

APPENDIX C. 17-03283 (LTS) AT THE U.S. DISTRICT COURT OF P.R.

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO

In re:

PROMESA
Title III

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

No. 17 BK 3283-LTS

THE COMMONWEALTH OF PUERTO RICO,
et al.

(Jointly Administered)

Debtors.¹

ORDER DENYING (I) MOTION TO PROCEED IN FORMA PAUPERIS; (II) MOTION TO INTERVENE AND
REQUESTING DECLARATORY JUDGMENT; AND (III) MOTION FOR DISQUALIFICATION OF VARIOUS
MEMBERS OF THE FINANCIAL OVERSIGHT AND MANAGEMENT BOARD

On June 13, 2017, Angel Ruiz Rivera (the "Movant"), filed a (i) *Motion to Proceed in Forma Pauperis* (the "IFP Motion"), (ii) *Motion to Intervene and Requesting Declaratory Judgment* (the "Motion to Intervene"), and (iii) *Motion for Disqualification of Various Members of the Financial Oversight and Management Board* (the "Disqualification Motion"). (Docket Entry Nos. 320, 321 and 322.)

The Financial Oversight and Management Board for Puerto Rico (the "Board") was established pursuant to the Puerto Rico Oversight, Management, and Economic Stability Act ("PROMESA"). On May 3, 2017, the Board, as representative of the Commonwealth, commenced the above-captioned Title III proceeding (the "Title III Proceeding"). Case No. 17-BK-3283-LTS, Docket Entry No. 1 (D.P.R. May 3, 2017).

Title I of PROMESA provides that only the President of the United States may remove a member of the Board for cause. See 48 U.S.C. § 101(e)(5)(B). As such, the Court does not have the statutory authority to remove any members of the Board. The Disqualification Motion is denied for failure to state a claim for which relief can be granted.

With respect to the Motion to Intervene, Movant has not identified a pending Title III

¹ The Debtors in these Title III Cases, along with each Debtor's respective Title III case number listed as a bankruptcy case number due to software limitations and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17 BK 3283-LTS) (Last Four Digits of Federal Tax ID: 3481); and (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17 BK 3284) (Last Four Digits of Federal Tax ID: 8474).

Proceeding in which he seeks to intervene. Therefore, the Motion to Intervene is denied for failure to state a claim upon which relief can be granted.

28 U.S.C. § 1915(e)(2)(B)(ii) provides that “[n]otwithstanding any filing fee, or any portion thereof, that may have been paid, the court shall dismiss the case at any time if the court determines that — the action . . . fails to state a claim for which relief may be granted” As explained above, the Disqualification Motion and the Motion to Intervene each fail to state a claim for which relief can be granted. As such, the IFP Motion is denied as moot.

This Order resolves docket entry nos. 320, 321 and 322.

SO ORDERED.

Dated: June 16, 2017

/s/ Laura Taylor Swain
LAURA TAYLOR SWAIN
United States District Judge

UNITED STATES DISTRICT COURT
DISTRICT OF PUERTO RICO

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In re:

PROMESA
Title III

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

No. 17 BK 3283-LTS

THE COMMONWEALTH OF PUERTO RICO,
et al.,

(Jointly Administered)

Debtors.¹

-----X

ORDER DENYING URGENT SECOND MOTION REQUESTING DECLARATORY RELIEF

The Court has received and reviewed the *Urgent Second Motion Requesting Declaratory Relief* (Docket Entry No. 4776, the “Motion”), filed by Angel Ruiz Rivera (“Movant”). The Motion requests a declaratory judgment that “all the debt incurred into by the officers of the Government of P.R., above the limits prescribed in the 1961 amendment to the Constitution of P.R., as pre-approved, pre-authorized and pre-legislated by the U.S. Congress is null and void ab initio . . .”

The Court has reviewed the Motion and, mindful that it was filed pro se, affords it a liberal interpretation. However, the Motion is denied for the reasons provided herein.

First, Movant has not demonstrated standing to seek the relief sought in the Motion. Section 1109(b) of the Bankruptcy Code, made applicable to the above-captioned Title III cases (the “Title III Cases”) by Section 301(a) of PROMESA, 48 U.S.C. § 2161(a), provides that “[a] party in interest, including the debtor, the trustee, a creditors’ committee, an equity security holders’ committee, a creditor, an equity security holder, or any indenture trustee, may raise and may appear and be heard on any issue in a case under this chapter.” 11 U.S.C.

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The Debtors in these Title III Cases, along with each Debtor’s respective Title III case number and the last four (4) digits of each Debtor’s federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17 BK 3283-LTS) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation (“COFINA”) (Bankruptcy Case No. 17 BK 3284-LTS) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority (“HTA”) (Bankruptcy Case No. 17 BK 3567-LTS) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico (“ERS”) (Bankruptcy Case No. 17 BK 3566-LTS) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority (“PREPA”) (Bankruptcy Case No. 17 BK 4780-LTS) (Last Four Digits of Federal Tax ID: 3747). (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations.)

§ 1109(b). “Therefore, a person or entity that does not have a legal or financial stake in the case is generally excluded from the definition of ‘party in interest.’” In re Horned Dorset Primavera, Inc., No. 15-03837 (ESL), 2018 WL 3629952, at *7 (Bankr. D.P.R. July 27, 2018). Here, Movant has not pleaded facts regarding a legal or financial stake in the Title III Cases that would demonstrate that he is a “party in interest” within the meaning of section 1109(b).

Second, to the extent that the Motion may be construed as a motion to intervene, it is denied because Movant has not identified any pending contested matter or adversary proceeding in the Title III Cases in which he seeks to intervene nor alleged that he has any interest that may be affected by the disposition of any matter in these Title III Cases. The Court has previously advised Movant that a request for intervention that neglects to identify a pending proceeding in which he seeks to intervene fails to state a claim upon which relief may be granted. (*Order Denying (I) Motion to Proceed in Forma Pauperis; (II) Motion to Intervene and Requesting Declaratory Judgment; and (III) Motion for Disqualification of Various Members of the Financial Oversight and Management Board*, June 16, 2017, Docket Entry No. 354.)

This Order resolves Docket Entry No. 4776.

SO ORDERED.

Dated: February 6, 2019

/s/ Laura Taylor Swain
LAURA TAYLOR SWAIN
United States District Judge

APPENDIX D. 16-2208 AT U.S. COURT OF APPEALS FOR THE FIRST CIRCUIT

United States Court of Appeals For the First Circuit

No. 16-2208

ASSURED GUARANTY CORPORATION; ASSURED GUARANTY MUNICIPAL
CORPORATION; AMBAC ASSURANCE CORPORATION,

Plaintiffs, Appellees,

v.

ALEJANDRO GARCIA-PADILLA; JUAN C. ZARAGOZA-GOMEZ; INGRID RIVERA-
ROCAFORT; MELBA ACOSTO-FEBO; ALBERTO BACO BAGUE; LUIS F. CRUZ-
BATISTA; VICTOR A. SUAREZ-MELENDEZ; CESAR A. MIRANDA-RODRIGUEZ;
JUAN ANTONIO FLORES-GALARZA; JOHN DOES 1-40,

Defendants, Appellees.

ANGEL RUIZ-RIVERA,

Interested Party, Appellant.

ORDER OF COURT

Entered: June 21, 2017

In light of the Commonwealth of Puerto Rico's petition for the adjustment of its debts under Title III of the Puerto Rico Oversight, Management, and Economic Stability Act (PROMESA), filed in the United States District Court for the District of Puerto Rico on May 3, 2017; the bankruptcy stay provisions incorporated by Section 301(a) of PROMESA, 48 U.S.C. § 2161(a); and the appellee's notice of automatic stay of proceedings, this appeal is stayed.

The parties are directed to file status reports by **July 31, 2017**, and at 90-day intervals thereafter, informing this court as to the status of the debt-adjustment proceeding. Any suggestion that the automatic stay does not apply to this appeal should be filed by July 31, 2017.

By the Court:

/s/ Margaret Carter, Clerk

APPENDIX E. 17-1337 AT U.S. COURT OF APPEALS FOR THE FIRST CIRCUIT

APPENDIX E

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APPENDIX E

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United States Court of Appeals For the First Circuit

No. 17-1337

LEX CLAIMS, LLC; JACANA HOLDINGS I, LLC; JACANA HOLDINGS II, LLC; JACANA HOLDINGS III, LLC; JACANA HOLDINGS IV, LLC; JACANA HOLDINGS V, LLC; MPR INVESTORS, LLC; ROLSG, LLC; RRW I LLC; SL PUERTO RICO FUND II, L.P.

Plaintiffs - Appellees

v.

ANGEL RUIZ-RIVERA

Movant - Appellant

FINANCIAL OVERSIGHT AND MANAGEMENT BOARD; ALEJANDRO GARCIA PADILLA; JUAN C. ZARAGOZA-GOMEZ; LUIS G. CRUZ-BATISTA; THE PUERTO RICO SALES TAX FINANCING CORPORATION, a/k/a COFINA; JUAN VAQUER; BANK OF NEW YORK MELLON CORP.; THE COMMONWEALTH OF PUERTO RICO; JOSE F. RODRIGUEZ; CYRUS CAPITAL PARTNERS, L.P.; DECAGON HOLDINGS 2, LLC; DECAGON HOLDINGS 1, LLC; DECAGON HOLDINGS 3, LLC; DECAGON HOLDINGS 4, LLC; DECAGON HOLDINGS 5, LLC; DECAGON HOLDINGS 6, LLC; DECAGON HOLDINGS 7, LLC; DECAGON HOLDINGS 8, LLC; DECAGON HOLDINGS 9, LLC; DECAGON HOLDINGS 10, LLC; GOLDETREE ASSET MANAGEMENT LP; MERCED CAPITAL, LP; OLD BELLOWS PARTNERS LLP; SCOGGIN MANAGEMENT LP; TILDEN PARK CAPITAL MANAGEMENT LP; WHITEBOX ADVISORS LLC; VARDE CREDIT PARTNERS MASTER, LP; VARDE INVESTMENT PARTNERS, LP; VARDE INVESTMENT PARTNERS (OFFSHORE) MASTER, LP; THE VARDE SKYWAY MASTER FUND, LP; PUERTO RICO AAA PORTFOLIO BOND FUND, INC.; PUERTO RICO AAA PORTFOLIO TARGET MATURITY FUND, INC.; PUERTO RICO FIXED INCOME FUND, INC.; PUERTO RICO FIXED INCOME FUND IV, INC.; PUERTO RICO FIXED INCOME FUND V, INC.; PUERTO RICO FIXED FUND VI, INC.; PUERTO RICO GNMA AND U.S. GOVERNMENT PUERTO RICO INVESTORS BOND FUND I, INC.; PUERTO RICO INVESTORS TAX-FREE FUND, INC.; PUERTO RICO INVESTORS TAX-FREE FUND II, INC.; PUERTO RICO INVESTORS TAX-FREE FUND III, INC.; PUERTO RICO INVESTORS TAX-FREE FUND V, INC.; PUERTO RICO INVESTORS AAA-FREE FUND VI, INC.; PUERTO RICO MORTGAGE-BACKED & U.S. GOVERNMENT SECURITIES FUND, INC.; TAX-FREE PUERTO RICO FUND, INC.; TAX-FREE PUERTO RICO FUND II, INC.; TAX-FREE PUERTO RICO TARGET MATURITY FUND, INC.; UBS IRA SELECT GROWTH & INCOME PUERTO RICO FUND; PUERTO RICO FIXED INCOME FUND II, INC.;

PUERTO RICO FIXED INCOME FUND III, INC.; OPPENHEIMER ROCHESTER LIMITED TERM CALIFORNIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER AMT-FREE NEW YORK MUNICIPAL FUND; OPPENHEIMER ROCHESTER ARIZONA MUNICIPAL FUND; OPPENHEIMER ROCHESTER CALIFORNIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER FUND MUNICIPALS; OPPENHEIMER ROCHESTER LIMITED TERM MUNICIPAL FUND; OPPENHEIMER ROCHESTER MARYLAND MUNICIPAL FUND; OPPENHEIMER ROCHESTER AMT-FREE MUNICIPAL FUND; OPPENHEIMER ROCHESTER MASSACHUSETTS MUNICIPAL FUND; OPPENHEIMER ROCHESTER MICHIGAN MUNICIPAL FUND; OPPENHEIMER ROCHESTER MINNESOTA MUNICIPAL FUND; OPPENHEIMER ROCHESTER NORTH CAROLINA MUNICIPAL FUND; OPPENHEIMER ROCHESTER OHIO MUNICIPAL FUND; OPPENHEIMER ROCHESTER VIRGINIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER HIGH YIELD MUNICIPAL FUND; OPPENHEIMER JERSEY MUNICIPAL FUND; OPPENHEIMER MUNICIPAL FUND; FRANKLIN CALIFORNIA TAX-FREE TRUST; FRANKLIN TAX-FREE TRUST; FRANKLIN MUNICIPAL SECURITIES TRUST; FRANKLIN CALIFORNIA TAX-FREE INCOME FUND; FRANKLIN NEW YORK TAX-FREE INCOME FUND; FIRST PUERTO RICO TAX-EXEMPT FUND III, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND III, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND IV, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND V, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND VII, INC.; FIRST PUERTO RICO TARGET MATURITY INCOME OPPORTUNITIES FUND II, INC.; FIRST PUERTO RICO TAX ADVANTAGED TARGET MATURITY FUND I, INC.; FIRST PUERTO RICO TAX ADVANTAGED TARGET MATURITY FUND II, INC.; FIRST PUERTO RICO AAA TARGET MATURITY FUND II, INC.; FIRST PUERTO RICO TAX-EXEMPT FUND, INC.; FIRST PUERTO RICO TAX-EXEMPT FUND II, INC.; AMBAC ASSURANCE CORPORATION

Defendants

APPELLANT'S BRIEFING NOTICE

Issued: May 17, 2017

The record on appeal now being complete with the filing of the transcripts, or Transcript Report Form, appellant's brief must be filed by **June 26, 2017**. Unless appellant is pro se and proceeding in forma pauperis, an appendix must also be filed by **June 26, 2017**.

United States Court of Appeals For the First Circuit

No. 17-1337

LEX CLAIMS, LLC; JACANA HOLDINGS I, LLC; JACANA HOLDINGS II, LLC; JACANA HOLDINGS III, LLC; JACANA HOLDINGS IV, LLC; JACANA HOLDINGS V, LLC; MPR INVESTORS, LLC; ROLSG, LLC; RRW I LLC; SL PUERTO RICO FUND II, L.P.

Plaintiffs - Appellees

v.

ANGEL RUIZ-RIVERA

Movant - Appellant

FINANCIAL OVERSIGHT AND MANAGEMENT BOARD; ALEJANDRO GARCIA PADILLA; JUAN C. ZARAGOZA-GOMEZ; LUIS G. CRUZ-BATISTA; THE PUERTO RICO SALES TAX FINANCING CORPORATION, a/k/a COFINA; JUAN VAQUER; BANK OF NEW YORK MELLON CORP.; THE COMMONWEALTH OF PUERTO RICO; JOSE F. RODRIGUEZ; CYRUS CAPITAL PARTNERS, L.P.; DECAGON HOLDINGS 2, LLC; DECAGON HOLDINGS 1, LLC; DECAGON HOLDINGS 3, LLC; DECAGON HOLDINGS 4, LLC; DECAGON HOLDINGS 5, LLC; DECAGON HOLDINGS 6, LLC; DECAGON HOLDINGS 7, LLC; DECAGON HOLDINGS 8, LLC; DECAGON HOLDINGS 9, LLC; DECAGON HOLDINGS 10, LLC; GOLDENTREE ASSET MANAGEMENT LP; MERCED CAPITAL, LP; OLD BELLOWS PARTNERS LLP; SCOGGIN MANAGEMENT LP; TACONIC MASTER FUND 1.5 LP; TACONIC OPPORTUNITY MASTER FUND LP; TILDEN PARK CAPITAL MANAGEMENT LP; WHITEBOX ADVISORS LLC; VARDE CREDIT PARTNERS MASTER, LP; VARDE INVESTMENT PARTNERS, LP; VARDE INVESTMENT PARTNERS (OFFSHORE) MASTER, LP; THE VARDE SKYWAY MASTER FUND, LP; PUERTO RICO AAA PORTFOLIO BOND FUND II, INC.; PUERTO RICO AAA PORTFOLIO BOND FUND, INC.; PUERTO RICO AAA PORTFOLIO TARGET MATURITY FUND, INC.; PUERTO RICO FIXED INCOME FUND, INC.; PUERTO RICO FIXED INCOME FUND IV, INC.; PUERTO RICO FIXED INCOME FUND V, INC.; PUERTO RICO FIXED FUND VI, INC.; PUERTO RICO GNMA AND U.S. GOVERNMENT TARGET MATURITY FUND, INC.; PUERTO RICO INVESTORS BOND FUND I, INC.; PUERTO RICO INVESTORS TAX-FREE FUND, INC.; PUERTO RICO INVESTORS TAX-FREE FUND II, INC.; PUERTO RICO INVESTORS TAX-FREE FUND III, INC.; PUERTO RICO INVESTORS TAX-FREE FUND IV, INC.; PUERTO RICO INVESTORS TAX-FREE FUND V, INC.; PUERTO RICO INVESTORS TAX-FREE FUND VI, INC.; PUERTO RICO MORTGAGE-BACKED & U.S. GOVERNMENT SECURITIES FUND, INC.; TAX-FREE PUERTO RICO FUND, INC.; TAX-FREE PUERTO RICO FUND II, INC.; TAX-FREE PUERTO RICO TARGET MATURITY FUND, INC.; UBS IRA SELECT GROWTH &

INCOME PUERTO RICO FUND; PUERTO RICO FIXED INCOME FUND II, INC.; PUERTO RICO FIXED INCOME FUND III, INC.; OPPENHEIMER ROCHESTER LIMITED TERM CALIFORNIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER AMT-FREE NEW YORK MUNICIPAL FUND; OPPENHEIMER ROCHESTER ARIZONA MUNICIPAL FUND; OPPENHEIMER ROCHESTER CALIFORNIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER FUND MUNICIPALS; OPPENHEIMER ROCHESTER LIMITED TERM MUNICIPAL FUND; OPPENHEIMER ROCHESTER LIMITED TERM NEW YORK MUNICIPAL FUND; OPPENHEIMER ROCHESTER MARYLAND MUNICIPAL FUND; OPPENHEIMER ROCHESTER AMT-FREE MUNICIPAL FUND; OPPENHEIMER ROCHESTER MASSACHUSETTS MUNICIPAL FUND; OPPENHEIMER ROCHESTER MICHIGAN MUNICIPAL FUND; OPPENHEIMER ROCHESTER MINNESOTA MUNICIPAL FUND; OPPENHEIMER ROCHESTER NORTH CAROLINA MUNICIPAL FUND; OPPENHEIMER ROCHESTER OHIO MUNICIPAL FUND; OPPENHEIMER ROCHESTER VIRGINIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER HIGH YIELD MUNICIPAL FUND; OPPENHEIMER ROCHESTER NEW JERSEY MUNICIPAL FUND; OPPENHEIMER ROCHESTER PENNSYLVANIA MUNICIPAL FUND; FRANKLIN CALIFORNIA TAX-FREE TRUST; FRANKLIN TAX-FREE TRUST; FRANKLIN MUNICIPAL SECURITIES TRUST; FRANKLIN CALIFORNIA TAX-FREE INCOME FUND; FRANKLIN NEW YORK TAX-FREE INCOME FUND; FRANKLIN FEDERAL TAX-FREE INCOME FUND; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND II, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND III, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND IV, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND V, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND VII, INC.; FIRST PUERTO RICO TARGET MATURITY INCOME OPPORTUNITIES FUND I, INC.; FIRST PUERTO RICO TARGET MATURITY INCOME OPPORTUNITIES FUND II, INC.; FIRST PUERTO RICO TAX ADVANTAGED TARGET MATURITY FUND I, INC.; FIRST PUERTO RICO TAX ADVANTAGED TARGET MATURITY FUND II, INC.; FIRST PUERTO RICO AAA TARGET MATURITY FUND I, INC.; FIRST PUERTO RICO AAA TARGET MATURITY FUND II, INC.; FIRST PUERTO RICO TAX-EXEMPT FUND, INC.; FIRST PUERTO RICO TAX-EXEMPT FUND II, INC.; AMBAC ASSURANCE CORPORATION

Defendants

ORDER OF COURT

Entered: May 19, 2017
Pursuant to 1st Cir. R. 27.0(d)

The briefing schedule issued on May 17, 2017 is hereby vacated as entered in error.

By the Court:

/s/ Margaret Carter, Clerk

United States Court of Appeals For the First Circuit

No. 17-1337

LEX CLAIMS, LLC; JACANA HOLDINGS I, LLC; JACANA HOLDINGS II, LLC; JACANA HOLDINGS III, LLC; JACANA HOLDINGS IV, LLC; JACANA HOLDINGS V, LLC; MPR INVESTORS, LLC; ROLSG, LLC; RRW I LLC; SL PUERTO RICO FUND II, L.P.,

Plaintiffs, Appellees,

v.

ANGEL RUIZ-RIVERA,

Movant, Appellant,

FINANCIAL OVERSIGHT AND MANAGEMENT BOARD; ALEJANDRO GARCIA PADILLA; JUAN C. ZARAGOZA-GOMEZ; LUIS G. CRUZ-BATISTA; THE PUERTO RICO SALES TAX FINANCING CORPORATION, a/k/a COFINA; JUAN VAQUER; BANK OF NEW YORK MELLON CORP.; THE COMMONWEALTH OF PUERTO RICO; JOSE IVAN MARRERO ROSADO; RAUL MALDONADO GAUTIER,

Defendants, Appellees,

JOSE F. RODRIGUEZ; CYRUS CAPITAL PARTNERS, L.P.; DECAGON HOLDINGS 2, LLC; DECAGON HOLDINGS 1, LLC; DECAGON HOLDINGS 3, LLC; DECAGON HOLDINGS 4, LLC; DECAGON HOLDINGS 5, LLC; DECAGON HOLDINGS 6, LLC; DECAGON HOLDINGS 7, LLC; DECAGON HOLDINGS 8, LLC; DECAGON HOLDINGS 9, LLC; DECAGON HOLDINGS 10, LLC; GOLDETREE ASSET MANAGEMENT LP; MERKLE CAPITAL, LP; OLD BELLows PARTNERS LLP; SCOGGIN MANAGEMENT LP; TACONIC MASTER FUND 1.5 LP; TACONIC OPPORTUNITY MASTER FUND LP; TILDEN PARK CAPITAL MANAGEMENT LP; WHITEBOX ADVISORS LLC; VARDE CREDIT PARTNERS MASTER, LP; VARDE INVESTMENT PARTNERS, LP; VARDE INVESTMENT PARTNERS (OFFSHORE) MAS ILK,, FUND, LP; PUERTO RICO AAA PORTFOLIO BOND FUND II, INC.; PUERTO RICO AAA PORTFOLIO BOND FUND, INC.; PUERTO RICO AAA PORTFOLIO TARGET MATURITY FUND, INC.; PUERTO RICO FIXED INCOME FUND, INC.; PUERTO RICO FIXED INCOME FUND V, INC.; PUERTO RICO Maturity Fund, Inc.; PUERTO RICO INVESTORS BOND FUND I, INC.; PUERTO RICO INVESTORS TAX-FREE FUND, INC.; PUERTO RICO INVESTORS TAX-FREE FUND II, INC.; PUERTO RICO INVESTORS TAX-FREE FUND III, INC.; PUERTO RICO INVESTORS TAX-FREE FUND IV, INC.; PUERTO RICO INVESTORS TAX-FREE FUND V, INC.; PUERTO RICO INVESTORS TAX-FREE FUND VI, INC.; PUERTO RICO

MORTGAGE-BACKED & U.S. GOVERNMENT SECURITIES FUND, INC.; TAX-FREE PUERTO RICO FUND, INC.; TAX-FREE PUERTO RICO FUND II, INC.; TAX-FREE PUERTO RICO TARGET MATURITY FUND, INC.; UBS IRA SELECT GROWTH & INCOME PUERTO RICO FUND; PUERTO RICO FIXED INCOME FUND II, INC.; PUERTO RICO FIXED INCOME FUND III, INC.; OPPENHEIMER ROCHESTER LIMITED TERM CALIFORNIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER AMT-FREE NEW YORK MUNICIPAL FUND; OPPENHEIMER ROCHESTER ARIZONA MUNICIPAL FUND; OPPENHEIMER ROCHESTER CALIFORNIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER FUND MUNICIPALS; OPPENHEIMER ROCHESTER LIMITED TERM MUNICIPAL FUND; OPPENHEIMER ROCHESTER LIMITED TERM NEW YORK MUNICIPAL FUND; OPPENHEIMER ROCHESTER MARYLAND MUNICIPAL FUND; OPPENHEIMER ROCHESTER AMT-FREE MUNICIPAL FUND; OPPENHEIMER ROCHESTER MASSACHUSETTS MUNICIPAL FUND; OPPENHEIMER ROCHESTER MICHIGAN MUNICIPAL FUND; OPPENHEIMER ROCHESTER MINNESOTA MUNICIPAL FUND; OPPENHEIMER ROCHESTER NORTH CAROLINA MUNICIPAL FUND; OPPENHEIMER ROCHESTER OHIO MUNICIPAL FUND; OPPENHEIMER ROCHESTER VIRGINIA MUNICIPAL FUND; OPPENHEIMER ROCHESTER HIGH YIELD MUNICIPAL FUND; OPPENHEIMER ROCHESTER NEW JERSEY MUNICIPAL FUND, OPPENHEIMER ROCHESTER PENNSYLVANIA MUNICIPAL FUND; FRANKLIN CALIFORNIA TAX-FREE TRUST; FRANKLIN TAX-FREE TRUST; FRANKLIN MUNICIPAL SECURITIES TRUST; FRANKLIN CALIFORNIA TAX-FREE INCOME FUND; FRANKLIN NEW YORK TAX-FREE INCOME FUND; FRANKLIN FEDERAL TAX-FREE INCOME FUND; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND II, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND III, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND IV, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND V, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND VII, INC.; FIRST PUERTO RICO TARGET MATURITY INCOME OPPORTUNITIES FUND I, INC.; FIRST PUERTO RICO TARGET MATURITY INCOME OPPORTUNITIES FUND II, INC.; FIRST PUERTO RICO TAX-EXEMPT TARGET MATURITY FUND I, INC.; FIRST PUERTO RICO TAX-ADVANTAGED TARGET MATURITY FUND II, INC.; FIRST PUERTO RICO AAA TARGET MATURITY FUND I, INC.; FIRST PUERTO RICO AAA TARGET MATURITY FUND II, INC.; FIRST PUERTO RICO TAX-EXEMPT FUND, INC.; FIRST PUERTO RICO TAX-EXEMPT FUND II, INC.; AMBAC ASSURANCE CORPORATION,

Defendants.

ORDER OF COURT

Entered: January 30, 2018

In light of the Commonwealth of Puerto Rico's petition for the adjustment of its debts under Title III of the Puerto Rico Oversight, Management, and Economic Stability Act (PROMESA), filed in the United States District Court for the District of Puerto Rico on May 3, 2017; the similar

petition filed in the same court by the Puerto Rico Sales Tax Financing Corporation; and the bankruptcy stay provisions incorporated by Section 301(a) of PROMESA, 48 U.S.C. § 2161(a), this appeal is stayed.

By the Court:

/s/ Margaret Carter, Clerk

cc:

Jose Ramon Rivera-Morales
Mark Stancil
Donald Burke
Ariel N. Lavinbuk
Angel Ruiz-Rivera
James William McCartney
Roberto A. Camara-Fuertes
Atara Miller
Dennis F. Dunne
Grant R. Mainland
Andrew M. Leblanc
Luis Francisco Del-Valle-Emmanuelli
Michael Luskin
Stephan E. Hornung
Michael Francis Williams
Peter A. Farrell
Giselle Lopez Soler
Christopher M. Mason
Christopher Fong
Robert N. H. Christmas
Albeniz Couret-Fuentes
Lee R. Sepulvado-Ramos
Wandymar Burgos-Vargas
Sylvia M. Arizmendi-Lopez de Victoria
Rafael Escalera-Rodriguez
Daniel A. Salinas-Serrano
Carlos R. Rivera-Ortiz
Susheel Kirpalani
Glenn Kurtz
Arturo Diaz-Angueira
Alicia Irene Lavergne-Ramirez
Jason Zakia
John K. Cunningham
Manuel Fernandez-Bared

APPENDIX F. 17-1743 AT U.S. COURT OF APPEALS FOR THE FIRST CIRCUIT

United States Court of Appeals For the First Circuit

No. 17-1743

IN RE: COMMONWEALTH OF PUERTO RICO; PUERTO RICO SALES TAX FINANCING
CORPORATION, a/k/a Cofina,

Debtors.

COMMONWEALTH OF PUERTO RICO; PUERTO RICO SALES TAX FINANCING
CORPORATION, a/k/a Cofina,

Debtors, Appellees,

MONSITA LECAROZ-ARRIBAS,

Trustee, Appellee,

v.

ANGEL RUIZ-RIVERA,

Movant, Appellant.

Before

Howard, Chief Judge,
Torruella and Kayatta, Circuit Judges.

JUDGMENT

Entered: September 7, 2017

For lack of a substantial question or jurisdictional basis, the appeal is summarily dismissed under 1st Cir. R. 27.0(c). The motion to proceed in forma pauperis on appeal is denied as moot.

By the Court:

/s/ Margaret Carter, Clerk

**United States Court of Appeals
For the First Circuit**

No. 17-1743

IN RE: COMMONWEALTH OF PUERTO RICO; PUERTO RICO SALES TAX FINANCING
CORPORATION, a/k/a Cofina,

Debtors.

COMMONWEALTH OF PUERTO RICO; PUERTO RICO SALES TAX FINANCING
CORPORATION, a/k/a Cofina,

Debtors, Appellees,

MONSITA LECAROZ-ARRIBAS,

Trustee, Appellee,

v.

ANGEL RUIZ-RIVERA,

Movant, Appellant.

Before

Howard, Chief Judge,
Torruella and Kayatta, Circuit Judges.

ORDER OF COURT

Entered: January 31, 2018

The petition for rehearing is denied.

By the Court:

/s/ Margaret Carter, Clerk

APPENDIX G. MD-17-0005 AT SUPREME TRIBUNAL OF P.R.

ESTADO LIBRE ASOCIADO DE PUERTO RICO
TRIBUNAL GENERAL DE JUSTICIA
TRIBUNAL SUPREMO

01DE 01

RUIZ RIVERA, ÁNGEL

PETICIONARIO

VS

ROSELLÓ NEVARES, RICARDO A., GOB. DE PR, E.L.A.

RECURRIDO

CASO: MD-2017-0005 CASO ORI.: K DP2016-1158 MANDAMUS
CASO CIR.:

ACCION CIVIL O DELITO

NOTIFICACION

CERTIFICO QUE EN RELACION CON EL/LA PETICION DE MANDAMUS
EL TRIBUNAL DICTO EL/LA RESOLUCION
QUE SE ACOMPAÑA :

PROCURADOR(A) GENERAL [LIC.]
DEPARTAMENTO DE JUSTICIA
PO BOX 9020192
SAN JUAN, PR 00902-0192
JUZ RIVERA, ÁNGEL
EXT. VILLA RICA
AA-27 CALLE SANTA RITA
BAYAMON PR 00959

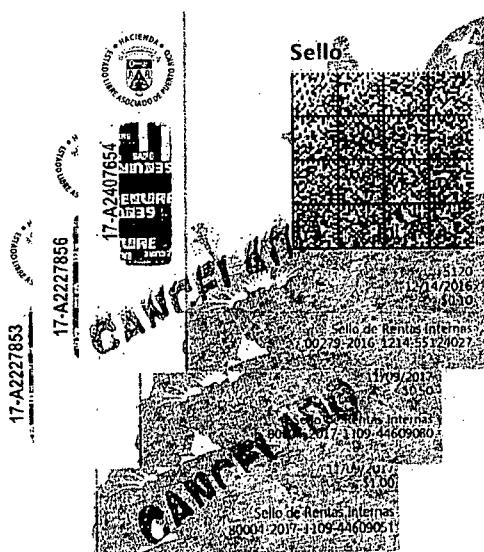
SAN JUAN , PUERTO RICO A 09 DE AGOSTO DE 2017

LCDO. JUAN ERNESTO DÁVILA RIVERA

SECRETARIO(A) DEL TRIBUNAL SUPREMO

MILKA Y. ORTEGA CORTIJO

SECRETARIO(A) AUXILIAR



CERTIFICO que lo que antecede es copia fidedigna de su original que obra en autos del caso.

Previo pago de derechos

Para uso oficial

En San Juan, Puerto Rico, 9 de Agosto de 2017

del 20

SECRETARIA DEL
TRIBUNAL SUPREMO
Por:

Secretaria (o) Auxiliar

17-A2227853-59-54
Yol 9/1/17

**FREE ASSOCIATED STATE OF PUERTO RICO
GENERAL TRIBUNAL OF JUSTICE
SUPREME TRIBUNAL**

RUIZ RIVERA, ANGEL

-PETITIONER

VS.

ROSELLO NEVARES, RICARDO A., GOB. DE P.R., E.L.A. -DEFENDANT

CASE: MD2017-0005 CASO ORI: K DP-2016-1158 MANDAMUS

**RUIZ RIVERA, ANGEL
EXT. VILLA RICA
AA-27 CALLE SANTA RITA
BAYAMON, P.R. 00959.**

NOTIFICATION

**I CERTIFY THAT IN RELATION WITH THE WRITING
THE TRIBUNAL DICTATED THE RESOLUTION
THAT ACCOMPANIES:**

**SOLICITOR GENERAL, ESQ.
DEPARTMENT OF JUSTICE
P.O. BOX 9020192
SAN JUAN, P.R. 00902-0192**

**RUIZ RIVERA, ANGEL
EXT. VILLA RICA
AA-27 CALLE SANTA RITA
BAYAMON, P.R. 00959.**

SAN JUAN, P.R.

AUGUST 9, 2017.

**JUAN ERNESTO DAVILA RIVERA
CLERK OF THE SUPREME TRIBUNAL**

**MILKA I. ORTEGA CORTIJO
ASSISTANT CLERK**

EN EL TRIBUNAL SUPREMO DE PUERTO RICO

Ángel A. Ruiz Rivera

Peticionario

v.

Hon. Ricardo Rosselló Neves,
Gobernador de Puerto Rico;
Hon. Wanda Vázquez Garced,
Secretaria de Justicia de
Puerto Rico

Recurridos

MD-2017-0005

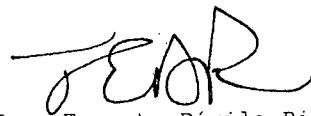
Sala de Verano integrada por la Jueza Presidenta Oronoz Rodríguez, la Juez Asociada señora Rodríguez Rodriguez y la Jueza Asociada señora Pabón Charneco

RESOLUCIÓN

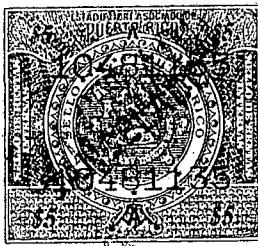
En San Juan, Puerto Rico, a 31 de julio de 2017.

Atendida la *Petición de mandamus* presentada por la parte peticionaria, se provee no ha lugar.

Lo acordó el Tribunal y certifica el Secretario del Tribunal Supremo.

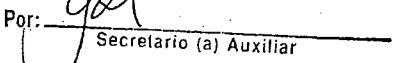


Juan Ernesto Dávila Rivera
Secretario del Tribunal Supremo



Certifico que la presente es copia fiel y exacta
su original, San Juan, PR 9 de nov.
del 17

SECRETARIA DEL TRIBUNAL SUPREMO
TRIBUNAL SUPREMO DE PUERTO RICO

Por: 
Secretario (a) Auxiliar

IN THE SUPREME TRIBUNAL OF P.R.

Angel A. Ruiz Rivera

Petitioner

v.

MD-2017-0005

**Ricardo Rosello Nevares:
Governor of P.R.;
Wanda Vazquez Garced
Secretary of Justice of P.R.**

Defendants

RESOLUTION

In San Juan, Puerto Rico, July 31, 2017.

**Having given attention to the Mandamus Petition presented by the
petitioning party, it is denied.**

Accorded by the Tribunal and certifies the Clerk of the Supreme Tribunal.

**Juan Ernesto Davila Rivera
Clerk of the Supreme Tribunal**