United States v. Stewart*, 729 F.3d 517, 526 (6th Cir. 2013)). “‘Substantial evidence’ is ‘such relevant evidence as a reasonable mind might accept to support a conclusion.’” *Id.* (quoting *United States v. Taylor*, 800 F.3d 701, 711 (6th Cir. 2015)). The defendant raising a sufficiency of the evidence claim bears a “very heavy burden.” *Id.* (quoting *United States v. Callahan*, 801 F.3d 606, 616 (6th Cir. 2015)).

The government sought to prove that Carson had engaged in witness tampering with respect to Deeb, under 18 U.S.C. § 1512(b)(1), by attempting to “(1) corruptly persuade (2) a witness in an official federal proceeding (3) with the intent to influence that witness’s testimony.” *United States v. Burns*, 298 F.3d 523, 540 (6th Cir. 2002).

After Deeb had testified before the first grand jury, Carson sent Deeb a six-page letter, dated March 12, 2017. Deeb read the letter to the jury while on the stand. In a portion of the letter, Carson said:

My lawyer claims the only thing they have against me (for something I didn’t do) is you. If you go on the stand, your character will be assassinated—especially

because of the situation you got yourself in. Everything that ever happened in the past will come out. The best thing for you to do, to make things right, is to either explain the detectives pressured you into saying s*** or plead the 5th Amendment (right to not incriminate self).

When Deeb was asked how she interpreted this language, Deeb said that she understood it as a threat. Carson asked Deeb to “make things right” four times in his letter; Deeb interpreted this to be a request for her to lie and say he was not part of the bank robbery. In another portion of the letter, Carson explained that he had told detectives that, on the day of the bank robbery, he had been driving with Deeb playing Pokemon GO. Deeb testified that she interpreted this to mean Carson hoped that she would corroborate his story and lie about where she had been on the day in question. Later in the letter, Carson discussed his trial, noting that if he won, he would be free. He said, “If you make thing[s] right, and I’m out, I will help you any way I can.” He also said, “If you really did love me, prove it.” Deeb interpreted this language to mean that Carson would help her with anything—“money on [her] books, commissary”—if she would help him “get away from this bank robbery charge.”

Carson argues that the government failed to prove that he “corruptly persuaded” Deeb. We have previously upheld convictions for witness tampering based on “corrupt persuasion” where the defendant encouraged a witness to lie. *See Burns*, 298 F.3d at 540 (“Burns attempted to ‘corruptly persuade’ Walker by urging him to lie about the basis of their relationship, to deny that Walker knew Burns as a drug dealer, and to disclaim that Burns was Walker’s source of crack cocaine.”); *see also United States v. Montgomery*, 358 F. App’x 622, 629–30 (6th Cir. 2009) (concluding that the evidence was sufficient to sustain conviction for corruptly persuading a witness where the defendant sent letters to another urging him to lie about their relationship, to deny he knew the defendant as a drug dealer, and to say the defendant was not the source of his cocaine). Courts in other circuits have done the same. *See, e.g., United States v. Bedoy*, 827 F.3d

495, 510 (5th Cir. 2016) (concluding that the evidence sufficed to show “corrupt persuasion” when defendant suggested that witness misrepresent their relationship to conceal wrongdoing). Surveying the landscape, the Fourth Circuit put the point directly: Whatever the “outer limits” of the conduct captured by the phrase “corruptly persuades,” “[a] defendant’s directive to a witness to lie to investigators or at trial always suffices.” *United States v. Edlind*, 887 F.3d 166, 173–74 (4th Cir. 2018).

Carson, however, says that the government did not establish corrupt persuasion because Deeb admitted that Carson had not “sa[id] in that letter” or “ever”—“lie for me.” But Deeb also testified that she believed Carson wrote the letter because he wanted her “to lie and say that he was not part of the bank robbery.” Thus, although Carson may not have expressly asked Deeb to lie, she nonetheless understood his letter as such a request. We do not think § 1512(b)(1) requires that a defendant directly state his request that a witness lie. There was sufficient evidence here, including Deeb’s own impression of the letter, from which a reasonable jury could find beyond a reasonable doubt that Carson had asked Deeb to lie, which is to say that he “corruptly persuaded” her. As Carson meaningfully challenges only the “corrupt persuasion” element of his witness tampering conviction,⁷ his sufficiency claim fails.

* * *

For the reasons set forth above, we AFFIRM the judgment of the district court.

⁷ Carson also states, “As for the second element, Appellant was incarcerated so it was not possible for him to prevent or delay Ms. Deeb’s testimony.” But under § 1512(b), an attempt to “influence . . . the testimony” of a witness suffices; the defendant need not also “delay, or prevent” the testimony. 18 U.S.C. § 1512(b). As discussed above, the jury could reasonably find that Carson tried to get Deeb to lie on the stand, which is to say that he tried to “influence” Deeb’s testimony. “As for the third element,” Carson states, without elaboration, that “no overt act was ever committed.” But the government rightly notes that § 1512(b)(1) requires no “overt act” and “[e]ven if it did, sending the letter to Deeb” would surely suffice.

DESIGNATION OF RELEVANT DISTRICT COURT DOCUMENTS

<u>DESCRIPTION OF ENTRY</u>	<u>RECORD ENTRY NO.</u>	<u>PAGE ID RANGE</u>
Indictment	1	1
Superseding Indictment	15	31-32
Motion for New Counsel	17	37
Affidavit	17-1	38-39
Marginal Entry Order	18	46
Motion to Dismiss Counsel	33	93-95
Marginal Entry Order	37	114
Motion to Proceed <i>Pro Se</i>	53	165-68
Response to <i>Pro Se</i> Motion	58	188-89
Marginal Entry Order	81	311
Order	73	294
Motion for Protective Order	74	296-297
Protective Order	75	302-304
Motion to Dismiss Counsel	76	305-307
Transcript of Jury Trial Proceedings	100	523-766
Transcript of Jury Trial Proceedings	101	783-817
Transcript of Jury Trial Proceedings	102	1106-85
Transcript of Jury Trial Proceedings	103	1342
Final Presentence Report	104	1429,1436,1445
Transcript of Sentencing	131	1507-1545
Transcript of Pretrial Hearing on April 3, 2018	136	1550-1565
Transcript of Competency Hearing	137	1566-1576
PLEADING TITLE - 1		

APPENDIX B pg. 2

DESIGNATION OF RELEVANT DISTRICT COURT DOCUMENTS

<u>DESCRIPTION OF ENTRY</u>	<u>RECORD ENTRY NO.</u>	<u>PAGE ID RANGE</u>
Transcript of Pretrial Hearing on February 15, 2018	139	1582-1586
Transcript	140	1599-1600
Government Exhibit 105	144-1	1614-19
Judgement Entry	107	1461-1468
Notice of Appeal	108	1473-1474
Notice of Appeal	110	1475-1484
Appeal Court Docket Case # 18-3918 (next page)		

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF OHIO

UNITED STATES OF AMERICA

v.

ADAM CARSON

§ JUDGMENT IN A CRIMINAL CASE

§

§

§ Case Number: 1:17-CR-00008-DCN(1)

§ USM Number: 64595-060

§ Donald Butler

§ Defendant's Attorney

THE DEFENDANT:

<input type="checkbox"/>	pleaded guilty to count(s)	
<input type="checkbox"/>	pleaded guilty to count(s) before a U.S. Magistrate Judge, which was accepted by the court.	
<input type="checkbox"/>	pleaded nolo contendere to count(s) which was accepted by the court	
<input checked="" type="checkbox"/>	was found guilty on count(s) after a plea of not guilty	Count One and Count Two

The defendant is adjudicated guilty of these offenses:

Title & Section / Nature of Offense

18:2113(a) Bank Robbery

18:1512(b)(1) Witness Tampering

Offense Ended

03/12/2017

03/12/2017

Count

1

2

The defendant is sentenced as provided in pages 2 through 8 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

- ☐ The defendant has been found not guilty on count(s)
- ☐ Count(s) ☐ is ☐ are dismissed on the motion of the United States

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of material changes in economic circumstances.

September 17, 2018

Date of Imposition of Judgment

Donald C. Nugent

Signature of Judge

DONALD C. NUGENT, United States District Judge

Name and Title of Judge

Date

September 19, 2018

Appendix "B"

DEFENDANT: ADAM CARSON
CASE NUMBER: 1:17-CR-00008-DCN(1)

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of:
240 months as to Count 1 and Count 2 to run concurrent.

☐ The court makes the following recommendations to the Bureau of Prisons:

☒ The defendant is remanded to the custody of the United States Marshal.

☐ The defendant shall surrender to the United States Marshal for this district:

☐ at ☐ a.m. ☐ p.m. on

☐ as notified by the United States Marshal.

☐ The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

☐ before 2 p.m. on

☐ as notified by the United States Marshal.

☐ as notified by the Probation or Pretrial Services Office.

RETURN

I have executed this judgment as follows:

Defendant delivered on _____ to

at _____, with a certified copy of this judgment.

UNITED STATES MARSHAL

By
DEPUTY UNITED STATES MARSHAL

DEFENDANT: ADAM CARSON
CASE NUMBER: 1:17-CR-00008-DCN(1)

SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be on supervised release for a term of : Three years concurrent with the usual conditions as well as any special conditions as directed.

MANDATORY CONDITIONS

1. You must not commit another federal, state or local crime.
2. You must not unlawfully possess a controlled substance.
3. You must refrain from any unlawful use of a controlled substance. You must submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the court.
☐ The above drug testing condition is suspended, based on the court's determination that you pose a low risk of future substance abuse. *(check if applicable)*
4. ☒ You must make restitution in accordance with 18 U.S.C. §§ 3663 and 3663A or any other statute authorizing a sentence of restitution *(check if applicable)*
5. ☒ You must cooperate in the collection of DNA as directed by the probation officer. *(check if applicable)*
6. ☐ You must comply with the requirements of the Sex Offender Registration and Notification Act (34 U.S.C. § 20901, et seq.) as directed by the probation officer, the Bureau of Prisons, or any state sex offender registration agency in which you reside, work, are a student, or were convicted of a qualifying offense. *(check if applicable)*
7. ☐ You must participate in an approved program for domestic violence. *(check if applicable)*

You must comply with the standard conditions that have been adopted by this court as well as with any other conditions on the attached page.

DEFENDANT: ADAM CARSON
CASE NUMBER: 1:17-CR-00008-DCN(1)

STANDARD CONDITIONS OF SUPERVISION

As part of your supervised release, you must comply with the following standard conditions of supervision. These conditions are imposed because they establish the basic expectations for your behavior while on supervision and identify the minimum tools needed by probation officers to keep informed, report to the court about, and bring about improvements in your conduct and condition.

1. You must report to the probation office in the federal judicial district where you are authorized to reside within 72 hours of your release from imprisonment, unless the probation officer instructs you to report to a different probation office or within a different time frame.
2. After initially reporting to the probation office, you will receive instructions from the court or the probation officer about how and when you must report to the probation officer, and you must report to the probation officer as instructed.
3. You must not knowingly leave the federal judicial district where you are authorized to reside without first getting permission from the court or the probation officer.
4. You must answer truthfully the questions asked by your probation officer.
5. You must live at a place approved by the probation officer. If you plan to change where you live or anything about your living arrangements (such as the people you live with), you must notify the probation officer at least 10 days before the change. If notifying the probation officer in advance is not possible due to unanticipated circumstances, you must notify the probation officer within 72 hours of becoming aware of a change or expected change.
6. You must allow the probation officer to visit you at any time at your home or elsewhere, and you must permit the probation officer to take any items prohibited by the conditions of your supervision that he or she observes in plain view.
7. You must work full time (at least 30 hours per week) at a lawful type of employment, unless the probation officer excuses you from doing so. If you do not have full-time employment you must try to find full-time employment, unless the probation officer excuses you from doing so. If you plan to change where you work or anything about your work (such as your position or your job responsibilities), you must notify the probation officer at least 10 days before the change. If notifying the probation officer at least 10 days in advance is not possible due to unanticipated circumstances, you must notify the probation officer within 72 hours of becoming aware of a change or expected change. If not in compliance with the condition of supervision requiring full-time occupation, you may be directed to perform up to 20 hours of community service per week until employed, as approved or directed by the pretrial services and probation officer.
8. You must not communicate or interact with someone you know is engaged in criminal activity. If you know someone has been convicted of a felony, you must not knowingly communicate or interact with that person without first getting the permission of the probation officer.
9. If you are arrested or questioned by a law enforcement officer, you must notify the probation officer within 72 hours.
10. You must not own, possess, or have access to a firearm, ammunition, destructive device, or dangerous weapon (i.e., anything that was designed, or was modified for, the specific purpose of causing bodily injury or death to another person such as nunchakus or tasers).
11. You must not act or make any agreement with a law enforcement agency to act as a confidential human source or informant without first getting the permission of the court.
12. As directed by the probation officer, you shall notify third parties who may be impacted by the nature of the conduct underlying your current or prior offense(s) of conviction and/or shall permit the probation officer to make such notifications, and/or confirm your compliance with this requirement.
13. You must follow the instructions of the probation officer related to the conditions of supervision.

U.S. Probation Office Use Only

A U.S. probation officer has instructed me on the conditions specified by the court and has provided me with a written copy of this judgment containing these conditions. I understand additional information regarding these conditions is available at the www.uscourts.gov.

Defendant's Signature _____

Date _____

DEFENDANT: ADAM CARSON
CASE NUMBER: 1:17-CR-00008-DCN(1)

SPECIAL CONDITIONS OF SUPERVISION

Special Assessment – The defendant shall pay to the United States a special assessment of \$200.00 which shall be due immediately.

Restitution - The defendant shall pay restitution in the amount of \$5,590.00 to Chemical Bank, 1977 Cooper Foster Park Rd., Amherst, OH 44001 through the Clerk of Court of the U.S. District Court. Restitution is due and payable immediately. The defendant must pay 25% of defendant's gross income per month through the Federal Bureau of Prisons Inmate Financial Responsibility Program as directed.

Mandatory/Standard Conditions – While on supervision, the defendant shall not commit another federal, state, or local crime, shall not illegally possess a controlled substance, shall comply with the standard conditions that have been adopted by this Court, and shall comply with any additional conditions.

Mandatory Drug Testing - The defendant shall refrain from any unlawful use of a controlled substance and submit to one drug test within 15 days of release from imprisonment and to at least two periodic drug tests thereafter, as determined by the Court.

Substance Abuse Treatment and Testing - Defendant shall participate in an approved program of substance abuse testing and/or outpatient or inpatient substance abuse treatment as directed by their supervising officer, and abide by the rules of the treatment program. The probation officer will supervise your participation in the program. The defendant shall not obstruct or attempt to obstruct or tamper, in any fashion, with the efficiency and accuracy of any prohibited substance testing.

Cognitive Behavioral Treatment - Defendant must participate in a cognitive behavioral treatment program and follow the rules and regulations of that program. The probation officer will supervise your participation in the program.

Mental Health Treatment - The defendant shall undergo a mental health evaluation and/or participate in a mental health treatment program and follow the rules and regulations of that program. The probation officer, in consultation with the treatment provider, will supervise your participation in the program.

Mental Health Medications - Defendant must take all mental health medications that are prescribed by your treating physician.

Alcohol Restriction - The defendant must not use or possess alcohol.

Firearms and Dangerous Weapons - The defendant shall not possess a firearm, destructive device or any dangerous weapon.

Financial Disclosure - You must provide the probation officer with access to any requested financial information and authorize the release of any financial information. The probation office may share financial information with the U.S. Attorney's office.

No New Debit/Credit - You must not incur new credit charges or open additional lines of credit without the approval of the probation officer.

Financial Windfall Condition - You must apply all monies received from income tax refunds, lottery winnings, judgments, and/or any other anticipated or unexpected financial gains to the outstanding court ordered financial obligation.

DNA Collection - The defendant shall cooperate in the collection of DNA as directed by the U.S. Pretrial Services and Probation Officer.

Search/Seizure - The defendant must submit his person, property, house, residence, vehicle, papers, computers, other electronic communications or data storage device or media, or office to a search conducted by a United States probation officer. Failure to submit to a search may be grounds for revocation of release. You must warn any other occupants that the premises may be subject to searches pursuant to this condition. The probation officer may conduct a search under this condition only when reasonable suspicion exists that you have violated a condition of supervision and that the areas to be searched contain evidence of this violation. Any search must be conducted at a reasonable time and in a reasonable manner.

The defendant must have a valid driver's license and proof of insurance to operate a motor vehicle.

DEFENDANT: ADAM CARSON
CASE NUMBER: 1:17-CR-00008-DCN(1)

CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the schedule of payments on Sheet 6.

	Assessment	JVTA Assessment*	Fine	Restitution
TOTALS	\$200.00		\$0.00	\$5,590.00

- ☐ The determination of restitution is deferred until after such determination. An Amended Judgment in a Criminal Case (AO245C) will be entered
- ☒ The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

\$5,590.00 to
Chemical Bank
1977 Cooper Foster Park Road
Amherst, OH 44001

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

- ☐ Restitution amount ordered pursuant to plea agreement \$
- ☐ The defendant must pay interest on restitution and a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).
- ☐ The court determined that the defendant does not have the ability to pay interest and it is ordered that:
- | | | |
|---|-------------------------------|--|
| <input type="checkbox"/> the interest requirement is waived for the | <input type="checkbox"/> fine | <input type="checkbox"/> restitution |
| <input type="checkbox"/> the interest requirement for the | <input type="checkbox"/> fine | <input type="checkbox"/> restitution is modified as follows: |

* Justice for Victims of Trafficking Act of 2015, Pub. L. No. 114-22

** Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

DEFENDANT: ADAM CARSON
CASE NUMBER: 1:17-CR-00008-DCN(1)

SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties is due as follows:

- A ☐ Lump sum payments of \$ _____ due immediately; balance due
☐ not later than _____, or
☐ in accordance ☐ C. ☐ D. ☐ E, or ☐ F below; or
- B ☐ Payment to begin immediately (may be combined with ☐ C. ☐ D. or ☐ F below); or
- C ☐ Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after the date of this judgment; or
- D ☐ Payment in equal 20 (e.g., weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after release from imprisonment to a term of supervision; or
- E ☐ Payment during the term of supervised release will commence within _____ (e.g., 30 or 60 days) after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or
- F ☒ Special instructions regarding the payment of criminal monetary penalties:
It is ordered that the Defendant shall pay to the United States a special assessment of \$200.00 for Counts 1 and 2, which shall be due immediately. Said special assessment shall be paid to the Clerk, U.S. District Court.

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

- ☐ Joint and Several
See above for Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.
- ☐ Defendant shall receive credit on his restitution obligation for recovery from other defendants who contributed to the same loss that gave rise to defendant's restitution obligation.
- ☐ The defendant shall pay the cost of prosecution.
- ☐ The defendant shall pay the following court cost(s):
- ☐ The defendant shall forfeit the defendant's interest in the following property to the United States:

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) JVT Assessment, (8) penalties, and (9) costs, including cost of prosecution and court costs.

DEFENDANT: ADAM CARSON
CASE NUMBER: 1:17-CR-00008-DCN(1)

DENIAL OF FEDERAL BENEFITS
(For Offenses Committed On or After November 18, 1988)

FOR DRUG TRAFFICKERS PURSUANT TO 21 U.S.C. § 862

IT IS ORDERED that the defendant shall be:

- ☐ ineligible for all federal benefits for a period of
- ☐ ineligible for the following federal benefits for a period of
(specify benefit(s))

OR

- ☐ Having determined that this is the defendant's third or subsequent conviction for distribution of controlled substances, IT IS ORDERED that the defendant shall be permanently ineligible for all federal benefits.

FOR DRUG POSSESSORS PURSUANT TO 21 U.S.C. § 862(b)

IT IS ORDERED that the defendant shall:

- ☐ be ineligible for all federal benefits for a period of
- ☐ be ineligible for the following federal benefits for a period of
(specify benefit(s))

- ☐ successfully complete a drug testing and treatment program.
- ☐ perform community service, as specified in the probation and supervised release portion of this judgment.

IS FURTHER ORDERED that the defendant shall complete any drug treatment program and community service specified in this judgment as a requirement for the reinstatement of eligibility for federal benefits.

Pursuant to 21 U.S.C. § 862(d), this denial of federal benefits does not include any retirement, welfare, Social Security, health, disability, veterans benefit, public housing, or other similar benefit, or any other benefit for which payments or services are required for eligibility. The clerk is responsible for sending a copy of this page and the first page of this judgment to:

U.S. Department of Justice, Office of Justice Programs, Washington, DC 20531

UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT

DEBORAH S. HUNT, Clerk

ORDER

Appendix "C"

**Additional material
from this filing is
available in the
Clerk's Office.**