

UNITED STATES COURT OF APPEALS

FOR THE NINTH CIRCUIT

FILED

JAN 28 2019

MOLLY C. DWYER, CLERK  
U.S. COURT OF APPEALS

MAXCIUM HERRING,

Petitioner-Appellant,

v.

L. S. McEWEN, Warden,

Respondent-Appellee.

No. 18-56298

D.C. No. 8:11-cv-00781-DMG  
Central District of California,  
Santa Ana

ORDER

Before: CANBY and GRABER, Circuit Judges.

The motion for reconsideration (Docket Entry No. 7) is denied. *See* 9th Cir.

R. 27-10.

No further filings will be entertained in this closed case.

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D.C. No. 8:11-cv-00781-DMG  
Central District of California,  
Santa Ana

ORDER

Before: CALLAHAN and MURGUIA, Circuit Judges.

The request for a certificate of appealability (Docket Entry No. 2) is denied because appellant has not shown that “jurists of reason would find it debatable whether the petition states a valid claim of the denial of a constitutional right and that jurists of reason would find it debatable whether the district court was correct in its procedural ruling.” *Slack v. McDaniel*, 529 U.S. 473, 484 (2000); *see also* 28 U.S.C. § 2253(c)(2); *Gonzalez v. Thaler*, 565 U.S. 134, 140-41 (2012); *Gonzalez v. Crosby*, 545 U.S. 524, 530-31 (2005); *Ortiz v. Stewart*, 195 F.3d 520, 520-21 (9th Cir. 1999).

Any pending motions are denied as moot.

**DENIED.**

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9 **IN THE UNITED STATES DISTRICT COURT**  
10 **FOR THE CENTRAL DISTRICT OF CALIFORNIA**  
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12  
13 MAXCIUM HERRING,  
14                   Petitioner,  
15               v.  
16 LELAND McEWEN, Warden,  
17                   Respondent.  
18

Case No. SA CV 11-781 DMG (MRW)

ORDER DENYING MOTION TO  
REOPEN CASE

FRCP 60

19  
20           1.     Petitioner Herring seeks to reopen his long-closed habeas action  
21 challenging his state court robbery convictions. (Docket # 73.)

22           2.     This Court denied habeas relief in 2012 after considering Petitioner's  
23 petition and amended petition, his reply to the California Attorney General's  
24 answer to the petition, the Magistrate Judge's report and recommendation, and  
25 Petitioner's objections. (Docket # 1, 14, 22, 24, 25, 26.) The Court denied a  
26 certificate of appealability. (Docket # 28.) So too did the Ninth Circuit Court of  
27 Appeals. (Docket # 34.)  
28

1           3.     Since then, Petitioner attempted to reopen or seek reconsideration of  
2 his habeas case in this district court and in the appellate court. He received limited  
3 relief for consideration of a late appeal. (Docket # 38-67.) As to the merits of his  
4 post-denial claims, however, the Ninth Circuit again denied a certificate of  
5 appealability in 2016. (Docket # 72.)

6                                 \* \* \*

7           4.     A district court may relieve a habeas petitioner from a final judgment  
8 under Rule 60(b). Circumstances warranting Rule 60 relief “occur rarely in the  
9 habeas context” and should be found “sparingly as an equitable remedy to prevent  
10 manifest injustice.” Hall v. Haws, 861 F.3d 977, 987 (9th Cir. 2017). The rule is  
11 ordinarily “to be utilized only where extraordinary circumstances prevented a party  
12 from taking timely action to prevent or correct an erroneous judgment.” United  
13 States v. Alpine Land & Reservoir Co., 984 F.2d 1047, 1049 (9th Cir. 1993).

14          5.     A district court’s evaluation of a Rule 60(b) motion is reviewed on  
15 appeal for abuse of discretion. Hall, 861 F.3d at 984.

16          6.     Petitioner’s lengthy, current (2018) motion to reopen his case seeks to  
17 relitigate numerous issues regarding his original (2006) trial. The motion offers no  
18 explanation, however, why he is entitled to consideration of these claims so many  
19 years after his conviction became final and the conclusion of his habeas action in  
20 federal court.

1           7.     Petitioner has had ample opportunities to present his claims in the  
2 district court with the Magistrate Judge, in his objections to the report, and in his  
3 various presentations to the federal appeals court. Petitioner fails to demonstrate  
4 any "extraordinary circumstances" that prevented him from pursuing this relief in a  
5 timely manner. The Court exercises its discretion to deny the request to reopen the  
6 case. Hall, 861 F.3d at 984. The motion is DENIED.

7  
8           IT IS SO ORDERED.

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11     Dated: August 28, 2018

  
DOLLY M. GEE  
UNITED STATES DISTRICT JUDGE

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14     Presented by:

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18     HON. MICHAEL R. WILNER  
19     UNITED STATES MAGISTRATE JUDGE  
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8 UNITED STATES DISTRICT COURT  
9 CENTRAL DISTRICT OF CALIFORNIA  
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11 MAXCIUM HERRING, ) Case No. SA CV 11-781 DMG (MRW)  
12 )  
13 v. Petitioner, )  
14 LELAND MCEWEN, Warden, ) REPORT AND RECOMMENDATION  
15 Respondent. ) OF UNITED STATES MAGISTRATE  
16 ) JUDGE

17 This Report and Recommendation is submitted to the Honorable  
18 Dolly M. Gee, United States District Judge, pursuant to 28 U.S.C. § 636 and  
19 General Order 05-07 of the United States District Court for the Central District of  
20 California.

21 **I. SUMMARY OF RECOMMENDATION**

22 This is a state habeas action. Petitioner was convicted of committing a series  
23 of robberies. Petitioner fired his attorney and represented himself at trial. On  
24 habeas review, he asserts numerous claims related to the conduct of that trial,  
25 including a challenge to the sufficiency of the evidence and a claim of juror  
26 misconduct. The state court decisions denying these claims were neither contrary  
27 to, nor an unreasonable application of, federal law. The Court therefore  
28 recommends that the petition be denied.

1 **II. FACTS AND PROCEDURAL HISTORY<sup>1</sup>**

2 Petitioner committed a series of robberies at retail stores and fast food  
3 restaurants in Orange County in 2006. Each robbery was committed with a similar  
4 modus operandi. Petitioner entered the stores, held employees captive with a  
5 weapon (a gun or crowbar), "zip-tied" their hands together, and stole cash.

6 The evidence against Petitioner at trial included DNA analysis linking  
7 Petitioner to the zip-ties recovered from the victims, eyewitness identifications  
8 from the crime scenes, and a bag seized from the car of Petitioner's accomplice  
9 containing spare zip-ties, a gun, and items associated with Petitioner.

10 Petitioner fired two attorneys and represented himself at trial. The jury  
11 convicted him of robbery and false imprisonment charges and a firearms  
12 enhancement related to three of the store robberies. However, the jury acquitted  
13 Petitioner as to another robbery, did not return a street gang enhancement, and  
14 deadlocked on other charges. At sentencing, Petitioner received a term of over  
15 33 years in prison.

16 On direct appeal, Petitioner's sole claim involved an allegation of juror  
17 misconduct. The Court of Appeal issued a reasoned opinion denying the claim.  
18 (Lodgment # 4.) The state supreme court denied review.

19 Petitioner subsequently filed a habeas action. The superior court denied six  
20 of Petitioner's claims on procedural grounds because he failed to raise them in his  
21 direct appeal (citing In re Walker, 10 Cal.3d 764, 773 (1974)). Petitioner also  
22 asserted ineffective assistance of counsel (IAC) claims as to his appellate  
23 attorney's failure to raise these claims on direct appeal. The superior court denied  
24 Petitioner's IAC claims on the merits. (Lodgment # 8.) The state court of appeal  
25

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26 <sup>1</sup> The state court decisions on direct appeal and habeas did not  
27 summarize the facts of Petitioner's criminal case. The factual summary provided  
28 in this report is based on the trial record and the parties' habeas briefs.

1 and supreme court subsequently denied review. This federal habeas action  
2 followed.

### 3 **III. DISCUSSION**

#### 4 **A. Standard of Review of Federal Habeas Petitions Under AEDPA**

5 Petitioner's claims are subject to the provisions of the Antiterrorism and  
6 Effective Death Penalty Act (AEDPA). Under AEDPA, federal courts may grant  
7 habeas relief to a state prisoner "with respect to any claim that was adjudicated on  
8 the merits in State court proceedings" only if that adjudication:

9 (1) resulted in a decision that was contrary to, or involved  
10 an unreasonable application of, clearly established  
11 Federal law, as determined by the Supreme Court of the  
12 United States; or (2) resulted in a decision that was based  
13 on an unreasonable determination of the facts in light of  
14 the evidence presented in the State court proceeding.

15 28 U.S.C. § 2254(d).

16 In a habeas action, this Court reviews the state court's last reasoned  
17 decision. Maxwell v. Roe, 628 F.3d 486, 495 (9th Cir. 2010). Here, the California  
18 Court of Appeal and Superior Court opinions (Lodgment # 4, 8) stand as the last  
19 reasoned decisions on Petitioner's claims.<sup>2</sup> Ylst v. Nunnemaker, 501 U.S. 797,  
20 803-04 (1991).

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21  
22 <sup>2</sup> On federal habeas review, Respondent contends that Petitioner's  
23 substantive claims (other than the fully exhausted juror misconduct and ineffective  
24 assistance of counsel grounds) are unexhausted and procedurally defaulted.  
25 Nevertheless, the Court may consider Petitioner's claims on the merits even though  
26 they were denied on procedural grounds in the state courts. See Cassett v. Stewart,  
27 406 F.3d 614, 623-24 (9th Cir. 2005) (court may deny unexhausted claim on the  
28 merits under 28 U.S.C. § 2254(b)(2) where it is "perfectly clear" there is no  
colorable federal claim); Lacy v. Nooth, 2011 WL 2601333, at \*1 (9th Cir. July 1,  
2011) (same).



1 Overall, Section 2254(d) presents “a difficult to meet [ ] and highly  
2 deferential standard for evaluating state-court rulings, which demands that  
3 state-court decisions be given the benefit of the doubt.” Cullen v. Pinholster,  
4 \_\_\_ U.S. \_\_\_, 131 S. Ct. 1388, 1398 (2011) (quotations omitted). On habeas  
5 review, AEDPA places on Petitioner the burden to show that the state court’s  
6 decision “was so lacking in justification that there was an error well understood  
7 and comprehended in existing law beyond any possibility for fairminded  
8 disagreement.” Harrington v. Richter, \_\_\_ U.S. \_\_\_, 131 S. Ct. 770, 786-87  
9 (2011). Put another way, a state court determination that a claim lacks merit  
10 “precludes federal habeas relief so long as fairminded jurists could disagree” on the  
11 correctness of that ruling. Richter, 131 S. Ct. at 786.

12 **B. Insufficiency of Evidence and Inadequacy of DNA Evidence**  
13 **(Grounds 2, 5, and 6)**

14 Petitioner claims that the evidence against him was insufficient to support  
15 his convictions and the firearm sentencing enhancement. He also challenges the  
16 admission of DNA evidence and expert testimony against him.

17 **1. Facts**

18 The evidence against Petitioner at trial was substantial. Police recovered  
19 zip-ties from the victims of the first two robberies that contained Petitioner’s DNA.  
20 Victims from those robberies identified Petitioner from a police photographic  
21 array, even though the perpetrator partially covered his face during the robberies.  
22 As to the third robbery, police arrested Petitioner hiding near the scene. They also  
23 recovered a bag from the car of Petitioner’s accomplice that contained a gun,  
24 additional zip-ties matching those used in the robberies, a bank pouch stolen from a  
25 victim, and a parking ticket with Petitioner’s name on it. Additionally, several  
26 witnesses testified at trial that Petitioner threatened them at gunpoint. (Lodgment  
27 # 17 at 1023-1025.)  
28

1 The prosecution offered the DNA evidence through a crime lab employee  
2 who testified as an expert witness. The witness explained her professional  
3 background and the techniques used to extract and test the DNA evidence.  
4 Although Petitioner conducted an extensive cross-examination, he did not object to  
5 the expert's qualifications or her testimony on foundational or methodology  
6 grounds.

## 7 2. Applicable Federal Law

8 The Due Process Clause guarantees that a criminal defendant may be  
9 convicted only by proof beyond a reasonable doubt of every fact necessary to  
10 constitute the charged crime. Jackson v. Virginia, 443 U.S. 307, 315-16 (1979).  
11 Under the Jackson standard, "the relevant question is whether, after viewing the  
12 evidence in the light most favorable to the prosecution, any rational trier of fact  
13 could have found the essential elements of the crime beyond a reasonable doubt."  
14 Jackson, 443 U.S. at 319 (emphasis in original); see also Cavazos v. Smith,  
15 \_\_\_ U.S. \_\_\_, 132 S. Ct. 2, 4 (2011) (quoting Jackson).

16 A federal habeas petitioner "faces a heavy burden when challenging the  
17 sufficiency of the evidence used to obtain a state conviction on federal due process  
18 grounds." Juan H. v. Allen, 408 F.3d 1262, 1274 (9th Cir. 2005). Under AEDPA,  
19 federal courts must "apply the standards of Jackson with an additional layer of  
20 deference." Juan H., 408 F.3d at 1274; Smith v. Mitchell, 624 F.3d 1235, 1239  
21 (9th Cir. 2010) (observing that AEDPA combined with Jackson standard requires  
22 "double layer of deference").

23 Challenges to a state trial court's evidentiary rulings are not cognizable on  
24 federal habeas review unless the admission or exclusion of evidence violated a  
25 prisoner's due process right to a fair trial. Estelle v. McGuire, 502 U.S. 62, 70  
26 (1991). The due process inquiry in federal habeas cases is limited to whether the  
27 admission of evidence rendered the trial fundamentally unfair. Walters v. Maass,  
28

1 45 F.3d 1355, 1357 (9th Cir. 1995). The admission of evidence may violate a  
2 petitioner's due process rights only if there were no permissible inferences that the  
3 jury could draw from the evidence. Jammal v. Van de Kamp, 926 F.2d 918, 920  
4 (9th Cir. 1991). There is no clearly-established United States Supreme Court law  
5 that distinguishes rulings regarding expert testimony from evidentiary rulings in  
6 general. See Moses v. Payne, 555 F.3d 742, 758 (9th Cir. 2009).

7 **3. Analysis**

8 Petitioner argues that the evidence against him was insufficient because the  
9 witness identifications and DNA evidence lacked reliability. Petitioner claims that  
10 the identifications were not reliable because they were made solely based on the  
11 shape of the assailant's eyes, and because the victims did not identify Petitioner in  
12 court at trial. He also claims that the DNA evidence lacked foundation and did not  
13 abide by best procedures.

14 On deferential habeas review, this Court finds no constitutional error.  
15 Petitioner essentially waived any challenge to the adequacy of the scientific  
16 evidence by failing to challenge it at trial. Moreover, to the extent that the  
17 evidence was deficient from a scientific perspective, Petitioner was able to (and, to  
18 an extent, did) critique it during the testimony and in closing argument. However,  
19 Petitioner provides no reasonable basis to suggest that the samples analyzed or the  
20 methodologies employed were flawed. The Court cannot conclude that his trial  
21 was fundamentally unfair based on the unremarkable presentation of the  
22 procedures used to collect and test the DNA evidence.

23 There was certainly no constitutional error in the state courts' conclusion  
24 that sufficient evidence supported Petitioner's convictions. Petitioner presses his  
25 factual interpretation of the sufficiency of the DNA and photo identification  
26 evidence, which he believes falls short of the reasonable doubt standard. However,  
27 when viewed in the light most favorable to the prosecution – and with deference  
28

1 given to the state court evaluation under AEDPA – there was more than ample  
2 evidence for the jury to conclude that Petitioner committed these crimes.  
3 Additionally, the evidence supporting the firearms enhancement (testimony that the  
4 robber used a gun) was conclusive. The Court notes that the jury clearly evaluated  
5 the case closely; it acquitted Petitioner of several serious counts and rejected  
6 certain sentencing enhancements. The trier of fact demonstrated that it acted  
7 rationally in evaluating the evidence here. On habeas review, it is not for this  
8 Court to independently reweigh the trial evidence. As a result, the state courts'  
9 determinations must be affirmed.

10 **C. Right to Self-Representation (Ground 1)**

11 Petitioner argues that the trial court infringed on his constitutional rights  
12 when it told him to stop making objections during his own testimony.

13 **1. Facts**

14 Before trial, Petitioner moved to represent himself. After advising Petitioner  
15 of the risks associated with doing so (Faretta v. California, 422 U.S. 806 (1975)),  
16 the Court allowed Petitioner to proceed pro se. (Lodgment # 17 at 45-48.)

17 Petitioner testified in his own defense. Petitioner presented his direct  
18 testimony in narrative form. (Id. at 921.) During cross-examination, Petitioner  
19 objected to several of the prosecution's questions, and gave evasive and non-  
20 responsive answers. (Lodgment # 17 at 943, 947, 949-950, 956-57.) The trial  
21 court allowed Petitioner to object, but also instructed Petitioner that he was  
22 required to answer the prosecution's questions. After another series of nonsensical  
23 and evasive responses that Petitioner presented as "objections," the trial court  
24 stated "You can't object, you're now a witness. You're no longer an attorney. Just  
25 answer the questions." (Id. at 950.) Thereafter, Petitioner continued to provide  
26 non-responsive answers to several cross-examination questions, and on at least one  
27 occasion asserted an objection that the trial court sustained.

1                   2.     Analysis

2     Petitioner claims that the trial court denied him his right to self-  
3 representation by barring him from objecting to the prosecutor's questions on  
4 cross-examination. This argument is frivolous and raises no constitutional  
5 question on habeas review. Petitioner adequately and at his own request  
6 represented himself at trial before, during, and after his testimony. The trial court  
7 attempted (perhaps inartfully) to maintain order during the contentious and  
8 difficult testimony of a pro se litigant. As the Court reviews the record of the trial,  
9 that did not infringe Petitioner's right to self-representation.

10       Moreover, Petitioner points to no clear prejudice that resulted from the trial  
11 court's sensible efforts to advance the questioning of the witness. Rather,  
12 Petitioner was asked a simple question to which he made a nonsensical objection.  
13 Under the circumstances, the trial court's admonishment did not amount to a  
14 constitutional violation.<sup>3</sup>

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21  
22       <sup>3</sup>     The Court further finds no violation under the Due Process Clause  
23 from the trial judge's actions here. Challenges to a state trial court's evidentiary  
24 rulings are not cognizable on federal habeas review unless the admission or  
25 exclusion of evidence violated a petitioner's right to a fair trial. Estelle v.  
26 McGuire, 502 U.S. 62, 70 (1991). The due process inquiry in federal habeas cases  
27 is limited to whether the evidentiary presentation rendered the trial fundamentally  
28 unfair. Walters v. Maass, 45 F.3d 1355, 1357 (9th Cir. 1995). The trial court's  
instruction to Petitioner when he refused to respond directly while on cross-  
examination was not fundamentally unfair.

1       **D. Failure to Disclose Exculpatory Evidence (Ground 3)**

2       —Petitioner claims that the prosecution committed Brady error by failing to  
3 disclose information related to fingerprint and DNA evidence.

4               **1. Facts**

5       The police attempted to take fingerprints and obtain DNA evidence from a  
6 variety of items seized during the investigation. The fingerprints on certain items  
7 were unusable for analysis, and several of the DNA swabs were not submitted for  
8 testing. The prosecution informed the trial court that no test results regarding these  
9 materials existed. Petitioner argues that this constituted Brady error.

10              **2. Federal Law**

11       A prosecutor's suppression of evidence favorable to the accused "violates  
12 due process where the evidence is material either to guilt or to punishment,  
13 irrespective of the good faith or bad faith of the prosecution." Brady v. Maryland,  
14 373 U.S. 83, 87 (1963). Favorable evidence is "material" if there is a reasonable  
15 probability that the result of the proceeding would have been different had the  
16 evidence been disclosed to the defense. Id. at 682. "The question is not whether  
17 the defendant would more likely than not have received a different verdict with the  
18 evidence, but whether in its absence he received a fair trial, understood as a trial  
19 resulting in a verdict worthy of confidence. A 'reasonable probability' of a  
20 different result is accordingly shown when the government's evidentiary  
21 suppression 'undermines confidence in the outcome of the trial.'" Kyles v.  
22 Whitley, 514 U.S. 419, 434 (1995) (citations omitted).

23              **3. Analysis**

24       Petitioner's speculative Brady claim must fail. The evidence at issue here –  
25 inconclusive or incomplete fingerprint and DNA evidence – neither implicated  
26 Petitioner in the charged crimes nor exculpated him. It therefore cannot have been  
27 material under Brady. Additionally, because the prosecution did not even submit  
28

1 some of the materials for testing, there were no reports for production to the  
2 defense. The prosecution therefore did not "suppress" any evidence for the simple  
3 reason that those reports did not exist.

4 Moreover, even assuming that the untested items somehow supported  
5 Petitioner's claim of innocence, Petitioner has not shown any resulting prejudice.  
6 His DNA was identified on items associated with the robberies. The absence of  
7 DNA evidence on other items does not undermine the fairness of his trial or the  
8 jury's verdicts based on the evidence presented.

9 **E. Right to Speedy Trial (Ground 4)**

10 Petitioner complains that the his trial was improperly delayed, resulting in a  
11 denial of Petitioner's right to a speedy trial.

12 **1. Facts**

13 Petitioner was arrested and charged for the robberies in 2006. The charges  
14 were apparently dismissed in November 2006 and subsequently refiled. (Docket  
15 # 22.) Petitioner was re-arraigned in January 2007. The trial commenced in  
16 September 2007. In the intervening time, Petitioner fired two of his appointed  
17 attorneys. (Docket # 12 at 43.)

18 **2. Federal Law**

19 To establish a violation of the Sixth Amendment's speedy trial right, a court  
20 must weigh four factors: (1) whether there was an "uncommonly long" delay in the  
21 trial; (2) whether the prosecution or the defendant was responsible for the delay;  
22 (3) whether defendant asserted his or her speedy trial right; and (4) whether  
23 defendant suffered prejudice as a result of the delay. Doggett v. United States, 505  
24 U.S. 647, 651 (1992). A post-accusation delay approaching one year generally is  
25 found to be "presumptively prejudicial." Id. at 652. However, there is no  
26 constitutionally-mandated bright line rule regarding violative conduct.  
27 United States v. Beamon, 992 F.2d 1009, 1014 (9th Cir. 1993) (17-month and  
28

1 20-month delays between indictment and arrest were not “great”). To determine  
2 whether a defendant suffered prejudice, courts compare the particularized prejudice  
3 (pretrial incarceration, impairment of a defense, and general anxiety of the  
4 accused) with the level of government misconduct (if any) leading to delay. Id.  
5 at 1014-15.

### 6 **3. Analysis**

7 Petitioner’s speedy trial claim under the federal constitution fails. The trial  
8 began approximately nine months after Petitioner’s arraignment on the charges.  
9 During that period, Petitioner caused several weeks of delay by refusing to  
10 cooperate with, and ultimately terminating, his attorneys. As a result, there was no  
11 “presumptively prejudicial” delay.

12 Petitioner also shows no actual prejudice here. There is no basis to conclude  
13 that the prosecution acted improperly or deliberately delayed moving the case  
14 forward, especially given that the delays were attributable to Petitioner’s previous  
15 attorneys or Petitioner’s request to fire them. Additionally, there is no showing  
16 that the defense case suffered in any way due to the unintended delay in trial.  
17 Petitioner does not assert that any witnesses refused to testify for the defense or  
18 that exculpatory evidence was lost as a result of any delay in bringing the case to  
19 trial. There was no federal constitutional violation here.

### 20 **F. Juror Misconduct (Ground 7)**

21 Petitioner claims that the trial court failed to properly investigate alleged  
22 juror misconduct.

#### 23 **1. Facts and Opinion Below**

24 On the second day of deliberations, the jury sent a note indicating that  
25 one juror felt that another juror “will not give the defense fair consideration.”  
26 (Lodgment # 4 at 2.) The trial judge re-instructed the jury about the jury’s duties  
27 during deliberation. Three days later, the jury returned verdicts convicting  
28



1 Petitioner on most counts, and acquitting him or deadlocking on the remaining  
2 charges.

3 After the trial, the court appointed an investigator to assist Petitioner with  
4 post-trial motions. The court denied a request for the jurors' address and telephone  
5 information. In doing so, the court cited state law generally prohibiting release of  
6 such information; noted the detailed verdicts that the jury returned after it  
7 continued deliberations; and found no evidence of misconduct by any juror. The  
8 court concluded that Petitioner's speculative motion did not justify an intrusion  
9 into the jury's right to privacy, nor did it warrant a new trial. (Lodgment # 17  
10 at 1268-70.)

11 The California Court of Appeal found that the trial court did not abuse its  
12 discretion in denying the request for juror contact information. The appellate court  
13 concluded that the jury note was "ambiguous and relatively innocuous," and that  
14 "little good could have come" from delving into the substance of the jury  
15 deliberations at that stage or later. (Lodgment # 4 at 6.)

## 16 2. Federal Law

17 The Constitution "does not require a new trial every time a juror has been  
18 placed in a potentially compromising situation." Smith v. Phillips, 455 U.S. 209,  
19 217 (1982). "Due process means a jury capable and willing to decide the case  
20 solely on the evidence before it, and a trial judge ever watchful to prevent  
21 prejudicial occurrences and to determine the effect of such occurrences when they  
22 happen." Id. When faced with allegations of juror impartiality, "the remedy [is a]  
23 post-trial hearing in which the defendant has the opportunity to prove bias."  
24 Tinsley v. Borg, 895 F.2d 520, 524 (9th Cir. 1990). A state court's findings in  
25 such a hearing are entitled to a presumption of correctness on federal habeas  
26 review. Id.

1                   **3.     Analysis**

2       The California Court of Appeal's decision denying Petitioner's appeal was  
3 not contrary to federal law. After receiving the jury note early in deliberations, the  
4 trial judge conducted a hearing on the issue and re-instructed the jury on its duty to  
5 deliberate collegially. The jury then deliberated substantively and rendered  
6 verdicts both in favor of and against Petitioner. The appellate court found no  
7 evidence of any actual juror misconduct. Those findings are entitled to a  
8 presumption of correctness under federal law. The Court notes that the trial court  
9 gave adequate consideration to the issue, both at the time of the original jury note  
10 and in post-trial consideration. Under AEDPA, the Court concludes that there was  
11 no due process violation. Petitioner's claim does not warrant habeas relief.

12           **G.     Ineffective Assistance of Counsel**

13       Petitioner contends that his appellate attorney provided ineffective assistance  
14 of counsel by failing to raise most of his claims on direct appeal.

15       To establish an IAC claim under Strickland v. Washington, 466 U.S. 668  
16 (1984), "a defendant must show both deficient performance by counsel and  
17 prejudice." Knowles v. Mirzayance, \_\_\_ U.S. \_\_\_, 129 S. Ct. 1411, 1419 (2009).  
18 Deficient performance is defined as representation that falls below an objective  
19 standard of reasonableness. Strickland, 466 U. S. at 688. As to prejudice, a  
20 challenger must demonstrate "a reasonable probability that, but for counsel's  
21 unprofessional errors, the result of the proceeding would have been different."  
22 Padilla v. Kentucky, \_\_\_ U. S. \_\_\_, 130 S. Ct. 1473, 1482 (2010) (quotation  
23 omitted). "Failure to satisfy either prong of the Strickland test obviates the need to  
24 consider the other." Rios v. Rocha, 299 F.3d 796, 805 (9th Cir. 2002).

25       Ineffective assistance by an appellate lawyer is measured by the same  
26 Strickland criteria discussed above. Turner v. Calderon, 281 F.3d 851, 872  
27 (9th Cir. 2002). An appellate attorney is not required to raise "every colorable" or  
28

1 “nonfrivolous issue” on appeal. Jones v. Barnes, 463 U.S. 745, 750, 752 (1983).

–2 Rather, the “weeding out of weaker issues is widely recognized as one of the  
3 hallmarks of effective appellate advocacy.” Miller v. Keeney, 882 F.2d 1428,  
4 1434 (9th Cir. 1989).

5 “Surmounting Strickland’s high bar is never an easy task.” Padilla,  
6 130 S. Ct. at 1485. Establishing that a state court’s application of Strickland was  
7 unreasonable under AEDPA “is all the more difficult.” Richter, 131 S. Ct. at 778.  
8 The standards created by Strickland and Section 2254(d) are both “highly  
9 deferential”; when the two apply in tandem, “review is doubly so.” Richter,  
10 131 S. Ct. at 788 (quotation omitted). Under such a review, a habeas court must  
11 determine “what arguments or theories supported or, as here, could have supported,  
12 the state court’s decision” to reject the ineffective assistance claim, even in the  
13 absence of a reasoned opinion from that court. Id. at 786 (emphasis added).

14 Petitioner raised his IAC claims on habeas review in the superior court. The  
15 superior court analyzed Petitioner’s claim under the state analogue to Strickland.  
16 The court determined that Petitioner had not shown that appellate counsel failed to  
17 raise any arguable issues on appeal, and that Petitioner suffered no prejudice as a  
18 result of counsel’s allegedly deficient performance. This Court agrees. As  
19 discussed above, Petitioner’s substantive claims – insufficiency of the evidence,  
20 Brady violations, juror misconduct, etc. – are meritless and likely would not have  
21 led to reversal of his conviction. On this Court’s doubly-deferential review, there  
22 is no basis to conclude that the appellate lawyer provided ineffective assistance or  
23 that the state court clearly erred in rejecting this claim on habeas.

1 **IV. RECOMMENDATION**

2 —IT IS THEREFORE RECOMMENDED that the District Judge issue an  
3 order: (1) accepting the findings and recommendations in this Report; (2) directing  
4 that judgment be entered denying the Petition; and (3) dismissing the action with  
5 prejudice.

6  
7 DATED: January 10, 2012



8  
9 MICHAEL R. WILNER  
UNITED STATES MAGISTRATE JUDGE